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# PERFORMANCE AND MANAGEMENT ASSESSMENTS

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## 2. BUDGET AND PERFORMANCE INTEGRATION

The President's Management Agenda (PMA) aims to improve the management and performance of the Federal government. The **Budget and Performance Integration (BPI)** initiative of the PMA specifically directs

departments to improve program results and to ensure that performance is routinely considered in funding and management decisions.

*It's not enough to advocate reform. You have to be able to get it done. And that's what we have done. When it comes to reforming schools to provide excellent education for all our children, we got the job done. Results matter. When it comes to health care reforms to give families more access and more choices, results matter. When it comes to improving our economy and creating jobs, results matter. When it comes to having a strong farm economy, results matter. When it comes to better securing our homeland, fighting the forces of terror, and spreading the peace, results matter.*

President George W. Bush  
Mankato, Minnesota  
August 4, 2004

### I. THE OBJECTIVES OF THE BUDGET AND PERFORMANCE INTEGRATION INITIATIVE

The Federal government spends over \$2 trillion a year on its programs. In a results-oriented government, the burden of proof rests with these Federal programs and their advocates to show that programs are achieving results for the American people in the most effective and efficient manner. Furthermore, it is the government's responsibility to make difficult decisions about whether to increase or decrease the funding of a program, or terminate a program's funding entirely if it is not producing the desired results. The Budget and Performance Integration Initiative is making this link between a program's performance and decisions about its funding more routine.

American taxpayers expect managers of Federal programs to constantly assess whether their programs are achieving the desired results at acceptable costs. If the answer is "no" or "we don't know," the taxpayer expects those in charge to take immediate corrective action.

This results-focus is evident in the meaningful progress that agencies make in the BPI Initiative, which has three specific objectives all supporting the goal of using performance information in budgeting and improving program performance and efficiency:

- **Increasing accountability, effectiveness, and efficiency**—implementing plans designed to improve the management and performance of programs.
- **Investing in high pay-off or high priority activities**—focusing most on programs that can achieve demonstrably greater results for the same or less cost.
- **Improving program design**—developing, enacting, and implementing legislative or other proposals to fix flaws identified through the Program Assessment Rating Tool (PART) that impede performance.

### What is the PART and How is it Used?

The Program Assessment Rating Tool (PART) is designed to help assess the management and performance of individual programs. The PART evaluates a program's purpose, design, planning, management, results, and accountability to determine its overall effectiveness. Recommendations are then made to improve program results.

To reflect that Federal programs deliver goods and services using different mechanisms, the PART is customized by program category. The seven PART categories are: Direct Federal, Competitive Grant, Block/Formula Grant, Research and Development, Capital Assets and Acquisition, Credit, and Regulatory. The PART types apply to both discretionary and mandatory programs.

Each PART includes 25 basic questions and some additional questions tailored to the program type all divided up into four sections. The first section of questions gauges whether a program's design and purpose are clear and defensible. The second section involves strategic planning, and weighs whether the agency establishes valid annual and long-term goals for its programs. The third section rates the management of an agency's program, including financial oversight and program improvement efforts. The fourth section of questions focuses on results that programs can report with accuracy and consistency.

The answers to questions in each of the four sections result in a numerical score for each section from 0 to 100 (100 being the best score). Because reporting a single weighted numerical rating could suggest false precision, or draw attention away from the very areas most in need of improvement, numerical scores are translated into qualitative ratings. The bands and associated ratings are as follows:

| Rating                     | Range  |
|----------------------------|--------|
| Effective .....            | 85–100 |
| Moderately Effective ..... | 70–84  |
| Adequate .....             | 50–69  |
| Ineffective .....          | 0–49   |

Regardless of overall score, programs that do not have acceptable performance measures or have not yet collected performance data generally receive a rating of "Results Not Demonstrated."

PART ratings do not result in automatic decisions about funding. Clearly, over time, funding should be targeted to programs that can prove they achieve measurable results. In some cases, a PART rating of "Ineffective" or "Results Not Demonstrated" may suggest that greater funding is necessary to overcome identified shortcomings, while a program rated "Effective" may be in line for a proposed funding decrease if it is not a priority or has completed its mission. However, most of the time, an "Effective" is an indication that the program is using its funding well and that major changes may not be needed.

## II. RESULTS AGENCIES AND PROGRAMS ARE ACHIEVING

### BPI Initiative Success

More and more agencies are achieving greater results with the help of the habits and discipline established through the Budget and Performance Integration (BPI) Initiative. These agencies recognize that BPI is much broader than the PART and that the PART is simply a tool used to achieve the much larger goals of the initiative.

In 2004, eight agencies achieved green status on the BPI Scorecard, a quarterly rating that assesses whether agencies have achieved clear standards of success for the initiative. The agencies at green are: Department of Energy, Department of Labor, Department of Transportation, Department of State, National Aeronautics and Space Administration, National Science Foundation, Small Business Administration, and Social Security Administration.

### Scorecard—Standards for Success

For each initiative, the PMA established clear, Government-wide goals or Standards for Success (<http://results.gov/agenda/standards.pdf>). Agencies then develop and implement detailed, aggressive action plans to achieve these goals. Most importantly, agencies are held publicly accountable for adopting these disciplines. The Standards for Success for the BPI Initiative are below:

- Senior agency managers meet at least quarterly to examine reports that integrate financial and performance information that covers all major responsibilities of the department. Agency **demonstrates improvement in program performance and efficiency in achieving results;**
- **Strategic plans** contain a limited number of **outcome-oriented goals and objectives**. Annual budget and performance documents incorporate all measures identified by the PART and focus on the information used in the senior management report described in the first criterion;
- Has **performance appraisal plans** and awards programs for all SES and managers, and more than 60% of agency positions that effectively: link to agency mission, goals and outcomes; hold employees accountable for results appropriate to their level of responsibility; differentiate between various levels of performance; and provide consequences based on performance. The agency is also working to include all agency employees under such systems
- **Reports the full cost of achieving performance goals** accurately in budget and performance documents and can **accurately estimate the marginal cost** (+/- 10%) of changing performance goals;
- Has at least one **efficiency measure** for all PARTed programs; and
- **Uses PART evaluations to direct program improvements**, and PART ratings and performance information are used consistently to justify funding requests, management actions, and legislative proposals. Fewer than 10% of agency programs receive a Results Not Demonstrated rating for more than two years in a row.

Each quarter, agencies are rated on their status in achieving the overall goals for each initiative and progress in implementing their respective action plans. To that end, a simple grading system of red, yellow, and green was developed. Green status is for success in achieving results in each of the criteria above; yellow is for an intermediate level of performance; and red is for unsatisfactory results.

The Government-wide scorecard reporting on individual agency progress is published quarterly at <http://results.gov/agenda/scorecard.html>.

### Best Practices

Executive branch agencies are using and sharing with each other innovative management practices to achieve the goals of the BPI initiative and thereby improving organizational and program performance. One of the best practices shared across the Executive Branch came from the Department of State (DOS). Management at DOS has integrated the PART into their automated performance planning system. This capability allows DOS to 1) monitor the quality and content of PART submissions, 2) track the status of PART recommendations to improve program performance, and 3) most importantly, allows the Department of State to make this information available to managers so that they can use performance information to influence budget decisions.

The Department of Transportation (DOT) shared with other agencies its successes with implementing marginal cost methodologies, which assists in articulating the cost/benefit of putting additional resources into a program. This year, DOT improved its performance budgeting by estimating the marginal cost of performance for selected programs. DOT's disciplined approach allows it to see how inputs affect outputs and how outputs roll up to produce outcomes. As an example, DOT is able to estimate the reduction in rail-related accidents and incidents it expects to achieve at various funding levels. DOT now submits a budget that shows expected performance at both a baseline level and a specified marginal level. As a result, annual performance targets will be adjusted accordingly to reflect the specified levels of funding.

The bottom line is that without solid performance baselines, you cannot accurately predict performance changes resulting from varying resource levels.

### Programs Assessed Using the PART

This marks the third year that the PART was used to assess program performance, make recommendations to improve program performance, and help link performance to budget decisions. To date, the Administration has assessed 607 programs, which represent ap-

proximately 60 percent of the Federal budget. Over the next two years, the Administration will use the PART to assess the performance and management of all remaining Federal programs with limited exceptions. Alternative methods and timelines for assessment are being considered for programs with limited impact and large activities where it is difficult to determine an appropriate unit of analysis.

With the help of the PART, we have improved program performance and transparency. There has been a substantial increase in the total number of programs rated either "Effective," "Moderately Effective," or "Adequate". This increase came from both re-assessments and newly PARTed programs. The Table 2-1 below shows the percentage of programs by ratings category.

Of the 607 programs assessed, 71 percent have defined and are tracking clear outcome goals to measure their results and 59 percent have efficiency measures in place to manage costs.

Unfortunately, in 2004 33 percent of PARTed programs are rated "Results Not Demonstrated" or "Ineffective." Particularly in a period of tight budgets, these programs must improve their performance or their resources may be moved to higher performing programs.

### Programs Reassessed

Programs are reassessed when significant changes have been made to improve the program and those improvements can be demonstrated. For example, programs might be reassessed when new performance measures are agreed upon, PART recommendations have been implemented, new performance data have been compiled, or a program evaluation has been completed.

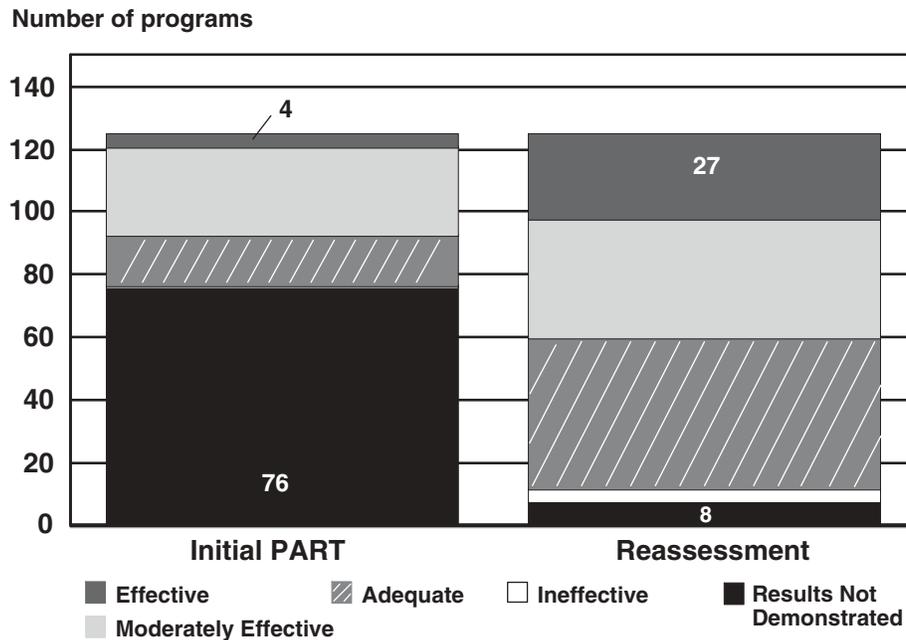
In the last two years more than 127 programs were reassessed. Chart 2-1 which follows demonstrates that PART recommendations are being implemented and we are seeing results. The chart illustrates a significant increase in "Effective" programs and a decrease in programs rated "Results Not Demonstrated."

**Table 2-1. PROGRAM RATINGS ARE IMPROVING**

(Cumulative program results by ratings category 2002-2004)

| Ratings/year                          | Year |      |      |
|---------------------------------------|------|------|------|
|                                       | 2002 | 2003 | 2004 |
| Number of Programs <sup>1</sup> ..... | 234  | 407  | 607  |
| Effective .....                       | 6%   | 11%  | 15%  |
| Moderately Effective .....            | 24%  | 26%  | 26%  |
| Adequate .....                        | 15%  | 20%  | 26%  |
| Ineffective .....                     | 5%   | 5%   | 4%   |
| Results Not Demonstrated .....        | 50%  | 38%  | 29%  |

**Chart 2-1. Comparison of Ratings from Initial PART to Most Recent Reassess**



**Following up on PART Recommendations.** While the Administration believes that an increasing number of programs will earn “Effective” ratings, we also stress that PART recommendations are more important than PART ratings because the focus of the PART is on continuous improvement of program performance. The PART serves its purpose if its findings and recommendations play a substantial role in guiding decisions on spending, management and program improvements.

A number of Federal programs across the government have improved their management or performance by implementing recommendations made through the PART process:

- **Health Centers:** The President’s Health Centers Initiative is creating 1,200 new and expanded health center sites to serve an additional 6.1 million people by 2006. From 2001 to 2005, this program increased the number of low-income individuals served by over 30 percent. In 2006, the program has a goal to serve approximately 16.4 million people, up from 10.3 million in 2001.
- **Broadcasting Board of Governors:** As a result of the PART, this program established goals for weekly audience size, program quality, signal strength and cost-per-listener for all language programs. The Near East Asia and South Asia programs are on track to attain their long-term weekly audience size goal in Arabic speaking countries of 21 million listeners and viewers by 2008—a

nearly 700% increase from 3.1 million weekly listeners and viewers in 2002.

- **FAA’s Facilities and equipment Program:** The PART helped management determine the reasons projects in this program consistently experienced large costs and schedule overruns. In response to a PART recommendation, the FAA began focusing on increasing the use of performance based contracts as a means of controlling costs. Managers are now held accountable for achieving cost, schedule, and performance targets through the FAA’s Performance management system, which is evaluated semiannually.
- **National Weather Service (NWS):** During a PART reassessment it was determined that NWS increased its tornado warning lead time from 10 minutes to 13 minutes; improved its flash flood warning accuracy from 86 percent to 89 percent; and accurately predicted the tracks of hurricanes within 94 nautical miles. It has set goals to improve these and other key indicators of its ability to protect lives and property from national disasters.
- **The Migratory Bird Management Program:** This year the program developed new performance goals during the PART process. Specifically, one of the new goals examines the percent of migratory birds that are at healthy and sustainable levels. If the program is successful, and external factors that also affect migratory bird populations do not dramatically change, by 2008, 62 percent

of all migratory bird populations will be healthy and sustainable.

