

# DEPARTMENT OF TRANSPORTATION

## OFFICE OF THE SECRETARY

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary, **1** \$102,686,000, of which not to exceed \$2,631,000 shall be available for the immediate Office of the Secretary; not to exceed \$986,000 shall be available for the immediate Office of the Deputy Secretary; not to exceed \$20,359,000 shall be available for the Office of the General Counsel; not to exceed \$11,100,000 shall be available for the Office of the Under Secretary of Transportation for Policy; not to exceed \$10,559,000 shall be available for the Office of the Assistant Secretary for Budget and Programs; not to exceed \$2,504,000 shall be available for the Office of the Assistant Secretary for Governmental Affairs; not to exceed \$25,520,000 shall be available for the Office of the Assistant Secretary for Administration; not to exceed \$2,055,000 shall be available for the Office of Public Affairs; not to exceed \$1,658,000 shall be available for the Office of the Executive Secretariat; not to exceed \$1,499,000 shall be available for the Office of Small and Disadvantaged Business Utilization; not to exceed \$10,600,000 for the Office of Intelligence, Security, and Emergency Response; and not to exceed \$13,215,000 shall be available for the Office of the Chief Information Officer **1** \$117,000,000: *Provided*, **1** That the Secretary of Transportation is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further*, That no appropriation for any office shall be increased or decreased by more than 5 percent by all such transfers: *Provided further*, That notice of any change in funding greater than 5 percent shall be submitted for approval to the House and Senate Committees on Appropriations: *Provided further*, **1** That not to exceed \$60,000 shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: *Provided further*, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107-71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees **1**: *Provided further*, That none of the funds provided in this Act shall be available for the position of Assistant Secretary for Public Affairs **1**. (*Department of Transportation Appropriations Act, 2010*.)

#### Program and Financing (in millions of dollars)

Identification code 69-0102-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 General administration .....	92	106	125
00.02 SCASDP grants .....	1	16	.....
01.00 Subtotal Direct Obligations .....	93	122	125
09.01 Reimbursable program .....	22	32	32
10.00 Total new obligations .....	115	154	157
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	5	13	.....
22.00 New budget authority (gross) .....	130	141	157
23.90 Total budgetary resources available for obligation .....	135	154	157
23.95 Total new obligations .....	-115	-154	-157
23.98 Unobligated balance expiring or withdrawn .....	-7	.....	.....
24.40 Unobligated balance carried forward, end of year .....	13	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	98	103	125
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	32	38	32
70.00 Total new budget authority (gross) .....	130	141	157
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	30	37	11
73.10 Total new obligations .....	115	154	157
73.20 Total outlays (gross) .....	-109	-180	-155
73.40 Adjustments in expired accounts (net) .....	-1	.....	.....

74.10 Change in uncollected customer payments from Federal sources (expired) .....	2	.....	.....
74.40 Obligated balance, end of year .....	37	11	13
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	96	131	145
86.93 Outlays from discretionary balances .....	13	49	10
87.00 Total outlays (gross) .....	109	180	155
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-31	-34	-28
88.40 Non-Federal sources .....	-2	-4	-4
88.90 Total, offsetting collections (cash) .....	-33	-38	-32
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	1	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	98	103	125
90.00 Outlays .....	76	142	123
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	.....	.....	.....
92.02 Total investments, end of year: Federal securities: Par value .....	.....	.....	.....

This appropriation finances the costs of policy development and central supervisory and coordinating functions necessary for the overall planning and direction of the Department. It covers the immediate secretarial offices as well as those of the assistant secretaries and the general counsel.

#### Object Classification (in millions of dollars)

Identification code 69-0102-0-1-407	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	38	47	52
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	42	52	57
12.1 Civilian personnel benefits .....	10	13	15
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	8	9	9
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	31	29	41
41.0 Grants, subsidies, and contributions .....	.....	16	.....
99.0 Direct obligations .....	93	122	125
99.0 Reimbursable obligations .....	22	32	32
99.9 Total new obligations .....	115	154	157

#### Employment Summary

Identification code 69-0102-0-1-407	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	364	457	493
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	23	32	31

#### LIVABLE COMMUNITIES

For necessary expenses for livable communities initiatives, including coordinating livability and sustainability work within the Department of Transportation and with the Environmental Protection Agency and the Department of Housing and Urban Development; developing performance standards and metrics; building analytical capacity; and providing grants and direct technical assistance to State, local, and non-profit organiza-

LIVABLE COMMUNITIES—Continued

tions, \$20,000,000, to remain available until September 30, 2013; *Provided*, That any grants and technical assistance made available under this heading shall be for improved performance measurement capabilities, enhanced ability to perform alternatives analysis, and training and workshops for personnel.

Program and Financing (in millions of dollars)

Identification code 69–0158–0–1–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Grants and Technical Assistance .....			12
00.02 Administration .....			8
10.00 Total new obligations .....			20
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			20
23.95 Total new obligations .....			-20
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			20
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			20
73.20 Total outlays (gross) .....			-8
74.40 Obligated balance, end of year .....			12
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			20
90.00 Outlays .....			8

This appropriation provides funding to create an Office of Livable Communities within the Office of the Secretary to coordinate livability and sustainability work throughout the Department of Transportation and coordinate with similar programs at the Department of Housing and Urban Development and the Environmental Protection Agency. Activities will support assessment and evaluation of livability interventions, identification of options for reducing Federal program barriers to developing livable communities, and assessment of various transportation programs in supporting livability. Of the \$20 million, \$12 million will be for grants and technical assistance to aid State, local and non-profit organizations in improving performance measurement capabilities, enhancing their ability to perform alternatives analysis, and providing training and workshops for personnel.

Object Classification (in millions of dollars)

Identification code 69–0158–0–1–401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services .....			7
41.0 Grants and Technical Assistance .....			12
99.9 Total new obligations .....			20

Employment Summary

Identification code 69–0158–0–1–401	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....			5

[NATIONAL INFRASTRUCTURE INVESTMENTS]

For capital investments in surface transportation infrastructure, \$600,000,000, to remain available through September 30, 2012: *Provided*, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, transit agency, or a collaboration among such entities on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region: *Provided further*, That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; and port infrastructure investments: *Provided further*, That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: *Provided further*, That a grant funded under this heading shall be not less than \$10,000,000 and not greater than \$200,000,000: *Provided further*, That not more than 25 percent of the funds made available under this heading may be awarded to projects in a single State: *Provided further*, That the Federal share of the costs for which an expenditure is made under this heading shall be, at the option of the recipient, up to 80 percent: *Provided further*, That the Secretary shall give priority to projects that require a contribution of Federal funds in order to complete an overall financing package: *Provided further*, That not less than \$140,000,000 of the funds provided under this heading shall be for projects located in rural areas: *Provided further*, That for projects located in rural areas, the minimum grant size shall be \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent: *Provided further*, That of the amount made available under this heading, the Secretary may use an amount not to exceed \$150,000,000 for the purpose of paying the subsidy and administrative costs of projects eligible for federal credit assistance under chapter 6 of title 23, United States Code, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: *Provided further*, That of the amount made available under this heading, the Secretary may use an amount not to exceed \$35,000,000 for the planning, preparation or design of projects eligible for funding under this heading: *Provided further*, That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: *Provided further*, That the Secretary shall publish criteria on which to base the competition for any grants awarded under this heading no sooner than 60 days after enactment of this Act, require applications for funding provided under this heading to be submitted no sooner than 120 days after the publication of such criteria, and announce all projects selected to be funded from funds provided under this heading no sooner than September 15, 2010: *Provided further*, That the Secretary may retain up to \$25,000,000 of the funds provided under this heading, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration and the Federal Maritime Administration, to fund the award and oversight of grants made under this heading. (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69–0143–0–1–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 National Infrastructure Investment Grants .....		575	
00.02 Administrative Costs .....		7	9
10.00 Total new obligations .....		582	9
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			18
22.00 New budget authority (gross) .....		600	
23.90 Total budgetary resources available for obligation .....		600	18
23.95 Total new obligations .....		-582	-9
24.40 Unobligated balance carried forward, end of year .....		18	9

