OTHER INDEPENDENT AGENCIES

ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

Federal Funds

General and special funds:

[SALARIES AND EXPENSES]

[For necessary expenses of the Administrative Conference of the United States, established under subchapter V of chapter 5 of title 5, United States Code, $600,000: *Provided*, That these funds shall only be available for the purposes of the prompt and orderly termination of the Administrative Conference of the United States by February 1, 1996.] *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–1700–0–1–751	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	1	.....
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	1	.....
23.95 New obligations	–2	–1	.....
New budget authority (gross), detail:			
40.00 Appropriation	2	1	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1
73.10 New obligations	2	1	.....
73.20 Total outlays (gross)	–2	–2	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	.....
86.93 Outlays from current balances	1	1	.....
87.00 Total outlays (gross)	2	2	.....
Net budget authority and outlays:			
89.00 Budget authority	2	1	.....
90.00 Outlays	2	1	.....

Pursuant to P.L. 104–52, the Administrative Conference of the United States was terminated and shut down.

Object Classification (in millions of dollars)

Identification code 95–1700–0–1–751	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	.....
99.5 Below reporting threshold	1	1	.....
99.9 Total obligations	2	1	.....

Personnel Summary

Identification code 95–1700–0–1–751	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	14	1	.....

ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

Federal Funds

General and special funds:

[SALARIES AND EXPENSES]

[For necessary expenses of the Advisory Commission on Intergovernmental Relations, $784,000, of which $334,000 is to carry out the provisions of Public Law 104–4, and of which $450,000 shall be available only for the purposes of the prompt and orderly termination of the Advisory Commission on Intergovernmental Relations.] *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 55–0100–0–1–808	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 11.1)	1	1	.....
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	.....
New budget authority (gross), detail:			
40.00 Appropriation	1	1	.....
Change in unpaid obligations:			
73.10 New obligations	1	1	.....
73.20 Total outlays (gross)	–1	–1	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	.....
87.00 Total outlays (gross)	1	1	.....
Net budget authority and outlays:			
89.00 Budget authority	1	1	.....
90.00 Outlays	1	1	.....

Pursuant to P.L. 104–52, the Advisory Commission on Intergovernmental Relations will shut down after transmitting a study on Federal mandates.

Personnel Summary

Identification code 55–0100–0–1–808	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	11	11	.....
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1	1	.....

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89–665, as amended), $2,500,000: Provided, That none of these funds shall be available for the compensation of Executive Level V or higher position.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in the budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 95–2300–0–1–303	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 New obligations	–3	–3	–3
New budget authority (gross), detail:			
40.00 Appropriation	3	3	3
Change in unpaid obligations:			
73.10 New obligations	3	3	3
73.20 Total outlays (gross)	–3	–3	–3
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	3	3
87.00 Total outlays (gross)	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The Council provides independent advice to the President and the Congress relating to the national historic preservation program.

Object Classification (in millions of dollars)

Identification code 95–2300–0–1–303	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	3	3	3

Personnel Summary

Identification code 95–2300–0–1–303	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	38	34	34

AMERICAN BATTLE MONUMENTS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one for replacement only) and hire of passenger motor vehicles; and insurance of official motor vehicles in foreign countries, when required by law of such countries; $20,400,000 to remain available until expended: Provided, That where station allowance has been authorized by the Department of Army for officers of the Army serving the Army at certain foreign stations, the same allowance shall be authorized for officers of the Armed Forces assigned to the Commission while serving at the same foreign stations, and this appropriation is hereby made available for the payment of such allowance: Provided further, That when traveling on business of the Commission, officers of the Armed Forces serving as members or as Secretary of the Commission may be reimbursed for expenses as provided for civilian members of the Commission: Provided further, That the Commission shall reimburse other Government agencies, including the Armed Forces, for salary, pay, and allowances of personnel assigned to it.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 74–0100–0–1–705	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administration and U.S. memorials	2	2	2
00.02 European memorials and cemeteries	16	14	14
00.03 Mediterranean memorials and cemeteries	2	3	3
00.04 Asian memorials and cemeteries	1	1	1
10.00 Total obligations	21	20	20
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	21	20	20
23.95 New obligations	–21	–20	–20
New budget authority (gross), detail:			
40.00 Appropriation	20	20	20
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	3	4
73.10 New obligations	21	20	20
73.20 Total outlays (gross)	–21	–20	–21
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	3	4	2
Outlays (gross), detail:			
86.90 Outlays from new current authority	18	17	18
86.93 Outlays from current balances	3	3	3
87.00 Total outlays (gross)	21	20	21
Net budget authority and outlays:			
89.00 Budget authority	21	20	20
90.00 Outlays	21	20	21

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since April 6, 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and for the design, construction, and maintenance of permanent military cemetery memorials in foreign countries.

Object Classification (in millions of dollars)

Identification code 74–0100–0–1–705	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	11	10	10
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	12	11	11
12.1 Civilian personnel benefits	4	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
99.5 Below reporting threshold	2	2	2
99.9 Total obligations	21	20	20

Personnel Summary

Identification code 74–0100–0–1–705	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	371	371	367
1005 Full-time equivalent of overtime and holiday hours	4	4	4

FOREIGN CURRENCY FLUCTUATIONS

Program and Financing (in millions of dollars)

Identification code 74–0101–0–1–705	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	1	.....
22.00 New budget authority (gross)	.....	.....	.....
23.90 Total budgetary resources available for obligation	2	1	.....
24.40 Unobligated balance available, end of year: Uninvested balance	1	.....	.....
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
73.10 New obligations	.....	.....	.....
Outlays (gross), detail:			
87.00 Total outlays (gross)	.....	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	.....	.....	.....

Trust Funds

CONTRIBUTIONS

Unavailable Collections (in millions of dollars)

Identification code 74–8569–0–7–705	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.01 Contributions, American Battle Monuments Commission	5	11	18
Appropriation:			
05.01 Contributions	–5	–11	–18
07.99 Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 74–8569–0–7–705	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.03 Korean War memorial	9	.....	.....
00.04 World War II memorial	1	3	10
10.00 Total obligations	10	3	10
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	1	.....	.....
21.41 U.S. Securities: Par value	9	5	13
21.99 Total unobligated balance, start of year	10	5	13
22.00 New budget authority (gross)	5	11	18
23.90 Total budgetary resources available for obligation	15	16	31
23.95 New obligations	–10	–3	–10
Unobligated balance available, end of year:			
24.40 Uninvested balance	.....	.....	1
24.41 U.S. Securities: Par value	5	13	20
24.99 Total unobligated balance, end of year	5	13	21
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	5	11	18
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	.....	.....
73.10 New obligations	10	3	10
73.20 Total outlays (gross)	–10	–3	–10
Outlays (gross), detail:			
86.98 Outlays from permanent balances	10	5	10
87.00 Total outlays (gross)	10	3	10
Net budget authority and outlays:			
89.00 Budget authority	5	11	18
90.00 Outlays	10	3	10

Purchase of flowers.—Private citizens contribute funds for the purchase of flowers to decorate graves and tablets of the missing at the cemeteries and memorials administered by the Commission.

Repair of non-Federal war memorials.—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

World War II Memorial.—Public Law 103–32 authorized the American Battle Monuments Commission to collect private contributions to fund construction of a memorial in the District of Columbia to honor members of the Armed Forces of the United States who served in World War II.

Object Classification (in millions of dollars)

Identification code 74–8569–0–7–705	1995 actual	1996 est.	1997 est.
25.2 Other services	5	1	1
26.0 Supplies and materials	.....	.....	7
32.0 Land and structures	5	2	2
99.9 Total obligations	10	3	10

APPALACHIAN REGIONAL COMMISSION

Federal Funds

General and special funds:

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding section 405 of said Act, and for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian Regional Commission and for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by section 3109 of title 5, United States Code, and hire of passenger motor vehicles, to remain available until expended, $170,000,000 *(Energy and Water Development Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 46–0200–0–1–452	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
Appalachian regional development programs:			
01.01 Appalachian development highway system	137	200	83
01.02 Area development program	102	93	78
01.03 Local development district and technical assistance program	5	7	5
01.91 Total Appalachian regional development programs	244	300	166
Salaries and expenses:			
02.01 Federal Co-chairman and staff	1	1	1
02.02 Administrative expenses	2	2	2
02.91 Total salaries and expenses	3	3	3
10.00 Total obligations	247	303	169
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	99	130	.....
22.00 New budget authority (gross)	272	170	170
22.10 Resources available from recoveries of prior year obligations	6	4	.....
23.90 Total budgetary resources available for obligation	377	304	170
23.95 New obligations	–247	–303	–169

General and special funds—Continued

APPALACHIAN REGIONAL COMMISSION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 46–0200–0–1–452	1995 actual	1996 est.	1997 est.
24.40 Unobligated balance available, end of year: Uninvested balance	130	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	272	170	170
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	371	423	547
73.10 New obligations	247	303	169
73.20 Total outlays (gross)	–188	–176	–198
73.45 Adjustments in unexpired accounts	–6	–4	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	423	547	519
Outlays (gross), detail:			
86.90 Outlays from new current authority	23	14	14
86.93 Outlays from current balances	165	162	184
87.00 Total outlays (gross)	188	176	198
Net budget authority and outlays:			
89.00 Budget authority	272	170	170
90.00 Outlays	188	176	198

This appropriation establishes a framework for joint Federal and State efforts to create opportunities for self-sustaining economic development and improved quality of life for the people of Appalachia. Program investments are made in the Appalachian Region for wide-ranging assistance including development highways and other physical infrastructure, business development, and human development. The States, acting through the Appalachian Regional Commission, are responsible for recommending local and State projects within their borders for assistance under this program. Special targeting to distressed counties is a part of the State allocation formula.

1. *Appalachian development highway system.*—The Appalachian development highway system, including local access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. The budget for 1997 provides $83 million for highway construction.

The cumulative status of the system of roads, including mileage prefinanced by the States, follows:

	1995 actual	*1996 est.*	*1997 est.*
Development systems miles (Prefinanced miles included) (cumulative):			
Miles contracted	2,298	2,320	2,330
Miles completed	2,178	2,200	2,220
Access Roads (cumulative):			
Miles contracted	911	914	920
Miles completed	891	895	900
Funds committed (cumulative-in millions of dollars):			
Development highway	3,986	4,091	4,171
Access roads	218	220	222
Administration and other	39	41	42
Totals	4,243	4,352	4,435
Prefinanced by States	226	258	240
Annual obligations ($ millions)	137	200	83

2. *Area development program.*—Area development funds are provided to each of the Appalachian States by allocation. This funding is used to help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed and underdeveloped counties. In 1996, the Commission allocated 30% of area development funding specifically to these 115 severely distressed counties in addition to the overall State allocations.

Area development emphasis is placed on three sets of complementary activities: physical development, human development, and business development. Area development also encompasses the Commission's regional initiatives, selected to help accelerate the Region's economic development. The 1996 regional initiatives include (1) internationalization of the economy and its implications for Appalachia; (2) telecommunications; and (3) local leadership and civic development. Funding for these initiatives is expected to continue at a minimum total of $6 million in 1997. The Federal Co-Chairman is leading the Commission in developing a fourth regional initiative in "building entrepreneurial communities" and will seek additional resources from the area development allocation for this purpose. The Commission completed a comprehensive strategic planning effort in 1996, which will guide 1997 and future programs and project submissions. The budget for 1997 provides $78 million for area development.

Funding levels for physical development, human development, and business development activities are determined by the project submissions in the Appalachian Governors' State Development Plans, which are approved annually at a meeting of the Federal Co-Chairman and a quorum of Governors. The projects included are based on the Commission's strategic plan and the Governors' strategies to accomplish the Commission's mission. The following types of activities are expected in 1997:

Physical Development activities provide for a wide array of community-based projects, including basic infrastructure (e.g., water and sewer, site development), State and local government assistance, community improvement, and housing development. The goal is to develop the physical infrastructure necessary for self-sustaining local economies. Public works projects must either directly relate to job creation or retention, or be key to the implementation of an adopted State or local strategic plan. Exceptions are made for basic services in designated distressed counties.

Human Development activities provide Appalachian residents with the skills and knowledge necessary to compete in the world economy, and with access to affordable, quality health care. Projects include increased use of telemedicine, improving quality of and access to health care, development of citizen leadership potential, youth leadership and training, strengthening the Region's education system—particularly in entrepreneurship, math, science, and access to technology, and telecommunications/distance learning. Upgrading the skills of the workforce is critical to fostering long-term economic growth in the Region. Increases in the health and education level of the workforce and in the advancement of technology, which is achieved through education, can be directly correlated to gains in national income.

Business development activities provide Appalachian residents with access to financial and technical resources to help build dynamic and self-sustaining local economies. Projects can help communities develop strategies to build sustainable local economies, increase Appalachian business cooperation and collaboration, encourage the use of new processes and technologies, upgrade the skills of workers and management, encourage increased exporting among small and medium-sized businesses, and support local planning efforts to enhance tourism development.

The approximate project workload follows:

	1995 actual	*1996 est.*	*1997 est.*
Area development projects	409	350	400

3. *Local development districts and technical assistance programs.*—The multi-county local development districts (LDDs) are the mechanism for ensuring that the local governments

in Appalachia plan and work together on a regional basis. They provide competent support staff to member governments to plan, initiate, and implement projects at the grassroots level. Technical assistance serves to strengthen the LDDs, their staff and operations, and their member units of government. The 1997 budget provides $4 million for the LDDs and $1 million for technical assistance, with the approximate approved workload as follows:

	1995 actual	*1996 est.*	*1997 est.*
Planning districts aided	69	69	69
Technical assistance projects	8	10	10

4. *Salaries and expenses.*—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of the Appalachian program with Federal agencies. Since 1989, the Office of the Federal Co-Chairman includes an Inspector General.

The Federal Government contributes 50 percent of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The staff members are not Federal employees but are employees of the jointly-supported Commission. The budget for 1997 provides $4 million for salaries and expenses.

Object Classification (in millions of dollars)

Identification code 46–0200–0–1–452	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	51	39	16
99.0 Subtotal, direct obligations	53	41	18
Allocation Account—Direct Obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
41.0 Grants, subsidies, and contributions	191	260	150
99.0 Subtotal, allocation account—direct obligations	193	262	152
99.5 Below reporting threshold	1	1	.....
99.9 Total obligations	247	303	169
Obligations are distributed as follows:			
Appalachian Regional Commission	54	53	50
Department of Agriculture	26	16	10
Department of Commerce	11	16	8
Department of Defense	0	0	0
Department of Education	4	6	5
Department of Energy	0	0	0
Department of Health and Human Services	1	1	1
Department of Housing and Urban Development	9	16	9
Department of Interior	0	0	0
Department of Transportation	137	175	83
Environmental Protection Agency	1	2	1
Tennessee Valley Authority	4	15	3

Personnel Summary

Identification code 46–0200–0–1–452	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	8	11	11

Trust Funds

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 46–9971–0–7–452	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.01 General fund contributions, Appalachian Regional Commission	2	2	2
02.02 Fees for services, Appalachian Regional Commission	2	2	2
02.99 Total receipts	5	5	5
Appropriation:			
05.01 Miscellaneous trust funds	–5	–5	–5
07.99 Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 46–9971–0–7–452	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	6	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1	1	1
22.00 New budget authority (gross)	5	5	5
23.90 Total budgetary resources available for obligation	6	6	6
23.95 New obligations	–6	–5	–5
24.40 Unobligated balance available, end of year: Uninvested balance	1	1	1
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	5	5	5
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1
73.10 New obligations	6	5	5
73.20 Total outlays (gross)	–5	–5	–5
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	3	4	4
86.98 Outlays from permanent balances	2	2	1
87.00 Total outlays (gross)	5	5	5
Net budget authority and outlays:			
89.00 Budget authority	5	5	5
90.00 Outlays	5	5	5

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

Object Classification (in millions of dollars)

Identification code 46–9971–0–7–452	1995 actual	1996 est.	1997 est.
11.8 Personnel compensation: Special personal services payments	3	3	3
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others	2	1	1
99.9 Total obligations	6	5	5

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, [$3,500,000] *$3,540,000*: *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. *(Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–3200–0–1–751	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	3	4	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	4	4

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–3200–0–1–751	1995 actual	1996 est.	1997 est.
23.95 New obligations	–3	–4	–4
New budget authority (gross), detail:			
40.00 Appropriation	3	4	4
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	.....	.....
73.10 New obligations	3	4	4
73.20 Total outlays (gross)	–3	–4	–4
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	4	4
87.00 Total outlays (gross)	3	4	4
Net budget authority and outlays:			
89.00 Budget authority	3	4	4
90.00 Outlays	3	4	4

The Architectural and Transportation Barriers Compliance Board was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Its primary role is to carry out a compliance program to ensure accessibility and usability of most Federal and federally funded buildings by people with disabilities. In 1995, the Board will continue to process, investigate, and resolve complaints of noncompliance. Emphasis is on voluntary, amicable resolution of access issues.

The Board also develops Federal minimum accessibility guidelines and requirements for the standards under the Architectural Barriers Act, and provides technical assistance to public and private organizations affected by Federal accessibility regulations.

The Americans With Disabilities Act (P.L. 101–336) expanded the Board's responsibilities to include (1) developing accessibility guidelines for transportation vehicles and facilities, public accommodations, outdoor recreation, and communications; (2) implementing technical assistance programs in the areas of transportation and public accommodations; (3) developing and publishing technical assistance manuals for entities covered under Titles II and III of the Act; and (4) assisting the Department of Justice in certifying State and local building codes to be used to comply with the ADA.

With the enactment of the Telecommunications Act of 1996 (P.L. 104–104), the Access Board has been given additional guideline development responsibilities. The new law requires the Access Board to develop accessibility guidelines for telecommunications equipment and customer premises equipment within 18 months in conjunction with the Federal Communications Commission. The Board is also required to review and update the guidelines periodically.

Object Classification (in millions of dollars)

Identification code 95–3200–0–1–751	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1	2	2
23.3 Communications, utilities, and miscellaneous charges	1	.....	.....
25.1 Advisory and assistance services	1	1	.....
99.5 Below reporting threshold	.....	1	2
99.9 Total obligations	3	4	4

Personnel Summary

Identification code 95–3200–0–1–751	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	30	36	36

ARMS CONTROL AND DISARMAMENT AGENCY

Federal Funds

General and special funds:

ARMS CONTROL AND DISARMAMENT ACTIVITIES

For necessary expenses not otherwise provided, for arms control, nonproliferation, and disarmament activities, $48,455,000, of which not to exceed $100,000 shall be for official reception and representation expenses as authorized by the Act of September 26, 1961, as amended (22 U.S.C. 2551 et seq.).

Note.—A regular 1996 appropriation for this account has not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 94–0100–0–1–153	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Program operation	49	36	47
00.02 External research	1	.....	1
10.00 Total obligations	50	36	48
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	50	36	48
23.95 New obligations	–50	–36	–48
New budget authority (gross), detail:			
40.00 Appropriation	50	36	48
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	19	25	18
73.10 New obligations	50	36	48
73.20 Total outlays (gross)	–44	–43	–45
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	25	18	21
Outlays (gross), detail:			
86.90 Outlays from new current authority	34	27	36
86.93 Outlays from current balances	10	16	9
87.00 Total outlays (gross)	44	43	45
Net budget authority and outlays:			
89.00 Budget authority	50	36	48
90.00 Outlays	44	43	45

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	50	36	48
Outlays	44	43	45
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	10	.....
Outlays	.....	8	2
Total:			
Budget Authority	50	46	48
Outlays	44	51	47

The Arms Control and Disarmament Agency (ACDA) advises the President and the Secretary of State on arms control, nonproliferation, and disarmament activities and participates in negotiations with other countries seeking international agreements to control, reduce, or eliminate arms. Among the activities to which ACDA resources will be devoted are: the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations; research on arms control; verification and compliance; arms transfer reviews; and the preparation of reports on arms control matters.

In addition, the 1997 budget includes funds for activities of the preparatory commission of the Comprehensive Test Ban Treaty and for the U.S. share of costs of the Biological Weapons Convention review conference.

Object Classification (in millions of dollars)

Identification code 94–0100–0–1–153	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	13	14
11.3 Other than full-time permanent	1	1	1
11.8 Special personal services payments	6	4	7
11.9 Total personnel compensation	19	18	22
12.1 Civilian personnel benefits	3	3	4
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	2	2	2
25.2 Other services	18	7	9
31.0 Equipment	2		1
41.0 Grants, subsidies, and contributions			4
99.0 Subtotal, direct obligations	47	33	45
Allocation Account—Direct Obligations:			
11.8 Personnel compensation: Special personal services payments	1	1	1
25.2 Other services	2	2	2
99.0 Subtotal, allocation account—direct obligations	3	3	3
99.9 Total obligations	50	36	48

Personnel Summary

Identification code 94–0100–0–1–153	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	231	220	255
1005 Full-time equivalent of overtime and holiday hours	4	4	4

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION FUND

Unavailable Collections (in millions of dollars)

Identification code 95–8281–0–7–502	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Interest on investments, Barry Goldwater Scholarship and Excellence in Education Foundation	4	4	4
Appropriation:			
05.01 Barry Goldwater Scholarship and Excellence in Education Foundation	–4	–4	–4
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–8281–0–7–502	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Scholarhips	2	2	2
00.02 Administration	1	1	1
10.00 Total obligations	3	3	3
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	55	57	58
22.00 New budget authority (gross)	4	4	4
23.90 Total budgetary resources available for obligation	59	61	62
23.95 New obligations	–3	–3	–3
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	57	58	59
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	4	4	4
Change in unpaid obligations:			
73.10 New obligations	3	3	3
73.20 Total outlays (gross)	–3	–3	–3
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	3	3	3
87.00 Total outlays (gross)	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	4	4	4
90.00 Outlays	3	3	3

Public Law 99–661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers the costs of operating the program.

Object Classification (in millions of dollars)

Identification code 95–8281–0–7–502	1995 actual	1996 est.	1997 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	3	3	3

Personnel Summary

Identification code 95–8281–0–7–502	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

BOARD FOR INTERNATIONAL BROADCASTING

Federal Funds

General and special funds:

GRANTS AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 95–1145–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administrative expenses	2		
00.02 Grants for private broadcasting activities, RFE/RL	232		
10.00 Total obligations	234		
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	4		
22.00 New budget authority (gross)	230		
23.90 Total budgetary resources available for obligation	234		
23.95 New obligations	–234		
New budget authority (gross), detail:			
42.00 Transferred from other accounts	230		
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	6		
73.10 New obligations	234		
73.20 Total outlays (gross)	–239		
Outlays (gross), detail:			
86.90 Outlays from new current authority	229		
86.93 Outlays from current balances	10		
87.00 Total outlays (gross)	239		
Net budget authority and outlays:			
89.00 Budget authority	230		

General and special funds—Continued

GRANTS AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–1145–0–1–154	1995 actual	1996 est.	1997 est.
90.00 Outlays	239		

The former Board for International Broadcasting was established in 1973 to provide grants and oversight to Radio Free Europe/Radio Liberty (RFE/RL). RFE/RL broadcasts to Eastern Europe and the former Soviet Union. Pursuant to the International Broadcasting Act of 1994 (the Act), the Board for International Broadcasting Act of 1973 (P.L. 93–129) was repealed on August 11, 1995 when the members of the new Board of Broadcasting Governors (established by the 1994 Act) were confirmed. The BIB was also dissolved on that date, with its responsibilities and duties being transferred to the new Broadcasting Board of Governors (BBG).

In 1995, USIA transferred funds from the International Broadcasting Operations account to the former BIB for the RFE/RL grant. In 1996 and 1997, the BBG will make the grants to RFE/RL directly from the International Broadcasting Operations account.

Object Classification (in millions of dollars)

Identification code 95–1145–0–1–154	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1		
41.0 Grants, subsidies, and contributions	232		
99.5 Below reporting threshold	1		
99.9 Total obligations	234		

Personnel Summary

Identification code 95–1145–0–1–154	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	11		

ISRAEL RELAY STATION

Program and Financing (in millions of dollars)

Identification code 95–1146–0–1–154	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2		
22.00 New budget authority (gross)	–2		
23.90 Total budgetary resources available for obligation			
23.95 New obligations			
New budget authority (gross), detail:			
40.00 Appropriation	–2		
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1		
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority	–2		
90.00 Outlays			

In the past, this account provided funds for the construction of a new radio relay station in Israel. The Administration has canceled this project.

CENTRAL INTELLIGENCE AGENCY

Federal Funds

General and special funds:

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System; 【$213,900,000】 *$196,400,000. (Department of Defense Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 56–3400–0–1–054	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	198	214	196
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	198	214	196
23.95 New obligations	–198	–214	–196
New budget authority (gross), detail:			
40.00 Appropriation	198	214	196
Change in unpaid obligations:			
73.10 New obligations	198	214	196
73.20 Total outlays (gross)	–198	–214	–196
Outlays (gross), detail:			
86.90 Outlays from new current authority	198	214	196
87.00 Total outlays (gross)	198	214	196
Net budget authority and outlays:			
89.00 Budget authority	198	214	196
90.00 Outlays	198	214	196

This appropriation provides for payment to the Fund: (a) for interest on the unfunded liability; (b) for the cost of annuity disbursements attributable to military service; (c) for the amount of normal costs not met by employee and employer contributions; and (d) for financing, in 30 equal installments, the unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The request for 1997 includes the twentieth installment for the unfunded liability created by the liberalized benefits authorized by Public Law 94–522, and the appropriate annual installments for salary increases authorized in prior years.

Object Classification (in millions of dollars)

Identification code 56–3400–0–1–054	1995 actual	1996 est.	1997 est.
12.1 Civilian personnel benefits	85	89	85
13.0 Benefits for former personnel	113	125	111
99.9 Total obligations	198	214	196

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Trust Funds

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Program and Financing (in millions of dollars)

Identification code 76–8187–0–7–502	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	8	8	8
22.00 New budget authority (gross)			
23.90 Total budgetary resources available for obligation	8	8	8

24.41 Unobligated balance available, end of year: U.S. Securities: Par value	8	8	8
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Public Law 102–281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from Christopher Columbus Quincentenary coins are placed in the Foundation's trust fund. Interest from the trust fund will be used to operate the Foundation's program.

The Foundation's Board of Trustees will implement portions of the Fellowship program in 1996.

Personnel Summary

Identification code 76–8187–0–7–502	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1	1

COMMISSION OF FINE ARTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary of the Commission of Fine Arts (40 U.S.C. 104), $867,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2600–0–1–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
New budget authority (gross), detail:			
40.00 Appropriation	1	1	1
Change in unpaid obligations:			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	–1	–1	–1
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	1
87.00 Total outlays (gross)	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Commission advises the President, Congress, and Department heads on matters of architecture, sculpture, painting, and other fine arts. The primary function is to preserve and enhance the appearance of the National Capital.

Personnel Summary

Identification code 95–2600–0–1–451	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	7	7	7

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99–190, as amended, $6,733,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2602–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	8	6	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	6	7
23.95 New obligations	–8	–6	–7
New budget authority (gross), detail:			
40.00 Appropriation	8	6	7
Change in unpaid obligations:			
73.10 New obligations	8	6	7
73.20 Total outlays (gross)	–8	–6	–7
Outlays (gross), detail:			
86.90 Outlays from new current authority	8	6	7
87.00 Total outlays (gross)	8	6	7
Net budget authority and outlays:			
89.00 Budget authority	8	6	7
90.00 Outlays	8	6	7

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

COMMISSION ON CIVIL RIGHTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, $9,300,000: Provided, That not to exceed $50,000 may be used to employ consultants: Provided further, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the Chairperson who is permitted 125 billable days.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–1900–0–1–751	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	9	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	9	9
23.95 New obligations	–9	–9	–9

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–1900–0–1–751	1995 actual	1996 est.	1997 est.
New budget authority (gross), detail:			
40.00 Appropriation	9	9	9
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	2
73.10 New obligations	9	9	9
73.20 Total outlays (gross)	–9	–9	–9
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	2	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	8	8	8
86.93 Outlays from current balances	1	1	2
87.00 Total outlays (gross)	9	9	9
Net budget authority and outlays:			
89.00 Budget authority	9	9	9
90.00 Outlays	8	9	9

The Commission plays a crucial role by identifying the underlying causes of discrimination in our country and recommending solutions. Factfinding, analysis, and recommendations are helpful to all citizens and political leaders as they evaluate the status of existing civil rights protections. The Administration pledges continued support for the Commission's vital role in the civil rights arena. It's our preference, therefore, that the Commission receive a FY 1997 appropriation of $11.4 million in budget authority. While the table above reflects a $9.3 million request in budget authority—the result of budgetary constraints on limited Federal resources—the Administration's preferred funding level is believed necessary to adequately support the Commission's work.

Proposed Level (in millions of dollars)

	FY 1995 estimate	FY 1996 request	FY 1996 cont. res.	FY 1997 proposed
Budget Authority	$9.0	$11.4	$8.75	$11.4
Outlays	$8.8	$11.1	$8.60	$11.1
Full-time equivalent employment	95	125	95	125

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises and reports on Federal agencies enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information to Government officials, organizations, and the public. This is accomplished through the issuance of Commission publications. In accordance with the 1994 legislation reauthorizing the Commission, the Commission issues public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

Object Classification (in millions of dollars)

Identification code 95–1900–0–1–751	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	4	5	5
11.3 Other than full-time permanent	1	.....	1
11.9 Total personnel compensation	5	5	6
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1	1	1
99.5 Below reporting threshold	1	1	.....
99.9 Total obligations	9	9	9

Personnel Summary

Identification code 95–1900–0–1–751	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	95	95	105

COMMISSION ON NATIONAL AND COMMUNITY SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 95–2150–0–1–808	1995 actual	1996 est.	1997 est.
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	46	18	.....
73.10 New obligations	.....	.....	.....
73.20 Total outlays (gross)	–28	.....	.....
73.40 Adjustments in expired accounts	.....	–18	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	18	.....	.....
Outlays (gross), detail:			
86.93 Outlays from current balances	28	.....	.....
87.00 Total outlays (gross)	28	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	28	.....	.....

The Commission has been merged into the Corporation for National and Community Service according to the provisions of The National and Community Service Trust Act of 1993 (P.L. 103–82). Funds to carry out the programs previously administered by the Commission under the National and Community Service Act of 1990, as amended, are reflected in the request of the Corporation for National and Community Service.

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by the Act of June 23, 1971, Public Law 92–28; $1,800,000. *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–2000–0–1–505	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 New obligations	–2	–2	–2
New budget authority (gross), detail:			
40.00 Appropriation	2	2	2
Change in unpaid obligations:			
73.10 New obligations	2	2	2
73.20 Total outlays (gross)	–2	–2	–2
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	2	2
87.00 Total outlays (gross)	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The Committee for Purchase From People Who Are Blind or Severely Disabled was established by the Wagner-O'Day Act of 1938, as amended. Its primary objective is to increase the employment opportunities for people who are blind or have other severe disabilities and, whenever possible, to prepare them to engage in competitive employment. In 1997, approximately 29,000 people who are blind or have other severe disabilities are projected to be employed in over 600 producing nonprofit agencies. The Committee's duties include promoting the program; determining which commodities and services are suitable for Government procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such commodities and services; determining the fair market price for commodities and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 1997 the Committee expects to have nearly 5,000 items on its Procurement List and sales of $695 million.

The Committee staff's responsibilities include promoting and assessing the overall program; supervising the selection and assignment of new commodities and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance.

Object Classification (in millions of dollars)

Identification code 95–2000–0–1–505	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	2	2	2

Personnel Summary

Identification code 95–2000–0–1–505	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	18	18	19

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

General and special funds:

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act, as amended (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed $25,000 for employment under 5 U.S.C. 3109; [$53,601,000] *$56,601,000,* including not to exceed $1,000 for official reception and representation expenses*: Provided,* That the Commission is authorized to charge reasonable fees to attendees of Commission sponsored educational events and symposia to cover the Commission's costs of providing those events and symposia, and notwithstanding 31 U.S.C. 3302, said fees shall be credited to this account, to be available without further appropriation. *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–1400–0–1–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Market surveillance, analysis, and research	11	11	12
00.02 Enforcement	19	22	24
00.03 Contract markets and registered futures associations, regulatory development and registration and audit and review	16	18	18
00.04 Proceedings	3	3	3
10.00 Total obligations	49	54	57
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	49	54	57
23.95 New obligations	–49	–54	–57
New budget authority (gross), detail:			
40.00 Appropriation	49	54	57
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	7	5	6
73.10 New obligations	49	54	57
73.20 Total outlays (gross)	–50	–53	–56
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	5	6	6
Outlays (gross), detail:			
86.90 Outlays from new current authority	45	48	51
86.93 Outlays from current balances	5	5	6
87.00 Total outlays (gross)	50	53	56
Net budget authority and outlays:			
89.00 Budget authority	49	54	57
90.00 Outlays	50	53	56

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

General and special funds—Continued

COMMODITY FUTURES TRADING COMMISSION—Continued

The Administration proposes additional resources above the fiscal year 1996 level for the Commission. These increases would enhance the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, such increases would provide the Commission with the enforcement and surveillance resources necessary to respond to the continued growth and use of complex trading and derivative instruments.

Market surveillance, analysis and research.—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

	1995 actual	*1996 est.*	*1997 est.*
Trader and broker reports analyzed (thousands)	904	964	1,319
Weekly surveillance sheets analyzed	2,890	3,000	3,200
Economic review of futures contract rule changes completed	63	64	65
Economic review of new futures contracts completed	14	16	19
Economic review of option rule changes completed	5	6	7
New options contract reviews completed	24	26	29

Enforcement.—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding customers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

	1995 actual	*1996 est.*	*1997 est.*
Investigations:			
Opened	83	90	95
Closed	88	90	95
Cases:			
Opened	55	50	60
Closed	49	49	55

Contract markets and registered futures associations, regulatory development and registration and audit and review program.—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates with other domestic and international regulators relative to cross border financial services affecting futures and options products.

	1995 actual	*1996 est.*	*1997 est.*
Oversight audits of futures commission merchants	25	20	25
Oversight audits of commodity pool operators	23	20	25
Contract market rule reviews	822	1,100	1,170
Contract market rule enforcement reviews completed	4	5	6
Trade practice investigations completed	85	95	105
Self-Regulatory Organization: Financial rule enforcement reviews	3	2	3

Proceedings.—The proceedings program provides a forum for resolution of customer complaints against persons or firms registered under the Commodity Exchange Act.

	1995 actual	*1996 est.*	*1997 est.*
Reparations:			
Received and docketed	155	225	275
Dismissed	19	30	40
Referred for hearing	158	175	200
Pending	92	122	147

Object Classification (in millions of dollars)

Identification code 95–1400–0–1–376	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	28	30	33
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	31	33	36
12.1 Civilian personnel benefits	6	7	8
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	6	6	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	1	4	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1		1
99.9 Total obligations	49	54	57

Personnel Summary

Identification code 95–1400–0–1–376	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	542	565	600
1005 Full-time equivalent of overtime and holiday hours	3	3	3

COMMUNITY EMPOWERMENT BOARD

Federal Funds

General and special funds:

LOCAL EMPOWERMENT FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 48–2600–2–1–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 11.1)			1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			1
New budget authority (gross), detail:			
40.00 Appropriation			1
Change in unpaid obligations:			
73.10 New obligations			1
73.20 Total outlays (gross)			–1
Outlays (gross), detail:			
86.90 Outlays from new current authority			1
87.00 Total outlays (gross)			1
Net budget authority and outlays:			
89.00 Budget authority			1
90.00 Outlays			1

The Administration proposes to provide limited funding for the Community Empowerment Board (CEB), if authorized under the proposed Local Empowerment and Flexibility Act. Under the proposed legislation, CEB activities would include review and approval of comprehensive plans submitted by state, local, or tribal governments, and other activities authorized to carry out the purposes of the Act.

The appropriation request of $1 million in 1997 for the Local Empowerment Fund will be used for CEB staff and related expenses. Additional staff support may be provided on a non-reimbursable basis from member agencies on the CEB.

Personnel Summary

Identification code 48–2600–2–1–451	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment			8

CONSUMER PRODUCT SAFETY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for GS–18, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed $500 for official reception and representation expenses, $42,500,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 61–0100–0–1–554	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Hazard identification and analysis	6	6	7
00.02 Hazard assessment and reduction	8	7	7
00.03 Compliance and enforcement	15	14	16
00.04 Consumer information	5	5	5
00.05 Agency management	8	8	8
00.06 Headquarters relocation fund	1		
00.91 Total direct program	43	40	43
01.01 Reimbursable program	1	1	1
10.00 Total obligations	44	41	44
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1		
22.00 New budget authority (gross)	43	41	44
23.90 Total budgetary resources available for obligation	44	41	44
23.95 New obligations	–44	–41	–44
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	42	40	43
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	43	41	44
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	7	8	8
73.10 New obligations	44	41	44
73.20 Total outlays (gross)	–43	–41	–43
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	8	8	8
Outlays (gross), detail:			
86.90 Outlays from new current authority	36	34	37
86.93 Outlays from current balances	6	6	6
86.97 Outlays from new permanent authority	1	1	1
87.00 Total outlays (gross)	43	41	43
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–1	–1	–1
Net budget authority and outlays:			
89.00 Budget authority	42	40	43
90.00 Outlays	42	40	42

Product safety and enforcement.—The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

Object Classification (in millions of dollars)

Identification code 61–0100–0–1–554	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	25	26	27
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	26	27	28
12.1 Civilian personnel benefits	4	5	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	2	3
25.3 Purchases of goods and services from Government accounts	1	1	1
26.0 Supplies and materials	1		
31.0 Equipment	2		
99.0 Subtotal, direct obligations	42	40	42
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold	1		1
99.9 Total obligations	44	41	44

Personnel Summary

Identification code 61–0100–0–1–554	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	474	487	487
1005 Full-time equivalent of overtime and holiday hours		1	1

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

General and special funds:

NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service in carrying out the programs, activities, and initiatives under the National and Community Service Act of 1990, as amended (Public Law 103–82), $543,549,000, to remain available until September 30, 1998, of which not to exceed $28,446,000 is for administrative expenses under section 501(a)(4), not to exceed $2,500 is for official reception and representation expenses, and not to exceed $129,096,000, to remain available without fiscal year limitation, shall be transferred to the National Service Trust Fund for educational awards as authorized under subtitle D of title I of the Act.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2720–0–1–506	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 National Service Trust	93	94	129
00.02 AmeriCorps grants	81	381	261
00.03 Innovation assistance and other activities	27	55	37
00.04 Evaluation	6	6	7
00.05 National Civilian Community Corps	25	21	22
00.06 Learn and Serve America	30	74	53
00.07 NCSA program administration	27	25	29
00.08 Points of Light Foundation	6	5	6
10.00 Total obligations	295	661	544

General and special funds—Continued

NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–2720–0–1–506	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	79	251	.....
22.00 New budget authority (gross)	468	410	544
22.30 Unobligated balance expiring	–1	.....	.....
23.90 Total budgetary resources available for obligation	546	661	544
23.95 New obligations	–295	–661	–544
24.40 Unobligated balance available, end of year: Uninvested balance	251	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	468	410	544
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	162	167	402
73.10 New obligations	295	661	544
73.20 Total outlays (gross)	–290	–426	–492
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	167	402	454
Outlays (gross), detail:			
86.90 Outlays from new current authority	94	151	197
86.93 Outlays from current balances	196	275	295
87.00 Total outlays (gross)	290	426	492
Net budget authority and outlays:			
89.00 Budget authority	468	410	544
90.00 Outlays	290	426	492

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	468	410	544
Outlays	290	426	492
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	58	.....
Outlays	.....	27	24
Total:			
Budget Authority	468	468	544
Outlays	290	453	516

The Corporation for National and Community Service engages Americans of all ages and backgrounds in community-based service which addresses the nation's educational, human, public safety, and environmental needs to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

National Service Trust. The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

AmeriCorps grants. With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address problems they identify by using the skills of individuals serving in National Service positions.

Innovation, assistance, and other activities. This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding.

Evaluation. This activity will determine the impact and effectiveness of Corporation programs.

National Civilian Community Corps. This residential National Service program provides unique service opportunities for members and communities.

Learn and Serve America. Through grants to State educational agencies, colleges and consortia of colleges and nonprofit organizations, and other means, curriculum will be improved and opportunities provided to students to participate in service learning activities.

NCSA program administration. Up to fifty percent of these funds will be provided to State Commissions to develop National Service plans and manage these activities within their States. The remaining fifty percent of these funds will be used by the Corporation to administer these activities.

Points of Light Foundation. A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

Object Classification (in millions of dollars)

Identification code 95–2720–0–1–506	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	4	3	3
11.3 Other than full-time permanent	7	8	8
11.5 Other personnel compensation	1	.....	.....
11.8 Special personal services payments	7	7	7
11.9 Total personnel compensation	19	18	18
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	.....	5	6
25.2 Other services	22	34	31
26.0 Supplies and materials	3	3	3
31.0 Equipment	1	.....	1
41.0 Grants, subsidies, and contributions	149	500	349
92.0 Undistributed	93	94	129
99.5 Below reporting threshold	.....	–1	–1
99.9 Total obligations	295	661	544

Personnel Summary

Identification code 95–2720–0–1–506	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	188	195	195
1005 Full-time equivalent of overtime and holiday hours	1	1	1

DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, $226,109,000, to remain available until September 30, 1998.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–0103–0–1–506	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Volunteers in Service to America	48	26	51
00.03 National Senior Service Corps	135	128	145
00.05 Program support	30	29	30
00.91 Total direct program	213	183	226
01.01 Reimbursable program	4	4	4
10.00 Total obligations	217	187	230
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	219	187	230
22.30 Unobligated balance expiring	–2	.....	.....

23.90	Total budgetary resources available for obligation	217	187	230
23.95	New obligations	–217	–187	–230
	New budget authority (gross), detail:			
	Current:			
40.00	Appropriation	215	183	226
	Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	4	4	4
70.00	Total new budget authority (gross)	219	187	230
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	105	92	84
73.10	New obligations	217	187	230
73.20	Total outlays (gross)	–226	–195	–218
73.40	Adjustments in expired accounts	–4	.....	.....
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	92	84	96
	Outlays (gross), detail:			
86.90	Outlays from new current authority	131	100	130
86.93	Outlays from current balances	91	91	84
86.97	Outlays from new permanent authority	4	4	4
87.00	Total outlays (gross)	226	195	218
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	–1	–1	–1
88.40	Non-Federal sources	–3	–3	–3
88.90	Total, offsetting collections (cash)	–4	–4	–4
	Net budget authority and outlays:			
89.00	Budget authority	215	183	226
90.00	Outlays	222	191	214

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	215	183	226
Outlays	222	191	214
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	9	.....
Outlays	.....	8	1
Total:			
Budget Authority	215	192	226
Outlays	222	199	215

Volunteers in Service to America.—The AmeriCorps*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

National Senior Service Corps.—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

Program support.—Costs of program direction and administration are financed by this activity.

Object Classification (in millions of dollars)

Identification code 95–0103–0–1–506	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	12	12
11.3 Other than full-time permanent	3	5	5
11.8 Special personal services payments	33	21	35
11.9 Total personnel compensation	50	38	52
12.1 Civilian personnel benefits	3	4	5
13.0 Benefits for former personnel	1	.....	.....
21.0 Travel and transportation of persons	4	2	4
22.0 Transportation of things	.....	.....	1
23.1 Rental payments to GSA	4	4	5
23.3 Communications, utilities, and miscellaneous charges	1	2	2
24.0 Printing and reproduction	.....	.....	1
25.1 Advisory and assistance services	.....	.....	1
25.2 Other services	8	2	8
41.0 Grants, subsidies, and contributions	141	129	148
99.0 Subtotal, direct obligations	212	181	227
99.0 Reimbursable obligations	4	4	4
99.5 Below reporting threshold	1	2	–1
99.9 Total obligations	217	187	230

Personnel Summary

Identification code 95–0103–0–1–506	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	350	361	361
1005 Full-time equivalent of overtime and holiday hours	.....	1	1
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1	1	1

Office of the Inspector General

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, $2,125,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2721–0–1–506	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 New obligations	–2	–2	–2
New budget authority (gross), detail:			
40.00 Appropriation	2	2	2
Change in unpaid obligations:			
73.10 New obligations	2	2	2
73.20 Total outlays (gross)	–2	–2	–2
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	.....	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	2	2
87.00 Total outlays (gross)	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	1	2	2

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	2	2	2
Outlays	1	2	2
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	1	.....
Outlays	.....	.....	.....
Total:			
Budget Authority	2	3	2
Outlays	1	2	2

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

General and special funds—Continued

OFFICE OF THE INSPECTOR GENERAL—Continued

Object Classification (in millions of dollars)

Identification code 95–2721–0–1–506	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	2	2	2

Personnel Summary

Identification code 95–2721–0–1–506	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	13	15	15

Trust Funds

GIFTS AND CONTRIBUTIONS

Unavailable Collections (in millions of dollars)

Identification code 95–9972–0–7–506	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.01 Gifts and contributions	1	.....	.....
02.02 Interest on investment	10	16	20
02.03 Payment from the general fund	93	94	129
02.04 Adjustment to 1996 Continuing Resolution levels	.....	21	.....
02.99 Total receipts	104	131	149
Appropriation:			
05.01 Gifts and contributions	–104	–110	–149
05.02 Gifts and contributions, adjustment to 1996 Continuing Resolution level	.....	–21	.....
05.99 Subtotal appropriation	–104	–131	–149
07.99 Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–9972–0–7–506	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	6	33	75
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	6	2	.....
U.S. Securities:			
21.41 Par value	98	202	284
21.42 Unrealized discounts	.....	–3	–4
21.99 Total unobligated balance, start of year	104	201	280
22.00 New budget authority (gross)	104	110	149
23.90 Total budgetary resources available for obligation	208	311	429
23.95 New obligations	–6	–33	–75
Unobligated balance available, end of year:			
24.40 Uninvested balance	2	.....	.....
U.S. Securities:			
24.41 Par value	202	284	359
24.42 Unrealized discounts	–3	–4	–5
24.99 Total unobligated balance, end of year	201	280	354
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	104	110	149
Change in unpaid obligations:			
73.10 New obligations	6	33	75
73.20 Total outlays (gross)	–6	–33	–75
Outlays (gross), detail:			
86.98 Outlays from permanent balances	6	33	75
87.00 Total outlays (gross)	6	33	75
Net budget authority and outlays:			
89.00 Budget authority	104	110	149
90.00 Outlays	6	33	75

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	104	110	149
Outlays	6	33	75
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	21	.....
Outlays	.....	.....	1
Total:			
Budget Authority	104	131	149
Outlays	6	33	76

The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

Personnel Summary

Identification code 95–9972–0–7–506	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

General and special funds:

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting, as authorized by the Communications Act of 1934, without regard to section 396(k)(3)(B)(iii), an amount which shall be available within limitations specified by that Act, for the fiscal year 1999, $275,000,000: Provided, That no funds made available to the Corporation by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: Provided further, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex.

Program and Financing (in millions of dollars)

Identification code 20–0151–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	286	275	260
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	286	275	260
23.95 New obligations	–286	–275	–260
New budget authority (gross), detail:			
65.00 Advance appropriation (definite)	286	275	260
Change in unpaid obligations:			
73.10 New obligations	286	275	260
73.20 Total outlays (gross)	–286	–275	–260
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	286	275	260
87.00 Total outlays (gross)	286	275	260
Net budget authority and outlays:			
89.00 Budget authority	286	275	260
90.00 Outlays	286	275	260

The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related primarily to program production or acquisition. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance. The appropriation for the Corporation is enacted two years in advance. For 1997, an appropriation of $315 million, later reduced by rescission to $260 million, was enacted in 1995.

For 1999, the Administration is requesting $275 million for general programming and system support. Public broadcasting plays a vital role in the educational and cultural development of our Nation. The proposed funding level will allow the Corporation to maintain quality public service programming and to meet the needs of American public telecommunications. The table below illustrates the 1996–1999 funding levels.

Summary of Funding Levels, 1996–1999 (in thousands of dollars)

	1996 enacted	1997 enacted	1998 est.	1999 est.
Corporation for Public Broadcasting	275,000	260,000	240,000	275,000

COURT OF VETERANS APPEALS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Veterans Appeals as authorized by 38 U.S.C. sections 7251–7292, $8,795,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–0300–0–1–705	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	9	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	9	9
23.95 New obligations	–9	–9	–9
New budget authority (gross), detail:			
40.00 Appropriation	9	9	9
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1
73.10 New obligations	9	9	9
73.20 Total outlays (gross)	–9	–9	–9
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	8	9	9
86.93 Outlays from current balances	1	.....	.....
87.00 Total outlays (gross)	9	9	9
Net budget authority and outlays:			
89.00 Budget authority	9	9	9
90.00 Outlays	9	9	9

The Veterans Judicial Review Act, 38 U.S.C. §§ 7251–7292 (1988) established the United States Court of Veterans Appeals under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§ 551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. § 1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

Practice Registration Fees.—This fund is established under 38 U.S.C. § 7285. The fund, which receives no appropriations, will be used by the U.S. Court of Veterans Appeals to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

Object Classification (in millions of dollars)

Identification code 95–0300–0–1–705	1995 actual	1996 est.	1997 est.
11.3 Personnel compensation: Other than full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	2
23.1 Rental payments to GSA	2	2	2
41.0 Grants, subsidies, and contributions	1	1	.....
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	9	9	9

Personnel Summary

Identification code 95–0300–0–1–705	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	83	82	81

Trust Funds

COURT OF VETERANS APPEALS RETIREMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 95–8290–0–7–705	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	2	2	2
07.99 Total balance, end of year	2	2	2

This fund, established under 38 U.S.C. § 7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 3.5 percent of their salaries for survivor

COURT OF VETERANS APPEALS RETIREMENT FUND—Continued

annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Veterans Appeals.

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100–456, section 1441, $17,000,000, to remain available until expended. *(Energy and Water Development Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–3900–0–1–053	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	18	18	18
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	2	1
22.00 New budget authority (gross)	18	17	17
23.90 Total budgetary resources available for obligation	20	19	18
23.95 New obligations	–18	–18	–18
24.40 Unobligated balance available, end of year: Uninvested balance	2	1	.....
New budget authority (gross), detail:			
40.00 Appropriation	18	17	17
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	5	6	6
73.10 New obligations	18	18	18
73.20 Total outlays (gross)	–16	–18	–18
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	6	6	6
Outlays (gross), detail:			
86.90 Outlays from new current authority	9	10	10
86.93 Outlays from current balances	5	8	7
87.00 Total outlays (gross)	16	18	18
Net budget authority and outlays:			
89.00 Budget authority	18	17	17
90.00 Outlays	16	18	17

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100–456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (as defined in Public Law 100–456). In addition, the National Defense Authorization Act for fiscal years 1992 and 1993 (Public Law 102–190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons, and to approve any DOE plans to resume plutonium operations at the Rocky Flats Plant, Golden, Colorado. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board shall make specific recommendations to the Secretary of Energy on measures that should be adopted which will ensure that public and worker health and safety are adequately protected.

Object Classification (in millions of dollars)

Identification code 95–3900–0–1–053	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	8	9	9
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	3	2	2
25.2 Other services	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	18	18	18

Personnel Summary

Identification code 95–3900–0–1–053	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	105	149	146

DISTRICT OF COLUMBIA

Federal Funds

General and special funds:

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA

For payment to the District of Columbia for the fiscal year ending September 30, 1997, $660,000,000, as authorized by section 502(a) of the District of Columbia Self-Government and Governmental Reorganization Act, Public Law 93–198, as amended (D.C. Code, sec. 47–3406.1).

FEDERAL CONTRIBUTION TO RETIREMENT FUNDS

For the Federal contribution to the Police Officers and Fire Fighters', Teachers', and Judges' Retirement Funds, as authorized by the District of Columbia Retirement Reform Act, approved November 17, 1979, $104,140,000 (93 Stat. 866; Public Law 96–122).

PRESIDENTIAL INAUGURATION

For payment to the District of Columbia in lieu of reimbursements for expenses incurred in connection with Presidential inauguration activities, $5,702,000, as authorized by section 737(b) of the District of Columbia Self-Government and Governmental Reorganization Act, Public Law 93–198, as amended (D.C. Code, sec. 1–1803), which shall be appropriated by the CFO within the various appropriation headings in this Act.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in the Sixth Continuing Resolution, P.L. 104–92.

Program and Financing (in millions of dollars)

Identification code 20–1700–0–1–806	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Payment to the District of Columbia general fund	660	660	660
00.03 Retirement funds contribution	54	52	104
00.04 Presidential inauguration payment	.....	.....	6
10.00 Total obligations (object class 41.0)	714	712	770
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	.....	.....
22.00 New budget authority (gross)	712	712	770
23.90 Total budgetary resources available for obligation	714	712	770
23.95 New obligations	–714	–712	–770
New budget authority (gross), detail:			
40.00 Appropriation	712	712	770
Change in unpaid obligations:			
73.10 New obligations	714	712	770
73.20 Total outlays (gross)	–714	–712	–770
Outlays (gross), detail:			
86.90 Outlays from new current authority	712	712	770
86.93 Outlays from current balances	2	.....	.....

87.00	Total outlays (gross)	714	712	770
	Net budget authority and outlays:			
89.00	Budget authority	712	712	770
90.00	Outlays	714	712	770

The annual Federal payment to the Government of the District of Columbia compensates the District for the net costs imposed by the presence of the Federal Government in Washington, D.C. While the Federal presence may actually add more to District revenues than it does to operating costs (because local income, property and sales tax receipts are highly dependent on Federal employment in the city), a lump-sum, unrestricted Federal payment is provided to the District Government each year to help fund local budgetary expenditures. A $660 million Federal payment is proposed for 1997.

This appropriation also includes a contribution of $104 million which represents an increase of $52 million from the 1995 actual level for the Federal share of payments to District of Columbia retirement funds for police officers, fire fighters, teachers and judges.

Loans to the District of Columbia for Capital Projects

Status of Direct Loans (in millions of dollars)

Identification code 20–0137–0–1–806	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	87	75	62
1251 Repayments: Repayments and prepayments	–12	–12	–12
1290 Outstanding, end of year	75	62	50

The District has borrowed funds from the U.S. Treasury to finance capital projects. While the authority to borrow for capital projects was terminated in 1983, the District had outstanding debt issued under this authority with a remaining balance of $62 million in FY 1996.

LOANS—CUMULATIVE

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Appropriations:			
District projects	3,852	3,902	3,952
Rapid rail transit	358	408	458
Total appropriations	4,210	4,310	4,410
Funds withdrawn:			
District projects	2,369	2,419	2,469
Rapid rail transit	358	408	458
Total borrowing	2,727	2,827	2,927
Less:			
Principal repaid [1]	1,539	1,648	1,858
MASSWF adjustment	9	9	10
Total reduction	1,548	1,657	1,868
Outstanding principal debt	1,179	1,170	1,059

[1] The District is required to repay only 50% of the loans advanced to the Metropolitan area sanitary sewage works fund before July 1, 1971, in accordance with sec. 502 of the Act of December 15, 1971 (Public Law 92–196, 85 Stat. 654).

The Self-Government Act authorized the District of Columbia to issue tax-exempt general obligation bonds to finance capital improvements projects. Since 1985, the District has sold $5,634 million in general obligation bonds of which $2,011 million has been for this purpose. Of the $2,011 million for capital improvement projects, $2,852 million is provided to finance the general fund projects and $158 million to fund Water and Sewer fund projects.

The preceding table shows the status of general fund capital projects loan authorizations on a cumulative basis.

Federal Payment for Water and Sewer Services

Program and Financing (in millions of dollars)

Identification code 20–0155–0–1–806	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 23.3)	33	33	22
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	33	33	22
23.95 New obligations	–33	–33	–22
New budget authority (gross), detail:			
60.05 Appropriation (indefinite)	12		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	21	33	22
70.00 Total new budget authority (gross)	33	33	22
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	5	9	9
73.10 New obligations	33	33	22
73.20 Total outlays (gross)	–28	–33	–22
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	9	9	9
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	28	33	22
87.00 Total outlays (gross)	28	33	22
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–21	–33	–22
Net budget authority and outlays:			
89.00 Budget authority	12		
90.00 Outlays	7		

Federal agencies make payments to this account for the water and sewer services provided by the District.