- **Corps Hydropower Program:** A reassessment of the Hydropower Program credited the Corps with developing an overall asset management plan for plant and program managers. This management plan is used by managers in making risk-based hydropower investment decisions, as well as setting regional and national hydropower investment priorities. The management plan was developed in response to deficiencies cited in the initial PART.
- **Nonpoint Source Grants (NPS):** The Environmental Protection Agency's program provides grants to states to identify and address NPS pollution problems, a leading cause of water quality impairment. NPS pollution comes from both urban and rural sources, including roads, farms, and mines. A previous program assessment recommended that the NPS program improve its performance metrics and take steps to reduce any activities duplicated by similar Department of Agriculture (USDA) programs. As a result, in agricultural areas, the program refocused its efforts on watershed planning to avoid redundancy with USDA's efforts. The program also developed good long-term, annual, and efficiency measures.
- **The Capital Security Construction Program:** A past program assessment identified a standard embassy design which would promote the construction of new embassy compounds more quickly, efficiently and in a cost effective manner. This year's reassessment found that the Department of State implemented this design recommendation across overseas capital construction programs and for security and non-security projects.
- **Citizenship and Immigration Services (CIS):** In response to an assessment completed two years ago, Citizenship and Immigration Services has begun to implement significant IT and process improvements. Since May 2003, more than 182,000 immigration applications have been filed on-line, reducing processing time and errors. One CIS field office is piloting a green card replacement project. This field office is accepting e-filed applications and has demonstrated results by reducing the average renewal processing time from eight months to approximately two business weeks. This improvement is accompanied by a significant rise in e-filed applications from an average of 650 per month prior to the pilot to about 1,650 per month.
- **Occupational Safety and Health Administration:** In response to the PART recommendations, this program now identifies the monetary costs and net benefits for all new economically significant regulations. In addition, the 2006 Budget requests \$1 million for an initiative to allow OSHA to develop more timely data to assess performance.

## Efficiency Measures

One of the greatest challenges for agencies has been developing meaningful measures of program efficiency. Ideally, programs should be able to articulate production costs for each unit of what it is they are achieving. Agencies should be able to use efficiency measures to capture skillfulness in executing programs and achieving results while avoiding wasted resources, time, and effort. Although much work remains, this year we experienced many notable examples of success:

- **Department of Commerce.** In 2005 the Patent and Trademark Office (PTO) continues to align resources with its goal of promoting scientific progress by securing inventors' rights to their respective discoveries. PTO has clearly defined quantitative performance measures that are linked to its annual funding request. For example, for 2005, PTO set the following annual targets for the patent program: quality (as measured by an error rate of 3.75%), processing time (as measured by patent pendency of 31 months), and unit cost of \$4,052 per production unit for a funding level of \$1.337 billion. Moreover, PTO's budget is not only framed around its strategic plan, but its specific initiatives are linked to PTO's three strategic goals: improving the quality, processing times, and flexibility of its organization.
- **Department of Justice.** The Drug Enforcement Administration (DEA) has demonstrated proficiency at quantifying the impact of funding increases (or decreases) on its performance measures relative to targets established from the PART process. DEA is capable of translating a budget increase for additional drug enforcement agents into a specific number of drug trafficking organizations that will be either disrupted or dismantled in the fiscal year.
- **Social Security Administration (SSA).** SSA's ability to link financial resources and performance has assisted executive and legislative branch decision makers during the appropriations process. SSA was able to determine the number of claims that could be processed at different funding level proposals. This efficiency measure provided the requisite information to arrive at the best possible funding decision.
- **Department of State.** The State Department has developed efficiency measures for each of its programs and is using them to drive down cost while increasing performance. For instance, the Refugee Admissions program has decreased the per-person cost of admitting refugees to the United States from nearly \$4,500 in 2002 to \$3,500 in 2004, while exceeding the goal of admitting 50,000 refugees.
- **Department of Energy.** The Weatherization Assistance program increases the energy efficiency of dwellings occupied by low-income Americans, thereby reducing their energy costs, while safeguarding their health and safety. The program

added a long-term efficiency measure to maintain a benefit-cost ratio greater than one, which compares estimated lifetime energy cost savings of all homes weatherized in a given year to total program costs for that year.

### **Cross-cutting Review of Federal Programs**

The Administration continues to look for new ways to improve the performance of programs with similar purpose or design by using the PART to analyze performance across agencies (i.e., cross-cutting analysis). Cross-cutting analysis can improve coordination and communication by getting managers from multiple agencies to agree to a common set of goals and placing the focus on quantifiable results. This type of analysis breaks down barriers across the Federal government and at the state and local levels so that all are working toward the same goal. This past year the Administration began a cross-cutting analysis of community and economic development programs and rural water programs.

**Community and Economic Development cross-cut analysis.** To help foster community and economic development, the Federal Government provides financial assistance to communities through 35 grant, loan, and tax incentive programs spread across seven agencies. In 2005 nearly \$16 billion will be spent on efforts to revitalize distressed communities and increase economic opportunity in areas that, despite increases in national economic growth and productivity, continue to suffer from high unemployment, low incomes and economic disruption.

During 2004, OMB reviewed the effectiveness and structure of Federal community and economic development programs. An inter-agency group, the Interagency Collaborative on Community and Economic Development, also assisted this review by highlighting the strengths, weaknesses and challenges of community and economic development efforts. OMB reviewed the PART assessments of several programs to identify challenges shared by these programs. First, several of these programs had unclear long-term objectives, which resulted in many instances in a focus on short-term outputs (e.g., number of people assisted and number of housing units constructed), not on long-term community impacts. Second, the programs did not coordinate effectively, and in many cases overlapped in mission and function. For example, assistance for infrastructure investment is provided by at least four Federal agencies. Third, and most importantly, the programs were unable

to demonstrate that they were achieving long-term outcomes. In fact, there was little research to demonstrate that Federal investment had improved communities over the long-term. Ultimately, these programs should be able to measure conditions and track changes in key indicators such as job creation, homeownership, commercial development and increases in private sector investment.

To ensure the efficient use of taxpayer resources and improve the focus on results, the Budget proposes a \$3.7 billion program within the Department Commerce to support communities' economic development and revitalization efforts. This initiative will consolidate programs such as Community Development Block Grants and the Economic Development Assistance Programs into a more targeted, unified program that sets accountability standards in exchange for flexible use of the funds. Further discussion on this initiative can be found in the Department of Commerce budget chapter.

**Rural Water cross-cut analysis.** At least 12 different Federal programs participate in building facilities that provide drinking water or wastewater services to rural areas of the country. These programs have some overlapping missions and use similar service delivery mechanisms. Water development is part of the core mission of the Bureau of Reclamation in the Department of Interior, the Rural Utilities Service in USDA, and the Environmental Protection Agency's Clean Water and Drinking Water State Revolving Funds. Other agencies, such as the Indian Health Service in the Department of Health and Human Services and the Economic Development Administration in the Department of Commerce, support activities related to rural water development, but use them as means to achieve broader program goals such as promoting economic development. Analysis of these programs also revealed that the Federal government's role in developing water resources is unclear, which hampers the long-term strategic planning of these agencies and creates uncertainty as to what actions Federal agencies should undertake and how to prioritize funding for Federal water projects. Consolidating some of these programs may result in more efficient program management and better delivery of on-the-ground services for rural communities. The Administration will develop recommendations regarding consolidation and reform of Federal rural water programs that it will forward to a proposed Results Commission, if authorized by Congress. (See next section).

## **III. NEXT STEPS FOR THE BPI INITIATIVE**

### **Results Commission**

Dysfunctional program overlap is why many of the 30 percent of programs are rated either ineffective or unable to demonstrate results. Cross-cutting analysis can help in many of these areas, but overlapping juris-

dictions in Congress provide daunting hurdles to legislative remedies for the poor performance of duplicative programs. To overcome this challenge, the President will propose that Congress enact legislation that gives him the authority to recommend the creation of "Re-

sults Commissions” to consider and revise Administration proposals to improve the performance of programs or agencies by restructuring or consolidating them. Proposals approved by a commission would then be approved by the President and considered by Congress under expedited procedures.

The President’s proposal to the Congress will also include a process by which programs undergo the regular scrutiny brought about by having to defend their existence before a Sunset Commission. Programs would be reviewed by the Sunset Commission according to a schedule enacted by Congress. The Commission would consider and revise Administration proposals to retain, restructure, or terminate programs. Programs would automatically terminate according to the schedule unless Congress took some action to reauthorize them.

Both components of this proposal—the Results Commissions and the Sunset Commission—will require enactment by Congress and the President. In the absence of these reforms, the Administration will continue to pursue all opportunities to improve program performance to include using the PART for cross-cutting analysis.

### Other Cross-Cuts of Federal Programs

In 2005, the Administration will conduct additional cross-cuts in three different areas: block grant programs, small business innovation research programs and credit programs.

**Block Grants.** One of the most common tools used by the Federal government is the block grant, particularly in the social services area where states and localities are the service providers. Block grants are embraced for their flexibility to meet local needs and criticized because accountability for results can be difficult when funds are allocated based on formulas and population counts rather than achievements or needs. In addition, block grants pose performance measurement challenges precisely because they can be used for a wide range of activities. The obstacles to measuring and achieving results through block grants are reflected in PART scores: they receive the second lowest average score of the seven PART types, 8 percent of block grant programs assessed to date are rated ineffective, and 45 percent are rated Results Not Demonstrated.

Nonetheless, the PART shows that some Federal block grant programs are achieving results superior to others, effectively combining the flexibility that localities need with the results that taxpayers deserve. In the coming year, the Administration will apply the lessons learned from the effective block grants to several of those performing inadequately. This project will identify the methods used to manage highly rated block grant programs and adapt and implement those practices in large, low-scoring programs. Each of the programs targeted for improvement will develop an action plan and implementation timeline that will be tracked quarterly. The targeted programs will be re-analyzed through the PART in one to two years to assess wheth-

er implementing the block grant best practices results in improved performance.

**Small Business Innovation Research (SBIR).** The SBIR program established in 1982, sets aside 2.5 percent of government research and development (R&D) contract and grant funding to allow small businesses to explore innovative ideas. The goal of the program is to assist small businesses in undertaking and obtaining the benefits of research and development, while assisting the funding agencies to realize their mission. Approximately \$2 billion was spent last year in SBIR programs.

All Federal agencies with R&D budgets above \$100 million per year must publish a list of technical topics that they would like to support, after which small businesses are encouraged to submit research funding proposals addressing opportunities in those areas. First, agencies investigate the feasibility of the project and, if deemed promising, funding is provided for research and development. Awards generally are limited to about \$1 million per project. Agencies monitor the progress of the selected projects and report key data annually to the Small Business Administration.

This year the Administration will review the SBIR programs of several agencies in a cross-agency review. Key questions to be asked include how well the program is managed; how well it is coordinated among the participating agencies; how well the program has done in commercializing products in the marketplace; and how well it has contributed to the success of the missions of the participating agencies.

**Credit Programs.** The Federal Government is one of the world’s largest lenders. At the end of 2003, the Government held a financial asset portfolio of nearly \$1.5 trillion, including direct loans, loan guarantees, defaulted loans, and non-credit debt owed to agencies. Many agencies lack the data, processes, or overall understanding of the credit lifecycle (origination, loan servicing/lender monitoring, liquidation, and debt collection) needed to effectively assist intended borrowers while also proactively reducing errors, risk, and cost to the Government. Some credit program PART scores reflect these fundamental inefficiencies.

A cross-cutting analysis will identify best practices that can be implemented across the major credit agencies, with the goal of strengthening Government credit management practices at all stages of the credit lifecycle. This will in turn lead to improved credit program PART scores as well as substantial budgetary savings: upfront subsidy costs and administrative expenses could be reduced by hundreds of millions of dollars, and delinquent debt could be reduced by up to \$10 billion.

Additional discussion of the credit program cross-cut can be found in chapter seven of this Analytical Perspectives volume (“Credit and Insurance”).

### Follow-up on Recommendations

Rigorous follow-up on recommendations from the PART will accelerate improvements in the performance

of Federal programs. The Administration is developing a web-based PART recommendation tracking system that will monitor what actions are required and their

state of completion. This will ensure that the hard work done through the PART produces performance and management improvements.

**APPENDIX 1: DESCRIPTION OF HOW THE PART WORKS**

**Sections of the PART.** The accompanying table provides a brief description of the four sections along with examples of programs that scored high or low in this

year. For more detailed information regarding PART guidance and PART worksheets, visit the OMB website at [www.omb.gov/part](http://www.omb.gov/part).

**Table 2-2. SECTIONS OF THE PART**

| Section  | Description  | Low Score Example  | High Score Example   |
|--|--|--|--|
| <b>Program Purpose and Design</b><br>Weight = 20 percent     | To assess whether the program's purpose and design are clear and sound   | Migrant and Seasonal Farm workers—program design needs significant improvement   | Nonproliferation and International Security Program—clear purpose and strong design, which is not duplicated in the Federal government |
| <b>Strategic Planning</b><br>Weight = 10 percent             | To assess whether the agency has established valid long-term and annual measures and targets for the program             | Juvenile Accountability Block Grants—lacks strategic planning, ambitious goal setting  | Healthcare Facilities Construction—Long-term and annual measures that assess critical health-focused outcomes                          |
| <b>Program Management</b><br>Weight = 20 percent             | To rate agency management of the program, including financial oversight and program improvement efforts                  | Alaska Native Villages Program—poor program management resulted in significant contracting, accounting, and performance problems | Capital Security Construction Program—strong and responsive management and oversight   |
| <b>Program Results/Accountability</b><br>Weight = 50 percent | To rate program performance on measures and targets reviewed in the strategic planning section through other evaluations | Natural Gas Technologies Program—has shown little evidence of improved outcomes and results                                      | DOD Energy Conservation Improvement Program—achieves results, reduction in cost, and net savings for investment                        |

**PART Questionnaire.** The table below illustrates key questions from each section of the PART.

**Table 2-3. THE PART QUESTIONNAIRE**

| Key Questions for Every Program   | Description   |
|---|---|
| <p><b>PROGRAM PURPOSE AND DESIGN</b></p> <ul style="list-style-type: none"> <li>• Is the program purpose clear?</li> <li>• Does the program address a specific and existing problem, interest, or need?</li> <li>• Is the program designed so it is not redundant or duplicative of any other federal, state, local or private effort?</li> <li>• Is the program designed free of major flaws that would limit program effectiveness or efficiency?</li> <li>• Is the program effectively targeted, so that resources will reach the intended beneficiaries and/or otherwise address the program's purpose directly?</li> </ul> | <p>This section examines the clarity of program purpose and soundness of program design. It looks at factors including those the program, agency, or Administration may not directly control but which are within their influence, such as legislation and market factors. Programs should generally be designed to address a market failure—either an efficiency matter, such as a public good or externality, or a distributional objective, such as assisting low-income families—in the least costly or most efficient manner. A clear understanding of program purpose is essential to setting meaningful program goals, measures, and targets; maintaining focus; and managing the program. Potential source documents and evidence for answering questions in this section include authorizing legislation, agency strategic plans, annual performance plans, and other agency reports. Options for answers are <i>Yes, No or Not Applicable</i></p> |

