

## Table of Contents

### Index

#### Commerce Office Addresses

#### Legal Authority

#### Part 730 General Information

- 730.1 What these regulations cover
- 730.2 Statutory authority
- 730.3 Dual-use exports
- 730.4 Other control agencies and departments
- 730.5 Coverage of more than exports
- 730.6 Control purposes
- 730.7 License requirements and exceptions
- 730.8 How to proceed and where to get help
- 730.9 How the Bureau of Industry and Security is organized
- 730.10 Advisory information

Supplement No. 1 to Part 730; Information Collection Requirements Under the Paperwork Reduction Act: OMB  
Control Numbers

Supplement No. 2 to Part 730; Technical Advisory Committees

Supplement No. 3 to Part 730; Other U.S. Government Departments and Agencies with Export Control  
Responsibilities

#### Part 732 Steps For Using the EAR

- 732.1 Steps overview
- 732.2 Steps regarding scope of the EAR
- 732.3 Steps regarding the ten general prohibitions
- 732.4 Steps regarding License Exceptions
- 732.5 Steps regarding Shipper's Export Declaration or Automated Export System Record, Destination  
Control Statements, and recordkeeping
- 732.6 Steps for other requirements

Supplement No. 1 to Part 732; Decision Tree

Supplement No. 2 to Part 732; Am I subject to the EAR?

Supplement No. 3 to Part 732; BIS's "Know Your Customer" Guidance and Red Flags.

#### Part 734 Scope of the Export Administration Regulations

- 734.1 Introduction
- 734.2 Important EAR terms and principles
- 734.3 Items subject to the EAR
- 734.4 *De minimis* U.S. content
- 734.5 Activities of U.S. and foreign persons subject to the EAR
- 734.6 Assistance available from BIS for determining licensing and other requirements
- 734.7 Published information and software
- 734.8 Information resulting from fundamental research
- 734.9 Educational information
- 734.10 Patent applications

- 734.11 Government-sponsored research covered by contract controls
- 734.12 Effect on foreign laws and regulations
- Supplement No. 1 to Part 734; Questions and Answers -- Technology and Software Subject to the EAR
- Supplement No. 2 to Part 734; Calculation of Values for *DeMinimis* Rules

**Part 736 General Prohibitions**

- 736.1 Introduction
- 736.2 General prohibitions and determination of applicability
- Supplement No. 1 to Part 736; General Orders
- Supplement No. 2 to Part 736; Administrative Orders

**Part 738 Commerce Control List Overview and the Country Chart**

- 738.1 Introduction
- 738.2 Commerce Control List (CCL) structure
- 738.3 Commerce Country Chart structure
- 738.4 Determining whether a license is required
- Supplement No. 1 to Part 738; Commerce Country Chart

**Part 740 License Exceptions**

- 740.1 Introduction
- 740.2 Restrictions on all License Exceptions
- 740.3 Shipments of limited value (LVS)
- 740.4 Shipments to Country Group B countries (GBS)
- 740.5 Civil end-users (CIV)
- 740.6 Technology and software under restriction (TSR)
- 740.7 Computers (CTP)
- 740.8 Key Management Infrastructure (KMI)
- 740.9 Temporary imports, exports, and reexports (TMP)
- 740.10 Servicing and replacement of parts and equipment (RPL)
- 740.11 Governments, international organizations, and international inspections under the Chemical Weapons Convention (GOV)
- 740.12 Gift parcels and humanitarian donations (GFT)
- 740.13 Technology and software - unrestricted (TSU)
- 740.14 Baggage (BAG)
- 740.15 Aircraft and vessels (AVS)
- 740.16 Additional permissive reexports (APR)
- 740.17 Encryption commodities and software (ENC)
- 740.18 Agricultural commodities (AGR)
- Supplement No. 1 to Section 740.11; Additional restrictions on use of License Exception GOV
- Supplement No. 1 to Part 740; Country Groups
- Supplement No. 2 to Part 740; Items that may be Donated to Meet Basic Human Needs Under the Humanitarian License Exception
- Supplement No. 3 to Part 740; License Exception ENC Country Group

**Part 742 Control Policy – CCL Based Controls**

- 742.1 Introduction
- 742.2 Proliferation of chemical and biological weapons
- 742.3 Nuclear nonproliferation
- 742.4 National security
- 742.5 Missile technology
- 742.6 Regional stability
- 742.7 Crime control
- 742.8 Anti-Terrorism: Iran