Repayable Advances to the District of Columbia Program Account

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20–0144–0–1–806	1995 actual	1996 est.	1997 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loan levels	147	379	
Direct loan subsidy (in percent):			
1320 Subsidy rate	0.00	0.00	
Direct loan subsidy budget authority:			
1330 Subsidy budget authority			
Direct loan subsidy outlays:			
1340 Subsidy outlays			

Repayable Advances to the District of Columbia Direct Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 20–4561–0–3–806	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Repayable advances to the District of Columbia	147	379	
00.02 Interest to Treasury		2	17
10.00 Total obligations	147	381	17
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	147	381	17
23.95 New obligations	–147	–381	–17
New financing authority (gross), detail:			
67.10 Authority to borrow	147	379	

General and special funds—Continued

REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20–4561–0–3–806	1995 actual	1996 est.	1997 est.
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	.....	149	396
68.47 Portion applied to debt reduction	.....	–147	–379
68.90 Spending authority from offsetting collections (total)	.....	2	17
70.00 Total new financing authority (gross)	147	381	17
Change in unpaid obligations:			
73.10 New obligations	147	381	17
73.20 Total financing disbursements (gross)	–147	–381	–17
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	147	381	17
Offsets:			
Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Non-Federal sources	.....	–149	–396
Net financing authority and financing disbursements:			
89.00 Financing authority	147	232	–379
90.00 Financing disbursements	147	232	–379

Status of Direct Loans (in millions of dollars)

Identification code 20–4561–0–3–806	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	.....	.....	.....
1131 Direct loan obligations exempt from limitation	147	379	.....
1150 Total direct loan obligations	147	379	.....
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	.....	147	379
1231 Disbursements: Direct loan disbursements	147	379	.....
1251 Repayments: Repayments and prepayments	.....	–147	–379
1290 Outstanding, end of year	147	379	.....

Temporary advances are made by the U.S. Treasury to the District of Columbia to meet short-term cash requirements, resulting from variations in the rate of disbursements and tax collections during the year (Sec. 47–3401, D.C. Code, as amended). Such advances are required to be repaid with the Federal payment for the following fiscal year. The schedule above details the status of these advances on September 30, 1996.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Offsetting receipts from the public:			
20–295000 Repayment of loans and advances to the District of Columbia	12	12	12
General Fund Offsetting receipts from the public	12	12	12

GENERAL PROVISIONS [1]

SEC. 101. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 102. Except as otherwise provided in this Act, all vouchers covering expenditures of appropriations contained in this Act shall be audited before payment by the designated certifying official and the vouchers as approved shall be paid by checks issued by the designated disbursing official.

SEC. 103. Whenever in this Act, an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.

SEC. 104. Appropriations in this Act shall be available, when authorized by the Mayor, for allowances for privately-owned automobiles and motorcycles used for the performance of official duties at rates established by the Mayor: Provided, That such rates shall not exceed the maximum prevailing rates for such vehicles as prescribed in the Federal Property Management Regulations 101–7 (Federal Travel Regulations).

SEC. 105. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned with the work of the District of Columbia government, when authorized by the Mayor: Provided, That the Council of the District of Columbia and the District of Columbia Courts may expend such funds without authorization by the Mayor.

SEC. 106. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of judgments that have been entered against the District of Columbia government: Provided, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947 (D.C. Code, sec. 47–1812.11(c)(3)).

SEC. 107. Appropriations in this Act shall be available for the payment of public assistance without reference to the requirement of section 544 of the District of Columbia Public Assistance Act of 1982, effective April 6, 1982 (D.C. Law 4–101; D.C. Code, sec. 3–205.44), and for the non-Federal share of funds necessary to qualify for Federal assistance under the Juvenile Delinquency Prevention and Control Act of 1968, approved July 31, 1968 (42 U.S.C. 3801 et seq.).

SEC. 108. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 109. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.

SEC. 110. The annual budget for the District of Columbia government for the fiscal year ending September 30, 1998, shall be transmitted to the Congress no later than April 15, 1997.

SEC. 111. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, salary, past work experience, and salary history are not available for inspection by the House and Senate Committees on Appropriations, the Subcommittee on the District of Columbia of the House Committee on Government Reform and Oversight, the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative: Provided, That none of the funds contained in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name and salary are not available for public inspection.

SEC. 112. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making payments authorized by the District of Columbia Revenue Recovery Act of 1977, effective September 23, 1977 (D.C. Law 2–20; D.C. Code, sec. 47–421 et seq.).

SEC. 113. No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 114. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: Provided, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Colum-

bia and the Congress the actual borrowings and spending progress compared with projections.

SEC. 115. The Mayor shall not borrow any funds for capital projects unless the Mayor has obtained prior approval from the Council of the District of Columbia, by resolution, identifying the projects and amounts to be financed with such borrowings.

SEC. 116. The Mayor shall not expend any moneys borrowed for capital projects for the operating expenses of the District of Columbia government.

SEC. 117. None of the funds appropriated by this Act may be obligated or expended by reprogramming except pursuant to advance notice of the reprogramming transmitted to the House and Senate Committees on Appropriations, and in accordance with the Reprogramming Policy Act of 1980, effective September 16, 1980 (D.C. Law 3–100; D.C. Code, sec. 47–361 et seq.).

SEC. 118. None of the Federal funds provided in this Act shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of the District of Columbia.

SEC. 119. None of the Federal funds provided in this Act shall be obligated or expended to procure passenger automobiles as defined in the Automobile Fuel Efficiency Act of 1980 (15 U.S.C. 2001(2)), with an Environmental Protection Agency estimated miles per gallon average of less than 22 miles per gallon: Provided, That this section shall not apply to security, emergency rescue, or armored vehicles.

SEC. 120. (a) Notwithstanding section 422(7) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 1–242(7)), the City Administrator shall be paid, during any fiscal year, a salary at a rate established by the Mayor, not to exceed the rate established for level IV of the Executive Schedule under 5 U.S.C. 5315.

(b) For purposes of applying any provision of law limiting the availability of funds for payment of salary or pay in any fiscal year, the highest rate of pay established by the Mayor under subsection (a) of this section for any position for any period during the last quarter of calendar year 1996 shall be deemed to be the rate of pay payable for that position for September 30, 1996.

(c) Notwithstanding section 4(a) of the District of Columbia Redevelopment Act of 1945 (D.C. Code, sec. 5–803(a)), the Board of Directors of the District of Columbia Redevelopment Land Agency shall be paid, during any fiscal year, per diem compensation at a rate established by the Mayor.

SEC. 121. Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Code, sec. 1–601.1 et seq.), enacted pursuant to section 422(3) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 1–242(3)), shall apply with respect to the compensation of District of Columbia employees: Provided, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5 of the United States Code.

SEC. 122. The Director of the Department of Administrative Services may pay rentals and repair, alter, and improve rented premises, without regard to the provisions of section 322 of the Economy Act of 1932 (40 U.S.C. 278a), upon a determination by the Director, that by reason of circumstances set forth in such determination, the payment of these rents and the execution of this work, without reference to the limitations of section 322, is advantageous to the District in terms of economy, efficiency, and the District's best interest.

SEC. 123. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, 1997, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year 1997 revenue estimates as of the end of the first quarter of fiscal year 1997. These estimates shall be used in the budget request for the fiscal year ending September 30, 1998. The officially revised estimates at midyear shall be used for the midyear report.

SEC. 124. Section 466(b) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 47–326), as amended, is amended by striking "sold before October 1, 1996" and inserting "sold before October 1, 1997".

SEC. 125. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985 (D.C. Code, sec. 1–1183.3), except that the District of Columbia Public Schools may renew or extend sole source contracts for which competition is not feasible or practical, provided that the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated Board of Education rules and procedures.

SEC. 126. (a) For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99–177), as amended, the term "program, project, and activity" shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to the aggregate total of those accounts: Provided, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by such Act.

(b) In the event a sequestration order is issued pursuant to such Act after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: Provided, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act are not specifically exempted from sequestration by such Act.

SEC. 127. For the fiscal year ending September 30, 1997, the District of Columbia shall pay interest on its quarterly payments to the United States that are made more than 60 days from the date of receipt of an itemized statement from the Federal Bureau of Prisons of amounts due for housing District of Columbia convicts in Federal penitentiaries for the preceding quarter.

SEC. 128. Nothing in this Act shall be construed to authorize any office, agency or entity to expend funds for programs or functions for which a reorganization plan is required but has not been approved by the Council pursuant to section 422(12) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 1–242(12)) and the Governmental Reorganization Procedures Act of 1981 (D.C. Code, secs. 1–299.1 to 1–299.7). Appropriations made by this Act for such programs or functions are conditioned on the approval by the Council, prior to October 1, 1996, of the required reorganization plans.

SEC. 129. (a) An entity of the District of Columbia government may accept and use a gift or donation during fiscal year 1997 if—

(1) the Mayor approves the acceptance and use of the gift or donation: Provided, That the Council of the District of Columbia may accept and use gifts without prior approval by the Mayor; and

(2) the entity uses the gift or donation to carry out its authorized functions or duties.

(b) Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection (a) of this section, and shall make such records available for audit and public inspection.

(c) For the purposes of this section, the term "entity of the District of Columbia government" includes an independent agency of the District of Columbia.

(d) This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. 130. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representatives under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Code, sec. 1–113(d)).

SEC. 131. SENSE OF CONGRESS.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

[1] Although a full-year 1996 District of Columbia appropriations bill has not been enacted, certain provisions affecting the District of Columbia were enacted as part of various continuing resolutions. Section 407 of P.L. 104–92 pertains to the use of funds for abortions. The Administration proposes to delete this provision and will work with the Congress to address the issue of abortion funding.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by Title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621–634), the Americans with Disabilities Act, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; not to exceed $27,500,000 for payments to State and Local enforcement agencies for services to the Commission pursuant to Title VII of the Civil Rights Act, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991, $268,000,000: Provided, That unobligated and unexpended balances in the Equal Employment Opportunity Commission, "Salaries and Expenses" account at the end of fiscal year 1996, shall be merged and made a part of the fiscal year 1997 Equal Employment Opportunity Commission "Salaries and Expenses" appropriation, and shall remain available for obligation until September 30, 1998: Provided further, That the Commission is authorized to make available for official reception and representation expenses not to exceed $3,000 from available funds.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 45–0100–0–1–751	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Executive direction and program support	20	19	23
00.02 Enforcement	187	187	217
00.03 State and local grants	26	27	28
10.00 Total obligations	233	233	268
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	233	233	268
23.95 New obligations	–233	–233	–268
New budget authority (gross), detail:			
40.00 Appropriation	233	233	268
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	32	31	32
73.10 New obligations	233	233	268
73.20 Total outlays (gross)	–234	–232	–267
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	31	32	33
Outlays (gross), detail:			
86.90 Outlays from new current authority	209	205	236
86.93 Outlays from current balances	25	27	31
87.00 Total outlays (gross)	234	232	267
Net budget authority and outlays:			
89.00 Budget authority	233	233	268
90.00 Outlays	234	232	267

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or handicap status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

WORKFLOW ANALYSIS

	1995 actual	*1996 est.*	*1997 est.*
Title VII:			
Charges filed	51,867	51,831	51,831
Charges resolved	54,464	42,060	47,170
Age Discrimination in Employment Act: Includes Title VII/ADEA Concurrent:			
Charges filed	14,649	13,613	13,613
Charges resolved	17,033	12,837	14,396
Equal Pay Act: Includes Title VII/EPA			
Charges filed	1,101	1,051	1,051
Charges resolved	1,249	870	976
Other combinations of the three statutes:			
Charges filed	114	126	126
Charges resolved	128	138	155
Americans with Disabilities Act:			
Charges filed	19,798	20,908	20,908
Charges resolved	18,900	15,305	17,389

The budget for the agency supports three activities:

Executive direction and program support.—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency.

Enforcement.—This activity resolves charges of employment discrimination filed with the Commission and litigates to enforce compliance with title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991.

State and local grants.—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints.

Object Classification (in millions of dollars)

Identification code 45–0100–0–1–751	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	131	126	141
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	7	8
11.9 Total personnel compensation	134	135	151
12.1 Civilian personnel benefits	27	27	30
21.0 Travel and transportation of persons	2	2	4
23.1 Rental payments to GSA	22	23	23
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services	10	10	17
26.0 Supplies and materials	3	2	3
31.0 Equipment	4	2	7
41.0 Grants, subsidies, and contributions	26	27	28
99.9 Total obligations	233	233	268

Personnel Summary

Identification code 45–0100–0–1–751	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	2,813	2,813	3,022
1005 Full-time equivalent of overtime and holiday hours	4	4	4

Public enterprise funds:

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 45–4019–0–4–751	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	2	2
22.00 New budget authority (gross)	.....	.....	.....
23.90 Total budgetary resources available for obligation	2	2	2
23.95 New obligations	–1	–1	–1
24.40 Unobligated balance available, end of year: Uninvested balance	2	2	2

New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	1	1	1
Change in unpaid obligations:			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)			
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		1	1
87.00 Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–1	–1	–1
Net budget authority and outlays:			
89.00 Budget authority	–1	–1	–1
90.00 Outlays		–1	–1

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

EXPORT-IMPORT BANK OF THE UNITED STATES

Federal Funds

Credit accounts:

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided,* That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country other than a nuclear-weapon State as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act that has detonated a nuclear explosive after the date of enactment of this Act.

SUBSIDY APPROPRIATION

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, 【$786,551,000】 *$736,551,000* to remain available until September 30, 【1997】 *1998*: *Provided,* That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further,* That such sums shall remain available until 【2010】 *2012* for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years 【1996】 *1997* and 【1997】 *1998*: *Provided further,* That up to 【$100,000,000】 *$50,000,000* of funds appropriated by this paragraph shall remain available until expended and may be used for tied-aid grant purposes: *Provided further,* That none of the funds appropriated by this paragraph may be used for tied-aid credits or grants except through the regular notification procedures of the Committees on Appropriations: *Provided further,* That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export-Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State, or any agency or national thereof.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs (to be computed on an accrual basis), including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed $20,000 for official reception and representation expenses for members of the Board of Directors, 【$45,614,000】 *$47,614,000*: *Provided,* That necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading: *Provided further,* That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, 【1996】 *1997. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1996.)*

Unavailable Collections (in millions of dollars)

Identification code 83–0100–0–1–155	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	139	253	261
Receipts:			
02.01 Export-Import Bank direct loans, negative subsidies	39	59	58
02.02 Export-Import Bank direct loans, downward reestimates of subsidies	164	193	
02.99 Total receipts	203	252	58
04.00 Total: Balances and collections	342	505	319
Appropriation:			
05.01 Export-Import Bank direct loans program account	–89	–244	
07.99 Total balance, end of year	253	261	319

Program and Financing (in millions of dollars)

Identification code 83–0100–0–1–155	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct loan subsidy	90	48	50
00.02 Guaranteed loan subsidy	537	779	626
00.06 Direct grants	7	100	85
00.07 Upward reestimates of subsidy	89	244	
00.08 Loan modifications	41	10	10
00.09 Administrative expenses	45	46	48
10.00 Total obligations	809	1,227	819
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	172	415	264
22.00 New budget authority (gross)	921	1,076	784
22.10 Resources available from recoveries of prior year obligations	131		
23.90 Total budgetary resources available for obligation	1,224	1,491	1,048
23.95 New obligations	–809	–1,227	–819
24.40 Unobligated balance available, end of year: Uninvested balance	415	264	229
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	832	832	784
Permanent:			
60.25 Appropriation (special fund, indefinite)	89	244	
70.00 Total new budget authority (gross)	921	1,076	784
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1,620	1,944	2,466
73.10 New obligations	809	1,227	819
73.20 Total outlays (gross)	–354	–705	–556
73.45 Adjustments in unexpired accounts	–131		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1,944	2,466	2,729
Outlays (gross), detail:			
86.90 Outlays from new current authority	76	145	129
86.93 Outlays from current balances	189	316	427
86.97 Outlays from new permanent authority	89	244	
87.00 Total outlays (gross)	354	705	556
Net budget authority and outlays:			
89.00 Budget authority	921	1,076	784

Credit accounts—Continued

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued

ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 83–0100–0–1–155	1995 actual	1996 est.	1997 est.
90.00 Outlays	354	705	556

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 83–0100–0–1–155	1995 actual	1996 est.	1997 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loans	1,591	2,855	3,311
1150 Direct grants	7	100	85
1159 Total direct loan levels	1,598	2,955	3,396
Direct loan subsidy (in percent):			
1320 Direct loans	5.66	1.68	1.54
1320 Direct grants	100.00	100.00	100.00
1329 Weighted average subsidy rate	6.07	5.01	4.00
Direct loan subsidy budget authority:			
1330 Direct loans	90	48	51
1330 Direct grants	7	100	85
1339 Total subsidy budget authority	97	148	136
Direct loan subsidy outlays:			
1340 Direct loans	45	46	47
1340 Direct grants	4	11	25
1349 Total subsidy outlays	49	57	72
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantees	10,267	13,781	14,294
2159 Total loan guarantee levels	10,267	13,781	14,294
Guaranteed loan subsidy (in percent):			
2320 Guaranteed Loans	5.62	5.73	4.45
2329 Weighted average subsidy rate	5.62	5.73	4.45
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority	578	789	636
2339 Total subsidy budget authority	578	789	636
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays	260	358	436
2349 Total subsidy outlays	260	358	436
Administrative expense data:			
3510 Budget authority	45	46	48
3590 Outlays	45	46	48

The purpose of the Export-Import Bank (Eximbank) is to aid in financing and promoting U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet foreign officially sponsored export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on an accrual basis.

Object Classification (in millions of dollars)

Identification code 83–0100–0–1–155	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	26	27	29
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	4
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.2 Other services	5	6	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	764	1,181	771
99.5 Below reporting threshold	1	.....	1
99.9 Total obligations	809	1,227	819

Personnel Summary

Identification code 83–0100–0–1–155	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	448	436	436

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 83–4028–0–3–155	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Payment to liquidating account	.....	64	30
00.02 Interest on Treasury borrowing	.....	3	4
10.00 Total obligations	.....	67	34
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	.....	67	34
23.95 New obligations	.....	–67	–34
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)	.....	54	18
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	.....	14	16
70.00 Total new financing authority (gross)	.....	67	34
Change in unpaid obligations:			
73.10 New obligations	.....	67	34
73.20 Total financing disbursements (gross)	.....	–67	–34
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	.....	67	34
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	.....	–11	–13
88.40 Non-Federal sources	.....	–3	–3
88.90 Total, offsetting collections (cash)	.....	–14	–16
Net financing authority and financing disbursements:			
89.00 Financing authority	.....	53	18
90.00 Financing disbursements	.....	53	18

Status of Direct Loans (in millions of dollars)

Identification code 83–4028–0–3–155	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	.....	.....	64
1233 Disbursements: Purchase of loans assets from a liquidating account	.....	64	30
1290 Outstanding, end of year	.....	64	94

Balance Sheet (in millions of dollars)

Identification code 83–4028–0–3–155	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Net value of assets related to post–1991 direct loans receivable:				
1401 Direct loans receivable, gross			64	94
1405 Allowance for subsidy cost (–)			–10	–23
1499 Net present value of assets related to direct loans			54	71
1999 Total assets			54	71
LIABILITIES:				
2103 Federal liabilities: Debt			54	72
2999 Total liabilities			54	72
4999 Total liabilities and net position			54	72

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

Object Classification (in millions of dollars)

Identification code 83–4028–0–3–155	1995 actual	1996 est.	1997 est.
41.0 Grants, subsidies, and contributions		64	30
43.0 Interest and dividends		3	4
99.9 Total obligations		67	34

Export-Import Bank Direct Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 83–4161–0–3–155	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct loans	1,598	2,955	3,396
00.02 Interest on Treasury borrowing	183	163	174
00.05 Payment to negative subsidy receipt account	18	35	35
00.06 Payment to downward reestimate receipt account	139	31	
10.00 Total obligations	1,938	3,184	3,605
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	1,632	3,007	3,401
22.10 Resources available from recoveries of prior year obligations	306	177	204
23.90 Total budgetary resources available for obligation	1,938	3,184	3,605
23.95 New obligations	–1,938	–3,184	–3,605
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)	1,296	2,126	2,424
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	276	732	841
68.10 Change in receivables from program account	60	149	136
68.90 Spending authority from offsetting collections (total)	336	881	977
70.00 Total new financing authority (gross)	1,632	3,007	3,401
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.90 Obligated balance: Fund balance	4,313	5,212	6,681
72.95 Receivables from program account	387	447	596
72.99 Total unpaid obligations, start of year	4,700	5,659	7,277
73.10 New obligations	1,938	3,184	3,605
73.20 Total financing disbursements (gross)	–673	–1,388	–1,573
73.45 Adjustments in unexpired accounts	–306	–177	–204
Unpaid obligations, end of year:			
74.90 Obligated balance: Fund balance: Uninvested balance	5,212	6,681	8,373
74.95 Orders on hand from Federal sources	447	596	732
74.99 Total unpaid obligations, end of year	5,659	7,277	9,105
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	673	1,388	1,573
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: payment from program account	–96	–148	–136
Non-Federal sources:			
88.40 Repayments and prepayments	–93	–332	–351
88.40 Fees and interest on loans	–87	–252	–354
88.90 Total, offsetting collections (cash)	–276	–732	–841
88.95 Change in receivables from program accounts	–60	–149	–136
Net financing authority and financing disbursements:			
89.00 Financing authority	1,296	2,126	2,424
90.00 Financing disbursements	397	656	732

Status of Direct Loans (in millions of dollars)

Identification code 83–4161–0–3–155	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation	1,598	2,955	3,396
1150 Total direct loan obligations	1,598	2,955	3,396
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	827	1,407	2,463
1231 Disbursements: Direct loan disbursements	673	1,388	1,573
1251 Repayments: Repayments and prepayments	–93	–332	–351
1290 Outstanding, end of year	1,407	2,463	3,685

Note.—The appropriations language for this program specifies a limitation that applies to direct and guaranteed loans in total.

Balance Sheet (in millions of dollars)

Identification code 83–4161–0–3–155	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	628			
Investments in US securities:				
1102 Treasury securities, par	395	1,401	1,429	1,458
1206 Non-Federal assets: Receivables, net	43	7	7	7
Net value of assets related to post–1991 direct loans receivable:				
1401 Direct loans receivable, gross	827	1,407	1,435	1,464
1402 Interest receivable	14	28	29	30
1499 Net present value of assets related to direct loans	841	1,435	1,464	1,494
Net value of assets related to pre–1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross		163	166	169
1703 Allowance for estimated uncollectible loans and interest (–)	–62	–88	–90	–92
1799 Value of assets related to loan guarantees	–62	75	76	77
1803 Other Federal assets: Property, plant and equipment, net	10	7	7	7
1999 Total assets	1,855	2,925	2,983	3,043
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	72	183	187	191
2103 Debt	1,153	2,665	2,718	2,772
Non-Federal liabilities:				
2201 Accounts payable	10	4	4	4
2207 Other	301	200	204	208
2999 Total liabilities	1,536	3,052	3,113	3,175
NET POSITION:				
3100 Appropriated capital	619			
3300 Cumulative results of operations	–300	–127	–130	–132
3999 Total net position	319	–127	–130	–132
4999 Total liabilities and net position	1,855	2,925	2,983	3,043

Credit accounts—Continued

EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT—Continued

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 1997.

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 83–4162–0–3–155	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Guarantee claims	353	16	78
00.05 Payment to negative subsidy receipt account	21	23	24
00.06 Payment of downward reestimate to receipt account	25	160	.....
10.00 Total obligations	399	199	102
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	1,233	1,444	1,966
22.00 New financing authority (gross)	610	721	850
23.90 Total budgetary resources available for obligation	1,843	2,165	2,816
23.95 New obligations	–399	–199	–102
24.90 Unobligated balance available, end of year: Fund balance	1,444	1,966	2,714
New financing authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	610	721	850
Change in unpaid obligations:			
73.10 New obligations	399	199	102
73.20 Total financing disbursements (gross)	–399	–199	–102
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	399	199	102
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account	–244	–362	–415
88.25 Interest on uninvested funds	–184	–111	–147
88.40 Fees and premiums	–182	–248	–288
88.90 Total, offsetting collections (cash)	–610	–721	–850
Net financing authority and financing disbursements:			
89.00 Financing authority	.....	.....	.....
90.00 Financing disbursements	–211	–522	–748

Status of Guaranteed Loans (in millions of dollars)

Identification code 83–4162–0–3–155	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	.....	.....	.....
2131 Guaranteed loan commitments exempt from limitation	10,267	13,781	14,294
2150 Total guaranteed loan commitments	10,267	13,781	14,294
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	11,746	13,736	14,159
2231 Disbursements of new guaranteed loans	7,854	8,455	9,618
2251 Repayments and prepayments	–5,511	–8,016	–9,217
2263 Adjustments: Terminations for default that result in claim payments	–353	–16	–78
2290 Outstanding, end of year	13,736	14,159	14,482
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	13,736	14,159	14,482

Note.—The appropriations language for this program specifies a limitation that applies to direct and guaranteed loans in total.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 1997.

Balance Sheet (in millions of dollars)

Identification code 83–4162–0–3–155	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	1,183	.....	.....	.....
Investments in US securities:				
1102 Treasury securities, par	866	1,202	1,226	1,251
1206 Non-Federal assets: Receivables, net	167	606	618	630
1999 Total assets	2,216	1,808	1,844	1,881
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	44	78	80	82
2204 Liabilities for loan guarantees	84	288	294	300
2207 Other	977	1,094	1,116	1,138
2999 Total liabilities	1,105	1,460	1,490	1,520
NET POSITION:				
3100 Appropriated capital	1,699	.....	.....	.....
3300 Cumulative results of operations	–589	348	354	361
3999 Total net position	1,110	348	354	361
4999 Total liabilities and net position	2,215	1,808	1,844	1,881

Public enterprise funds:

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 83–4027–0–3–155	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Interest expense-Federal Financing Bank	285	179	130
00.02 Interest on advances under letters of credit and other expenses	27	12	9
00.06 Claim payments, gross	629	259	226
00.08 Claim recoveries	–298	.....	.....
10.00 Total obligations	643	450	365
Budgetary resources available for obligation:			
21.47 Unobligated balance available, start of year: Authority to borrow	963	2,091	2,543
22.00 New budget authority (gross)	1,312	1,602	1,009
22.10 Resources available from recoveries of prior year obligations	460	50	.....
22.60 Redemption of debt	.....	–750	–550
23.90 Total budgetary resources available for obligation	2,735	2,993	3,002
23.95 New obligations	–643	–450	–365
24.47 Unobligated balance available, end of year: Authority to borrow	2,091	2,543	2,637
New budget authority (gross), detail:			
60.05 Appropriation (indefinite)	1,273	.....	.....
60.47 Portion applied to debt reduction	–1,001	.....	.....
60.48 Portion applied to liquidate deficiencies	–272	.....	.....
63.00 Appropriation (total)	.....	.....	.....
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1,312	1,602	1,009
70.00 Total new budget authority (gross)	1,312	1,602	1,009

	Change in unpaid obligations:			
	Unpaid obligations, start of year:			
	Obligated balance:			
72.47	Authority to borrow	385	571	.....
72.90	Treasury balance	1,262	73	45
72.91	U.S. Securities: Par value	57	135	544
72.99	Total unpaid obligations, start of year	1,704	779	589
73.10	New obligations	643	450	365
73.20	Total outlays (gross)	–1,108	–590	–500
73.45	Adjustments in unexpired accounts	–460	–50	.....
	Unpaid obligations, end of year:			
	Obligated balance:			
74.47	Authority to borrow	571	.....	.....
	Fund balance:			
74.90	Treasury balance	73	45	45
74.91	U.S. Securities: Par value	135	544	409
74.99	Total unpaid obligations, end of year	779	589	454
	Outlays (gross), detail:			
86.98	Outlays from permanent balances	1,108	590	500
87.00	Total outlays (gross)	1,108	590	500
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	.....	–64	–30
88.20	Interest on U.S. securities	–6	–9	–7
	Non-Federal sources:			
88.40	Loans repaid	–727	–1,197	–709
88.40	Interest and fee revenue from loans	–536	–299	–236
88.40	Guarantee fees	–36	–28	–22
88.40	Insurance premiums	–7	–5	–5
88.90	Total, offsetting collections (cash)	–1,312	–1,602	–1,009
	Net budget authority and outlays:			
89.00	Budget authority	.....	.....	.....
90.00	Outlays	–204	–1,012	–509

Status of Direct Loans (in millions of dollars)

Identification code 83–4027–0–3–155		1995 actual	1996 est.	1997 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	6,658	6,138	4,600
1231	Disbursements: Direct loan disbursements	193	140	102
1251	Repayments: Repayments and prepayments	–668	–1,261	–739
	Write-offs for default:			
1263	Direct loans	–45	.....	.....
1264	Other adjustments, net	.....	–417	–192
1290	Outstanding, end of year	6,138	4,600	3,771

Status of Guaranteed Loans (in millions of dollars)

Identification code 83–4027–0–3–155		1995 actual	1996 est.	1997 est.
	Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	5,020	4,010	2,817
2231	Disbursements of new guaranteed loans	288	300	275
2251	Repayments and prepayments	–1,298	–1,493	–1,188
2290	Outstanding, end of year	4,010	2,817	1,904
	Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	4,010	2,817	1,904

DATA ON DIRECT LOANS

[In millions of dollars]

	1995 actual	*1996 estimate*	*1997 estimate*
Undisbursed loan authorizations, end of year	5,041	6,690	8,593
Credit authorizations	1,598	2,855	3,312
Credit cancellations	464	492	654
Loan disbursements	838	713	756
Capitalized interest	58	59	60
Loan principal repayments	613	625	636
Loan write-offs	45	11	.....
Loans outstanding, end of year	7,627	7,764	7,945

DATA ON GUARANTEES

[In millions of dollars]

	1995 actual	*1996 estimate*	*1997 estimate*
Undisbursed balance, end of year	12,017	14,457	16,578
Authorizations	5,712	8,661	8,886
Cancellations	1,959	2,403	2,891
Shipments	4,069	3,817	3,874
Principal repayments	3,290	3,564	3,616
Outstanding balance, end of year	17,150	17,404	17,662

DATA ON INSURANCE

[In millions of dollars]

	1995 actual	*1996 estimate*	*1997 estimate*
Undisbursed balance, end of year	6,213	5,691	6,160
Authorizations	4,555	4,970	5,500
Cancellations	1,613	1,864	1,707
Shipments	4,073	3,629	3,323
Principal repayments	3,872	3,679	3,404
Outstanding balance, end of year	1,134	1,084	1,003

DATA ON GRANT PORTION OF TIED-AID CREDIT

[In millions of dollars]

	1995 actual	*1996 estimate*	*1997 estimate*
Grant portion of tied-aid credit	7	100	85
Estimated outlays	4	11	25

Note.—The Export Enhancement Act of 1992 eliminated the fractional reserve.

POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY

[In millions of dollars]

	1994 actual	*1995 actual*	*1996 est.*	*1997 est.*
Statutory authority	75,000	75,000	75,000	75,000
Charges against authority:				
Loan program:				
Loans outstanding	7,485	7,627	7,764	7,945
Loans undisbursed	4,754	5,041	6,690	8,593
Rescheduled claims	1,875	1,939	2,006	2,074
Subtotal	14,114	14,607	16,460	18,612
Export guarantees and insurance program:				
Export Credit Insurance	12,610	7,347	6,774	7,163
Export Credit Guarantees	29,167	29,168	31,862	34,240
Subtotal	41,777	36,515	38,636	41,402
Total charges against authority	55,891	51,122	55,096	60,015
Unused authority	19,109	23,878	19,904	14,985

Operating results and financial condition.—The Bank is a wholly owned Government corporation. Capital stock of $1 billion was purchased by the U.S. Treasury. In addition, the Bank is authorized to borrow from the Treasury up to $6 billion. The Bank pays interest on such borrowings.

The Bank has a Reserve for Possible Credit Losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The Provision for Possible Credit Losses is based on the Bank's evaluation of the adequacy of the Reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the Reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Bank's net operating loss is estimated to be $295 million in 1996, compared with a loss of $1,318 million in 1995 and an operating loss of $806 million in 1994. Total Govern-

Public enterprise funds—Continued

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT—Continued

ment equity in the corporation is estimated to be negative $255 million on September 30, 1996.

Statement of Operations (in millions of dollars)

Identification code 83–4027–0–3–155	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	489	586	700	850
0102 Expense	–1,051	–1,924	–1,500	–1,200
0109 Net income	–562	–1,338	–800	–350

Balance Sheet (in millions of dollars)

Identification code 83–4027–0–3–155	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	119	94	73	50
Investments in US securities:				
1102 Treasury securities, par	57	135	150	150
1206 Non-Federal assets: Receivables, net	27	15	15	10
Net value of assets related to pre–1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	6,658	6,138	4,600	3,771
1602 Interest receivable	40	42	35	30
1603 Allowance for estimated uncollectible loans and interest (–)	–2,429	–1,586	–1,300	–1,000
1699 Value of assets related to direct loans	4,269	4,594	3,335	2,801
1701 Defaulted guaranteed loans, gross	2,302	2,526	2,500	2,500
1702 Interest receivable	20	17	20	20
1703 Allowance for estimated uncollectible loans and interest (–)	–3,709	–3,625	–3,500	–3,400
1704 Defaulted guaranteed loans and interest receivable, net	–1,387	–1,082	–980	–880
1799 Value of assets related to loan guarantees	–1,387	–1,082	–980	–880
1999 Total assets	3,085	3,756	2,593	2,131
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	32	20	15	10
2103 Debt	3,926	2,506	1,800	1,600
Non-Federal liabilities:				
2201 Accounts payable	.....	.....	1	1
2202 Interest payable	1	7	1	1
2203 Debt	45	280	200	150
2204 Liabilities for loan guarantees	290	204	150	110
2207 Other	1,038	3,353	3,000	2,500
2999 Total liabilities	5,332	6,370	5,167	4,372
NET POSITION:				
3100 Appropriated capital	119	282	200	150
3200 Invested capital	1,000	1,000	1,000	1,000
3300 Cumulative results of operations	–3,367	–3,896	–3,774	–3,391
3999 Total net position	–2,248	–2,614	–2,574	–2,241
4999 Total liabilities and net position	3,084	3,756	2,593	2,131

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Object Classification (in millions of dollars)

Identification code 83–4027–0–3–155	1995 actual	1996 est.	1997 est.
33.0 Investments and loans	331	259	226
43.0 Interest and dividends	312	191	139
99.9 Total obligations	643	450	365

FARM CREDIT ADMINISTRATION

Federal Funds

Public enterprise funds:

[ADMINISTRATIVE PROVISION]

[SEC. 601. (a) For purposes of the administration of chapter 89 of title 5, United States Code, any period of enrollment under a health benefits plan administered by the Farm Credit Administration prior to the effective date of this Act shall be deemed to be a period of enrollment in a health benefits plan under chapter 89 of such title.]

[(b)(1) An individual who, on September 30, 1995, is covered by a health benefits plan administered by the Farm Credit Administration may enroll in an approved health benefits plan described under section 8903 or 8903a of title 5, United States Code—

(A) either as an individual or for self and family, if such individual is an employee, annuitant, or former spouse as defined under section 8901 of such title; and

(B) for coverage effective on and after September 30, 1995.

(2) An individual who, on September 30, 1995, is entitled to continued coverage under a health benefits plan administered by the Farm Credit Administration—

(A) shall be deemed to be entitled to continued coverage under section 8905a of title 5, United States Code, for the same period that would have been permitted under the plan administered by the Farm Credit Administration; and

(B) may enroll in an approved health benefits plan described under sections 8903 or 8903a of such title in accordance with section 8905A of such title for coverage effective on and after September 30, 1995.

(3) An individual who, on September 30, 1995, is covered as an unmarried dependent child under a health benefits plan administered by the Farm Credit Administration and who is not a member of family as defined under section 8901(5) of title 5, United States Code—

(A) shall be deemed to be entitled to continued coverage under section 8905a of such title as though the individual had, on September 30, 1995, ceased to meet the requirements for being considered an unmarried dependent child under chapter 89 of such title; and

(B) may enroll in an approved health benefits plan described under section 8903 or 8903a of such title in accordance with section 8905a for continued coverage on and after September 30, 1995.]

[(c) The Farm Credit Administration shall transfer to the Federal Employees Health Benefits Fund established under section 8909 of title 5, United States Code, amounts determined by the Director of the Office of Personnel Management, after consultation with the Farm Credit Administration, to be necessary to reimburse the Fund for the cost of providing benefits under this section not otherwise paid for by the individuals covered by this section. The amount so transferred shall be held in the Fund and used by the Office in addition to the amounts available under section 8906(g)(1) of such title.]

[(d) The Office of Personnel Management—

(1) shall administer the provisions of this section to provide for—

(A) a period of notice and open enrollment for individuals affected by this section; and

(B) no lapse of health coverage for individuals who enroll in a health benefits plan under chapter 89 of title 5, United States Code, in accordance with this section; and

(2) may prescribe regulations to implement this section.] *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1996.)*

ADMINISTRATIVE EXPENSES

Program and Financing (in millions of dollars)

Identification code 78–4131–0–3–351	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	39	38	38
00.03 Refunds to Clients	3	.....	.....
10.00 Total obligations	42	38	38
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance	1	.....	.....
21.91 U.S. Securities: Par value	4	4	4

21.99	Total unobligated balance, start of year	5	4	4
22.00	New budget authority (gross)	41	38	38
23.90	Total budgetary resources available for obligation	46	42	42
23.95	New obligations	–42	–38	–38
24.91	Unobligated balance available, end of year: U.S. Securities: Par value	4	4	4
	New budget authority (gross), detail:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	41	38	38
	Change in unpaid obligations:			
	Unpaid obligations, start of year:			
	Obligated balance:			
72.40	Appropriation	1	2	2
72.91	U.S. Securities: Par value	9	9	9
72.99	Total unpaid obligations, start of year	10	11	11
73.10	New obligations	42	38	38
73.20	Total outlays (gross)	–40	–38	–38
	Unpaid obligations, end of year:			
	Obligated balance:			
74.40	Appropriation	2	2	2
74.91	U.S. Securities: Par value	9	9	9
74.99	Total unpaid obligations, end of year	11	11	11
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority	40	38	38
87.00	Total outlays (gross)	40	38	38
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.40	Non-Federal sources	–41	–38	–38
88.90	Total, offsetting collections (cash)	–41	–38	–38
	Net budget authority and outlays:			
89.00	Budget authority			
90.00	Outlays	–1		

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System for safety and soundness. The Farm Credit System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Beginning in 1990, the FCA also performs annual examinations of the Federal Agriculture Mortgage Corporation.

As of August 1, 1995, the Farm Credit System is comprised of six Farm Credit Banks, one Agricultural Credit Bank, one regional bank for cooperatives, 230 associations, five service corporations, and three institutions, including the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank and bank for cooperatives lend to eligible borrowers nationwide.

Assessments based upon estimated administrative expenses are collected from institutions in the Farm Credit System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

Balance Sheet (in millions of dollars)

Identification code 78–4131–0–3–351	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	1	2	2	2
Investments in US securities:				
1102 Treasury securities, par	13	13	10	10
1207 Non-Federal assets: Advances and prepayments	1	1	1	1
1803 Other Federal assets: Property, plant and equipment, net	1			
1999 Total assets	16	16	13	13
LIABILITIES:				
2101 Federal liabilities: Accounts payable		3		
2201 Non-Federal liabilities: Accounts payable	9	12	12	12
2999 Total liabilities	9	15	12	12
NET POSITION:				
3100 Appropriated capital	7	1	1	1
3999 Total net position	7	1	1	1
4999 Total liabilities and net position	16	16	13	13

Object Classification (in millions of dollars)

Identification code 78–4131–0–3–351	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	22	24	23
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	25	26	25
12.1 Civilian personnel benefits	6	5	5
13.0 Benefits for former personnel	3		1
21.0 Travel and transportation of persons	2	2	2
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services	1	2	2
26.0 Supplies and materials	1	1	
31.0 Equipment	1		1
44.0 Refunds	3		
99.5 Below reporting threshold		1	1
99.9 Total obligations	42	38	38

Personnel Summary

Identification code 78–4131–0–3–351	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	392	383	356
1005 Full-time equivalent of overtime and holiday hours	1	1	1

FARM CREDIT SYSTEM FINANCIAL ASSISTANCE CORPORATION

Financial Assistance Corporation Assistance Fund

Program and Financing (in millions of dollars)

Identification code 78–4134–0–3–351	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.02 Interest expenses	117	117	117
10.00 Total obligations (object class 43.0)	117	117	117
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance			
21.91 U.S. Securities: Par value	320	376	459
21.99 Total unobligated balance, start of year	320	376	459
22.00 New budget authority (gross)	173	200	194
23.90 Total budgetary resources available for obligation	493	576	653
23.95 New obligations	–117	–117	–117
Unobligated balance available, end of year:			
24.90 Fund balance			
24.91 U.S. Securities: Par value	376	459	536
24.99 Total unobligated balance, end of year	376	459	536
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	173	200	194
Change in unpaid obligations:			
73.10 New obligations	117	117	117
73.20 Total outlays (gross)	–117	–117	–117
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	117	117	117
87.00 Total outlays (gross)	117	117	117

FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 78–4134–0–3–351	1995 actual	1996 est.	1997 est.
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–71	–46	–45
88.40 Non-Federal sources	–102	–154	–149
88.90 Total, offsetting collections (cash)	–173	–200	–194
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	–56	–83	–77

Status of Direct Loans (in millions of dollars)

Identification code 78–4134–0–3–351	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,058	1,010	969
1251 Repayments: Repayments and prepayments	–48	–41	–42
1290 Outstanding, end of year	1,010	969	927

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to Farm Credit System institutions experiencing financial difficulties. The FAC was re-classified from a Government-sponsored enterprise to a federal entity beginning in 1993, when most of the private capital in FAC, provided by the Farm Credit System, was rebated from the FAC Trust Fund, pursuant to the 1989 Reconciliation and Agriculture Appropriations Acts. Authority for FAC to issue obligations and provide assistance expired in 1992, after $1.26 billion in FAC debt had been issued.

Except for debt issued for Capital Preservation cash-outs, the U.S. Treasury pays all the interest on 15-year, uncollateralized FAC obligations in the first five years, and up to half the interest in the second five years. The system is responsible for a greater share of the interest payment in the second five years if retained earnings exceed five percent of assets. FAC estimates that the system will pay 80 percent of the fiscal year 1996 expense and 87 percent of the fiscal year 1997 expense. The Farm Credit System is required to eventually reimburse Treasury for these payments, and will redeem FAC debt upon maturity or call.

Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC Trust Fund holds and rebates the private capital contributed by the Farm Credit System. Remaining amounts in the Trust Fund are available to cover System defaults on FAC principal and interest payments.

Trust Funds

FINANCIAL ASSISTANCE CORPORATION TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 78–8202–0–7–351	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Financial Assistance Corporation Trust Fund	5	5	6
Appropriation:			
05.01 Financial assistance corporation trust fund	–5	–5	–6
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 78–8202–0–7–351	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	77	82	87
22.00 New budget authority (gross)	5	5	6
23.90 Total budgetary resources available for obligation	82	87	93
23.95 New obligations			
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	82	87	93
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	5	5	6
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority	5	5	6
90.00 Outlays			

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

Public enterprise funds:

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 78–4171–0–3–351	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administrative operating expense	1	1	2
10.00 Total obligations	1	1	2
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value	759	884	1,016
21.92 Unrealized discounts	–3	–5	–5
21.99 Total unobligated balance, start of year	756	879	1,011
22.00 New budget authority (gross)	124	133	138
23.90 Total budgetary resources available for obligation	880	1,012	1,149
23.95 New obligations	–1	–1	–2
Unobligated balance available, end of year:			
U.S. Securities:			
24.91 Par value	884	1,016	1,152
24.92 Unrealized discounts	–5	–5	–5
24.99 Total unobligated balance, end of year	879	1,011	1,147
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	124	133	138
Change in unpaid obligations:			
73.10 New obligations	1	1	2
73.20 Total outlays (gross)	–1	–1	–2
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	1	1	2
87.00 Total outlays (gross)	1	1	2
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–46	–56	–61
88.40 Non-Federal sources	–78	–77	–77
88.90 Total, offsetting collections (cash)	–124	–133	–138

	Net budget authority and outlays:			
89.00	Budget authority			
90.00	Outlays	–123	–132	–136

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on Farm Credit System (System) debt obligations purchased by investors. The Corporation collects insurance premiums from insured System banks based on the level of accruing and non-accruing loans outstanding in each bank's loan portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Director to be actuarially sound to maintain the Insurance Fund.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation and satisfy defaults by system institutions on obligations issued by the Farm Credit System Financial Assistance Corporation after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Farm Credit System Reform Act of 1996 removed the legislative requirement for a full-time separate Board for the Insurance Corporation. Under this Act, members of the Farm Credit Administration Board will continue to also constitute the Board for the Insurance Corporation. The Administration supports a separate Board for the Insurance Corporation, economically structured and staffed, to provide the maximum level of independent decision-making to assure the safety and soundness of the insurance fund.

Statement of Operations (in millions of dollars)

Identification code 78–4171–0–3–351	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	119	131	135	145
0102 Expense	40	12	–12	–13
0109 Net income or loss (–)	159	143	123	132

Balance Sheet (in millions of dollars)

Identification code 78–4171–0–3–351	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Investments in US securities:				
1102 Federal assets: Treasury securities, par	790	915	1,046	1,185
Non-Federal assets:				
Receivables, net:				
1206 Accrued interest receivable	12	16	16	18
1206 Premium receivable	56	57	57	57
1999 Total assets	858	988	1,119	1,260
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	134	120	128	137
2999 Total liabilities	134	120	128	137
NET POSITION:				
3100 Appropriated capital	724	868	991	1,123
3999 Total net position	724	868	991	1,123
4999 Total liabilities and net position	858	988	1,119	1,260

Object Classification (in millions of dollars)

Identification code 78–4171–0–3–351	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services			1
99.0 Subtotal, reimbursable obligations	1	1	2
99.9 Total obligations	1	1	2

Personnel Summary

Identification code 78–4171–0–3–351	1995 actual	1996 est.	1997 est.
2001 Total compensable workyears: Full-time equivalent employment	9	10	10

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901–02; not to exceed $600,000 for land and structures; not to exceed $500,000 for improvement and care of grounds and repair to buildings; not to exceed $4,000 for official reception and representation expenses; purchase (not to exceed sixteen) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109; $222,538,000, of which not to exceed $300,000 shall remain available until September 30, 1998, for research and policy studies: Provided, That $153,123,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall remain available until expended for necessary expenses in this appropriation: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 1997 so as to result in a final fiscal year 1997 appropriation from the General Fund estimated at $70,015,000: Provided further, That any offsetting collections received in excess of $153,123,000 in fiscal year 1997 shall remain available until expended, but shall not be available for obligation until October 1, 1997.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 27–0100–0–1–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Authorization of service	46	41	45
00.02 Policy and rulemaking	7	6	11
00.03 Enforcement	11	8	10
00.04 Public information services	5	4	4
00.91 Total direct program	69	59	70
01.01 Reimbursable program	141	145	153
10.00 Total obligations	210	204	223
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1		
22.00 New budget authority (gross)	210	204	223
23.90 Total budgetary resources available for obligation	211	204	223
23.95 New obligations	–210	–204	–223
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	69	59	70
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Spending authority from offsetting collections (reimbursable Federal)	1	1	1
68.00 Spending authority from offsetting collections (regulatory fees)	140	144	152
68.90 Spending authority from offsetting collections (total)	141	145	153
70.00 Total new budget authority (gross)	210	204	223
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	29	38	38
73.10 New obligations	210	204	223

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 27–0100–0–1–376	1995 actual	1996 est.	1997 est.
73.20 Total outlays (gross)	–198	–204	–221
73.40 Adjustments in expired accounts	–3	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	38	38	41
Outlays (gross), detail:			
86.90 Outlays from new current authority	54	55	66
86.93 Outlays from current balances	3	4	4
86.97 Outlays from new permanent authority	141	145	153
87.00 Total outlays (gross)	198	204	221
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–1	–1	–1
88.40 Non-Federal sources	–140	–144	–152
88.90 Total, offsetting collections (cash)	–141	–145	–153
Net budget authority and outlays:			
89.00 Budget authority	69	59	70
90.00 Outlays	57	59	68

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	69	59	70
Outlays	57	59	70
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	10	.....
Outlays	.....	9	1
Total:			
Budget Authority	69	69	70
Outlays	57	68	71

Authorization of Service.—This activity includes the authorization or licensing of radio stations, telecommunications equipment and radio operators, as well as the authorization of common carrier and other services and facilities. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with authorization activities.

Policy and Rule Making.—This activity includes: formal inquiries, rule making proceedings to establish or amend the Federal Communications Commission's (FCC or Commission) rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers; economic studies and analyses; spectrum planning, modeling, propagation-interference analyses and allocation; and development of equipment standards. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with policy and rule making activities.

Enforcement.—This activity includes enforcement of the Commission's rules, regulations and authorizations, including investigations, inspections, compliance monitoring and sanctions of all types. Also included are the receipt and disposition of formal and informal complaints regarding common carrier rates and services, the review and acceptance/rejection of carrier tariffs, and the review, prescription and audit of carrier accounting practices. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

Public Information Services.—This activity includes: the publication and dissemination of Commission decisions and actions, and related activities; public reference and library services; the duplication and dissemination of Commission records and databases; the receipt and disposition of public inquiries; consumer, small business and public assistance; and public affairs and media relations. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with public information activities.

Object Classification (in millions of dollars)

Identification code 27–0100–0–1–376	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	37	33	24
11.3 Other than full-time permanent	2	2	1
11.5 Other personnel compensation	4	3	2
11.9 Total personnel compensation	43	38	27
12.1 Civilian personnel benefits	8	7	5
21.0 Travel and transportation of persons	1	1	.....
23.1 Rental payments to GSA	6	5	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	.....	.....
25.2 Other services	3	1	14
25.3 Purchases of goods and services from Government accounts	1	1	1
25.4 Operation and maintenance of facilities	1	.....	.....
25.7 Operation and maintenance of equipment	2	2	1
26.0 Supplies and materials	1	1	3
31.0 Equipment	1	1	11
99.0 Subtotal, direct obligations	70	59	70
99.0 Reimbursable obligations	140	145	153
99.9 Total obligations	210	204	223

Personnel Summary

Identification code 27–0100–0–1–376	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	532	510	502
1005 Full-time equivalent of overtime and holiday hours	18	12	12
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,580	1,595	1,753

UNIVERSAL SERVICE FUND

Unavailable Collections (in millions of dollars)

Identification code 27–5183–0–2–376	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.01 Universal service fund	4,300	4,300	4,700
Appropriation:			
05.01 Universal service fund	–4,300	–4,300	–4,700
07.99 Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 27–5183–0–2–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	4,300	4,300	4,700
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4,300	4,300	4,700
23.95 New obligations	–4,300	–4,300	–4,700
New budget authority (gross), detail:			
60.25 Appropriation (special fund, indefinite)	4,300	4,300	4,700
Change in unpaid obligations:			
73.10 New obligations	4,300	4,300	4,700
73.20 Total outlays (gross)	–4,300	–4,300	–4,700
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	4,300	4,300	4,700

87.00	Total outlays (gross)	4,300	4,300	4,700
	Net budget authority and outlays:			
89.00	Budget authority	4,300	4,300	4,700
90.00	Outlays	4,300	4,300	4,700

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, all interstate telecommunications carriers would be required to contribute funds, as prescribed by the FCC, to the preservation and advancement of universal service. The contributions would be used to provide and upgrade facilities and services, as prescribed by the FCC. Telecommunications carriers would receive credit towards their contribution by providing discount service to schools, libraries, and health care providers in rural areas.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Offsetting receipts from the public:			
27–242900 Fees for services	51	40	40
27–247400 Auction receipts	7,644	4,200	1,600
Legislative proposal, subject to PAYGO	.....	150	2,000
General Fund Offsetting receipts from the public	7,695	4,390	3,640

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Corporation to use the least costly method to resolve failed banks and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.

The deposit insurance ceiling protection has been $100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assessment rates for insured financial institutions semi-annually to build and maintain the reserves of the BIF and SAIF to 1.25 percent of total insured deposits.

Federal Funds

Public enterprise funds:

BANK INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51–4064–0–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Administrative expenses:			
00.01 Operating expenses	442	435	373
00.02 Office of Inspector General Expenses	21	7	6
00.91 Subtotal, administrative expenses	463	442	379
Capital investment:			
01.01 Purchase of assets	1,227	521	338
01.02 Case resolution losses	91	169	155
01.03 Liquidation and insurance expenses	717	680	519
01.91 Subtotal, capital investment	2,035	1,370	1,012
10.00 Total obligations	2,498	1,813	1,390
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value	13,811	20,933	22,975
21.92 Unrealized discounts	.....	–246	–149
21.99 Total unobligated balance, start of year	13,811	20,687	22,826
22.00 New budget authority (gross)	9,375	3,951	3,093
23.90 Total budgetary resources available for obligation	23,186	24,638	25,919
23.95 New obligations	–2,498	–1,813	–1,390
Unobligated balance available, end of year:			
U.S. Securities:			
24.91 Par value	20,933	22,975	24,528
24.92 Unrealized discounts	–246	–149	.....
24.99 Total unobligated balance, end of year	20,687	22,826	24,528
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	9,375	3,951	3,093
Change in unpaid obligations:			
72.91 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	44	84	84
73.10 New obligations	2,498	1,813	1,390
73.20 Total outlays (gross)	–2,459	–1,813	–1,390
74.91 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	84	84	84
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	2,459	1,813	1,391
87.00 Total outlays (gross)	2,459	1,813	1,390
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	–755	–1,111	–1,097
Non-Federal sources:			
88.40 Asset recoveries	–5,690	–2,840	–1,996
88.40 Premium assessments	–2,915	.....	.....
88.40 Other receipts	–15	.....	.....
88.90 Total, offsetting collections (cash)	–9,375	–3,951	–3,093
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	–6,916	–2,138	–1,703

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	.....	.....	.....
Outlays	–6,916	–2,138	–1,702
Legislative proposal, not subject to PAYGO:			
Budget Authority	.....	.....	.....
Outlays	.....	.....	–248
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	.....	.....
Outlays	.....	607	22
Total:			
Budget Authority	.....	.....	.....
Outlays	–6,916	–1,531	–1,928

Status of Direct Loans (in millions of dollars)

Identification code 51–4064–0–3–373	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	137	132	113
1251 Repayments: Repayments and prepayments	–5	–20	.....
1290 Outstanding, end of year	132	113	113

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by in-

Public enterprise funds—Continued

BANK INSURANCE FUND—Continued

sured banks. The fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks and for the payment of administrative and insurance expenses. As of September 1995, BIF's fund balance totaled $25.6 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to $30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the $30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

Statement of Operations (in millions of dollars)

Identification code 51–4064–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
Revenue:				
0101 Interest on Treasury securities	396	964	1,111	1,097
0101 Premium assessments	5,594	4,017	.....	.....
0101 Other	484	123	.....	.....
Expense:				
0102 Administrative and operating expenses	–402	–443	–442	–379
0102 Interest and insurance expenses	–223	–69	.....	.....
0102 Expenses incurred in protecting depositors in banks	394	322	–169	–155
0102 Other	–3	–23	–11	.....
0109 Net income or loss (–)	6,240	4,891	489	563
0191 Total revenues	6,474	5,104	1,111	1,097
0192 Total expenses	–234	–213	–622	–534
0199 Net income or loss	6,240	4,891	489	563

Balance Sheet (in millions of dollars)

Identification code 51–4064–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par	14,040	20,991	23,059	24,598
1106 Receivables, net	233	375	249	249
1206 Non-Federal assets: Receivables, net	20	45	45	45
Other Federal assets:				
1801 Cash and other monetary assets	14	8	8	8
1803 Property, plant and equipment, net	156	153	152	152
1901 Other assets	8,499	5,506	3,589	2,613
1999 Total assets	22,962	27,078	27,102	27,665
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	23	48	47	47
2104 Liabilities incurred in failed banks	316	124	246	246
Non-Federal liabilities:				
2201 Accounts payable	60	78	78	78
2206 Pension and other actuarial liabilities	354	375	375	375
Other:				
2207 Unearned revenue	1,503	852	267	267
2207 Litigation losses	13	19	19	19
2207 Other	1	.....	.....	.....
2999 Total liabilities	2,270	1,496	1,032	1,032
NET POSITION:[1]				
3300 Cumulative results of operations	20,691	25,581	26,070	26,633
3999 Total net position	20,691	25,581	26,070	26,633
4999 Total liabilities and net position	22,961	27,077	27,102	27,665

[1] Total net position does not include reserves for future bank resolution costs. The FDIC estimates reserves of $479 million in 1995, $349 million in 1996, and $435 million in 1997.