<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation .....	600	.....
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....	576	.....
73.10	Total new obligations .....	582	9
73.20	Total outlays (gross) .....	-6	-126
74.40	Obligated balance, end of year .....	576	459
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....	6	.....
86.93	Outlays from discretionary balances .....	126	.....
87.00	Total outlays (gross) .....	6	126
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	600	.....
90.00	Outlays .....	6	126

This program provides funding for grant awards to State, local governments, transit agencies, or a collaboration of such entities on a competitive basis for capital investments in surface transportation infrastructure that will have a significant impact on the Nation, a metropolitan area or a region. Of the amount appropriated, an amount not to exceed \$150,000,000 may be used to pay the subsidy and administrative costs of projects eligible for federal credit assistance under U.S.C. 23 Chapter 6, the Transportation Infrastructure Finance and Innovation Act. No funds are requested for this program for 2011; instead, the National Infrastructure Innovation and Finance Fund will serve a similar purpose.

**Object Classification** (in millions of dollars)

Identification code 69-0143-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	2
25.2	Other services .....	6	7
41.0	Grants, subsidies, and contributions .....	575	.....
99.9	Total new obligations .....	582	9

**Employment Summary**

Identification code 69-0143-0-1-401	2009 actual	2010 est.	2011 est.
Direct:			
1001	Civilian full-time equivalent employment .....	7	14

**SUPPLEMENTAL DISCRETIONARY GRANTS FOR A NATIONAL SURFACE TRANSPORTATION SYSTEM, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-0106-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Discretionary Grants .....	1,498	.....
00.02	Administrative Costs .....	1	1
10.00	Total new obligations .....	1,499	1
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	1,500	1
22.00	New budget authority (gross) .....	1,500	.....
23.90	Total budgetary resources available for obligation .....	1,500	1,500
23.95	Total new obligations .....	-1,499	-1
24.40	Unobligated balance carried forward, end of year .....	1,500	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation .....	1,500	.....

Identification code 69-0106-0-1-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....	1,319	.....
73.10	Total new obligations .....	1,499	1
73.20	Total outlays (gross) .....	-180	-540
74.40	Obligated balance, end of year .....	1,319	780
<b>Outlays (gross), detail:</b>			
86.93	Outlays from discretionary balances .....	180	540
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	1,500	.....
90.00	Outlays .....	180	540

This American Recovery and Reinvestment Act program provided funding for grant awards to State and local governments or transit agencies on a competitive basis for capital investments in surface transportation infrastructure resulting in a significant impact on the Nation, a metropolitan area or a region. Of the amount appropriated, an amount not to exceed \$200,000,000 could be used to pay the subsidy and administrative costs of projects eligible for federal credit assistance under U.S.C. 23 Chapter 6, the Transportation Infrastructure Finance and Innovation Act. No funds are requested for this program for 2011.

**Object Classification** (in millions of dollars)

Identification code 69-0106-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
25.2	Other services .....	1	1
41.0	Grants, subsidies, and contributions .....	1,498	.....
99.9	Total new obligations .....	1,499	1

**Employment Summary**

Identification code 69-0106-0-1-401	2009 actual	2010 est.	2011 est.
Direct:			
1001	Civilian full-time equivalent employment .....	3	3

**FINANCIAL MANAGEMENT CAPITAL**

For necessary expenses for upgrading and enhancing the Department of Transportation's financial systems and re-engineering business processes, **[\$5,000,000]** \$21,000,000, to remain available until expended. (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69-0116-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Financial management capital .....	10	21
10.00	Total new obligations (object class 25.2) .....	10	21
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	5	.....
22.00	New budget authority (gross) .....	5	21
23.90	Total budgetary resources available for obligation .....	5	10
23.95	Total new obligations .....	-10	-21
24.40	Unobligated balance carried forward, end of year .....	5	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation .....	5	21
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....	.....	1
73.10	Total new obligations .....	10	21
73.20	Total outlays (gross) .....	-9	-18

FINANCIAL MANAGEMENT CAPITAL—Continued  
Program and Financing—Continued

Identification code 69-0116-0-1-407	2009 actual	2010 est.	2011 est.
74.40 Obligated balance, end of year .....		1	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		4	17
86.93 Outlays from discretionary balances .....		5	1
87.00 Total outlays (gross) .....		9	18
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	5	21
90.00 Outlays .....		9	18

This appropriation provides funds for a multi-year business transformation effort to streamline and standardize the financial systems and business processes across the Department of Transportation. This effort includes upgrading and enhancing the commercial software used for DOT's financial systems, improving the cost and performance data provided to managers, implementing a budget line of business, and instituting new accounting standards and mandates. In 2010, the business transformation effort will focus on project planning and business process re-engineering that will lead to major system applications, analytics, reporting, and hardware acquisitions in 2011.

Employment Summary

Identification code 69-0116-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....			2

CYBER SECURITY INITIATIVES

For necessary one-time expenses for cyber security initiatives, including improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements, implementation of federal cyber security initiatives and information infrastructure enhancements, implementation of enhanced security controls on network devices, and enhancement of cyber security workforce training tools, \$30,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 69-0159-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Direct program activity .....			30
10.00 Total new obligations .....			30
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			30
23.95 Total new obligations .....			-30
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			30
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			30
73.20 Total outlays (gross) .....			-27
74.40 Obligated balance, end of year .....			3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			27
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			30

90.00 Outlays .....			27
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This one-time appropriation will fund initiatives to close cyber security performance gaps. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the Department of Transportation network and reduce the risk of security breaches.

Object Classification (in millions of dollars)

Identification code 69-0159-0-1-407	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			2
25.2 Other services .....			7
31.0 Equipment .....			21
99.9 Total new obligations .....			30

Employment Summary

Identification code 69-0159-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....			13

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$9,667,000]** \$9,767,000. (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-0118-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Office of Civil Rights .....	8	10	10
10.00 Total new obligations .....	8	10	10
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9	10	10
23.95 Total new obligations .....	-8	-10	-10
23.98 Unobligated balance expiring or withdrawn .....	-1		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	9	10	10
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	2	1
73.10 Total new obligations .....	8	10	10
73.20 Total outlays (gross) .....	-8	-11	-10
74.40 Obligated balance, end of year .....	2	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	7	9	9
86.93 Outlays from discretionary balances .....	1	2	1
87.00 Total outlays (gross) .....	8	11	10
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	10	10
90.00 Outlays .....	8	11	10

This appropriation finances the costs of the Departmental Civil Rights office. This office is responsible for enforcing laws and regulations that prohibit discrimination in federally-operated and assisted transportation programs. This office also handles all civil rights cases related to Department of Transportation employees.