**Table 2–3. THE PART QUESTIONNAIRE—Continued**

| Key Questions for Every Program   | Description  |
|---|--|
| <p style="text-align: center;"><b>STRATEGIC PLANNING</b></p> <ul style="list-style-type: none"> <li>• Does the program have a limited number of specific long-term performance measures that focus on outcomes and meaningfully reflect the purpose of the program?</li> <li>• Does the program have ambitious targets and timeframes for its long-term measures?</li> <li>• Does the program have a limited number of specific annual performance goals that can demonstrate progress toward achieving the program’s long-term goals?</li> <li>• Does the program have baselines and ambitious targets for its annual measures?</li> <li>• Do all partners (grantees, sub-grantees, contractors, cost-sharing partners, and other government partners) commit to and work toward the annual and/or long-term goals of the program?</li> <li>• Are independent evaluations of sufficient scope conducted on a regular basis or as needed to support program improvements and evaluate effectiveness and relevance to the problem, interest or need?</li> <li>• Are Budget requests explicitly tied to accomplishment of the annual and long-term performance goals, and are the resource needs presented in a complete and transparent manner in the program’s budget?</li> <li>• Has the program taken meaningful steps to address its strategic planning deficiencies?</li> </ul> | <p>This section focuses on program planning, priority setting, and resource allocation. Key elements include an assessment of whether the program has a limited number of performance measures with ambitious—yet achievable—targets, to ensure planning, management, and budgeting are strategic and focused. Potential source documents and evidence for answering questions include strategic planning documents, agency performance plans and reports, reports and submissions from program partners, evaluation plans, budget submissions and other program documents. Options for answers are <i>Yes, No or Not Applicable</i>.</p>  |
| <p style="text-align: center;"><b>PROGRAM MANAGEMENT</b></p> <ul style="list-style-type: none"> <li>• Does the agency regularly collect timely and credible performance information from key program partners, and use it to manage the program and improve performance?</li> <li>• Are Federal managers and program partners (including grantees, sub-grantees, contractors, cost-sharing partners, and other government partners) held accountable for cost, schedule and performance results?</li> <li>• Are funds (Federal and partners’) obligated in a timely manner and spent for the intended purpose?</li> <li>• Does the program have procedures (i.e. competitive sourcing/cost comparisons, IT improvements, appropriate incentives) to measure and achieve efficiencies and cost effectiveness in program execution?</li> <li>• Does the program collaborate and coordinate effectively with related programs?</li> <li>• Does the program use strong financial management practices?</li> <li>• Has the program taken meaningful steps to address its management deficiencies?</li> </ul>   | <p>This section focuses on a variety of elements related to whether the program is effectively managed to meet program performance goals. Key areas include financial oversight, evaluation of program improvements, performance data collection, and program manager accountability. Additionally, specific areas of importance for each program type are also explored. Potential source documents and evidence for answering questions in this section include financial statements, GAO reports, IG reports, performance plans, budget execution data, IT plans, and independent program evaluations. Options for answers are <i>Yes, No or Not Applicable</i>.</p>  |
| <p style="text-align: center;"><b>PROGRAM RESULTS/ACCOUNTABILITY</b></p> <ul style="list-style-type: none"> <li>• Has the program demonstrated adequate progress in achieving its long-term performance goals?</li> <li>• Does the program (including program partners) achieve its annual performance goals?</li> <li>• Does the program demonstrate improved efficiencies or cost effectiveness in achieving program goals each year?</li> <li>• Does the performance of this program compare favorably to other programs, including government, private, etc., with similar purpose and goals?</li> <li>• Do independent evaluations of sufficient scope and quality indicate that the program is effective and achieving results?</li> </ul>  | <p>This section considers whether a program is meeting its long-term and annual performance goals. This section also assesses how well the program compares to similar programs and how effective the program is based on independent evaluations. Potential source documents and evidence for answering questions in this section include annual performance reports, evaluations, GAO reports, IG reports and other agency documents. Assessments of program results should be based on the most recent reporting cycle or other relevant data. The PART worksheet, under the “Measures Page,” calls for data on a few years of performance targets and results to be reported. Answers in this section are rated as <i>Yes, Large Extent, Small Extent, and No</i>.</p> |

**PART Categories.** The PART segments mandatory and discretionary Federal programs into seven categories.

The accompanying table describes the program categories:

**Table 2-4. THE PART, BY CATEGORY**

| Program Type                                    | Description  | Examples   |
|---|--|--|
| Competitive Grant Programs                      | Programs that distribute funds to state, local and tribal governments, organizations, individuals and other entities through a competitive process.  | <ul style="list-style-type: none"> <li>• Head Start</li> <li>• Weed and Seed</li> </ul>  |
| Block/Formula Grant Programs                    | Programs that distribute funds to state, local and tribal governments and other entities by formula or block grant.  | <ul style="list-style-type: none"> <li>• Vocational Education State Grants</li> <li>• Native American</li> <li>• Housing Block Grants</li> </ul> |
| Regulatory-Based Programs                       | Programs that employ regulatory action to achieve program and agency goals through rulemaking that implements, interprets or prescribes law or policy, or describes procedure or practice requirements. These programs issue significant regulations, which are subject to OMB review. | <ul style="list-style-type: none"> <li>• Occupational Safety and Health Administration</li> <li>• Food Safety and Inspection Service</li> </ul>  |
| Capital Assets and Service Acquisition Programs | Programs where the primary means to achieve goals is the development and acquisition of capital assets (such as land, structures, equipment, and intellectual property) or the purchase of services (such as maintenance and information technology) from a commercial source.         | <ul style="list-style-type: none"> <li>• Youth Anti-Drug Media Campaign</li> <li>• DoD—Shipbuilding</li> </ul>                                   |
| Credit Programs                                 | Programs that provide support through loans, loan guarantees and direct credit.  | <ul style="list-style-type: none"> <li>• Rural Electric Utility Loans and Guarantees</li> </ul>  |
| Direct Federal Programs                         | Programs in which support and services are provided primarily by Federal employees.  | <ul style="list-style-type: none"> <li>• Coin Production</li> <li>• National Weather Service</li> </ul>  |
| Research and Development Programs               | Programs that focus on creating knowledge or applying it toward the creation of systems, devices, methods, materials or technologies.  | <ul style="list-style-type: none"> <li>• Solar Energy Program</li> <li>• Mars Exploration</li> </ul>   |

## APPENDIX 2: SUMMARY RESULTS OF PROGRAMS EVALUATED USING THE PART

Since its inception, the PART has been improved annually based on feedback received from agencies and the public. Although there were no changes to the PART questions for this past year, the guidance has been refined each year to improve consistency in application of the PART across programs. Feedback from Federal agencies, General Accounting Office, Center for Excellence in Government, National Academy of Public Administrators, the public, and internal quality control reviews all helped improve the guidance.

The table that follows lists summary PART results and funding information for each of the assessed pro-

grams. It affirms the fact that PART ratings are one factor, but not the only factor, in the Administration's budget formulation process. The PART gives the Executive Branch, Congress, and individual program managers valuable insight into ways we can improve program performance on behalf of the American people. Individual PART summaries are included on the CD that accompanies the Analytical Perspectives volume, and full PART worksheets can be found on OMB's web page ([www.budget.gov/budget/fy2006/part.html](http://www.budget.gov/budget/fy2006/part.html))

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION

(Current Data for All Programs Assessed by PART)