Object Classification (in millions of dollars)

Identification code 51–4064–0–3–373	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	455	406	348
12.1 Civilian personnel benefits	199	128	108
13.0 Benefits for former personnel	.....	8	7
21.0 Travel and transportation of persons	44	26	22
23.2 Rental payments to others	19	30	26
23.3 Communications, utilities, and miscellaneous charges	10	.....	.....
24.0 Printing and reproduction	1	.....	.....
25.2 Other services	18	84	72
26.0 Supplies and materials	5	3	3
31.0 Equipment	13	13	11
32.0 Land and structures	6	4	3
Undistributed:			
92.0 Miscellaneous and liquidation expenses	388	413	291
92.0 Undistributed resolution outlays	1,318	691	492
92.0 Undistributed	21	7	6
99.5 Below reporting threshold	1	.....	1
99.9 Total obligations	2,498	1,813	1,390

Personnel Summary

Identification code 51–4064–0–3–373	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	9,486	8,161	6,398

BANK INSURANCE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 51–4064–2–3–373	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	.....	.....	248
23.95 New obligations	.....	.....	.....
24.91 Unobligated balance available, end of year: U.S. Securities: Par value	.....	.....	248
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	.....	.....	248
Change in unpaid obligations:			
73.10 New obligations	.....	.....	.....
73.20 Total outlays (gross)	.....	.....	.....
Outlays (gross), detail:			
87.00 Total outlays (gross)	.....	.....	.....
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Premium assessments	.....	.....	–248
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	.....	.....	–248

The Administration has proposed that a one-time special assessment be imposed on SAIF-insured deposits to bring SAIF up to its statutory 1.25 percent reserve ratio. In addition, the Administration's proposal would spread responsibility for making interest payments on the Financing Corporation bonds across all FDIC-insured banks and thrifts. The proposal would also shift some deposits that are currently insured by SAIF to BIF, and require that FDIC rebate excess premium income if the BIF reserve ratio exceeds 1.25 percent. The proposal would merge SAIF with BIF in 1998, provided that additional legislative action to eliminate the Federal thrift charter has occurred. This proposal also has effects that are subject to PAYGO and included in the schedules below.

Statement of Operations (in millions of dollars)

Identification code 51–4064–2–3–373	1994 actual	1995 actual	1996 est.	1997 est.
0101 Premium assessments				248
0102 Adminstratvie and operating expenses				
0109 Net income or loss (–)				248
0191 Total revenues				248
0192 Total expenses				
0199 Net income or loss				248

Balance Sheet (in millions of dollars)

Identification code 51–4064–2–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par				248
1106 Receivables, net				
1999 Total assets				248
NET POSITION:				
3300 Cumulative results of operations				248
3999 Total net position				248
4999 Total liabilities and net position				248

Bank Insurance Fund

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 51–4064–4–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Administrative expenses:			
00.01 Insurance premium rebate		592	
10.00 Total obligations		592	
Budgetary resources available for obligation:			
21.91 Unobligated balance available, start of year: U.S. Securities: Par value			–607
22.00 New budget authority (gross)		–15	–22
23.90 Total budgetary resources available for obligation		–15	–629
23.95 New obligations		–592	
24.91 Unobligated balance available, end of year: U.S. Securities: Par value		–607	–629
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Interest on U.S. securities		–15	–22
68.00 Premium assessments			–75
68.00 Exam fees			75
68.90 Spending authority from offsetting collections (total)		–15	–22
70.00 Total new budget authority (gross)		–15	–22
Change in unpaid obligations:			
73.10 New obligations		592	
73.20 Total outlays (gross)		–592	
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		592	
87.00 Total outlays (gross)		592	
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities		15	22
Non-Federal sources:			
88.40 Premium assessments			75
88.40 Exam fees			–75
88.90 Total, offsetting collections (cash)		15	22
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		607	22

The Administration has also proposed that all bank-holding companies and FDIC-insured banks be required to pay fees to the appropriate Federal banking agency in amounts sufficient to defray the agency's cost of supervising such institutions. The appropriate Federal banking agency, in establishing fees for State banks, shall take into account the extent to which State bank supervision reduces the need for Federal supervision. Fees would not apply to State banks with assets of less than $100 million. Currently, some financial institutions are not required to pay Federal fees for examinations. This proposal is intended to reduce the inequity among FDIC-insured banks. The schedules below also include the BIF/SAIF proposal effects, from above, that are subject to PAYGO.

Statement of Operations (in millions of dollars)

Identification code 51–4064–4–3–373	1994 actual	1995 actual	1996 est.	1997 est.
Revenue:				
0101 Interest on Treasury securities			–15	–22
0101 Premium assessments			–592	–75
0101 Exam fees				75
0109 Net income or loss (–)			–607	–22
0191 Total revenues			–607	–22
0192 Total expenses				
0199 Net income or loss			–607	–22

Balance Sheet (in millions of dollars)

Identification code 51–4064–4–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par			–592	
1106 Receivables, net			–15	–22
1999 Total assets			–607	–22
NET POSITION:				
3300 Cumulative results of operations			–607	–22
3999 Total net position			–607	–22
4999 Total liabilities and net position			–607	–22

Savings Association Insurance Fund

Program and Financing (in millions of dollars)

Identification code 51–4066–0–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Administrative expenses:			
00.02 Operating expense	18	101	101
00.03 OIG operating expense			1
00.91 Subtotal, administrative expenses	18	101	102
Capital investment:			
01.02 Working capital disbursements		196	392
01.03 Net case resolution expenses		70	140
01.04 Liquidation expense and misc. disbursements	1		
01.91 Subtotal, capital investment	1	266	532
10.00 Total obligations	19	367	634
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value	2,276	3,592	4,367
21.92 Unrealized discounts	–13	–18	
21.99 Total unobligated balance, start of year	2,263	3,574	4,367
22.00 New budget authority (gross)	1,330	1,160	1,239

Public enterprise funds—Continued

SAVINGS ASSOCIATION INSURANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 51–4066–0–3–373	1995 actual	1996 est.	1997 est.
23.90 Total budgetary resources available for obligation	3,593	4,734	5,606
23.95 New obligations	–19	–367	–634
Unobligated balance available, end of year:			
U.S. Securities:			
24.91 Par value	3,592	4,367	4,973
24.92 Unrealized discounts	–18	.....	.....
24.99 Total unobligated balance, end of year	3,574	4,367	4,973
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	1,330	1,160	1,239
Change in unpaid obligations:			
72.91 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	217	7	7
73.10 New obligations	19	367	634
73.20 Total outlays (gross)	–229	–367	–634
74.91 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	7	7	7
Outlays (gross), detail:			
86.98 Outlays from permanent balances	229	367	634
87.00 Total outlays (gross)	229	367	634
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	–131	–196	–210
Non-Federal sources:			
88.40 Asset recoveries	–1	–56	–226
88.40 Premium assessments	–958	–909	–804
88.40 Exit/entrance fees	–23	.....	.....
88.40 Other collections	–217	.....	.....
88.90 Total, offsetting collections (cash)	–1,330	–1,160	–1,239
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	–1,101	–793	–606

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	.....	.....	.....
Outlays	–1,101	–793	–605
Legislative proposal, not subject to PAYGO:			
Budget Authority	.....	.....	.....
Outlays	.....	–5,093	499
Total:			
Budget Authority	.....	.....	.....
Outlays	–1,101	–5,886	–106

The SAIF insures depository institutions formerly insured by the FSLIC. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The RTC Completion Act of 1993 authorizes the Secretary of the Treasury to transfer excess RTC funds to SAIF to cover thrift insurance losses for a period of two years after termination of the RTC if the Chairperson of the FDIC certifies that losses cannot be paid through higher insurance premiums. In addition, the RTC Completion Act authorized the appropriation of up to $8 billion to cover SAIF losses.

Statement of Operations (in millions of dollars)

Identification code 51–4066–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
Revenue:				
0101 Income from U.S. Securities	66	148	196	209
0101 Insurance Assessments	1,089	1,132	909	804
Expense:				
0102 Insurance losses	.....	.....	–70	–140
0102 Administrative and operating expenses	–12	–18	–101	–102
0109 Net income or loss (–)	1,143	1,262	934	771
0191 Total revenues	1,155	1,280	1,105	1,013
0192 Total expenses	–12	–18	–171	–242
0199 Net income or loss	1,143	1,262	934	771

Balance Sheet (in millions of dollars)

Identification code 51–4066–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par	2,484	3,598	4,375	4,981
1106 Receivables, net	31	70	61	61
1206 Non-Federal assets: Receivables, net	45	16	16	16
1901 Other Federal assets: Other assets	7	15	148	314
1999 Total assets	2,567	3,699	4,600	5,372
LIABILITIES:				
2101 Federal liabilities: Accounts payable	9	7	7	7
Non-Federal liabilities:				
2201 Accounts payable	.....	1	1	1
Other:				
2207 Unearned revenue	331	154	154	154
2207 Funds held in trust	199	213	213	213
2207 Deferred revenue/other liabilities	.....	34	1	1
2999 Total liabilities	539	409	376	376
NET POSITION:[1]				
3300 Cumulative results of operations	2,028	3,290	4,224	4,995
3999 Total net position	2,028	3,290	4,224	4,995
4999 Total liabilities and net position	2,567	3,699	4,600	5,372

[1] Total net position does not include reserves for future thrift resolution costs. The FDIC estimates reserves of $206 million in 1995, $293 million in 1996, and $374 million in 1997.

Object Classification (in millions of dollars)

Identification code 51–4066–0–3–373	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	9	44	44
12.1 Civilian personnel benefits	4	14	14
21.0 Travel and transportation of persons	1	4	4
23.2 Rental payments to others	1	10	10
25.2 Other services	2	17	17
26.0 Supplies and materials	.....	1	1
31.0 Equipment	.....	11	11
92.0 Undistributed	1	266	533
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	19	367	634

Personnel Summary

Identification code 51–4066–0–3–373	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	191	694	544

SAVINGS ASSOCIATION INSURANCE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 51–4066–2–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	.....	.....	.....
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value	.....	.....	5,093
21.99 Total unobligated balance, start of year	.....	.....	5,093
22.00 New budget authority (gross)	.....	5,093	–499
23.90 Total budgetary resources available for obligation	.....	5,093	4,594

23.95	New obligations			
	Unobligated balance available, end of year:			
	U.S. Securities:			
24.91	Par value		5,093	4,593
24.99	Total unobligated balance, end of year		5,093	4,593
	New budget authority (gross), detail:			
68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash)		5,093	–499
	Change in unpaid obligations:			
73.10	New obligations			
	Outlays (gross), detail:			
87.00	Total outlays (gross)			
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.20	Interest on U.S. securities		–119	–217
88.40	Premium assessments		–4,974	716
88.90	Total, offsetting collections (cash)		–5,093	499
	Net budget authority and outlays:			
89.00	Budget authority			
90.00	Outlays		–5,093	499

The Administration has proposed that a one-time special assessment be imposed on SAIF-insured deposits to bring SAIF up to its statutory 1.25 percent reserve ratio. In addition, the Administration's proposal would spread responsibility for making interest payments on the Financing Corporation bonds across all FDIC-insured banks and thrifts. The proposal would also shift some deposits that are currently insured by SAIF to BIF, and require that FDIC rebate excess premium income if the BIF reserve ration exceeds 1.25 percent. The proposal would merge SAIF with BIF in 1998, provided that additional legislative action to eliminate the Federal thrift charter has occurred.

Statement of Operations (in millions of dollars)

Identification code 51–4066–2–3–373	1994 actual	1995 actual	1996 est.	1997 est.
Revenue:				
0101 Income from U.S. Securities			119	217
0101 Insurance Assessments			4,974	–716
Expense:				
0102 Insurance losses				
0102 Administrative and operating expenses				
0109 Net income or loss (–)			5,093	–499
0191 Total revenues			5,093	–499
0192 Total expenses				
0199 Net income or loss			5,093	–499

Balance Sheet (in millions of dollars)

Identification code 51–4066–2–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Investments in US securities:				
1102 Federal assets: Treasury securities, par			5,093	4,593
1999 Total assets			5,093	4,593
LIABILITIES:				
2999 Total liabilities				
NET POSITION:				
3300 Cumulative results of operations			5,093	4,593
3999 Total net position			5,093	4,593
4999 Total liabilities and net position			5,093	4,593

FSLIC Resolution Fund

Program and Financing (in millions of dollars)

Identification code 51–4065–0–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating expenses	11	143	141
00.02 OIG operating expense	2	8	5
00.03 RTC Affordable Housing Program		6	6
00.91 Subtotal, administrative expenses	13	157	152
01.01 Assistance agreement payments	1,887	796	
01.02 Net case resolution expenses	73	354	335
01.03 Interest expense	12	6	5
01.04 Interest expense—RTC debt		340	282
01.05 Acquisition of assets from receiverships	335		
01.06 Interfund transactions and misc. disbursements	1	72	72
01.91 Subtotal, capital investment	2,308	1,568	694
10.00 Total obligations	2,321	1,725	846
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance		827	962
21.91 U.S. Securities: Par value	1,556	525	519
21.99 Total unobligated balance, start of year	1,556	1,352	1,481
22.00 New budget authority (gross)	2,149	5,196	2,961
22.20 Unobligated balance transferred		1,021	
22.30 Unobligated balance expiring		–3	
Redemption of debt:			
22.60 Redemption of debt	–32	–32	–32
22.60 Redemption of debt—RTC		–4,328	–1,885
23.90 Total budgetary resources available for obligation	3,673	3,206	2,525
23.95 New obligations	–2,321	–1,725	–846
Unobligated balance available, end of year:			
24.90 Fund balance	827	962	962
24.91 U.S. Securities: Par value	525	519	717
24.99 Total unobligated balance, end of year	1,352	1,481	1,679
New budget authority (gross), detail:			
60.00 Appropriation	827		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1,322	5,196	2,961
70.00 Total new budget authority (gross)	2,149	5,196	2,961
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.90 Fund balance			155
72.91 U.S. Securities: Par value	94	3	
72.99 Total unpaid obligations, start of year	94	3	155
73.10 New obligations	2,321	1,725	846
73.20 Total outlays (gross)	–2,412	–1,733	–850
73.30 Obligated balance transferred, net		160	
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Fund balance		155	152
74.91 U.S. Securities: Par value	3		
74.99 Total unpaid obligations, end of year	3	155	152
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	763		
86.98 Outlays from permanent balances	1,649	1,733	850
87.00 Total outlays (gross)	2,412	1,733	850
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	–63	–33	–36
Non-Federal sources:			
88.40 Liquidation collections, FRF receivership assets	–889	–577	–203
88.40 Liquidation collections, RTC assets		–4,467	–2,673
88.40 Collections from FRF-owned assets	–248	–85	–14
88.40 Liquidity assistance note and other collections	–122	–34	–35
88.90 Total, offsetting collections (cash)	–1,322	–5,196	–2,961

Public enterprise funds—Continued

FSLIC RESOLUTION FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 51–4065–0–3–373	1995 actual	1996 est.	1997 est.
Net budget authority and outlays:			
89.00 Budget authority	827	.....	.....
90.00 Outlays	1,090	–3,463	–2,111

Status of Direct Loans (in millions of dollars)

Identification code 51–4065–0–3–373	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	126	95	63
1251 Repayments: Repayments and prepayments	–31	–32	–31
1290 Outstanding, end of year	95	63	32

Status of Guaranteed Loans (in millions of dollars)

Identification code 51–4065–0–3–373	1995 actual	1996 est.	1997 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	360	.....	.....
2251 Repayments and prepayments	–360	.....	.....
2290 Outstanding, end of year	.....	.....	.....

The FRF is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases.

On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF. The 1996 budget for FRF reflects the transfer and shows three calendar quarters of combined operations.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships established prior to January 1, 1989; the proceeds of the sale of bonds by the Financing Corporation; and a portion of insurance premiums paid by SAIF members prior to 1993. The Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury.

In accordance with the RTC Completion Act of 1993, the FDIC and RTC affordable housing programs were fully merged on August 7, 1995. While both programs are carried out by the FDIC Affordable Housing Program Office, separate accounting is maintained for FDIC and RTC assets. The affordable housing program for former RTC assets is funded out of FRF. The table below shows estimates for the affordable housing program in 1996 and 1997, assuming all remaining RTC affordable housing properties will be sold in 1996.

RTC Affordable Housing Program:	*1996 estimate*	*1997 estimate*
Number of Properties In Inventory:		
Single Family	433	0
Multifamily	42	0
Number of Properties Sold:		
Single Family	866	0
Multifamily	79	0
Appraised Value in Inventory (in millions):		
Single Family	30	0
Multifamily	75	0
Appraised Value of Property Sold (in millions):		
Single Family	30	0
Multifamily	75	0
Gross Sales Receipts (in millions):		
Single Family	22	0
Multifamily	53	0

Statement of Operations (in millions of dollars)

Identification code 51–4065–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
Revenue:				
0101 Income from U.S. securities	65	63	30	34
0101 Other revenue	360	427	957	519
Expense:				
0102 Administrative and operating expenses	–18	–13	–157	–152
0102 Expenses incurred in protecting depositors in thrifts	345	207	79	77
0102 Interest and other expenses	–211	–80	–405	–334
0109 Net income or loss (–)	541	604	504	144
0191 Total revenues	425	490	987	553
0192 Total expenses	116	114	–483	–409
0199 Net income or loss	541	604	504	144

Balance Sheet (in millions of dollars)

Identification code 51–4065–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	.....	827	1,034	1,034
Investments in US securities:				
1102 Treasury securities, par	1,649	528	519	717
1206 Non-Federal assets: Receivables, net	.....	13	146	115
1601 Net value of assets related to pre–1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	708	165	.....	.....
Other Federal assets:				
1801 Cash and other monetary assets	30	5	5	5
Other assets:				
1901 Claims against receivers (former RTC)	.....	.....	8,615	6,747
1901 Other assets	753	678	524	384
1999 Total assets	3,140	2,216	10,843	9,002
LIABILITIES:				
2101 Federal liabilities: Accounts payable	9	2	1	1
Non-Federal liabilities:				
2201 Accounts payable	88	4	5	5
Other:				
2207 Debt to the FFB (former RTC)	.....	.....	6,143	4,258
2207 Notes issued after FY 1986	189	158	126	95
2207 Estimated liability for assistance agreements	468	142	35	38
2207 Liabilities incurred from thrift resolutions	2,391	483	.....	.....
2207 Other liabilities	.....	1	295	223
2999 Total liabilities	3,145	790	6,605	4,620
NET POSITION:				
3100 Appropriated capital	.....	827	662	662
3300 Invested capital and losses	–5	599	3,576	3,720
3999 Total net position	–5	1,426	4,238	4,382
4999 Total liabilities and net position	3,140	2,216	10,843	9,002

Object Classification (in millions of dollars)

Identification code 51–4065–0–3–373	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	29	163	124
12.1 Civilian personnel benefits	13	52	40
21.0 Travel and transportation of persons	1	13	10
23.2 Rental payments to others	7	57	43
24.0 Printing and reproduction	.....	1	1
25.2 Other services	9	341	257
26.0 Supplies and materials	.....	3	2
31.0 Equipment	.....	15	11
32.0 Land and structures	.....	3	2
92.0 Undistributed	2,262	1,077	356
99.9 Total obligations	2,321	1,725	846

Personnel Summary

Identification code 51–4065–0–3–373	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	636	2,572	2,016

FDIC—Office of Inspector General

Program and Financing (in millions of dollars)

Identification code 51–4595–0–4–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	23	15	12
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	23	15	12
23.95 New obligations	–23	–15	–12
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	23	15	12
Change in unpaid obligations:			
73.10 New obligations	23	15	12
73.20 Total outlays (gross)	–23	–15	–12
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	23	15	12
87.00 Total outlays (gross)	23	15	12
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–23	–15	–12
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Office of the Inspector General (OIG) activities include audits and investigations, and the prevention and detection of fraud, waste, and mismanagement in the disposition of failed banking institutions' assets by the FDIC. The Resolution Trust Corporation Completion Act of 1993 requires a Presidentally appointed Inspector General. Separately, the OIG Act of 1978 requires each agency with a Presidentially appointed Inspector General to prepare a separate budget statement. Prior to the RTC Completion Act, the FDIC's Inspector General was an administrative position established by the FDIC Board. In accordance with the RTC Completion Act, the FDIC OIG was merged with the RTC OIG on December 31, 1995. The remaining obligations and appropriated funds of the RTC OIG were transferred to the FRF with all other RTC assets and liabilities.

Object Classification (in millions of dollars)

Identification code 51–4595–0–4–373	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	14	8	6
12.1 Civilian personnel benefits	4	3	2
21.0 Travel and transportation of persons	1		1
25.2 Other services	4	4	3
99.0 Subtotal, reimbursable obligations	23	15	12
99.9 Total obligations	23	15	12

Personnel Summary

Identification code 51–4595–0–4–373	1995 actual	1996 est.	1997 est.
2001 Total compensable workyears: Full-time equivalent employment	176	320	286

FDIC-Affordable Housing Program

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 51–1500–0–1–604	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating expenses	2		
01.01 Subsidy expenses	2	1	
10.00 Total obligations	4	1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	1	
23.95 New obligations	–4	–1	
New budget authority (gross), detail:			
40.00 Appropriation	4	1	
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	5	3	2
73.10 New obligations	4	1	
73.20 Total outlays (gross)	–5	–1	
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	3	2	
Outlays (gross), detail:			
86.90 Outlays from new current authority	2		
86.93 Outlays from current balances	3		1
87.00 Total outlays (gross)	5	1	
Net budget authority and outlays:			
89.00 Budget authority	4	1	
90.00 Outlays	5	1	

Section 40 of the Federal Deposit Insurance Act authorizes the FDIC to carry out an affordable housing program. Under the program, single and multifamily properties in FDIC's inventory that are appraised below a certain threshold are held off the general market for a period of 180 days. During that period, only low-income families and individuals, and public agencies and nonprofit organizations that agree to low-income rent restrictions are allowed to bid on the properties. In 1995, the FDIC sold 781 single family properties for a total of $42 million and 182 multifamily properties for a total of $88 million. In accordance with the Resolution Trust Corporation Completion Act, the FDIC and RTC affordable housing programs were fully merged on August 7, 1995. While both programs are carried out by the FDIC Affordable Housing Program Office, separate accounting is maintained for FDIC and RTC assets.

Affordable Housing Program:	*1995 actual*	*1996 est.*
Number of Properties In Inventory (Average):		
Single Family	755	608
Multifamily	183	161
Number of Properties Sold:		
Single Family	781	6
Multifamily	182	4
Appraised Value In Inventory (in millions):		
Single Family	37	29
Multifamily	108	83
Appraised Value of properties Sold (in millions):		
Single Family	42	48
Multifamily	88	150
Gross Sales Receipts (in millions):		
Single Family	33	35
Multifamily	90	105

Object Classification (in millions of dollars)

Identification code 51–1500–0–1–604	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1		
25.2 Other services	1		
41.0 Grants, subsidies, and contributions	2		
99.5 Below reporting threshold		1	
99.9 Total obligations	4	1	

Public enterprise funds—Continued

FDIC-AFFORDABLE HOUSING PROGRAM—Continued

Personnel Summary

Identification code 51–1500–0–1–604	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	13	1	.....

FEDERAL ELECTION COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended; [$26,521,000] *$29,371,000* of which [no less than $1,500,000 shall be available for internal automated data processing systems, of which] not to exceed $5,000 shall be available for reception and representation expenses[: *Provided*, That none of the funds appropriated for automated data processing systems may be obligated until the Chairman of the Federal Election Commission provides to the House Committee on Appropriations a systems requirements analysis on the development of such a system]. *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–1600–0–1–808	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	26	27	29
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	26	27	29
23.95 New obligations	–26	–27	–29
New budget authority (gross), detail:			
40.00 Appropriation	26	27	29
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	4	5
73.10 New obligations	26	27	29
73.20 Total outlays (gross)	–25	–26	–29
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	4	5	5
Outlays (gross), detail:			
86.90 Outlays from new current authority	23	24	26
86.93 Outlays from current balances	2	2	3
87.00 Total outlays (gross)	25	26	29
Net budget authority and outlays:			
89.00 Budget authority	26	27	29
90.00 Outlays	25	26	29

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

Object Classification (in millions of dollars)

Identification code 95–1600–0–1–808	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	15	14	15
12.1 Civilian personnel benefits	3	4	4
23.1 Rental payments to GSA	2	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	2
99.5 Below reporting threshold	2	2	2
99.9 Total obligations	26	27	29

Personnel Summary

Identification code 95–1600–0–1–808	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	315	314	314

FEDERAL EMERGENCY MANAGEMENT AGENCY

Federal Funds

General and special funds:

DISASTER RELIEF

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), $320,000,000, to become available on September 30, 1997, and remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 58–0104–0–1–453	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2,685	3,844	2,797
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	3,133	4,285	3,938
22.00 New budget authority (gross)	3,593	3,497	320
22.10 Resources available from recoveries of prior year obligations	244	.....	.....
23.90 Total budgetary resources available for obligation	6,970	7,782	4,258
23.95 New obligations	–2,685	–3,844	–2,797
24.40 Unobligated balance available, end of year: Uninvested balance	4,285	3,938	1,461
New budget authority (gross), detail:			
40.00 Appropriation	3,595	3,497	320
40.75 Reduction pursuant to P.L. 104–19	–2	.....	.....
43.00 Appropriation (total)	3,593	3,497	320
70.00 Total new budget authority (gross)	3,593	3,497	320
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2,186	2,511	2,427
73.10 New obligations	2,685	3,844	2,797
73.20 Total outlays (gross)	–2,116	–3,928	–3,419
73.45 Adjustments in unexpired accounts	–244	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2,511	2,427	1,805
Outlays (gross), detail:			
86.90 Outlays from new current authority	.....	1,399	.....
86.93 Outlays from current balances	2,116	2,529	3,419
87.00 Total outlays (gross)	2,116	3,928	3,419
Net budget authority and outlays:			
89.00 Budget authority	3,593	3,497	320
90.00 Outlays	2,116	3,928	3,419

Federal disaster assistance is a nationwide program operated pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100–707). Supplementary assistance is provided to individuals, and State and local governments in the event of a Presidentially declared emergency or major disaster. Funds may be made available directly to a State or local government, or to other Federal

agencies as reimbursement of expenditures in disaster relief work performed under this authority. In addition, a variety of other Federal agency assistance is coordinated under this program.

The Administration is requesting an appropriation of $320 million in accordance with the Dire Emergency Supplemental Appropriations Act of 1992.

Object Classification (in millions of dollars)

Identification code 58–0104–0–1–453	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.3 Other than full-time permanent	59	62	65
11.5 Other personnel compensation	19	20	20
11.9 Total personnel compensation	78	82	85
12.1 Civilian personnel benefits	8	9	10
21.0 Travel and transportation of persons	49	52	52
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	4	3	3
23.2 Rental payments to others	8	7	7
23.3 Communications, utilities, and miscellaneous charges	20	22	22
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services	299	175	175
25.3 Purchases of goods and services from Government accounts	27	50	50
25.4 Operation and maintenance of facilities	3	3	3
25.5 Research and development contracts	5	3	3
26.0 Supplies and materials	19	15	15
31.0 Equipment	20	40	40
41.0 Grants, subsidies, and contributions	2,140	3,377	2,327
99.5 Below reporting threshold	1	1	.....
99.9 Total obligations	2,685	3,844	2,797

Personnel Summary

Identification code 58–0104–0–1–453	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	2,115	1,440	1,405
1005 Full-time equivalent of overtime and holiday hours	452	450	450

Salaries and Expenses

For necessary expenses, including hire and purchase of motor vehicles (31 U.S.C. 1343); uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for GS–18; expenses of attendance of cooperating officials and individuals at meetings concerned with the work of emergency preparedness; transportation in connection with the continuity of Government programs to the same extent and in the same manner as permitted the Secretary of a Military Department under 10 U.S.C. 2632; and not to exceed $2,500 for official reception and representation expenses; $166,733,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 58–0100–0–1–999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Response and Recovery	41	43	43
00.02 Preparedness, training and exercises	35	36	36
00.03 Fire prevention and training	6	7	7
00.04 Operations support	28	25	22
00.05 Information Technology Services	21	21	21
00.06 Mitigation Programs	6	7	6
00.07 Policy and Regional Operations	8	10	11
00.08 Executive Direction	22	24	24
00.91 Total direct program	167	173	170
01.01 Reimbursable program	4	5	5
10.00 Total obligations	171	178	175
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	13	11	7
22.00 New budget authority (gross)	170	174	172
22.30 Unobligated balance expiring	1	.....	.....
23.90 Total budgetary resources available for obligation	184	185	179
23.95 New obligations	–171	–178	–175
24.40 Unobligated balance available, end of year: Uninvested balance	11	7	3
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	166	169	167
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Spending authority from offsetting collections, defense programs	3	4	4
68.00 Spending authority from offsetting collections, non-defense programs	1	1	1
68.90 Spending authority from offsetting collections (total)	4	5	5
70.00 Total new budget authority (gross)	170	174	172
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	61	66	67
73.10 New obligations	171	178	175
73.20 Total outlays (gross)	–164	–177	–176
73.40 Adjustments in expired accounts	–2	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	66	67	66
Outlays (gross), detail:			
86.90 Outlays from new current authority	149	143	142
86.93 Outlays from current balances	11	29	29
86.97 Outlays from new permanent authority	4	5	5
87.00 Total outlays (gross)	164	177	176
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–4	–5	–5
Net budget authority and outlays:			
89.00 Budget authority	166	169	167
90.00 Outlays	160	172	171

Program support.—This activity provides the necessary resources to administer the Federal Emergency Management Agency's (the Agency) various programs in headquarters and the regions.

Executive direction.—This activity provides for the general management and administration of the Agency in legal affairs, Congressional and governmental affairs, public affairs, financial management, and personnel, as well as the management of the Agency's national security program.

Object Classification (in millions of dollars)

Identification code 58–0100–0–1–999	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	103	108	113
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	4	3	2
11.9 Total personnel compensation	109	113	117
12.1 Civilian personnel benefits	22	23	23
21.0 Travel and transportation of persons	3	6	4
23.1 Rental payments to GSA	12	11	9
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	7	10	7
25.3 Purchases of goods and services from Government accounts	2	2	2
26.0 Supplies and materials	2	2	2
31.0 Equipment	6	3	3
99.0 Subtotal, direct obligations	164	173	170

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 58–0100–0–1–999	1995 actual	1996 est.	1997 est.
99.0 Reimbursable obligations	3	5	5
99.5 Below reporting threshold	2		
99.9 Total obligations	171	178	175

Personnel Summary

Identification code 58–0100–0–1–999	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	2,197	2,097	1,966
1005 Full-time equivalent of overtime and holiday hours	42	50	50
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	52	70	70

EMERGENCY MANAGEMENT PLANNING AND ASSISTANCE

For necessary expenses to carry out activities under the National Flood Insurance Act of 1968, as amended, and the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977, as amended (42 U.S.C. 7701 et seq.), the Federal Fire Prevention and Control Act of 1974, as amended (15 U.S.C. 2201 et seq.), the Defense Production Act of 1950, as amended (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947, as amended (50 U.S.C. 404–405), and Reorganization Plan No. 3 of 1978, $199,101,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 58–0101–0–1–999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Response and recovery	8	8	7
00.02 Preparedness, training and exercises	133	131	126
00.03 Fire prevention and training	27	22	21
00.04 Operations support	1	1	1
00.05 Information Technology Services	21	16	15
00.06 Mitigation Programs	29	19	24
00.07 Executive Direction	7	6	5
00.91 Total direct program	226	203	199
01.01 Reimbursable program	52	49	46
10.00 Total obligations	278	252	245
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2		
22.00 New budget authority (gross)	277	252	245
23.90 Total budgetary resources available for obligation	279	252	245
23.95 New obligations	–278	–252	–245
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	220	203	199
40.75 Reduction pursuant to P.L. 104–50	–1		
42.00 Transferred from other accounts	6		
43.00 Appropriation (total)	225	203	199
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Spending authority from offsetting collections, defense program	49	46	43
68.00 Spending authority from offsetting collections, non-defense program	3	3	3
68.90 Spending authority from offsetting collections (total)	52	49	46
70.00 Total new budget authority (gross)	277	252	245
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	238	168	156
73.10 New obligations	278	252	245
73.20 Total outlays (gross)	–336	–264	–250
73.40 Adjustments in expired accounts	–12		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	168	156	151
Outlays (gross), detail:			
86.90 Outlays from new current authority	122	92	90
86.93 Outlays from current balances	162	123	114
86.97 Outlays from new permanent authority	52	49	46
87.00 Total outlays (gross)	336	264	250
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–52	–49	–46
Net budget authority and outlays:			
89.00 Budget authority	225	203	199
90.00 Outlays	284	215	204

The Federal Emergency Management Agency is committed to strategic planning and clear articulation of what the Agency wants to accomplish. The 1997 budget integrates performance goals and objectives for key program activities that are precise and measurable, and that are being communicated to the entire organization and understood by all stakeholders, such as our State and local partners in emergency management. These goals and objectives for the activities below include: engendering fundamental changes in perception so that the public demands safer communities in which to live and work, and to reduce by a measurable degree the loss of life, injuries, economic costs, and destruction of natural and cultural resources resulting from natural hazards; reducing by a measurable degree the number of injuries/deaths due to fire and related emergencies through FEMA's public awareness, educational materials, and anti-arson strategies; and ensuring that the nation's key emergency management personnel have the requisite skills to perform their jobs effectively.

Response and recovery.—This activity provides for the development and maintenance of an integrated operational capability to respond to and recover from the consequences of a disaster, regardless of its cause, in partnership with other Federal agencies, State and local governments, volunteer organizations, and the private sector.

Preparedness, training and exercises.—This activity provides policy guidance, financial and technical assistance, training, and exercise support required to establish or enhance the emergency management capabilities of Federal, State, and local governments.

Fire prevention and training.—This activity prepares Federal, State and local officials, their staffs, emergency first responders, volunteer groups, and the public to meet the responsibilities of domestic emergencies through planning, mitigation, preparedness, response, and recovery. The United States Fire Administration has responsibility for all fire and emergency medical service programs and training activities. Educational programs are provided through the National Fire Academy, at the National Emergency Training Center, and through the field fire training delivery systems.

Operations support.—This activity provides agency-wide program support services, such as logistics management and security.

Information technology services.—This activity provides leadership and direction for management of information technology resources, automated data processing, telecommuni-

cations, and information services and systems necessary to accomplish the agency's mission.

Mitigation programs.—This activity provides for the development, coordination, and implementation of policies, plans, and programs to eliminate or reduce the long-term risk to life and property from natural and technological hazards, such as earthquakes and hurricanes. A goal of this activity is to encourage and foster mitigation strategies at the State and local levels.

Executive direction.—This activity develops strategies to address public information issues; provides support for enhancements to the financial management system; and supports the Agency's national security program.

Object Classification (in millions of dollars)

Identification code 58–0101–0–1–999	1995 actual	1996 est.	1997 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	16	12	10
24.0 Printing and reproduction	2	3	3
25.1 Advisory and assistance services	2	1	1
25.2 Other services	37	49	43
25.3 Purchases of goods and services from Government accounts	23	4	4
25.4 Operation and maintenance of facilities	4	7	7
25.5 Research and development contracts	2	1	.....
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	4	3	3
31.0 Equipment	5	1	1
32.0 Land and structures	6	.....	.....
41.0 Grants, subsidies, and contributions	124	121	126
99.0 Subtotal, direct obligations	226	203	199
99.0 Reimbursable obligations	51	49	46
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	278	252	245

Office of the Inspector General

For necessary expenses of the Office of the Inspector General in carrying out the Inspector General Act of 1978, as amended, $4,533,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 58–0300–0–1–453	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	4	5	5
10.00 Total obligations	4	5	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	5	5
23.95 New obligations	–4	–5	–5
New budget authority (gross), detail:			
40.00 Appropriation	4	5	5
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	2	1
73.10 New obligations	4	5	5
73.20 Total outlays (gross)	–3	–6	–5
73.40 Adjustments in expired accounts	.....	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	4	4
86.93 Outlays from current balances	1	2	1
86.97 Outlays from new permanent authority	.....	.....	.....
87.00 Total outlays (gross)	3	6	5
Net budget authority and outlays:			
89.00 Budget authority	4	5	5
90.00 Outlays	3	6	5

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function provides internal audit, contract audit, and inspections services. Contract audits provide professional advice to agency contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of agency operations.

Object Classification (in millions of dollars)

Identification code 58–0300–0–1–453	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
99.9 Total obligations	4	5	5

Personnel Summary

Identification code 58–0300–0–1–453	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	56	60	60
1005 Full-time equivalent of overtime and holiday hours	1	.....	.....

Emergency Food and Shelter Program

To carry out an emergency food and shelter program pursuant to title III of Public Law 100–77, as amended, $100,000,000: Provided, That total administrative costs shall not exceed three and one-half percent of the total appropriation.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amount included in this budget is based on the level provided in P.L. 104–91.

Program and Financing (in millions of dollars)

Identification code 58–0103–0–1–605	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
01.01 Direct Program	130	46	100
10.00 Total obligations (object class 41.0)	130	46	100
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	130	46	100
23.95 New obligations	–130	–46	–100
New budget authority (gross), detail:			
40.00 Appropriation	130	46	100
Change in unpaid obligations:			
73.10 New obligations	130	46	100
73.20 Total outlays (gross)	–130	–46	–100
Outlays (gross), detail:			
86.90 Outlays from new current authority	130	46	100
87.00 Total outlays (gross)	130	46	100
Net budget authority and outlays:			
89.00 Budget authority	130	46	100
90.00 Outlays	130	46	100

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	130	46	100
Outlays	130	46	100
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	54	.....
Outlays	.....	54	.....

General and special funds—Continued

EMERGENCY FOOD AND SHELTER PROGRAM—Continued

Summary of Budget Authority and Outlays—Continued

[In millions of dollars]

Total:	*1995 actual*	*1996 est.*	*1997 est.*
Budget Authority	130	100	100
Outlays	130	100	100

This program provides grants to voluntary organizations at the local level to supplement their programs for emergency food and shelter.

Public enterprise funds:

WORKING CAPITAL FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 58–4188–2–4–803	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Reimbursements from offsetting collections (other FEMA accounts)			16
00.02 Reimbursements from other Federal agencies			1
10.00 Total obligations			17
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			17
23.95 New obligations			–17
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)			16
68.00 Offsetting collections (cash)			1
68.90 Spending authority from offsetting collections (total)			17
70.00 Total new budget authority (gross)			17
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance			
73.10 New obligations			17
73.20 Total outlays (gross)			–14
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance			3
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			14
87.00 Total outlays (gross)			14
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			–17
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			–3

This fund finances, on a reimbursable basis, certain support functions provided at the Mt. Weather Emergency Assistance Center by FEMA. Services such as office operations, conferencing, and billeting are available to all organizations and elements of the Agency, as well as other Federal agencies on a reimbursable basis.

Object Classification (in millions of dollars)

Identification code 58–4188–2–4–803	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent			6
11.3 Other than full-time permanent			1
11.9 Total personnel compensation			7
12.1 Civilian personnel benefits			2
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services			2
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials			2
31.0 Equipment			1
32.0 Land and structures			1
99.0 Subtotal, reimbursable obligations			17
99.9 Total obligations			17

Personnel Summary

Identification code 58–4188–2–4–803	1995 actual	1996 est.	1997 est.
2001 Total compensable workyears: Full-time equivalent employment			186

NATIONAL INSURANCE DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 58–4235–0–3–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Insurance claims	4	2	1
00.02 Operating expenses	2	2	1
10.00 Total obligations	6	4	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	4	2
23.95 New obligations	–6	–4	–2
New budget authority (gross), detail:			
67.15 Authority to borrow (indefinite)	2	4	2
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4		
70.00 Total new budget authority (gross)	6	4	2
Change in unpaid obligations:			
72.47 Unpaid obligations, start of year: Obligated balance: Authority to borrow	6	9	5
73.10 New obligations	6	4	2
73.20 Total outlays (gross)	–3	–8	–7
74.47 Unpaid obligations, end of year: Obligated balance: Authority to borrow	9	5	
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	2	3	2
86.98 Outlays from permanent balances	1	5	5
87.00 Total outlays (gross)	3	8	7
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–4		
Net budget authority and outlays:			
89.00 Budget authority	2	4	2
90.00 Outlays	–1	8	7

The National Insurance Development Fund provided the funding source for two programs authorized by the Urban Property Protection and Reinsurance Act of 1968, as amended: The Fair Access to Insurance Requirements Plan/Riot Reinsurance Program; and the Federal Crime Insurance Program. The Supplemental Appropriations Act, 1984 (Public Law 98–181) did not extend authority for the Riot Reinsurance Program beyond November 30, 1983. Authority for the Federal Crime Insurance Program expired September 30, 1995.

Insurance claims.—Claims reflect insurance payments in the program.

Operating expenses.—Expenses are incurred by fiscal agents in issuing policies, settling claims, and maintaining accounting and statistical records.

Administrative expenses.—This represents the administrative cost of operating the programs.

Interest expense.—This represents interest on Treasury borrowings needed to defray the underwriting and loss expenses of the crime insurance program.

Financing.—Claims and expenses are estimated to be paid from premium income and Treasury borrowings.

Operating results.—Effective October 1, 1991, Public Law 102–139 forgave all prior borrowings of the program which amounted to $152,239,000.

Statement of Operations (in millions of dollars)

Identification code 58–4235–0–3–451	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	5	4	.....	.....
0102 Expense	–3	–6	–4	–2
0109 Net income or loss (–)	2	–2	–4	–2

Balance Sheet (in millions of dollars)

Identification code 58–4235–0–3–451	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	2	2	2	.....
1206 Non-Federal assets: Receivables, net	1	1	1	.....
1999 Total assets	3	3	3	.....
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	3	3	3	3
Non-Federal liabilities:				
2201 Accounts payable	.....	.....	.....	.....
2207 Other	5	5	4	1
2999 Total liabilities	8	8	7	4
NET POSITION:				
3200 Invested capital	–5	–5	–4	–4
3999 Total net position	–5	–5	–4	–4
4999 Total liabilities and net position	3	3	3	.....

Note.—This statement excludes unfunded contingent liabilities under the crime insurance program as follows: 1994, $150 million; 1995, $164 million; and 1996, $84 million.

Object Classification (in millions of dollars)

Identification code 58–4235–0–3–451	1995 actual	1996 est.	1997 est.
25.2 Other services	2	2	1
42.0 Insurance claims and indemnities	4	2	1
99.9 Total obligations	6	4	2

Personnel Summary

Identification code 58–4235–0–3–451	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	6	4	2

NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968, the Flood Disaster Protection Act of 1973, and the National Flood Insurance Reform Act of 1994, not to exceed $20,981,000 for salaries and expenses associated with flood mitigation and flood insurance operations, and not to exceed $78,464,000 for flood mitigation, including up to $20,000,000 for expenses under section 1366 of the National Flood Insurance Act, which amount shall be available until September 30, 1998. In fiscal year 1997, no funds in excess of (1) $47,000,000 for operating expenses, (2) $335,680,000 for agents' commissions and taxes, and (3) $35,000,000 for interest on Treasury borrowings shall be available from the National Flood Insurance Fund without prior notice to the Committees on Appropriations.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 58–4236–0–3–453	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Insurance underwriting expense	273	349	383
00.02 Loss and adjustment expense	1,122	888	591
00.03 Interest Expense	.....	26	33
00.04 Flood insurance and mitigation program expenses	61	91	99
10.00 Total obligations	1,456	1,354	1,106
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance	–93	.....	.....
21.91 U.S. Securities: Par value	200	.....	.....
21.99 Total unobligated balance, start of year	107	.....	.....
22.00 New budget authority (gross)	1,349	1,354	1,107
23.90 Total budgetary resources available for obligation	1,456	1,354	1,107
23.95 New obligations	–1,456	–1,354	–1,106
New budget authority (gross), detail:			
67.15 Authority to borrow (indefinite)	469	292	.....
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Premium and other collections	814	967	1,075
68.00 Collection of program expenses	66	95	104
68.47 Portion applied to debt reduction	.....	.....	–72
68.90 Spending authority from offsetting collections (total)	880	1,062	1,107
70.00 Total new budget authority (gross)	1,349	1,354	1,107
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	93	209	250
73.10 New obligations	1,456	1,354	1,106
73.20 Total outlays (gross)	–1,340	–1,313	–1,074
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance	209	250	283
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	1,147	1,151	1,003
86.98 Outlays from permanent balances	193	162	71
87.00 Total outlays (gross)	1,340	1,313	1,074
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Premium and other collections	–814	–967	–1,075
88.40 Collection of program expenses	–66	–95	–104
88.90 Total, offsetting collections (cash)	–880	–1,062	–1,179
Net budget authority and outlays:			
89.00 Budget authority	469	292	–72
90.00 Outlays	459	251	–106

The National Flood Insurance Act of 1968, as amended, authorizes the Federal Government to provide flood insurance on a national basis. Flood insurance may be sold or continued in force only in communities which enact and enforce appropriate flood plain management measures. Communities must participate in the program within one year of the time they are identified as flood prone in order to be eligible for flood insurance and some forms of Federal financial assistance for acquisition or construction purposes. In 1997, the budget assumes collection of all of the administrative and program costs associated with flood insurance activities from policy holders.

Under the emergency program, structures in identified flood-prone areas are eligible for limited amounts of coverage at subsidized insurance rates. Under the regular program, studies must be made of different flood risks in flood-prone areas to establish actuarial premium rates. These rates are charged for insurance on new construction. Coverage is available on virtually all types of buildings and their contents

Public enterprise funds—Continued

NATIONAL FLOOD INSURANCE FUND—Continued

in amounts up to $350 thousand for residential and $1 million for other types.

Budget program—Insurance underwriting expense.—Cost of initiating and maintaining flood insurance policies is estimated at $383 million in 1997.

Loss and adjustment expense.—Insured flood losses and associated loss adjustment expense is estimated at $591 million in 1997.

Interest expense.—Interest expenses for Treasury borrowings are projected; a ceiling of $35 million is requested to cover charges for purchasing Treasury securities and possible unanticipated interest costs.

The budget proposes to recover the cost of the following activities from policyholders and to reimburse other appropriations in FEMA's budget:

Flood studies and surveys.—These studies are estimated at $52 million in 1997.

Flood hazard reduction.—This activity, which includes grants to States, is estimated at $7 million in 1997.

Mitigation assistance.—This activity is estimated at $20 million for 1997.

Salaries and expenses.—This activity provides for salaries and related expenses of all Federal staff administering the National Flood Insurance Program and is estimated at $21 million in 1997.

Financing.—The Administrator is authorized to borrow up to $1 billion to carry out the program. The program is financed through premium income and appropriations to repay borrowing.

Operating results.—The program achieved its goal of becoming self-supporting for the average loss year. Flood insurance rates will be closely monitored and adjusted as necessary so that the program maintains its self-supporting status and continues to cover the cost of salaries and expenses and program activities for flood insurance and mitigation.

Statement of Operations (in millions of dollars)

Identification code 58–4236–0–3–453	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	793	880	1,062	1,179
0102 Expense	–576	–1,456	–1,354	–1,107
0109 Net income or loss (–)	217	–576	–292	72

Balance Sheet (in millions of dollars)

Identification code 58–4236–0–3–453	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	.....	6	10	10
Investments in US securities:				
1102 Treasury securities, par	200	.....	.....	.....
Non-Federal assets:				
1206 Receivables, net	8	12	14	16
1207 Advances and prepayments	151	174	200	230
Other Federal assets:				
1801 Cash and other monetary assets	12	7	8	9
1802 Inventories and related properties	8	6	6	7
1803 Property, plant and equipment, net	1	1	2	2
1999 Total assets	380	206	240	274
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	17	3	2	2
2102 Interest payable	.....	.....	13	17
2103 Debt	.....	265	603	531
2104 Resources payable to Treasury	1	1	1	1
Non-Federal liabilities:				
2201 Accounts payable	10	14	10	8
2207 Other	600	790	531	590
2999 Total liabilities	628	1,073	1,160	1,147
NET POSITION:				
3200 Invested capital	–248	–867	–920	–873
3999 Total net position	–248	–867	–920	–873
4999 Total liabilities and net position	380	206	240	274

Note.—This statement excludes unfunded contingent liabilities under the insurance program as follows: 1995, $325 billion; 1996, $365 billion; and 1997, $389 billion.

Object Classification (in millions of dollars)

Identification code 58–4236–0–3–453	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	10	13	14
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	11	14	15
12.1 Civilian personnel benefits	2	3	3
21.0 Travel and transportation of persons	1	1	1
24.0 Printing and reproduction	1	1	2
25.1 Advisory and assistance services	29	25	25
25.2 Other services	280	368	399
25.3 Purchases of goods and services from Government accounts	5	11	11
41.0 Grants, subsidies, and contributions	4	16	24
42.0 Insurance claims and indemnities	1,122	888	591
43.0 Interest and dividends	.....	26	33
99.5 Below reporting threshold	1	1	2
99.9 Total obligations	1,456	1,354	1,106

Personnel Summary

Identification code 58–4236–0–3–453	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	193	258	258
1005 Full-time equivalent of overtime and holiday hours	1	.....	.....

Credit accounts:

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

For the cost of direct loans, $1,385,000, as authorized by section 319 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed $25,000,000.

In addition, for administrative expenses to carry out the direct loan program, $548,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 58–0105–0–1–453	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 States share program	15	2	2
00.02 Community Disaster Loan	.....	1	.....
10.00 Total obligations (object class 33.0)	15	3	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	17	3	2
22.30 Unobligated balance expiring	–2	.....	.....
23.90 Total budgetary resources available for obligation	15	3	2
23.95 New obligations	–15	–3	–2
New budget authority (gross), detail:			
40.00 Appropriation	2	3	2
42.00 Transferred from	15	.....	.....
43.00 Appropriation (total)	17	3	2
70.00 Total new budget authority (gross)	17	3	2
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	12	2
73.10 New obligations	15	3	2

73.20 Total outlays (gross)	–4	–13	–2
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	12	2	2
Outlays (gross), detail:			
86.90 Outlays from new current authority		3	2
86.93 Outlays from current balances	2	10	
87.00 Total outlays (gross)	4	13	2
Net budget authority and outlays:			
89.00 Budget authority	17	3	2
90.00 Outlays	4	15	2

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100–707) are loans to States of the non-Federal portion of cost-sharing funds, and community disaster loans to local governments incurring substantial loss of tax and other revenues as a result of a major disaster. The funds requested for this program include direct loans and a subsidy based on criteria including loan amount and interest charged.

As required by the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 58–0105–0–1–453	1995 actual	1996 est.	1997 est.
Direct loan levels supportable by subsidy budget authority:			
1150 States share program	175	35	25
1150 Community Disaster Loan		1	
1159 Total direct loan levels	175	36	25
Direct loan subsidy (in percent):			
1320 States share program	9.67	8.62	5.54
1320 Community Disaster Loan	0.00	87.26	96.78
1329 Weighted average subsidy rate	0.00	0.00	0.00
Direct loan subsidy budget authority:			
1330 States share program	17	2	2
1330 Community Disaster Loan		1	
1339 Total subsidy budget authority	17	3	2
Direct loan subsidy outlays:			
1340 States share program	2	12	2
1340 Community disaster loans		1	
1349 Total subsidy outlays	2	13	2

Personnel Summary

Identification code 58–0105–0–1–453	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1	4

Disaster Assistance Direct Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 58–4234–0–3–453	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct loans	140	36	25
00.02 Interest on Treasury borrowing	8	7	8
10.00 Total obligations	148	43	33
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance		37	30
22.00 New financing authority (gross)	185	36	25
23.90 Total budgetary resources available for obligation	185	73	55
23.95 New obligations	–148	–43	–33
24.90 Unobligated balance available, end of year: Fund balance	37	30	22
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)	158	23	23
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	47	45	87
68.47 Portion applied to debt reduction	–20	–32	–85
68.90 Spending authority from offsetting collections (total)	27	13	2
70.00 Total new financing authority (gross)	185	36	25
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	21	77	1
73.10 New obligations	148	43	33
73.20 Total financing disbursements (gross)	–92	–119	–33
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance	77	1	1
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	92	119	33
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal funds (payments from program account)	–4	–13	–2
88.20 Interest on U.S. securities	–9		
Non-Federal sources:			
88.40 Repayments of principal	–30	–23	–73
88.40 Interest received on loans	–4	–9	–12
88.90 Total, offsetting collections (cash)	–47	–45	–87
Net financing authority and financing disbursements:			
89.00 Financing authority	138	–9	–62
90.00 Financing disbursements	45	74	–54

Status of Direct Loans (in millions of dollars)

Identification code 58–4234–0–3–453	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	175	36	25
1112 Unobligated direct loan limitation	–35		
1150 Total direct loan obligations	140	36	25
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	76	90	179
1231 Disbursements: Direct loan disbursements	44	112	25
1251 Repayments: Repayments and prepayments	–30	–23	–73
1290 Outstanding, end of year	90	179	131

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records, for this program, all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 58–4234–0–3–453	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Net value of assets related to post–1991 direct loans receivable:				
1401 Direct loans receivable, gross	76	90	179	131
1402 Interest receivable	4	6	12	13
1405 Allowance for subsidy cost (–)	–13	–17	–3	–2
1499 Net present value of assets related to direct loans	67	79	188	142
1801 Other Federal assets: Cash and other monetary assets	11	143	31	23
1999 Total assets	78	222	219	165

Credit accounts—Continued

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 58–4234–0–3–453	1994 actual	1995 actual	1996 est.	1997 est.
LIABILITIES:				
2103 Federal liabilities: Debt	78	222	219	165
2999 Total liabilities	78	222	219	165
NET POSITION:				
3300 Cumulative results of operations	.....	.....	.....	.....
3999 Total net position	.....	.....	.....	.....
4999 Total liabilities and net position	78	222	219	165

Object Classification (in millions of dollars)

Identification code 58–4234–0–3–453	1995 actual	1996 est.	1997 est.
33.0 Investments and loans	140	36	25
43.0 Interest and dividends	8	7	8
99.9 Total obligations	148	43	33

DISASTER ASSISTANCE DIRECT LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 58–4232–0–3–453	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
23.95 New obligations	.....	.....	.....
24.40 Unobligated balance available, end of year: Uninvested balance	.....	.....	.....
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	.....	70	2
68.47 Portion applied to debt reduction	.....	–70	–2
68.90 Spending authority from offsetting collections (total)	.....	.....	.....
70.00 Total new budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	46	.....	.....
73.10 New obligations	.....	.....	.....
73.20 Total outlays (gross)	.....	.....	.....
73.40 Adjustments in expired accounts	–46	.....	.....
Outlays (gross), detail:			
87.00 Total outlays (gross)	.....	.....	.....
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	.....	–70	–2
Net budget authority and outlays:			
89.00 Budget authority	.....	–70	–2
90.00 Outlays	.....	–70	–2

Status of Direct Loans (in millions of dollars)

Identification code 58–4232–0–3–453	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	59	59	15
1251 Repayments: Repayments and prepayments	.....	–44	.....
1290 Outstanding, end of year	59	15	15

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 58–4232–0–3–453	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Net value of assets related to pre–1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	59	59	15	15
1602 Interest receivable	26	32	4	2
1604 Direct loans and interest receivable, net	85	91	19	17
1699 Value of assets related to direct loans	85	91	19	17
1801 Other Federal assets: Cash and other monetary assets	46	.....	.....	.....
1999 Total assets	131	91	19	17
NET POSITION:				
3300 Cumulative results of operations	131	91	19	17
3999 Total net position	131	91	19	17

Trust Funds

BEQUESTS AND GIFTS

Program and Financing (in millions of dollars)

Identification code 11–8244–0–7–453	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	1	1	1
22.00 New budget authority (gross)	.....	.....	.....
23.90 Total budgetary resources available for obligation	1	1	1
23.95 New obligations	.....	.....	.....
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	1	1	1
Outlays (gross), detail:			
87.00 Total outlays (gross)	.....	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	.....	.....	.....