**Object Classification** (in millions of dollars)

Identification code 69-0118-0-1-407	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	2	2
25.2 Other services .....	2	3	3
99.9 Total new obligations .....	8	10	10

**Employment Summary**

Identification code 69-0118-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	43	55	55

**MINORITY BUSINESS OUTREACH**

For necessary expenses of Minority Business Resource Center outreach activities, **[\$3,074,000] \$3,395,000**, to remain available until September 30, **[2011] 2012: Provided**, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-0119-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Minority business outreach .....	3	3	3
10.00 Total new obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	9	9	9
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation .....	12	12	12
23.95 Total new obligations .....	-3	-3	-3
24.40 Unobligated balance carried forward, end of year .....	9	9	9
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	3	3	3
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	4	5	
73.10 Total new obligations .....	3	3	3
73.20 Total outlays (gross) .....	-2	-8	-3
74.40 Obligated balance, end of year .....	5		
<b>Outlays (gross), detail:</b>			
Mandatory:			
86.90 Outlays from new discretionary authority .....		3	3
86.93 Outlays from discretionary balances .....	2	5	
87.00 Total outlays (gross) .....	2	8	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	2	8	3

This activity provides contractual support to assist small, women-owned, Native American, and other disadvantaged business firms in securing contracts and subcontracts resulting from transportation-related Federal support.

**Object Classification** (in millions of dollars)

Identification code 69-0119-0-1-407	2009 actual	2010 est.	2011 est.
Direct obligations:			
25.2 Other services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	3	3	3

**Employment Summary**

Identification code 69-0119-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	1	1	2

**NEW HEADQUARTERS BUILDING**

**Program and Financing** (in millions of dollars)

Identification code 69-0147-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 New headquarters building .....	1		
10.00 Total new obligations (object class 25.2) .....	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1		
23.95 Total new obligations .....	-1		
24.40 Unobligated balance carried forward, end of year .....			
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	5	
73.10 Total new obligations .....	1		
73.20 Total outlays (gross) .....	-4	-5	
74.40 Obligated balance, end of year .....	5		
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	4	5	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	4	5	

**COMPENSATION FOR AIR CARRIERS**

**Program and Financing** (in millions of dollars)

Identification code 69-0111-0-1-402	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1		
22.00 New budget authority (gross) .....	-1		
23.90 Total budgetary resources available for obligation .....			
24.40 Unobligated balance carried forward, end of year .....			
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.36 Unobligated balance permanently reduced .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-1		
90.00 Outlays .....			

**COMPENSATION FOR GENERAL AVIATION OPERATIONS**

**Program and Financing** (in millions of dollars)

Identification code 69-0156-0-1-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Compensation for general aviation operations .....		3	
10.00 Total new obligations (object class 41.0) .....		3	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	3	
23.95 Total new obligations .....		-3	

COMPENSATION FOR GENERAL AVIATION OPERATIONS—Continued  
Program and Financing—Continued

Identification code 69-0156-0-1-402	2009 actual	2010 est.	2011 est.
24.40 Unobligated balance carried forward, end of year .....	3		
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....		3	
73.20 Total outlays (gross) .....		-3	
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....		3	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....		3	

The Transportation, Treasury and Housing and Urban Development, The Judiciary, District of Columbia and Independent Agencies Appropriations Act for Fiscal Year 2006 (P.L. 109-115) provided \$17 million to reimburse fixed-based general aviation operators and providers of general aviation ground support services at five facilities for the financial losses they incurred when the Federal Government closed the facilities due to the September 11, 2001 terrorist attacks. The remaining balance in this account is not needed for the purpose originally enacted. In 2010, the remaining balance will be transferred, if needed, to Payments to Air Carriers pursuant to P.L. 111-117.

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, to remain available until expended, **[\$16,168,000]** \$9,819,000. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-0142-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Transportation policy and planning .....	16	26	10
00.02 Safe skies .....	2	3	
00.03 New headquarters .....	4	1	
00.04 Mississippi-Missouri Rivers Study pursuant to Pub. L. 111-117, Section 195 .....		2	
01.00 Total direct program .....	22	32	10
09.00 Reimbursable program .....	1	1	1
10.00 Total new obligations .....	23	33	11
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	14	14	
22.00 New budget authority (gross) .....	19	19	11
22.10 Resources available from recoveries of prior year obligations .....	1		
22.30 Expired unobligated balance transfer to unexpired account .....	3		
23.90 Total budgetary resources available for obligation .....	37	33	11
23.95 Total new obligations .....	-23	-33	-11
24.40 Unobligated balance carried forward, end of year .....	14		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	18	18	10
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....		1	1
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	1		
58.90 Spending authority from offsetting collections (total discretionary) .....	1	1	1
70.00 Total new budget authority (gross) .....	19	19	11

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	24	22	15
73.10 Total new obligations .....	23	33	11
73.20 Total outlays (gross) .....	-20	-40	-16
73.40 Adjustments in expired accounts (net) .....	-3		
73.45 Recoveries of prior year obligations .....	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-1		
74.40 Obligated balance, end of year .....	22	15	10
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	11	8	5
86.93 Outlays from discretionary balances .....	9	32	11
87.00 Total outlays (gross) .....	20	40	16
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....		-1	-1
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	18	18	10
90.00 Outlays .....	20	39	15

This appropriation finances research activities and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies. The program is carried out primarily through contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms. Activities support the development of transportation policy, coordination of national-level transportation planning, and such issues as regulatory modernization, energy conservation, and environmental and safety impacts of transportation. These funds also support departmental leadership on aviation economic policy and international transportation issues.

Object Classification (in millions of dollars)

Identification code 69-0142-0-1-407	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	5	5
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	18	26	4
99.0 Direct obligations .....	22	32	10
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	23	33	11

Employment Summary

Identification code 69-0142-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	22	31	32

ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 69-5423-0-2-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Essential air service and rural airport improvement .....	50	50	50
00.02 Payment to Other Accounts .....	9		
10.00 Total new obligations .....	59	50	50
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	14	14	14
21.45 Adjustments to unobligated balance carried forward, start of year .....	3		

22.00	New budget authority (gross) .....	27	50	50
22.10	Resources available from recoveries of prior year obligations ...	9		
22.22	Unobligated balance transferred from other accounts .....	23		
23.90	Total budgetary resources available for obligation .....	76	64	64
23.95	Total new obligations .....	-59	-50	-50
23.98	Unobligated balance expiring or withdrawn but not executed in 2008 .....	-3		
23.98	Unobligated balance expiring or withdrawn .....			
24.40	Unobligated balance carried forward, end of year .....	14	14	14
24.41	Special and trust fund receipts returned to Schedule N .....			

**New budget authority (gross), detail:**

<b>Mandatory:</b>				
62.00	Transferred from other accounts .....	27	50	50
69.00	Offsetting collections (cash) .....	15		
69.47	Portion applied to repay debt .....	-15		
69.90	Spending authority from offsetting collections (total mandatory) .....			
70.00	Total new budget authority (gross) .....	27	50	50

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	26	23	20
73.10	Total new obligations .....	59	50	50
73.20	Total outlays (gross) .....	-53	-53	-50
73.45	Recoveries of prior year obligations .....	-9		
74.40	Obligated balance, end of year .....	23	20	20

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	27	30	30
86.98	Outlays from mandatory balances .....	26	23	20
87.00	Total outlays (gross) .....	53	53	50

**Offsets:**

<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-15		

**Net budget authority and outlays:**

89.00	Budget authority .....	12	50	50
90.00	Outlays .....	38	53	50

The Federal Aviation Reauthorization Act of 1996 ( P.L. 104-264) authorized the collection of user fees for services provided by the FAA to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50,000,000 of such fees for the Essential Air Service program and rural airport improvements. To the extent that fee collections fall below \$50,000,000 the law requires the difference to be covered by Federal Aviation Administration funds.