742.9	Anti-Terrorism: Syria
742.10	Anti-Terrorism: Sudan
742.11	Specially designed implements of torture
742.12	High performance computers
742.13	Communications intercepting devices
742.14	Significant items: Hot section technology for the development, production or overhaul of commercial aircraft engines, components, and systems
742.15	Encryption items
742.16	[RESERVED]
742.17	Exports of firearms to OAS member countries
742.18	Chemical Weapons Convention (CWC or Convention)
742.19	Anti-terrorism: North Korea
742.20	Anti-terrorism: Libya
Supplement No. 1 to Part 742; Nonproliferation of Chemical and Biological Weapons	
Supplement No. 2 to Part 742; Anti-terrorism Controls: Iran, Libya, North Korea, Syria and Sudan Contract Sanctity Dates and Related Policies	
Supplement No. 3 to Part 742; High Performance Computers; Safeguard Conditions and Related Information	
Supplement No. 4 to Part 742; Key Escrow or Key Recovery Products Criteria	
Supplement No. 5 to Part 742; Checklist on Encryption and Other "Information Security" Functions	
Supplement No. 6 to Part 742; Guidelines for Submitting a Classification Request for a Mass Market Software Product That Contains Encryption	
Supplement No. 7 to Part 742; [RESERVED]	

**Part 743 Special Reporting**

743.1	Wassenaar Arrangement
743.2	[RESERVED]
Supplement No. 1 to Part 743; Wassenaar arrangement member countries	

**Part 744 Control Policy: End-user and End-use Based**

744.1	General provisions
744.2	Restrictions on certain nuclear end-uses
744.3	Restrictions on certain missile end-uses
744.4	Restrictions on certain chemical and biological weapons end-uses
744.5	Restrictions on certain maritime nuclear propulsion end-uses
744.6	Restrictions on certain activities of U.S. persons
744.7	Restrictions on certain exports to and for the use of certain foreign vessels or aircraft
744.8	[RESERVED]
744.9	Restrictions on technical assistance by U.S. persons with respect to encryption items
744.10	Restrictions on certain entities in Russia
744.11	[RESERVED]
744.12	Restrictions on exports and reexports to persons designated in or pursuant to Executive Order 13224 (Specially Designated Global Terrorist) (SDGT)
744.13	Restrictions on exports and reexports to persons designated pursuant to Executive Order 12947 (Specially Designated Terrorists) (SDT)
744.14	Restrictions on exports and reexports to designated Foreign Terrorist Organizations (FTOs)
744.15	Restrictions on exports and reexports to persons named in General Orders
744.16	Restrictions on Exports and Reexports by U.S. Persons to Specially Designated Persons on the List of Specially Designated Nationals Identified by the Bracketed Suffix Initials [FRYM]
744.17	Restrictions on certain exports and reexports of general purpose microprocessors for 'military end-uses' and to 'military end-users.'
Supplement No. 1 to Part 744; Military End-use Examples for §744.17	
Supplement No. 2 to Part 744; [RESERVED]	

Supplement No. 3 to Part 744; Countries Not Subject to Certain Nuclear End-use Restrictions in §744.2(a)  
 Supplement No. 4 to Part 744; Entity List

**Part 745 Chemical Weapons Convention Requirements**

745.1 Advance notification and annual report of all exports of Schedule 1 chemicals to other States Parties.  
 745.2 End-Use Certificate reporting requirements under the Chemical Weapons Convention.  
 Supplement No. 1 to Part 745; Schedules of Chemicals  
 Supplement No. 2 to Part 745; States Parties to the Convention on the Prohibition of the Development, Production, Stockpiling, and Use of Chemical Weapons and on Their Destruction  
 Supplement No. 3 to Part 745; Foreign Agencies Responsible for Issuing End-Use Certificates Pursuant to §745.2.

**Part 746 Embargoes and Other Special Controls**

746.1 Introduction  
 746.2 Cuba  
 746.3 Iraq  
 746.4 Libya  
 746.5 [RESERVED]  
 746.6 [RESERVED]  
 746.7 Iran  
 746.8 Rwanda  
 746.9 [RESERVED]  
 Supplement No. 1 to Part 746; [RESERVED]  
 Supplement No. 2 to Part 746; [RESERVED]  
 Supplement No. 3 to Part 746; [RESERVED]

● **Part 747 Special Iraq Reconstruction License (SIRL)**

747.1 Scope  
 747.2 Eligibility requirements  
 747.3 Eligible items  
 747.4 Steps you must follow to apply for a SIRL  
 747.5 SIRL application review process