This fund represents contributions from the estate of Cora Brown to support the activities of the Disaster Relief Fund.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Offsetting receipts from the public:			
58–089700 Radiological emergency preparedness	18	11	.....
Legislative proposal, subject to PAYGO	.....	.....	12
General Fund Offsetting receipts from the public	18	11	12

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

Federal Funds

General and special funds:

REGISTRY FEES

Unavailable Collections (in millions of dollars)

Identification code 95–5026–0–2–376	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.01 Registry fees, Appraisal subcommittee	4	2	2
Appropriation:			
05.01 Registry fees	–4	–2	–2
07.99 Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5026–0–2–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administrative expenses	1	1	1
00.02 Grants, subsidies and contributions	1	1	1
10.00 Total obligations	2	2	2
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	4	4	3
22.00 New budget authority (gross)	4	2	2
22.40 Capital transfer to general fund	–2	–1	–1
23.90 Total budgetary resources available for obligation	6	5	4
23.95 New obligations	–2	–2	–2
24.90 Unobligated balance available, end of year: Fund balance	4	3	2
New budget authority (gross), detail:			
60.25 Appropriation (special fund, indefinite)	4	2	2
Change in unpaid obligations:			
73.10 New obligations	2	2	2
73.20 Total outlays (gross)	–2	–2	–2
Outlays (gross), detail:			
86.98 Outlays from permanent balances	2	2	2
87.00 Total outlays (gross)	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	4	2	2
90.00 Outlays	2	2	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101–73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101–235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of $5 million. The Subcommittee is now operating on fee income from state-licensed and certified real estate appraisers in the national registry, and projects full repayment of the Treasury advance by 1998. The Treasury has already been repaid $2 million.

Object Classification (in millions of dollars)

Identification code 95–5026–0–2–376	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
99.9 Total obligations	2	2	2

Personnel Summary

Identification code 95–5026–0–2–376	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	9	7	7

FEDERAL HOUSING FINANCE BOARD

Federal Funds

Public enterprise funds:

FEDERAL HOUSING FINANCE BOARD

Program and Financing (in millions of dollars)

Identification code 95–4039–0–3–371	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.02 Operating expenses	13	16	17
10.00 Total obligations	13	16	17
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	4	2	1
22.00 New budget authority (gross)	12	15	16
23.90 Total budgetary resources available for obligation	15	17	17
23.95 New obligations	–13	–16	–17
24.90 Unobligated balance available, end of year: Fund balance	2	1	.....
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	12	15	16
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	2	2	2
73.10 New obligations	13	16	17
73.20 Total outlays (gross)	–13	–16	–17
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance	2	2	2
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	7	12	14
86.98 Outlays from permanent balances	6	4	3
87.00 Total outlays (gross)	13	16	17
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–12	–15	–16
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	2	1	1

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The duties of the Finance Board are: (1) to ensure that the twelve

Public enterprise funds—Continued

FEDERAL HOUSING FINANCE BOARD—Continued

Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise all lending and related operations of the Banks; (3) to ensure that the Banks fulfill their mission to the housing finance industry; (4) to ensure that the Banks remain adequately capitalized; and (5) to ensure that the Banks are able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member Board of Directors. The Directors are the Secretary of Housing and Urban Development and four other individuals appointed by the President, with the advice and consent of the Senate. The President designates one of the appointed Directors as the Chairperson of the Board of Directors. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and (4) use the United States mails in the same manner and under the same conditions as a department or agency of the United States.

Statement of Operations (in millions of dollars)

Identification code 95–4039–0–3–371	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	16	12	15	16
0102 Expense	–14	–13	–16	–17
0109 Net income	2	–1	–1	–1

Balance Sheet (in millions of dollars)

Identification code 95–4039–0–3–371	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	6	4	4	4
1999 Total assets	6	4	4	4
LIABILITIES:				
2101 Federal liabilities: Accounts payable	1	1	1	2
2999 Total liabilities	1	1	1	2
NET POSITION:				
3300 Cumulative results of operations	5	3	3	2
3999 Total net position	5	3	3	2
4999 Total liabilities and net position	6	4	4	4

Object Classification (in millions of dollars)

Identification code 95–4039–0–3–371	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	6	8	8
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	7	9	9
12.1 Civilian personnel benefits	2	2	2
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services	1	1	1
99.5 Below reporting threshold	1	2	2
99.9 Total obligations	13	16	17

Personnel Summary

Identification code 95–4039–0–3–371	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	105	110	117
1005 Full-time equivalent of overtime and holiday hours	1		1

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, rental of conference rooms in the District of Columbia and elsewhere; **[**$20,542,000**]** *$21,988,000: Provided,* That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further,* That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 54–0100–0–1–805	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Federal Labor Relations Authority	11	11	11
00.02 Office of the General Counsel	9	9	10
00.03 Federal Service Impasses Panel	1	1	1
10.00 Total obligations	21	21	22
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	21	21	22
23.95 New obligations	–21	–21	–22
New budget authority (gross), detail:			
40.00 Appropriation	21	21	22
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	2	2
73.10 New obligations	21	21	22
73.20 Total outlays (gross)	–21	–21	–22
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new current authority	20	19	20
86.93 Outlays from current balances	2	2	1
87.00 Total outlays (gross)	21	21	22
Net budget authority and outlays:			
89.00 Budget authority	21	21	22
90.00 Outlays	21	21	22

The Federal Labor Relations Authority (FLRA) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute, decides major policy issues, prescribes regulations, and disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in training and facilitation in labor-management partnerships and in resolving disputes in its unified Collaboration and Alternative Dispute Resolution Program. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; facilitates the creation of partnerships as called for in Executive Order 12871; and trains the parties on rights and responsibil-

ities under the Federal Labor Relations Management Statute. In FY 1995, the FLRA conducted over 490 programs involving over 20,000 employees, union representatives, arbitrators, and other practitioners.

Components.—The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

Authority.—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.

Within the Authority, Administrative Law Judges hold hearings on unfair labor practice complaints, issuing reports, and making recommendations to the Authority on each case to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Workloads are reflected in the following table:

CASE DISPOSITIONS

	1995 actual	*1996 est.*	*1997 est.*
Arbitration appeals	80	111	116
Negotiability appeals	27	84	65
Representation appeals/requests for review	14	24	30
Unfair labor practice appeals	75	119	128

Office of the General Counsel.—The functions of this Office include: the investigation of all allegations of unfair labor practices filed and the processing of all representation petitions received; the exercise of final authority over the issuance and prosecution of all complaints; the supervision and conducting of elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; the conducting of all hearings to resolve disputed issues in representation cases; preparing final Decisions and Orders in these cases; and the direction and supervision of all employees of the Regional Offices. Workloads are reflected in the following table:

CASE DISPOSITIONS

	1995 actual	*1996 est.*	*1997 est.*
Unfair labor practice cases:			
Investigations	6,438	6,500	6,850
Complaints prosecuted	50	45	45
Complaints voluntarily settled	400	425	425
Appeals	575	575	575
Representation cases:			
Investigations	458	475	475
Elections/hearings	218	250	270

Federal Service Impasses Panel.—The functions of the Panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978, the Panama Canal Act of 1979, and other statutes. The Panel uses a variety of procedures including factfinding and arbitration.

	1995 actual	*1996 est.*	*1997 est.*
Impasse resolutions	177	200	200

Object Classification (in millions of dollars)

Identification code 54–0100–0–1–805	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	13	14	14
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	14	15	15
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
25.2 Other services	1	1	1
99.5 Below reporting threshold	1		1
99.9 Total obligations	21	21	22

Personnel Summary

Identification code 54–0100–0–1–805	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	219	211	216

FEDERAL MARITIME COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act of 1936, as amended (46 App. U.S.C. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–02; 15,000,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 65–0100–0–1–403	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	1		
Appropriation:			
05.01 Automated tariff filing and information system fund	–1		
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 65–0100–0–1–403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Formal proceedings	4	4	4
00.04 Operational and administrative	2	2	2
00.06 Economics and Agreement Analysis	2	2	2
00.07 Tariffs, Certification and Licensing	3	3	3
00.08 Enforcement	4	3	2
00.10 Administration	2	2	2
00.11 Other Activities below threshold	2		
10.00 Total obligations	19	15	15
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance		1	1
22.00 New budget authority (gross)	19	15	15
23.90 Total budgetary resources available for obligation	19	16	16
23.95 New obligations	–19	–15	–15
24.40 Unobligated balance available, end of year: Uninvested balance	1	1	1
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	19	15	15
Permanent:			
60.25 Appropriation (special fund, indefinite)	1		
70.00 Total new budget authority (gross)	19	15	15
Change in unpaid obligations:			
73.10 New obligations	19	15	15
73.20 Total outlays (gross)	–19	–15	–15
Outlays (gross), detail:			
86.90 Outlays from new current authority	19	14	14
86.93 Outlays from current balances			1
87.00 Total outlays (gross)	19	15	15

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 65–0100–0–1–403	1995 actual	1996 est.	1997 est.
Net budget authority and outlays:			
89.00 Budget authority	19	15	15
90.00 Outlays	19	15	15

The Federal Maritime Commission (the Commission) regulates the domestic offshore and international waterborne commerce of the United States. In addition, the Commission has responsibility for the licensing of ocean freight forwarders, ensuring that non-vessel-operating common carriers are tariffed and bonded, ensuring that vessel owners or operators establish financial responsibility for death or injury to passengers or other persons on voyages to and from U.S. ports, and indemnification of passengers for the nonperformance of transportation. Major program areas for 1997 will concentrate on: carrying out investigations of foreign trade practices under the Foreign Shipping Practices Act; implementing and operating a system to computerize the filing of tariffs; pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes; and completing various stages of automation. Legislation will be proposed in 1997 to fund the Commission through user fees starting in 1998.

Object Classification (in millions of dollars)

Identification code 65–0100–0–1–403	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	11	10	9
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services	2	1	1
99.5 Below reporting threshold	2	.....	1
99.9 Total obligations	19	15	15

Personnel Summary

Identification code 65–0100–0–1–403	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	196	180	180

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Mediation and Conciliation Service, as authorized by the Labor Management Relations Act of 1947 (29 U.S.C. 171–80, 182–83), including hire of passenger motor vehicles, and the Civil Service Reform Act (5 U.S.C. chapter 71), $32,579,000; including $1,500,000, to remain available through September 30, 1998, for activities authorized by the Labor Management Cooperation Act of 1978 (29 U.S.C. 175a): Provided, that notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and for arbitration services shall be credited to and merged with this account, and remain available until expended: Provided further, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 93–0100–0–1–505	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Dispute mediation and preventive mediation, public information	21	21	22
00.02 Arbitration services	1	1	1
00.03 Management and administrative support	7	7	8
00.04 Labor-management cooperation project	2	2	2
00.91 Total direct program	31	31	33
01.01 Reimbursable program	1	1	2
10.00 Total obligations	32	32	35
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	32	32	35
23.95 New obligations	–32	–32	–35
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	31	31	33
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections	1	1	1
68.00 Offsetting collections	.....	.....	1
68.90 Spending authority from offsetting collections (total)	1	1	2
70.00 Total new budget authority (gross)	32	32	35
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	4	5
73.10 New obligations	32	32	35
73.20 Total outlays (gross)	–31	–31	–35
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	4	5	5
Outlays (gross), detail:			
86.90 Outlays from new current authority	28	28	30
86.93 Outlays from current balances	3	2	2
86.97 Outlays from new permanent authority	.....	1	2
87.00 Total outlays (gross)	31	31	35
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.40 Non-Federal sources	.....	.....	–1
88.45 Offsetting governmental collections	–1	–1	–1
88.90 Total, offsetting collections (cash)	–1	–1	–2
Net budget authority and outlays:			
89.00 Budget authority	31	31	33
90.00 Outlays	31	30	33

The Federal Mediation and Consolidation Service (FMCS or the Service) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute mediation.—The Service assists labor and management in mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

MEDIATION WORKLOAD DATA

	1993 actual	1994 actual	1995 actual	1996 estimate	1997 estimate
Cases in process at beginning of year	7,547	7,276	7,025	6,956	7,000
Mediation assignments	24,536	22,184	20,195	20,294	20,250
Mediation assignments closed	24,807	22,435	20,268	20,250	20,250
Cases in process at end of year	7,276	7,025	6,956	7,000	7,000
Total mediation conferences conducted	20,468	19,880	16,648	16,600	16,600

Preventive mediation, public information, and educational activities.—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in public information and educational activities such as lectures, seminars, and conferences.

Arbitration services.—The Service assists parties in disputes in utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	1993 actual	1994 actual	1995 actual	1996 estimate	1997 estimate
Number of panels issued	32,259	31,610	30,697	30,700	30,700
Number of arbitrators appointed	12,231	11,640	11,593	11,600	11,600

Management and administrative support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-management cooperation project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—FMCS assists other federal agencies providing mediation and technical assistance in the area of ADR. The ADR projects reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	1993 actual	1994 actual	1995 actual	1996 estimate	1997 estimate
Number of ADR Projects	25	50	92	100	100

Object Classification (in millions of dollars)

Identification code 93–0100–0–1–505	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	18	18	18
11.9 Total personnel compensation	18	18	18
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	3	3	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
31.0 Equipment	.....	.....	1
41.0 Grants, subsidies, and contributions	2	2	2
99.5 Below reporting threshold	1	1	2
99.9 Total obligations	32	32	35

Personnel Summary

Identification code 93–0100–0–1–505	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	294	296	295

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Mine Safety and Health Review Commission (30 U.S.C. 801, et seq.), $6,332,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2800–0–1–554	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Commission review	3	3	3
00.02 Administrative law judge determinations	3	3	3
10.00 Total obligations	6	6	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	6	6
23.95 New obligations	–6	–6	–6
New budget authority (gross), detail:			
40.00 Appropriation	6	6	6
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1
73.10 New obligations	6	6	6
73.20 Total outlays (gross)	–6	–6	–6
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	5	5	5
86.93 Outlays from current balances	.....	1	1
87.00 Total outlays (gross)	6	6	6
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	6	6	6

The Federal Mine Safety and Health Review Commission (or the Commission) reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	1995 actual	1996 est.	1997 est.
Commission review activities:			
Cases pending beginning of year	58	71	79
Cases called for review	82	75	85
Cases decided	69	67	82
Administrative law judge activities:			
Cases pending beginning of year	8,038	6,783	6,533
New cases received	3,582	3,000	3,600
Cases decided	4,837	3,250	3,800

Object Classification (in millions of dollars)

Identification code 95–2800–0–1–554	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
99.9 Total obligations	6	6	6

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Personnel Summary

Identification code 95–2800–0–1–554	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	55	59	59

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

General and special funds:

PROGRAM EXPENSES

Unavailable Collections (in millions of dollars)

Identification code 26–5290–0–2–803	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	8	8	8
Receipts:			
02.01 Reimbursement for program expenses	34	46	45
04.00 Total: Balances and collections	42	54	53
Appropriation:			
05.01 Program expenses	–34	–46	–45
07.99 Total balance, end of year	8	8	8

Program and Financing (in millions of dollars)

Identification code 26–5290–0–2–803	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administrative expenses	34	46	45
10.00 Total obligations	34	46	45
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	34	46	45
23.95 New obligations	–34	–46	–45
New budget authority (gross), detail:			
60.25 Appropriation (special fund, indefinite)	34	46	45
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	8	5	5
73.10 New obligations	34	46	45
73.20 Total outlays (gross)	–34	–46	–45
73.40 Adjustments in expired accounts	–3	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	5	5	5
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	29	41	40
86.98 Outlays from permanent balances	5	5	5
87.00 Total outlays (gross)	34	46	45
Net budget authority and outlays:			
89.00 Budget authority	34	46	45
90.00 Outlays	34	46	45

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Thrift Savings Fund, the Fund is not included in the totals of the Federal budget. Information on the financial status and activities of the Thrift Savings Fund follows this account.

Program administration for the Thrift Savings Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who, subsequently, separate from the Federal government prior to vesting and from earnings on all participant and agency contributions to the Fund.

Object Classification (in millions of dollars)

Identification code 26–5290–0–2–803	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	5	6	6
11.9 Total personnel compensation	6	6	6
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others	1	2	2
24.0 Printing and reproduction	3	3	2
25.2 Other services	2	5	5
25.3 Purchases of goods and services from Government accounts	21	26	28
26.0 Supplies and materials	.....	1	.....
31.0 Equipment	.....	2	.....
99.5 Below reporting threshold	1	.....	1
99.9 Total obligations	34	46	45

Personnel Summary

Identification code 26–5290–0–2–803	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	101	113	115
1005 Full-time equivalent of overtime and holiday hours	1	1	1

INFORMATION SCHEDULES ON THE THRIFT SAVINGS FUND

The following schedules reflect those for the Thrift Savings Fund which is not in the Federal budget.

The Thrift Savings Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal employees are eligible to contribute to the Fund. However, only those employees covered by the Federal Employees' Retirement System will have their contributions matched by employing agencies in accordance with the formulas prescribed by law. Employees are entitled to select how contributions are distributed among three investment funds: a Government Securities Investment Fund; a Fixed Income Index Investment Fund; and a Common Stock Index Investment Fund.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the three separate funds is shown below:

STATUS OF THRIFT SAVINGS FUND

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Unexpended balance, start of year:			
Government Securities Investment Fund	17,589	21,209	24,983
Common Stock Index Investment Fund	5,785	9,864	12,894
Fixed Income Index Investment Fund	1,582	2,009	2,707
Balance of Thrift Savings Fund, start of year	24,956	33,082	40,584
Cash income for the year:			
Employee contributions	3,756	4,084	4,406
Earnings [1]	3,711	2,689	3,098
Contributions on behalf of employees	1,754	1,958	2,149
Total net income	9,221	8,731	9,653
Cash outgo during year:			
Withdrawals	883	993	983
Loans to employees	178	251	360
Administrative expenses	34	46	45
Total outgo	1,095	1,230	1,388
Unexpended balance, end of year:			
Government Securities Investment Fund [2]	21,209	24,983	29,075
Common Stock Index Investment Fund	9,864	12,894	16,290

Fixed Income Index Investment Fund	2,009	2,707	3,484
Balance of Thrift Savings Fund, end of year	33,082	40,584	48,849

[1] 1995 earnings include: return on investments in Government securities—$1,415 million; return on investments in non-government instruments—$2,256 million; earnings on loans—$39 million; and agency payments under 5 U.S.C. 8432a—$1 million.

[2] Includes $84 million committed to the Common Stock Index Investment Fund and $10 million committed to the Fixed Income Index Investment Fund.

STATUS OF GOVERNMENT SECURITIES INVESTMENT FUND

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Balance of fund, start of year	17,589	21,209	24,983
Cash income for the year:			
New investments	2,985	3,323	3,605
Earnings	1,437	1,337	1,466
Total, cash income	4,422	4,660	5,071
Cash outgo during the year:			
Withdrawals	668	699	734
Loans to employees	110	158	218
Administrative expenses	24	29	27
Total, cash outgo	802	886	979
Balance of fund, end of year	21,209	24,983	29,075

STATUS OF COMMON STOCK INDEX INVESTMENT FUND

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Balance of fund, start of year	5,785	9,864	12,894
Cash income for the year:			
New investments	2,270	2,115	2,294
Earnings	2,039	1,190	1,432
Total, cash income	4,309	3,305	3,726
Cash outgo during the year:			
Withdrawals	168	184	198
Loans to employees	54	77	117
Administrative expenses	8	14	15
Total, cash outgo	230	275	330
Balance of fund, end of year	9,864	12,894	16,290

STATUS OF FIXED INCOME INDEX INVESTMENT FUND

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Balance of fund, start of year	1,582	2,009	2,707
Cash income for the year:			
New investments	255	604	656
Earnings	235	163	200
Total, cash income	490	767	856
Cash outgo during the year:			
Withdrawals	47	50	51
Loans to employees	14	16	25
Administrative expenses	2	3	3
Total, cash outgo	63	69	79
Balance of fund, end of year	2,009	2,707	3,484

FEDERAL TRADE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed $2,000 for official reception and representation expenses; $93,819,000, of which not to exceed $300,000 shall be available to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: Provided, That not to exceed $58,905,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18(a)) shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year 1997, so as to result in a final fiscal year 1997 appropriation from the General Fund estimated at not more than $34,914,000: Provided further, That any fees received in excess of $58,905,000 in fiscal year 1997 shall remain available until expended, but shall not be available for obligation until October 1, 1997: Provided further, That no funds shall be available for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102–242).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 29–0100–0–1–376	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	9	28	39
03.00 Offsetting Collections	19	11	.....
04.00 Total: Balances and collections	28	39	39
07.99 Total balance, end of year	28	39	39

Program and Financing (in millions of dollars)

Identification code 29–0100–0–1–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Maintaining competition	2	.....	.....
00.02 Consumer protection	53	31	35
00.91 Total direct program	55	31	35
01.01 Reimbursable program	47	71	70
10.00 Total obligations	102	102	105
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	39	33	11
22.00 New budget authority (gross)	96	80	94
23.90 Total budgetary resources available for obligation	135	113	105
23.95 New obligations	–102	–102	–105
24.40 Unobligated balance available, end of year: Uninvested balance	33	11	.....
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	55	31	35
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	60	60	59
68.45 Portion not available for obligation (limitation on obligations)	–19	–11	.....
68.90 Spending authority from offsetting collections (total)	41	49	59
70.00 Total new budget authority (gross)	96	80	94
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	8	19	38
73.10 New obligations	102	102	105
73.20 Total outlays (gross)	–91	–83	–94
73.40 Adjustments in expired accounts	1	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	19	38	49
Outlays (gross), detail:			
86.90 Outlays from new current authority	43	29	32

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 29–0100–0–1–376	1995 actual	1996 est.	1997 est.
86.93 Outlays from current balances		11	2
86.97 Outlays from new permanent authority	41	45	54
86.98 Outlays from permanent balances	7		4
87.00 Total outlays (gross)	91	83	94
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–1	–1	
88.40 Non-Federal sources	–59	–59	–59
88.90 Total, offsetting collections (cash)	–60	–60	–59
Net budget authority and outlays:			
89.00 Budget authority	36	20	35
90.00 Outlays	31	23	35

The Federal Trade Commission (FTC or Commission) is charged by law with ensuring that competition in the marketplace is vigorous, free, and fair. This is accomplished by eliminating threats to fair and honest competition from all sources, both public and private.

Maintaining competition.—The Commission's efforts are aimed at fostering and preserving our competitive system with the goal of maximizing consumer welfare. In addition to enforcing the antitrust laws against private sector restraints on competition, the Commission also scrutinizes regulatory policies that unduly restrain competition, and encourages policymakers to harness the benefits of competition when in the development of such policies.

Consumer protection.—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to improve market performance so that consumers can make informed choices when exercising their purchasing power. To accomplish this goal, the Commission will: remove harmful private and public restrictions on market performance; encourage business to provide consumers with accurate and useful information; and reinforce market forces that enhance consumer welfare.

The President's budget for 1997 provides a total of 979 workyears. The program level for the Commission would increase from $100.9 million in 1996 to $104.5 million in 1997, allowing the Commission to continue to pursue its missions.

The programs administered by the FTC are funded by appropriated funds and fees assessed for premerger notification filings under the Hart-Scott-Rodino Act, as required by section 605 of Public Law 101–162, as amended. The FTC will use $69.5 million in premerger filings fees to finance its activities, of which $10.6 million is derived from estimated carryover fee balances.

Object Classification (in millions of dollars)

Identification code 29–0100–0–1–376	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	29	18	20
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation		1	1
11.9 Total personnel compensation	31	19	21
12.1 Civilian personnel benefits	6	4	4
21.0 Travel and transportation of persons	1		
23.1 Rental payments to GSA	5	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1		1
25.2 Other services	2	1	1
25.3 Purchases of goods and services from Government accounts	2	1	1
25.4 Operation and maintenance of facilities	2	1	1
26.0 Supplies and materials	1		
31.0 Equipment	2		
99.0 Subtotal, direct obligations	54	31	34
99.0 Reimbursable obligations	45	71	70
99.5 Below reporting threshold	3		1
99.9 Total obligations	102	102	105

Personnel Summary

Identification code 29–0100–0–1–376	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	506	301	324
1005 Full-time equivalent of overtime and holiday hours	3	3	3
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	433	677	652

HARRY S. TRUMAN SCHOLARSHIP FOUNDATION

Trust Funds

HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 95–8296–0–7–502	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Interest on investments	3	3	3
Appropriation:			
05.01 Harry S. Truman memorial scholarship trust fund	–3	–3	–3
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–8296–0–7–502	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Scholarship awards	4	2	2
00.02 Program administration	1	1	1
10.00 Total obligations	5	3	3
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance		–2	
21.41 U.S. Securities: Par value	54	54	52
21.99 Total unobligated balance, start of year	54	52	52
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	57	55	55
23.95 New obligations	–5	–3	–3
Unobligated balance available, end of year:			
24.40 Uninvested balance	–2		
24.41 U.S. Securities: Par value	54	52	52
24.99 Total unobligated balance, end of year	52	52	52
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	3	3	3
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation		2	1
73.10 New obligations	5	3	3
73.20 Total outlays (gross)	–3	–4	–3
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	1	1
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	2	2	2
86.98 Outlays from permanent balances	1	2	1

87.00	Total outlays (gross)	3	4	3
	Net budget authority and outlays:			
89.00	Budget authority	3	3	3
90.00	Outlays	3	4	3

Public Law 93–642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its fiscal year 1997 annual competition, the Foundation will select up to 80 new Truman Scholars. The maximum award will be $30,000 for four years.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

Identification code 95–8296–0–7–502	1995 actual	1996 est.	1997 est.
41.0 Direct obligations: Grants, subsidies, and contributions	4	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	5	3	3

Personnel Summary

Identification code 95–8296–0–7–502	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	5	5	5

INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

Federal Funds

General and special funds:

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by Public Law 99–498, as amended (20 U.S.C. 56, Part A), $5,500,000: Provided, That the Institute shall act as its own certifying officer.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2900–0–1–502	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Payment to the Institute	10	10	6
00.03 Contribution to the Capital Endowment Fund	2	2	.....
10.00 Total obligations (object class 41.0)	11	11	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	11	6
23.95 New obligations	–11	–11	–6
New budget authority (gross), detail:			
40.00 Appropriation	11	11	6
Change in unpaid obligations:			
73.10 New obligations	11	11	6
73.20 Total outlays (gross)	–12	–11	–6
Outlays (gross), detail:			
86.90 Outlays from new current authority	11	11	6
87.00 Total outlays (gross)	12	11	6
Net budget authority and outlays:			
89.00 Budget authority	11	11	6
90.00 Outlays	13	11	6

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit corporation administered by a Board of Trustees. The Institute provides Native Americans with an opportunity to obtain a postsecondary education in various fields of Indian art and culture.

Payment to the Institute.—This activity supports the operations of the Institute.

Contribution to Endowment fund.—This activity provides for Federal matching contributions to the Institute's Endowment fund, pursuant to section 1518 of Public Law 99–498.

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

Federal Funds

General and special funds:

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account; 【$90,683,000】 *$91,739,000. (Department of Defense Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–0401–0–1–054	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	103	93	93
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	15	6	4
22.00 New budget authority (gross)	94	91	92
23.90 Total budgetary resources available for obligation	109	97	96
23.95 New obligations	–103	–93	–93
24.40 Unobligated balance available, end of year: Uninvested balance	6	4	3
New budget authority (gross), detail:			
40.00 Appropriation	94	91	92
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	133	119	76
73.10 New obligations	103	93	93
73.20 Total outlays (gross)	–117	–136	–102
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	119	76	67
Outlays (gross), detail:			
86.90 Outlays from new current authority	21	50	51
86.93 Outlays from current balances	96	86	52
87.00 Total outlays (gross)	117	136	102
Net budget authority and outlays:			
89.00 Budget authority	94	91	92
90.00 Outlays	117	136	102

Since the establishment of the Community Management Staff (CMS) in 1992, additional programs and responsibilities

General and special funds—Continued

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT—Continued

have been added to it for budgetary oversight. To improve oversight, the Intelligence Community Management Account (ICMA) replaces the CMS as the umbrella account to hold those programs that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole. The ICMA now includes the CMS, the Environmental Intelligence and Applications program, the National Intelligence Council, the Center for Security Evaluations, the Information Systems Secretariat, the Controlled Access Program Coordination Office, the Advanced Research and Development program, and the National Counterintelligence Center.

The CMS is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Environmental Intelligence and Applications program evaluates the application of Intelligence Community archived information and current and future imaging capabilities to the study of the environment. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The Controlled Access Program Coordination Office supports the DCI's annual review of Intelligence Special Access programs. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The Information Systems Secretariat will support technical activities and services of common Community concern regarding interoperability between national intelligence systems and consumers. The National Counterintelligence Center was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities.

Object Classification (in millions of dollars)

Identification code 95–0401–0–1–054	1995 actual	1996 est.	1997 est.
11.3 Personnel compensation: Other than full-time permanent	18	18	19
12.1 Civilian personnel benefits	3	5	5
21.0 Travel and transportation of persons	1	2	2
25.2 Other services	78	64	62
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	3	4
99.9 Total obligations	103	93	93

Personnel Summary

Identification code 95–0401–0–1–054	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	236	247	258

INTELLIGENCE COMMUNITY STAFF

Federal Funds

General and special funds:

INTELLIGENCE COMMUNITY STAFF

Program and Financing (in millions of dollars)

Identification code 95–0400–0–1–054	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	4	1	.....
73.10 New obligations	.....	.....	.....
73.20 Total outlays (gross)	–2	–1	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	.....	.....
Outlays (gross), detail:			
86.93 Outlays from current balances	2	.....	.....
87.00 Total outlays (gross)	2	1	.....
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	2	1	.....

The Intelligence Community Staff provided support and assistance to the Director of Central Intelligence in his capacity as the leader of the intelligence community. In 1992, the Intelligence Community Staff was disbanded. Many of its functions were distributed to agencies of the intelligence community with the remaining activities transferred to the Intelligence Community Management account.

INTERNATIONAL TRADE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, and not to exceed $2,500 for official reception and representation expenses, $41,707,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 34–0100–0–1–153	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program: Research, investigations, and reports	44	40	42
10.00 Total obligations	44	40	42
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	1	.....
22.00 New budget authority (gross)	42	40	42
23.90 Total budgetary resources available for obligation	45	41	42
23.95 New obligations	–44	–40	–42
24.40 Unobligated balance available, end of year: Uninvested balance	1	.....	.....
New budget authority (gross), detail:			
40.05 Appropriation (indefinite)	42	40	42
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: start of year: Appropriation	3	4	4
73.10 New obligations	44	40	42
73.20 Total outlays (gross)	–43	–40	–42
74.40 Unpaid obligations, end of year: Obligated balance: end of year: Appropriation	4	4	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	39	37	39
86.93 Outlays from current balances	3	3	3
87.00 Total outlays (gross)	43	40	42
Net budget authority and outlays:			
89.00 Budget authority	42	40	42
90.00 Outlays	43	40	42

The U.S. International Trade Commission is an independent agency created by an act of Congress. The Commission's current powers and duties are provided for principally by the Tariff Act of 1930; the Trade Act of 1974; the Agricultural Adjustment Act; section 1911 of the Financial Institutions Regulatory and Interest Rate Control Act of 1978, 12 U.S.C. 635a–2; the Trade and Tariff Act of 1984; and the Omnibus Trade and Competitiveness Act of 1988; the North American Free Trade Implementation Act of 1993; and the Uruguay Round Agreements Act of 1994.

The Commission conducts investigations and makes findings concerning whether: (1) increased imports are a substantial cause of serious injury to an industry; (2) imports of goods that are subsidized or are being sold at less than fair value are materially injuring, threatening with material injury, or materially retarding an industry; (3) unfair import practices have the threat or effect of substantially injuring an industry or restraining or monopolizing trade and commerce in the United States; and (4) imports of agricultural products are materially interfering with certain programs of the U.S. Department of Agriculture.

The Commission advises the President as to the probable economic effect on domestic industry and consumers of modification of duties and other barriers to trade which may be considered for inclusion in any proposed trade agreement with foreign countries. Further, the Commission, at the request of the President, the Congress, or on the Commission's own motion, undertakes comprehensive studies and provides reports on key issues relating to international trade and economic policy matters and upon request provides other information and advice to the Congress and President on tariff and trade matters.

The Commission, in cooperation with the Secretary of the Treasury and the Secretary of Commerce, establishes for statistical purposes an enumeration of articles imported into the United States and exported from the United States, and seeks to establish comparability of such statistics with statistical programs for domestic production.

The Commission also issues a publication containing the U.S. tariff schedule and related matters and considers questions concerning the arrangements of such schedules and the classification of articles.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

Object Classification (in millions of dollars)

Identification code 34–0100–0–1–153		1995 actual	1996 est.	1997 est.
11.1	Personnel compensation: Full-time permanent	25	23	24
12.1	Civilian personnel benefits	5	5	5
23.1	Rental payments to GSA	7	7	7
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	2	1	2
25.3	Purchases of goods and services from Government accounts	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	.....	1
99.5	Below reporting threshold	1	1	.....
99.9	Total obligations	44	40	42

Personnel Summary

Identification code 34–0100–0–1–153		1995 actual	1996 est.	1997 est.
	Total compensable workyears:			
1001	Full-time equivalent employment	453	383	383
1005	Full-time equivalent of overtime and holiday hours	1	.....	1

[INTERSTATE COMMERCE COMMISSION]

Federal Funds

General and special funds:

[SALARIES AND EXPENSES]

[For necessary expenses of the Interstate Commerce Commission, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b), $13,379,000, of which $4,984,000 shall be for severance and closing costs: *Provided*, That of the fees collected in fiscal year 1996 by the Interstate Commerce Commission pursuant to 31 U.S.C. 9701, one-twelfth of $8,300,000 of those fees collected shall be made available for each month the Commission remains in existence during fiscal year 1996.]

[PAYMENTS FOR DIRECTED RAIL SERVICE]

[(LIMITATION ON OBLIGATIONS)]

[None of the funds provided in this Act shall be available for the execution of programs the obligations for which can reasonably be expected to exceed $475,000 for directed rail service authorized under 49 U.S.C. 11125 or any other Act.] *(Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 30–0100–0–1–401		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
	Direct program:			
00.01	Motor program	14	3	.....
00.02	Rail program	15	3	.....
00.03	General management and administration	4	.....	.....
00.91	Total direct program	33	6	.....
01.01	Reimbursable program	8	3	.....
10.00	Total obligations	41	9	.....
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)	41	9	.....
23.95	New obligations	–41	–9	.....
	New budget authority (gross), detail:			
	Current:			
	Appropriation:			
40.00	Appropriation	30	13	.....
40.00	Appropriation for severance costs	3	.....	.....
41.00	Transferred to other accounts	.....	–7	.....
43.00	Appropriation (total)	33	6	.....
	Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	8	3	.....
70.00	Total new budget authority (gross)	41	9	.....
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	8	4	.....
73.10	New obligations	41	9	.....
73.20	Total outlays (gross)	–45	–8	.....
73.30	Obligated balance transferred, net	.....	–4	.....
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	4	.....	.....
	Outlays (gross), detail:			
86.90	Outlays from new current authority	30	6	.....
86.93	Outlays from current balances	7	.....	.....
86.97	Outlays from new permanent authority	8	3	.....
87.00	Total outlays (gross)	45	8	.....
	Offsets:			
	Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources	–8	–3	.....
	Net budget authority and outlays:			
89.00	Budget authority	33	6	.....
90.00	Outlays	37	5	.....

The Interstate Commerce Commission was sunset on December 31, 1995, by P.L. 104–88, the ICC Termination Act

General and special funds—Continued

[PAYMENTS FOR DIRECTED RAIL SERVICE]—Continued

[(LIMITATION ON OBLIGATIONS)]—Continued

of 1995. Certain remaining surface transportation functions were transferred to the Department of Transportation's Surface Transportation Board and Federal Highway Administration.

Object Classification (in millions of dollars)

Identification code 30–0100–0–1–401	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	17	3	.....
11.3 Other than full-time permanent	1	.....	.....
11.9 Total personnel compensation	18	3	.....
12.1 Civilian personnel benefits	3	1	.....
13.0 Benefits for former personnel	2	.....	.....
21.0 Travel and transportation of persons	1	.....	.....
23.1 Rental payments to GSA	5	1	.....
23.3 Communications, utilities, and miscellaneous charges	1	.....	.....
25.2 Other services	1	1	.....
25.3 Purchases of goods and services from Government accounts	1	.....	.....
99.0 Subtotal, direct obligations	34	6	.....
99.0 Reimbursable obligations	8	3	.....
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	41	9	.....

Personnel Summary

Identification code 30–0100–0–1–401	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	295	53	.....
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	121	33	.....

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 95–8282–0–7–502	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.02 Earnings on investments	3	3	3
Appropriation:			
05.01 James Madison Memorial Fellowship Trust Fund	–3	–3	–3
07.99 Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–8282–0–7–502	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Fellowship awards	1	1	1
00.02 Program administration	1	1	1
10.00 Total obligations	2	2	2
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	.....	–1	.....
21.41 U.S. Securities: Par value	35	37	37
21.99 Total unobligated balance, start of year	35	36	37
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	38	39	40
23.95 New obligations	–2	–2	–2
Unobligated balance available, end of year:			
24.40 Uninvested balance	–1	.....	.....
24.41 U.S. Securities: Par value	37	37	38
24.99 Total unobligated balance, end of year	36	37	38
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	3	3	3
Change in unpaid obligations:			
73.10 New obligations	2	2	2
73.20 Total outlays (gross)	–2	–2	–2
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	2	2	2
87.00 Total outlays (gross)	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	2	2

Public Laws 99–500, 101–208, and 102–221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of $10 million in fiscal years 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to $24,000 to high school teachers of American history, American government, and social studies. High school seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 60 fellowships in FY 1995 and plans to award 60 in both FY 1996 and FY 1997.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code 95–8282–0–7–502	1995 actual	1996 est.	1997 est.
41.0 Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	2	2	2

Personnel Summary

Identification code 95–8282–0–7–502	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	7	7	7

JAPAN-UNITED STATES FRIENDSHIP COMMISSION

Trust Funds

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

For expenses of the Japan-United States Friendship Commission as authorized by Public Law 94–118, as amended, from the interest earned on the Japan-United States Trust Fund, $1,250,000; and an amount of Japanese currency not to exceed the equivalent of $1,420,000 based on the exchange rates at the time of payment of such amounts as authorized by Public Law 94–118.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 95–8025–0–7–154	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	15	15	15
Receipts:			
02.01 Interest on investment in public debt securities	1	1	1
04.00 Total: Balances and collections	16	16	16
Appropriation:			
05.01 Japan-United States friendship trust fund	–1	–1	–1
07.99 Total balance, end of year	15	15	15

Program and Financing (in millions of dollars)

Identification code 95–8025–0–7–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 grants	2	2	1
10.00 Total obligations (object class 41.0)	2	2	1
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	2	1	.....
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	3	2	1
23.95 New obligations	–2	–2	–1
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	1	.....	.....
New budget authority (gross), detail:			
40.26 Appropriation (trust fund, definite)	1	1	1
Change in unpaid obligations:			
73.10 New obligations	2	2	1
73.20 Total outlays (gross)	–2	–2	–1
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	1
86.98 Outlays from permanent balances	1	1	.....
87.00 Total outlays (gross)	2	2	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	2	2	1

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants from the Fund for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the appropriated income of the fund and, in an amount not to exceed 5 percent annually of the principal of the fund, to pay the expenses of the Commission and to make grants in support of Japanese studies in American universities, policy-oriented research, faculty and other professional exchange programs, public affairs programs, and other cultural and educational activities, primarily in the United States.

Personnel Summary

Identification code 95–8025–0–7–154	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	4	6	6

JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD

Federal Funds

General and special funds:

JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD

For necessary expenses to carry out the John F. Kennedy Assassination Records Collection Act of 1992, $2,150,000. *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 48–1001–0–1–808	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	3	2
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	.....	1	.....
22.00 New budget authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	2	3	2
23.95 New obligations	–2	–3	–2
24.40 Unobligated balance available, end of year: Uninvested balance	1	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	2	2	2
Change in unpaid obligations:			
73.10 New obligations	2	3	2
73.20 Total outlays (gross)	–1	–3	–2
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	2	2
86.93 Outlays from current balances	.....	1	.....
87.00 Total outlays (gross)	1	3	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	1	3	2

The John F. Kennedy Assassination Records Review Board was established to oversee an effort of enormous scope within a three year period. The Board is charged with locating and securing all records which relate to the assassination of President Kennedy. These records include those of at least fifteen Federal agencies, previous official investigations, the Presidential libraries, and many smaller governmental and private repositories throughout the country.

The purpose of the Board is to ensure the efficient, timely and full disclosure of these records to the American public. This effort is seen as perhaps the last opportunity to clear up the many lingering doubts and questions surrounding the assassination of President Kennedy.

FY 1997 is the Board's third and final year, and it will issue a final report upon its termination.

Object Classification (in millions of dollars)

Identification code 48–1001–0–1–808	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	2	1
99.9 Total obligations	2	3	2

General and special funds—Continued

JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD—Continued

Personnel Summary

Identification code 48–1001–0–1–808	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	16	31	31

LEGAL SERVICES CORPORATION

Federal Funds

General and special funds:

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the Legal Services Corporation Act of 1974, as amended, $340,000,000, of which $305,800,000 is for basic field programs, $11,300,000 is for migrant funding and $7,900,000 is for Native American funding; $5,500,000 is for grantee financial and compliance audits; and $9,500,000 to be allocated between management and administration and the Office of the Inspector General.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 20–0501–0–1–752	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	400	278	340
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	400	278	340
23.95 New obligations	–400	–278	–340
New budget authority (gross), detail:			
40.00 Appropriation	400	278	340
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	56	27	52
73.10 New obligations	400	278	340
73.20 Total outlays (gross)	–429	–253	–333
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	27	52	59
Outlays (gross), detail:			
86.90 Outlays from new current authority	400	230	281
86.93 Outlays from current balances	29	24	52
87.00 Total outlays (gross)	429	253	333
Net budget authority and outlays:			
89.00 Budget authority	400	278	340
90.00 Outlays	429	253	333

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	400	278	340
Outlays	429	254	333
Adjustment to 1996 continuing resolution levels:			
Budget Authority		31	
Outlays		26	5
Total:			
Budget Authority	400	309	340
Outlays	429	280	338

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal government.

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

SEC. 402. None of the funds appropriated under this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by or contrary to any of the provisions of—

(1) section 607 of Public Law 101–515, except that the funding formulas and provisos 8, 13, 15, 17, 18, 20 and 22 shall not apply, and all references to "1991" in such section shall be deemed to be "1997," unless subparagraph (2) applies; and

(2) authorizing legislation for fiscal year 1997 for the Legal Services Corporation that is enacted into law.

MARINE MAMMAL COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by Title II of Public Law 92–522, as amended, $1,334,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2200–0–1–302	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 11.1)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 New obligations	–1	–1	–1
New budget authority (gross), detail:			
40.00 Appropriation	1	1	1
Change in unpaid obligations:			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	–1	–1	–1
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	1
87.00 Total outlays (gross)	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and, manages a research program.

Personnel Summary

Identification code 95–2200–0–1–302	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	10	12	12

MERIT SYSTEMS PROTECTION BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, $24,549,000, together with not to exceed $2,430,000 for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 41–0100–0–1–805	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Adjudication	19	19	20
00.02 Merit system studies	1	1	1
00.03 Management support	4	4	4
00.91 Total direct program	24	25	25
01.01 Reimbursable program	2	2	2
10.00 Total obligations	27	27	27
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	27	27	27
23.95 New obligations	–27	–27	–27
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	25	25	25
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00 Total new budget authority (gross)	27	27	27
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	2	3
73.10 New obligations	27	27	27
73.20 Total outlays (gross)	–24	–26	–26
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	3	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	22	23	23
86.93 Outlays from current balances	.....	1	1
86.97 Outlays from new permanent authority	2	2	2
87.00 Total outlays (gross)	24	26	26
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–2	–2	–2
Net budget authority and outlays:			
89.00 Budget authority	25	25	25
90.00 Outlays	22	24	24

The Merit Systems Protection Board performs the adjudicatory functions necessary to maintain the civil service merit system. These include hearing appeals on adverse actions, reduction-in-force actions, and retirement. The Board will report to the President on whether merit systems are sufficiently free from prohibited personnel practices to protect the public interest.

Board workloads are reflected in the following table:

PRODUCTION COUNT

	1995 actual	*1996 est.*	*1997 est.*
Retirement (legal-disability)	1,835	1,800	1,800
Adverse action appeals	5,250	5,200	5,200
Reduction-in-force appeals	1,947	1,900	1,900
Other	3,175	3,100	3,100

Object Classification (in millions of dollars)

Identification code 41–0100–0–1–805	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	15	15	15
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	16	16	16
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	.....	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	.....
25.2 Other services	1	1	1
31.0 Equipment	1	1	1
99.0 Subtotal, direct obligations	24	25	25
99.9 Total obligations	27	27	27

Personnel Summary

Identification code 41–0100–0–1–805	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	244	231	227
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	33	34	33

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Federal Funds

General and special funds:

FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Program and Financing (in millions of dollars)

Identification code 95–0900–0–1–502	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.3)	10	.....	.....
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	.....	.....
23.95 New obligations	–10	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	10	.....	.....
Change in unpaid obligations:			
73.10 New obligations	10	.....	.....
73.20 Total outlays (gross)	–10	.....	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	10	.....	.....
87.00 Total outlays (gross)	10	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	10	.....	.....
90.00 Outlays	10	.....	.....

The General Fund payment to the Morris K. Udall Fund is being used to invest in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments will be used to carry out the activities of the Morris K. Udall Foundation. The Foundation will award

General and special funds—Continued

FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION—Continued

scholarships, fellowships and grants, and will fund activities of the Udall Center.

Trust Funds

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Unavailable Collections (in millions of dollars)

Identification code 95–8615–0–7–502	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	10	20	20
Receipts:			
02.01 General fund payments	10	.....	.....
02.02 Interest on investments	1	1	1
02.99 Total receipts	11	1	1
04.00 Total: Balances and collections	21	21	21
Appropriation:			
05.01 Morris K. Udall Scholarship fund	–1	–1	–1
07.99 Total balance, end of year	20	20	20

Program and Financing (in millions of dollars)

Identification code 95–8615–0–7–502	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	.....	1	1
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	.....	1	1
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	1	2	2
23.95 New obligations	.....	–1	–1
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	1	1	1
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	1	1	1
Change in unpaid obligations:			
73.10 New obligations	.....	1	1
73.20 Total outlays (gross)	.....	–1	–1
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	.....	1	1
87.00 Total outlays (gross)	.....	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	.....	1	1

Public Law 102–259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy. In addition, the Foundation is authorized to fund the Udall Center for Studies in Public Policy at the University of Arizona to carry out and manage programs established by the Foundation relating especially to a program of environmental conflict resolution. During fiscal year 1996, the Foundation will award its first 55 undergraduate scholarships to college juniors and seniors majoring in fields relating to environmental public policy and to Native American upperclassmen majoring in health care and fields related to public policy on Indian reservations. Other major projects in fiscal year 1996 include a conference in conjunction with the Udall Center for Studies in Public Policy and the Society of Environmental Journalists on the topic of *Environmental Health Issues in the U.S.-Mexico Border Region: Public Perception, the Knowledge Base and Public Policy,* and the first ever Native American Congressional Summer Internship Program.

Personnel Summary

Identification code 95–8615–0–7–502	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	1	2	2

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Federal Funds

General and special funds:

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives *(including the Information Security Oversight Office)* and records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, **[**$199,633,000, of which $4,500,000 shall be available until expended for cataloging, archiving and digitizing activities**]** *$196,964,000*: *Provided,* That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to move into the facility. *(1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11–13; 4 U.S.C. 141–146; 5 U.S.C. App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98–497, Public Law 93–526, Executive Orders 11440, 10530, 11030, 12829, 12958; Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 88–0300–0–1–804	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Records centers	60	64	63
00.02 Archives and related services	105	106	104
00.03 Information security oversight office	.....	1	1
00.04 Archives II Facility	27	25	25
00.91 Total direct program	192	196	193
01.01 Reimbursable program	26	27	27
10.00 Total obligations	218	223	220
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	.....	1	.....
21.41 U.S. Securities: Par value	9	11	11
21.99 Total unobligated balance, start of year	9	12	11
22.00 New budget authority (gross)	219	223	220
22.10 Resources available from recoveries of prior year obligations	1	.....	.....
22.30 Unobligated balance expiring	–1	.....	.....
23.90 Total budgetary resources available for obligation	228	235	231
23.95 New obligations	–218	–223	–220
Unobligated balance available, end of year:			
24.40 Uninvested balance	1	.....	.....
24.41 U.S. Securities: Par value	11	11	11
24.99 Total unobligated balance, end of year	12	11	11
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	195	200	197
40.35 Appropriation rescinded	–1	.....	.....
40.47 Portion applied to debt reduction	–4	–4	–4
43.00 Appropriation (total)	190	196	193

	Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	29	27	27
70.00	Total new budget authority (gross)	219	223	220
	Change in unpaid obligations:			
	Unpaid obligations, start of year:			
	Obligated balance:			
72.40	Appropriation	30	22	34
72.41	U.S. Securities: Par value	27	6	
72.99	Total unpaid obligations, start of year	57	28	34
73.10	New obligations	218	223	220
73.20	Total outlays (gross)	–245	–217	–216
73.40	Adjustments in expired accounts	–1		
73.45	Adjustments in unexpired accounts	–1		
	Unpaid obligations, end of year:			
	Obligated balance:			
74.40	Appropriation	22	34	38
74.41	U.S. Securities: Par value	6		
74.99	Total unpaid obligations, end of year	28	34	38
	Outlays (gross), detail:			
86.90	Outlays from new current authority	182	153	151
86.93	Outlays from current balances	34	37	38
86.97	Outlays from new permanent authority	29	27	27
87.00	Total outlays (gross)	245	217	216
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	–26	–27	–27
88.40	Non-Federal sources	–3		
88.90	Total, offsetting collections (cash)	–29	–27	–27
	Net budget authority and outlays:			
89.00	Budget authority	190	196	193
90.00	Outlays	216	190	189

Note.—The Information Security Oversight Office had comparable funding of $1 million in the Office of Management and Budget appropriation in 1995.

The National Archives and Records Administration provides for basic operations dealing with management of the Government's archives and records, operation of Presidential libraries, and for the review for declassification of classified security information.

Records centers.—This activity provides for the accessioning, storage, reference service, and disposal of the semiactive and non-current records of Federal agencies through a nationwide system of 14 records centers. Significant savings result from use of low cost records storage and the efficient and timely disposal of non-permanent records.

Archives and related services.—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies, the permanently valuable records of the Federal Government and the historical material in Presidential libraries, related publications and exhibit programs, and the appraisal of all Federal records. It also provides for the publication of the *Federal Register* and *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, Presidential documents and for a program to improve the quality of regulations and the public's access to them.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also includes administrative costs for the National Historical Publications and Records Commission.

Information security oversight office.—This activity provides oversight for the information security program established by Executive order 12356 and reports annually to the President on the status of that program. This involves monitoring the information security programs of approximately 80 executive branch agencies. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829. In the fiscal year 1996 Treasury, Postal, General Government Appropriations Act, Congress transferred this office from the Office of Management and Budget to the National Archives and Records Administration.

Archives II Facility.—Provides for construction and related services of a new archival facility. Costs of construction are financed by $301,702 thousand of federally, guaranteed debt issued in 1989. Since 1994 and continuing in 1997, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

Object Classification (in millions of dollars)

Identification code 88–0300–0–1–804	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	61	63	66
11.3 Other than full-time permanent	6	6	5
11.9 Total personnel compensation	67	69	71
12.1 Civilian personnel benefits	14	14	15
13.0 Benefits for former personnel	4		
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	31	36	36
23.3 Communications, utilities, and miscellaneous charges	9	11	11
24.0 Printing and reproduction	2	2	2
25.2 Other services	24	25	19
25.4 Operation and maintenance of facilities	5	5	5
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	4	2	2
31.0 Equipment	3	4	4
43.0 Interest and dividends	26	25	25
99.0 Subtotal, direct obligations	192	196	193
99.0 Reimbursable obligations	26	27	27
99.9 Total obligations	218	223	220

Personnel Summary

Identification code 88–0300–0–1–804	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,835	1,875	1,875
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	502	545	526

Repairs and Restoration

For the repair, alteration, and improvement of archives facilities and presidential libraries, [$1,500,000] *$2,750,000* to remain available until expended. *(Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 88–0302–0–1–804	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.2)		2	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		2	3
23.95 New obligations		–2	–3
New budget authority (gross), detail:			
40.00 Appropriation		2	3
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation			1
73.10 New obligations		2	3
73.20 Total outlays (gross)		–1	–1

General and special funds—Continued

REPAIRS AND RESTORATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 88–0302–0–1–804	1995 actual	1996 est.	1997 est.
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation		1	3
Outlays (gross), detail:			
86.90 Outlays from new current authority		1	1
86.93 Outlays from current balances			1
87.00 Total outlays (gross)		1	1
Net budget authority and outlays:			
89.00 Budget authority		2	3
90.00 Outlays		1	1

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential libraries nationwide. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings.

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, 【$5,000,000】 *$4,000,000. (Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 88–0301–0–1–804	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	9	5	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	5	4
23.95 New obligations	–9	–5	–4
New budget authority (gross), detail:			
40.00 Appropriation	9	5	4
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation		7	7
73.10 New obligations	9	5	4
73.20 Total outlays (gross)	–2	–5	–4
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	7	7	7
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	5	4
87.00 Total outlays (gross)	2	5	4
Net budget authority and outlays:			
89.00 Budget authority	9	5	4
90.00 Outlays	2	5	4

National Historical Publications and Records Commission Grants.—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

Trust Funds

NATIONAL ARCHIVES GIFT FUND

Program and Financing (in millions of dollars)

Identification code 88–8127–0–7–804	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations			
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	2	2
22.00 New budget authority (gross)			
23.90 Total budgetary resources available for obligation	2	2	2
23.95 New obligations			
24.40 Unobligated balance available, end of year: Uninvested balance	2	2	2
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Grants and donations are deposited into this fund to benefit National Archives' collections and services in accordance with terms of the donor (44 U.S.C. 2305).

NATIONAL ARCHIVES TRUST FUND

Program and Financing (in millions of dollars)

Identification code 88–8436–0–8–804	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Reproduction services	7	7	7
00.02 Presidential libraries	5	5	5
10.00 Total obligations	12	12	12
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance	5	3	13
21.91 U.S. Securities: Par value	7	10	
21.99 Total unobligated balance, start of year	12	13	13
22.00 New budget authority (gross)	13	12	12
23.90 Total budgetary resources available for obligation	25	25	25
23.95 New obligations	–12	–12	–12
Unobligated balance available, end of year:			
24.90 Fund balance	3	13	13
24.91 Par value	10		
24.99 Total unobligated balance, end of year	13	13	13
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	13	12	12
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	5	2	2
73.10 New obligations	12	12	12
73.20 Total outlays (gross)	–14	–12	–12
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance: Uninvested balance	2	2	2
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	13	12	12
86.98 Outlays from permanent balances	1		
87.00 Total outlays (gross)	14	12	12

	Offsets:			
	Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources	–13	–12	–12
	Net budget authority and outlays:			
89.00	Budget authority			
90.00	Outlays	1		

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from sale of copies of microfilm publications, reproductions, and other publications, and admission fees to Presidential Library museum rooms are deposited to this fund (44 U.S.C. 2108).