**Object Classification (in millions of dollars)**

Identification code 69-5423-0-2-402				
		2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	1	2	2
41.0	Grants, subsidies, and contributions .....	49	48	48
44.0	Refunds .....	9		
99.9	Total new obligations .....	59	50	50

**Employment Summary**

Identification code 69-5423-0-2-402				
		2009 actual	2010 est.	2011 est.
<b>Direct:</b>				
1001	Civilian full-time equivalent employment .....	9	14	14

**WORKING CAPITAL FUND**

For necessary expenses for operating costs and capital outlays of the Working Capital Fund, not to exceed \$147,596,000, shall be paid from appropriations made available to the Department of Transportation:

Provided, That such services shall be provided on a competitive basis to entities within the Department of Transportation: *Provided further*, That the above limitation on operating expenses shall not apply to non-DOT entities: *Provided further*, That no funds appropriated in this Act to an agency of the Department shall be transferred to the Working Capital Fund without the approval of the agency modal administrator: *Provided further*, That no assessments may be levied against any program, budget activity, subactivity or project funded by this Act unless notice of such assessments and the basis therefor are presented to the House and Senate Committees on Appropriations and are approved by such Committees. (Department of Transportation Appropriations Act, 2010.)

**Program and Financing (in millions of dollars)**

Identification code 69-4520-0-4-407				
		2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>				
09.01	DOT service center activities .....	120	148	148
09.02	Non-DOT service center activities .....	289	439	447
10.00	Total new obligations .....	409	587	595

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year .....		25	25
22.00	New budget authority (gross) .....	376	587	595
22.10	Resources available from recoveries of prior year obligations ...	58		
23.90	Total budgetary resources available for obligation .....	434	612	620
23.95	Total new obligations .....	-409	-587	-595
24.40	Unobligated balance carried forward, end of year .....	25	25	25

**New budget authority (gross), detail:**

<b>Discretionary:</b>				
<b>Spending authority from offsetting collections:</b>				
58.00	Offsetting collections (cash) .....	412	587	595
58.10	Change in uncollected customer payments from Federal sources (unexpired) .....	-36		
58.90	Spending authority from offsetting collections (total discretionary) .....	376	587	595

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	55	52	
73.10	Total new obligations .....	409	587	595
73.20	Total outlays (gross) .....	-390	-639	-595
73.45	Recoveries of prior year obligations .....	-58		
74.00	Change in uncollected customer payments from Federal sources (unexpired) .....	36		
74.40	Obligated balance, end of year .....	52		

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	348	587	595
86.93	Outlays from discretionary balances .....	42	52	
87.00	Total outlays (gross) .....	390	639	595

**Offsets:**

<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-412	-587	-595
<b>Against gross budget authority only:</b>				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	36		

**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....	-22	52	

The Working Capital Fund finances common administrative services and other services that are centrally performed in the interest of economy and efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers.

**Object Classification (in millions of dollars)**

Identification code 69-4520-0-4-407				
		2009 actual	2010 est.	2011 est.
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	16	19	21

**WORKING CAPITAL FUND—Continued**  
**Object Classification—Continued**

Identification code 69-4520-0-4-407	2009 actual	2010 est.	2011 est.
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	17	20	21
12.1 Civilian personnel benefits .....	4	5	5
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	1	2	1
23.1 Rental payments to GSA .....	6	8	8
23.3 Communications, utilities, and miscellaneous charges .....	14	11	13
25.2 Other services .....	52	102	.....
25.3 Other purchases of goods and services from Government accounts .....	26	.....	92
25.4 Operation and maintenance of facilities .....	3	.....	.....
25.7 Operation and maintenance of equipment .....	4	8	9
26.0 Supplies and materials .....	275	427	434
31.0 Equipment .....	4	3	9
99.0 Reimbursable obligations .....	407	587	593
99.5 Below reporting threshold .....	2	.....	2
99.9 Total new obligations .....	409	587	595

**Employment Summary**

Identification code 69-4520-0-4-407	2009 actual	2010 est.	2011 est.
Reimbursable:			
2001 Civilian full-time equivalent employment .....	179	213	260

**MINORITY BUSINESS RESOURCE CENTER PROGRAM**

For the cost of guaranteed loans, **[\$353,000] \$329,000**, as authorized by 49 U.S.C. 332: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$18,367,000. In addition, for administrative expenses to carry out the guaranteed loan program, **[\$570,000] \$584,000**. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing (in millions of dollars)**

Identification code 69-0155-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.02 Guaranteed loan subsidy, administrative expenses & upward reestimate .....	1	1	1
10.00 Total new obligations (object class 99.5) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	1
23.95 Total new obligations .....	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1	1	1
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	.....	1	.....
73.10 Total new obligations .....	1	1	1
73.20 Total outlays (gross) .....	.....	-2	-1
74.40 Obligated balance, end of year .....	1	.....	.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	.....	1	1
86.93 Outlays from discretionary balances .....	.....	1	.....
87.00 Total outlays (gross) .....	.....	2	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	.....	2	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 69-0155-0-1-407	2009 actual	2010 est.	2011 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Minority Business Resource Center Loan Guarantees .....	5	18	18
215999 Total loan guarantee levels .....	5	18	18
Guaranteed loan subsidy (in percent):			
232001 Minority Business Resource Center Loan Guarantees .....	1.86	1.86	1.79
232999 Weighted average subsidy rate .....	1.86	1.86	1.79
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	.....	1	1

This program provides assistance in obtaining short-term working capital for minority, women-owned and other disadvantaged businesses and Small Business Administration 8(a) firms. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs for this program associated with guaranteed loans, as well as administrative expenses of this program.

**Employment Summary**

Identification code 69-0155-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	1	1	1

**MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 69-4082-0-3-407	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	18	18	18
2142 Uncommitted loan guarantee limitation .....	-13	.....	.....
2150 Total guaranteed loan commitments .....	5	18	18
2199 Guaranteed amount of guaranteed loan commitments .....	4	14	14
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	3	3	18
2231 Disbursements of new guaranteed loans .....	3	18	18
2251 Repayments and prepayments .....	-3	-3	-18
2290 Outstanding, end of year .....	3	18	18
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3	14	14

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all the cash flows to and from the Government resulting from guaranteed loan commitments. The amounts in this account are a means of financing and are not included in the budget totals.