| Agency/Program Title  | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |        |
|---|--------------------------|--|--|----------|--------|
|   |                          |  | 2004<br>Actual                                 | Estimate |        |
|   |                          |  |  | 2005     | 2006   |
| Department of Agriculture:  |                          |  |  |          |        |
| Agricultural Credit Insurance Fund—Guaranteed Loans.                | Moderately Effective     | Credit                                 | 2,402  | 2,763    | 2,866  |
| Agricultural Credit Insurance Fund Direct Loans.                    | Moderately Effective     | Credit                                 | 844  | 955      | 937    |
| Animal Welfare .....  | Adequate                 | Regulatory Based                       | 17   | 17       | 18     |
| APHIS Plant and Animal Health Monitoring Programs.                  | Effective                | Regulatory Based                       | 173  | 232      | 283    |
| Bioenergy .....   | Adequate                 | Direct Federal                         | 150  | 100      | 60     |
| CCC Marketing Loan Payments .....                                   | Moderately Effective     | Direct Federal                         | 843  | 6,423    | 5,096  |
| CCC Export Credit Guarantee Programs (GSM-102, GSM-103, SCGP, FGP). | Moderately Effective     | Credit                                 | 4,275  | 4,556    | 4,556  |
| Commodity Grading and Certification Programs.                       | Adequate                 | Direct Federal                         | 171  | 185      | 189    |
| Commodity Supplemental Food Program (CSFP).                         | Results Not Demonstrated | Block/Formula Grant                    | 109  | 110      | 107    |
| Community Facilities Program .....                                  | Results Not Demonstrated | Credit                                 | 726  | 527      | 527    |
| Conservation Technical Assistance .....                             | Results Not Demonstrated | Direct Federal                         | 729  | 706      | 622    |
| Counter Cyclical Payments .....                                     | Adequate                 | Direct Federal                         | 812  | 3,942    | 5,950  |
| Crop Insurance .....  | Results Not Demonstrated | Direct Federal                         | 3,437  | 3,091    | 3,730  |
| Dairy MILC Program .....  | Results Not Demonstrated | Direct Federal                         | 221  | 500      | 50     |
| Dairy Price Support Program .....                                   | Results Not Demonstrated | Direct Federal                         | 399  | 280      | 130    |
| Direct Crop Payments .....  | Adequate                 | Direct Federal                         | 5,289  | 5,303    | 5,303  |
| Emergency Watershed Protection Program ..                           | Results Not Demonstrated | Direct Federal                         | 150  | 250      | 0      |
| Environmental Quality Incentives Program (EQIP).                    | Moderately Effective     | Direct Federal                         | 903  | 1,017    | 1,000  |
| Farmland Protection Program .....                                   | Results Not Demonstrated | Competitive Grant                      | 91   | 112      | 84     |
| Food Aid Programs .....   | Moderately Effective     | Competitive Grant                      | 515  | 524      | 539    |
| Food Safety and Inspection Service .....                            | Adequate                 | Regulatory Based                       | 778  | 820      | 853    |
| Food Safety Research .....  | Results Not Demonstrated | Research and Development               | 98   | 103      | 108    |
| Food Stamp Program .....  | Moderately Effective     | Block/Formula Grant                    | 27,205   | 32,397   | 35,922 |
| Forest Service: Forest Legacy Program .....                         | Moderately Effective     | Competitive Grant                      | 64   | 57       | 80     |
| Forest Service: Invasive Species Program .....                      | Results Not Demonstrated | Direct Federal                         | 263  | 167      | 173    |
| Forestry Research Grants .....                                      | Results Not Demonstrated | Block/Formula Grant                    | 22   | 22       | 11     |
| In House Research: Economic Opportunities for Producers.            | Moderately Effective     | Research and Development               | 381  | 385      | 321    |
| Intermediary Relending Program (IRP) .....                          | Adequate                 | Credit                                 | 40   | 34       | 34     |
| Land Acquisition .....  | Results Not Demonstrated | Direct Federal                         | 102  | 156      | 41     |
| Multifamily Housing Direct Loans and Rental Assistance.             | Results Not Demonstrated | Mixed                                  | 631  | 620      | 884    |
| Mutual Self Help Housing—Technical Assistance Grants.               | Moderately Effective     | Competitive Grant                      | 34   | 34       | 34     |
| National Agricultural Statistics Service .....                      | Moderately Effective     | Direct Federal                         | 128  | 128      | 145    |
| National Forest Improvement and Maintenance.                        | Adequate                 | Capital Assets and Service Acquisition | 635  | 704      | 391    |
| National Resources Inventory .....                                  | Results Not Demonstrated | Direct Federal                         | 35   | 37       | 37     |
| National School Lunch .....   | Results Not Demonstrated | Block/Formula Grant                    | 6,649  | 6,967    | 7,254  |
| Perishable Agricultural Commodities Act .....                       | Moderately Effective     | Direct Federal                         | 10   | 9        | 10     |
| Pest and Disease Exclusion .....                                    | Effective                | Regulatory Based                       | 126  | 125      | 144    |
| Pesticide Data/Microbiological Data Programs.                       | Adequate                 | Direct Federal                         | 21   | 21       | 22     |
| Plant Materials Program .....                                       | Results Not Demonstrated | Research and Development               | 12   | 15       | 11     |
| RBS Business and Industry Guaranteed Loan Program.                  | Adequate                 | Credit                                 | 26   | 30       | 44     |
| Research/Extension Grants: Economic Opportunities for Producers.    | Moderately Effective     | Research and Development               | 382  | 396      | 424    |
| Resource Conservation and Development .....                         | Results Not Demonstrated | Direct Federal                         | 53   | 51       | 27     |
| Rural Business Enterprise Grant Program ..                          | Results Not Demonstrated | Competitive Grant                      | 43   | 40       | 40     |
| Rural Business-Cooperative Service Value-Added Producer Grants.     | Results Not Demonstrated | Competitive Grant                      | 14   | 16       | 16     |
| Rural Distance Learning and Telemedicine Loan and Grant Program.    | Results Not Demonstrated | Mixed                                  | 325  | 74       | 25     |
| Rural Electric Utility Loans and Guarantees                         | Adequate                 | Credit                                 | 3,989  | 3,317    | 2,520  |
| Rural Telecommunications Loan Programs ..                           | Adequate                 | Credit                                 | 514  | 518      | 670    |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title  | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |        |
|---|--------------------------|--|--|----------|--------|
|   |                          |  | 2004<br>Actual                                 | Estimate |        |
|   |                          |  |  | 2005     | 2006   |
| Rural Water and Wastewater Grants and Loans.                        | Results Not Demonstrated | Mixed                                  | 601  | 548      | 450    |
| School Breakfast Program .....                                      | Moderately Effective     | Block/Formula Grant                    | 1,792  | 1,910    | 2,030  |
| Single Family Housing Direct Loans .....                            | Moderately Effective     | Credit                                 | 1,351  | 1,100    | 1,100  |
| Single Family Housing Loan Guarantees .....                         | Moderately Effective     | Credit                                 | 2,610  | 2,500    | 3,100  |
| Snow Survey Water Supply Forecasting .....                          | Moderately Effective     | Direct Federal                         | 10   | 11       | 10     |
| Soil Survey Program .....   | Moderately Effective     | Direct Federal                         | 87   | 89       | 88     |
| USDA Wildland Fire Management .....                                 | Results Not Demonstrated | Direct Federal                         | 1,876  | 2,014    | 1,493  |
| Watershed Protection and Flood Prevention                           | Adequate                 | Direct Federal                         | 126  | 111      | 20     |
| Wildlife Habitat Incentives Program .....                           | Results Not Demonstrated | Direct Federal                         | 38   | 47       | 60     |
| Department of Commerce:   |                          |  |  |          |        |
| Advanced Technology Program .....                                   | Adequate                 | Competitive Grant                      | 169  | 136      | 0      |
| Bureau of Economic Analysis .....                                   | Effective                | Direct Federal                         | 67   | 73       | 81     |
| Coastal Zone Management Act Programs .....                          | Results Not Demonstrated | Block/Formula Grant                    | 136  | 128      | 96     |
| Commerce Small Business Innovation Research (SBIR) Program.         | Results Not Demonstrated | Competitive Grant                      | 9  | 7        | 5      |
| Current Demographic Statistics .....                                | Effective                | Direct Federal                         | 58   | 59       | 62     |
| Decennial Census .....  | Moderately Effective     | Direct Federal                         | 253  | 388      | 464    |
| Economic Census .....   | Effective                | Direct Federal                         | 73   | 68       | 71     |
| Economic Development Administration .....                           | Moderately Effective     | Competitive Grant                      | 308  | 284      | 27     |
| Export Administration .....   | Adequate                 | Regulatory Based                       | 26   | 26       | 31     |
| Intercensal Demographic Estimates .....                             | Moderately Effective     | Direct Federal                         | 9  | 9        | 10     |
| Manufacturing Extension Partnership .....                           | Moderately Effective     | Competitive Grant                      | 39   | 108      | 47     |
| Minority Business Development Agency .....                          | Results Not Demonstrated | Competitive Grant                      | 29   | 30       | 31     |
| National Marine Fisheries Service .....                             | Adequate                 | Regulatory Based                       | 644  | 686      | 627    |
| National Weather Service .....                                      | Effective                | Direct Federal                         | 825  | 783      | 839    |
| NIST Laboratories .....   | Effective                | Research and Development               | 300  | 375      | 412    |
| NOAA Climate Program .....  | Moderately Effective     | Research and Development               | 242  | 275      | 250    |
| NOAA Navigation Services .....                                      | Moderately Effective     | Direct Federal                         | 89   | 83       | 92     |
| NOAA Protected Areas .....  | Adequate                 | Regulatory Based                       | 62   | 71       | 46     |
| Pacific Coastal Salmon Recovery Fund .....                          | Results Not Demonstrated | Block/Formula Grant                    | 89   | 89       | 90     |
| Survey Sample Redesign .....  | Effective                | Direct Federal                         | 13   | 11       | 10     |
| U.S. Patent and Trademark Office—Patents                            | Adequate                 | Direct Federal                         | 1,098  | 1,380    | 1,517  |
| U.S. Patent and Trademark Office—Trademarks.                        | Moderately Effective     | Direct Federal                         | 131  | 174      | 186    |
| US and Foreign Commercial Service (USFCS).                          | Adequate                 | Direct Federal                         | 225  | 216      | 232    |
| Department of Defense—Military:                                     |                          |  |  |          |        |
| Air Combat Program .....  | Moderately Effective     | Capital Assets and Service Acquisition | 13,904   | 14,537   | 14,559 |
| Air Force Aircraft Operations .....                                 | Effective                | Direct Federal                         | 10,481   | 8,299    | 9,341  |
| Air Force Depot Maintenance .....                                   | Effective                | Direct Federal                         | 3,402  | 3,576    | 3,533  |
| Airlift Program .....   | Moderately Effective     | Capital Assets and Service Acquisition | 5,143  | 6,356    | 5,960  |
| Army Land Forces Operations .....                                   | Effective                | Direct Federal                         | 9,236  | 9,710    | 9,488  |
| Basic Research .....  | Effective                | Research and Development               | 1,358  | 1,513    | 1,319  |
| Chemical Demilitarization .....                                     | Ineffective              | Capital Assets and Service Acquisition | 1,620  | 1,454    | 1,406  |
| Comanche Helicopter Program .....                                   | Results Not Demonstrated | Capital Assets and Service Acquisition | 1,068  | 0        | 0      |
| Communications Infrastructure .....                                 | Results Not Demonstrated | Capital Assets and Service Acquisition | 3,625  | 4,244    | 4,021  |
| Defense Health .....  | Adequate                 | Direct Federal                         | 17,769   | 18,177   | 19,792 |
| Depot Maintenance—Naval Aviation .....                              | Effective                | Direct Federal                         | 1,078  | 980      | 962    |
| Depot Maintenance—Ship .....  | Effective                | Direct Federal                         | 4,107  | 3,889    | 3,967  |
| DoD Applied Research Program .....                                  | Moderately Effective     | Research and Development               | 4,350  | 4,850    | 4,139  |
| DoD Small Business Innovation Research/Technology Transfer.         | Results Not Demonstrated | Research and Development               | 1,100  | 1,133    | 1,500  |
| Energy Conservation Improvement .....                               | Effective                | Capital Assets & Service Acquisition   | 50   | 50       | 60     |
| Facilities Sustainment, Restoration, Modernization, and Demolition. | Adequate                 | Direct Federal                         | 11,189   | 11,291   | 12,795 |
| Housing .....   | Moderately Effective     | Direct Federal                         | 17,001   | 15,554   | 16,371 |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title                                       | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |         |
|--|--------------------------|--|--|----------|---------|
|  |                          |  | 2004<br>Actual                                 | Estimate |         |
|  |                          |  |  | 2005     | 2006    |
| Military Force Management .....                            | Effective                | Direct Federal                         | 115,549  | 105,273  | 108,942 |
| Missile Defense .....                                      | Moderately Effective     | Capital Assets and Service Acquisition | 8,618  | 10,044   | 8,567   |
| Navy Ship Operations .....                                 | Effective                | Direct Federal                         | 4,372  | 4,224    | 4,406   |
| Navy/Marine Corps Air Operations .....                     | Effective                | Direct Federal                         | 4,632  | 5,687    | 5,649   |
| Recruiting .....   | Moderately Effective     | Direct Federal                         | 1,935  | 2,048    | 2,217   |
| Shipbuilding .....   | Adequate                 | Capital Assets and Service Acquisition | 11,989   | 11,384   | 9,354   |
| Department of Education:                                   |                          |  |  |          |         |
| 21st Century Community Learning Centers                    | Adequate                 | Block/Formula Grant                    | 999  | 991      | 991     |
| Adult Education State Grants .....                         | Results Not Demonstrated | Block/Formula Grant                    | 574  | 570      | 200     |
| American Indian Vocational Rehabilitation Services.        | Adequate                 | Competitive Grant                      | 31   | 32       | 33      |
| Assistive Technology (AT) Alternative Financing Program.   | Results Not Demonstrated | Competitive Grant                      | 0  | 4        | 15      |
| B.J. Stupak Olympic scholarships .....                     | Results Not Demonstrated | Competitive Grant                      | 1  | 1        | 0       |
| Byrd Honors Scholarships .....                             | Results Not Demonstrated | Block/Formula Grant                    | 41   | 41       | 0       |
| Child Care Access Means Parents in School                  | Results Not Demonstrated | Competitive Grant                      | 16   | 16       | 16      |
| College Assistance Migrant Program (CAMP).                 | Results Not Demonstrated | Competitive Grant                      | 16   | 16       | 16      |
| Comprehensive Regional Assistance Centers                  | Results Not Demonstrated | Competitive Grant                      | 28   | 0        | 0       |
| Comprehensive School Reform .....                          | Adequate                 | Block/Formula Grant                    | 234  | 205      | 0       |
| Even Start .....   | Ineffective              | Block/Formula Grant                    | 247  | 225      | 0       |
| Federal Family Education Loans .....                       | Adequate                 | Credit                                 | 9,602  | 10,111   | 7,241   |
| Federal Pell Grants .....                                  | Adequate                 | Block/Formula Grant                    | 12,007   | 12,365   | 17,953  |
| Federal Perkins Loans .....                                | Ineffective              | Credit                                 | 165  | 66       | 0       |
| Federal Work-Study .....                                   | Results Not Demonstrated | Block/Formula Grant                    | 999  | 990      | 990     |
| GEAR UP .....  | Adequate                 | Competitive Grant                      | 298  | 306      | 0       |
| Graduate Assistance in Areas of National Need.             | Results Not Demonstrated | Competitive Grant                      | 31   | 30       | 30      |
| High School Equivalency Program (HEP) .....                | Results Not Demonstrated | Competitive Grant                      | 19   | 19       | 19      |
| IDEA Grants for Infants and Families .....                 | Results Not Demonstrated | Block/Formula Grant                    | 444  | 441      | 441     |
| IDEA Grants to States .....                                | Results Not Demonstrated | Block/Formula Grant                    | 10,068   | 10,590   | 11,098  |
| IDEA Part D—Parent Information Centers ..                  | Results Not Demonstrated | Competitive Grant                      | 26   | 26       | 26      |
| IDEA Part D—Personnel Preparation .....                    | Results Not Demonstrated | Competitive Grant                      | 91   | 91       | 91      |
| IDEA Part D—Research and Innovation .....                  | Results Not Demonstrated | Research and Development               | 78   | 83       | 73      |
| IDEA Part D—Technical Assistance and Dissemination (TA&D). | Results Not Demonstrated | Competitive Grant                      | 53   | 52       | 49      |
| IDEA Preschool Grants .....                                | Results Not Demonstrated | Block/Formula Grant                    | 388  | 385      | 385     |
| Impact Aid Payments for Federal Property ..                | Results Not Demonstrated | Block/Formula Grant                    | 62   | 62       | 62      |
| Improving Teacher Quality State Grants .....               | Results Not Demonstrated | Block/Formula Grant                    | 2,930  | 2,917    | 2,917   |
| Independent Living (IL) Programs .....                     | Results Not Demonstrated | Competitive Grant                      | 127  | 131      | 131     |
| International Education Domestic .....                     | Results Not Demonstrated | Competitive Grant                      | 89   | 92       | 92      |
| Javits Fellowships .....                                   | Adequate                 | Competitive Grant                      | 10   | 10       | 10      |
| Leveraging Educational Assistance Partnership.             | Results Not Demonstrated | Block/Formula Grant                    | 66   | 66       | 0       |
| Magnet Schools .....                                       | Adequate                 | Competitive Grant                      | 109  | 108      | 108     |
| National Assessment .....                                  | Effective                | Research and Development               | 90   | 89       | 111     |
| National Center for Education Statistics .....             | Effective                | Research and Development               | 92   | 91       | 91      |
| National Writing Project .....                             | Results Not Demonstrated | Competitive Grant                      | 18   | 20       | 0       |
| Nat'l Institute on Disability and Rehab. Research (NIDRR). | Results Not Demonstrated | Research and Development               | 107  | 108      | 108     |
| Occupational and Employment Information                    | Results Not Demonstrated | Competitive Grant                      | 9  | 9        | 0       |
| Parental Information and Resource Centers                  | Results Not Demonstrated | Competitive Grant                      | 42   | 42       | 0       |
| Projects with Industry .....                               | Adequate                 | Competitive Grant                      | 22   | 22       | 0       |