Statement of Operations (in millions of dollars)

Identification code 88–8436–0–8–804		1994 actual	1995 actual	1996 est.	1997 est.
0111	Revenue	10	7	7	8
0112	Expense	–11	–8	–7	–8
0119	Net income or loss, Reproduction services	–1	–1		
0121	Revenue	5	5	4	4
0122	Expense	–5	–4	–5	–5
0129	Net income or loss, Presidential libraries		1	–1	–1
0131	Interest income	1	1	1	1
0132	Expense				
0139	Net non-operating income	1	1	1	1
0191	Total revenues	16	13	12	13
0192	Total expenses	–16	–12	–12	–13
0199	Net income or loss		1		

Balance Sheet (in millions of dollars)

Identification code 88–8436–0–8–804		1994 actual	1995 actual	1996 est.	1997 est.
	ASSETS:				
	Federal assets:				
1101	Fund balances with Treasury	1	1	1	1
	Investments in US securities:				
1102	Treasury securities, par	7	10	15	15
1201	Non-Federal assets: Investments in non-Federal securities, net	9	5		
	Other Federal assets:				
1802	Inventories and related properties	3	1	1	1
1803	Property, plant and equipment, net		1	1	1
1999	Total assets	20	18	18	18
	LIABILITIES:				
2101	Federal liabilities: Accounts payable	3			
	Non-Federal liabilities:				
2201	Accounts payable	1	1	1	1
2207	Other	1	1	1	1
2999	Total liabilities	5	2	2	2
	NET POSITION:				
3300	Cumulative results of operations	15	16	16	16
3999	Total net position	15	16	16	16
4999	Total liabilities and net position	20	18	18	18

Object Classification (in millions of dollars)

Identification code 88–8436–0–8–804		1995 actual	1996 est.	1997 est.
	Personnel compensation:			
11.1	Full-time permanent	2	2	2
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	3	3	3
12.1	Civilian personnel benefits	1	1	1
23.3	Communications, utilities, and miscellaneous charges	1		
24.0	Printing and reproduction	1	1	1
25.2	Other services	3	4	4
26.0	Supplies and materials	2	2	2
31.0	Equipment	1		
99.0	Subtotal, reimbursable obligations	12	11	11
99.5	Below reporting threshold		1	1
99.9	Total obligations	12	12	12

Personnel Summary

Identification code 88–8436–0–8–804		1995 actual	1996 est.	1997 est.
2001	Total compensable workyears: Full-time equivalent employment	112	113	113

NATIONAL BANKRUPTCY REVIEW COMMISSION

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 48–1090–0–1–752		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
10.00	Total obligations		1	
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance		1	
22.00	New budget authority (gross)	1		
23.90	Total budgetary resources available for obligation	1	1	
23.95	New obligations		–1	
24.40	Unobligated balance available, end of year: Uninvested balance	1		
	New budget authority (gross), detail:			
62.00	Transferred from other accounts	1		
	Change in unpaid obligations:			
73.10	New obligations		1	
73.20	Total outlays (gross)		–1	
	Outlays (gross), detail:			
86.98	Outlays from permanent balances		1	
87.00	Total outlays (gross)		1	
	Net budget authority and outlays:			
89.00	Budget authority	1		
90.00	Outlays		1	

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 USC 71–71i), including services as authorized by 5 USC 3109, $5,885,000: Provided, That all appointed members will be compensated at a rate equivalent to the rate for Executive Schedule Level IV.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2500–0–1–451		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
10.00	Total obligations	6	6	6
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)	6	6	6
23.95	New obligations	–6	–6	–6
	New budget authority (gross), detail:			
40.00	Appropriation	6	6	6

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–2500–0–1–451	1995 actual	1996 est.	1997 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	2	3
73.10 New obligations	6	6	6
73.20 Total outlays (gross)	–5	–5	–5
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	3	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	4	5	5
86.93 Outlays from current balances	1	1	2
87.00 Total outlays (gross)	5	5	5
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	6	5	5

The National Capital Planning Commission is the central planning agency for the Federal Government in the National Capital Region. During 1997, major emphasis will be placed on the following activities: the maintenance of Federal elements of the Comprehensive Plan for the National Capital, the five-year Federal Capital Improvements program, the review of Federal land acquisition and development proposals in the region, relevant zoning analyses, and continuing Federal interest reviews of the impact of proposed local, State and regional planning efforts on the Federal establishment. In addition, there will be an emphasis on the development of computer-based analytical planning tools. These tools will be used in cooperation with other agencies early in the planning process to allow for resolution of differences before the final review and approval stages.

Object Classification (in millions of dollars)

Identification code 95–2500–0–1–451	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	2	3	3
11.9 Total personnel compensation	2	3	3
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	1	1	1
99.5 Below reporting threshold	2	1	1
99.9 Total obligations	6	6	6

Personnel Summary

Identification code 95–2500–0–1–451	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	51	54	55

NATIONAL COMMISSION ON LIBRARIES AND INFORMATION SCIENCE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the National Commission on Libraries and Information Science, $897.000. (Public Law 91–345, as amended.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2700–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	1	1	1
10.00 Total obligations	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
New budget authority (gross), detail:			
40.00 Appropriation	1	1	1
Change in unpaid obligations:			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	–1	–1	–1
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	1
87.00 Total outlays (gross)	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Commission is responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, and for advising the President and the Congress on implementation of national library and information services policies.

Personnel Summary

Identification code 95–2700–0–1–503	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	9	9	9

NATIONAL COUNCIL ON DISABILITY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability, as authorized by title IV of the Rehabilitation Act of 1973, as amended, $1,793,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–3500–0–1–506	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	1	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	1	2
23.95 New obligations	–2	–1	–2
New budget authority (gross), detail:			
40.00 Appropriation	2	1	2
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	.....	.....
73.10 New obligations	2	1	2
73.20 Total outlays (gross)	–2	–1	–2
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	1	2
87.00 Total outlays (gross)	2	1	2

	Net budget authority and outlays:			
89.00	Budget authority	2	1	2
90.00	Outlays	2	1	2

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, NCD is responsible for reviewing laws, programs, and policies of the Federal Government affecting people with disabilities. NCD also makes recommendations on issues affecting 49 million Americans with disabilities and their families to the President, the Congress, the Rehabilitation Services Administration Commissioner, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies, as may be appropriate.

Object Classification (in millions of dollars)

Identification code 95–3500–0–1–506		1995 actual	1996 est.	1997 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	.....	1
99.5	Below reporting threshold	1	1	1
99.9	Total obligations	2	1	2

Personnel Summary

Identification code 95–3500–0–1–506		1995 actual	1996 est.	1997 est.
1001	Total compensable workyears: Full-time equivalent employment	9	11	11

NATIONAL CREDIT UNION ADMINISTRATION

Federal Funds

Public enterprise funds:

OPERATING FUND

Program and Financing (in millions of dollars)

Identification code 25–4056–0–3–373		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
	Operating expenses:			
00.01	Examination and supervision	63	71	71
00.03	Administration	28	27	27
10.00	Total obligations	91	98	98
	Budgetary resources available for obligation:			
21.91	Unobligated balance available, start of year: U.S. Securities: Par value	15	1	4
22.00	New budget authority (gross)	77	101	95
23.90	Total budgetary resources available for obligation	92	102	99
23.95	New obligations	–91	–98	–98
24.91	Unobligated balance available, end of year: Par value	1	4	1
	New budget authority (gross), detail:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	77	101	95
	Change in unpaid obligations:			
	Unpaid obligations, start of year:			
	Obligated balance:			
72.90	Fund balance	21	1	1
72.91	U.S. Securities: Par value	10	21	21
72.99	Total unpaid obligations, start of year	31	22	22
73.10	New obligations	91	98	98
73.20	Total outlays (gross)	–100	–98	–98
	Unpaid obligations, end of year:			
	Obligated balance:			
	Fund balance:			
74.90	Uninvested balance	1	1	1
74.91	U.S. Securities: Par value	21	21	21
74.99	Total unpaid obligations, end of year	22	22	22
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority	77	97	95
86.98	Outlays from permanent balances	23	.....	3
87.00	Total outlays (gross)	100	98	98
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	–43	–48	–49
88.40	Non-Federal sources	–34	–53	–46
88.90	Total, offsetting collections (cash)	–77	–101	–95
	Net budget authority and outlays:			
89.00	Budget authority	.....	.....	.....
90.00	Outlays	23	–3	3

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) chartering new Federal credit unions, (b) supervising established Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 50 percent. Data relating to activities are shown below:

Item:	*1995 actual*	*1996 est.*	*1997 est.*
Number of new Federal credit unions chartered	5	4	4
Number of operating Federal credit unions	7,412	7,329	7,250
Assets of Federal credit unions as of June 30 (in millions)	$189,507	$196,000	$205,000

Statement of Operations (in millions of dollars)

Identification code 25–4056–0–3–373		1994 actual	1995 actual	1996 est.	1997 est.
0101	Revenue	44	34	50	49
0102	Expense	–43	–46	–48	–49
0109	Net income	1	–12	2	.....

Balance Sheet (in millions of dollars)

Identification code 25–4056–0–3–373		1994 actual	1995 actual	1996 est.	1997 est.
	ASSETS:				
	Investments in US securities:				
1102	Federal assets: Treasury securities, par	25	22	25	22
1206	Non-Federal assets: Receivables, net	1	1	1	1
1803	Other Federal assets: Property, plant and equipment, net	43	47	45	43
1999	Total assets	69	70	71	66
	LIABILITIES:				
2102	Federal liabilities: Interest payable	41	39	38	37
	Non-Federal liabilities:				
2201	Accounts payable	4	5	6	4
2207	Other	7	8	9	9
2999	Total liabilities	52	52	53	50
	NET POSITION:				
3100	Appropriated capital	15	16	15	13
3200	Invested capital	2	2	3	3
3999	Total net position	17	18	18	16
4999	Total liabilities and net position	69	70	71	66

Object Classification (in millions of dollars)

Identification code 25–4056–0–3–373		1995 actual	1996 est.	1997 est.
	Personnel compensation:			
11.1	Full-time permanent	51	54	57
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	52	55	58

Public enterprise funds—Continued

OPERATING FUND—Continued

Object Classification (in millions of dollars)—Continued

Identification code 25–4056–0–3–373	1995 actual	1996 est.	1997 est.
12.1 Civilian personnel benefits	11	13	13
21.0 Travel and transportation of persons	9	11	11
23.3 Communications, utilities, and miscellaneous charges	3	4	4
24.0 Printing and reproduction	.....	1	1
25.1 Advisory and assistance services	1	2	1
25.2 Other services	8	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	6	2	.....
99.9 Total obligations	91	98	98

Personnel Summary

Identification code 25–4056–0–3–373	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	905	925	925

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 25–4468–0–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Operating expenses:			
00.01 Payments to the operating fund for services and facilities	43	48	49
00.02 Insurance claims expenses	.....	20	20
00.03 Other	4	1	1
00.91 Total operating expenses	47	69	70
01.01 Insurance Premium Rebate	.....	106	106
10.00 Total obligations	47	175	176
Budgetary resources available for obligation:			
21.91 Unobligated balance available, start of year: U.S. Securities: Par value	2,948	3,232	3,431
22.00 New budget authority (gross)	332	374	376
23.90 Total budgetary resources available for obligation	3,280	3,606	3,807
23.95 New obligations	–47	–175	–176
24.91 Unobligated balance available, end of year: Par value	3,232	3,431	3,631
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	332	374	376
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.90 Fund balance	.....	20	20
72.91 U.S. Securities: Par value	79	71	49
72.99 Total unpaid obligations, start of year	79	91	69
73.10 New obligations	47	175	176
73.20 Total outlays (gross)	–35	–197	–176
Unpaid obligations, end of year:			
Obligated balance:			
Fund balance:			
74.90 Uninvested balance	20	20	20
74.91 U.S. Securities: Par value	71	49	49
74.99 Total unpaid obligations, end of year	91	69	69
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	35	196	176
87.00 Total outlays (gross)	35	197	176
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	–168	–186	–191
Non-Federal sources:			
88.40 Deposit from members	–141	–176	–180
88.40 Recoveries on assets acquired	–19	–9	–4
88.40 Other interest income	–4	–3	–1
88.90 Total, offsetting collections (cash)	–332	–374	–376
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	–297	–177	–200

Status of Direct Loans (in millions of dollars)

Identification code 25–4468–0–3–373	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	.....	.....	.....
1131 Direct loan obligations exempt from limitation	.....	2	2
1150 Total direct loan obligations	.....	2	2
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	3	.....	2
1231 Disbursements: Direct loan disbursements	.....	5	1
1251 Repayments: Repayments and prepayments	–3	–3	–1
1290 Outstanding, end of year	.....	2	2

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91–468, enacted October 19, 1970.

Budget program.—The activities consist of: (a) providing member account insurance, (b) formulating standards and requirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at fifty percent.

The extent of the program is estimated as follows:

Item:	*1995 actual*	*1996 est.*	*1997 est.*
Number of insured credit unions	11,836	11,636	11,336
Insured shares of member institutions as of June 30 (in millions of dollars)	265,548	275,000	285,000

It is estimated that approximately 4,500 State-chartered credit unions will be enrolled in the program by the end of 1997.

Financing.—For insurance year 1996 the credit union's required annual insurance premium of one-twelfth of 1 percent of its total member share accounts has been waived. As a result of Public Law 98–369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In fiscal year 1995 the income generated from the 1 percent deposit eliminated the need to assess the annual premium. In addition, the fund paid a $106 million dividend to federally insured credit unions in 1996 due to an excess in the 1.3 percent reserve requirement. The fund has $100 million in borrowing authority from the Treasury for use in unforeseen emergencies.

Operating results.—Anticipated net income of $142 million will be retained in the fund, raising the balance for unforeseen emergencies to $3.8 billion by the end of 1997.

Statement of Operations (in millions of dollars)

Identification code 25–4468–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	150	171	189	192
0102 Expense	–70	–47	–49	–50

0109	Net income	80	124	140	142

Balance Sheet (in millions of dollars)

Identification code 25–4468–0–3–373		1994 actual	1995 actual	1996 est.	1997 est.
	ASSETS:				
	Federal assets:				
	Investments in US securities:				
1102	Treasury securities, par	3,019	3,316	3,494	3,694
1106	Receivables, net	37	21	53	65
1107	Advances and prepayments	3	.....	.....	.....
1206	Non-Federal assets: Receivables, net	69	60	86	94
1801	Other Federal assets: Cash and other monetary assets	41	39	42	45
1999	Total assets	3,169	3,436	3,675	3,898
	LIABILITIES:				
2201	Non-Federal liabilities: Accounts payable	115	118	112	114
2999	Total liabilities	115	118	112	114
	NET POSITION:				
3100	Appropriated capital	3,013	3,279	3,521	3,739
3200	Invested capital	41	39	42	45
3999	Total net position	3,054	3,318	3,563	3,784
4999	Total liabilities and net position	3,169	3,436	3,675	3,898

Object Classification (in millions of dollars)

Identification code 25–4468–0–3–373		1995 actual	1996 est.	1997 est.
25.2	Other services	47	49	50
42.0	Insurance claims and indemnities	.....	20	20
44.0	Refunds	.....	106	106
99.9	Total obligations	47	175	176

CENTRAL LIQUIDITY FACILITY

During fiscal year 1997, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by the National Credit Union Central Liquidity Facility Act (12 U.S.C. 1795), shall not exceed $600,000,000: Provided, That administrative expenses of the Central Liquidity Facility in fiscal year 1997 shall not exceed $560,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 25–4470–0–3–373		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
	Operating expenses:			
00.01	Administrative expenses	1	1	1
00.03	Dividends on capital stock	38	46	58
00.91	Total operating expenses	39	47	59
	Capital investment:			
01.01	Net loans to credit unions, total capital investment, funded	48	58	65
01.02	Redemption of capital stock	7	4	6
01.03	Withdrawal of member deposits	2	2	3
01.91	Total capital investment	57	64	74
10.00	Total obligations	96	111	133
	Budgetary resources available for obligation:			
21.90	Unobligated balance available, start of year: Fund balance	403	403	403
22.00	New budget authority (gross)	96	111	133
23.90	Total budgetary resources available for obligation	499	514	536
23.95	New obligations	–96	–111	–133
24.90	Unobligated balance available, end of year: Fund balance	403	403	403
	New budget authority (gross), detail:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	96	111	133
	Change in unpaid obligations:			
73.10	New obligations	96	111	133
73.20	Total outlays (gross)	–96	–111	–133
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority	96	111	133
87.00	Total outlays (gross)	96	111	133
	Offsets:			
	Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources	–96	–111	–133
	Net budget authority and outlays:			
89.00	Budget authority	.....	.....	.....
90.00	Outlays	.....	.....	.....

Status of Direct Loans (in millions of dollars)

Identification code 25–4470–0–3–373		1995 actual	1996 est.	1997 est.
	Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	600	600	600
1112	Unobligated direct loan limitation	–600	–600	–600
1150	Total direct loan obligations	.....	.....	.....

The National Credit Union Central Liquidity Facility was established under Public Law 95–630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

Statement of Operations (in millions of dollars)

Identification code 25–4470–0–3–373		1994 actual	1995 actual	1996 est.	1997 est.
0101	Revenue	25	39	47	59
0102	Expense	–24	–39	–47	–59
0109	Net income	1	.....	.....	.....

Balance Sheet (in millions of dollars)

Identification code 25–4470–0–3–373		1994 actual	1995 actual	1996 est.	1997 est.
	ASSETS:				
	Non-Federal assets:				
1201	Investments in non-Federal securities, net	683	701	719	740
1206	Receivables, net	8	9	10	11
1999	Total assets	691	710	729	751
	LIABILITIES:				
2104	Federal liabilities: Resources payable to Treasury	13	.....	.....	.....
2201	Non-Federal liabilities: Accounts payable	.....	15	18	20
2999	Total liabilities	13	15	18	20
	NET POSITION:				
3100	Appropriated capital	691	710	729	751
3200	Invested capital	–13	–15	–18	–20
3999	Total net position	678	695	711	731
4999	Total liabilities and net position	691	710	729	751

Object Classification (in millions of dollars)

Identification code 25–4470–0–3–373		1995 actual	1996 est.	1997 est.
33.0	Investments and loans	48	58	65

Public enterprise funds—Continued

CENTRAL LIQUIDITY FACILITY—Continued

Object Classification (in millions of dollars)—Continued

Identification code 25–4470–0–3–373	1995 actual	1996 est.	1997 est.
43.0 Interest and dividends	38	46	58
44.0 Redemptions and withdrawals	9	6	9
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	96	111	133

Personnel Summary

Identification code 25–4470–0–3–373	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND

Program and Financing (in millions of dollars)

Identification code 25–4472–0–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 33.0)	1	2	1
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	7	7	6
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	8	8	7
23.95 New obligations	–1	–2	–1
24.90 Unobligated balance available, end of year: Fund balance	7	6	6
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	1	1
Change in unpaid obligations:			
73.10 New obligations	1	2	1
73.20 Total outlays (gross)	.....	–1	–1
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	1	1	1
87.00 Total outlays (gross)	.....	1	1
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–1	–1	–1
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	–1	.....	.....

Status of Direct Loans (in millions of dollars)

Identification code 25–4472–0–3–373	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	5	5	5
1231 Disbursements: Direct loan disbursements	2	2	2
1251 Repayments: Repayments and prepayments	–2	–2	–2
1290 Outstanding, end of year	5	5	5

Public Law 99–609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of $2 million in 1995 and plans to disburse $2 million in 1996.

NATIONAL EDUCATION GOALS PANEL

Federal Funds

General and special funds:

NATIONAL EDUCATION GOALS PANEL

For expenses necessary for the National Education Goals Panel, as authorized by title II, part A of the Goals 2000: Educate America Act, $2,785,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2650–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	2	3
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	.....	.....
22.00 New budget authority (gross)	.....	2	3
23.90 Total budgetary resources available for obligation	2	2	3
23.95 New obligations	–2	–2	–3
New budget authority (gross), detail:			
40.00 Appropriation	.....	2	3
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	1	1
73.10 New obligations	2	2	3
73.20 Total outlays (gross)	–1	–2	–2
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	.....	1	2
86.93 Outlays from current balances	1	1	1
87.00 Total outlays (gross)	1	2	2
Net budget authority and outlays:			
89.00 Budget authority	.....	2	3
90.00 Outlays	1	2	2

Object Classification (in millions of dollars)

Identification code 95–2650–0–1–503	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	1	1	2
99.9 Total obligations	2	2	3

Personnel Summary

Identification code 95–2650–0–1–503	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	11	8	13

The bipartisan National Education Goals Panel is an independent agency responsible for overseeing the development and implementation of a reporting system for the National Education Goals; monitoring and reporting annual progress toward goal achievement at national and State levels; building a national consensus for the reforms necessary to achieve education improvement; reporting on promising and effective actions; and working with States to develop high academic standards.

NATIONAL EDUCATION STANDARDS AND IMPROVEMENT COUNCIL

Federal Funds

General and special funds:

NATIONAL EDUCATION STANDARDS AND IMPROVEMENT COUNCIL

Program and Financing (in millions of dollars)

Identification code 95–2550–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations			
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2		
22.00 New budget authority (gross)			
22.20 Unobligated balance transferred	–2		
23.90 Total budgetary resources available for obligation			
23.95 New obligations			
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The National Education Standards and Improvement Council is not in operation; no new funds are requested.

NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

Federal Funds

General and special funds:

NATIONAL ENDOWMENT FOR THE ARTS

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, $115,000,000 shall be available to the National Endowment for the Arts for support of projects and productions in the arts through assistance to organizations and individuals pursuant to section 5(c) of the Act, and for administering the functions of the Act, to remain available until September 30, 1998.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, $21,000,000, to remain available until September 30, 1998, to the National Endowment for the Arts: Provided, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequest, and devises of money, and other property accepted by the Chairman or by grantees of the Endowment under the provisions of section 10(a)(2), subsections 11(a)(2)(A) and 11(a)(3)(A) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 59–0100–0–1–503	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Gifts and donations	1	1	1
Appropriation:			
05.01 Gifts fund	–1	–1	–1
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 59–0100–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Promotion of the arts	147	111	119
00.02 Administration	24	19	18
00.91 Total direct program	171	130	137
01.01 Reimbursable program	1	1	1
01.02 Permanent Authority	1	1	1
01.91 Total permanent authority program	2	2	2
10.00 Total obligations	173	132	139
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	13	5	6
22.00 New budget authority (gross)	163	133	138
23.90 Total budgetary resources available for obligation	176	138	144
23.95 New obligations	–173	–132	–139
24.40 Unobligated balance available, end of year: Uninvested balance	5	6	5
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	162	131	136
Permanent:			
60.27 Appropriation (trust fund, indefinite)	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	163	133	138
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	159	155	127
73.10 New obligations	173	132	139
73.20 Total outlays (gross)	–177	–159	–140
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	155	127	125
Outlays (gross), detail:			
86.90 Outlays from new current authority	52	45	45
86.93 Outlays from current balances	122	113	94
86.97 Outlays from new permanent authority	2	1	1
86.98 Outlays from permanent balances	1	1	
87.00 Total outlays (gross)	177	159	140
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–1	–1	–1
Net budget authority and outlays:			
89.00 Budget authority	162	131	136
90.00 Outlays	175	158	139

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent, and State or regional organizations engaged in or concerned with the arts. Programs encourage individual and institutional development and education of the arts, preservation of the American artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support arts projects

General and special funds—Continued

NATIONAL ENDOWMENT FOR THE ARTS—Continued

MATCHING GRANTS—Continued

and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

Object Classification (in millions of dollars)

Identification code 59–0100–0–1–503	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	9	9
11.3 Other than full-time permanent	2	1	2
11.9 Total personnel compensation	14	10	11
12.1 Civilian personnel benefits	3	3	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	4	2	2
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	147	112	119
99.0 Subtotal, direct obligations	172	133	139
99.0 Reimbursable obligations	.....	.....	.....
99.5 Below reporting threshold	–2	–1	–2
99.9 Total obligations	173	132	139

Personnel Summary

Identification code 59–0100–0–1–503	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	264	160	160
1005 Full-time equivalent of overtime and holiday hours	1	1	1

Federal Funds

General and special funds:

NATIONAL ENDOWMENT FOR THE HUMANITIES

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, $118,250,000 shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until September 30, 1998.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, $17,750,000, to remain available until September 30, 1998, of which $11,500,000 shall be available to the National Endowment for the Humanities for the purposes of section 7(h): Provided, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the Chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 59–0200–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Promotion of the humanities	151	113	118
00.02 Administration	20	17	18
00.91 Total direct program	171	130	136
01.01 Reimbursable program	1	.....	.....
10.00 Total obligations	172	130	136
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	.....	1	.....
22.00 New budget authority (gross)	173	129	136
23.90 Total budgetary resources available for obligation	173	130	136
23.95 New obligations	–172	–130	–136
24.40 Unobligated balance available, end of year: Uninvested balance	1	.....	.....
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	172	129	136
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	.....	.....
70.00 Total new budget authority (gross)	173	129	136
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	160	150	116
73.10 New obligations	172	130	136
73.20 Total outlays (gross)	–181	–162	–145
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	150	116	107
Outlays (gross), detail:			
86.90 Outlays from new current authority	78	57	60
86.93 Outlays from current balances	102	105	85
86.97 Outlays from new permanent authority	1	.....	.....
87.00 Total outlays (gross)	181	162	145
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–1	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	172	129	136
90.00 Outlays	180	162	145

The National Endowment for the Humanities funds activities that are intended to improve the quality of education and teaching in the humanities, to strengthen the scholarly foundation for humanities study and research, to preserve cultural and intellectual resources, and to advance understanding of the humanities among general audiences.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, state humanities councils and individuals.

This presentation includes the Gifts and Donations account which previously had been presented separately. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59–0200–0–1–503	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	11	10	10
11.3 Other than full-time permanent	1	.....	.....
11.9 Total personnel compensation	12	10	10
12.1 Civilian personnel benefits	3	2	2
13.0 Benefits for former personnel	.....	1	.....
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	.....	.....
25.2 Other services	2	1	2
31.0 Equipment	.....	.....	1
41.0 Grants, subsidies, and contributions	151	113	118
99.0 Subtotal, direct obligations	171	129	135
99.0 Reimbursable obligations	1	.....	.....
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	172	130	136

Personnel Summary

Identification code 59–0200–0–1–503	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	249	178	173
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2	2	2

ADMINISTRATIVE PROVISION

An administrative provision affecting this agency follows the National Institute of Museum Services.

INSTITUTE OF MUSEUM SERVICES

Federal Funds

General and special funds:

GRANTS AND ADMINISTRATION

For carrying out title II of the Arts, Humanities, and Cultural Affairs Act of 1976, as amended, $23,000,000, to remain available until September 30, 1998.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 59–0300–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Assistance for museums	27	20	21
00.02 Administration	2	1	2
10.00 Total obligations	29	22	23
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	29	22	23
23.95 New obligations	–29	–22	–23
New budget authority (gross), detail:			
40.00 Appropriation	29	22	23
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	39	32	16
73.10 New obligations	29	22	23
73.20 Total outlays (gross)	–35	–38	–22
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	32	16	17
Outlays (gross), detail:			
86.90 Outlays from new current authority	6	6	7
86.93 Outlays from current balances	28	32	15
87.00 Total outlays (gross)	35	38	22
Net budget authority and outlays:			
89.00 Budget authority	29	22	23
90.00 Outlays	35	38	22

The Institute of Museum Services provides competitive grants to a broad range of museums which exhibit both living and non-living collections. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account, which previously had been presented separately.

Object Classification (in millions of dollars)

Identification code 59–0300–0–1–503	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	27	20	21
99.5 Below reporting threshold	1	.....	1
99.9 Total obligations	29	22	23

Personnel Summary

Identification code 59–0300–0–1–503	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	15	19	19

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses.

NATIONAL LABOR RELATIONS BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the National Labor Relations Board $181,134,000; Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, as amended, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 per centum of the water stored or supplied thereby is used for farming purposes. (29 U.S.C. 152, 141–167, 203.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 63–0100–0–1–505	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Field investigation	137	103	141
00.02 Administrative law judge hearing	14	10	14
00.03 Board adjudication	16	12	17
00.04 Securing compliance with Board orders	7	6	8
00.05 Internal Review	1	1	1

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 63–0100–0–1–505	1995 actual	1996 est.	1997 est.
10.00 Total obligations	175	132	181
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	176	132	181
23.95 New obligations	–175	–132	–181
New budget authority (gross), detail:			
40.00 Appropriation	176	132	181
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	13	14	13
73.10 New obligations	175	132	181
73.20 Total outlays (gross)	–174	–135	–178
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	14	13	16
Outlays (gross), detail:			
86.90 Outlays from new current authority	163	122	168
86.93 Outlays from current balances	11	13	10
87.00 Total outlays (gross)	174	135	178
Net budget authority and outlays:			
89.00 Budget authority	176	132	181
90.00 Outlays	174	135	178

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	176	132	181
Outlays	174	135	178
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	42	.....
Outlays	.....	39	3
Total:			
Budget Authority	176	174	181
Outlays	174	174	181

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

Program Statistics

	1995 actual	*1996 est.*	*1997 est.*
Case intake:			
Unfair labor practice cases	34,004	34,004	34,684
Representation cases	5,891	5,891	6,009
Administrative law judges:			
Hearings closed	521	521	476
Adjustments after hearings closed	8	1	1
Decisions issued	531	513	573
Board adjudication:			
Contested Board decisions issued	657	625	662
Regional director decisions	812	776	812
Representation election cases:			
Decisions issued	278	280	280
Objection rulings	207	209	208
Board decisions requiring court enforcement	123	111	124

Field investigation.—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Over 92 percent of the unfair labor practice cases and about 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder with exceptions filed require contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

Securing compliance with Board orders.—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

Object Classification (in millions of dollars)

Identification code 63–0100–0–1–505	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	110	80	119
11.3 Other than full-time permanent	4	3	3
11.5 Other personnel compensation	1	1	2
11.9 Total personnel compensation	115	84	124
12.1 Civilian personnel benefits	20	14	22
13.0 Benefits for former personnel	1	.....	.....
21.0 Travel and transportation of persons	3	2	3
23.1 Rental payments to GSA	20	21	20
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.2 Other services	7	6	5
26.0 Supplies and materials	2	.....	1
31.0 Equipment	3	.....	2
99.5 Below reporting threshold	.....	1	.....
99.9 Total obligations	175	132	181

Personnel Summary

Identification code 63–0100–0–1–505	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	2,025	1,443	2,040
1005 Full-time equivalent of overtime and holiday hours	2	1	2

NATIONAL MEDIATION BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out the Railway Labor Act, as amended including emergency boards appointed by the President, $8,300,000. (45 U.S.C. 151–188.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2400–0–1–505	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Mediatory services	5	5	5
00.03 Arbitration services, sections 3 and 7, referees	2	2	2
00.04 Arbitration services, sections 3 and 7, administration	1	1	1
10.00 Total obligations	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	8
23.95 New obligations	–8	–8	–8
New budget authority (gross), detail:			
40.00 Appropriation	8	8	8
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1

73.10	New obligations	8	8	8
73.20	Total outlays (gross)	–8	–8	–8
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
	Outlays (gross), detail:			
86.90	Outlays from new current authority	7	7	7
86.93	Outlays from current balances	1	1	1
87.00	Total outlays (gross)	8	8	8
	Net budget authority and outlays:			
89.00	Budget authority	8	8	8
90.00	Outlays	8	8	8

Mediatory services.—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries.

Mediation cases:	*1995 actual*	*1996 est.*	*1997 est.*
Pending, start of year	112	151	171
Received during year	120	100	100
Closed during year	81	80	80
Pending, end of year	151	171	191

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

Representation cases:	*1995 actual*	*1996 est.*	*1997 est.*
Pending, start of year	22	34	34
Received during year	80	70	70
Closed during year	68	70	70
Pending, end of year	34	34	34
Freedom of Information Act (FOIA) requests received	535	60	60
Investigation cases closed	25	40	40

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which threaten to seriously interrupt service, may appoint emergency boards to investigate and report on the disputes under section 160 of the Railway Labor Act (RLA). Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 amended the Railway Labor Act by adding a new emergency dispute procedure covering disputes between a publicly funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

Boards/panels created:	*1995 actual*	*1996 est.*	*1997 est.*
Emergency (sec. 160)	.....	3	2
Emergency (sec. 159a)	2	6	2
Arbitration Boards	10	1	.....
Arbitration Panels (PL 102–29)	1	.....	.....
Airline SBA Panels	143	145	145
ICC–LPP Panels	10	16	16

Arbitration under sections 3 and 7 of the RLA.—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89–456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

Public law boards caseload:	*1995 actual*	*1996 est.*	*1997 est.*
Pending, start of year	6,898	6,409	5,909
Received during year	2,985	2,500	2,500
Closed during year	[1] 3,474	3,000	3,100
Pending, end of year	6,409	5,909	5,309
Special boards of adjustment caseload:			
Pending, start of year	1,328	1,317	1,317
Received during year	1,503	1,200	1,100
Closed during year	[2] 1,514	1,200	1,200
Pending, end of year	1,317	1,317	1,217
Number of boards convened:			
Special boards of adjustment	80	70	80
Public law boards	410	400	410
NRAB caseload:			
Pending, start of year	2,036	1,805	1,755
Received during year	856	800	750
Closed during year	[3] 1,087	850	850
Pending, end of year	1,805	1,755	1,655

[1] Includes 589 cases withdrawn or decided by the parties.
[2] Includes 56 cases withdrawn or decided by the parties.
[3] Includes 966 awards of referees, 1 award by NRAB members, and 120 cases withdrawn.

Object Classification (in millions of dollars)

Identification code 95–2400–0–1–505		1995 actual	1996 est.	1997 est.
	Personnel compensation:			
11.1	Full-time permanent	3	4	4
11.8	Special personal services payments	2	2	2
11.9	Total personnel compensation	5	6	6
12.1	Civilian personnel benefits	1	1	1
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	1
99.9	Total obligations	8	8	8

Personnel Summary

Identification code 95–2400–0–1–505		1995 actual	1996 est.	1997 est.
1001	Total compensable workyears: Full-time equivalent employment	52	52	52

NATIONAL SCIENCE FOUNDATION

Federal Funds

General and special funds:

RESEARCH AND RELATED ACTIVITIES

For necessary expenses in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), and the Act to establish a National Medal of Science (42 U.S.C. 1880–1881); services as authorized by 5 U.S.C. 3109; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; $2,472,000,000, of which not to exceed $226,000,000 shall remain available until expended for Polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program; the balance to remain available until September 30, 1998: Provided, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation: Provided further, That to the extent that the amount appropriated is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91; P.L. 104–92, and P.L. 104–99.

General and special funds—Continued

RESEARCH AND RELATED ACTIVITIES—Continued

Program and Financing (in millions of dollars)

Identification code 49–0100–0–1–999		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
	Direct program:			
00.01	Biological sciences	301	300	326
00.02	Computer and information science and engineering	258	255	277
00.03	Engineering	323	316	354
00.05	Geosciences	420	418	454
00.06	Mathematical and physical sciences	645	651	708
00.07	Social, behavioral and economic sciences	110	117	124
00.09	U.S. Polar Research Program	160	154	163
00.10	U.S. Antarctic Logistical Support Activities	63	63	63
00.11	Critical Technologies Institute	2	3	3
00.91	Subtotal, direct program	2,282	2,277	2,472
01.01	Reimbursable program	100	125	125
10.00	Total obligations	2,382	2,402	2,597
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance	38	3	.....
22.00	New budget authority (gross)	2,344	2,399	2,597
22.10	Resources available from recoveries of prior year obligations	3	.....	.....
23.90	Total budgetary resources available for obligation	2,385	2,402	2,597
23.95	New obligations	–2,382	–2,402	–2,597
24.40	Unobligated balance available, end of year: Uninvested balance	3	.....	.....
	New budget authority (gross), detail:			
	Current:			
40.00	Appropriation	2,245	2,274	2,472
40.36	Unobligated balance rescinded	–1	.....	.....
43.00	Appropriation (total)	2,244	2,274	2,472
	Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	100	125	125
70.00	Total new budget authority (gross)	2,344	2,399	2,597
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	1,837	2,134	2,258
73.10	New obligations	2,382	2,402	2,597
73.20	Total outlays (gross)	–2,072	–2,278	–2,456
73.40	Adjustments in expired accounts	–9	.....	.....
73.45	Adjustments in unexpired accounts	–3	.....	.....
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	2,134	2,258	2,399
	Outlays (gross), detail:			
86.90	Outlays from new current authority	694	705	767
86.93	Outlays from current balances	1,278	1,448	1,564
86.97	Outlays from new permanent authority	100	125	125
87.00	Total outlays (gross)	2,072	2,278	2,456
	Offsets:			
	Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	–100	–125	–125
	Net budget authority and outlays:			
89.00	Budget authority	2,244	2,274	2,472
90.00	Outlays	1,972	2,153	2,331

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	2,244	2,274	2,472
Outlays	1,972	2,153	2,331
Adjustment to 1996 continuing resolution levels:			
Budget Authority	.....	40	.....
Outlays	.....	12	28
Total:			
Budget Authority	2,244	2,314	2,472
Outlays	1,972	2,165	2,359

The Research and Related Activities appropriation addresses Foundation goals to enable the United States to uphold world leadership in all aspects of science and engineering, and to promote the discovery, integration, dissemination and employment of new knowledge in service to society. Research activities will contribute to the achievement of these goals through expansion of the knowledge base; integration of research and education; stimulation of knowledge transfer among academia and the public and private sectors; and bringing the perspectives of many disciplines to bear on complex problems important to the nation. NSF is actively developing performance indicators that tie to the key program functions of Research Project Support, Facilities, and Education and Training.

The major research program activities of the Foundation are:

Biological sciences.—This activity supports research in cellular and molecular biology, neural sciences, and environmental biology.

Computer and information sciences and engineering.—Research is directed at "information processing" in the broadest sense of the term, ranging from fundamental theory to systems engineering.

Engineering.—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas which are relevant to national problems and long-term needs. This activity also includes small business innovation research.

Geosciences.—This research advances knowledge of the properties and dynamics of the planet Earth.

Mathematical and physical sciences.—Research in this activity is directed at increasing understanding of natural laws and phenomena. It includes research in mathematics, astronomy, physics, chemistry, and materials science.

Social, behavioral and economic sciences.—This activity supports research in behavioral, social, economic, and cognitive sciences, facilitates international scientific cooperation, and funds science resources studies.

U.S. Polar Research Programs.—This activity supports Arctic and Antarctic research and operational science support and other related activities for the United States Polar Research Programs.

U.S. Antarctic Logistical Support Activities.—This activity provides necessary expenses for reimbursing Federal agencies for logistical and other related activities for the United States Antarctic Logistical Support Activities.

Critical Technologies Institute.—This activity supports a federally-funded research and development center that provides analytical support to the Executive Branch.

Object Classification (in millions of dollars)

Identification code 49–0100–0–1–999		1995 actual	1996 est.	1997 est.
	Direct obligations:			
21.0	Travel and transportation of persons	4	4	4
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	4	4	4
25.2	Other services	19	19	19
25.3	Purchases of goods and services from Government accounts	77	77	77
25.4	Operation and maintenance of facilities	5	5	5
25.5	Research and development contracts	114	114	114
41.0	Grants, subsidies, and contributions	2,058	2,053	2,248
99.0	Subtotal, direct obligations	2,282	2,277	2,472
99.0	Reimbursable obligations	100	125	125
99.9	Total obligations	2,382	2,402	2,597

ACADEMIC RESEARCH INFRASTRUCTURE

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 49–0150–0–1–251	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	117	101	.....
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	.....	1	.....
22.00 New budget authority (gross)	118	100	.....
23.90 Total budgetary resources available for obligation	118	101	.....
23.95 New obligations	–117	–101	.....
24.40 Unobligated balance available, end of year: Uninvested balance	1	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	118	100	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	165	221	217
73.10 New obligations	117	101	.....
73.20 Total outlays (gross)	–61	–105	–108
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	221	217	109
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	10	.....
86.93 Outlays from current balances	58	95	108
87.00 Total outlays (gross)	61	105	108
Net budget authority and outlays:			
89.00 Budget authority	118	101	.....
90.00 Outlays	61	105	108

The Academic Research Infrastructure facilities activity is terminated in FY 97 and beyond. A program to support the acquisition of major scientific instruments will be funded through the Research and Related Activities Heading in FY 1997.

MAJOR RESEARCH EQUIPMENT

For necessary expenses of major construction projects pursuant to the National Science Foundation Act of 1950, as amended, $95,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 49–0551–0–1–251	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	126	70	95
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	126	70	95
23.95 New obligations	–126	–70	–95
New budget authority (gross), detail:			
40.00 Appropriation	126	70	95
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	126	139
73.10 New obligations	126	70	95
73.20 Total outlays (gross)	.....	–57	–79
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	126	139	155
Outlays (gross), detail:			
86.90 Outlays from new current authority	.....	7	10
86.93 Outlays from current balances	.....	50	70
87.00 Total outlays (gross)	.....	57	79
Net budget authority and outlays:			
89.00 Budget authority	126	70	95
90.00 Outlays	.....	57	79

Object Classification (in millions of dollars)

Identification code 49–0551–0–1–251	1995 actual	1996 est.	1997 est.
25.5 Research and development contracts	.....	.....	25
41.0 Grants, subsidies, and contributions	126	70	70
99.9 Total obligations	126	70	95

The Major Research Equipment activity supports the construction and procurement of unique national research platforms and major research equipment. Performance is measured against established construction schedules and milestones.

SALARIES AND EXPENSES

For necessary salaries and expenses of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875); services authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed $9,000 for official reception and representation expenses; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; rental of conference rooms in the District of Columbia; reimbursement of the General Services Administration for security guard services and headquarters relocation; $134,310,000, to remain available until September 30, 1998: Provided, That contracts may be entered into under salaries and expenses in fiscal year 1997 for maintenance and operation of facilities, and for other services, to be provided during the next fiscal year.

Note.—The regular 1996 appropriations for these accounts had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 49–0180–0–1–251	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administration and Management	128	132	134
00.02 Relocation	5	5	5
10.00 Total obligations	133	137	139
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	133	137	139
23.95 New obligations	–133	–137	–139
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	129	132	134
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	5	5
70.00 Total new budget authority (gross)	133	137	139
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	17	21	20
73.10 New obligations	133	137	139
73.20 Total outlays (gross)	–129	–138	–139
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	21	20	20
Outlays (gross), detail:			
86.90 Outlays from new current authority	112	119	121
86.93 Outlays from current balances	13	14	13
86.97 Outlays from new permanent authority	4	5	5
87.00 Total outlays (gross)	129	138	139

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 49–0180–0–1–251	1995 actual	1996 est.	1997 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–4	–5	–5
Net budget authority and outlays:			
89.00 Budget authority	129	132	134
90.00 Outlays	125	133	134

This account provides funds to administer and manage NSF programs. NSF continues to develop performance measures for use in the administration and management of NSF programs. These measures are used for program development and performance monitoring. This account also provides for the reimbursement to the General Services Administration (GSA) for expenses incurred by GSA pursuant to the relocation of the National Science Foundation. Beginning in 1997 the Relocation Activity is funded in the Salaries and Expenses account.

Object Classification (in millions of dollars)

Identification code 49–0180–0–1–251	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	63	64	66
11.3 Other than full-time permanent	7	7	7
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	72	73	75
12.1 Civilian personnel benefits	13	14	14
21.0 Travel and transportation of persons	3	4	4
23.1 Rental payments to GSA	16	16	16
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	1	1	1
25.2 Other services	4	4	4
25.3 Purchases of goods and services from Government accounts	5	6	6
25.7 Operation and maintenance of equipment	3	4	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	7	7	7
99.0 Subtotal, direct obligations	128	133	135
99.0 Reimbursable obligations	3	3	3
99.5 Below reporting threshold	2	1	1
99.9 Total obligations	133	137	139

Personnel Summary

Identification code 49–0180–0–1–251	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	1,199	1,221	1,213
1005 Full-time equivalent of overtime and holiday hours	4	5	5

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General authorized by the Inspector General Act of 1978, as amended, $4,690,000, to remain available until September 30, 1998.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 49–0300–0–1–251	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	4	4	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	4	5
23.95 New obligations	–4	–4	–5
New budget authority (gross), detail:			
40.00 Appropriation	4	4	5
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1
73.10 New obligations	4	4	5
73.20 Total outlays (gross)	–4	–4	–5
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	3	4
86.93 Outlays from current balances	1	1	1
87.00 Total outlays (gross)	4	4	5
Net budget authority and outlays:			
89.00 Budget authority	4	4	5
90.00 Outlays	4	4	5

This appropriation provides agencywide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, Public Law 95–452, as amended by Public Law 100–504.

Object Classification (in millions of dollars)

Identification code 49–0300–0–1–251	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.9 Total personnel compensation	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	1	1	1
99.5 Below reporting threshold	–1	–1	.....
99.9 Total obligations	4	4	5

Personnel Summary

Identification code 49–0300–0–1–251	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	45	46	46

EDUCATION AND HUMAN RESOURCES

For necessary expenses in carrying out science and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), including services as authorized by 5 U.S.C. 3109 and rental of conference rooms in the District of Columbia, $619,000,000, to remain available until September 30, 1998: Provided, That to the extent that the amount of this appropriation is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 49–0106–0–1–251	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Educational system reform	94	97	102
00.02 EPSCoR	36	36	36
00.03 Elementary, secondary, and informal education	199	194	190
00.04 Undergraduate education	87	83	92

00.05	Graduate education	67	67	74
00.06	Human resource development	80	75	74
00.07	Research, evaluation and communications	49	49	51
00.91	Total direct program	612	601	619
01.01	Reimbursable program	3	10	10
10.00	Total obligations	615	611	629
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance	8	2	.....
22.00	New budget authority (gross)	609	609	629
23.90	Total budgetary resources available for obligation	617	611	629
23.95	New obligations	–615	–611	–629
24.40	Unobligated balance available, end of year: Uninvested balance	2	.....	.....
	New budget authority (gross), detail:			
	Current:			
40.00	Appropriation	606	599	619
	Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	3	10	10
70.00	Total new budget authority (gross)	609	609	629
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	805	752	787
73.10	New obligations	615	611	629
73.20	Total outlays (gross)	–664	–576	–577
73.40	Adjustments in expired accounts	–4	.....	.....
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	752	787	839
	Outlays (gross), detail:			
86.90	Outlays from new current authority	124	120	124
86.93	Outlays from current balances	537	446	443
86.97	Outlays from new permanent authority	3	10	10
87.00	Total outlays (gross)	664	576	577
	Offsets:			
	Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	–3	–10	–10
	Net budget authority and outlays:			
89.00	Budget authority	606	599	619
90.00	Outlays	661	566	567

Education and Human Resources (EHR) activities provide a comprehensive set of programs across all levels of education in science, mathematics and technology. These programs will further NSF's goal of achieving excellence in U.S. science, mathematics, engineering, and technology education at all levels. These efforts also address the participation of groups underrepresented in science and engineering. At the precollege level, EHR provides for new instructional material and techniques, and enrichment activities for teachers and students. Undergraduate initiatives support curriculum improvement (including laboratory instruction), faculty enhancement, and advanced technological education. (The latter is also supported at the pre-college level.) Graduate level support is directed primarily to research fellowships and traineeships. Human resources activities focus on women, persons with disabilities, minorities, and minority institutions. Emphasis is given to systemic reform through components that address urban, rural, and state-wide efforts in precollege education. The Experimental Program to Stimulate Competitive Research (EPSCoR) seeks to broaden the participation of States and regions in science and engineering. Education research, evaluation and dissemination activities are supported across the science and mathematics education spectrum. NSF is actively developing performance indicators that tie to the key program functions of Education and Training, and Research Project Support.

Object Classification (in millions of dollars)

Identification code 49–0106–0–1–251		1995 actual	1996 est.	1997 est.
	Direct obligations:			
21.0	Travel and transportation of persons	2	2	2
25.1	Advisory and assistance services	8	8	8
25.2	Other services	6	6	6
25.5	Research and development contracts	3	3	3
41.0	Grants, subsidies, and contributions	591	582	600
99.0	Subtotal, direct obligations	610	601	619
99.0	Reimbursable obligations	.....	.....	.....
99.5	Below reporting threshold	2	.....	.....
99.9	Total obligations	615	611	629

Trust Funds

DONATIONS

Unavailable Collections (in millions of dollars)

Identification code 49–8960–0–7–251		1995 actual	1996 est.	1997 est.
	Receipts:			
02.01	Donations to National Science Foundation	31	29	37
	Appropriation:			
05.01	Donations	–31	–29	–37
07.99	Total balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 49–8960–0–7–251		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
00.01	Ocean drilling programs	17	17	17
00.02	Gifts and Donations	4	13	8
00.06	Gemini Telescope	6	6	15
10.00	Total obligations	27	36	40
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance	8	12	5
22.00	New budget authority (gross)	31	29	37
22.10	Resources available from recoveries of prior year obligations	1	.....	.....
23.90	Total budgetary resources available for obligation	40	41	42
23.95	New obligations	–27	–36	–40
24.40	Unobligated balance available, end of year: Uninvested balance	12	5	2
	New budget authority (gross), detail:			
60.27	Appropriation (trust fund, indefinite)	31	29	37
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	6	11	11
73.10	New obligations	27	36	40
73.20	Total outlays (gross)	–22	–36	–40
73.45	Adjustments in unexpired accounts	–1	.....	.....
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	11	11	11
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority	16	29	35
86.98	Outlays from permanent balances	6	7	5
87.00	Total outlays (gross)	22	36	40
	Net budget authority and outlays:			
89.00	Budget authority	31	29	37
90.00	Outlays	22	36	40

Activities funded are:

U.S. dollars which are advanced from foreign governments as contributions to the cost of the international participation in major projects, including ocean drilling under the National Science Foundation ocean drilling programs.

Gifts and donations are used for costs associated with meetings for visiting foreign scientists and certain special func-

DONATIONS—Continued

tions of the National Science Board. These funds are not otherwise available.

Agreements between the United States and foreign countries are to promote cooperative efforts in basic research between these countries. Principal activities supported include: cooperative research, joint seminars, exchange of senior scientists, and short-term research development visits.

Object Classification (in millions of dollars)

Identification code 49–8960–0–7–251	1995 actual	1996 est.	1997 est.
25.2 Other services	14	14	14
25.5 Research and development contracts	3	3	3
41.0 Grants, subsidies, and contributions	10	19	23
99.9 Total obligations	27	36	40

NATIONAL TRANSPORTATION SAFETY BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS–18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901–5902), 【$38,774,000】 *$40,300,000*, of which not to exceed 【$1,000】 *$2,000* may be used for official reception and representation expenses. *(Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–0310–0–1–407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Policy and direction	5	6	6
00.02 Aviation safety	13	14	14
00.03 Surface transportation safety	10	10	11
00.04 Research and engineering	5	5	5
00.05 Administration	3	3	3
00.06 Administrative law judges	1	1	1
10.00 Total obligations	37	39	40
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	37	39	40
23.95 New obligations	–37	–39	–40
New budget authority (gross), detail:			
40.00 Appropriation	37	39	40
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	4	3	3
73.10 New obligations	37	39	40
73.20 Total outlays (gross)	–37	–39	–40
73.40 Adjustments in expired accounts	1	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	34	36	37
86.93 Outlays from current balances	3	3	3
87.00 Total outlays (gross)	37	39	40
Net budget authority and outlays:			
89.00 Budget authority	37	39	40
90.00 Outlays	37	39	40

The National Transportation Safety Board, as an independent nonregulatory agency, is charged with promoting transportation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

SELECTED WORKLOAD DATA

	1995 actual	*1996 est.*	*1997 est.*
Major accident investigation reports	19	22	22
Other accident investigation reports	2414	2497	2497
Safety recommendations	291	300	300
Safety studies and Special investigations	4	5	5
Certificate license and civil penalty appeals	478	480	480

Object Classification (in millions of dollars)

Identification code 95–0310–0–1–407	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	20	22	23
11.5 Other personnel compensation	2	1	1
11.9 Total personnel compensation	22	23	24
12.1 Civilian personnel benefits	4	5	5
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	.....	.....
99.5 Below reporting threshold	.....	1	1
99.9 Total obligations	37	39	40

Personnel Summary

Identification code 95–0310–0–1–407	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	354	350	350
1005 Full-time equivalent of overtime and holiday hours	6	6	6

EMERGENCY FUND

【For necessary expenses of the National Transportation Safety Board for accident investigations, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS–18; uniforms, or allowances therefore, as authorized by law (5 U.S.C. 5901–5902); $360,802 to remain available until expended.】 *(Department of Transportation and Related Agencies Appropriations Act, 1996).*

Program and Financing (in millions of dollars)

Identification code 95–0311–0–1–407	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1	.....	1
22.00 New budget authority (gross)	.....	.....	.....
23.90 Total budgetary resources available for obligation	1	.....	1
23.95 New obligations	.....	.....	.....
24.40 Unobligated balance available, end of year: Uninvested balance	.....	1	1
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
73.10 New obligations	.....	.....	.....
Outlays (gross), detail:			
87.00 Total outlays (gross)	.....	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....

90.00	Outlays	.....	.....	.....

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

General and special funds:

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101–8107), $55,000,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 82–1300–0–1–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	39	39	55
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	39	39	55
23.95 New obligations	–39	–39	–55
New budget authority (gross), detail:			
40.00 Appropriation	39	39	55
Change in unpaid obligations:			
73.10 New obligations	39	39	55
73.20 Total outlays (gross)	–39	–39	–55
Outlays (gross), detail:			
86.90 Outlays from new current authority	39	39	55
87.00 Total outlays (gross)	39	39	55
Net budget authority and outlays:			
89.00 Budget authority	39	39	55
90.00 Outlays	39	39	55

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWO's); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWO's; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For 1997, a program level of $55,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

BUDGET ACTIVITY

[In millions of dollars]

Neighborhood Partnership Programs:	*1995 actual*	*1996 est.*	*1997 est.*
1. Creation of new programs	2	2	2
2. Organizational expansion	9	11	16
3. Preserving affordable housing/equity capital	11	11	18
4. Program reviews	2	2	2
5. Training and informing	8	8	9
6. Secondary market activities	4	4	6
7. General administration	6	5	5
Total corporate obligations	42	43	58
Sources of financing:			
1. Federal appropriation	39	39	55
2. Reimbursements for services provided	2	2	1
3. Other sources	2	1	2
Unused balance, start of year	.....	1	.....
Net obligations incurred	42	43	58
Unused balance, end of year	1	.....	.....
Obligated balances, start of year	4	4	4
Obligated balances, end of year	4	4	4
Net corporate outlay	42	43	58

Statement of Operations (in millions of dollars)

Identification code 82–1300–0–1–451	1994 actual	1995 actual	1996 est.	1997 est.
0111 Revenue	34	42	43	58
0112 Expense	–33	–42	–43	–58
0119 Net income or loss (–)	1	.....	.....	.....
0199 Net income or loss	1	.....	.....	.....

Balance Sheet (in millions of dollars)

Identification code 82–1300–0–1–451	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1601 Net value of assets related to pre–1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	1	1	1	1
Other Federal assets:				
1801 Cash and other monetary assets	3	4	3	3
1803 Property, plant and equipment, net	1	1	2	2
1999 Total assets	5	6	6	6
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	1	2	2	2
2207 Other	2	2	1	1
2999 Total liabilities	3	4	3	3
NET POSITION:				
3300 Cumulative results of operations	1	2	3	3
3999 Total net position	1	2	3	3
4999 Total liabilities and net position	4	6	6	6

Object Classification of Corporation Obligations (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Salaries and benefits	13	14	16
Occupancy	1	1	2
Professional services	2	2	2
Travel and transportation of persons	2	2	2
Conferences and workshops	1	1	1
Grants and grant commitments	19	20	32
Other operating costs	4	3	3
Total obligations	43	43	58

Personnel Summary

	1995 actual	1996 est.	1997 est.
Non-Federal employees: Total compensable workyears:			
Full-time equivalent employment	212	219	228
Full-time equivalent of overtime and holiday hours	7	7	7

NUCLEAR REGULATORY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including the employment of aliens; services authorized by [section 3109 of title 5, United States Code] *5 U.S.C. 3109*; publication and dissemination of atomic information; purchase, repair, and cleaning of uniforms, official representation expenses (not to exceed $20,000); reimbursements to the General Services Administration for security guard services; hire of passenger motor vehicles and aircraft, [$468,300,000] *$475,300,000*, to remain available until expended, of which [$11,000,000] *$14,000,000* shall be derived from the Nuclear Waste Fund: *Provided*, That from this appropriation, transfer of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: *Provided further*, That moneys received by the Commission for the cooperative nuclear safety research program, services rendered to foreign governments and international organizations, and the material and information access authorization programs, including criminal history checks under section 149 of the Atomic Energy Act [of 1954, as amended], may be retained and used for salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at [$457,300,000] *$457,800,000* in fiscal year [1996] *1997* shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further, That the funds herein appropriated for regulatory reviews and other activities pertaining to waste stored at the Hanford site shall be excluded from license fee revenues, notwithstanding 42 U.S.C. 2214: Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [1996] *1997* from licensing fees, inspection services and other services and collections, excluding those moneys received for the cooperative nuclear safety research program, services rendered to foreign governments and international organizations, and the material and information access authorization programs, so as to result in a final fiscal year [1996] *1997* appropriation estimated at not more than [$11,000,000] *$17,500,000. (Energy and Water Development Appropriations Act, 1996.)*

Unavailable Collections (in millions of dollars)

Identification code 31–0200–0–1–276	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	.....
Receipts:			
02.01 Nuclear facility fees, Nuclear Regulatory Commission	502	462	463
Appropriation:			
05.01 Salaries and expenses	–497	–457	–457
05.02 Office of Inspector General	–5	–5	–5
05.99 Subtotal appropriation	–502	–462	–462
07.99 Total balance, end of year	.....	.....	1

Program and Financing (in millions of dollars)

Identification code 31–0200–0–1–276	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Reactor	275	259	237
00.02 Nuclear Materials and Nuclear Waste	89	86	83
00.03 Management and Support	173	177	155
00.91 Total direct program	537	522	475
01.01 Reimbursable program	16	19	10
10.00 Total obligations	553	541	485
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	64	63	.....
22.00 New budget authority (gross)	536	478	485
22.10 Resources available from recoveries of prior year obligations	16	.....	.....
23.90 Total budgetary resources available for obligation	616	541	485
23.95 New obligations	–553	–541	–485
24.40 Unobligated balance available, end of year: Uninvested balance	63	.....	.....
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	.....	.....	4
Appropriation (special fund, definite):			
40.20 Appropriation (special fund, definite)	497	457	457
40.20 Appropriation (special fund, definite)	22	11	14
43.00 Appropriation (total)	519	468	475
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	17	10	10
70.00 Total new budget authority (gross)	536	478	485
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	181	176	217
73.10 New obligations	553	541	485
73.20 Total outlays (gross)	–542	–500	–484
73.45 Adjustments in unexpired accounts	–16	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	176	217	218
Outlays (gross), detail:			
86.90 Outlays from new current authority	344	351	356
86.93 Outlays from current balances	181	130	117
86.97 Outlays from new permanent authority	17	10	10
86.98 Outlays from permanent balances	.....	9	.....
87.00 Total outlays (gross)	542	500	484
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–17	–10	–10
Net budget authority and outlays:			
89.00 Budget authority	519	468	475
90.00 Outlays	525	490	474

Reactor Program.—This program encompasses all NRC inspection, oversight, and licensing of reactor facilities and designs, as required by the Atomic Energy Act of 1954, as amended; all reactor regulatory research as required by the Energy Reorganization Act of 1974 (Section 205 of Public Law 95–209); and all other functions associated with reactors including evaluation of safety concerns, assessment of operational events and experience, technical training for NRC staff, independent review and legal advice to the Commission on safety issues, adjudicatory reviews, investigations of wrongdoing by reactor licensees, reactor enforcement policy, and actions to protect the public health and safety. This program also provides assistance to DOE in assessing and resolving technical and licensing issues to support potential tritium production in commercial light water reactors (CLWRs).