**Trust Funds**

**PAYMENTS TO AIR CARRIERS**

(AIRPORT AND AIRWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, **[\$150,000,000] \$132,000,000**, to be derived from the Airport and Airway Trust Fund, to remain available until expended: *Provided*, That, in determining between or among carriers competing to provide service to a community, the Secretary may consider the relative subsidy requirements

of the carriers: *Provided further, That, hereafter, no funds made available under section 41742 of Title 49, United States Code, and no funds made available in this Act or any other Act in any fiscal year, shall be available to carry out the essential air service program under sections 41731 through 41742 of such title in communities in the 48 contiguous States that were not receiving subsidies on October 1, 2010: Provided further, That, if the funds under this heading are insufficient to meet the costs of the essential air service program in the current fiscal year, [the Secretary shall transfer] such sums as may be necessary to carry out the essential air service program shall be transferred from any available amounts appropriated to or directly administered by the [Office of the Secretary for such fiscal year] Federal Aviation Administration. (Department of Transportation Appropriations Act, 2010.)*

**Program and Financing** (in millions of dollars)

Identification code 69-8304-0-7-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Payments to air carriers .....	100	151	132
10.00 Total new obligations (object class 41.0) .....	100	151	132
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	14	1	.....
22.00 New budget authority (gross) .....	86	150	132
22.10 Resources available from recoveries of prior year obligations .....	1	.....	.....
23.90 Total budgetary resources available for obligation .....	101	151	132
23.95 Total new obligations .....	-100	-151	-132
24.40 Unobligated balance carried forward, end of year .....	1	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	86	150	132
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	14	28	60
73.10 Total new obligations .....	100	151	132
73.20 Total outlays (gross) .....	-85	-119	-139
73.45 Recoveries of prior year obligations .....	-1	.....	.....
74.40 Obligated balance, end of year .....	28	60	53
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	81	90	79
86.93 Outlays from discretionary balances .....	4	29	60
87.00 Total outlays (gross) .....	85	119	139
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	86	150	132
90.00 Outlays .....	85	119	139

Through 1997, the Essential Air Service program was funded from the Airport and Airway Trust Fund. Starting in 1998, the FAA reauthorization funded it as a mandatory program supported by overflight fees under the Essential Air Service and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct appropriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. For 2011, \$132,000,000 is requested from the Airport and Airway Trust Fund for Payments to Air Carriers. The 2011 Budget proposes, for the 48 contiguous States, that the program size be limited to communities that are receiving subsidized Essential Air Service as of October 1, 2010.

**ADMINISTRATIVE PROVISIONS—OFFICE OF THE SECRETARY OF TRANSPORTATION**

【SEC. 101. None of the funds made available in this Act to the Department of Transportation may be obligated for the Office of the Secretary of Transportation to approve assessments or reimbursable agreements pertaining to funds appropriated to the modal administrations in this Act, except for activities underway on the date of enactment of this Act,

unless such assessments or agreements have completed the normal programming process for Congressional notification.】

【SEC. 102. None of the funds made available under this Act may be obligated or expended to establish or implement a program under which essential air service communities are required to assume subsidy costs commonly referred to as the EAS local participation program.】

SEC. 【103】101. The Secretary or his designee may engage in activities with States and State legislators to consider proposals related to the reduction of motorcycle fatalities.

【SEC. 104. The Secretary of Transportation is authorized to transfer the unexpended balances available for the bonding assistance program from "Office of the Secretary, Salaries and expenses" to "Minority Business Outreach".】

【SEC. 105. Such amounts as are required from amounts provided in this Act to the Office of the Secretary of Transportation for the Transportation Planning, Research and Development program may be used for the development, coordination, and analysis of data collection procedures and national performance measures.】 (Department of Transportation Appropriations Act, 2010.)

**NATIONAL INFRASTRUCTURE INNOVATION AND FINANCE FUND**

**Federal Funds**

NATIONAL INFRASTRUCTURE INNOVATION AND FINANCE FUND, PROGRAM ACCOUNT

(Legislative proposal, not subject to PAYGO)

*For grants and credit assistance for transportation and transportation-related infrastructure projects of national or regional significance, \$4,000,000,000, to remain available until expended: Provided, That eligible projects would be capital projects, or a unified program of smaller related projects, generally not less than \$25,000,000, which are either (1) primarily for a highway, tunnel, bridge, transit, commuter rail, passenger and freight intermodal facilities, passenger rail, including Amtrak, freight rail, airports, aviation, ports and maritime investment, or (2) for a transportation component of a non-transportation project, or (3) for transportation infrastructure that increases the environmental sustainability of the transportation network in a region: Provided further, Project size may be less than \$25,000,000, for the funding of significant projects in smaller cities, regions, or States: Provided further, That of the funds made available under this heading, up to \$150,000,000 shall be available for planning, preparation, and design costs of projects, and up to \$50,000,000 shall be available for the analysis of costs and benefits of projects: Provided further, That of the funds made available under this heading, up to \$70,000,000 shall be available for establishing and administering the program: Provided further, that the remainder of such funds may be used for grants, direct loans, or loan guarantees that fund transportation and transportation-related infrastructure project costs: Provided further, That such costs of loans and loan guarantees, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended.*

**Program and Financing** (in millions of dollars)

Identification code 69-2806-2-1-452	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan subsidy .....	.....	.....	417
00.03 Planning and Capacity Grants .....	.....	.....	200
00.10 Infrastructure grants .....	.....	.....	2,000
00.15 Administrative costs .....	.....	.....	70
10.00 Total new obligations .....	.....	.....	2,687
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	.....	.....	4,000
23.95 Total new obligations .....	.....	.....	-2,687
24.40 Unobligated balance carried forward, end of year .....	.....	.....	1,313

**New budget authority (gross), detail:**  
Discretionary:

NATIONAL INFRASTRUCTURE INNOVATION AND FINANCE FUND, PROGRAM  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 69-2806-2-1-452	2009 actual	2010 est.	2011 est.
40.00 Appropriation .....			4,000
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			2,687
73.20 Total outlays (gross) .....			-476
74.40 Obligated balance, end of year .....			2,211
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			476
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			4,000
90.00 Outlays .....			476

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-2806-2-1-452	2009 actual	2010 est.	2011 est.
Direct loan levels supportable by subsidy budget authority:			
115001 NIFF Direct Loans .....			1,670
115003 NIFF Lines of Credit .....			415
115999 Total direct loan levels .....			2,085
Direct loan subsidy (in percent):			
132001 NIFF Direct Loans .....			20.00
132003 NIFF Lines of Credit .....			20.00
132999 Weighted average subsidy rate .....			20.00
Direct loan subsidy budget authority:			
133001 NIFF Direct Loans .....			334
133003 NIFF Lines of Credit .....			83
133999 Total subsidy budget authority .....			417
Direct loan subsidy outlays:			
134001 NIFF Direct Loans .....			40
134003 NIFF Lines of Credit .....			10
134999 Total subsidy outlays .....			50

The National Infrastructure Innovation & Finance Fund will establish a new direction in Federal infrastructure investment that emphasizes demonstrable merit and analytical measures of performance. Fund investments will be issued in the form of grants, loans, or a blend of both and will target transportation and transportation-related projects that provide a significant economic benefit to the Nation or a region. In addition, the Fund will sponsor planning, feasibility, and analytical capacity work necessary to identify high-value projects around the country. The Fund will encourage collaboration among non-Federal stakeholders including States, municipalities, and private investors, and also promote coordination with investments in other infrastructure sectors.