| Ready to Learn Television .....                            | Results Not Demonstrated | Competitive Grant                      | 23   | 23       | 23      |
| Safe and Drug Free Schools State Grants ....               | Ineffective              | Block/Formula Grant                    | 441  | 437      | 0       |
| State Assessment Grants .....                              | Adequate                 | Block/Formula Grant                    | 390  | 412      | 412     |
| Student Aid Administration .....                           | Adequate                 | Capital Assets and Service Acquisition | 912  | 914      | 939     |
| Supplemental Educational Opportunity Grants.               | Results Not Demonstrated | Block/Formula Grant                    | 770  | 779      | 779     |
| Teacher Quality Enhancement .....                          | Results Not Demonstrated | Competitive Grant                      | 89   | 68       | 0       |
| Teaching American History .....                            | Results Not Demonstrated | Competitive Grant                      | 119  | 119      | 119     |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title   | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |       |
|--|--------------------------|--|--|----------|-------|
|  |                          |  | 2004<br>Actual                                 | Estimate |       |
|  |                          |  |  | 2005     | 2006  |
| Tech-Prep Education State Grants .....   | Results Not Demonstrated | Block/Formula Grant                    | 107  | 106      | 0     |
| Training and Advisory Services .....   | Results Not Demonstrated | Competitive Grant                      | 7  | 7        | 7     |
| Tribally Controlled Postsecondary Vocational and Technical Institutions.               | Results Not Demonstrated | Competitive Grant                      | 7  | 7        | 7     |
| TRIO Student Support Services .....  | Results Not Demonstrated | Competitive Grant                      | 263  | 275      | 275   |
| TRIO Talent Search .....   | Results Not Demonstrated | Competitive Grant                      | 144  | 145      | 0     |
| TRIO Upward Bound .....  | Ineffective              | Competitive Grant                      | 312  | 313      | 0     |
| Troops-to-Teachers .....   | Adequate                 | Competitive Grant                      | 15   | 15       | 15    |
| Vocational Education State Grants .....  | Ineffective              | Block/Formula Grant                    | 1,195  | 1,194    | 0     |
| Vocational Rehabilitation State Grants .....   | Adequate                 | Block/Formula Grant                    | 2,548  | 2,636    | 2,720 |
| William D. Ford Direct Student Loans .....   | Adequate                 | Credit                                 | -169   | -89      | -616  |
| Department of Energy:  |                          |  |  |          |       |
| Advanced Fuel Cycle Initiative .....   | Moderately Effective     | Research and Development               | 66   | 67       | 70    |
| Advanced Scientific Computing Research .....   | Moderately Effective     | Research and Development               | 202  | 232      | 207   |
| Advanced Simulation and Computing (ASCI)   | Effective                | Research and Development               | 718  | 741      | 666   |
| Basic Energy Sciences .....  | Effective                | Research and Development               | 1,011  | 1,105    | 1,146 |
| Biological and Environmental Research .....  | Effective                | Research and Development               | 641  | 582      | 456   |
| Bonneville Power Administration .....  | Moderately Effective     | Capital Assets and Service Acquisition | 1,403  | -10      | -10   |
| Building Technologies .....  | Adequate                 | Research and Development               | 58   | 65       | 58    |
| Clean Coal Research Initiative .....   | Adequate                 | Research and Development               | 378  | 273      | 286   |
| Directed Stockpile Work (DSW) .....  | Moderately Effective     | Capital Assets and Service Acquisition | 1,349  | 1,406    | 1,421 |
| Distributed Energy Resources .....   | Moderately Effective     | Research and Development               | 61   | 60       | 57    |
| Elimination of Weapons-Grade Plutonium Production Program.                             | Results Not Demonstrated | Capital Assets and Service Acquisition | 65   | 50       | 132   |
| Energy Information Administration (EIA) ....   | Results Not Demonstrated | Direct Federal                         | 81   | 84       | 86    |
| Environmental Management .....   | Adequate                 | Capital Assets and Service Acquisition | 7,052  | 7,284    | 6,505 |
| Facilities and Infrastructure .....  | Moderately Effective     | Capital Assets and Service Acquisition | 239  | 316      | 284   |
| Fuel Cells (Stationary) .....  | Adequate                 | Research and Development               | 69   | 74       | 65    |
| Fusion Energy Sciences .....   | Moderately Effective     | Research and Development               | 263  | 274      | 291   |
| Generation IV Nuclear Energy Systems Initiative.                                       | Moderately Effective     | Research and Development               | 27   | 40       | 45    |
| Geothermal Technology .....  | Moderately Effective     | Research and Development               | 26   | 25       | 23    |
| High Energy Physics .....  | Moderately Effective     | Research and Development               | 734  | 736      | 714   |
| High Temperature Superconducting (HTS) R&D   | Moderately Effective     | Research and Development               | 34   | 55       | 45    |
| Hydrogen Technology .....  | Moderately Effective     | Research and Development               | 82   | 94       | 99    |
| Inertial Confinement Fusion Ignition and High Yield Campaign/NIF Construction Project. | Moderately Effective     | Research and Development               | 513  | 492      | 460   |
| International Nuclear Materials Protection and Cooperation.                            | Effective                | Direct Federal                         | 258  | 238      | 343   |
| National Nuclear Infrastructure .....  | Results Not Demonstrated | Capital Assets and Service Acquisition | 76   | 113      | 98    |
| Natural Gas Technologies .....   | Ineffective              | Research and Development               | 43   | 45       | 10    |
| Nonproliferation and International Security  | Effective                | Direct Federal                         | 114  | 124      | 80    |
| Nuclear Energy Research Initiative .....   | Results Not Demonstrated | Research and Development               | 6  | 0        | 0     |
| Nuclear Physics .....  | Effective                | Research and Development               | 390  | 405      | 371   |
| Nuclear Power 2010 .....   | Adequate                 | Research and Development               | 19   | 50       | 56    |
| Oil Technology .....   | Ineffective              | Research and Development               | 35   | 34       | 10    |
| Readiness in Technical Base and Facilities (RTBF), Operations.                         | Moderately Effective     | Capital Assets and Service Acquisition | 1,314  | 1,310    | 1,388 |
| Safeguards and Security .....  | Moderately Effective     | Direct Federal                         | 626  | 707      | 708   |
| Secure Transportation Asset (STA) .....  | Moderately Effective     | Direct Federal                         | 186  | 201      | 212   |
| Solar Energy .....   | Moderately Effective     | Research and Development               | 83   | 85       | 84    |
| Southeastern Power Administration .....  | Moderately Effective     | Direct Federal                         | 5  | 5        | 0     |
| Southwestern Power Administration .....  | Moderately Effective     | Direct Federal                         | 29   | 29       | 3     |
| State Energy Programs .....  | Results Not Demonstrated | Block/Formula Grant                    | 44   | 44       | 41    |
| Strategic Petroleum Reserve (SPR) .....  | Effective                | Direct Federal                         | 176  | 170      | 166   |
| Vehicle Technologies .....   | Moderately Effective     | Research and Development               | 177  | 165      | 166   |
| Weatherization Assistance .....  | Moderately Effective     | Block/Formula Grant                    | 227  | 228      | 230   |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title   | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |         |
|--|--------------------------|--|--|----------|---------|
|  |                          |  | 2004<br>Actual                                 | Estimate |         |
|  |                          |  |  | 2005     | 2006    |
| Western Area Power Administration .....                          | Moderately Effective     | Capital Assets and Service Acquisition | 177  | 172      | 54      |
| Wind Energy .....  | Moderately Effective     | Research and Development               | 41   | 41       | 44      |
| Yucca Mountain Project .....                                     | Adequate                 | Capital Assets and Service Acquisition | 577  | 572      | 651     |
| Department of Health and Human Services:                         |                          |  |  |          |         |
| 317 Immunization Program .....                                   | Adequate                 | Competitive Grant                      | 469  | 520      | 429     |
| Administration on Aging .....                                    | Moderately Effective     | Block/Formula Grant                    | 1,243  | 1,253    | 1,272   |
| Adolescent Family Life Program (AFL) .....                       | Results Not Demonstrated | Competitive Grant                      | 31   | 31       | 31      |
| Agency for Toxic Substances and Disease Registry.                | Adequate                 | Competitive Grant                      | 73   | 76       | 76      |
| Assets for Independence .....                                    | Adequate                 | Competitive Grant                      | 25   | 25       | 25      |
| CDC State and Local Preparedness Grants ..                       | Results Not Demonstrated | Block/Formula Grant                    | 918  | 927      | 797     |
| CDC: Buildings and Facilities .....                              | Adequate                 | Capital Assets and Service Acquisition | 260  | 270      | 30      |
| CDC: Epidemic Services .....                                     | Results Not Demonstrated | Direct Federal                         | 92   | 92       | 92      |
| CDC: Infectious Diseases .....                                   | Adequate                 | Competitive Grant                      | 222  | 226      | 225     |
| CDC: Occupational Safety and Health .....                        | Adequate                 | Research and Development               | 277  | 286      | 286     |
| CDC: STD and TB .....  | Adequate                 | Competitive Grant                      | 296  | 298      | 299     |
| Child Care and Development Fund .....                            | Moderately Effective     | Block/Formula Grant                    | 4,804  | 4,801    | 4,801   |
| Child Welfare—Community-Based Child Abuse Prevention (CBCAP).    | Results Not Demonstrated | Block/Formula Grant                    | 33   | 43       | 43      |
| Child Welfare- CAPTA State Grant .....                           | Results Not Demonstrated | Block/Formula Grant                    | 22   | 27       | 27      |
| Children's Hospitals Graduate Medical Education Payment Program. | Adequate                 | Block/Formula Grant                    | 303  | 298      | 200     |
| Childrens Mental Health Services .....                           | Moderately Effective     | Competitive Grant                      | 102  | 105      | 105     |
| Chronic Disease—Breast and Cervical Cancer.                      | Adequate                 | Competitive Grant                      | 197  | 204      | 204     |
| Chronic Disease—Diabetes .....                                   | Adequate                 | Competitive Grant                      | 60   | 63       | 63      |
| Community Mental Health Services Block Grant.                    | Adequate                 | Block/Formula Grant                    | 435  | 433      | 433     |
| Community Services Block Grant .....                             | Results Not Demonstrated | Block/Formula Grant                    | 642  | 637      | 0       |
| Data Collection and Dissemination .....                          | Moderately Effective     | Research and Development               | 65   | 65       | 63      |
| Developmental Disabilities Grant Programs                        | Adequate                 | Block/Formula Grant                    | 150  | 154      | 154     |
| Domestic HIV/AIDS Prevention .....                               | Results Not Demonstrated | Competitive Grant                      | 668  | 662      | 658     |
| Emergency Medical Services for Children ....                     | Results Not Demonstrated | Competitive Grant                      | 20   | 20       | 0       |
| Family Violence Prevention and Services Program.                 | Results Not Demonstrated | Block/Formula Grant                    | 129  | 129      | 129     |
| Food and Drug Administration .....                               | Moderately Effective     | Regulatory Based                       | 1,695  | 1,801    | 1,881   |
| Foster Care .....  | Adequate                 | Block/Formula Grant                    | 4,974  | 4,855    | 4,855   |
| Head Start .....   | Results Not Demonstrated | Competitive Grant                      | 6,774  | 6,843    | 6,888   |
| Health Alert Network .....                                       | Adequate                 | Competitive Grant                      | 0  | 0        | 0       |
| Health Care Facilities Construction .....                        | Effective                | Capital Assets and Service Acquisition | 94   | 89       | 3       |
| Health Care Fraud and Abuse Control (HCFAC).                     | Results Not Demonstrated | Direct Federal                         | 160  | 160      | 160     |
| Health Centers .....   | Effective                | Competitive Grant                      | 1,617  | 1,734    | 2,038   |
| Health Professions .....   | Ineffective              | Competitive Grant                      | 409  | 416      | 129     |
| HIV/AIDS Research .....  | Moderately Effective     | Research and Development               | 2,850  | 2,920    | 2,933   |
| Hospital Preparedness Grants .....                               | Results Not Demonstrated | Block/Formula Grant                    | 515  | 491      | 483     |
| IHS Federally-Administered Activities .....                      | Moderately Effective     | Direct Federal                         | 1,698  | 1,793    | 1,887   |
| IHS Sanitation Facilities Construction Program.                  | Moderately Effective     | Capital Assets and Service Acquisition | 93   | 92       | 94      |
| Independent Living Program .....                                 | Results Not Demonstrated | Block/Formula Grant                    | 140  | 140      | 140     |
| Low Income Home Energy Assistance Program.                       | Results Not Demonstrated | Block/Formula Grant                    | 1,889  | 2,182    | 2,000   |
| Maternal and Child Health Block Grant (MCHBG).                   | Moderately Effective     | Block/Formula Grant                    | 730  | 724      | 724     |
| Medicare .....   | Moderately Effective     | Direct Federal                         | 296,825  | 328,239  | 396,347 |
| Medicare Integrity Program (HCFAC) .....                         | Effective                | Block/Formula Grant                    | 720  | 720      | 795     |
| National Bone Marrow Donor Registry .....                        | Moderately Effective     | Competitive Grant                      | 23   | 25       | 23      |
| National Health Service Corps .....                              | Moderately Effective     | Competitive Grant                      | 170  | 132      | 127     |
| NIH Extramural Research Programs .....                           | Effective                | Research and Development               | 20,880   | 21,146   | 21,385  |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title  | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |       |
|---|--------------------------|--|--|----------|-------|
|   |                          |  | 2004<br>Actual                                 | Estimate |       |
|   |                          |  |  | 2005     | 2006  |
| Nursing Education Loan Repayment and Scholarship Program.                 | Adequate                 | Competitive Grant                      | 27   | 31       | 31    |
| Office of Child Support Enforcement .....                                 | Effective                | Block/Formula Grant                    | 3,815  | 3,934    | 4,081 |
| Office on Women's Health .....  | Results Not Demonstrated | Competitive Grant                      | 29   | 29       | 30    |
| Organ Transplantation .....   | Adequate                 | Competitive Grant                      | 25   | 24       | 23    |
| Patient Safety .....  | Adequate                 | Research and Development               | 80   | 84       | 84    |
| Pharmaceutical Outcomes .....   | Moderately Effective     | Research and Development               | 13   | 27       | 26    |
| Poison Control Centers .....  | Adequate                 | Block/Formula Grant                    | 24   | 24       | 23    |
| Projects for Assistance in Transition from Homelessness.                  | Moderately Effective     | Block/Formula Grant                    | 50   | 55       | 55    |
| Refugee and Entrant Assistance .....                                      | Adequate                 | Block/Formula Grant                    | 201  | 214      | 214   |
| Resource and Patient Management System                                    | Effective                | Capital Assets and Service Acquisition | 34   | 36       | 37    |
| Runaway and Homeless Youth .....  | Results Not Demonstrated | Competitive Grant                      | 89   | 89       | 89    |
| Rural Health Activities .....   | Adequate                 | Competitive Grant                      | 147  | 147      | 33    |
| Ryan White .....  | Adequate                 | Block/Formula Grant                    | 2,045  | 2,073    | 2,083 |
| State Children's Health Insurance Program                                 | Adequate                 | Block/Formula Grant                    | 4,607  | 5,343    | 6,233 |
| Substance Abuse Prevention and Treatment Block Grant.                     | Ineffective              | Block/Formula Grant                    | 1,779  | 1,775    | 1,775 |
| Substance Abuse Prevention PRNS .....                                     | Moderately Effective     | Competitive Grant                      | 198  | 199      | 185   |
| Substance Abuse Treatment Programs of Regional and National Significance. | Adequate                 | Competitive Grant                      | 419  | 422      | 448   |
| Translating Research into Practice .....                                  | Adequate                 | Research and Development               | 8  | 6        | 1     |
| Traumatic Brain Injury .....  | Results Not Demonstrated | Competitive Grant                      | 9  | 9        | 0     |
| Urban Indian Health Program .....   | Adequate                 | Block/Formula Grant                    | 32   | 32       | 33    |
| Department of Homeland Security:  |                          |  |  |          |       |
| Aids to Navigation .....  | Results Not Demonstrated | Direct Federal                         | 843  | 942      | 1,031 |
| Assistance to Firefighters Grant Program ....                             | Results Not Demonstrated | Competitive Grant                      | 746  | 715      | 500   |
| Baggage Screening Technology .....  | Results Not Demonstrated | Capital Assets and Service Acquisition | 310  | 645      | 594   |
| Biological Countermeasures .....  | Effective                | Research and Development               | 286  | 398      | 385   |
| Border Patrol .....   | Results Not Demonstrated | Direct Federal                         | 1,441  | 1,547    | 1,606 |
| Coast Guard Domestic Icebreaking Program                                  | Effective                | Direct Federal                         | 48   | 52       | 72    |
| Coast Guard Fisheries Enforcement .....                                   | Moderately Effective     | Direct Federal                         | 615  | 715      | 779   |
| Coast Guard Migrant Interdiction Program                                  | Moderately Effective     | Direct Federal                         | 244  | 267      | 301   |
| Coast Guard Polar Icebreaking Program .....                               | Results Not Demonstrated | Direct Federal                         | 47   | 47       | 0     |
| Container Security Initiative .....                                       | Results Not Demonstrated | Direct Federal                         | 61   | 126      | 139   |
| Detention and Removal .....   | Moderately Effective     | Direct Federal                         | 1,084  | 1,257    | 1,493 |
| Drug Interdiction .....   | Results Not Demonstrated | Direct Federal                         | 904  | 985      | 1,114 |
| Federal Air Marshal Service .....   | Results Not Demonstrated | Direct Federal                         | 623  | 663      | 689   |
| Federal Law Enforcement Training Center ..                                | Results Not Demonstrated | Direct Federal                         | 192  | 196      | 224   |
| Federal Protective Service .....  | Moderately Effective     | Direct Federal                         | 424  | 478      | 487   |
| FEMA Response .....   | Adequate                 | Direct Federal                         | 617  | 1,307    | 326   |
| Foreign Protectees and Foreign Missions .....                             | Effective                | Direct Federal                         | 80   | 106      | 110   |
| Hazard Mitigation Grant .....   | Results Not Demonstrated | Block/Formula Grant                    | 155  | 161      | 161   |
| Immigration Services .....  | Adequate                 | Direct Federal                         | 1,576  | 1,775    | 1,854 |
| Inspection Technology .....   | Results Not Demonstrated | Direct Federal                         | 184  | 205      | 232   |
| Marine Environmental Protection .....                                     | Moderately Effective     | Regulatory Based                       | 230  | 295      | 288   |
| Metropolitan Medical Response System .....                                | Results Not Demonstrated | Block/Formula Grant                    | 50   | 30       | 0     |
| National Flood Insurance .....  | Moderately Effective     | Direct Federal                         | 2,153  | 1,524    | 1,632 |
| Office of Investigations .....  | Adequate                 | Direct Federal                         | 941  | 1,181    | 1,399 |