Nuclear Materials and Nuclear Waste Programs.—This program encompasses all NRC public health and safety, safeguards, research activities, operational data analysis, technical training, adjudicatory reviews, investigations, enforcement, and independent safety and legal advice related to the licensing, inspection and environmental reviews for fuel cycle facilities, the transportation of nuclear materials, the safe interim storage of spent fuel, nuclear materials users, the safe management and disposal of low-level and high-level radioactive wastes, and uranium recovery and related remedial actions. This program also includes safeguards reviews for all licensing activities involving the export of special nuclear material, the integrated agency effort to oversee decontamina-

tion and decommissioning of facilities and sites associated with NRC-licensed activities, and pre-licensing activities associated with anticipated commercial vitrification of high-level waste in Hanford tanks.

Management and Support Program.—This program encompasses NRC central policy direction, resources management, and all administrative and logistical support. In addition, this program administers NRC's responsibilities with State, local government, Indian tribes, foreign countries and international organizations.

Object Classification (in millions of dollars)

Identification code 31–0200–0–1–276		1995 actual	1996 est.	1997 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	207	221	211
11.3	Other than full-time permanent	4	5	5
11.5	Other personnel compensation	5	8	7
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	217	235	224
12.1	Civilian personnel benefits	43	50	49
21.0	Travel and transportation of persons	15	15	14
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	19	20	21
23.3	Communications, utilities, and miscellaneous charges	6	8	7
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	2	2	1
25.2	Other services	95	83	64
25.3	Purchases of goods and services from Government accounts	115	87	75
25.4	Operation and maintenance of facilities	3	2	3
25.7	Operation and maintenance of equipment	1	3	3
26.0	Supplies and materials	4	4	3
31.0	Equipment	11	9	7
41.0	Grants, subsidies, and contributions	2	1	1
99.0	Subtotal, direct obligations	536	522	475
99.0	Reimbursable obligations	16	19	10
99.5	Below reporting threshold	1	.....	.....
99.9	Total obligations	553	541	485

Personnel Summary

Identification code 31–0200–0–1–276		1995 actual	1996 est.	1997 est.
1001	Total compensable workyears: Full-time equivalent employment	3,122	3,116	3,077

OFFICE OF INSPECTOR GENERAL

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, including services authorized by section 3109 of title 5, United States Code, $5,000,000, to remain available until expended; and in addition, an amount not to exceed 5 percent of this sum may be transferred from Salaries and Expenses, Nuclear Regulatory Commission: *Provided,* That notice of such transfers shall be given to the Committees on Appropriations of the House and Senate: *Provided further,* That from this appropriation, transfers of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred*: Provided further,* That revenues from licensing fees, inspection services, and other services and collections shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further,* That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 【1996】 *1997* from licensing fees, inspection services, and other services and collections, so as to result in a final fiscal year 【1996】 *1997* appropriation estimated at not more than $0. *(Energy and Water Development Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 31–0300–0–1–276		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
00.01	Inspector General	5	6	5
10.00	Total obligations	5	6	5
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)	5	6	5
23.95	New obligations	–5	–6	–5
24.40	Unobligated balance available, end of year: Uninvested balance	1	.....	.....
	New budget authority (gross), detail:			
40.20	Appropriation (special fund, definite)	5	5	5
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	2
73.10	New obligations	5	6	5
73.20	Total outlays (gross)	–5	–5	–5
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	1	2	2
	Outlays (gross), detail:			
86.90	Outlays from new current authority	4	4	4
86.93	Outlays from current balances	1	1	1
87.00	Total outlays (gross)	5	5	5
	Net budget authority and outlays:			
89.00	Budget authority	5	6	5
90.00	Outlays	5	5	5

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

Object Classification (in millions of dollars)

Identification code 31–0300–0–1–276		1995 actual	1996 est.	1997 est.
11.1	Personnel compensation: Full-time permanent	3	4	3
12.1	Civilian personnel benefits	1	1	1
25.2	Other services	1	1	1
99.9	Total obligations	5	6	5

Personnel Summary

Identification code 31–0300–0–1–276		1995 actual	1996 est.	1997 est.
1001	Total compensable workyears: Full-time equivalent employment	45	44	43

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100–203, section 5051, 【$2,531,000】 *$3,214,000,* to be transferred from the Nuclear Waste Fund and to remain available until expended. *(Energy and Water Development Appropriations Act, 1996.)*

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)

Identification code 48–0500–0–1–271	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1	1	1
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	4	4	4
23.95 New obligations	–3	–3	–3
24.40 Unobligated balance available, end of year: Uninvested balance	1	1	1
New budget authority (gross), detail:			
40.20 Appropriation (special fund, definite)	3	3	3
Change in unpaid obligations:			
73.10 New obligations	3	3	3
73.20 Total outlays (gross)	–3	–3	–3
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	3	3
86.93 Outlays from current balances	1	.....	.....
87.00 Total outlays (gross)	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 48–0500–0–1–271	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	2	2	2
99.9 Total obligations	3	3	3

Personnel Summary

Identification code 48–0500–0–1–271	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	27	27	25

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Occupational Safety and Health Review Commission (29 U.S.C. 661), $7,753,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–2100–0–1–554	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Commission review	2	2	2
00.02 Administrative law judge determinations	4	4	4
00.03 Executive direction	2	2	2
10.00 Total obligations	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	8
23.95 New obligations	–8	–8	–8
New budget authority (gross), detail:			
40.00 Appropriation	8	8	8
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	1	1
73.10 New obligations	8	8	8
73.20 Total outlays (gross)	–7	–8	–8
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	7	7	7
86.93 Outlays from current balances	.....	1	1
87.00 Total outlays (gross)	7	8	8
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays	7	8	8

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	1995 actual	*1996 est.*	*1997 est.*
Commission review activities:			
Cases pending beginning of year	80	63	56
Cases called for review	52	63	68
Cases decided	69	70	75
Administrative law judge activities:			
Cases pending beginning of year	1,716	875	675
New cases received	2,248	2,800	2,800
Case dispositions:			
After assignment but without hearing	2,947	2,830	2,820
Heard and decided by judge	142	170	180

Object Classification (in millions of dollars)

Identification code 95–2100–0–1–554	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	8	8	8

Personnel Summary

Identification code 95–2100–0–1–554	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	73	74	73

OFFICE OF GOVERNMENT ETHICS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978,

as amended by Public Law 100–598, and the Ethics Reform Act of 1989, Public Law 101–194, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed $1,500 for official reception and representation expenses; [$7,776,000.] *$8,078,000. (Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–1100–0–1–805	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	8	8
22.30 Unobligated balance expiring	–1	.....	.....
23.90 Total budgetary resources available for obligation	8	8	8
23.95 New obligations	–8	–8	–8
New budget authority (gross), detail:			
40.00 Appropriation	8	8	8
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	1	.....
73.10 New obligations	8	8	8
73.20 Total outlays (gross)	–8	–9	–8
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	.....	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	7	8	8
86.93 Outlays from current balances	1	1	.....
87.00 Total outlays (gross)	8	9	8
Net budget authority and outlays:			
89.00 Budget authority	9	8	8
90.00 Outlays	8	9	8

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure; and by issuing and amending regulations implementing the procurement integrity provisions relating to negotiating for employment, post employment, and gratuities in the Office of Federal Procurement Policy Act Amendments of 1988, P.L. 100–679.

Object Classification (in millions of dollars)

Identification code 95–1100–0–1–805	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	8	8	8

Personnel Summary

Identification code 95–1100–0–1–805	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	85	85	87

OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93–531, $25,000,000, to remain available until expended: Provided, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: Provided further, That none of the funds contained in this or any other Act may be used by the Office to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: Provided further, That no relocatee will be provided with more than one new or replacement home: Provided further, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d–10.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 48–1100–0–1–808	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operation of relocation office	6	6	6
00.02 Assistance payments (bonus)	1	1	1
00.03 Relocation payments (housing)	19	16	17
00.04 Discretionary fund payments	2	2	6
10.00 Total obligations	28	25	30
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	19	17	12
22.00 New budget authority (gross)	25	20	25
23.90 Total budgetary resources available for obligation	44	37	37
23.95 New obligations	–28	–25	–30
24.40 Unobligated balance available, end of year: Uninvested balance	17	12	7
New budget authority (gross), detail:			
40.00 Appropriation	25	20	25
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	10	11	12
73.10 New obligations	28	25	30
73.20 Total outlays (gross)	–27	–24	–24
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	11	12	18
Outlays (gross), detail:			
86.90 Outlays from new current authority	25	13	16
86.93 Outlays from current balances	2	11	8
87.00 Total outlays (gross)	27	24	24
Net budget authority and outlays:			
89.00 Budget authority	25	20	25
90.00 Outlays	27	24	24

General and special funds—Continued

SALARIES AND EXPENSES—Continued

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1982. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48–1100–0–1–808	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	2	1	1
32.0 Land and structures	19	16	18
41.0 Grants, subsidies, and contributions	3	3	6
99.9 Total obligations	28	25	30

Personnel Summary

Identification code 48–1100–0–1–808	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	86	86	81

OFFICE OF SPECIAL COUNSEL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95–454), the Whistleblower Protection Act of 1989 (Public Law 101–12), Public Law 103–424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103–353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; [$7,840,000] *$8,311,000. (Independent Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 62–0100–0–1–808	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Investigation and prosecution of reprisals for whistle blowing	8	8	8
10.00 Total obligations	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	8
23.95 New obligations	–8	–8	–8
New budget authority (gross), detail:			
40.00 Appropriation	8	8	8
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	1
73.10 New obligations	8	8	8
73.20 Total outlays (gross)	–8	–8	–8
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	7	7	7
86.93 Outlays from current balances	1	1	1
87.00 Total outlays (gross)	8	8	8
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays	8	8	8

The Office of Special Counsel (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board; (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The Office may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

The Act to Reauthorize the Office of Special Counsel and for Other Purposes (P.L. 103–424, October 29, 1994) expanded the Office of Special Counsel's responsibility. The Act extended all protections of the Office to approximately 80,000 medical employees of the Department of Veterans Affairs and whistleblower protections to certain employees of government corporations which employ 82,000 workers.

Many cases investigated by the Office are resolved without recourse to formal proceedings before the Board. In Fiscal Year 1995 the Office of Special Counsel obtained 173 corrective actions or favorable dispositions in 149 matters. Efforts to obtain such negotiated resolutions will continue. In Fiscal Year 1995 the Office also filed 7 enforcement actions before the Merit Systems Protection Board.

The following tables display the anticipated workloads:

ALLEGATIONS RECEIVED

	1995 actual	*1996 est.*	*1997 est.*
Reprisal for whistleblowing	617	654	693
Other personnel practices	3,158	3,347	3,548
Hatch Act	97	102	107

ALLEGATIONS CLOSED

	1995 actual	*1996 est.*	*1997 est.*
Reprisal for whistleblowing	706	748	793
Other personnel practices	3,532	3,744	3,969
Hatch Act	119	125	131

Object Classification (in millions of dollars)

Identification code 62–0100–0–1–808	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	5	6	6
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	8	8	8

Personnel Summary

Identification code 62–0100–0–1–808	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	89	92	99

OFFICE OF THE NUCLEAR WASTE NEGOTIATOR

Federal Funds

General and special funds:

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 48–0070–0–1–271	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5)	1	.....	.....

	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance	1	.....	.....
23.95	New obligations	–1	.....	.....
	New budget authority (gross), detail:			
70.00	Total new budget authority (gross)	.....	.....	.....
	Change in unpaid obligations:			
73.10	New obligations	1	.....	.....
73.20	Total outlays (gross)	–1	.....	.....
	Outlays (gross), detail:			
86.93	Outlays from current balances	1	.....	.....
87.00	Total outlays (gross)	1	.....	.....
	Net budget authority and outlays:			
89.00	Budget authority	.....	.....	.....
90.00	Outlays	1	.....	.....

No new funding is requested for this office. By law, the office was terminated on January 21, 1995.

Personnel Summary

Identification code 48–0070–0–1–271	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	6	.....	.....

OTHER COMMISSIONS AND BOARDS

Commission for the Preservation of America's Heritage Abroad

SALARIES AND EXPENSES

For expenses for the Commission for the Preservation of America's Heritage Abroad, $206,000, as authorized by Public Law 99–83, section 1303.

Franklin Delano Roosevelt Memorial Commission

SALARIES AND EXPENSES

For necessary expenses of the Franklin Delano Roosevelt Memorial Commission, established by the Act of August 11, 1955 (69 Stat. 694), as amended by Public Law 92–332 (86 Stat. 401), $125,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in the budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 95–9911–0–1–808	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	2	4
Receipts:			
02.01 Miscellaneous deposits, Miscellaneous trust funds, Independent agencies	1	1	1
02.02 Interest, Miscellaneous trust funds, Independent agencies	1	1	1
02.99 Total receipts	2	2	2
04.00 Total: Balances and collections	2	4	6
07.99 Total balance, end of year	2	4	6

Program and Financing (in millions of dollars)

Identification code 95–9911–0–1–808	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.2)	1	1	.....
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	.....
23.95 New obligations	–1	–1	.....
New budget authority (gross), detail:			
40.00 Appropriation	1	1	.....
Change in unpaid obligations:			
73.10 New obligations	1	1	.....
73.20 Total outlays (gross)	–1	–1	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	.....
87.00 Total outlays (gross)	1	1	.....
Net budget authority and outlays:			
89.00 Budget authority	1	1	.....
90.00 Outlays	1	1	.....

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis. Individual commissions, where all transactions fall below the threshold (i.e., transactions do not round to $1 million), are included. The Commission for the Preservation of America's Heritage Abroad requests $206 thousand for 1997 to encourage the preservation of cemeteries, monuments, and historic buildings associated with the foreign heritage of the United States. The Franklin Delano Roosevelt Memorial Commission requests $125 thousand for 1997 for activities related to formulating plans for a memorial to Franklin Delano Roosevelt.

Personnel Summary

Identification code 95–9911–0–1–808	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	.....

OUNCE OF PREVENTION COUNCIL

Violent Crime Reduction Programs

For activities authorized by sections 30101 and 30102 of Public Law 103–322 (including administrative costs), $9,000,000, to remain available until expended, which shall be derived from the Violent Crime Reduction Trust Fund, for the Ounce of Prevention Grant Program: Provided, That the Council may accept and use gifts and donations, both real and personal, for the purpose of aiding or facilitating the authorized activities of the Council, of which not to exceed $5,000 may be used for official reception and representation expenses.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in the budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–8261–0–1–754	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Administrative Expenses	.....	1	1
00.02 Grants	.....	2	8
10.00 Total obligations	.....	3	9
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	.....	2	.....
22.00 New budget authority (gross)	2	1	9
23.90 Total budgetary resources available for obligation	2	3	9
23.95 New obligations	.....	–3	–9
24.40 Unobligated balance available, end of year: Uninvested balance	2	.....	.....
New budget authority (gross), detail:			
42.00 Transferred from other accounts	2	1	9
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	.....	2
73.10 New obligations	.....	3	9
73.20 Total outlays (gross)	.....	–1	–3
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	.....	2	7

VIOLENT CRIME REDUCTION PROGRAMS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–8261–0–1–754	1995 actual	1996 est.	1997 est.
Outlays (gross), detail:			
86.90 Outlays from new current authority			2
86.93 Outlays from current balances		1	1
87.00 Total outlays (gross)		1	3
Net budget authority and outlays:			
89.00 Budget authority	2	1	9
90.00 Outlays		1	3

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	2	1	9
Outlays		1	3
Adjustment to 1996 continuing resolution levels:			
Budget Authority		1	
Outlays			
Total:			
Budget Authority	2	2	9
Outlays		1	3

Amounts for the Ounce of Prevention Council's portion of Crime Control Programs are derived from transfers from the Violent Crime Reduction Trust Fund (VCRTF), as authorized by the Violent Crime Control and Law Enforcement Act of 1994. These funds are provided to enable the Council to coordinate crime prevention grant programs, as well as, award grants to Indian tribal governments, municipalities, school boards, colleges and universities, private nonprofit entities, or consortia of eligible applicants, for the purpose of supporting, establishing and coordinating crime prevention programs.

The Ounce of Prevention Council is chaired by Vice President Gore and consists of the Attorney General, the Secretary of the Treasury, the Secretaries of Labor, Health and Human Services, Agriculture, Education, Housing and Urban Development, the Interior, and the Director of the Office of National Drug Control Policy.

Object Classification (in millions of dollars)

Identification code 95–8261–0–1–754	1995 actual	1996 est.	1997 est.
41.0 Direct obligations: Grants, subsidies, and contributions		2	8
99.5 Below reporting threshold		1	1
99.9 Total obligations		3	9

Personnel Summary

Identification code 95–8261–0–1–754	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	3	7	15

PANAMA CANAL COMMISSION

Federal Funds

Public enterprise funds:

[PANAMA CANAL REVOLVING FUND]

[For administrative expenses of the Panama Canal Commission, including not to exceed $11,000 for official reception and representation expenses of the Board; not to exceed $5,000 for official reception and representation expenses of the Secretary; and not to exceed $30,000 for official reception and representation expenses of the Administrator, $50,741,000, to be derived from the Panama Canal Revolving Fund: *Provided*, That funds available to the Panama Canal Commission shall be available for the purchase of not to exceed 38 passenger motor vehicles for replacement only (including large heavy-duty vehicles used to transport Commission personnel across the Isthmus of Panama), the purchase price of which shall not exceed $19,500 per vehicle.] *(Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 95–4061–0–3–403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Operating expenses:			
00.01 Transit operations	392	409	420
00.02 Supporting services	65	70	66
00.03 General Corporate Expenses	102	99	101
00.91 Total operating expenses	559	578	587
Capital investment:			
02.01 Transit operation projects	24	54	57
02.02 General support projects	4	5	5
02.03 Utilities projects	2	5	4
02.04 Accomplishment of prior year slippage		26	12
02.05 Unanticipated delays/slippage		–12	
02.91 Total capital investment	30	78	78
10.00 Total obligations	589	656	665
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance		35	9
22.00 New budget authority (gross)	622	631	634
22.70 Balance of authority to borrow withdrawn	2		
23.90 Total budgetary resources available for obligation	624	666	643
23.95 New obligations	–589	–656	–665
Unobligated balance available, end of year:			
24.47 Authority to borrow			–22
24.90 Fund balance	35	9	
24.99 Total unobligated balance, end of year	35	9	–22
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	622	631	634
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.47 Authority to borrow	2		
72.90 Fund balance	166	182	217
72.99 Total unpaid obligations, start of year	168	182	217
73.10 New obligations	589	656	665
73.20 Total outlays (gross)	–575	–621	–636
Unpaid obligations, end of year:			
Obligated balance:			
74.47 Authority to borrow			22
74.90 Fund balance: Uninvested balance	182	217	225
74.99 Total unpaid obligations, end of year	182	217	247
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	477	524	526
86.98 Outlays from permanent balances	98	97	110
87.00 Total outlays (gross)	575	621	636
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–622	–631	–634
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	–47	–10	2

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority			
Outlays	–47	–10	2
Supplemental proposal:			
Budget Authority			
Outlays			

Total:			
Budget Authority	.....	.....	.....
Outlays	–47	–10	2

Note.—Authority to borrow is available to the Panama Canal Commission on a permanent indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed $100 million.

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. The Commission is self-sufficient in its operations and makes payments to the Republic of Panama as specified in the Panama Canal Treaty of 1977. Pursuant to Public Law 104–106, the Commission is a wholly-owned government corporation and is funded by a revolving fund.

Budget program—Transit operations.—The services performed by this activity are (in millions of dollars):

	1995 actual	*1996 est.*	*1997 est.*
Maintenance of channels and dams	36	37	40
Navigation service and control	102	109	111
Lock operations and maintenance	64	72	73
General repairs, engineering, and maintenance services	53	56	57
Fire and facility protection services	15	15	16
Public service payments to Panama	20	20	20
Payments to Panama	80	80	85
General canal expense	21	19	19
Net operating expenses	391	408	421

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Payments to Panama include a public service payment, a fixed annuity of $10 million, and an annuity based on net tonnage of vessels transiting the Canal. These payments are prescribed in paragraph 5 of article III and paragraphs 4(a) and 4(b) of article XIII of the Panama Canal Treaty of 1977.

Vessel traffic volume and other indices of workload are as follows:

	1995 actual	*1996 est.*	*1997 est.*
Ship transits (over 300 net Panama Canal tons)	13,631	13,704	13,757
Tolls (in millions of dollars)	463	464	467

Capital obligations for 1997 include the following major projects: continuation of the Gaillard cut widening/straightening program; replacement of one tugboat; the addition of one tugboat; additional towing locomotives; replacement of locks machinery control system; replacement of switchgear in locks transformer rooms; and other improvements to transit facilities.

Supporting services.—The services performed by these support activities are (in millions of dollars):

	1995 actual	*1996 est.*	*1997 est.*
Supply and logistical	19	21	21
Utilities	35	38	40
Other supporting services	9	9	8
Operating expenses	63	68	69

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Capital obligations for 1997 include several projects for electric power and communication systems improvements, the replacement of overaged motor vehicles, and the procurement of small plant and equipment items.

General corporate expenses provide for the salaries and related expenses for the overall direction and administration of the Commission and other costs not directly associated with a specific function.

Executive direction.—Executive direction provides for the management, planning, direction, and control of overall Commission activities and operations. It also provides for Congressional liaison through the Secretary's Office in Washington, and implements policies and directives of the Commission's Supervisory Board.

Operations direction.—Operations direction provides for the bureau-level direction and coordination of the operations of the Commission. Included are the costs associated with the director's offices for the Marine Bureau, Engineering and Construction Bureau, and General Services Bureau.

Financial management.—The Financial management function is responsible for the development of financial, accounting, and rate making policies; the development of financial systems and procedures; the maintenance of general books of account and the preparation of financial statements and reports; establishment of systems of internal control; collection, custody, and disbursement of funds and related matters; and financial planning, budget management and manpower control.

Personnel administration.—This function has the overall agency responsibility for personnel administration and management. In addition this office is responsible for the joint personnel program that provides staffing services for participating Federal agencies in the Panama Canal area on a cost-sharing basis.

Inspector General.—This function is responsible for conducting comprehensive audits and investigations of Commission accounts, programs, and operations.

Other.—This category provides for the non-administrative expenses of the Commission, which are general in nature and not associated with any specific function. Included in these costs are: the amortization of the expense of the special retirement provisions of the treaty implementation legislation; the interest expense on the investment of the U.S. Government in the Canal which is paid into the miscellaneous receipts of the U.S. Treasury; the health and education services provided to Commission's employees and their dependents at Department of Defense facilities; the compensation benefits for work injuries (FECA); the premiums for the Federal employees health benefits program (FEHBA); certain other statutory costs required by the U.S. Government; and miscellaneous expenses of a general nature.

Financing.—The operation of the Canal is conducted on a commercial basis with revenues derived from tolls collected from vessels and other essential supporting services. Revenues collected are deposited in an account in the U.S. Treasury. Operating and capital expenditures are then funded from this account. The Commission may borrow from the U.S. Treasury not more than $100 million outstanding at any time. No cash withdrawals against these funds are planned. In 1995, the Commission had a break even operation in which revenues were equal to expenses.

The amount set aside from toll receipts for a capital advance in 1997 is $10 million. No provision is made for working capital in 1997.

Statement of Operations (in millions of dollars)

Identification code 95–4061–0–3–403		1994 actual	1995 actual	1996 est.	1997 est.
0101	Revenue	503	538	558	574
0102	Expense	–362	–409	–426	–441
0109	Net income or loss (–)	141	129	132	133
0111	Revenue	45	48	49	50
0112	Expense	–82	–84	–87	–87
0119	Net income or loss (–)	–37	–36	–38	–37
0121	Revenue	1	.....	.....	.....
0122	Expense	–102	–93	–94	–96
0129	Net income or loss (–)	–101	–93	–94	–96
0191	Total revenues	549	586	607	624
0192	Total expenses	–546	–586	–607	–624
0199	Net income or loss	3	.....	.....	.....

Public enterprise funds—Continued

[PANAMA CANAL REVOLVING FUND]—Continued

Balance Sheet (in millions of dollars)

Identification code 95–4061–0–3–403	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	171	217	228	226
Investments in US securities:				
1106 Receivables, net	3	4	3	3
1206 Non-Federal assets: Receivables, net	6	10	7	7
Other Federal assets:				
1802 Inventories and related properties	28	31	31	31
1803 Property, plant and equipment, net	503	502	508	514
1901 Other assets	113	87	62	37
1999 Total assets	824	851	839	818
LIABILITIES:				
2101 Federal liabilities: Accounts payable	2	3	2	2
Non-Federal liabilities:				
2201 Accounts payable	25	33	29	30
2206 Pension and other actuarial liabilities	130	104	78	52
2207 Other	111	123	126	128
2999 Total liabilities	268	263	235	212
NET POSITION:				
3200 Invested capital	557	588	604	606
3999 Total net position	557	588	604	606
4999 Total liabilities and net position	825	851	839	818

Object Classification (in millions of dollars)

Identification code 95–4061–0–3–403	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	183	200	237
11.3 Other than full-time permanent	18	20	19
11.5 Other personnel compensation	43	43	43
11.9 Total personnel compensation	244	263	299
12.1 Civilian personnel benefits	45	45	54
13.0 Benefits for former personnel	15	15	15
21.0 Travel and transportation of persons	4	4	4
22.0 Transportation of things	2	2	2
23.3 Communications, utilities, and miscellaneous charges	2	2	5
25.2 Other services	28	37	45
25.3 Purchases of goods and services from Government accounts	–4	–6	–5
25.6 Medical care	2	3	2
26.0 Supplies and materials	60	61	59
31.0 Equipment	27	49	45
32.0 Land and structures	6	32	36
41.0 Grants, subsidies, and contributions	91	90	95
42.0 Insurance claims and indemnities	13	7	8
43.0 Interest and dividends	4	1	1
93.0 Limitation on expenses	50	51	
99.0 Subtotal, reimbursable obligations	589	656	665
99.9 Total obligations	589	656	665

Personnel Summary

Identification code 95–4061–0–3–403	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
2001 Full-time equivalent employment	8,074	8,244	9,127
2005 Full-time equivalent of overtime and holiday hours	903	748	715

LIMITATION ON ADMINISTRATIVE EXPENSES

Program and Financing (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Program by activities:			
Administrative and general:			
1. Executive direction	14	14	
2. Operations direction	2	2	
3. Financial management	15	15	
4. Personnel administration	5	5	
5. Inspector General	1	2	
6. Employment costs	13	13	
Total obligations under limitation	50	51	
Balance lapsing			
Financing:			
Limitation	50	51	

In 1997 no limitation on administrative expenses is proposed.

The limitation on administrative expenses in 1995 and 1996 provides for salaries and expenses associated with the overall direction and administration of the Commission. In 1997 these activities are explained under General Corporate Expenses.

Object Classification (in millions of dollars)

Identification code 95–4061–0–3–403	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	26	28	
11.3 Other than full-time permanent	1	1	
11.9 Total personnel compensation	27	29	
12.1 Civilian personnel benefits	11	10	
23.3 Communications, utilities, and miscellaneous charges	3	3	
25.1 Advisory and assistance services	1	1	
25.2 Other services	6	7	
26.0 Supplies and materials	1	1	
31.0 Equipment	1		
93.0 Limitation on expenses	–50	–51	
99.0 Subtotal, limitation acct—direct obligations			

Personnel Summary

Identification code 95–4061–0–3–403	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
6001 Full-time equivalent employment	745	788	
6005 Full-time equivalent of overtime and holiday hours	3	5	

PENNSYLVANIA AVENUE DEVELOPMENT CORPORATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 42–0100–0–1–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	3	1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	1	
23.95 New obligations	–3	–1	
New budget authority (gross), detail:			
40.00 Appropriation	3	1	
Change in unpaid obligations:			
73.10 New obligations	3	1	
73.20 Total outlays (gross)	–3	1	
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	1	
87.00 Total outlays (gross)	3	–1	
Net budget authority and outlays:			
89.00 Budget authority	3	1	
90.00 Outlays	3	1	

No request is proposed for operating and administrative expenses of the Corporation, which should cease operation on April 1, 1996 under the terms contained in H.R. 2880.

The Administration will propose legislation to ensure appropriate transfer of all Corporation assets and liabilities.

Object Classification (in millions of dollars)

Identification code 42–0100–0–1–451	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	1	.....
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	3	1	.....

Personnel Summary

Identification code 42–0100–0–1–451	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	25	13	.....

PUBLIC DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 42–0102–0–1–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Capital investment:			
01.01 Public improvements	1	2	.....
01.03 Subtotal, capital investment	1	1	.....
10.00 Total obligations	2	3	.....
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	12	16	.....
22.00 New budget authority (gross)	4	1	.....
22.10 Resources available from recoveries of prior year obligations	2	.....	.....
22.20 Unobligated balance transferred	.....	–14	.....
23.90 Total budgetary resources available for obligation	18	3	.....
23.95 New obligations	–2	–3	.....
24.40 Unobligated balance available, end of year: Uninvested balance	16	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	4	1	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	492	365	.....
73.10 New obligations	2	3	.....
73.20 Total outlays (gross)	–126	–59	.....
73.30 Obligated balance transferred, net	.....	–309	.....
73.45 Adjustments in unexpired accounts	–2	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	365	.....	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	1	1	.....
86.93 Outlays from current balances	2	2	.....
86.98 Outlays from permanent balances	123	56	.....
87.00 Total outlays (gross)	126	59	.....
Net budget authority and outlays:			
89.00 Budget authority	4	1	.....
90.00 Outlays	126	59	.....

No request is proposed for public development activities and projects of the Corporation, which should cease operations on April 1, 1996.

Federal Triangle/International Trade Center Building.—Oversight for completion of the Federal Triangle Building will be transferred to the General Services Administration when the Corporation ceases operation, scheduled for April 1, 1996.

Object Classification (in millions of dollars)

Identification code 42–0102–0–1–451	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	1	1	.....
32.0 Land and structures	.....	2	.....
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	2	3	.....

Personnel Summary

Identification code 42–0102–0–1–451	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	8	4	.....

Public enterprise funds:

LAND ACQUISITION AND DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 42–4084–0–3–451	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	1	.....	.....
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.47 Authority to borrow	7	7	.....
21.90 Fund balance	.....	5	.....
21.99 Total unobligated balance, start of year	7	12	.....
22.00 New budget authority (gross)	6	.....	.....
22.60 Redemption of debt	.....	–5	.....
22.70 Balance of authority to borrow withdrawn	.....	–7	.....
23.90 Total budgetary resources available for obligation	13	.....	.....
23.95 New obligations	–1	.....	.....
Unobligated balance available, end of year:			
24.47 Authority to borrow	7	.....	.....
24.90 Fund balance	5	.....	.....
24.99 Total unobligated balance, end of year	12	.....	.....
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	6	.....	.....
Change in unpaid obligations:			
73.10 New obligations	1	.....	.....
73.20 Total outlays (gross)	–1	.....	.....
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	1	.....	.....
87.00 Total outlays (gross)	1	.....	.....
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–6	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	1	.....	.....
90.00 Outlays	–5	.....	.....

Property acquisition.—No authority to borrow from the Treasury for land acquisition activities is proposed for the Corporation, which should cease operations on April 1, 1996. The Administration will propose legislation to ensure appropriate transfer of all Corporation assets and liabilities.

Statement of Operations (in millions of dollars)

Identification code 42–4084–0–3–451	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	5	1	1	.....
0102 Expense	–15	–17	–8	.....
0109 Net income or loss (–)	–10	–16	–7	.....

Public enterprise funds—Continued

LAND ACQUISITION AND DEVELOPMENT FUND—Continued

Balance Sheet (in millions of dollars)

Identification code 42–4084–0–3–451	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	.....	5	4	.....
1206 Non-Federal assets: Receivables, net	154	45	46	.....
1803 Other Federal assets: Property, plant and equipment, net	.....	90	90	.....
1999 Total assets	154	140	140	.....
LIABILITIES:				
Federal liabilities:				
2103 Debt	.....	195	203	.....
2104 Resources payable to Treasury	169	11	11	.....
2999 Total liabilities	169	206	214	.....
NET POSITION:				
3200 Invested capital	30	–12	–12	.....
3300 Cumulative results of operations	–44	–54	–62	.....
3999 Total net position	–15	–66	–74	.....
4999 Total liabilities and net position	155	140	140	.....

Object Classification (in millions of dollars)

Identification code 42–4084–0–3–451	1995 actual	1996 est.	1997 est.
32.0 Land and structures	1	.....	.....
99.0 Subtotal, reimbursable obligations	1	.....	.....

POSTAL SERVICE

Federal Funds

General and special funds:

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code; [$85,080,000] *$102,817,000*: *Provided,* That mail for overseas voting and mail for the blind shall continue to be free: *Provided further,* That six-day delivery and rural delivery of mail shall continue at not less than the 1983 level: *Provided further,* That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further,* That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in the fiscal year ending on September 30, [1996] *1997. (Postal Service Appropriation Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 18–1001–0–1–372	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Current year	63	56	62
00.02 Reconciliation adjustment	.....	.....	12
00.03 Prior years' liabilities	29	29	29
10.00 Total obligations (object class 41.0)	92	85	103
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	92	85	103
23.95 New obligations	–92	–85	–103
New budget authority (gross), detail:			
40.00 Appropriation	92	85	103
Change in unpaid obligations:			
73.10 New obligations	92	85	103
73.20 Total outlays (gross)	–92	–85	–103
Outlays (gross), detail:			
86.90 Outlays from new current authority	92	85	103
87.00 Total outlays (gross)	92	85	103
Net budget authority and outlays:			
89.00 Budget authority	92	85	103
90.00 Outlays	92	85	103

PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED LIABILITIES

[For payment to the Postal Service Fund for meeting the liabilities of the former Post Office Department to the Employees' Compensation Fund pursuant to 39 U.S.C. 2004, $36,828,000.] *(Postal Service Appropriation Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 18–1004–0–1–372	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	38	37	36
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	38	37	36
23.95 New obligations	–38	–37	–36
New budget authority (gross), detail:			
40.00 Appropriation	38	37	36
Change in unpaid obligations:			
73.10 New obligations	38	37	36
73.20 Total outlays (gross)	–38	–37	–36
Outlays (gross), detail:			
86.90 Outlays from new current authority	38	37	36
87.00 Total outlays (gross)	38	37	36
Net budget authority and outlays:			
89.00 Budget authority	38	37	36
90.00 Outlays	38	37	36

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	38	37	36
Outlays	38	37	36
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	.....	–36
Outlays	.....	.....	–36
Total:			
Budget Authority	38	37	.....
Outlays	38	37	.....

Herewith transmitted without change, as required by Public Law 93–328, is the appropriation request of the U.S. Postal Service for 1997 as submitted to the Office of Management and Budget.

Program and Financing (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Program by activities:			
Payment to the Postal Service fund for:			
1. Previous nonfunded liabilities of the Post Office Department	38	37	36
2. Free and reduced-rate mail: Current year	63	56	62
3. Free and reduced-rate mail: Reconciliation adjustment, 1992 and 1993	.....	.....	24
4. Free and reduced-rate mail: Reconciliation adjustment, 1994	.....	.....	–12
5. Free and reduced-rate mail—prior liabilities	29	29	29
Total payments to the Postal Service Fund (total obligations)	130	122	139
Financing:			
Budget authority (appropriation)	130	122	139

Relation of obligations to outlays:			
Total Obligations	130	122	139
Outlays	130	122	139

PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED LIABILITIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–1004–4–1–372	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)			–36
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			–36
23.95 New obligations			36
New budget authority (gross), detail:			
40.00 Appropriation			–36
Change in unpaid obligations:			
73.10 New obligations			–36
73.20 Total outlays (gross)			36
Outlays (gross), detail:			
86.90 Outlays from new current authority			–36
87.00 Total outlays (gross)			–36
Net budget authority and outlays:			
89.00 Budget authority			–36
90.00 Outlays			–36

This schedule reflects the changes resulting from the proposal to require that liabilities of the former Post Office Department to the Employees' Compensation Fund, which were previously appropriated to the U.S. Postal Service, shall now be liabilities of the Postal Service and payable out of the Postal Service Fund.

Public enterprise funds:

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 18–4020–0–3–372	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Postal field operations	38,816	40,139	42,024
00.02 Transportation	3,476	3,720	3,945
00.03 Building occupancy	1,166	1,274	1,366
00.04 Supplies and services	1,629	1,882	1,918
00.05 Research and development	52	67	71
00.06 Administration and area operations	3,824	5,106	5,850
00.07 Interest	2,033	1,880	2,064
00.08 Servicewide expenses	830	304	347
00.09 Capital investment	2,229	4,606	2,885
00.10 Post Office Dept. Workers' Compensation	38	37	36
00.91 Subtotal, direct program	54,093	59,015	60,506
02.01 Reimbursable program	265	273	284
10.00 Total obligations	54,358	59,288	60,790
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Treasury balance	736	476	476
22.00 New budget authority (gross)	57,366	62,146	62,345
22.30 Unobligated balance expiring	–260		
22.60 Redemption of debt	–3,008	–2,858	–1,555
23.90 Total budgetary resources available for obligation	54,834	59,764	61,266
23.95 New obligations	–54,358	–59,288	–60,790
24.90 Unobligated balance available, end of year: Treasury balance	476	476	476
New budget authority (gross), detail:			
67.15 Authority to borrow (indefinite)	2,554	4,917	3,151
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	54,812	57,229	59,194
70.00 Total new budget authority (gross)	57,366	62,146	62,345
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.47 Authority to borrow	15,739	16,994	19,818
72.90 Treasury balance	–483	–201	–369
72.91 U.S. Securities: Par value	1,271	1,249	1,000
72.99 Total unpaid obligations, start of year	16,527	18,042	20,449
73.10 New obligations	54,358	59,288	60,790
73.20 Total outlays (gross)	–52,843	–56,881	–61,790
Unpaid obligations, end of year:			
Obligated balance:			
74.47 Authority to borrow	16,994	19,818	18,927
Fund balance:			
74.90 Treasury balance	–201	–369	–478
74.91 U.S. Securities: Par value	1,249	1,000	1,000
74.99 Total unpaid obligations, end of year	18,042	20,449	19,449
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	52,843	56,881	61,790
87.00 Total outlays (gross)	52,843	56,881	61,790
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–1,285	–1,317	–1,364
88.20 Interest on U.S. securities	–216	–133	–106
88.40 Non-Federal sources	–53,311	–55,779	–57,724
88.90 Total, offsetting collections (cash)	–54,812	–57,229	–59,194
Net budget authority and outlays:			
89.00 Budget authority	2,554	4,917	3,152
90.00 Outlays	–1,969	–348	2,596

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	2,554	4,917	3,151
Outlays	–1,969	–348	2,596
Legislative proposal, not subject to PAYGO:			
Budget Authority		37	36
Outlays		37	36
Total:			
Budget Authority	2,554	4,954	3,187
Outlays	–1,969	–311	2,632

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-

Public enterprise funds—Continued

POSTAL SERVICE FUND—Continued

Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

Programs.—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and U.S. competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is encouraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business, governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that promotes universal access to the benefits of these new technologies for all citizens who desire them.

Financing.—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by $2.5 billion in 1991 for a revised ceiling of $12.5 billion and an additional $2.5 billion in 1992 for a revised total ceiling of $15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed $2.0 billion in obligations issued for the purpose of capital improvements and $1.0 billion for the purpose of paying operating expenses. As of September 30, 1997, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to $8.987 billion.

Operating.—Estimated revenue will total $58.869 billion in 1997. This includes $58.667 billion from mail and services revenue, $106 million from investment income, and $96 million accrued for revenue forgone appropriations in 1997. Total expenses are estimated at $59.521 billion in 1997.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employee Health Benefit Program (FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service is required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of $693 million to the Civil Service Retirement and Disability Fund, and $348 million to the Employees Health Benefits Fund. These two payments are to be made in three equal annual installments, beginning in fiscal year 1996.

Statement of Operations (in millions of dollars)

Identification code 18–4020–0–3–372		1994 actual	1995 actual	1996 est.	1997 est.
0101	Revenue	49,577	54,509	56,919	58,869
0102	Expense	–50,490	–52,739	–55,996	–59,521
0109	Net income or loss (–)	–913	1,770	923	–652

Balance Sheet (in millions of dollars)

Identification code 18–4020–0–3–372		1994 actual	1995 actual	1996 est.	1997 est.
	ASSETS:				
	Federal assets:				
1101	Fund balances with Treasury	25	25	25	25
	Investments in US securities:				
1102	Treasury securities, par	1,271	1,249	1,000	1,000
1106	Receivables, net	360	405	123	123
1107	Advances and prepayments	19	25	20	20
	Non-Federal assets:				
1206	Receivables, net	786	790	839	908
1207	Advances and prepayments	159	155	155	155
	Other Federal assets:				
1801	Cash and other monetary assets	123	241	175	175
1802	Inventories and related properties	204	222	225	225
1803	Property, plant and equipment, net	16,220	16,849	18,237	21,045
1901	Other assets	27,247	28,960	32,522	33,965
1999	Total assets	46,416	48,921	53,321	57,641
	LIABILITIES:				
	Federal liabilities:				
2101	Accounts payable	2,068	1,741	1,311	979
2102	Interest payable	134	147	135	180
2103	Debt	8,973	7,265	6,500	8,987
2104	Resources payable to Treasury	25	25	.....	.....
	Non-Federal liabilities:				
2201	Accounts payable	9,517	9,742	10,768	12,731

2203	Debt	14	14	14	14
2206	Pension and other actuarial liabilities	30,910	33,777	37,518	38,327
2207	Other	735	401	343	343
2999	Total liabilities	52,377	53,112	56,589	61,561
	NET POSITION:				
3200	Invested capital	3,034	3,034	3,034	3,034
3300	Cumulative results of operations	–8,995	–7,225	–6,302	–6,954
3999	Total net position	–5,961	–4,191	–3,268	–3,920
4999	Total liabilities and net position	46,415	48,921	53,321	57,641

Object Classification (in millions of dollars)

Identification code 18–4020–0–3–372		1995 actual	1996 est.	1997 est.
	Personnel compensation:			
11.1	Full-time permanent	23,482	24,318	25,264
11.3	Other than full-time permanent	3,898	4,212	4,412
11.5	Other personnel compensation	4,777	4,596	4,967
11.9	Total personnel compensation	32,157	33,126	34,643
12.1	Civilian personnel benefits	8,632	9,593	10,020
13.0	Benefits for former personnel	1,183	1,266	1,349
21.0	Travel and transportation of persons	178	188	195
22.0	Transportation of things	3,961	4,248	4,513
23.1	Rental payments to GSA	121	34	34
23.2	Rental payments to others	584	635	662
23.3	Communications, utilities, and miscellaneous charges	530	599	590
24.0	Printing and reproduction	115	127	135
25.2	Other services	1,315	1,839	2,485
26.0	Supplies and materials	1,327	1,067	1,119
31.0	Equipment	1,142	3,328	1,943
32.0	Land and structures	1,004	1,282	946
42.0	Insurance claims and indemnities	76	76	93
	Interest and dividends:			
43.0	Interest and dividends	670	445	437
43.0	Interest and dividends	1,363	1,435	1,626
99.0	Subtotal, reimbursable obligations	54,358	59,288	60,790
99.9	Total obligations	54,358	59,288	60,790

Personnel Summary

Identification code 18–4020–0–3–372		1995 actual	1996 est.	1997 est.
	Total compensable workyears:			
2005	Full-time equivalent of overtime and holiday hours	63,917	64,903	65,750
2011	Exempt Full-time equivalent employment	806,243	822,885	835,084

POSTAL SERVICE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–4020–2–3–372		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
	Direct program:			
00.11	Payment to U,S. Treasury Dept.		37	
10.00	Total obligations (object class 13.0)		37	
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)		37	
23.95	New obligations		–37	
	New budget authority (gross), detail:			
67.15	Authority to borrow (indefinite)		37	36
68.00	Spending authority from offsetting collections: Offsetting collections (cash)			–36
70.00	Total new budget authority (gross)		37	
	Change in unpaid obligations:			
73.10	New obligations		37	
73.20	Total outlays (gross)		–37	
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority		37	
87.00	Total outlays (gross)		37	
	Offsets:			
	Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources			36
	Net budget authority and outlays:			
89.00	Budget authority		37	36
90.00	Outlays		37	36

This schedule reflects the changes resulting from the proposal to require that liabilities of the former Post Office Department to the Employees' Compensation Fund, which were previously appropriated to the U.S. Postal Service, shall now be liabilities of the Postal Service and payable out of the Postal Service Fund.

RAILROAD RETIREMENT BOARD

Federal Funds

General and special funds:

[DUAL BENEFITS PAYMENTS ACCOUNT] *FEDERAL WINDFALL SUBSIDY*

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, $223,000,000, which shall include amounts becoming available in fiscal year 1997 pursuant to section 224(c)(1)(B) of Public Law 98–76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds $223,000,000: Provided, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 60–0111–0–1–601		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
10.00	Total obligations (object class 41.0)	251	239	223
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)	254	239	223
22.30	Unobligated balance expiring	–3		
23.90	Total budgetary resources available for obligation	251	239	223
23.95	New obligations	–251	–239	–223
	New budget authority (gross), detail:			
40.00	Appropriation	261	239	223
40.35	Appropriation rescinded	–7		
43.00	Appropriation (total)	254	239	223
70.00	Total new budget authority (gross)	254	239	223
	Change in unpaid obligations:			
73.10	New obligations	251	239	223
73.20	Total outlays (gross)	–251	–239	–223
	Outlays (gross), detail:			
86.90	Outlays from new current authority	251	239	223
87.00	Total outlays (gross)	251	239	223
	Net budget authority and outlays:			
89.00	Budget authority	254	239	223
90.00	Outlays	251	239	223

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector. The American taxpayer subsidy is about $1,000 per rail employee.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest

General and special funds—Continued

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS—Continued

earned on unnegotiated checks, $300,000, to remain available through September 30, 1998, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98–76.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 04–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 60–0113–0–1–601	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	3,303	3,420	3,491
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3,303	3,420	3,491
23.95 New obligations	–3,303	–3,420	–3,491
New budget authority (gross), detail:			
60.05 Appropriation (indefinite)	3,303	3,420	3,491
Change in unpaid obligations:			
73.10 New obligations	3,303	3,420	3,491
73.20 Total outlays (gross)	–3,303	–3,420	–3,491
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	3,303	3,420	3,491
87.00 Total outlays (gross)	3,303	3,420	3,491
Net budget authority and outlays:			
89.00 Budget authority	3,303	3,420	3,491
90.00 Outlays	3,303	3,420	3,491

This account funds interest on uncashed checks, financial interchange advances, and income taxes on Tier I and Tier II railroad retirement benefits.

SPECIAL MANAGEMENT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 60–0200–0–1–601	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	2	1	.....
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	1	.....
New budget authority (gross), detail:			
40.00 Appropriation	2	1	.....
Change in unpaid obligations:			
73.10 New obligations	2	1	.....
73.20 Total outlays (gross)	–2	–1	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	1	.....
87.00 Total outlays (gross)	2	1	.....
Net budget authority and outlays:			
89.00 Budget authority	2	1	.....
90.00 Outlays	2	1	.....

Object Classification (in millions of dollars)

Identification code 60–0200–0–1–601	1995 actual	1996 est.	1997 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	.....
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	2	1	.....

Personnel Summary

Identification code 60–0200–0–1–601	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	30	10	.....
1005 Full-time equivalent of overtime and holiday hours	4	.....	.....

Trust Funds

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 60–8051–0–7–603	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Benefit payments	64	59	59
00.02 Administrative expenses	17	17	17
10.00 Total obligations	81	76	76
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	81	76	76
23.95 New obligations	–81	–76	–76
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	17	17	17
Permanent:			
60.27 Appropriation (trust fund, indefinite)	64	59	59
70.00 Total new budget authority (gross)	81	76	76
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	4	4
73.10 New obligations	81	76	76
73.20 Total outlays (gross)	–79	–76	–76
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	4	4	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	16	17	17
86.97 Outlays from new permanent authority	63	59	59
87.00 Total outlays (gross)	79	76	76
Net budget authority and outlays:			
89.00 Budget authority	81	76	76
90.00 Outlays	79	76	76

Note.—Appropriations language for the 1997 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

WORKLOAD

	1990 actual	*1994 actual*	*1995 actual*	*1996 est.*	*1997 est.*
Unemployment claims	300,351	190,950	147,378	139,000	138,000
Cumulative workload decline (%)	.....	−36%	−51%	−54%	−54%
Sickness Claims	269,926	205,528	193,483	189,000	188,000
Cumulative workload decline (%)	.....	−24%	−28%	−30%	−30%

Object Classification (in millions of dollars)

Identification code 60–8051–0–7–603	1995 actual	1996 est.	1997 est.
42.0 Insurance claims and indemnities	64	59	59
93.0 Limitation on expenses	16	16	17
99.0 Subtotal, direct obligations	80	75	76
99.5 Below reporting threshold	1	1	.....
99.9 Total obligations	81	76	76

Personnel Summary

Identification code 60–8051–0–7–603	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
6001 Full-time equivalent employment	266	258	.....

6005	Full-time equivalent of overtime and holiday hours	1	.....	.....

Rail Industry Pension Fund

Unavailable Collections (in millions of dollars)

Identification code 60–8011–0–7–601		1995 actual	1996 est.	1997 est.
	Balance, start of year:			
01.99	Balance, start of year	11,328	11,878	12,274
	Receipts:			
02.01	Interest and profits on investments in public debt securities	981	740	728
02.02	Refunds	–19	–25	–20
02.03	Taxes	2,366	2,374	2,374
02.05	Federal payments to railroad retirement trust funds	177	181	186
02.99	Total receipts	3,505	3,270	3,268
04.00	Total: Balances and collections	14,833	15,148	15,542
	Appropriation:			
05.01	Rail industry pension fund	–2,955	–2,874	–2,900
05.99	Subtotal appropriation	–2,955	–2,874	–2,900
07.99	Total balance, end of year	11,878	12,274	12,642

Program and Financing (in millions of dollars)

Identification code 60–8011–0–7–601		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
10.00	Total obligations	2,959	2,878	2,904
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)	2,959	2,878	2,904
23.95	New obligations	–2,959	–2,878	–2,904
	New budget authority (gross), detail:			
60.27	Appropriation (trust fund, indefinite)	3,509	3,274	3,273
60.45	Portion precluded from obligation	–554	–400	–373
63.00	Appropriation (total)	2,955	2,874	2,900
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	4	4	4
70.00	Total new budget authority (gross)	2,959	2,878	2,904
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	217	242	244
73.10	New obligations	2,959	2,878	2,904
73.20	Total outlays (gross)	–2,934	–2,876	–2,901
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	242	244	247
	Outlays (gross), detail:			
86.90	Outlays from new current authority	79	79	79
86.97	Outlays from new permanent authority	2,855	2,797	2,822
87.00	Total outlays (gross)	2,934	2,876	2,901
	Offsets:			
	Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	–4	–4	–4
	Net budget authority and outlays:			
89.00	Budget authority	2,955	2,874	2,900
90.00	Outlays	2,930	2,872	2,897

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Some 155,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 60–8011–0–7–601		1995 actual	1996 est.	1997 est.
	Unexpended balance, start of year:			
0100	Uninvested balance	949	978	1,100
	U.S. Securities:			
0101	Par value	10,596	12,129	11,418
0102	Unrealized discounts	.....	–987	.....
0199	Total balance, start of year	11,545	12,120	12,518
	Cash income during the year:			
	Governmental receipts:			
0200	Refunds, Rail Industry Pension Fund	–19	–25	–20
0201	Taxes, Rail Industry Pension Fund	2,366	2,374	2,374
	Intragovernmental transactions:			
0240	Interest and profits on investments in public debt securities, Rail Industry Pension Fund	981	740	729
0242	Federal payments to railroad retirement trust funds, Rail Industry Pension Fund	177	181	186
	Offsetting collections:			
0280	Rail Industry Pension Fund	4	4	4
0299	Total cash income	3,509	3,274	3,273
	Cash outgo during year:			
0500	Rail Industry Pension Fund	–2,934	–2,876	–2,901
	Unexpended balance, end of year:			
0700	Uninvested balance	978	1,100	1,044
	U.S. Securities:			
0701	Par value	12,129	11,418	11,846
0702	Unrealized discounts	–987	.....	.....
0799	Total balance, end of year	12,120	12,518	12,890

Object Classification (in millions of dollars)

Identification code 60–8011–0–7–601		1995 actual	1996 est.	1997 est.
	Direct obligations:			
42.0	Insurance claims and indemnities	2,846	2,779	2,793
43.0	Interest and dividends	28	15	10
93.0	Administrative expenses (see separate schedule)	80	79	96
99.0	Subtotal, direct obligations	2,954	2,873	2,899
99.0	Reimbursable obligations	4	4	4
99.5	Below reporting threshold	1	1	1
99.9	Total obligations	2,959	2,878	2,904

Limitation on Administration

For necessary expenses for the Railroad Retirement Board in administering the Railroad Retirement Act and the Railroad Unemployment Insurance Act, $90,558,000, to be derived as authorized by section 15(h) of the Railroad Retirement Act and section 10(a) of the Railroad Unemployment Insurance Act, from the accounts referred to in those sections, of which not less than $893,000 shall be available to invest in technology improvements, including an interactive voice response system, to improve customer service.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (In millions of dollars)

	1995 actual	1996 est.	1997 est.
Program by activities:			
Direct program:			
Rail Industry Pension Fund:			
Subtotal, Rail Industry Pension Fund	47	47	46
Railroad Social Security Equivalent Benefit:			
Subtotal, Railroad Social Security Equivalent Benefit	24	25	25
Supplemental Annuity Pension Fund:			
Subtotal, Supplemental Annuity Pension Fund	2	2	2
Railroad Unemployment Insurance Trust Fund:			
Subtotal, Railroad Unemployment Insurance Trust Fund	.....	.....	17
Total, direct program	73	74	91
Reimbursable program	4	4	4
Total obligations	78	78	94
Financing:			
Offsetting collections from: Trust funds	–4	–4	–4
Unobligated balance expiring	.....	.....	.....
Limitation	74	74	91
Relation of obligations to outlays:			
Obligations incurred, net	73	74	91
Obligated balance, start of year	.....	8	8

LIMITATION ON ADMINISTRATION—Continued

Program and Financing (In millions of dollars)—Continued

	1995 actual	1996 est.	1997 est.
Obligated balance, end of year	–8	–8	–8
Outlays from limitation	66	74	91

The table below shows the continued decline anticipated in major workloads.