Object Classification (in millions of dollars)

Identification code 69-2806-2-1-452	2009 actual	2010 est.	2011 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			12
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			13
12.1 Civilian personnel benefits .....			2
25.2 Other services .....			55
41.0 Grants, subsidies, and contributions .....			2,617
99.9 Total new obligations .....			2,687

Employment Summary

Identification code 69-2806-2-1-452	2009 actual	2010 est.	2011 est.
Direct:			

1001 Civilian full-time equivalent employment .....	100
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NATIONAL INFRASTRUCTURE INNOVATION AND FINANCE FUND, DIRECT LOAN  
FINANCING ACCOUNT  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 69-4283-2-3-452	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan obligations .....			2,085
00.02 Payment of interest to Treasury .....			10
10.00 Total new obligations .....			2,095
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross) .....			2,095
23.95 Total new obligations .....			-2,095
24.40 Unobligated balance carried forward, end of year .....			
<b>New financing authority (gross), detail:</b>			
Mandatory:			
67.10 Authority to borrow .....			1,678
69.00 Offsetting collections (cash) .....			50
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....			367
69.90 Spending authority from offsetting collections (total mandatory) .....			417
70.00 Total new financing authority (gross) .....			2,095
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			2,095
73.20 Total financing disbursements (gross) .....			-255
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....			-367
74.40 Obligated balance, end of year .....			1,473
<b>Outlays (gross), detail:</b>			
87.00 Total financing disbursements (gross) .....			255
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources .....			-50
Against gross financing authority only:			
88.95 Change in receivables from program accounts .....			-367
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....			1,678
90.00 Financing disbursements .....			205

Status of Direct Loans (in millions of dollars)

Identification code 69-4283-2-3-452	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....			2,085
1150 Total direct loan obligations .....			2,085
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			
1231 Disbursements: Direct loan disbursements .....			250
1264 Other adjustments, net (+ or -) .....			
1290 Outstanding, end of year .....			250

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans and lines of credit made under the National Infrastructure Innovation & Finance Fund. The

amounts in this account are a means of financing and are not included in the budget totals.

NATIONAL INFRASTRUCTURE INNOVATION AND FINANCE FUND, LOAN  
GUARANTEE FINANCING ACCOUNT

(Legislative proposal, not subject to PAYGO)

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans made under the National Infrastructure Innovation & Finance Fund. The amounts in this account are a means of financing and are not included in the budget totals.

FEDERAL AVIATION ADMINISTRATION

The following table depicts the total funding for all Federal Aviation Administration (FAA) programs, for which more detail is furnished in the budget schedules:

[In millions of dollars]

	2009 actual <sup>1</sup>	2010 est.	2011 est.
<b>Budget Authority:</b>			
Operations .....	9,046	9,350	9,793
General Fund (memorandum entry) .....	[3,808]	[5,350]	[3,729]
Facilities and Equipment (Trust Fund) .....	2,742	2,936	2,970
Facilities and Equipment (General Fund) .....	200	...	...
Research, Engineering and Development (Trust Fund) .....	171	191	190
Grants-in-Aid for Airports (Trust Fund) .....	3,807	3,606	3,515
Grants-in-Aid for Airports (General Fund) .....	1,100	...	...
<b>Total net .....</b>	<b>17,066</b>	<b>16,083</b>	<b>16,468</b>
<b>Obligations:</b>			
Operations .....	9,043	9,350	9,793
Facilities and Equipment (Trust Fund) .....	2,640	3,042	3,099
Facilities and Equipment (General Fund) .....	90	110	...
Research, Engineering and Development (Trust Fund) .....	144	252	190
Grants-in-Aid for Airports (Trust Fund) .....	3,660	3,515	3,515
Grants-in-Aid for Airports (General Fund) .....	1,079	21	...
Aviation Insurance Revolving Fund .....	7	6	6
<b>Total net .....</b>	<b>16,663</b>	<b>16,296</b>	<b>16,603</b>
<b>Outlays:</b>			
Operations .....	9,000	9,719	9,740
Facilities and Equipment (Trust Fund) .....	2,460	2,741	2,931
Facilities and Equipment (General Fund) .....	2	88	110
Research, Engineering and Development (Trust Fund) .....	143	188	209
Grants-in-Aid for airports (Trust Fund) .....	3,876	3,388	3,386
Grants-in-Aid for airports (General Fund) .....	179	591	220
Aviation Insurance Revolving Fund .....	-175	-187	-191
Administrative Services Franchise Fund .....	-67	121	4
<b>Total net .....</b>	<b>15,418</b>	<b>16,649</b>	<b>16,409</b>

<sup>1</sup>Includes budget authority provided by the American Recovery and Reinvestment Act of 2009. This Act provided supplemental funding of \$200 million to Facilities & Equipment and \$1.1 billion to Grants-in-Aid For Airports.

Federal Funds

OPERATIONS

[(AIRPORT AND AIRWAY TRUST FUND)]

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, lease or purchase of passenger motor vehicles for replacement only, in addition to amounts made available by Public Law 108-176, [\$9,350,028,000]

\$9,793,000,000, of which [\$4,000,000,000] \$6,064,000,000 shall be derived from the Airport and Airway Trust Fund[, of which not to exceed \$7,299,299,000 shall be available for air traffic organization activities; not to exceed \$1,234,065,000 shall be available for aviation safety activities; not to exceed \$15,237,000 shall be available for commercial space transportation activities; not to exceed \$113,681,000 shall be available for financial services activities; not to exceed \$100,428,000 shall be available for human resources program activities; not to exceed \$341,977,000 shall be available for region and center operations and regional coordination activities; not to exceed \$196,063,000 shall be available for staff offices; and not to exceed \$49,278,000 shall be available for information services: *Provided*, That the Secretary utilize not less than \$17,084,000 of the funds provided for aviation safety activities to pay for staff increases in the Office of Aviation Flight Standards and the Office of Aircraft Certification: *Provided further*, That none of the funds provided for increases to the staffs of the aviation flight standards and aircraft certification offices shall be used for other purposes]: *Provided* [further], That not to exceed 2 percent of any budget activity, except for aviation safety budget activity, may be transferred to any budget activity under this heading: *Provided further*, That no transfer may increase or decrease any appropriation by more than 2 percent: [Provided further, That any transfer in excess of 2 percent shall be treated as a reprogramming of funds under section 405 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That not later than March 31 of each fiscal year hereafter, the Administrator of the Federal Aviation Administration shall transmit to Congress an annual update to the report submitted to Congress in December 2004 pursuant to section 221 of Public Law 108-176: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 for each day after March 31 that such report has not been submitted to the Congress: *Provided further*, That not later than March 31 of each fiscal year hereafter, the Administrator shall transmit to Congress a companion report that describes a comprehensive strategy for staffing, hiring, and training flight standards and aircraft certification staff in a format similar to the one utilized for the controller staffing plan, including stated attrition estimates and numerical hiring goals by fiscal year: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after March 31 that such report has not been submitted to Congress:] *Provided further*, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: *Provided further*, That none of the funds in this Act shall be available for new applicants for the second career training program: [Provided further, That none of the funds in this Act shall be available for the Federal Aviation Administration to finalize or implement any regulation that would promulgate new aviation user fees not specifically authorized by law after the date of the enactment of this Act:] *Provided further*, That there may be credited to this appropriation as *offsetting collections* funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources, including funds from fees authorized under Chapter 453 of title 49, United States Code, other than those authorized by section 45301(a)(1) of that title, which shall be available for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities, and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms[: *Provided further*, That of the funds appropriated under this heading, not less than \$9,500,000 shall be for the contract tower cost-sharing program: *Provided further*, That none of the funds in this Act for aeronautical charting and cartography are available for activities conducted by, or coordinated through, the Working Capital Fund: *Provided further*, That of the funds available under this heading not to exceed \$500,000 shall be provided to the Department of Transportation's Office of Inspector General through reimbursement to conduct the annual audits of financial statements in accordance with section 3521 of title 31, United States Code, and not to exceed \$120,000 shall be provided to that office through reimbursement to conduct the annual Enterprise Services Center Statement on Auditing Standards 70 audit]. (*Department of Transportation Appropriations Act, 2010.*)