**Part 748 Applications (Classification, Advisory, and License) and Documentation**

748.1 General provisions  
 748.2 Obtaining forms; mailing addresses  
 748.3 Classification Requests, Advisory Opinions, and Encryption Review Requests  
 748.4 Basic guidance related to applying for a license  
 748.5 Parties to the transaction on the license application  
 748.6 General instructions for license applications  
 748.7 Applying electronically for a license or Classification request  
 748.8 Unique license application requirements  
 748.9 Support documents for license applications  
 748.10 Import and End-User Certificates  
 748.11 Statement by Ultimate Consignee and Purchaser  
 748.12 Special provisions for support documents  
 748.13 Delivery Verification  
 748.14 Import Certificate for firearms destined for Organization of American States member countries  
 Supplement No. 1 to Part 748; BIS-748P; BIS-748P-A, Item Appendix; and BIS-748P-B, End-User Appendix Multipurpose Application Instructions  
 Supplement No. 2 to Part 748; Unique License Application Requirements  
 Supplement No. 3 to Part 748; BIS-711, Statement by Ultimate Consignee and Purchaser Instructions

Supplement No. 4 to Part 748; Authorities Administering Import Certificate/ Delivery Verification (IC/DV) and End Use Certificate Systems in Foreign Countries  
Supplement No. 5 to Part 748; U.S. Import Certificate and Delivery Verification Procedure  
Supplement No. 6 to Part 748; Authorities issuing Import Certificates under the Firearms Convention

**Part 750 Application Processing, Issuance, and Denial**

750.1 Scope  
750.2 Processing of Classification Requests and Advisory Opinions  
750.3 Review of license applications by BIS and other government agencies and departments  
750.4 Procedures for processing license applications  
750.5 Status on pending applications and other requests  
750.6 Denial of license applications  
750.7 Issuance of licenses  
750.8 Revocation or suspension of licenses  
750.9 Duplicate licenses  
750.10 Transfer of licenses for exports  
750.11 Shipping tolerances

**Part 752 Special Comprehensive License**

752.1 Scope  
752.2 Eligible activities  
752.3 Eligible items  
752.4 Eligible countries  
752.5 Steps you must follow to apply for an SCL  
752.6 Reexports  
752.7 Direct shipment to customers from the SCL holder  
752.8 SCL application review process  
752.9 Action on SCL applications  
752.10 Changes to the SCL  
752.11 Internal Control Programs  
752.12 Recordkeeping requirements  
752.13 Inspection of records  
752.14 System reviews  
752.15 Export clearance  
752.16 Administrative actions  
752.17 BIS mailing addresses

Supplement No. 1 to Part 752; Instructions for Completing Form BIS-748P, Multipurpose Application, for Requests for Special Comprehensive Licenses

Supplement No. 2 to Part 752; Instructions for Completing Form BIS-748P-A, Item Appendix

Supplement No. 3 to Part 752; Instructions for Completing Form BIS-752, Statement by Consignee in Support of Special Comprehensive License

Supplement No. 4 to Part 752; Instructions for Completing Form BIS-752-A, Reexport Territories

Supplement No. 5 to Part 752; Instructions for Completing Form BIS-748P-B, End-User Appendix

**Part 754 Short Supply Controls**

754.1 Introduction  
754.2 Crude oil  
754.3 Petroleum products not including crude oil  
754.4 Unprocessed western red cedar  
754.5 Horses for export by sea  
754.6 Registration of U.S. agricultural commodities for exemption from short supply limitations on export

754.7	Petitions for the imposition of monitoring or controls on recyclable metallic materials; Public hearings
	Supplement No. 1 to Part 754; Petroleum and Petroleum Products
	Supplement No. 2 to Part 754; Unprocessed Western Red Cedar
	Supplement No. 3 to Part 754; Statutory Provisions Dealing with Exports of Crude Oil
<b>Part 756</b>	<b>Appeals</b>
756.1	Introduction
756.2	Appeal from an administrative action
<b>Part 758</b>	<b>Export Clearance Requirements</b>
758.1	The Shipper's Export Declaration (SED) or Automated Export System (AES) record
758.2	Automated Export System (AES)
758.3	Responsibilities of parties to the transaction
758.4	Use of export license
758.5	Conformity of documents and unloading of items
758.6	Destination control statements
758.7	Authority of the Office of Export Enforcement, the Bureau of Industry and Security, Customs offices and Postmasters in clearing shipments
758.8	Return or unloading of cargo at direction of BIS the Office of Export Enforcement or Customs Service
758.9	Other applicable laws and regulations
<b>Part 760</b>	<b>Restrictive Trade Practices or Boycotts</b>
760.1	Definitions
760.2	Prohibitions
760.3	Exception to prohibitions
760.4	Evasion
760.5	Reporting requirements
	Supplement Nos. 1-16; Interpretations
<b>Part 762</b>	<b>Recordkeeping</b>
762.1	Scope
762.2	Records to be retained
762.3	Records exempt from recordkeeping requirements
762.4	Original records required
762.5	Reproduction of original records
762.6	Period of retention
762.7	Producing and inspecting records
<b>Part 764</b>	<b>Enforcement and Protective Measures</b>
764.1	Introduction
764.2	Violations
764.3	Sanctions
764.4	Reporting of violations
764.5	Voluntary self-disclosure
764.6	Protective administrative measures
	Supplement No. 1 to Part 764; Standard Terms of Orders Denying Export Privileges
	Supplement No. 2 to Part 764; Denied Persons List
	Supplement No. 3 to Part 764; Specially Designated nationals and Blocked Persons
<b>Part 766</b>	<b>Administrative Enforcement Proceedings</b>
766.1	Scope