| Passenger Screening Technology .....                                      | Results Not Demonstrated | Capital Assets and Service Acquisition | 27   | 103      | 147   |
| Protective Intelligence .....   | Effective                | Direct Federal                         | 57   | 59       | 60    |
| Recovery .....  | Adequate                 | Direct Federal                         | 3,031  | 6,466    | 1,374 |
| Screener Training .....   | Adequate                 | Direct Federal                         | 106  | 89       | 91    |
| Screener Workforce .....  | Results Not Demonstrated | Direct Federal                         | 2,334  | 2,522    | 2,669 |
| Search and Rescue .....   | Results Not Demonstrated | Direct Federal                         | 691  | 768      | 794   |
| Standards .....   | Adequate                 | Research and Development               | 38   | 40       | 37    |
| State Homeland Security Grants .....                                      | Results Not Demonstrated | Block/Formula Grant                    | 1,200  | 1,500    | 1,020 |
| Threat and Vulnerability, Testing and Assessment (TVTA).                  | Results Not Demonstrated | Research and Development               | 93   | 66       | 50    |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title   | Rating                   | Primary Program Type                      | Program Funding Level<br>(dollars in millions) |          |        |
|--|--------------------------|---|--|----------|--------|
|  |                          |   | 2004<br>Actual                                 | Estimate |        |
|  |                          |   |  | 2005     | 2006   |
| Department of Housing and Urban Development:                       |                          |   |  |          |        |
| Community Development Block Grant (Formula).                       | Ineffective              | Block/Formula Grant                       | 4,331  | 4,117    | 0      |
| Fair Housing Assistance Program .....                              | Moderately Effective     | Block/Formula Grant                       | 28   | 26       | 23     |
| Fair Housing Initiatives Program .....                             | Results Not Demonstrated | Competitive Grant                         | 20   | 20       | 16     |
| Family Self-Sufficiency Program (FSS)—<br>within Housing Vouchers. | Adequate                 | Competitive Grant                         | 48   | 46       | 55     |
| FHA Single-Family Mortgage Insurance .....                         | Adequate                 | Credit                                    | -2,660   | -2,121   | -1,867 |
| HOME Investment Partnerships Program ..                            | Moderately Effective     | Block/Formula Grant                       | 2,006  | 1,900    | 1,941  |
| Homeownership Voucher .....  | Moderately Effective     | Competitive Grant                         | 4  | 3        | 5      |
| HOPE VI .....  | Ineffective              | Competitive Grant                         | 149  | 143      | -143   |
| Housing Counseling .....   | Adequate                 | Competitive Grant                         | 40   | 42       | 40     |
| Housing for Persons with Disabilities .....                        | Results Not Demonstrated | Competitive Grant                         | 249  | 238      | 120    |
| Housing for the Elderly .....                                      | Results Not Demonstrated | Competitive Grant                         | 773  | 741      | 741    |
| Housing Opportunities for Persons with<br>AIDS.                    | Results Not Demonstrated | Block/Formula Grant                       | 297  | 282      | 268    |
| Housing Vouchers .....   | Moderately Effective     | Competitive Grant                         | 14,415   | 14,717   | 15,784 |
| Indian Community Development Block<br>Grant Program.               | Adequate                 | Competitive Grant                         | 72   | 68       | 58     |
| Lead Hazard Grants .....   | Moderately Effective     | Competitive Grant                         | 164  | 167      | 110    |
| National Community Development Initiative                          | Moderately Effective     | Block/Formula Grant                       | 35   | 34       | 0      |
| Native American Housing Block Grants .....                         | Results Not Demonstrated | Block/Formula Grant                       | 650  | 622      | 522    |
| Partnership for Advancing Technology in<br>Housing (PATH).         | Results Not Demonstrated | Research and Development                  | 7  | 7        | 0      |
| Project-Based Rental Assistance .....                              | Ineffective              | Capital Assets and Service<br>Acquisition | 4,769  | 4,950    | 4,682  |
| Rural Housing and Economic Development ..                          | Ineffective              | Competitive Grant                         | 25   | 24       | 0      |
| Department of the Interior:  |                          |   |  |          |        |
| Abandoned Mine Land Reclamation .....                              | Results Not Demonstrated | Block/Formula Grant                       | 172  | 168      | 170    |
| DOI Wildland Fire Management .....                                 | Results Not Demonstrated | Direct Federal                            | 685  | 733      | 757    |
| Energy and Minerals Management .....                               | Adequate                 | Direct Federal                            | 109  | 108      | 117    |
| Energy Resource Assessments .....                                  | Moderately Effective     | Research and Development                  | 25   | 24       | 21     |
| Federal Regulatory and Abandoned Mine<br>Land Program.             | Results Not Demonstrated | Regulatory Based                          | 54   | 58       | 58     |
| Geologic Hazard Assessments .....                                  | Moderately Effective     | Research and Development                  | 75   | 76       | 82     |
| Habitat Restoration Activities .....                               | Moderately Effective     | Direct Federal                            | 144  | 150      | 158    |
| Indian 477—Job Placement and Training ....                         | Moderately Effective     | Block/Formula Grant                       | 8  | 9        | 9      |
| Indian Forestry Program .....                                      | Adequate                 | Direct Federal                            | 49   | 53       | 53     |
| Indian Law Enforcement .....                                       | Results Not Demonstrated | Direct Federal                            | 172  | 180      | 192    |
| Indian Post Secondary Education—Tribal<br>Colleges.                | Adequate                 | Block/Formula Grant                       | 94   | 97       | 88     |
| Indian Roads—Operation and Maintenance                             | Results Not Demonstrated | Direct Federal                            | 27   | 27       | 27     |
| Indian School Construction .....                                   | Results Not Demonstrated | Capital Assets and Service<br>Acquisition | 295  | 263      | 174    |
| Indian School Operations .....                                     | Adequate                 | Direct Federal                            | 522  | 518      | 522    |
| Land & Water Conservation Fund (LWCF)<br>State Grants.             | Results Not Demonstrated | Block/Formula Grant                       | 91   | 90       | 0      |
| LWCF Land Acquisition .....  | Results Not Demonstrated | Direct Federal                            | 98   | 103      | 114    |
| Migratory Bird Program .....                                       | Results Not Demonstrated | Direct Federal                            | 119  | 129      | 141    |
| Mineral Resource Assessments .....                                 | Moderately Effective     | Research and Development                  | 55   | 54       | 26     |
| Minerals Revenue Management .....                                  | Results Not Demonstrated | Direct Federal                            | 99   | 103      | 104    |
| National Fish Hatchery System .....                                | Moderately Effective     | Direct Federal                            | 58   | 57       | 58     |
| National Historic Preservation Programs .....                      | Moderately Effective     | Block/Formula Grant                       | 97   | 98       | 88     |
| National Mapping .....   | Effective                | Research and Development                  | 130  | 119      | 139    |
| National Park Service Cultural Resource<br>Stewardship.            | Adequate                 | Direct Federal                            | 92   | 95       | 96     |
| National Park Service Facility Management                          | Adequate                 | Capital Assets and Service<br>Acquisition | 700  | 690      | 717    |
| National Park Service Natural Resource<br>Stewardship.             | Moderately Effective     | Direct Federal                            | 198  | 206      | 210    |
| National Wildlife Refuge Operations and<br>Maintenance.            | Results Not Demonstrated | Direct Federal                            | 412  | 402      | 415    |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title   | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |       |
|--|--------------------------|--|--|----------|-------|
|  |                          |  | 2004<br>Actual                                 | Estimate |       |
|  |                          |  |  | 2005     | 2006  |
| Outer Continental Shelf Environmental Studies.                     | Moderately Effective     | Research and Development               | 27   | 27       | 26    |
| Partners for Fish and Wildlife .....                               | Adequate                 | Direct Federal                         | 42   | 48       | 52    |
| Project Planning and Construction .....                            | Results Not Demonstrated | Capital Assets and Service Acquisition | 143  | 143      | 143   |
| Realty and Ownership Management .....                              | Adequate                 | Direct Federal                         | 107  | 107      | 97    |
| Reclamation Hydropower .....                                       | Effective                | Capital Assets and Service Acquisition | 59   | 69       | 71    |
| Recreation and Concessions .....                                   | Adequate                 | Capital Assets and Service Acquisition | 10   | 10       | 10    |
| Recreation Management .....  | Adequate                 | Direct Federal                         | 64   | 63       | 68    |
| Regulation of Surface Coal Mining Activities                       | Results Not Demonstrated | Regulatory Based                       | 70   | 71       | 71    |
| Resource Evaluation and Leasing Program ..                         | Moderately Effective     | Direct Federal                         | 54   | 58       | 59    |
| Rural Water Supply Projects .....                                  | Results Not Demonstrated | Capital Assets and Service Acquisition | 75   | 89       | 55    |
| Science & Technology Program (S&T) .....                           | Effective                | Research and Development               | 16   | 17       | 10    |
| Southern Nevada Public Land Management Act.                        | Results Not Demonstrated | Direct Federal                         | 240  | 401      | 521   |
| Title XVI Water Reuse and Recycling .....                          | Moderately Effective     | Competitive Grant                      | 28   | 26       | 10    |
| Tribal Courts .....  | Results Not Demonstrated | Direct Federal                         | 18   | 18       | 18    |
| Tribal Land Consolidation .....                                    | Moderately Effective     | Capital Assets and Service Acquisition | 22   | 35       | 35    |
| Water Information Collection and Dissemination.                    | Moderately Effective     | Research and Development               | 66   | 64       | 64    |
| Water Resources Research .....                                     | Moderately Effective     | Research and Development               | 143  | 141      | 140   |
| Department of Justice:   |                          |  |  |          |       |
| ATF Arson & Explosives Program .....                               | Moderately Effective     | Direct Federal                         | 215  | 188      | 195   |
| ATF Firearms Programs—Integrated Violence Reduction Strategy.      | Moderately Effective     | Direct Federal                         | 596  | 673      | 712   |
| Bureau of Prisons .....  | Moderately Effective     | Direct Federal                         | 4,755  | 4,776    | 5,066 |
| Community Oriented Policing Services .....                         | Results Not Demonstrated | Competitive Grant                      | 742  | 499      | 22    |
| Criminal Justice Services .....                                    | Moderately Effective     | Direct Federal                         | 291  | 435      | 464   |
| Cybercrime .....   | Adequate                 | Direct Federal                         | 183  | 234      | 251   |
| Drug Courts .....  | Results Not Demonstrated | Competitive Grant                      | 38   | 39       | 70    |
| Drug Enforcement Administration .....                              | Adequate                 | Direct Federal                         | 2,070  | 2,208    | 2,269 |
| Juvenile Accountability Block Grants .....                         | Ineffective              | Block/Formula Grant                    | 59   | 54       | 0     |
| National Criminal History Improvement Program.                     | Moderately Effective     | Block/Formula Grant                    | 30   | 25       | 58    |
| Organized Crime/Drug Enforcement .....                             | Adequate                 | Direct Federal                         | 379  | 581      | 545   |
| Residential Substance Abuse Treatment .....                        | Results Not Demonstrated | Block/Formula Grant                    | 0  | 25       | 44    |
| State Criminal Alien Assistance Program ....                       | Results Not Demonstrated | Block/Formula Grant                    | 297  | 301      | 0     |
| U.S. Attorneys .....   | Adequate                 | Direct Federal                         | 1,525  | 1,542    | 1,623 |
| USMS Apprehension of Fugitives .....                               | Adequate                 | Direct Federal                         | 181  | 186      | 191   |
| USMS Protection of the Judicial Process .....                      | Adequate                 | Direct Federal                         | 539  | 551      | 599   |
| Weed and Seed .....  | Adequate                 | Competitive Grant                      | 58   | 61       | 60    |
| White Collar Crime .....   | Adequate                 | Direct Federal                         | 429  | 508      | 529   |
| Department of Labor:   |                          |  |  |          |       |
| Black Lung Benefits Program .....                                  | Moderately Effective     | Direct Federal                         | 1,451  | 1,446    | 1,401 |
| Bureau of Labor Statistics .....                                   | Effective                | Direct Federal                         | 524  | 534      | 543   |
| Community Service Employment for Older Americans.                  | Ineffective              | Direct Federal                         | 439  | 437      | 437   |
| Davis-Bacon Wage Determination Program                             | Results Not Demonstrated | Regulatory Based                       | 10   | 10       | 10    |
| Dislocated Worker Assistance .....                                 | Adequate                 | Block/Formula Grant                    | 1,236  | 1,203    | 1,094 |
| Employee Benefits Security Administration (EBSA).                  | Moderately Effective     | Regulatory Based                       | 134  | 148      | 154   |
| Employment Service .....   | Adequate                 | Block/Formula Grant                    | 787  | 780      | 696   |
| Federal Employees' Compensation Act (FECA).                        | Moderately Effective     | Direct Federal                         | 2,571  | 2,634    | 2,702 |
| H-1B Labor Condition Applications Program                          | Moderately Effective     | Direct Federal                         | 5  | 5        | 6     |
| International Child Labor Program and Office of Foreign Relations. | Adequate                 | Competitive Grant                      | 111  | 93       | 12    |
| Job Corps .....  | Moderately Effective     | Direct Federal                         | 1,566  | 1,576    | 1,547 |
| Migrant and Seasonal Farmworkers .....                             | Ineffective              | Competitive Grant                      | 79   | 78       | 0     |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title  | Rating               | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |        |
|---|----------------------|--|--|----------|--------|
|   |                      |  | 2004<br>Actual                                 | Estimate |        |
|   |                      |  |  | 2005     | 2006   |
| Mine Safety and Health Administration .....   | Adequate             | Regulatory Based                       | 269  | 279      | 280    |
| Native American Programs—Workforce Investment Act.  | Adequate             | Competitive Grant                      | 57   | 56       | 56     |
| Occupational Safety and Health Administration.  | Adequate             | Regulatory Based                       | 458  | 464      | 467    |
| Office of Federal Contract Compliance Programs (OFCCP).   | Adequate             | Regulatory Based                       | 79   | 80       | 82     |
| Pension Benefit Guaranty Corporation .....  | Moderately Effective | Direct Federal                         | 294  | 312      | 297    |
| Permanent Labor Certification Program .....   | Adequate             | Direct Federal                         | 37   | 38       | 40     |
| Trade Adjustment Assistance .....   | Ineffective          | Direct Federal                         | 1,341  | 1,060    | 969    |
| Unemployment Insurance Administration State Grants.   | Moderately Effective | Block/Formula Grant                    | 2,815  | 2,699    | 2,660  |
| Youth Activities .....  | Ineffective          | Block/Formula Grant                    | 1,005  | 1,012    | 960    |
| Department of State:  |                      |  |  |          |        |
| Andean Counterdrug Initiative (ACI) .....   | Adequate             | Direct Federal                         | 727  | 725      | 735    |
| Capital Security Construction Program .....   | Effective            | Capital Assets and Service Acquisition | 753  | 775      | 810    |
| Contribution to the United Nations Development Programme (UNDP).  | Effective            | Block/Formula Grant                    | 101  | 108      | 95     |
| Contributions For International Peacekeeping Activities.  | Effective            | Direct Federal                         | 795  | 483      | 1,036  |
| Educational and Cultural Exchange Programs in Near East Asia and South Asia.                              | Effective            | Competitive Grant                      | 80   | 89       | 125    |
| Global Educational and Cultural Exchanges   | Effective            | Competitive Grant                      | 321  | 356      | 430    |
| Humanitarian Migrants to Israel .....   | Effective            | Block/Formula Grant                    | 49   | 50       | 40     |
| International Narcotics Control and Law Enforcement Programs in the Western Hemisphere (INCLE WHA).       | Adequate             | Direct Federal                         | 47   | 45       | 51     |
| International Fisheries Commissions .....   | Adequate             | Block/Formula Grant                    | 19   | 21       | 25     |
| Nonproliferation of WMD Expertise (NWMDE).  | Moderately Effective | Direct Federal                         | 36   | 38       | 44     |
| Non-Security Based Capital Construction Program.  | Effective            | Capital Assets and Service Acquisition | 64   | 0        | 0      |
| Refugee Admissions to the U.S. ....   | Effective            | Competitive Grant                      | 142  | 138      | 223    |
| UN High Commissioner for Refugees (UNHCR).  | Effective            | Block/Formula Grant                    | 297  | 270      | 285    |
| Visa and Consular Services .....  | Effective            | Direct Federal                         | 649  | 755      | 791    |
| Worldwide Security Upgrades .....   | Effective            | Direct Federal                         | 640  | 650      | 690    |
| Department of Transportation:   |                      |  |  |          |        |
| FAA Air Traffic Services .....  | Adequate             | Direct Federal                         | 6,581  | 7,475    | 7,247  |
| FAA Grants-in-Aid for Airports (Airport Improvement Program).   | Moderately Effective | Competitive Grant                      | 3,784  | 3,987    | 3,021  |
| Facilities and Equipment .....  | Adequate             | Capital Assets and Service Acquisition | 2,863  | 2,525    | 2,448  |
| Federal Highway Administration (FHWA): Research and Development/Intelligent Transportation Systems (ITS). | Moderately Effective | Research and Development               | 436  | 458      | 430    |
| Federal Lands .....   | Moderately Effective | Block/Formula Grant                    | 664  | 750      | 973    |
| Federal Motor Carrier Safety Administration Grant Program.  | Moderately Effective | Block/Formula Grant                    | 193  | 193      | 225    |
| FHWA Highway Infrastructure .....   | Moderately Effective | Block/Formula Grant                    | 32,728   | 32,926   | 33,167 |
| Formula Programs—Section 5307 and 5309  | Effective            | Block/Formula Grant                    | 4,427  | 5,384    | 5,024  |
| Hazardous Materials Transportation .....  | Moderately Effective | Block/Formula Grant                    | 14   | 14       | 14     |
| Maritime Security Program .....   | Effective            | Capital Assets and Service Acquisition | 102  | 101      | 156    |
| National Highway Traffic Safety Administration Grant Program.   | Moderately Effective | Block/Formula Grant                    | 224  | 227      | 469    |
| New Starts .....  | Moderately Effective | Competitive Grant                      | 1,438  | 1,531    | 1,531  |
| Operations and Programs .....   | Moderately Effective | Direct Federal                         | 175  | 229      | 233    |
| Operations and Research .....   | Moderately Effective | Regulatory Based                       | 112  | 278      | 285    |
| Pipeline Safety .....   | Moderately Effective | Regulatory Based                       | 101  | 116      | 92     |
| Railroad Safety Program (RSP) .....   | Moderately Effective | Regulatory Based                       | 143  | 157      | 148    |