OPERATIONS—Continued  
Program and Financing (in millions of dollars)

Identification code 69-1301-0-1-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Air Traffic Organization (ATO) .....	7,095	7,299	7,631
00.04 Regulation and certification .....	1,170	1,234	1,294
00.05 Commercial space transportation .....	14	15	16
00.06 Staff offices .....	764	802	852
01.00 Direct Program Activities Subtotal .....	9,043	9,350	9,793
09.01 Reimbursable program .....	156	224	224
10.00 Total new obligations .....	9,199	9,574	10,017
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	28	82	.....
22.00 New budget authority (gross) .....	9,258	9,492	10,017
22.10 Resources available from recoveries of prior year obligations ....	4	.....	.....
22.30 Expired unobligated balance transfer to unexpired account .....	3	.....	.....
23.90 Total budgetary resources available for obligation .....	9,293	9,574	10,017
23.95 Total new obligations .....	-9,199	-9,574	-10,017
23.98 Unobligated balance expiring or withdrawn .....	-12	.....	.....
24.40 Unobligated balance carried forward, end of year .....	82	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	3,804	5,350	3,729
42.00 Transferred from other accounts .....	4	.....	.....
43.00 Appropriation (total discretionary) .....	3,808	5,350	3,729
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	5,322	4,142	6,288
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	128	.....	.....
58.90 Spending authority from offsetting collections (total discretionary) .....	5,450	4,142	6,288
70.00 Total new budget authority (gross) .....	9,258	9,492	10,017
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1,415	1,409	1,122
73.10 Total new obligations .....	9,199	9,574	10,017
73.20 Total outlays (gross) .....	-9,139	-9,861	-9,964
73.40 Adjustments in expired accounts (net) .....	-2	.....	.....
73.45 Recoveries of prior year obligations .....	-4	.....	.....
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-128	.....	.....
74.10 Change in uncollected customer payments from Federal sources (expired) .....	68	.....	.....
74.40 Obligated balance, end of year .....	1,409	1,122	1,175
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	7,817	8,370	8,842
86.93 Outlays from discretionary balances .....	1,322	1,491	1,122
87.00 Total outlays (gross) .....	9,139	9,861	9,964
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-5,353	-4,122	-6,268
88.40 Non-Federal sources .....	-24	-20	-20
88.90 Total, offsetting collections (cash) .....	-5,377	-4,142	-6,288
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-128	.....	.....
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	55	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3,808	5,350	3,729
90.00 Outlays .....	3,762	5,719	3,676

For 2011, the Budget requests \$9,793 million for FAA operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organiza-

tion, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization (AVS) which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space transportation industry, as well as FAA policy oversight and overall management functions.

Object Classification (in millions of dollars)

Identification code 69-1301-0-1-402	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	4,224	4,479	4,755
11.3 Other than full-time permanent .....	46	48	49
11.5 Other personnel compensation .....	387	386	387
11.9 Total personnel compensation .....	4,657	4,913	5,191
12.1 Civilian personnel benefits .....	1,486	1,535	1,620
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	180	179	181
22.0 Transportation of things .....	23	23	23
23.1 Rental payments to GSA .....	121	125	126
23.2 Rental payments to others .....	42	35	36
23.3 Communications, utilities, and miscellaneous charges .....	310	311	313
24.0 Printing and reproduction .....	6	6	6
25.1 Advisory and assistance services .....	483	474	477
25.2 Other services .....	1,468	1,478	1,526
26.0 Supplies and materials .....	153	153	155
31.0 Equipment .....	101	102	123
32.0 Land and structures .....	8	9	9
41.0 Grants, subsidies, and contributions .....	3	5	5
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	9,043	9,350	9,793
99.0 Reimbursable obligations .....	156	224	224
99.9 Total new obligations .....	9,199	9,574	10,017

Employment Summary

Identification code 69-1301-0-1-402	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	42,198	42,643	42,815
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	228	156	156

FACILITIES AND EQUIPMENT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 69-1304-0-1-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Power systems .....	30	20	.....
00.02 Modernize aging en route air traffic control centers .....	42	8	.....
00.03 Replace air traffic control towers (ATCT/TRACONS) .....	.....	80	.....
00.04 Install airport lighting, navigation and landing equipment .....	18	2	.....
10.00 Total new obligations .....	90	110	.....
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	.....	110	.....
22.00 New budget authority (gross) .....	200	.....	.....
23.90 Total budgetary resources available for obligation .....	200	110	.....
23.95 Total new obligations .....	-90	-110	.....
24.40 Unobligated balance carried forward, end of year .....	110	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	200	.....	.....
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	.....	88	110
73.10 Total new obligations .....	90	110	.....
73.20 Total outlays (gross) .....	-2	-88	-110

74.40	Obligated balance, end of year .....	88	110	.....
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	2	.....	.....
86.93	Outlays from discretionary balances .....	88	110	.....
87.00	Total outlays (gross) .....	2	88	110
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	200	.....	.....
90.00	Outlays .....	2	88	110

The American Recovery and Reinvestment Act of 2009 provided \$200 million to FAA's Facilities & Equipment (F&E) account, which finances major capital investments related to modernizing and improving air traffic control and airway facilities, equipment, and systems. Funds were appropriated from the General Fund of the U.S. Treasury and available for obligation through 2010. The funding is being used to upgrade, modernize, and improve FAA power systems, air route traffic control centers, air traffic control towers, terminal radar approach control facilities, and navigation and landing equipment.

**Object Classification** (in millions of dollars)

Identification code 69-1304-0-1-402	2009 actual	2010 est.	2011 est.	
<b>Direct obligations:</b>				
25.2	Other services .....	27	33	.....
26.0	Supplies and materials .....	2	3	.....
31.0	Equipment .....	10	12	.....
32.0	Land and structures .....	51	62	.....
99.9	Total new obligations .....	90	110	.....

**GRANTS-IN-AID FOR AIRPORTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1306-0-1-402	2009 actual	2010 est.	2011 est.	
<b>Obligations by program activity:</b>				
00.01	Grants-in-Aid for Airports .....	1,078	20	.....
00.02	Administration and Oversight .....	1	1	.....
10.00	Total new obligations .....	1,079	21	.....
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance carried forward, start of year .....	.....	21	.....
22.00	New budget authority (gross) .....	1,100	.....	.....
23.90	Total budgetary resources available for obligation .....	1,100	21	.....
23.95	Total new obligations .....	-1,079	-21	.....
24.40	Unobligated balance carried forward, end of year .....	21	.....	.....
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	1,100	.....	.....
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	.....	900	330
73.10	Total new obligations .....	1,079	21	.....
73.20	Total outlays (gross) .....	-179	-591	-220
74.40	Obligated balance, end of year .....	900	330	110
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	179	.....	.....
86.93	Outlays from discretionary balances .....	591	220	.....
87.00	Total outlays (gross) .....	179	591	220
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	1,100	.....	.....
90.00	Outlays .....	179	591	220

The American Recovery and Reinvestment Act of 2009 provided \$1.1 billion for Grants-in-Aid for Airports (AIP). Funds are appropriated from the General Fund of the U.S. Treasury and are available for obligation through 2010. Discretionary grants are being allocated to qualified airports based on a project priority system that addresses airport safety and security, runway safety, increased capacity, and mitigation of environmental impacts.