766.2	Definitions
766.3	Institution of administrative enforcement proceedings
766.4	Representation
766.5	Filing and service of papers other than charging letter
766.6	Answer and demand for hearing
766.7	Default
766.8	Summary decision
766.9	Discovery
766.10	Subpoenas
766.11	Matter protected against disclosure
766.12	Prehearing conference
766.13	Hearings
766.14	Interlocutory review of rulings
766.15	Proceeding without a hearing
766.16	Procedural stipulations; extension of time
766.17	Decision of the administrative law judge
766.18	Settlement
766.19	Reopening
766.20	Record for decision and availability of documents
766.21	Appeals
766.22	Review by Under Secretary
766.23	Related persons
766.24	Temporary denials
766.25	Administrative action denying export privileges
<b>Part 768</b>	<b>Foreign Availability Determination Procedures and Criteria</b>
768.1	Introduction
768.2	Foreign availability described
768.3	Foreign availability assessment
768.4	Initiation of an assessment
768.5	Contents of foreign availability submissions and Technical Advisory Committee certifications
768.6	Criteria
768.7	Procedures
768.8	Eligibility of expedited licensing procedures for non-controlled countries
768.9	Appeals of negative foreign availability determinations
768.10	Removal of controls on less sophisticated items
	Supplement No. 1 to Part 768; Evidence of Foreign Availability
	Supplement No. 2 to Part 768; Items Eligible for Expedited Licensing Procedures
<b>Part 770</b>	<b>Interpretations</b>
770.1	Introduction
770.2	Commodity interpretations
770.3	Interpretations related to exports of technology and software to destinations in Country Group D:1
<b>Part 772</b>	<b>Definitions of Terms</b>
<b>Part 774</b>	<b>The Commerce Control List</b>
774.1	Introduction
774.2	[RESERVED]
	Supplement No. 1 to Part 774; The Commerce Control List Category 0 - Nuclear Materials, Facilities & Equipment (and Miscellaneous Items)

- Category 1 - Materials, Chemicals, Microorganisms, and Toxins
- Category 2 - Materials Processing
- Category 3 - Electronics Design, Development and Production
- Category 4 - Computers
- Category 5 (Part 1) - Telecommunications
- Category 5 (Part 2) - Information Security
- Category 6 - Sensors and Lasers
- Category 7 - Navigation and Avionics
- Category 8 - Marine
- Category 9 - Propulsion Systems, Space Vehicles and Related Equipment
- Supplement No. 2 to Part 774; General Technology and Software Notes
- Supplement No. 3 to Part 774; Statements of Understanding

**Forms Supplement**

- Form BIS-621P, Report of Request for Restrictive Trade Practice or Boycott Single Transaction
- Form BIS-645P/ATF-4522/DSP-53, International Import Certificate
- Form BIS-647P, Delivery Verification Certificate
- Form BIS-648P, Notification of Delivery Verification Requirement
- Form BIS-648P, Instructions for Fulfilling DV Requirement
- Form BIS-711, Statement by Ultimate consignee and Purchaser
- Form BIS-748P, Multipurpose Application Form
- Form BIS-748P, Instructions for filling out the Multipurpose Application Form page 1
- Form BIS-748P, Instructions for filling out the Multipurpose Application Form page 2
- Export License (sample)
- Form BIS-748P-A, Item Appendix
- Form BIS-748P-B, End-User Appendix
- Form BIS-752, Statement by Consignee in Support of Special Comprehensive License
- Form BIS-752-A, Reexport Territories
- Form BIS-6051P, Report of Request for Restrictive Trade Practice or Boycott Multiple Transactions
- Form BIS-6051P, Continuation sheet for: Report of Request for Restrictive Trade Practice  
or Boycott Multiple Transactions
- ENG Form 7513, Shipper's Export Declaration for In-Transit Goods
- Form 7525-V, Shipper's Export Declaration
- Form 7525-V, Continuation form for: Shipper's Export Declaration
- Power-of-Attorney Forms