	1993 actual	*1994 actual*	*1995 actual*	*1996 est.*	*1997 est.*
Pending, start of year	16,710	12,437	11,937	9,615	9,615
New Railroad Retirement applications	59,606	56,267	52,665	53,000	51,000
New Social Security certifications	7,353	6,951	6,215	7,000	7,000
Total dispositions (excluding partial awards)	71,232	63,718	61,202	60,000	58,000
Pending, end of year	12,437	11,937	9,615	9,615	9,615

As shown below, the Board projects this workload will continue to decline, as the number of beneficiaries on the rolls continues to decline.

	1980 actual	*1990 actual*	*1994 actual*	*1995 actual*	*1996 est.*	*1997 est.*
Total beneficiaries	1,009,500	894,196	819,931	799,158	777,700	754,500

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

Object Classification (in millions of dollars)

Identification code 60–8011–0–7–601	1995 actual	1996 est.	1997 est.
Limitation Acct—Direct Obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	46	57
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	47	48	59
12.1 Civilian personnel benefits	9	9	11
13.0 Benefits for former personnel	1	.....	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	4
23.3 Communications, utilities, and miscellaneous charges	3	3	4
25.2 Other services	7	7	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
93.0 Limitation on expenses	–73	–73	–90
99.0 Subtotal, limitation acct—direct obligations	.....	.....	.....
Limitation Acct—Reimbursable Obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
93.0 Limitation on expenses	–4	–4	–4
99.0 Subtotal, limitation acct—reimbursable obligations	.....	.....	.....

Personnel Summary

Identification code 60–8011–0–7–601	1995 actual	1996 est.	1997 est.
Limitation account—direct:			
Total compensable workyears:			
6001 Full-time equivalent employment	1,143	1,099	1,284
6005 Full-time equivalent of overtime and holiday hours	2	1	1
Limitation account—reimbursable:			
7001 Total compensable workyears: Full-time equivalent employment	44	44	44

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For necessary expenses for the Office of Inspector General for audit, investigatory, and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than $5,750,000, to be derived from the railroad retirement accounts and the railroad unemployment insurance account.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Program by activities:			
Operations (total obligations)	7	6	6
Financing:			
Offsetting collections from trust funds	–1	—1	–1
Unobligated balance expiring	.....	.....	.....
Limitation	7	5	6
Relation of obligations to outlays:			
Obligations incurred, net	6	5	6
Obligated balance, start of year	.....	1	1
Obligated balance, end of year	–1	–1	–1
Outlays from limitation	6	5	6

Object Classification (in millions of dollars)

Identification code 60–8011–0–7–601	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	5	4	5
12.1 Civilian personnel benefits	1	1	1
93.0 Limitation on expenses	–6	–5	–6
99.0 Subtotal, limitation account—direct	.....	.....	.....

Personnel Summary

Identification code 60–8011–0–7–601	1995 actual	1996 est.	1997 est.
8001 Total compensable workyears: Full-time equivalent employment	87	65	77

SUPPLEMENTAL ANNUITY PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 60–8012–0–7–601	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	2	2	9
Receipts:			
02.01 Interest and profits on investments in public debt securities	2	2	1
02.02 Transfer from the rail industry pension fund	.....	66	–14
02.03 Supplemental annuity taxes	78	100	98
02.04 Refunds, supplemental annuity pension fund	–1	–50	–1
02.99 Total receipts	79	118	84
04.00 Total: Balances and collections	81	120	93
Appropriation:			
05.01 Supplemental Annuity Pension Fund	–79	–111	–84
07.99 Total balance, end of year	2	9	9

Program and Financing (in millions of dollars)

Identification code 60–8012–0–7–601	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	88	111	84
Budgetary resources available for obligation:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	37	28	28
22.00 New budget authority (gross)	79	111	84
23.90 Total budgetary resources available for obligation	116	139	112
23.95 New obligations	–88	–111	–84
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	28	28	28
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	79	111	84

	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	3	.....	.....
73.10	New obligations	88	111	84
73.20	Total outlays (gross)	–91	–111	–84
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority	52	83	56
86.98	Outlays from permanent balances	39	28	28
87.00	Total outlays (gross)	91	111	84
	Net budget authority and outlays:			
89.00	Budget authority	79	111	84
90.00	Outlays	91	111	84

In addition to rail social security, rail industry pensions and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25–29 years of creditable service. Monthly benefit amounts are calculated from a base of $23, adding $4 for every year of service over 25, up to a maximum monthly benefit of $43. Employers finance benefits on a pay-as-you-go basis by a cents-per-hour tax, currently established at 34 cents per hour.

Status of Funds (in millions of dollars)

Identification code 60–8012–0–7–601	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year:			
0100 Treasury balance	.....	2	3
0101 U.S. Securities: Par value	42	28	35
0199 Total balance, start of year	42	30	38
Cash income during the year:			
Governmental receipts:			
0200 Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB	78	100	98
0201 Refunds, Supplemental Annuity Pension Fund, Refunds	–1	–50	–1
Intragovernmental transactions:			
0240 Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB	2	2	1
0241 Transfer from Rail Industry Pension fund	.....	66	–14
0299 Total cash income	79	118	84
Cash outgo during year:			
0500 Supplemental Annuity Pension Fund	–91	–111	–84
Unexpended balance, end of year:			
0700 Uninvested balance	2	3	6
0701 U.S. Securities: Par value	28	35	32
0799 Total balance, end of year	30	38	38

Railroad Social Security Equivalent Benefit Account

Unavailable Collections (in millions of dollars)

Identification code 60–8010–0–7–601	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	1,454	2,149	2,292
Receipts:			
02.01 Interest and profits on investments in public debt securities	87	120	123
02.02 Income tax credits	48	46	60
02.03 Interest transferred to Federal hospital insurance trust fund	–38	–39	–34
02.04 Taxes	1,886	1,887	1,887
02.05 Receipts transferred to Federal hospital insurance trust fund	–358	–369	–369
02.06 Receipts from Federal old-age survivors insurance trust fund	4,052	3,735	3,781
02.07 Receipts from Federal disability insurance trust fund	68	35	57
02.08 Advances from the general fund	3,078	3,193	3,245
02.10 Refunds, railroad social security equivalent benefit account	–10	–20	–10
02.99 Total receipts	8,813	8,588	8,740
04.00 Total: Balances and collections	10,267	10,737	11,032
Appropriation:			
05.01 Rail industry social security equivalent benefit account	–8,118	–8,445	–8,541
05.99 Subtotal appropriation	–8,118	–8,445	–8,541
07.99 Total balance, end of year	2,149	2,292	2,491

Program and Financing (in millions of dollars)

Identification code 60–8010–0–7–601	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	8,118	8,445	8,541
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8,118	8,445	8,541
23.95 New obligations	–8,118	–8,445	–8,541
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	8,813	8,588	8,740
60.45 Portion precluded from obligation	–695	–143	–199
63.00 Appropriation (total)	8,118	8,445	8,541
70.00 Total new budget authority (gross)	8,118	8,445	8,541
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	99	65	162
73.10 New obligations	8,118	8,445	8,541
73.20 Total outlays (gross)	–8,152	–8,348	–8,496
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	65	162	207
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	8,118	8,348	8,496
86.98 Outlays from permanent balances	34	.....	.....
87.00 Total outlays (gross)	8,152	8,348	8,496
Net budget authority and outlays:			
89.00 Budget authority	8,118	8,445	8,541
90.00 Outlays	8,152	8,348	8,496

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Status of Funds (in millions of dollars)

Identification code 60–8010–0–7–601	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year:			
0100 Treasury balance	–11	–69	–78
0101 U.S. Securities: Par value	1,564	2,283	2,531
0199 Total balance, start of year	1,553	2,214	2,453
Cash income during the year:			
Governmental receipts:			
0200 Railroad Soc. Sec. equivalent ben. acct., Taxes	1,886	1,887	1,887
0201 Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund	–358	–369	–369
0202 Railroad Soc. Sec. Equivalent Ben. Acct., Refunds	–10	–20	–10
Intragovernmental transactions:			
0240 Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities	87	120	123
0241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits	48	46	60
0242 Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund	–38	–39	–34
0243 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund	4,052	3,735	3,781
0244 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund	68	35	57
0245 Railroad Soc. Sec. equivalent ben. acct., Advances from the general fund	3,078	3,193	3,245

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 60–8010–0–7–601	1995 actual	1996 est.	1997 est.
0299 Total cash income	8,813	8,588	8,740
Cash outgo during year:			
0500 Railroad social security equivalent benefit account	–8,152	–8,348	–8,496
Unexpended balance, end of year:			
0700 Uninvested balance	–69	–78	–223
0701 U.S. Securities: Par value	2,283	2,531	2,920
0799 Total balance, end of year	2,214	2,453	2,697

Object Classification (in millions of dollars)

Identification code 60–8010–0–7–601	1995 actual	1996 est.	1997 est.
42.0 Insurance claims and indemnities	4,857	5,013	5,085
43.0 Interest and dividends	10	10	5
92.0 Repayment of benefit advances and loans	3,251	3,422	3,451
99.9 Total obligations	8,118	8,445	8,541

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Intragovernmental payments:			
60–287700 Repayment of advances by the Railroad Retirement Board	3,024	3,081	3,195
General Fund Intragovernmental payments	3,024	3,081	3,195

RESOLUTION TRUST CORPORATION

Federal Funds

General and special funds:

OFFICE OF INSPECTOR GENERAL

Program and Financing (in millions of dollars)

Identification code 22–1500–0–1–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	30	8	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	32	11	
22.20 Unobligated balance transferred		–4	
22.30 Unobligated balance expiring	–2		
23.90 Total budgetary resources available for obligation	30	8	
23.95 New obligations	–30	–8	
New budget authority (gross), detail:			
40.00 Appropriation	32	11	
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	6	8	
73.10 New obligations	30	8	
73.20 Total outlays (gross)	–32	–7	
73.30 Obligated balance transferred, net		–9	
73.40 Adjustments in expired accounts	4		
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance	8		
Outlays (gross), detail:			
86.90 Outlays from new current authority	25	4	
86.93 Outlays from current balances	7	3	
87.00 Total outlays (gross)	32	7	
Net budget authority and outlays:			
89.00 Budget authority	32	11	
90.00 Outlays	32	7	

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

The Resolution Trust Corporation (RTC) Office of Inspector General (OIG) was established in April 1990 in accordance with the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA). As a result of FIRREA and the RTC Completion Act of 1993, the RTC sunset was completed on December 31, 1995. All assets and liabilities of RTC were transferred to the FSLIC Resolution Fund, under the management of the Federal Deposit Insurance Corporation (FDIC). The remaining obligations and appropriated funds for the RTC OIG have also been transferred to the FDIC's FSLIC Resolution Fund and will be included in all schedules under that fund until expended or expired. The RTC Office of Inspector General merged with the FDIC Office of Inspector General, and its audits, investigations, and other reviews will continue to be performed.

Object Classification (in millions of dollars)

Identification code 22–1500–0–1–373	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	18	5	
12.1 Civilian personnel benefits	6	1	
21.0 Travel and transportation of persons	2		
23.2 Rental payments to others	1	1	
25.2 Other services	3		
99.5 Below reporting threshold		1	
99.9 Total obligations	30	8	

Personnel Summary

Identification code 22–1500–0–1–373	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	262	56	
1005 Full-time equivalent of overtime and holiday hours	1	1	

Public enterprise funds:

RTC REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 22–4055–0–3–373	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Operating expenses:			
00.01 Administrative expenses	70	17	
00.02 Oversight Board expenses	4	4	
00.03 Administrative expense for affordable housing program	9	2	
00.91 Total operating expenses	83	23	
Capital investment:			
01.02 Working capital	2,527		
01.03 Case resolution losses	738		
01.05 FFB Interest Payments	1,181	326	
01.91 Total capital investment	4,446	326	
10.00 Total obligations	4,529	349	
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	17,736	15,090	13,759
22.00 New budget authority (gross)	15,194	2,773	
22.20 Unobligated balance transferred		–1,017	
22.60 Redemption of debt	–13,311	–2,738	
23.90 Total budgetary resources available for obligation	19,619	14,108	13,759
23.95 New obligations	–4,529	–349	
24.90 Unobligated balance available, end of year: Fund balance	15,090	13,759	13,759

	New budget authority (gross), detail:			
68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash)	15,194	2,773	.....
	Change in unpaid obligations:			
72.90	Unpaid obligations, start of year: Obligated balance:			
	Fund balance	148	151	.....
73.10	New obligations	4,529	349	.....
73.20	Total outlays (gross)	–4,526	–349	.....
73.30	Obligated balance transferred, net	.....	–151	.....
74.90	Unpaid obligations, end of year: Obligated balance:			
	Fund balance	151	.....	.....
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority	1,545	77	.....
86.98	Outlays from permanent balances	2,981	272	.....
87.00	Total outlays (gross)	4,526	349	.....
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
	Non-Federal sources:			
88.40	Recoveries on receivables from case resolution (asset proceed)	–13,364	–2,773	.....
88.40	Repayment of advances	–1,830	.....	.....
88.90	Total, offsetting collections (cash)	–15,194	–2,773	.....
	Net budget authority and outlays:			
89.00	Budget authority	.....	.....	.....
90.00	Outlays	–10,668	–2,424	.....

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) to dispose of insolvent thrift institutions until August 1992. RTC's authority to resolve thrifts was extended through September 1993 by the Resolution Trust Corporation Refinancing, Restructuring and Improvement Act of 1991 and was further extended through June 1995 by the Resolution Trust Corporation Completion Act of 1993. The Savings Association Insurance Fund (SAIF) took over responsibility for resolving failed thrifts on July 1, 1995.

FIRREA required that the RTC terminate no later than December 31, 1996, and that all RTC assets and liabilities be transferred to the FSLIC Resolution Fund upon RTC's termination. The RTC Completion Act advanced the date of RTC termination to December 31, 1995. Beginning on January 1, 1996, activities related to the former RTC are included in the budget schedules of the FSLIC Resolution Fund.

The RTC's sources of funds included income and sale proceeds from assets acquired during the course of resolving thrifts, $31.2 billion from the sale of capital certificates to the Resolution Funding Corporation (REFCORP, a privately capitalized financing entity also established by FIRREA), and $73.8 billion in appropriated funds. Of the $31.2 billion, $1.2 billion was contributed by the Federal Home Loan Banks through REFCORP to the RTC in 1989. The remaining $30 billion was raised through REFCORP issuance of long-term debt obligations. The $73.8 billion in appropriated funds includes $18.3 billion made available by the RTC Completion Act of 1993.

Of the $18.3 billion appropriated to RTC in 1993, amounts not needed by RTC remain available for two years after RTC termination for possible transfer by the Secretary of the Treasury to SAIF, if needed to cover SAIF losses and upon certain certifications by the Chairman of the FDIC. The Thrift Depositor Protection Oversight Board determined that only $4.6 billion of the $18.3 billion will be required. The excess $13.7 billion was not transferred to the FSLIC Resolution Fund upon RTC termination, but remains available for transfer to SAIF until December 31, 1997.

The Thrift Depositor Protection Oversight Board (Oversight Board) was established to provide RTC with general policy direction and to review RTC's performance. The Oversight Board is also responsible for regulating REFCORP. Prior to RTC sunset, the Oversight Board consisted of the Secretary of the Treasury, the Chairman of the Board of Governors of the Federal Reserve System, the Director of the Office of Thrift Supervision, the Chairman of the Federal Deposit Insurance Corporation, the chief executive officer of RTC, and two independent members appointed by the President and approved by the Senate. The Oversight Board was reorganized on December 31, 1995, and currently consists of the Secretary of the Treasury, the Chairman of the Board of Governors of the Federal Reserve System, and the Secretary of the Department of Housing and Urban Development. By law, the Oversight Board is funded by the RTC.

Section 501(c) of FIRREA authorizes the RTC to operate an affordable housing program. Under this program, single and multifamily properties in RTC's inventory that are appraised below a certain threshold are held off the general market for a three to six month special marketing period. During that period, only low-income families and individuals, and public agencies and nonprofit organizations that agree to low-income rent restrictions are allowed to bid on the properties. The affordable housing expense line shown in the budget includes only the administrative costs of the program. Foregone receipts from selling the properties with the affordable housing restrictions are not included. In FY95, the RTC closed sales or accepted offers for 1,295 single family properties totaling $33 million in sales proceeds and 140 multifamily properties totaling $83 million in sales proceeds. By the end of FY96, RTC expects to have sold an additional 289 single family and 26 multifamily properties through the affordable housing program.

In accordance with the RTC Completion Act, management of the FDIC and RTC affordable housing programs was fully integrated on October 1, 1995. While both programs are carried out by the FDIC Affordable Housing Program Office, separate accounting will be maintained for FDIC and RTC assets. The RTC Affordable Housing Program assets were formally transferred to the FSLIC Resolution Fund on December 31, 1995, when all RTC assets and liabilities were transferred.

Affordable Housing Program:	*1995 actual*	*1996 estimate*
Number of Properties In Inventory:		
Single Family	1,688	145
Multifamily	166	14
Number of Properties Sold:		
Single Family	1,295	289
Multifamily	140	26
Appraised Value in Inventory (in millions):		
Single Family	63	10
Multifamily	172	25
Appraised Value of Property Sold (in millions):		
Single Family	47	10
Multifamily	143	25
Gross Sales Receipts:		
Single Family	33	7
Multifamily	83	18

Balance Sheet (in millions of dollars)

Identification code 22–4055–0–3–373	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	17,878	15,235	13,759	13,759
1901 Other Federal assets: Other assets	25,195	14,093	.....	.....
1999 Total assets	43,073	29,328	13,759	13,759
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	26,838	13,419	.....	.....
2207 Non-Federal liabilities: Other	517	922	.....	.....
2999 Total liabilities	27,355	14,341	.....	.....
NET POSITION:				
3100 Appropriated capital	15,718	14,987	13,759	13,759
3999 Total net position	15,718	14,987	13,759	13,759
4999 Total liabilities and net position	43,073	29,328	13,759	13,759

Public enterprise funds—Continued

RTC REVOLVING FUND—Continued

Object Classification (in millions of dollars)

Identification code 22–4055–0–3–373	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	290	63	
11.5 Other personnel compensation	8	2	
11.9 Total personnel compensation	298	65	
12.1 Civilian personnel benefits	88	10	
21.0 Travel and transportation of persons	15	4	
22.0 Transportation of things	2		
23.2 Rental payments to others	18	4	
23.3 Communications, utilities, and miscellaneous charges	6	1	
24.0 Printing and reproduction	1	1	
25.2 Other services	370	105	
26.0 Supplies and materials	2		
31.0 Equipment	7	1	
42.0 Insurance claims and indemnities	2	1	
92.0 Undistributed	3,720	157	
99.9 Total obligations	4,529	349	

Personnel Summary

Identification code 22–4055–0–3–373	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	4,944	954	
1005 Full-time equivalent of overtime and holiday hours	136	26	

RIVER BASIN COMMISSIONS

SALARIES AND EXPENSES, DELAWARE RIVER BASIN

For expenses necessary to carry out the functions of the United States member of the Delaware River Basin Commission, as authorized by law (75 Stat. 716), [$343,000] *$342,000.* (*Energy and Water Development Act, 1996.)*

CONTRIBUTION TO DELAWARE RIVER BASIN

For payment of the United States share of the current expenses of the Delaware River Basin Commission, as authorized by law (75 Stat. 706, 707), [$428,000] *$534,000.* (*Energy and Water Development Act, 1996.)*

CONTRIBUTION TO INTERSTATE COMMISSION ON THE POTOMAC RIVER BASIN

To enable the Secretary of the Treasury to pay in advance to the Interstate Commission on the Potomac River Basin the Federal contribution toward the expenses of the Commission during the current fiscal year in the administration of its business in the conservancy district established pursuant to the Act of July 11, 1940 (54 Stat. 748), as amended by the Act of September 25, 1970 (Public Law 91–407), [$511,000] *$508,000.* (*Energy and Water Development Act, 1996.)*

SALARIES AND EXPENSES, SUSQUEHANNA RIVER BASIN COMMISSION

For expenses necessary to carry out the functions of the United States member of the Susquehanna River Basin Commission as authorized by law (84 Stat. 1541), [$318,000] *$322,000.* (*Energy and Water Development Act, 1996.)*

CONTRIBUTION TO SUSQUEHANNA RIVER BASIN COMMISSION

For payment of the United States share of the current expenses of the Susquehanna River Basin Commission, as authorized by law (84 Stat. 1530, 1531), [$250,000] *$380,000.* (*Energy and Water Development Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 46–9912–0–1–301	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5)	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
New budget authority (gross), detail:			
40.00 Appropriation	2	2	2
Change in unpaid obligations:			
73.10 New obligations	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	2	2
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

Personnel Summary

Identification code 46–9912–0–1–301	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	4	4	4

SECURITIES AND EXCHANGE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed $3,000 for official reception and representation expenses, $308,189,000, of which not to exceed $10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions, and of which not to exceed $100,000 shall be made available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of cooperation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including: (i) such incidental expenses as meals taken in the course of such attendance, (ii) any travel or transportation to or from such meetings and (iii) any other related lodging or subsistence. If prior to enactment of this Act, legislation amending the Securities Exchange Act of 1934 (15 U.S.C. 78a et seq.) to establish a new fee system which reforms the funding structure of the Commission is enacted, the sum "$308,189,000" shall be deleted and replaced with "$48,623,000", and the following language shall be added after "(iii) any other related lodging or subsistence", ": Provided, That not to exceed $48,623,000 from fees and annual charges received in fiscal year 1997 shall be credited to this appropriation as offsetting collections and be available until expended for necessary expenses under this head: Provided further, That the sum herein appropriated from the General Fund shall be reduced as such fees are deposited to this appropriation so as to result in a final total fiscal year 1997 appropriation from the General Fund estimated at not more than $0."

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in the three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 50–0100–0–1–376	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 SEC special fund			260
Appropriation:			
05.02 Salaries and expenses, proposed legislation			–260
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 50–0100–0–1–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Full disclosure	58	58	59
00.02 Prevention and suppression of fraud	95	98	103
00.03 Supervision and regulation of securities markets	40	40	41
00.04 Investment management regulation	46	53	57
00.05 Legal and Economic services	18	19	20
00.07 Program direction	31	33	34
00.91 Total direct program	288	301	314
01.01 Reimbursable program		1	1
10.00 Total obligations	288	302	315
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	54	24	10
22.00 New budget authority (gross)	266	288	308
22.10 Resources available from recoveries of prior year obligations	8		
22.30 Unobligated balance expiring	–16		
23.90 Total budgetary resources available for obligation	312	312	318
23.95 New obligations	–288	–302	–315
24.90 Unobligated balance available, end of year: Fund balance	24	10	4
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	109	103	308
40.35 Appropriation rescinded	–1		
43.00 Appropriation (total)	108	103	308
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	158	185	1
70.00 Total new budget authority (gross)	266	288	308
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	47	46	63
73.10 New obligations	288	302	315
73.20 Total outlays (gross)	–279	–285	–311
73.45 Adjustments in unexpired accounts	–8		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	46	63	67
Outlays (gross), detail:			
86.90 Outlays from new current authority	91	89	265
86.93 Outlays from current balances	28	25	14
86.97 Outlays from new permanent authority	131	159	1
86.98 Outlays from permanent balances	29	14	30
87.00 Total outlays (gross)	279	285	311
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources		–1	–1
88.45 Offsetting governmental collections	–158	–184	
88.90 Total, offsetting collections (cash)	–158	–185	–1
Net budget authority and outlays:			
89.00 Budget authority	108	103	307
90.00 Outlays	122	100	310

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	1996 est.	1997 est.
Enacted/requested:			
Budget Authority	108	103	308
Outlays	121	102	309
Legislative proposal, not subject to PAYGO:			
Budget Authority			–308
Outlays			–272
Legislative proposal, subject to PAYGO:			
Budget Authority			260
Outlays			224
Total:			
Budget Authority	108	103	260
Outlays	121	102	261

The primary mission of the Securities and Exchange Commission (the Commission) is to protect the interests of the investing public.

Full disclosure.—This program ensures that investors will be provided with material facts in the public offering, trading, voting and tendering of securities. Issuers that have conducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

Electronic filing project (EDGAR).—In 1984, the SEC began a pilot project that successfully tested the feasibility of receiving, analyzing and disseminating full disclosure filings electronically. The pilot received approximately 114,000 filings electronically through July 1992, when it was closed.

In early 1989, the SEC selected a contractor to develop the operational system. This system provides the Commission with the capability for electronic receipt, analysis, and dissemination of almost all of the full disclosure filings. The operational system opened in January 1992 for test filings and the phase-in of the first group of mandated filers began in April 1993. As of November 10, 1995, nine of the ten groups of filers have been added to the system. The last group consisting mainly of new companies, will join EDGAR in May 1996. As of February 9, 1996, operational EDGAR has received and disseminated 249,168 live filings. The operational contract cost is $10.0 million in fiscal 1996 of which $8 million supports the Full Disclosure program and $2 million supports the Investment Management Regulation program. The recompetition for the new contract is in process and its costs are not yet known.

SELECTED WORKLOAD DATA

	1995 actual	1996 est.	1997 est.
Filings of initial 1933 Act registration statements—other than investment companies	995	1,000	1,000
Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies	4,646	4,650	4,650
Filings of definitive proxy and information statements (uncontested)—other than investment companies	7,465	7,510	7,550
Filings of annual and periodic reports—other than investment companies	67,015	67,040	67,065
Filings of Director and Officer ownership and transaction reports	239,670	240,000	240,500

Prevention and Suppression of Fraud.—This program evaluates information indicating possible violations of the federal securities laws. Possible violations include, among other things, the illegal distribution of unregistered securities, fraud in the offer, purchase and sale of securities, insider trading, market manipulation, and illegal conduct by broker-dealers, investment advisers and other regulated entities. Investigations of possible violations are conducted and, if appropriate, enforcement actions are initiated. Actions include civil proceedings seeking injunctive and other relief and administrative proceedings. The Commission is now authorized to seek court orders imposing civil monetary penalties for any securities law violation as well as to seek such penalties against regulated entities in administrative proceedings. Under appropriate circumstances matters are referred for criminal prosecution.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

SELECTED WORKLOAD DATA

	1995 actual	*1996 est.*	*1997 est.*
Investigations initiated	436	475	475
Administrative proceedings opened	291	290	290
Civil actions initiated	195	195	195

Supervision and regulation of securities markets.—Trading in the securities markets is regulated to prevent fraud and manipulation, and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions.

SELECTED WORKLOAD DATA

	1995 actual	*1996 est.*	*1997 est.*
Review of changes in the rules and procedures of self-regulatory organizations	609	610	610
Inspections of self-regulatory organizations	48	50	50
Broker dealer registration applications	970	890	890
Broker-dealer oversight and cause examinations	662	700	700

Investment management regulation.—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. In 1996, investment adviser inspections will focus on money managers that have total control of their clients' funds. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

SELECTED WORKLOAD DATA

	1995 actual	*1996 est.*	*1997 est.*
Investment company assets inspected ($ trillions)	1.1	1.0	1.0
Investment company portfolios and amendments filed	17,579	17,925	18,255
Investment company proxy statements filed	711	780	785
Investment advisers inspected	1,075	1,150	1,300
Investment adviser registration statements filed	3,241	3,490	3,840
Exemptive applications closed	483	500	510
Public utility filings processed	193	150	110
Public utility annual and periodic reports examined	890	1,110	1,300

Legal and Economic Services.—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae,* and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with Government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings are appropriate in the public interest and for the protection of public investors.

SELECTED WORKLOAD DATA

	1995 actual	*1996 est.*	*1997 est.*
Litigation matters opened	343	380	380
Opinion cases received	95	80	80
Opinion cases completed	82	80	80
Legislative matters	292	250	250
Chapter 11 disclosure statements commented on	75	80	80
Administrative proceedings completed by Administrative Law Judges	67	55	55

Program direction.—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating priorities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

Authorizing legislation will be proposed to provide the Commission with a sound and stable long term funding structure. Such legislation would stipulate three tiers of fee income. Tier 1 would be composed of permanent increases in existing base receipts collected under the securities laws generating PAYGO savings of approximately $47 million in 1997. Tier 2 would establish a set of new permanent fees in the securities laws and stipulate that such fees be deposited in a special fund of the U.S. Treasury and that the SEC would have authority to spend such sums as may be deposited in this fund. The legislation would specify Tier 2 fee rates in amounts that would be estimated to collect a substantial majority, but not all, of the SEC's total budget. Tier 3 would provide the appropriators with the authority to increase certain specified fees and deposit such increments as offsetting collections to the SEC's appropriation. The establishment of the Tier 3 fees would be contingent on appropriation action.

Such legislation would give the appropriators the ability to determine the gross level of funds that are necessary for the SEC, while at the same time averting the type of funding crisis that existed at the start of 1995. This proposal would result in a reduction to the existing section 6(b) registration fee rate of one-twenty-ninth of one percent thereby lowering the cost of raising capital in the United States. This proposal would also better match the services provided by the SEC with the fees that are collected under the securities laws. The Administration intends to work with Congress to secure early enactment of such a legislative proposal, possibly including such a package in a reconciliation bill.

Object Classification (in millions of dollars)

Identification code 50–0100–0–1–376		1995 actual	1996 est.	1997 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	153	166	171
11.3	Other than full-time permanent	1	.....	.....
11.5	Other personnel compensation	3	3	3
11.9	Total personnel compensation	157	169	174
12.1	Civilian personnel benefits	34	37	39
21.0	Travel and transportation of persons	6	7	7
23.2	Rental payments to others	25	25	26
23.3	Communications, utilities, and miscellaneous charges	7	7	7
24.0	Printing and reproduction	2	2	2
25.2	Other services	39	37	43
26.0	Supplies and materials	7	9	8
31.0	Equipment	11	8	8
99.0	Subtotal, direct obligations	288	301	314
99.0	Reimbursable obligations	.....	1	1
99.9	Total obligations	288	302	315

Personnel Summary

Identification code 50–0100–0–1–376	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	2,705	2,797	2,797
1005 Full-time equivalent of overtime and holiday hours	16	16	16
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment		11	11

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 50–0100–2–1–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations			–260
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			–260
23.95 New obligations			260
New budget authority (gross), detail:			
Current:			
40.00 Appropriation			–308
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			49
70.00 Total new budget authority (gross)			–260
Change in unpaid obligations:			
73.10 New obligations			–260
73.20 Total outlays (gross)			224
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation			–34
Outlays (gross), detail:			
86.90 Outlays from new current authority			–265
86.97 Outlays from new permanent authority			42
87.00 Total outlays (gross)			–224
Offsets:			
Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections			–49
Net budget authority and outlays:			
89.00 Budget authority			–309
90.00 Outlays			–273

SALARIES AND EXPENSES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 50–0100–4–1–376	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations			260
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			260
23.95 New obligations			–260
New budget authority (gross), detail:			
60.25 Appropriation (special fund, indefinite)			260
Change in unpaid obligations:			
73.10 New obligations			260
73.20 Total outlays (gross)			–224
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation			34
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			224
87.00 Total outlays (gross)			224
Net budget authority and outlays:			
89.00 Budget authority			260
90.00 Outlays			224

Public enterprise funds:

INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION

Program and Financing (in millions of dollars)

Identification code 50–4068–0–3–376	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.47 Unobligated balance available, start of year: Authority to borrow	1,000	1,000	1,000
22.00 New budget authority (gross)			
23.90 Total budgetary resources available for obligation	1,000	1,000	1,000
24.47 Unobligated balance available, end of year: Authority to borrow	1,000	1,000	1,000
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Securities Investor Protection Corporation (SIPC) may borrow up to $1 billion from the U.S. Department of the Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

SELECTIVE SERVICE SYSTEM

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101–4118 for civilian employees; and not to exceed $1,000 for official reception and representation expenses; $22,930,000: Provided, That during the current fiscal year, the President may exempt this appropriation for the provisions of 31 U.S.C. 1341, whenever he deems such action to be necessary in the interest of national defense: Provided further, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 90–0400–0–1–054	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	23	23	23
10.00 Total obligations	23	23	23
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	23	23	23
23.95 New obligations	–23	–23	–23
New budget authority (gross), detail:			
40.00 Appropriation	23	23	23

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 90–0400–0–1–054	1995 actual	1996 est.	1997 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	10	9	10
73.10 New obligations	23	23	23
73.20 Total outlays (gross)	–22	–22	–22
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	9	10	11
Outlays (gross), detail:			
86.90 Outlays from new current authority	17	17	17
86.93 Outlays from current balances	5	5	5
87.00 Total outlays (gross)	22	22	22
Net budget authority and outlays:			
89.00 Budget authority	23	23	23
90.00 Outlays	22	22	22

The Selective Service System continues to register men as they reach age 18, as required by law, and maintain a data base of registrant records. The System works to maintain a high degree of readiness to respond rapidly and efficiently to any crisis that requires a return to conscription.

Operational readiness remains one of the System's major objectives. Activities in support of this objective include all facets of National and Regional operational planning, maintenance of automated registration information, a comprehensive training program for the System's Reserve Forces, and a training program for the standby board members. Some of these activities have been scaled back consistent with recent budget reductions. However, the processing procedures of the Registrant Information Management System will continue to be tested and refined to ensure that they can fully satisfy the revised emergency manpower requirements of the Armed Forces.

In 1997, registration awareness activities will continue to concentrate on targeted urban areas and audiences where registration shortfalls are the greatest.

Object Classification (in millions of dollars)

Identification code 90–0400–0–1–054	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	8	8	8
11.8 Special personal services payments	5	5	5
11.9 Total personnel compensation	13	13	13
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	.....	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services	3	3	3
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	23	23	23

Personnel Summary

Identification code 90–0400–0–1–054	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	179	185	185
1005 Full-time equivalent of overtime and holiday hours	1	1	1

SMITHSONIAN INSTITUTION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed thirty years), and protection of buildings, facilities, and approaches; not to exceed $100,000 for services as authorized by 5 U.S.C. 3109; up to 5 replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees; $328,716,000, of which not to exceed $31,664,000 for the instrumentation program, collections acquisition, Museum Support Center equipment and move, exhibition reinstallation, the National Museum of the American Indian, the repatriation of skeletal remains program, research equipment, information management, and Latino programming shall remain available until expended and, including such funds as may be necessary to support American overseas research centers and a total of $125,000 for the Council of American Overseas Research Centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0100–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Museums and Research Institutes	159	157	167
00.02 Program Support and Outreach	43	34	36
00.03 Administration	31	32	34
00.04 Facilities Services	86	85	92
10.00 Total obligations	319	308	329
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	14	6	6
22.00 New budget authority (gross)	314	308	329
22.30 Unobligated balance expiring	–1	.....	.....
23.90 Total budgetary resources available for obligation	327	314	335
23.95 New obligations	–319	–308	–329
24.40 Unobligated balance available, end of year: Uninvested balance	6	6	6
New budget authority (gross), detail:			
40.00 Appropriation	314	308	329
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	45	48	52
73.10 New obligations	319	308	329
73.20 Total outlays (gross)	–315	–304	–335
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	48	52	46
Outlays (gross), detail:			
86.90 Outlays from new current authority	273	268	286
86.93 Outlays from current balances	42	36	49
87.00 Total outlays (gross)	315	304	335
Net budget authority and outlays:			
89.00 Budget authority	314	308	329
90.00 Outlays	315	304	335

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves

for reference and study purposes over one hundred million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 14 major exhibition buildings; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone Biological Area Fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33–0100–0–1–503	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	166	166	178
11.3 Other than full-time permanent	7	7	7
11.5 Other personnel compensation	6	6	6
11.9 Total personnel compensation	179	179	191
12.1 Civilian personnel benefits	38	38	42
13.0 Benefits for former personnel	.....	3	3
21.0 Travel and transportation of persons	3	3	3
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	7	7	8
23.3 Communications, utilities, and miscellaneous charges	22	25	28
24.0 Printing and reproduction	2	2	2
25.2 Other services	40	28	29
25.3 Purchases of goods and services from Government accounts	.....	3	3
26.0 Supplies and materials	17	9	9
31.0 Equipment	10	10	10
99.9 Total obligations	319	308	329

Personnel Summary

Identification code 33–0100–0–1–503	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	4,378	4,378	4,378
1005 Full-time equivalent of overtime and holiday hours	95	100	100

Museum Programs and Related Research (Special Foreign Currency Program)

Program and Financing (in millions of dollars)

Identification code 33–0102–0–1–503	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1	1	1
22.00 New budget authority (gross)	.....	.....	.....
23.90 Total budgetary resources available for obligation	.....	.....	.....
24.40 Unobligated balance available, end of year: Uninvested balance	1	1	1
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	2	1
73.10 New obligations	.....	.....	.....
73.20 Total outlays (gross)	–1	–1	–1
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	1	.....
Outlays (gross), detail:			
86.93 Outlays from current balances	1	1	1
87.00 Total outlays (gross)	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	1	1	1

This account supports a program of grants payable in excess U.S.-owned foreign currencies to U.S. universities, museums, and other institutions of higher learning. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

Construction and Improvements, National Zoological Park

For necessary expenses of planning, construction, remodeling, and equipping of buildings and facilities at the National Zoological Park, by contract or otherwise, $4,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0129–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	6	3	4
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	10	7	7
22.00 New budget authority (gross)	4	3	4
23.90 Total budgetary resources available for obligation	14	10	11
23.95 New obligations	–6	–3	–4
24.40 Unobligated balance available, end of year: Uninvested balance	7	7	7
New budget authority (gross), detail:			
40.00 Appropriation	4	3	4
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	4	4
73.10 New obligations	6	3	4
73.20 Total outlays (gross)	–5	–3	–5
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	4	4	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	1	2
86.93 Outlays from current balances	3	2	3
87.00 Total outlays (gross)	5	3	5
Net budget authority and outlays:			
89.00 Budget authority	4	3	4
90.00 Outlays	5	3	5

This account is used to finance repairs, alterations, and improvements to existing National Zoological Park facilities, including exhibits, located in Rock Creek Park; to prepare plans and specifications for construction; to perform renovations, restorations, and new construction implementing the master plan approved by the Commission of Fine Arts and the National Capital Planning Commission in 1973; and to make repairs, modifications, and improvements to the animal conservation and research center at Front Royal, VA. Funds requested in 1997 will continue major facility renovations and improvements at the Rock Creek Park location, and support essential programs for renovation, repair and preventive maintenance of existing facilities at Rock Creek and Front Royal.

Object Classification (in millions of dollars)

Identification code 33–0129–0–1–503	1995 actual	1996 est.	1997 est.
25.2 Other services	4	2	3
26.0 Supplies and materials	1	1	1
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	6	3	4

General and special funds—Continued

REPAIR AND RESTORATION OF BUILDINGS

For necessary expenses of repair and restoration of buildings owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), including not to exceed $10,000 for services as authorized by 5 U.S.C. 3109, $38,000,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or restoration of buildings of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0132–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.2)	37	25	38
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	28	15	15
22.00 New budget authority (gross)	24	25	38
23.90 Total budgetary resources available for obligation	52	40	53
23.95 New obligations	–37	–25	–38
24.40 Unobligated balance available, end of year: Uninvested balance	15	15	15
New budget authority (gross), detail:			
40.00 Appropriation	24	25	38
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	12	29	37
73.10 New obligations	37	25	38
73.20 Total outlays (gross)	–20	–17	–35
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	29	37	40
Outlays (gross), detail:			
86.90 Outlays from new current authority	10	10	15
86.93 Outlays from current balances	10	7	18
87.00 Total outlays (gross)	20	17	35
Net budget authority and outlays:			
89.00 Budget authority	24	25	38
90.00 Outlays	20	17	35

This account encompasses repairs, restorations, code compliance changes, and building system renewals of Smithsonian museum buildings, and facilities for storage and conservation of collections, research, and support.

CONSTRUCTION

For necessary expenses for construction, $13,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0133–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.06 National Museum of the American Indian	4	10	4
00.07 Natural History East Court building	1	5	.....
00.08 Air and Space Museum Extention	.....	1	5
00.09 Alterations and Modifications	5	2	4
10.00 Total obligations (object class 25.2)	10	18	13
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	34	41	41
22.00 New budget authority (gross)	18	18	13
23.90 Total budgetary resources available for obligation	52	59	54
23.95 New obligations	–10	–18	–13
24.40 Unobligated balance available, end of year: Uninvested balance	41	41	41
New budget authority (gross), detail:			
40.00 Appropriation	18	18	13
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	13	8	11
73.10 New obligations	10	18	13
73.20 Total outlays (gross)	–15	–15	–21
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	8	11	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	7	7	5
86.93 Outlays from current balances	8	8	16
87.00 Total outlays (gross)	15	15	21
Net budget authority and outlays:			
89.00 Budget authority	18	18	13
90.00 Outlays	15	15	21

This account provides funding for major new construction projects and minor construction, alterations, and modifications to existing facilities required to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education.

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, $10,875,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0302–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	10	10	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	10	11
23.95 New obligations	–10	–10	–11
New budget authority (gross), detail:			
40.00 Appropriation	10	10	11
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	2	3
73.10 New obligations	10	10	11
73.20 Total outlays (gross)	–8	–9	–9
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	3	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	8	8	9
86.93 Outlays from current balances	.....	.....	2
87.00 Total outlays (gross)	8	9	9
Net budget authority and outlays:			
89.00 Budget authority	10	10	11
90.00 Outlays	8	9	9

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Per-

forming Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 33–0302–0–1–503	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
23.3 Communications, utilities, and miscellaneous charges	2	2	3
25.2 Other services	5	5	5
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	10	10	11

Personnel Summary

Identification code 33–0302–0–1–503	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	55	55	52

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

CONSTRUCTION

For necessary expenses of capital repair and rehabilitation of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, $9,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0303–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Construction	36	11	11
10.00 Total obligations (object class 25.2)	36	11	11
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	32	6	4
22.00 New budget authority (gross)	9	9	9
22.40 Capital transfer to general fund	1	.....	.....
23.90 Total budgetary resources available for obligation	42	15	13
23.95 New obligations	–36	–11	–11
24.40 Unobligated balance available, end of year: Uninvested balance	6	4	2
New budget authority (gross), detail:			
40.00 Appropriation	9	9	9
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	29	27
73.10 New obligations	36	11	11
73.20 Total outlays (gross)	–7	–13	–20
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	29	27	18
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	3	3
86.93 Outlays from current balances	5	9	17
87.00 Total outlays (gross)	7	13	20
Net budget authority and outlays:			
89.00 Budget authority	9	9	9
90.00 Outlays	7	13	20

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, $53,899,000, of which not to exceed $3,026,000 for the special exhibition program shall remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0200–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	53	53	54
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	2	2	1
22.00 New budget authority (gross)	53	51	54
23.90 Total budgetary resources available for obligation	55	53	55
23.95 New obligations	–53	–53	–54
24.40 Unobligated balance available, end of year: Uninvested balance	2	1	1
New budget authority (gross), detail:			
40.00 Appropriation	53	51	54
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	4	4	4
73.10 New obligations	53	53	54
73.20 Total outlays (gross)	–53	–52	–54
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	4	4	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	47	46	49
86.93 Outlays from current balances	6	6	5
87.00 Total outlays (gross)	53	52	54
Net budget authority and outlays:			
89.00 Budget authority	53	51	54
90.00 Outlays	53	52	54

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 33–0200–0–1–503	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	27	27	29
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	30	30	32

General and special funds—Continued

NATIONAL GALLERY OF ART—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 33–0200–0–1–503	1995 actual	1996 est.	1997 est.
12.1 Civilian personnel benefits	6	7	7
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services	7	6	5
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	53	53	54

Personnel Summary

Identification code 33–0200–0–1–503	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	792	792	792
1005 Full-time equivalent of overtime and holiday hours	44	44	44

NATIONAL GALLERY OF ART

REPAIR, RESTORATION AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, $5,942,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0201–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	5	9	6
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	5	5	.....
22.00 New budget authority (gross)	6	4	6
23.90 Total budgetary resources available for obligation	11	9	6
23.95 New obligations	–5	–9	–6
24.40 Unobligated balance available, end of year: Uninvested balance	5	.....	.....
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	4	4	6
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	.....	.....
70.00 Total new budget authority (gross)	6	4	6
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	5	6
73.10 New obligations	5	9	6
73.20 Total outlays (gross)	–1	–9	–7
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	5	6	5
Outlays (gross), detail:			
86.90 Outlays from new current authority	.....	.....	1
86.93 Outlays from current balances	1	8	5
86.97 Outlays from new permanent authority	2	.....	.....
87.00 Total outlays (gross)	1	9	7
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–2	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	4	4	6
90.00 Outlays	1	9	7

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and study. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 33–0201–0–1–503	1995 actual	1996 est.	1997 est.
25.2 Other services	1	1	1
32.0 Land and structures	3	7	4
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	5	9	6

Personnel Summary

Identification code 33–0201–0–1–503	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	3	3	3

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, $5,840,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 33–0400–0–1–503	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	9	6	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	6	6
23.95 New obligations	–9	–6	–6
New budget authority (gross), detail:			
40.00 Appropriation	9	6	6
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	5	3
73.10 New obligations	9	6	6
73.20 Total outlays (gross)	–6	–8	–6
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	5	3	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	5	4	4
86.93 Outlays from current balances	1	4	2
87.00 Total outlays (gross)	6	8	6
Net budget authority and outlays:			
89.00 Budget authority	9	6	6
90.00 Outlays	6	8	6

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident

body of fellowship awardees, through conferences, publication and dialog.

Object Classification (in millions of dollars)

Identification code 33–0400–0–1–503	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	2	1	1
25.3 Purchases of goods and services from Government accounts	2	.....	.....
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total obligations	9	6	6

Personnel Summary

Identification code 33–0400–0–1–503	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	43	41	40

STATE JUSTICE INSTITUTE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by The State Justice Institute Authorization Act of 1992 (Public Law 102–572) $5,000,000, to remain available until expended: Provided, That not to exceed $2,500 shall be available for official reception and representation expenses.

Note.—A regular 1996 appropriation for this account had not been enacted at this time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 48–0052–0–1–752	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 General administration	2	2	2
00.02 Grants	13	3	3
10.00 Total obligations	14	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	5	6	6
22.00 New budget authority (gross)	14	5	5
23.90 Total budgetary resources available for obligation	19	–13	–13
23.95 New obligations	–14	–5	–5
24.40 Unobligated balance available, end of year: Uninvested balance	6	6	6
New budget authority (gross), detail:			
40.00 Appropriation	14	5	5
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	13	13	13
73.10 New obligations	14	5	5
73.20 Total outlays (gross)	–14	–5	–5
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	13	13	13
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	1	1
86.93 Outlays from current balances	10	4	2
87.00 Total outlays (gross)	14	5	5
Net budget authority and outlays:			
89.00 Budget authority	14	5	5
90.00 Outlays	13	5	5

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. Appropriations in 1997 are intended to provide for continuation of Institute operations at a reduced level. In addition to the $5 million requested for State Justice Institute, the President's Budget requests $28 million in the Office of Justice Programs' (Department of Justice) Violent Crime Reduction Trust Fund for assistance to State Courts.

Object Classification (in millions of dollars)

Identification code 48–0052–0–1–752	1995 actual	1996 est.	1997 est.
41.0 Direct obligations: Grants, subsidies, and contributions	13	5	5
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	14	5	5

TENNESSEE VALLEY AUTHORITY

Federal Funds

Public enterprise funds:

TENNESSEE VALLEY AUTHORITY FUND

For the purpose of carrying out the provisions of the Tennessee Valley Authority Act of 1933, as amended (16 U.S.C. ch. 12A), including purchase, hire, maintenance, and operation of aircraft, and purchase and hire of passenger motor vehicles, [$109,169,000] *$120,000,000*, to remain available until expended. *(Energy and Water Development Appropriations Act, 1996)*

Program and Financing (in millions of dollars)

Identification code 64–4110–0–3–999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Operating expenses:			
00.01 Water and Land Management	76	66	75
00.04 Economic development	23	22	16
00.05 Environmental Research	27	19	20
00.06 Power Program: Power supply and use	4,568	4,856	4,810
00.07 General services	36	12	13
00.91 Total operating expenses	4,730	4,975	4,934
Capital investment:			
01.01 Water and Land Management	21	18	15
01.04 Chickamauga Lock	.....	1	4
01.06 Power Program: Power supply and use	1,972	1,303	1,003
01.20 Defeasance Trust	281	229	50
01.91 Total capital investment	2,274	1,551	1,072
10.00 Total obligations	7,004	6,526	6,006
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Uninvested balance	19	21	13
22.00 New budget authority (gross)	7,006	6,518	6,003
23.90 Total budgetary resources available for obligation	7,025	6,539	6,016
23.95 New obligations	–7,004	–6,526	–6,006
24.90 Unobligated balance available, end of year: Uninvested balance	21	13	10
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	138	109	120
Permanent:			
67.15 Authority to borrow (indefinite) (Public Law 96–97)	1,015	605	114
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	5,873	5,868	5,830
68.27 Capital transfer to general fund	–20	–63	–61
68.90 Spending authority from offsetting collections (total)	5,853	5,805	5,769
70.00 Total new budget authority (gross)	7,006	6,518	6,003

Public enterprise funds—Continued

TENNESSEE VALLEY AUTHORITY FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 64–4110–0–3–999	1995 actual	1996 est.	1997 est.
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.47 Authority to borrow	3,808	6,711	6,759
72.90 Uninvested balance	314	89	100
72.91 U.S. Securities: Par value	3,954	1,242	1,220
72.99 Total unpaid obligations, start of year	8,076	8,042	8,079
73.10 New obligations	7,004	6,526	6,006
73.20 Total outlays (gross)	–7,038	–6,489	–5,843
Unpaid obligations, end of year:			
Obligated balance:			
74.47 Authority to borrow	6,711	6,759	8,139
74.90 Fund balance	89	100	103
74.91 U.S. Securities: Par value	1,242	1,220	.....
74.99 Total unpaid obligations, end of year	8,042	8,079	8,242
Outlays (gross), detail:			
86.90 Outlays from new current authority	35	27	30
86.93 Outlays from current balances	175	83	100
86.97 Outlays from new permanent authority	5,816	5,788	5,754
86.98 Outlays from permanent balances	1,012	593	–42
87.00 Total outlays (gross)	7,038	6,489	5,843
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–179	–180	–185
88.40 Non-Federal sources	–5,545	–5,570	–5,573
88.90 Total, offsetting collections (cash)	–5,724	–5,750	–5,758
Net budget authority and outlays:			
89.00 Budget authority	1,282	768	245
90.00 Outlays	1,313	739	85

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed $30 billion.

Status of Direct Loans (in millions of dollars)

Identification code 64–4110–0–3–999	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	.....	.....	.....
1131 Direct loan obligations not subject to limitation	45	55	106
1150 Total direct loan obligations	45	55	106
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	156	150	152
1231 Disbursements: Direct loan disbursements	45	55	106
1251 Repayments: Repayments and prepayments	–50	–51	–74
1263 Write-offs for default: Direct loans	–1	–2	–1
1290 Outstanding, end of year	150	152	183

Status of Guaranteed Loans (in millions of dollars)

Identification code 64–4110–0–3–999	1995 actual	1996 est.	1997 est.
Cumulative balance of guaranteed loans outstanding:			
2231 Disbursements of new guaranteed loans	1	.....	1
2251 Repayments and prepayments	–1	.....	–1

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. Its program in 1997 will be financed from three sources: (1) appropriations by the Congress; (2) proceeds available from current power operations and borrowings against future power revenues; and (3) proceeds available from nonpower activities.

The following table provides detailed information on programs financed by power proceeds and borrowings and programs financed by appropriations and nonpower proceeds.

POWER PROGRAM

	Power proceeds and borrowings		
	1995 actual	*1996 est.*	*1997 est.*
Program by activities:			
Operating expenses:			
Power program: Power supply and use (total operating expenses)	4,568	4,856	4,810
Capital investment:			
Power program: Power supply and use (total capital investment)	1,972	1,303	1,003
Total obligations	6,540	6,159	5,813
Budget authority (gross)	6,540	6,159	5,813
Budget Authority:			
Permanent:			
Authority to borrow	1,015	605	114
Spending authority from offsetting collections (new)	5,544	5,617	5,760
Capital transfer to general fund	–20	–63	–61
Spending authority from offsetting collections (total)	5,524	5,554	5,699
Relation of obligations to outlays:			
Total obligations	6,540	6,159	5,813
Obligated balance, start of year:			
Authority to borrow	3,996	4,125	4,148
Obligated balance, end of year	–4,035	–4,148	–4,317
Outlays (gross)	6,500	6,136	5,644
Adjustments to budget authority and outlays			
Deductions for offsetting collections:			
Federal funds	–179	–180	–184
Non-federal sources	–5,345	–5,374	–5,515
Total, offsetting collections	–5,524	–5,554	–5,699
Budget Authority (net)	1,016	605	114
Outlays (net)	976	582	–55

TVA's program paid for by appropriations.—TVA's legislated mandate is to provide for "the unity of land and water and men" through the integrated, sustainable development of the Tennessee River basin. As a federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 125 counties in seven states.

Federal appropriations do not support TVA's power business and are prohibited by law from application to the power program which operates as an accountable business with the rate payer income from 7.6 million customers.

Appropriations provide for essential public services to maintain and operate public resources—navigable channels, flood control, recreation, technology development and non-regulatory, community-based programs that protect the water quality of the Tennessee River system. This dynamic infrastructure supports appropriated investments in small to medium-sized business start-up and retention in the largely rural communities of the Tennessee Valley.

Water and Land Management.—Funds TVA's statutory obligation to operate 54 dams and reservoirs to regulate streamflow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of 14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and

manage 630,000 hectares (1.7 million acres) of land; provide services and education to watershed communities; operate public recreation areas at 36 dam reservations, 160 camping and day-use areas, 90 stream access sites; meet federal regulatory law requirements; partially fund Land Between The Lakes as the hub of a tourism and recreation industry that annually generates $400 million in economic activity in nine contiguous counties.

Economic Development.—Partially funds initiatives to increase the production of goods and services and generate high-value, sustainable jobs in the seven states of the Tennessee Valley. This program is scheduled to phase out in 1999, with activities now funded here continuing, as appropriate, with funding from public/private partnerships.

Environmental Research Center.—Partially funds the development and introduction of technologies that provide new and economical ways for industry to prevent and correct environmental problems that are the predominant barriers to business growth. TVA continues in its efforts to transition funding requirements from appropriations to leveraging contracts and support from outside sources and private partners. Federal funding at ERC will be phased out over four years (1996–1999).

Chickamauga Lock and Dam.—Funds (1) the repair of concrete growth impacting water barrier integrity and (2) replacement of the navigation lock which has irreparably deteriorated and is inadequate for existing and projected river traffic.

TVA's Power Program.—TVA is the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at $63,000,000 in 1997. Power generating facilities are financed from power proceeds and borrowings.

APPROPRIATIONS AND NONPOWER PROCEEDS

Program by activities:	*1995 actual*	*1996 est.*	*1997 est.*
Operating expenses:			
1. Water and land management	76	66	75
2. Economic development	23	22	16
3. Environmental research	28	19	20
4. General services	36	12	13
Total operating expenses	163	119	124
Capital investment:			
1. Water and land management	21	18	15
2. Chickamauga	.....	1	4
Total capital investment	21	19	19
Total obligations	184	138	143
Unobligated balance available, start of year, Fund balance	19	21	13
Unobligated balance available, end of year: Fund balance	21	13	10
Budget authority (gross)	186	130	140
Budget authority:			
Current: appropriation	138	109	120
Permanent:			
Spending authority from offsettng collections (new)	48	21	20
Capital transfer to general fund	.....	.....	–1
Spending authority for offsetting collections (total)	48	21	19
Relation of obligations to outlays:			
Total obligations	184	138	143
Obligated balance, start of year: Fund balance	126	53	60
Obligated balance, end of year: Fund balance	–53	–60	–53
Outlays (gross)	257	131	150
Adjustments to budget authority and outlays:			
Deductions for offsetting collections:			
Federal funds	.....	–1	–1
Non-federal sources	–48	–20	–19
Total, offsetting collections	–48	–21	–20
Budget authority (net)	138	109	120
Outlays (net)	209	110	130

DEFEASANCE TRUST

Program by activities:	*1995 actual*	*1996 est.*	*1997 est.*
Capital investment	281	229	50
Total obligations	281	229	50
Budget authority (gross)	281	229	50
Relation of obligations to outlays:			
Total obligations	281	229	50
Outlays (gross)	281	229	50
Adjustments to budget authority and outlays:	–153	–175	–39
Budget authority (net)	128	55	11
Outlays (net)	128	55	11
Budget authority:			
Permanent:			
Spending authority from offsetting collections (total)	281	229	50

General services.—Operating costs for general service activities include reimbursable services furnished at the request and expense of other agencies.

