

Individuals Interviewed by Joint Committee Staff During Investigation*

| <u>Name</u> | <u>Position**</u> | <u>Date of Interview</u> |
|-------------------------------|---|--|
| Armsworth, Sheila DeVore | Vice President, Human Resources, Enron North America until December 2001 | November 7, 2002 (telephone) |
| Apke, Beth A. | Senior Director, Enron Energy Services | August 6, 2002 (Houston) |
| Bazelides, Phillip J. | Vice President, Human Resources, Azurix until March 2001 | September 24, 2002 (Houston) |
| Bell, Joyce Ann | Director of Compensation & Benefits, PGE | September 6, 2002 (telephone) |
| Butcher, Sharon Angel | Assistant General Counsel, Enron | August 6, 2002 (Houston) |
| Butler, Pam | Senior Director of Compensation, Enron | August 6, 2002 (Houston); November 11, 2002 (telephone) |
| Carter, Rebecca | Senior Vice President for Board Communications & Corporate Secretary until August 2001 | September 10, 2002 (Houston) |
| Coats, Edward Raymond | Vice President, Tax Department | August 7, 2002 (Houston) |
| Courtney, John Calvin | Attorney for Administrative Committee of the qualified plans | November 6, 2002 (telephone) |
| Douglas, Stephen Holme | Director of Taxes, Enron North America until February 2002 | September 23, 2002 (Houston) |
| Duke, Cullen Andrew | Vice President, International Tax | August 8, 2002 (Houston) |
| Duncan, John House | Board of Directors, until March 2002; Executive & Compensation Committees, Enron until March 2002 | October 25, 2002 (telephone) |
| Ginty, James Andrew | Vice President, Tax Planning Group | August 8, 2002 (Houston) |
| Goodrow, Alicia Lynn Lockheed | Senior Director, Tax Planning Group | September 23, 2002 (Houston) |
| Gulyassy, William | Vice President, Enron Energy Services until July 2001 | November 7, 2002 (telephone) |

| <u>Name</u> | <u>Position**</u> | <u>Date of Interview</u> |
|-------------------------------|---|--|
| Harris, James W. | Vice President, Enron Energy Services until December 2001 | August 8, 2002 (Houston) |
| Hayslett, Roderick J. | Chief Financial Officer, Enron Transportation Services | August 7, 2002 (Houston) |
| Hermann, Robert J. | Managing Director and General Tax Counsel, Tax Department, Enron until May 2002 | July 16, 2002 (DC); December 12, 2002 (telephone) |
| Hogan, Janice | Secretary, Enron | August 5, 2002 (Houston) |
| Hosea, Robin D. | Senior Benefits Specialist until May 2001 | September 20, 2002 (telephone) |
| Jones, Robert W. | Managing Director, Human Resources | August 6, 2002 (Houston) |
| Joyce, Mary Frances Elizabeth | Vice President until June 2002 | September 9, 2002 (Houston); November 20, 2002 (telephone) |
| LaMaistre, Charles Aubrey | Board of Directors; Chair, Compensation Committee, Enron until March 2002 | September 24, 2002 (Houston) |
| Lay, Kenneth L. | Chairman of the Board and Chief Executive Officer until January 2002 | Did not submit to interview but counsel Piper Rudnic submitted written response to questions |
| Lindsey, Mark | Vice President, Corporate Accounting and Planning, Enron | January 23, 2003 (telephone) |
| Livingston, Tina | Senior Director, Tax Department, Enron until February 2001 | November 12, 2002 (telephone) |
| Mackin, Frank D. Jr. ("Pat") | Attorney - Enron Outside ERISA Counsel | November 8, 2002 (telephone) |
| Maxey, Robert Davis | Vice President, Tax Planning until January 2002 | August 6, 2002 (Houston); September 24, 2002 (Houston) |
| Mintz, Jordan Howard | Managing Director, Tax Department until 2003 | September 24, 2002 (Houston) |
| Newgard, James R. | Manager, Finance & Treasury Groups | August 8, 2002 (Houston) |
| Olson, Cynthia Kay | Executive Vice President, Human Resources until July 2002 | August 7, 2002 (Houston) |

| <u>Name</u> | <u>Position**</u> | <u>Date of Interview</u> |
|---------------------------|--|--------------------------------|
| Prentice, James S. | Senior Vice President, EOTT, transferred to Enron 2002; current title unknown | September 20, 2002 (telephone) |
| Rahaim, Christian D. | Senior Director of Benefits, Enron | August 5, 2002 (Houston) |
| Rath, Mikie | Benefits Manager, Enron until March 2002 | August 8, 2002 (Houston) |
| Rice, Greek Lent | General Manager, Tax Department | September 23, 2002 (Houston) |
| Sandt, James M. | Tax Compliance, Enron | October 15, 2002 (telephone) |
| Savage, Frank | Board of Directors, served on Compensation and Finance Committees until April 2002 | November 14, 2002 (telephone) |
| Sayers, Rod | Director, Tax Department, Enron until February 2002 | November 15, 2002 (telephone) |
| Skilling, Jeffrey K. | Chief Executive Officer, Enron until August 2001 | November 13, 2002 (DC) |
| Tiller, Ann Marie | Senior Director until July 2001 | December 20, 2002 (telephone) |
| Whalley, Lawrence Gregory | President, Enron Corp. until February 2002 | September 9, 2002 (Houston) |
| White, Leesa M. | Senior Director, Tax Administration, Enron | August 7, 2002 (Houston) |
| Williams, David Clair | Senior Director, Head of Structured Transactions Group | September 23, 2002 (Houston) |

*The Joint Committee staff was unable to interview the following individuals despite repeated attempts: Cynthia Barrow, Rick Buy, Ben Glisan, and Richard Causey.

**Position for Enron employees generally is last known position held. Some of the individuals who are listed as Enron employees as of the date of the Joint Committee staff interview may subsequently have left the company. In addition, employees may have changed positions in the company since the time of the Joint Committee staff interview.

**Glossary of Terms Relevant to the
Joint Committee on Taxation Staff Investigation**

- ACES:** automatic common exchange securities, a financial instrument marketed by Goldman Sachs & Co.
- ACTS:** adjustable-rate trust securities
- Administrative Committee:** refers collectively to the Administrative Committees established under the Enron Corp. Retirement Plan/Cash Balance Plan, the Enron Corp. ESOP, and the Enron Corp. Savings Plan
- AEP:** American Electric Power
- Akin Gump:** Akin Gump Strauss Hauer & Feld, L.L.P., a Dallas/Washington, DC based international law firm
- Annual Incentive Plan:** Enron Corp. Annual Incentive Plan
- Apache:** financing arrangement in which Enron borrowed funds from third-party foreign lenders, through an Enron controlled foreign corporation
- APB:** Accounting Principles Board
- Arthur Andersen:** Arthur Andersen LLP, a U.S. based international accounting firm and Enron's auditor
- Avici:** Avici Systems Inc.
- Azurix:** Azurix Corp., an Enron affiliate involved in Enron's water business after Enron's 1998 acquisition of Wessex Water Plc
- Bammel Assets:** underground natural gas storage reservoir and various assets that were the subject of Condor
- Bankers Trust:** Bankers Trust Company, a New York banking corporation, acquired by Deutsche Bank in 1999
- Bankruptcy Court:** in the case of Enron's voluntary bankruptcy petition, the United States Bankruptcy Court, Southern District of New York
- Barclays or Barclays Bank:** Barclays Bank PLC, an international banking firm
- Big River:** Big River Funding LLC
- Blue Heron:** Blue Heron I, LLC
- Board or Board of Directors:** Board of Directors of Enron Corp.