| Regulation & Certification .....  | Moderately Effective | Regulatory Based                       | 871  | 903      | 942    |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title                                 | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |        |
|--|--------------------------|--|--|----------|--------|
|  |                          |  | 2004<br>Actual                                 | Estimate |        |
|  |                          |  |  | 2005     | 2006   |
| Research and Development .....                       | Moderately Effective     | Research and Development               | 37   | 44       | 51     |
| Research, Engineering & Development .....            | Effective                | Research and Development               | 137  | 165      | 146    |
| Department of the Treasury:                          |                          |  |  |          |        |
| Administering the Public Debt .....                  | Effective                | Direct Federal                         | 173  | 175      | 177    |
| ATF Consumer Product Safety Activities .....         | Adequate                 | Regulatory Based                       | 20   | 37       | 41     |
| Bank Enterprise Award .....                          | Results Not Demonstrated | Competitive Grant                      | 15   | 10       | 0      |
| CDFI Fund: Financial and Technical Assistance.       | Adequate                 | Competitive Grant                      | 38   | 32       | 0      |
| Coin Production .....                                | Effective                | Direct Federal                         | 431  | 481      | 480    |
| Debt Collection .....                                | Effective                | Direct Federal                         | 64   | 44       | 50     |
| Earned Income Tax Credit (EITC) Compliance.          | Ineffective              | Direct Federal                         | 197  | 165      | 169    |
| Financial Management Service (FMS): FMS Collections. | Effective                | Direct Federal                         | 17   | 20       | 17     |
| IRS Tax Collection .....                             | Results Not Demonstrated | Direct Federal                         | 1,773  | 1,826    | 2,015  |
| IRS Taxpayer Advocate Service .....                  | Moderately Effective     | Direct Federal                         | 166  | 170      | 174    |
| IRS Taxpayer Service .....                           | Adequate                 | Direct Federal                         | 2,361  | 2,329    | 2,254  |
| New Currency Manufacturing .....                     | Effective                | Direct Federal                         | 340  | 345      | 410    |
| New Markets Tax Credit .....                         | Adequate                 | Competitive Grant                      | 5  | 6        | 4      |
| OCC Bank Supervision .....                           | Effective                | Regulatory Based                       | 477  | 488      | 509    |
| Office of Foreign Assets Control (OFAC) .....        | Results Not Demonstrated | Direct Federal                         | 22   | 22       | 24     |
| OTS Thrift Supervision .....                         | Effective                | Regulatory Based                       | 178  | 182      | 187    |
| Submission Processing (SP) .....                     | Results Not Demonstrated | Direct Federal                         | 1,275  | 1,276    | 1,274  |
| U.S. Mint: Numismatic Program .....                  | Effective                | Direct Federal                         | 452  | 709      | 696    |
| Department of Veterans Affairs:                      |                          |  |  |          |        |
| Burial Benefits .....                                | Moderately Effective     | Direct Federal                         | 431  | 453      | 467    |
| Disability Compensation .....                        | Results Not Demonstrated | Direct Federal                         | 26,995   | 29,845   | 30,644 |
| General Administration .....                         | Moderately Effective     | Direct Federal                         | 551  | 622      | 677    |
| Housing .....  | Results Not Demonstrated | Credit                                 | 9,385  | 11,440   | 10,678 |
| Medical Care .....                                   | Adequate                 | Direct Federal                         | 28,207   | 29,925   | 30,705 |
| Montgomery GI Bill (MGIB) (Education Benefits).      | Results Not Demonstrated | Direct Federal                         | 1,968  | 1,991    | 2,580  |
| VA Research and Development .....                    | Results Not Demonstrated | Research and Development               | 866  | 784      | 786    |
| Corps of Engineers-Civil Works:                      |                          |  |  |          |        |
| Coastal Ports and Harbors .....                      | Results Not Demonstrated | Capital Assets and Service Acquisition | 914  | 911      | 907    |
| Coastal Storm Damage Reduction .....                 | Results Not Demonstrated | Capital Assets and Service Acquisition | 93   | 82       | 69     |
| Corps Hydropower .....                               | Adequate                 | Capital Assets and Service Acquisition | 245  | 285      | 240    |
| Emergency Management .....                           | Moderately Effective     | Direct Federal                         | 3  | 148      | 70     |
| Flood Damage Reduction .....                         | Results Not Demonstrated | Capital Assets and Service Acquisition | 1,077  | 1,059    | 967    |
| Inland Waterways Navigation .....                    | Results Not Demonstrated | Capital Assets and Service Acquisition | 866  | 844      | 898    |
| Non-regulatory Wetlands Activities .....             | Results Not Demonstrated | Capital Assets and Service Acquisition | 413  | 423      | 507    |
| Recreation Management .....                          | Moderately Effective     | Direct Federal                         | 260  | 268      | 268    |
| USACE Regulatory Program .....                       | Moderately Effective     | Regulatory Based                       | 140  | 144      | 160    |
| Environmental Protection Agency:                     |                          |  |  |          |        |
| Acid Rain .....                                      | Moderately Effective     | Regulatory Based                       | 19   | 19       | 19     |
| Air Toxics .....                                     | Adequate                 | Regulatory Based                       | 96   | 99       | 100    |
| Alaska Native Villages .....                         | Ineffective              | Block/Formula Grant                    | 43   | 45       | 15     |
| Brownfields .....                                    | Adequate                 | Competitive Grant                      | 170  | 210      | 210    |
| Civil Enforcement .....                              | Adequate                 | Direct Federal                         | 446  | 446      | 467    |
| Clean Water State Revolving Fund .....               | Adequate                 | Block/Formula Grant                    | 1,342  | 1,091    | 730    |
| Climate Change Programs .....                        | Adequate                 | Direct Federal                         | 110  | 109      | 113    |
| Criminal Enforcement .....                           | Adequate                 | Direct Federal                         | 43   | 46       | 51     |
| Drinking Water State Revolving Fund .....            | Adequate                 | Block/Formula Grant                    | 845  | 850      | 850    |
| Ecological Research .....                            | Results Not Demonstrated | Research and Development               | 115  | 94       | 84     |
| Endocrine Disruptors .....                           | Adequate                 | Direct Federal                         | 17   | 17       | 18     |
| Environmental Education .....                        | Results Not Demonstrated | Competitive Grant                      | 9  | 9        | 0      |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title                               | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |       |
|--|--------------------------|--|--|----------|-------|
|  |                          |  | 2004<br>Actual                                 | Estimate |       |
|  |                          |  |  | 2005     | 2006  |
| Existing Chemicals .....                           | Adequate                 | Direct Federal                         | 16   | 16       | 17    |
| Leaking Underground Storage Tanks .....            | Adequate                 | Block/Formula Grant                    | 72   | 69       | 69    |
| Mobile Source Standards and Certification ..       | Moderately Effective     | Regulatory Based                       | 61   | 68       | 70    |
| New Chemicals .....                                | Moderately Effective     | Direct Federal                         | 15   | 14       | 15    |
| Nonpoint Source Grants .....                       | Adequate                 | Block/Formula Grant                    | 237  | 209      | 209   |
| Particulate Matter Research .....                  | Results Not Demonstrated | Research and Development               | 57   | 64       | 66    |
| Pesticide Enforcement Grant Program .....          | Ineffective              | Block/Formula Grant                    | 20   | 20       | 19    |
| Pesticide Field Programs .....                     | Results Not Demonstrated | Block/Formula Grant                    | 38   | 40       | 38    |
| Pesticide Registration .....                       | Adequate                 | Direct Federal                         | 43   | 45       | 44    |
| Pesticide Reregistration .....                     | Adequate                 | Direct Federal                         | 54   | 61       | 61    |
| Pollution Prevention and New Technologies          | Results Not Demonstrated | Research and Development               | 43   | 34       | 26    |
| Public Water System Supervision Grant Program.     | Adequate                 | Block/Formula Grant                    | 102  | 105      | 101   |
| RCRA Base Program, Permits and Grants ...          | Adequate                 | Regulatory Based                       | 152  | 156      | 158   |
| RCRA Corrective Action .....                       | Adequate                 | Regulatory Based                       | 40   | 41       | 41    |
| Stratospheric Ozone Protection .....               | Adequate                 | Regulatory Based                       | 17   | 19       | 18    |
| Superfund Remedial Action .....                    | Adequate                 | Direct Federal                         | 622  | 748      | 622   |
| Superfund Removal .....                            | Results Not Demonstrated | Direct Federal                         | 232  | 229      | 246   |
| Tribal General Assistance .....                    | Adequate                 | Block/Formula Grant                    | 62   | 63       | 58    |
| U. S.-Mexico Border Water Infrastructure ....      | Adequate                 | Block/Formula Grant                    | 50   | 50       | 50    |
| Underground Injection Control (UIC) Grant Program. | Adequate                 | Block/Formula Grant                    | 11   | 11       | 11    |
| General Services Administration:                   |                          |  |  |          |       |
| Asset Management of Federally-Owned Real Property. | Effective                | Capital Assets and Service Acquisition | 2,384  | 2,393    | 2,725 |
| GSA New Construction (BA51) Program DRAFT.         | Results Not Demonstrated | Capital Assets and Service Acquisition | 1,035  | 956      | 845   |
| GSA's Regional IT Solutions Program .....          | Results Not Demonstrated | Capital Assets and Service Acquisition | 5,401  | 5,217    | 5,311 |
| Leasing Space .....                                | Results Not Demonstrated | Capital Assets and Service Acquisition | 3,722  | 4,164    | 4,198 |
| Multiple Award Schedules .....                     | Results Not Demonstrated | Direct Federal                         | 463  | 479      | 506   |
| National IT Solutions Program .....                | Results Not Demonstrated | Capital Assets and Service Acquisition | 1,829  | 1,847    | 1,937 |
| Office of Governmentwide Policy .....              | Results Not Demonstrated | Regulatory Based                       | 93   | 133      | 125   |
| Personal Property Management Program (FBP).        | Results Not Demonstrated | Direct Federal                         | 32   | 29       | 31    |
| Real Property Disposal (PR) .....                  | Results Not Demonstrated | Direct Federal                         | 27   | 47       | 45    |
| Supply Depots and Special Order .....              | Adequate                 | Capital Assets and Service Acquisition | 1,075  | 1,166    | 1,226 |
| Vehicle Acquisition .....                          | Adequate                 | Capital Assets and Service Acquisition | 1,292  | 1,321    | 1,376 |
| Vehicle Leasing .....                              | Moderately Effective     | Capital Assets and Service Acquisition | 1,117  | 1,138    | 1,161 |
| National Aeronautics and Space Administration:     |                          |  |  |          |       |
| Aeronautics Technology .....                       | Moderately Effective     | Research and Development               | 1,057  | 906      | 852   |
| Biological Sciences Research .....                 | Results Not Demonstrated | Research and Development               | 365  | 482      | 385   |
| Earth Science Applications .....                   | Results Not Demonstrated | Research and Development               | 103  | 76       | 76    |
| Earth System Science .....                         | Moderately Effective     | Research and Development               | 1,505  | 1,384    | 1,248 |
| Education .....                                    | Adequate                 | Competitive Grant                      | 230  | 217      | 167   |
| Mars Exploration .....                             | Effective                | Research and Development               | 596  | 681      | 723   |
| Mission and Science Measurement Technology.        | Moderately Effective     | Research and Development               | 459  | 0        | 0     |
| Solar System Exploration .....                     | Effective                | Research and Development               | 1,296  | 1,125    | 1,043 |
| Space and Flight Support .....                     | Adequate                 | Capital Assets and Service Acquisition | 466  | 485      | 376   |
| Space Shuttle .....                                | Results Not Demonstrated | Capital Assets and Service Acquisition | 4,061  | 4,669    | 4,531 |
| Space Station .....                                | Moderately Effective     | Capital Assets and Service Acquisition | 1,364  | 1,676    | 1,857 |
| Structure and Evolution of the Universe .....      | Effective                | Research and Development               | 451  | 378      | 353   |
| Sun-Earth Connection .....                         | Effective                | Research and Development               | 731  | 696      | 740   |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title   | Rating                   | Primary Program Type     | Program Funding Level<br>(dollars in millions) |          |        |
|--|--------------------------|--------------------------|--|----------|--------|
|  |                          |                          | 2004<br>Actual                                 | Estimate |        |
|  |                          |                          |  | 2005     | 2006   |
| <b>National Science Foundation:</b>  |                          |                          |  |          |        |
| Biocomplexity in the Environment .....                                     | Effective                | Research and Development | 104  | 99       | 84     |
| Collaborations .....   | Effective                | Research and Development | 398  | 306      | 298    |
| Facilities .....   | Effective                | Research and Development | 566  | 615      | 692    |
| Individuals .....  | Effective                | Research and Development | 567  | 547      | 519    |
| Information Technology Research .....                                      | Effective                | Research and Development | 309  | 197      | 167    |
| Institutions .....   | Effective                | Research and Development | 181  | 177      | 159    |
| Nanoscale Science and Engineering .....                                    | Effective                | Research and Development | 256  | 297      | 257    |
| Polar Tools, Facilities and Logistics .....                                | Effective                | Research and Development | 277  | 257      | 301    |
| <b>Office of Personnel Management:</b>                                     |                          |                          |  |          |        |
| Federal Employees Group Life Insurance<br>(FEGLI) .....                    | Adequate                 | Direct Federal           | 3,499  | 3,607    | 3,844  |
| Federal Employees Health Benefits Program .....                            | Adequate                 | Direct Federal           | 29,220   | 32,126   | 34,625 |
| Federal Employees Retirement Program .....                                 | Adequate                 | Direct Federal           | 52,475   | 55,951   | 58,850 |
| FEHBP Integrity .....  | Effective                | Direct Federal           | 12   | 13       | 13     |
| <b>Small Business Administration:</b>                                      |                          |                          |  |          |        |
| Business Information Centers .....   | Results Not Demonstrated | Direct Federal           | 0  | 0        | 0      |
| Disaster Loan Program .....  | Effective                | Credit                   | 169  | 112      | 138    |
| SCORE .....  | Moderately Effective     | Block/Formula            | 5  | 5        | 5      |
| Section 504 Certified Development Company<br>Guaranteed Loan Program ..... | Adequate                 | Credit                   | 0  | 0        | 0      |
| Section 7 (a) Guaranteed Loan Program .....                                | Adequate                 | Credit                   | 78   | 0        | 0      |
| Small Business Development Centers .....                                   | Moderately Effective     | Block/Formula            | 88   | 88       | 88     |
| Small Business Investment Company .....                                    | Adequate                 | Credit                   | 0  | 0        | 0      |
| <b>Social Security Administration:</b>                                     |                          |                          |  |          |        |
| Disability Insurance .....   | Moderately Effective     | Direct Federal           | 78,162   | 83,951   | 90,041 |
| Supplemental Security Income .....   | Moderately Effective     | Direct Federal           | 36,903   | 41,843   | 41,381 |
| <b>International Assistance Programs</b>                                   |                          |                          |  |          |        |
| <b>Department of State:</b>  |                          |                          |  |          |        |
| Anti-Terrorism Assistance .....  | Effective                | Direct Federal           | 141  | 128      | 150    |
| Assistance Coordination of SEED/FSA .....                                  | Effective                | Competitive Grant        | 1,026  | 949      | 864    |
| Economic Support Fund (HRDF) .....   | Adequate                 | Competitive Grant        | 34   | 37       | 27     |
| Economic Support Fund (WHA) .....  | Moderately Effective     | Competitive Grant        | 149  | 146      | 174    |
| Export Controls .....  | Effective                | Direct Federal           | 35   | 38       | 44     |
| Humanitarian Demining .....  | Effective                | Direct Federal           | 50   | 59       | 72     |
| Military Assistance to new NATO and<br>NATO Aspirant Nations .....         | Moderately Effective     | Direct Federal           | 149  | 173      | 141    |
| Nonproliferation & Disarmament Fund .....                                  | Effective                | Direct Federal           | 29   | 32       | 38     |
| PKO—OSCE Programs .....  | Moderately Effective     | Direct Federal           | 28   | 2        | 2      |
| Security Assistance for the Western Hemi-<br>sphere .....                  | Moderately Effective     | Direct Federal           | 133  | 140      | 149    |
| Security Assistance to Sub-Saharan Africa ..                               | Moderately Effective     | Direct Federal           | 57   | 86       | 147    |
| Terrorist Interdiction Program (TIP) .....                                 | Effective                | Direct Federal           | 4  | 4        | 8      |
| <b>Department of the Treasury:</b>   |                          |                          |  |          |        |
| African Development Fund .....   | Results Not Demonstrated | Block/Formula Grant      | 112  | 105      | 136    |
| Global Environment Facility .....  | Results Not Demonstrated | Block/Formula Grant      | 138  | 107      | 108    |
| International Development Association .....                                | Adequate                 | Block/Formula Grant      | 908  | 843      | 950    |
| Treasury Technical Assistance .....  | Adequate                 | Direct Federal           | 19   | 19       | 20     |
| Tropical Forest Conservation Act <sup>1</sup> .....                        | Results Not Demonstrated | Credit                   | 20   | 20       | 0      |
| <b>Overseas Private Investment Corporation:</b>                            |                          |                          |  |          |        |
| Overseas Private Investment Corporation—<br>Finance .....                  | Adequate                 | Credit                   | 24   | 24       | 20     |
| Overseas Private Investment Corporation—<br>Insurance .....                | Adequate                 | Credit                   | 1,800  | 2,000    | 2,000  |
| <b>U.S. Trade and Development Agency:</b>                                  |                          |                          |  |          |        |
| U.S. Trade and Development Agency .....                                    | Moderately Effective     | Competitive Grant        | 67   | 58       | 52     |
| <b>United States Agency for International Development:</b>                 |                          |                          |  |          |        |
| Child Survival and Health (CSH—LAC) .....                                  | Moderately Effective     | Competitive Grant        | 150  | 130      | 132    |
| Development Assistance (LAC) .....   | Moderately Effective     | Competitive Grant        | 265  | 255      | 224    |
| Office of Transition Initiatives .....                                     | Moderately Effective     | Competitive Grant        | 55   | 49       | 325    |

Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued

(Current Data for All Programs Assessed by PART)

| Agency/Program Title  | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |       |
|---|--------------------------|--|--|----------|-------|
|   |                          |  | 2004<br>Actual                                 | Estimate |       |
|   |                          |  |  | 2005     | 2006  |
| Operating Expenses and Capital Investment Fund (OE/CIF).                                  | Moderately Effective     | Direct Federal                         | 737  | 672      | 758   |
| Public Law 480 Title II Food Aid .....  | Adequate                 | Competitive Grant                      | 1,185  | 1,173    | 885   |
| USAID Climate Change .....  | Adequate                 | Competitive Grant                      | 180  | 180      | 150   |
| USAID Development Assistance—Population   | Moderately Effective     | Competitive Grant                      | 373  | 372      | 346   |
| Other Independent Agencies  |                          |  |  |          |       |
| American Battle Monuments Commission:   |                          |  |  |          |       |
| World War II Memorial .....   | Effective                | Capital Assets and Service Acquisition | 22   | 0        | 0     |
| Appalachian Regional Commission:  |                          |  |  |          |       |
| Appalachian Regional Commission .....   | Adequate                 | Competitive Grant                      | 66   | 65       | 65    |
| Armed Forces Retirement Home:   |                          |  |  |          |       |
| Asset Management of AFRH Real Property  | Moderately Effective     | Capital Assets and Service Acquisition | 68   | 65       | 61    |
| Broadcasting Board of Governors:  |                          |  |  |          |       |
| Broadcasting to Africa .....  | Moderately Effective     | Direct Federal                         | 13   | 14       | 13    |
| Broadcasting to East Asia & Eurasia .....   | Moderately Effective     | Direct Federal                         | 102  | 100      | 106   |
| Broadcasting to Near East Asia and South Asia.  | Moderately Effective     | Direct Federal                         | 136  | 145      | 170   |
| Commodity Futures Trading Commission:   |                          |  |  |          |       |
| Enforcement Program .....   | Results Not Demonstrated | Direct Federal                         | 35   | 38       | 40    |
| Consumer Product Safety Commission:   |                          |  |  |          |       |
| Consumer Product Safety Commission .....  | Results Not Demonstrated | Regulatory Based                       | 60   | 62       | 62    |
| Corporation for National and Community Service:   |                          |  |  |          |       |
| AmeriCorps .....  | Results Not Demonstrated | Competitive Grant                      | 312  | 288      | 277   |
| Court Services and Offender Supervision Agency for the District:                          |                          |  |  |          |       |
| Community Supervision Program .....   | Adequate                 | Direct Federal                         | 118  | 110      | 131   |
| Pretrial Services Agency .....  | Moderately Effective     | Direct Federal                         | 38   | 39       | 42    |
| Delta Regional Authority:   |                          |  |  |          |       |
| Delta Regional Authority .....  | Results Not Demonstrated | Competitive Grant                      | 5  | 6        | 6     |
| Denali Commission:  |                          |  |  |          |       |
| Denali Commission .....   | Adequate                 | Competitive Grant                      | 59   | 70       | 6     |
| Export-Import Bank of the United States:  |                          |  |  |          |       |
| Export Import Bank—Long Term Guarantees.  | Moderately Effective     | Credit                                 | 585  | 764      | 490   |
| Federal Communications Commission:  |                          |  |  |          |       |
| Schools and Libraries—Universal Service Fund.   | Results Not Demonstrated | Regulatory Based                       | 2,250  | 2,250    | 2,250 |
| Federal Election Commission:  |                          |  |  |          |       |
| Compliance—Enforcement .....  | Results Not Demonstrated | Regulatory Based                       | 50   | 52       | 55    |
| National Archives and Records Administration:   |                          |  |  |          |       |
| Electronic Records Services .....   | Results Not Demonstrated | Capital Assets and Service Acquisition | 54   | 50       | 49    |
| Records Services Program .....  | Adequate                 | Direct Federal                         | 351  | 363      | 384   |
| National Credit Union Administration:   |                          |  |  |          |       |
| Community Development Revolving Loan Fund—Loan and Technical Assistance Grant components. | Results Not Demonstrated | Credit                                 | 4  | 6        | 6     |
| Neighborhood Reinvestment Corporation:  |                          |  |  |          |       |
| Neighborhood Reinvestment Corporation .....   | Moderately Effective     | Block/Formula Grant                    | 114  | 114      | 118   |
| Nuclear Regulatory Commission:  |                          |  |  |          |       |
| Fuel Facilities Licensing & Inspection .....  | Effective                | Regulatory Based                       | 22   | 39       | 36    |
| Nuclear Materials Users Licensing & Inspection (NMULI).                                   | Effective                | Regulatory Based                       | 44   | 63       | 65    |
| Reactor Inspection and Performance Assessment.  | Effective                | Regulatory Based                       | 107  | 180      | 193   |
| Office of National Drug Control Policy:   |                          |  |  |          |       |
| CTAC Counterdrug Research & Development.  | Results Not Demonstrated | Research and Development               | 18   | 18       | 10    |
| CTAC Technology Transfer Program .....  | Results Not Demonstrated | Competitive Grant                      | 24   | 24       | 20    |
| Drug-Free Communities Support Program ..  | Adequate                 | Competitive Grant                      | 70   | 79       | 80    |

**Table 2-5 PROGRAM ASSESSMENT AND FUNDING INFORMATION—Continued**

(Current Data for All Programs Assessed by PART)

| Agency/Program Title                                  | Rating                   | Primary Program Type                   | Program Funding Level<br>(dollars in millions) |          |       |
|---|--------------------------|--|--|----------|-------|
|   |                          |  | 2004<br>Actual                                 | Estimate |       |
|   |                          |  |  | 2005     | 2006  |
| High Intensity Drug Trafficking Areas (HIDTA).        | Results Not Demonstrated | Competitive Grant                      | 225  | 227      | 100   |
| Youth Anti-Drug Media Campaign .....                  | Results Not Demonstrated | Capital Assets and Service Acquisition | 144  | 119      | 120   |
| Public Defender Service for the District of Columbia: |                          |  |  |          |       |
| Public Defender Service for the District of Columbia. | Results Not Demonstrated | Direct Federal                         | 25   | 30       | 30    |
| Securities and Exchange Commission:                   |                          |  |  |          |       |
| Enforcement .....                                     | Results Not Demonstrated | Direct Federal                         | 303  | 361      | 356   |
| Full Disclosure Program (Corporate Review)            | Results Not Demonstrated | Regulatory Based                       | 96   | 128      | 129   |
| Tennessee Valley Authority:                           |                          |  |  |          |       |
| TVA Power .....                                       | Moderately Effective     | Capital Assets and Service Acquisition | 7,657  | 7,875    | 8,153 |
| TVA Resource Stewardship (Non-Power) .....            | Effective                | Capital Assets and Service Acquisition | 87   | 87       | 85    |

<sup>1</sup>Tropical Forest Conservation Act—Funding for 2006 will be provided within the amount appropriated for debt relief based on the program's ability to demonstrate results in 2005