Financing.—Amounts estimated to become available in 1997 are to be derived from (1) the requested appropriation of $120,000,000; (2) nonpower revenues and receipts of $19,348,000; and (3) power revenues and receipts of $5,699,000,000. A summary of the application of appropriations follows:

APPLICATION OF APPROPRIATIONS

[In thousands of dollars]

Operations:	*1995 actual*	*1996 est.*	*1997 est.*
1. Water and Land Management	73,232	67,368	68,000
2. Economic development	22,502	16,000	16,000
3. Environmental research	27,399	17,000	17,000
Total operations	123,133	100,368	101,000
Capital investment:			
1. Water and Land Management	15,495	18,301	15,000
2. Chickamauga Lock	245	500	4,000
Total capital investment	15,740	18,801	19,000
Total appropriations	137,873	109,169	120,000
Unobligated balance brought forward	274	1,818	.....
Unobligated balance carried forward	–1,818	.....	.....
Obligations, appropriated funds	136,326	110,987	120,000

Operating results and financial conditions.—Only the power program and fertilizer introduction are intended to be self-supporting; the net expense of nonpower programs is covered largely by appropriation funding. Payments to the Treasury from power proceeds in 1997 are estimated at $61,000,000—$41,000,000 as a dividend (return on the appropriation investment in the power program) and $20,000,000 as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to increase by $6,000,000 during 1997.

Total assets are estimated to increase by $314,000,000 during 1997. The estimate of liabilities at September 30, 1997, is $778,000,000 less than the estimate at September 30, 1996. Total Government equity at September 30, 1997, is estimated to be $34,000,000 greater than that at September 1996. This change includes the requested appropriation for 1997 and the net income from power operations, less payments to the Treasury and the net expense of nonpower programs.

Statement of Operations (in millions of dollars)

Identification code 64–4110–0–3–999	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	5,524	5,375	5,554	5,699
0102 Expense	–5,309	–5,365	–5,521	–5,636
0109 Net income or loss (–)	215	10	33	63

Public enterprise funds—Continued

TENNESSEE VALLEY AUTHORITY FUND—Continued

Balance Sheet (in millions of dollars)

Identification code 64–4110–0–3–999	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	152	131	133	140
Investments in US securities:				
1106 Receivables, net	59	26	26	28
Non-Federal assets:				
1201 Investments in non-Federal securities, net	150	260	406	439
1206 Receivables, net	740	772	780	821
1207 Advances and prepayments	2	6	6	6
Net value of assets related to pre–1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	279	293	296	308
1603 Allowance for estimated uncollectible loans and interest (–)	–5	–5	–5	–6
1604 Direct loans and interest receivable, net	274	288	291	302
1699 Value of assets related to direct loans	274	288	291	302
Other Federal assets:				
1801 Cash and other monetary assets	6,090	3,530	3,569	3,713
1802 Inventories and related properties	347	355	359	374
1803 Property, plant and equipment, net	28,976	30,137	30,679	30,740
1999 Total assets	36,790	35,505	36,249	36,563
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	51	–6	30	30
2102 Interest payable	76	69	69	69
2104 Resources payable to Treasury	7	4,559	4,559	4,559
Non-Federal liabilities:				
2201 Accounts payable	719	728	736	774
2202 Interest payable	347	386	396	396
2203 Debt	25,865	23,750	24,396	24,396
2207 Other	4,636	1,025	1,103	1,372
2999 Total liabilities	31,701	30,511	31,289	31,596
NET POSITION:				
3200 Invested capital	648	628	608	588
3300 Cumulative results of operations	4,441	4,366	4,352	4,379
3999 Total net position	5,089	4,994	4,960	4,967
4999 Total liabilities and net position	36,790	35,505	36,249	36,563

Note.—Not included in these figures are the following undelivered orders (in millions of dollars):

	1994 actual	*1995 actual*	*1996 est.*	*1997 est.*
Coal	2,253	2,175	2,000	2,000
Nuclear fuel	−3	−10		
Total	2,250	2,165	2,000	2,000

Object Classification (in millions of dollars)

Identification code 64–4110–0–3–999	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	51	53
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	10		
11.9 Total personnel compensation	72	51	53
12.1 Civilian personnel benefits	15	14	15
21.0 Travel and transportation of persons	1	4	4
23.2 Rental payments to others	15	15	15
25.1 Advisory and assistance services	20	7	9
25.2 Other services	37	33	33
25.7 Operation and maintenance of equipment		1	1
26.0 Supplies and materials	20	9	9
31.0 Equipment	3	2	2
33.0 Investments and loans	1		
99.0 Subtotal, direct obligations	184	136	141
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	765	768	775
11.3 Other than full-time permanent	18	15	16
11.5 Other personnel compensation	128	130	130
11.9 Total personnel compensation	911	913	921
12.1 Civilian personnel benefits	347	299	311
21.0 Travel and transportation of persons	22	25	18
22.0 Transportation of things	170	158	124
23.2 Rental payments to others	71	95	71
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	908	344	271
25.2 Other services	251	447	341
25.7 Operation and maintenance of equipment		9	9
26.0 Supplies and materials	1,561	1,439	1,128
31.0 Equipment	122	136	106
32.0 Land and structures	2	3	3
33.0 Investments and loans	283	245	192
41.0 Grants, subsidies, and contributions	252	254	263
42.0 Insurance claims and indemnities	–2	1	1
43.0 Interest and dividends	1,920	2,020	2,104
99.0 Subtotal, reimbursable obligations	6,820	6,390	5,865
99.9 Total obligations	7,004	6,526	6,006

Personnel Summary

Identification code 64–4110–0–3–999	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	1,305	1,063	1,120
1005 Full-time equivalent of overtime and holiday hours	40		
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	15,311	15,369	15,312
2005 Full-time equivalent of overtime and holiday hours	1,556	1,280	1,280

UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

Unavailable Collections (in millions of dollars)

Identification code 95–8295–0–7–551	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Premiums, combined benefit fund & 1992 pension plan, UMWA	266	281	251
02.02 Transfers from the UMWA pension plan	70		
02.03 Transfers from abandoned mine reclamation fund		47	70
02.99 Total receipts	336	328	321
Appropriation:			
05.01 United mine workers of America 1992 benefit plan	–10	–11	–11
05.02 United mine workers of America combined benefit fund	–326	–317	–310
05.99 Subtotal appropriation	–336	–328	–321
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–8295–0–7–551	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	326	317	310
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	326	317	310
23.95 New obligations	–326	–317	–310
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	326	317	310
Change in unpaid obligations:			
73.10 New obligations	326	317	310
73.20 Total outlays (gross)	–326	–317	–310
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	326	317	310

87.00 Total outlays (gross)	326	317	310
Net budget authority and outlays:			
89.00 Budget authority	326	317	310
90.00 Outlays	326	317	310

The Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; transfers from an overfunded United Mine Workers pension fund; and, commencing in 1996, transfers from the Abandoned Mine Land Reclamation Fund.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95–8260–0–7–551	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	10	11	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	11	11
23.95 New obligations	–10	–11	–11
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	10	11	11
Change in unpaid obligations:			
73.10 New obligations	10	11	11
73.20 Total outlays (gross)	–10	–11	–11
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	10	11	11
87.00 Total outlays (gross)	10	11	11
Net budget authority and outlays:			
89.00 Budget authority	10	11	11
90.00 Outlays	10	11	11

The Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of miners retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signatories to the 1988 labor agreement with the United Mine Workers of America.

UNITED STATES ENRICHMENT CORPORATION

Federal Funds

Public enterprise fund:

UNITED STATES ENRICHMENT CORPORATION FUND

Program and Financing (in millions of dollars)

Identification code 95–4054–0–3–271	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating Expenses	1,515	1,602	1,360
00.02 Capital Expenses	21	22	32
10.00 Total obligations	1,536	1,624	1,392
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	340	412	251
22.00 New budget authority (gross)	1,629	1,543	1,549
22.20 Unobligated balance transferred	34	40	.....
22.40 Capital transfer to general fund	–55	–120	–120
23.90 Total budgetary resources available for obligation	1,948	1,875	1,680
23.95 New obligations	–1,536	–1,624	–1,392
24.90 Unobligated balance available, end of year: Fund balance	412	251	288
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	1,629	1,543	1,549
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	488	750	977
73.10 New obligations	1,536	1,624	1,392
73.20 Total outlays (gross)	–1,274	–1,397	–1,345
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance: Uninvested balance	750	977	1,024
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	1,274	1,397	1,345
87.00 Total outlays (gross)	1,274	1,397	1,345
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–1,629	–1,543	–1,549
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	–355	–146	–204

The United States Enrichment Corporation (USEC or the Corporation) was created by the Energy Policy Act of 1992 and began operations on July 1, 1993, when the Department of Energy's (DOE) uranium enrichment activities were transferred to USEC. Uranium enriched by USEC or purchased for resale is sold globally to utilities for use as fuel for nuclear power plants. USEC was created as a wholly owned government corporation as the first step in a series of actions designed to culminate in privatization, which is proposed to occur during FY 1996. These steps included restructuring DOE's uranium enrichment services business, establishing a track record of successful operations, and finally, selling the business to the private sector. Uranium enrichment is the only segment in the nuclear fuel cycle operated by the U.S. Government. The Government enrichment facilities were originally built over forty years ago to support military programs, but they are no longer needed for this purpose. By moving the uranium enrichment business out of the Government, and by applying private sector discipline, USEC will remain a viable competitor in the global market for uranium enrichment services and preserve a source of domestic enrichment capacity. As a private corporation, USEC will be able to make the financial investment necessary to implement the Advanced Vapor Laser Isotope Separation (AVLIS), the next generation of uranium enrichment technology. USEC's ability to commercialize AVLIS, which is expected to lower production costs, should enhance the long-term viability of the Corporation.

Budget Program.—During FY 1995, USEC maintained its position as the world leader in uranium enrichment by pursuing value-added sales and aggressively controlling costs. USEC also continued with AVLIS technology pre-deployment activities. In addition, USEC, acting as executive agent to the Government, received from the former Soviet Union the first shipments of LEU blended from HEU. On June 30, 1995, the Corporation presented a plan for privatization to the President and Congress, which outlined a dual-path approach to privatization either through a Merger and Acquisition transaction or an Initial Public Offering.

Public enterprise fund—Continued

UNITED STATES ENRICHMENT CORPORATION FUND—Continued

In FY 1996, the Administration plans to sell USEC to the private sector with expected net proceeds to the Treasury from the sale (less transaction costs) of approximately $1.6 billion.

Financing.—$34 million in unobligated balances was transferred to the Corporation by DOE during FY 1995 in partial payment in accordance with a determination order issued by the Office of Management and Budget. An additional $60 million is expected to be transferred to the Corporation by DOE in FY 1996 as final payment for this determination order, comprised of $40 million in unobligated balances and $20 million in inventories.

Operating Results.—The Corporation's net income for FY 1995 was $373 million. Annual sales are expected to increase in FY 1996 and AVLIS pre-deployment activities will be continued. USEC paid a $55 million dividend to the Treasury in FY 1995 and a $120 million dividend in FY 1996 in accordance with the Energy Policy Act of 1992 and expects net proceeds to the Treasury from privatization to be approximately $1.6 billion in FY 1996.

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	*1996 est.*	*1997 est.*
Enacted/requested:			
Budget Authority	.....	.....	.....
Outlays	–355	–146	–204
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	.....	.....
Outlays	.....	239	204
Total:			
Budget Authority	.....	.....	.....
Outlays	–355	93	.....

Note.—Receipts from the sale of USEC appears in the General Fund Receipt Accounts table in the Treasury Chapter.

Statement of Operations (in millions of dollars)

Identification code 95–4054–0–3–271	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	1,421	1,395	1,516	1,549
0102 Expense	–1,044	–1,022	–1,227	–1,273
0109 Net income or loss (–)	377	373	289	276

Balance Sheet (in millions of dollars)

Identification code 95–4054–0–3–271	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	828	1,162	1,228	1,312
Investments in US securities:				
1106 Receivables, net	55	92	56	56
1107 Advances and prepayments	24	23	30	30
Non-Federal assets:				
1206 Receivables, net	220	156	169	169
1207 Advances and prepayments	60	127	128	.....
Other Federal assets:				
1802 Inventories and related properties	1,557	1,655	1,806	1,904
1803 Property, plant and equipment, net	86	99	109	119
1999 Total assets	2,830	3,314	3,526	3,590
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	109	190	195	195
2207 Other	1,059	1,156	1,194	1,102
2999 Total liabilities	1,168	1,346	1,389	1,297
NET POSITION:				
3200 Invested capital	1,251	1,240	1,240	1,240
3300 Cumulative results of operations	411	728	897	1,053
3999 Total net position	1,662	1,968	2,137	2,293
4999 Total liabilities and net position	2,830	3,314	3,526	3,590

Object Classification (in millions of dollars)

Identification code 95–4054–0–3–271	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	9	11	11
12.1 Civilian personnel benefits	2	3	3
21.0 Travel and transportation of persons	1	2	2
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	567	751	503
25.1 Advisory and assistance services	19	25	25
25.2 Other services	576	629	479
26.0 Supplies and materials	359	200	366
31.0 Equipment	1	1	1
99.0 Subtotal, reimbursable obligations	1,536	1,624	1,392
99.9 Total obligations	1,536	1,624	1,392

Personnel Summary

Identification code 95–4054–0–3–271	1995 actual	1996 est.	1997 est.
2001 Total compensable workyears: Full-time equivalent employment	124	163	180

UNITED STATES ENRICHMENT CORPORATION

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4054–4–3–271	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating Expenses	.....	–113	–1,360
00.02 Capital Expenses	.....	–10	–32
10.00 Total obligations	.....	–123	–1,392
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	.....	.....	–251
22.00 New budget authority (gross)	.....	–362	–1,549
22.10 Resources available from recoveries of prior year obligations	.....	977	.....
22.40 Capital transfer to general fund	.....	–989	120
23.90 Total budgetary resources available for obligation	.....	–374	–1,680
23.95 New obligations	.....	123	1,392
24.90 Unobligated balance available, end of year: Fund balance	.....	–251	–288
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	.....	–362	–1,549
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	.....	.....	–977
73.10 New obligations	.....	–123	–1,392
73.20 Total outlays (gross)	.....	123	1,345
73.45 Adjustments in unexpired accounts	.....	–977	.....
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance: Uninvested balance	.....	–977	–1,024
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	.....	–123	–1,345
87.00 Total outlays (gross)	.....	–123	–1,345
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	.....	362	1,549
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	.....	239	204

Statement of Operations (in millions of dollars)

Identification code 95–4054–4–3–271	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	.....	.....	–313	–1,549
0102 Expense	.....	.....	276	1,273

0109	Net income or loss (–)	.....	.....	–37	–276

Balance Sheet (in millions of dollars)

Identification code 95–4054–4–3–271		1994 actual	1995 actual	1996 est.	1997 est.
	ASSETS:				
	Federal assets:				
1101	Fund balances with Treasury	.....	.....	–1,228	–1,312
	Investments in US securities:				
1106	Receivables, net	.....	.....	–56	–56
1107	Advances and prepayments	.....	.....	–30	–30
	Non-Federal assets:				
1206	Receivables, net	.....	.....	–169	–169
1207	Advances and prepayments	.....	.....	–128	.....
	Other Federal assets:				
1802	Inventories and related properties	.....	.....	–1,806	–1,904
1803	Property, plant and equipment, net	.....	.....	–109	–119
1999	Total assets	.....	.....	–3,526	–3,590
	LIABILITIES:				
	Non-Federal liabilities:				
2201	Accounts payable	.....	.....	–195	–195
2207	Other	.....	.....	–1,194	–1,102
2999	Total liabilities	.....	.....	–1,389	–1,297
	NET POSITION:				
3200	Invested capital	.....	.....	–1,240	–1,240
3300	Cumulative results of operations	.....	.....	–897	–1,053
3999	Total net position	.....	.....	–2,137	–2,293
4999	Total liabilities and net position	.....	.....	–3,526	–3,590

Object Classification (in millions of dollars)

Identification code 95–4054–4–3–271		1995 actual	1996 est.	1997 est.
11.1	Personnel compensation: Full-time permanent	.....	–3	–11
12.1	Civilian personnel benefits	.....	–1	–3
21.0	Travel and transportation of persons	.....	–1	–2
22.0	Transportation of things	.....	.....	–1
23.2	Rental payments to others	.....	.....	–1
23.3	Communications, utilities, and miscellaneous charges	.....	–125	–503
25.1	Advisory and assistance services	.....	–8	–25
25.2	Other services	.....	78	–479
26.0	Supplies and materials	.....	–63	–366
31.0	Equipment	.....	.....	–1
99.0	Subtotal, reimbursable obligations	.....	–123	–1,392
99.9	Total obligations	.....	–123	–1,392

Personnel Summary

Identification code 95–4054–4–3–271		1995 actual	1996 est.	1997 est.
2001	Total compensable workyears: Full-time equivalent employment	.....	–70	–180

UNITED STATES HOLOCAUST MEMORIAL COUNCIL

Federal Funds

General and special funds:

HOLOCAUST MEMORIAL COUNCIL

For expenses of the Holocaust Memorial Council, as authorized by Public Law 96–388, as amended, $31,262,000; of which $1,575,000 for the Museum's repair and rehabilitation program, and $1,264,000 for the Museum's exhibitions program shall remain available until expended. (36 U.S.C. 1401).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–3300–0–1–808		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
10.00	Total obligations	25	27	31
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance	.....	2	2
22.00	New budget authority (gross)	27	27	31
23.90	Total budgetary resources available for obligation	27	29	33
23.95	New obligations	–25	–27	–31
24.40	Unobligated balance available, end of year: Uninvested balance	2	2	2
	New budget authority (gross), detail:			
40.00	Appropriation	27	27	31
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	8	7	6
73.10	New obligations	25	27	31
73.20	Total outlays (gross)	–26	–27	–31
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	7	6	7
	Outlays (gross), detail:			
86.90	Outlays from new current authority	21	23	26
86.93	Outlays from current balances	5	6	5
87.00	Total outlays (gross)	26	27	31
	Net budget authority and outlays:			
89.00	Budget authority	27	27	31
90.00	Outlays	25	27	31

The Council operates a permanent living memorial museum to the victims of the Holocaust. The memorial, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

Object Classification (in millions of dollars)

Identification code 95–3300–0–1–808		1995 actual	1996 est.	1997 est.
	Personnel compensation:			
11.1	Full-time permanent	6	8	9
11.3	Other than full-time permanent	2	1	1
11.5	Other personnel compensation	1	.....	.....
11.9	Total personnel compensation	9	9	10
12.1	Civilian personnel benefits	2	2	3
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	.....	.....	1
23.3	Communications, utilities, and miscellaneous charges	2	2	2
24.0	Printing and reproduction	.....	1	1
25.2	Other services	3	5	6
25.4	Operation and maintenance of facilities	5	5	5
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	1	1
99.9	Total obligations	25	27	31

Personnel Summary

Identification code 95–3300–0–1–808		1995 actual	1996 est.	1997 est.
	Total compensable workyears:			
1001	Full-time equivalent employment	187	234	246
1005	Full-time equivalent of overtime and holiday hours	4	4	4

UNITED STATES INFORMATION AGENCY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses, not otherwise provided for, necessary to enable the United States Information Agency, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), to carry out international communication, educational and cultural activities; and to carry out related activities authorized by law, including employment, without regard to civil service and classification laws, of persons on a tem-

General and special funds—Continued

SALARIES AND EXPENSES—Continued

porary basis (not to exceed $700,000 of this appropriation), as authorized by 22 U.S.C. 1471, and entertainment, including official receptions, within the United States, not to exceed $25,000 as authorized by 22 U.S.C. 1474(3); $468,016,000: Provided, That not to exceed $1,400,000 may be used for representation abroad as authorized by 22 U.S.C. 1452 and 4085: Provided further, That not to exceed $7,615,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching, library, motion pictures, student advising and counseling, and publication programs as authorized by section 810 of the United States Information and Educational Exchange Act: Provided further, That not to exceed $1,100,000, to remain available until expended, may be used to carry out projects involving security construction and related improvements for Agency facilities not physically located together with Department of State facilities abroad.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0201–0–1–154		1995 actual	1996 est.	1997 est.
	Obligations by program activity:			
	Direct program:			
00.01	Overseas missions	290	279	277
00.04	Educational and Cultural Affairs	17	16	28
00.06	Bureau of information	31	31	31
00.07	Agency direction and management	70	61	57
00.08	Administrative support from other agencies	67	72	75
00.91	Total direct program	475	459	468
01.01	Reimbursable program	11	10	10
10.00	Total obligations	486	469	478
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year: Uninvested balance	6	6	.....
22.00	New budget authority (gross)	488	463	478
23.90	Total budgetary resources available for obligation	494	469	478
23.95	New obligations	–486	–469	–478
24.40	Unobligated balance available, end of year: Uninvested balance	6	.....	.....
	New budget authority (gross), detail:			
	Current:			
40.00	Appropriation	474	446	468
42.00	Transferred from other accounts	3	7	.....
43.00	Appropriation (total)	477	453	468
	Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	11	10	10
70.00	Total new budget authority (gross)	488	463	478
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	130	99	99
73.10	New obligations	486	469	478
73.20	Total outlays (gross)	–520	–469	–476
73.40	Adjustments in expired accounts	2	.....	.....
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	99	99	101
	Outlays (gross), detail:			
86.90	Outlays from new current authority	404	381	393
86.93	Outlays from current balances	105	78	73
86.97	Outlays from new permanent authority	11	10	10
87.00	Total outlays (gross)	520	469	476
	Offsets:			
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	–7	–6	–6
88.40	Non-Federal sources	–4	–4	–4
88.90	Total, offsetting collections (cash)	–11	–10	–10
	Net budget authority and outlays:			
89.00	Budget authority	477	453	468
90.00	Outlays	509	459	466

Note.—A transfer of $7 million from the Educational and Cultural Exchanges account to the Salaries and Expenses account is being considered to fund one-time severance payments and other termination costs. Such costs arise from staff and program reductions required to conform to the likely FY 1996 Salaries and Expenses funding level.

The United States Information Agency conducts the international informational, educational, cultural and exchange programs of the United States and advises the President, the National Security Council, and the Secretary of State on these matters. The Agency defines, explains, and advocates U.S. policies abroad and seeks to increase knowledge and understanding among foreign audiences of U.S. society and its values.

In 1997, USIA will continue the strategic reorganization and redirection of the Agency which began with the creation of the Bureau of Information, an NPR Reinvention Laboratory, in 1995. USIA is also continuing to streamline and consolidate its administrative and management processes by initiating reengineering pilot projects and benchmarking those efforts. USIA has initiated a comprehensive planning process to provide a long-range strategic framework for resource allocation decisions, in accordance with the Government Performance and Results Act of 1993 (GPRA). This process will result in performance measures that will be used to assess the effectiveness of resources invested in Agency programs for the FY 1998 budget estimates. A strategic plan has been drafted, and preliminary goals, objectives, measurements and strategies have been identified for all key programs.

Agency operations are largely financed from this appropriation and consist of the following major elements:

Overseas missions.—The Agency currently operates over 200 U.S. Information Service posts in over 140 countries. These USIS posts administer exchange-of-persons programs and conduct informational and cultural activities using, primarily, materials and programs provided by support offices in Washington, D.C.

Educational and cultural affairs.—This activity covers the staff that administers educational and cultural exchanges between the United States and over 140 countries. It also provides program and support costs for the J. William Fulbright Foreign Scholarship Board, American-sponsored schools abroad, binational centers, English teaching, and other educational and cultural activities. Grant costs for Academic and Visitor Exchanges, the Humphrey Fellowship, Citizen Exchanges, Congress-Bundestag and new exchange programs for the New Independent States and Eastern Europe are funded separately in the Educational and Cultural Exchanges account.

Bureau of Information.—This technologically advanced Bureau supports U.S. foreign policy objectives by providing instant and in-depth communications with the influence structures of other countries through the electronic information capabilities of the Agency's Wireless File, library and U.S. Information Service (USIS) centers abroad and foreign press centers in the U.S., the programming of U.S. speakers and specialists through overseas travel, and electronic dialogues or interactive television communications.

Agency direction and management.—This activity encompasses managerial staffs, research and centralized servicing functions for the Agency as a whole.

Administrative support from other agencies.—This activity covers payments to the Department of State for USIA's share of the costs of services provided for our overseas operations, to the General Services Administration for space and services provided under the Federal building rent system, and payments to other agencies for services provided.

Object Classification (in millions of dollars)

Identification code 67–0201–0–1–154	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	187	173	173
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	11	10	10
11.9 Total personnel compensation	201	186	186
12.1 Civilian personnel benefits	48	44	44
13.0 Benefits for former personnel	2	4	2
21.0 Travel and transportation of persons	15	14	15
22.0 Transportation of things	11	11	12
23.1 Rental payments to GSA	35	34	36
23.2 Rental payments to others	15	16	17
23.3 Communications, utilities, and miscellaneous charges	23	26	26
24.0 Printing and reproduction	1	1	1
25.2 Other services	41	31	34
25.3 Purchases of goods and services from Government accounts	26	37	37
26.0 Supplies and materials	20	18	18
31.0 Equipment	17	17	18
41.0 Grants, subsidies, and contributions	16	18	19
42.0 Insurance claims and indemnities	2	2	3
99.0 Subtotal, direct obligations	473	459	468
99.0 Reimbursable obligations, United States Information Agency	11	10	10
41.0 Allocation Account—Direct Obligations: Grants, subsidies, and contributions	2	.....	.....
99.9 Total obligations	486	469	478

Personnel Summary

Identification code 67–0201–0–1–154	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	4,732	4,271	4,234
1005 Full-time equivalent of overtime and holiday hours	96	96	96
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	6	10	10

Technology Fund

For expenses necessary to enable the United States Information Agency to provide for the procurement of information technology improvements, as authorized by the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), $10,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0400–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	.....	5	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	.....	5	10
23.95 New obligations	.....	–5	–10
New budget authority (gross), detail:			
40.00 Appropriation	.....	5	10
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	.....	1
73.10 New obligations	.....	5	10
73.20 Total outlays (gross)	.....	–4	–9
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	.....	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority	.....	4	8
86.93 Outlays from current balances	.....	.....	1
87.00 Total outlays (gross)	.....	4	9
Net budget authority and outlays:			
89.00 Budget authority	.....	5	10
90.00 Outlays	.....	4	9

This appropriation provides funding for non-broadcasting information technology improvements for USIA, including purchases and development of hardware, software, contractual services, and training. Funding for information management activities was formerly included in the Salaries and Expenses account. The new account was created to allow USIA greater flexibility in the budgeting and planning for information technology resources.

In 1996 and 1997, USIA will continue the implementation of a modern core Financial Management System (FMS) and other integrated automated applications. The Agency is adopting the FMS developed by USAID. USIA will also continue the development and testing of a two-way, high-speed digital network for enhanced communication with overseas posts.

Object Classification (in millions of dollars)

Identification code 67–0400–0–1–154	1995 actual	1996 est.	1997 est.
22.0 Transportation of things	.....	1	1
25.2 Other services	.....	1	2
31.0 Equipment	.....	3	7
99.9 Total obligations	.....	5	10

Radio Free Asia

Program and Financing (in millions of dollars)

Identification code 67–0212–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	.....	5	.....
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	.....	5	.....
22.00 New budget authority (gross)	5	.....	.....
23.90 Total budgetary resources available for obligation	5	5	.....
23.95 New obligations	.....	–5	.....
24.40 Unobligated balance available, end of year: Uninvested balance	5	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	5	.....	.....
Change in unpaid obligations:			
73.10 New obligations	.....	5	.....
73.20 Total outlays (gross)	.....	–5	.....
Outlays (gross), detail:			
86.93 Outlays from current balances	.....	5	.....
87.00 Total outlays (gross)	.....	5	.....
Net budget authority and outlays:			
89.00 Budget authority	5	.....	.....
90.00 Outlays	.....	5	.....

In 1995, Congress provided funding for a new service to provide accurate and timely information, news, and commentary to the people of Asia. Beginning in FY 1996, this service, the Asia Pacific Network (formerly Radio Free Asia), will be funded through the International Broadcasting Operations account.

General and special funds—Continued

OFFICE OF THE INSPECTOR GENERAL

Program and Financing (in millions of dollars)

Identification code 67–0300–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	4		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4		
23.95 New obligations	–4		
New budget authority (gross), detail:			
40.00 Appropriation	4		
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	
73.10 New obligations	4		
73.20 Total outlays (gross)	–4		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1		
Outlays (gross), detail:			
86.90 Outlays from new current authority	3		
86.93 Outlays from current balances	1	1	
87.00 Total outlays (gross)	4		
Net budget authority and outlays:			
89.00 Budget authority	4		
90.00 Outlays	4		

This appropriation provided funding for the conduct of audits and investigations relating to the programs and operations of the United States Information Agency.

In 1996, the Administration plans to consolidate USIA's Office of the Inspector General within the Department of State's Office of the Inspector General. Funding for this activity will be provided to the Department of State in accordance with pending authorization and appropriations legislation.

Object Classification (in millions of dollars)

Identification code 67–0300–0–1–154	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	3		
11.9 Total personnel compensation	3		
12.1 Civilian personnel benefits	1		
99.9 Total obligations	4		

Personnel Summary

Identification code 67–0300–0–1–154	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	46		

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS

For expenses of educational and cultural exchange programs, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), $202,412,000, to remain available until expended as authorized by 22 U.S.C. 2455.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0209–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Fulbright Academic Exchanges	118	96	111
00.02 Other Academic Exchanges	33	14	15
00.03 International Visitor, Professional and Cultural Exchanges	72	64	67
00.04 American Studies Collections	2		
00.05 Exchanges Support	15	14	
00.06 SEED Exchanges	6	1	
00.07 Freedom Support Act Exchange	78	50	40
00.91 Total direct program	324	239	233
01.01 Reimbursable program	11	11	11
10.00 Total obligations	335	250	244
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	49	17	
22.00 New budget authority (gross)	298	234	244
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	351	251	244
23.95 New obligations	–335	–250	–244
24.40 Unobligated balance available, end of year: Uninvested balance	17		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	233	200	203
41.00 Transferred to other accounts		–7	
42.00 Transferred from other accounts	54	30	30
43.00 Appropriation (total)	287	223	233
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11	11	11
70.00 Total new budget authority (gross)	298	234	244
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	246	270	248
73.10 New obligations	335	250	244
73.20 Total outlays (gross)	–309	–273	–245
73.40 Adjustments in expired accounts	2		
73.45 Adjustments in unexpired accounts	–4		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	270	248	246
Outlays (gross), detail:			
86.90 Outlays from new current authority	144	112	117
86.93 Outlays from current balances	155	151	118
86.97 Outlays from new permanent authority	11	11	11
87.00 Total outlays (gross)	309	273	245
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–11	–11	–11
Net budget authority and outlays:			
89.00 Budget authority	287	223	233
90.00 Outlays	299	263	234

Note.—A transfer of $7 million from the Educational and Cultural Exchanges account to the Salaries and Expenses account is being considered to fund one-time severance payments and other termination costs. Such costs arise from staff and program reductions required to conform to the likely FY 1996 Salaries and Expenses funding level.

This appropriation provides funding for programs authorized by the Mutual Educational and Cultural Exchanges Act of 1961, as amended, to increase mutual understanding between the peoples of the United States and other nations. Programs funded under this appropriation include:

Fulbright and Other Academic Exchanges.—Includes Fulbright academic exchanges of American and foreign students, lecturers, scholars and teachers; Humphrey and Muskie Fellowships for graduate academic and professional training for selected mid-career professionals from the developing world

and the Newly Independent States; and assistance to graduate and postdoctoral studies by United States scholars on the Near and Middle East.

International Visitor, Professional and Cultural Exchanges.—Includes short-term international visitor programs for emerging foreign leaders and professionals in the United States including both officially invited, and non-sponsored visitors; a variety of professionals and cultural cooperative exchange programs with non-governmental organizations, such as the Citizen Exchange Program, Arts America Exchanges and the Congress-Bundestag Exchange program; and exchange programs of special Congressional interest, such as the Mike Mansfield Fellowship Program and support for the Paralympic Games.

Exchange Support.—For FY 1995 and FY 1996, includes a combination of staff and program costs formerly funded from the Salaries and Expenses appropriation. In FY 1997, all exchanges support funding is requested in the Salaries and Expenses account.

SEED and FREEDOM Support Exchanges.—Includes exchange programs supporting democracy and free-market development in the NIS and Central and Eastern Europe.

The Agency has developed a strategic framework for development of performance measures for Exchange Programs in accordance with the Government Performance and Results Act of 1993 (GPRA). In FY 1996, performance information will be collected to establish performance baseline data.

Object Classification (in millions of dollars)

Identification code 67–0209–0–1–154	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	.....
11.9 Total personnel compensation	9	9	.....
12.1 Civilian personnel benefits	2	2	.....
41.0 Direct obligations: Grants, subsidies, and contributions	313	228	233
99.0 Subtotal, direct obligations	324	239	233
99.0 Reimbursable obligations	11	11	11
99.9 Total obligations	335	250	244

Personnel Summary

Identification code 67–0209–0–1–154	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	168	150	.....

NATIONAL ENDOWMENT FOR DEMOCRACY

For grants made by the United States Information Agency to the National Endowment for Democracy as authorized by the National Endowment for Democracy Act, $30,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0210–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	34	30	30
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	34	30	30
23.95 New obligations	–34	–30	–30
New budget authority (gross), detail:			
40.00 Appropriation	34	30	30
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	24	27	25
73.10 New obligations	34	30	30
73.20 Total outlays (gross)	–31	–32	–30
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	27	25	25
Outlays (gross), detail:			
86.90 Outlays from new current authority	16	14	14
86.93 Outlays from current balances	15	18	16
87.00 Total outlays (gross)	31	32	30
Net budget authority and outlays:			
89.00 Budget authority	34	30	30
90.00 Outlays	31	32	30

The National Endowment for Democracy is a private, nonprofit corporation established in the District of Columbia to encourage and strengthen the development of democratic institutions and processes internationally, including activities which promote individual rights and freedom, through private sector initiatives, exchanges, training programs, and other activities.

The National Endowment for Democracy Act provides that the U.S. Information Agency will make an annual grant to the Endowment to enable the Endowment to fulfill the purposes of the Act. The Endowment does not carry out programs directly but provides funding for activities of the Free Trade Union Institute of the AFL–CIO, the Center for International Private Enterprise of the U.S. Chamber of Commerce, the National Republican Institute for International Affairs of the Republican Party, the National Democratic Institute for International Affairs of the Democratic Party, and other private organizations and groups.

BROADCASTING TO CUBA

Program and Financing (in millions of dollars)

Identification code 67–0208–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Program operations	28	26	.....
10.00 Total obligations	28	26	.....
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	4	1	.....
22.00 New budget authority (gross)	25	25	.....
22.10 Resources available from recoveries of prior year obligations	1	.....	.....
23.90 Total budgetary resources available for obligation	30	26	.....
23.95 New obligations	–28	–26	.....
24.40 Unobligated balance available, end of year: Uninvested balance	1	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	25	25	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	4	4
73.10 New obligations	28	26	.....
73.20 Total outlays (gross)	–26	–25	–4
73.45 Adjustments in unexpired accounts	–1	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	4	4	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	20	20	.....
86.93 Outlays from current balances	6	5	4
87.00 Total outlays (gross)	26	25	4
Net budget authority and outlays:			
89.00 Budget authority	25	25	.....

General and special funds—Continued

BROADCASTING TO CUBA—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 67–0208–0–1–154	1995 actual	1996 est.	1997 est.
90.00 Outlays	26	25	4

Beginning in 1997, Radio and TV Marti will be funded through the International Broadcasting Operations account shown below.

Object Classification (in millions of dollars)

Identification code 67–0208–0–1–154	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	12	12	.....
11.9 Total personnel compensation	12	12	.....
12.1 Civilian personnel benefits	3	3	.....
21.0 Travel and transportation of persons	1	1	.....
23.1 Rental payments to GSA	2	2	.....
23.3 Communications, utilities, and miscellaneous charges	2	2	.....
25.2 Other services	7	5	.....
26.0 Supplies and materials	.....	1	.....
31.0 Equipment	1	.....	.....
99.9 Total obligations	28	26	.....

Personnel Summary

Identification code 67–0208–0–1–154	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	223	223	.....
1005 Full-time equivalent of overtime and holiday hours	5	5	.....

EAST-WEST CENTER

For grants to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, $8,800,000: Provided, That no funds shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376. (22 U.S.C. 2054–2057.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0202–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	25	12	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	25	12	9
23.95 New obligations	–25	–12	–9
New budget authority (gross), detail:			
40.00 Appropriation	25	12	9
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	6	6	6
73.10 New obligations	25	12	9
73.20 Total outlays (gross)	–25	–12	–9
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	6	6	6
Outlays (gross), detail:			
86.90 Outlays from new current authority	23	12	9
86.93 Outlays from current balances	2	.....	.....
87.00 Total outlays (gross)	25	12	9
Net budget authority and outlays:			
89.00 Budget authority	25	12	9
90.00 Outlays	25	12	9

The Center for Cultural and Technical Interchange Between East and West is a national educational institution administered by a public, nonprofit educational corporation. The Center promotes better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative programs of research, study, and training, which bring qualified persons from the countries of the area to work jointly on problems of mutual concern.

NORTH/SOUTH CENTER

For grants to the North/South Center, $970,000, to remain available until expended. (22 U.S.C. 2075.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0203–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	4	2	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	2	1
23.95 New obligations	–4	–2	–1
New budget authority (gross), detail:			
40.00 Appropriation	4	2	1
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	11	6	5
73.10 New obligations	4	2	1
73.20 Total outlays (gross)	–9	–3	–2
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	6	5	5
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	1	.....
86.93 Outlays from current balances	7	2	1
87.00 Total outlays (gross)	9	3	2
Net budget authority and outlays:			
89.00 Budget authority	4	2	1
90.00 Outlays	9	3	2

The Center for Cultural and Technical Interchange Between North and South is a national educational institution that promotes better relations between the U.S. and the nations of Latin America and the Caribbean and Canada by bringing together scholars and students from nations of the hemisphere for cooperative study, training, and research.

RUSSIAN FAR EAST TECHNICAL ASSISTANCE CENTER

Program and Financing (in millions of dollars)

Identification code 67–0211–0–1–154	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	.....	.....	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	.....	.....
73.10 New obligations	.....	.....	.....
73.20 Total outlays (gross)	–1	.....	.....
Outlays (gross), detail:			
86.93 Outlays from current balances	1	.....	.....
87.00 Total outlays (gross)	1	.....	.....

	Net budget authority and outlays:			
89.00	Budget authority			
90.00	Outlays	1		

The Russian Far East Technical Assistance Center was authorized in 1993 to provide technical assistance, through an American university in a region which receives nonstop air service to and from the Russian Far East. The Center facilitates the development of United States business opportunities, free markets, and democratic institutions in the Russian Far East. No additional funding is requested for this activity.

Radio Construction

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized by 22 U.S.C. 1471, $39,164,000, to remain available until expended, as authorized by 22 U.S.C. 1477 b(a).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0204–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 New construction	4	13	7
00.02 Upgrade of existing relay station capabilities	37	27	7
00.03 Maintenance, improvements, replacement and repair	10	21	22
00.04 Broadcast facility leases and rentals	11	3	2
00.05 Satellite and terrestrial feed systems	3	2	1
00.06 Construction Facility Support Costs	9	10	
10.00 Total obligations	74	76	39
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	35	47	
22.00 New budget authority (gross)	69	29	39
22.10 Resources available from recoveries of prior year obligations	17		
23.90 Total budgetary resources available for obligation	121	76	39
23.95 New obligations	–74	–76	–39
24.40 Unobligated balance available, end of year: Uninvested balance	47		
New budget authority (gross), detail:			
40.00 Appropriation	69	40	39
40.35 Appropriation rescinded		–7	
41.00 Transferred to other accounts		–4	
43.00 Appropriation (total)	69	29	39
70.00 Total new budget authority (gross)	69	29	39
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	103	93	108
73.10 New obligations	74	76	39
73.20 Total outlays (gross)	–67	–60	–46
73.45 Adjustments in unexpired accounts	–17		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	93	108	101
Outlays (gross), detail:			
86.90 Outlays from new current authority	21	9	12
86.93 Outlays from current balances	46	51	34
87.00 Total outlays (gross)	67	60	46
Net budget authority and outlays:			
89.00 Budget authority	69	29	39
90.00 Outlays	67	60	46

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's worldwide transmission network.

New construction.—In 1997, the agency will continue the Administration-approved streamlined modernization plan to expand the transmission capability of the International Broadcasting Bureau (IBB). This plan includes funding for construction of a new transmission facility in the Pacific Islands to enhance the broadcasting coverage to Asia.

Upgrade of existing relay station capabilities.—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

Major improvements, replacements and repairs.—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain.

Broadcast leases and land rentals.—This activity primarily funds the placement of IBB products with regional affiliates.

Satellite and Terrestrial Feed Systems.—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

Object Classification (in millions of dollars)

Identification code 67–0204–0–1–154	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	7		
11.9 Total personnel compensation	7		
12.1 Civilian personnel benefits	1		
21.0 Travel and transportation of persons		1	
23.2 Rental payments to others	2	3	6
23.3 Communications, utilities, and miscellaneous charges		8	
25.2 Other services	22	28	14
26.0 Supplies and materials	1	1	1
31.0 Equipment	23	29	15
41.0 Grants, subsidies, and contributions	18	6	3
99.9 Total obligations	74	76	39

Personnel Summary

Identification code 67–0204–0–1–154	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	108		

Trust Funds

Payment to the Eisenhower Exchange Fellowship Program

Program and Financing (in millions of dollars)

Identification code 95–0220–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	3		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3		
23.95 New obligations	–3		
New budget authority (gross), detail:			
40.00 Appropriation	3		
Change in unpaid obligations:			
73.10 New obligations	3		
73.20 Total outlays (gross)	–3		
Outlays (gross), detail:			
86.90 Outlays from new current authority	3		
87.00 Total outlays (gross)	3		
Net budget authority and outlays:			
89.00 Budget authority	3		
90.00 Outlays	2		

PAYMENT TO THE EISENHOWER EXCHANGE FELLOWSHIP PROGRAM—Continued

In 1995, an additional payment of $2.5 million was made to the fund pursuant to P.L. 103–317.

INTERNATIONAL BROADCASTING OPERATIONS

For expenses necessary to enable the United States Information Agency, as authorized by the United States Information and Cultural Exchange Act of 1948, as amended, the United States International Broadcasting Act of 1994, as amended, the Radio Broadcasting to Cuba Act, as amended, the Television Broadcasting to Cuba Act, and Reorganization Plan No. 2 of 1977, to carry out international communication activities, including the purchase, installation, rent, construction, and improvement of facilities for radio and television transmission and reception to Cuba, $365,406,000; of which not to exceed $16,000 may be used for official receptions within the United States as authorized by 22 U.S.C. 1474(3), not to exceed $35,000 may be used for representation abroad as authorized by 22 U.S.C. 1452 and 4085, and not to exceed $39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, not to exceed $250,000 from fees, as authorized by section 810 of the United States Information and Educational Exchange Act, to remain available until expended for carrying out authorized purposes; and in addition, notwithstanding any other provision of law, not to exceed $1,000,000 in monies received (including receipts from advertising, if any) by or for the use of the Agency from or in connection with broadcasting resources owned by or on behalf of the Agency, to be available until expended for carrying out authorized purposes: Provided, That funds may be used to purchase or lease, maintain, and operate such aircraft (including aerostats) as may be required to house and operate necessary television broadcasting equipment.

Note.—A regulator 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 67–0206–0–1–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct Program	245	329	365
01.01 Reimbursable program	3	2	2
10.00 Total obligations	248	331	367
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	248	331	367
23.95 New obligations	–248	–331	–367
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	475	325	365
41.00 Transferred to other accounts	–230	.....	.....
42.00 Transferred from other accounts	.....	4	.....
43.00 Appropriation (total)	245	329	365
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	2	2
70.00 Total new budget authority (gross)	248	331	367
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	.....	55	56
73.10 New obligations	248	331	367
73.20 Total outlays (gross)	–193	–330	–363
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	55	56	60
Outlays (gross), detail:			
86.90 Outlays from new current authority	190	276	307
86.93 Outlays from current balances	.....	52	54
86.97 Outlays from new permanent authority	3	2	2
87.00 Total outlays (gross)	193	330	363
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–3	–2	–2
Net budget authority and outlays:			
89.00 Budget authority	245	329	365
90.00 Outlays	190	328	361

This appropriation provides operational funding for all United States non-military international broadcasting. The account reflects the requirements of the International Broadcasting Act of 1994 (the Act) to consolidate all non-military international broadcasting activities. Specifically, the appropriation will fund the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), the Asia Pacific Network (formerly Radio Free Asia), the WORLDNET Television and Film Service, Radio and Television Broadcasting to Cuba, and the necessary engineering, technical, and administrative support activities.

In 1996 and prior years, funding for Radio and Television Broadcasting to Cuba was provided in a separate appropriation. In 1995 and prior years, funding for RFE/RL was provided by a grant from the Board for International Broadcasting (BIB). BIB was abolished on August 11, 1995, and the BBG now makes direct grants to RFE/RL. Funds for the Asia Pacific Network (APN), formerly Radio Free Asia, established by the Act, were provided in a separate appropriation in 1995. APN was not established during FY 1995, and funds provided, totalling $5,000,000, were carried forward into 1996.

Object Classification (in millions of dollars)

Identification code 67–0206–0–1–154	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	103	115	131
11.3 Other than full-time permanent	3	3	4
11.5 Other personnel compensation	10	11	12
11.9 Total personnel compensation	116	129	147
12.1 Civilian personnel benefits	24	27	31
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	5	5	6
22.0 Transportation of things	3	4	3
23.1 Rental payments to GSA	.....	.....	2
23.2 Rental payments to others	7	9	9
23.3 Communications, utilities, and miscellaneous charges	32	22	24
25.1 Advisory and assistance services	1	1	1
25.2 Other services	14	16	21
25.3 Purchases of goods and services from Government accounts	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	6	6	6
26.0 Supplies and materials	18	20	20
31.0 Equipment	7	9	9
41.0 Grants, subsidies, and contributions	8	77	82
42.0 Insurance claims and indemnities	1	1	1
99.0 Subtotal, direct obligations	245	329	365
99.0 Reimbursable obligations	2	2	2
99.5 Below reporting threshold	1	.....	.....
99.9 Total obligations	248	331	367

Personnel Summary

Identification code 67–0206–0–1–154	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	2,418	2,657	2,829
1005 Full-time equivalent of overtime and holiday hours	90	90	95
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	10	.....	.....

American Studies Collections Endowment Fund

Unavailable Collections (in millions of dollars)

Identification code 67–8166–0–7–154	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year		2	2
Receipts:			
02.01 Payment to American studies endowment fund	2		
04.00 Total: Balances and collections	2	2	2
07.99 Total balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 67–8166–0–7–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	1	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance		1	
22.00 New budget authority (gross)			
23.90 Total budgetary resources available for obligation		1	
23.95 New obligations	–1	–1	
24.40 Unobligated balance available, end of year: Uninvested balance	1		
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
73.10 New obligations	1	1	
73.20 Total outlays (gross)		–1	
Outlays (gross), detail:			
86.93 Outlays from current balances		1	
87.00 Total outlays (gross)		1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		1	

This program, established by section 235 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, provides for the establishment of collections of American studies materials at university libraries abroad. All available funds will be used in 1996 to complete the purchase of these collections.

Trust Funds

Israeli Arab and Eisenhower Exchange Fellowship Programs

Eisenhower Exchange Fellowship Program Trust Fund

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–05), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, 1997, to remain available until expended: Provided, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A–110 (Uniform Administrative Requirements) and A–122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personnel services.

Israeli Arab Scholarship Program

For necessary expenses of the Israeli Arab Scholarship Program as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, 1997, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Unavailable Collections (in millions of dollars)

Identification code 95–8276–0–7–154	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	11	12	12
Receipts:			
02.01 Payment from the general fund and interest earned	2	1	1
04.00 Total: Balances and collections	13	13	13
Appropriation:			
05.01 Israeli Arab and Eisenhower exchange fellowship program	–1	–1	–1
07.99 Total balance, end of year	12	12	12

Program and Financing (in millions of dollars)

Identification code 95–8276–0–7–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1		
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	2	1	1
23.95 New obligations		–1	–1
New budget authority (gross), detail:			
40.27 Appropriation (trust fund, indefinite)	1	1	1
Change in unpaid obligations:			
73.10 New obligations		1	1
73.20 Total outlays (gross)	–1	–1	–1
Outlays (gross), detail:			
86.90 Outlays from new current authority		1	1
86.93 Outlays from current balances	1		
87.00 Total outlays (gross)	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

This presentation shows interest and earnings from two trust funds, the Eisenhower Exchange Fellowship Trust Fund and the Israeli-Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust fund was created in 1992 with an appropriation of $5,000,000. In 1995, an additional payment of $2,500,000 was made to the fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli-Arab Scholarship Trust Fund was created in 1992 with an appropriation of $4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

Eastern Europe Student Exchange Endowment Fund

Program and Financing (in millions of dollars)

Identification code 95–8272–0–7–154	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1		
73.10 New obligations			
73.20 Total outlays (gross)	–1		

EASTERN EUROPE STUDENT EXCHANGE ENDOWMENT FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–8272–0–7–154	1995 actual	1996 est.	1997 est.
Outlays (gross), detail:			
86.98 Outlays from permanent balances	1	.....	.....
87.00 Total outlays (gross)	1	.....	.....
Net budget authority and outlays:			
89.00 Budget authority	.....	.....	.....
90.00 Outlays	.....	.....	.....

This trust fund, which was created by Congress in 1992 to support an exchange program among secondary school students from the United States and former Warsaw Pact countries in Eastern Europe, was terminated by Section 807(c) of Public Law 102–511. The exchanges originally funded from this account are now being funded from the Agency's Educational and Cultural Exchanges account.

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 67–8341–0–7–602	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	3	4	5
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	4	5	3
22.00 New budget authority (gross)	4	2	2
23.90 Total budgetary resources available for obligation	8	7	5
23.95 New obligations	–3	–4	–5
24.40 Unobligated balance available, end of year: Uninvested balance	5	3	.....
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	4	2	2
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	2
73.10 New obligations	3	4	5
73.20 Total outlays (gross)	–3	–3	–2
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	2	5
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	3	2	2
87.00 Total outlays (gross)	3	3	2
Net budget authority and outlays:			
89.00 Budget authority	4	2	2
90.00 Outlays	3	3	2

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Information Agency in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, is maintained by annual government contributions which are appropriated in the Agency's Salaries and expenses account.

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 67–9971–0–7–154	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	.....	.....	1
Receipts:			
02.02 Contributions, Educational and Cultural Exchange, USIA	1	1	1
02.03 Interest, Miscellaneous trust funds, USIA	1	1	1
02.99 Total receipts	2	2	2
04.00 Total: Balances and collections	2	2	3
Appropriation:			
05.01 Miscellaneous trust funds	–2	–1	–1
07.99 Total balance, end of year	.....	1	2

Program and Financing (in millions of dollars)

Identification code 67–9971–0–7–154	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	3	4	4
22.00 New budget authority (gross)	2	1	1
23.90 Total budgetary resources available for obligation	5	5	5
23.95 New obligations	–1	–1	–1
24.40 Unobligated balance available, end of year: Uninvested balance	4	4	3
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	2	1	1
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	3	3	3
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	–2	–1	–1
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	3	3	4
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	1	1	1
87.00 Total outlays (gross)	2	1	1
Net budget authority and outlays:			
89.00 Budget authority	2	1	1
90.00 Outlays	1	1	1

Funds advanced by other governments, business concerns, and private organizations are used to send experts abroad to perform requested services; to give foreign nationals scientific, technical, or other training; to purchase films and other products owned or controlled by the United States Information Agency; to replace damaged or destroyed United States Information Agency property; and for international exhibitions (22 U.S.C. 1431–1479; 70 Stat. 778).

Funds contributed by private individuals and concerns, foreign governments, and international organizations are used for the purposes of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455) and for carrying out other functions of the Agency (22 U.S.C. 809a and 22 U.S.C. 2697).

UNITED STATES INSTITUTE OF PEACE

Federal Funds

General and special funds:

OPERATING EXPENSES

For necessary expenses of the United States Institute of Peace, as authorized in the United States Institute of Peace Act, $11,160,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

Identification code 95–1300–0–1–153	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	11	9	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	9	11
23.95 New obligations	–11	–9	–11
New budget authority (gross), detail:			
40.00 Appropriation	11	9	11
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	1	1	.....
73.10 New obligations	11	9	11
73.20 Total outlays (gross)	–12	–10	–11
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	.....	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	11	9	11
86.93 Outlays from current balances	1	1	.....
87.00 Total outlays (gross)	12	10	11
Net budget authority and outlays:			
89.00 Budget authority	11	9	11
90.00 Outlays	12	10	11

The United States Institute of Peace (USIP) was established by Congress to conduct and support research and scholarship in the fields of international peace and conflict resolution. Program activity includes grants and fellowships, publishing research and educational materials, preparing educational video materials, presenting public workshops, developing a research library network, and sponsoring a national student essay contest.

Object Classification (in millions of dollars)

Identification code 95–1300–0–1–153	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	5	3	5
99.9 Total obligations	11	9	11

Personnel Summary

Identification code 95–1300–0–1–153	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	52	53	53

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

Federal Funds

General and special funds:

INTEREST PAYMENTS AND REPAYMENTS OF PRINCIPAL

Program and Financing (in millions of dollars)

Identification code 46–0300–0–1–401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	12	.....	.....
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	6	.....	.....
22.00 New budget authority (gross)	6	.....	.....
23.90 Total budgetary resources available for obligation	12	.....	.....
23.95 New obligations	–12	.....	.....
New budget authority (gross), detail:			
40.00 Appropriation	665	.....	.....
40.05 Appropriation (indefinite)	6	.....	.....
40.47 Portion applied to debt reduction	–665	.....	.....
43.00 Appropriation (total)	6	.....	.....
70.00 Total new budget authority (gross)	6	.....	.....
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	25	1	.....
73.10 New obligations	12	.....	.....
73.20 Total outlays (gross)	–37	–1	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	1	.....	.....
Outlays (gross), detail:			
86.90 Outlays from new current authority	6	.....	.....
86.93 Outlays from current balances	31	.....	.....
87.00 Total outlays (gross)	37	1	.....
Net budget authority and outlays:			
89.00 Budget authority	6	.....	.....
90.00 Outlays	37	1	.....

The Washington Metropolitan Area Transit Authority (WMATA) is a non-Federal agency established pursuant to an interstate compact among Maryland, Virginia, and the District of Columbia. The Authority's primary functions are to plan, develop, finance, and construct the Metrorail rapid transit system and to operate the bus/rail transit system in the National Capital area. The rail system extends from the District of Columbia into the participating jurisdictions of Maryland and Virginia.

Through 1994, this WMATA account provided the annual Federal share (two-thirds) of interest payments on outstanding WMATA bonds sold in support of the rail construction program. The WMATA bonds, which totaled $997 million, were guaranteed by the Federal Government and were to become due beginning in the year 2012.

In December 1993, the WMATA bonds were refinanced in order to take advantage of lower interest rates. As part of this refinancing, the Department of Transportation borrowed $665 million from the Federal Financing Bank (FFB) to pay off the Federal two-thirds share of the original bonds.

In 1995, $665 million was appropriated to repay the principal owed by the Department of Transportation to the FFB. In addition, an indefinite appropriation was enacted to pay $12 million in interest costs to the FFB. The only remaining activity in this account will be the spend-out of obligated balances of funds that were directly appropriated to WMATA for the construction of the Metrorail system and for the construction of facilities throughout the system for senior citizens and persons with disabilities. No funds are requested for this account in 1997.