**Object Classification** (in millions of dollars)

Identification code 69-1306-0-1-402	2009 actual	2010 est.	2011 est.	
<b>Direct obligations:</b>				
11.5	Personnel compensation: Other personnel compensation .....	1	1	.....
41.0	Grants, subsidies, and contributions .....	1,078	20	.....
99.9	Total new obligations .....	1,079	21	.....

**Employment Summary**

Identification code 69-1306-0-1-402	2009 actual	2010 est.	2011 est.	
<b>Direct:</b>				
1001	Civilian full-time equivalent employment .....	1	.....	.....

**AVIATION USER FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 69-5422-0-2-402	2009 actual	2010 est.	2011 est.	
01.00	Balance, start of year .....	30	32	.....
01.99	Balance, start of year .....	30	32	.....
<b>Receipts:</b>				
02.00	Aviation User Fees, Overflight Fees .....	57	52	54
02.99	Total receipts and collections .....	57	52	54
04.00	Total: Balances and collections .....	57	82	86
<b>Appropriations:</b>				
05.00	Aviation User Fees .....	-27	-50	-50
05.99	Total appropriations .....	-27	-50	-50
07.99	Balance, end of year .....	30	32	36

**Program and Financing** (in millions of dollars)

Identification code 69-5422-0-2-402	2009 actual	2010 est.	2011 est.	
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance carried forward, start of year .....	23	.....	.....
22.21	Unobligated balance transferred to other accounts .....	-23	.....	.....
23.90	Total budgetary resources available for obligation .....	.....	.....	.....
24.40	Unobligated balance carried forward, end of year .....	.....	.....	.....
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.20	Appropriation (special fund) .....	27	50	50
61.00	Transferred to other accounts .....	-27	-50	-50
62.50	Appropriation (total mandatory) .....	.....	.....	.....
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	.....	.....	.....
90.00	Outlays .....	.....	.....	.....

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for air traffic control and related services provided by the FAA to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$54 million in overflight fees will be collected in 2011.

## AVIATION INSURANCE REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 69-4120-0-3-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Program Administration .....	7	6	6
10.00 Total new obligations .....	7	6	6
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1,137	1,311	1,498
22.00 New budget authority (gross) .....	181	193	197
23.90 Total budgetary resources available for obligation .....	1,318	1,504	1,695
23.95 Total new obligations .....	-7	-6	-6
24.40 Unobligated balance carried forward, end of year .....	1,311	1,498	1,689
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	181	193	197
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	6	7	7
73.10 Total new obligations .....	7	6	6
73.20 Total outlays (gross) .....	-6	-6	-6
74.40 Obligated balance, end of year .....	7	7	7
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	5	6	6
86.98 Outlays from mandatory balances .....	1		
87.00 Total outlays (gross) .....	6	6	6
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities .....	-38	-35	-45
88.40 Non-Federal sources .....	-143	-158	-152
88.90 Total, offsetting collections (cash) .....	-181	-193	-197
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-175	-187	-191
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	1,078	1,271	1,497
92.02 Total investments, end of year: Federal securities: Par value .....	1,271	1,497	1,637

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). Income to the fund is derived from premium collections for premium insurance coverage issued, income from authorized investments, and binder fees for nonpremium coverage issued. The binders provide aviation insurance coverage for U.S. air carrier aircraft used in connection with certain Government contract operations by the Department of Defense and the Department of State.

The Homeland Security Act of 2002 (P.L. 107-296) required the Secretary to provide additional war risk insurance coverage (Hull Loss and Passenger and Crew Liability) to air carriers insured for Third-Party War Risk Liability as of June 19, 2002, as authorized under existing law. Continuation of this coverage was subsequently directed by several appropriations and authorization acts, the last being the 2010 Federal Aviation Administration Extension Act Part II, which extends the requirement to provide insurance coverage through March 31, 2010. The Budget contains no policy recommendation for the aviation insurance program and displays baseline funding for the program in 2011.

The Secretary is authorized to limit an air carrier's third party liability to \$100 million, when the Secretary certifies that the loss was from an act of terrorism. The FAA insurance policy covers: (i) hull losses at agreed value; (ii) death, injury, or prop-

erty loss to passengers or crew, the limit being the same as that of the air carrier's commercial coverage before September 11, 2001; and (iii) third party liability, the limit generally being twice that of such coverage.

## Object Classification (in millions of dollars)

Identification code 69-4120-0-3-402	2009 actual	2010 est.	2011 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
44.0 Refunds .....	6	5	5
99.0 Reimbursable obligations .....	7	6	6
99.9 Total new obligations .....	7	6	6

## Employment Summary

Identification code 69-4120-0-3-402	2009 actual	2010 est.	2011 est.
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	5	5	5

## ADMINISTRATIVE SERVICES FRANCHISE FUND

## Program and Financing (in millions of dollars)

Identification code 69-4562-0-4-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Accounting Services .....	68	50	52
09.04 Information Services .....	101	93	96
09.05 Duplicating Services .....	6	5	5
09.06 Multi Media .....	2	2	2
09.07 CME/Training .....	11	13	13
09.08 International Training .....	3	3	3
09.10 Logistics .....	223	235	235
09.11 Aircraft Maintenance .....	60	57	58
09.12 Acquisition .....		9	10
09.99 Total reimbursable program .....	474	467	474
10.00 Total new obligations .....	474	467	474
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	193	174	158
22.00 New budget authority (gross) .....	435	451	449
22.10 Resources available from recoveries of prior year obligations .....	20		
23.90 Total budgetary resources available for obligation .....	648	625	607
23.95 Total new obligations .....	-474	-467	-474
24.40 Unobligated balance carried forward, end of year .....	174	158	133
<b>New budget authority (gross), detail:</b>			
Discretionary:			
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	494	451	449
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-59		
58.90 Spending authority from offsetting collections (total discretionary) .....	435	451	449
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	63	149	44
73.10 Total new obligations .....	474	467	474
73.20 Total outlays (gross) .....	-427	-572	-453
73.45 Recoveries of prior year obligations .....	-20		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	59		
74.40 Obligated balance, end of year .....	149	44	65
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	325	307	305
86.93 Outlays from discretionary balances .....	102	265	148
87.00 Total outlays (gross) .....	427	572	453

<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-494	-451	-449
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	59		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-67	121	4

In 1997, the Federal Aviation Administration established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a reimbursable basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, payroll, travel, duplicating services, multi-media services, information technology, materiel management (logistics), and aircraft maintenance.

**Object Classification** (in millions of dollars)

Identification code 69-4562-0-4-402	2009 actual	2010 est.	2011 est.	
<b>Reimbursable obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	111	125	129
12.1	Civilian personnel benefits .....	29	33	35
21.0	Travel and transportation of persons .....	5	6	6
22.0	Transportation of things .....	5	4	5
23.3	Communications, utilities, and miscellaneous charges .....	18	16	16
24.0	Printing and reproduction .....	2	1	1
25.2	Other services .....	200	170	174
26.0	Supplies and materials .....	86	91	94
31.0	Equipment .....	18	21	14
99.0	Reimbursable obligations .....	474	467	474
99.9	Total new obligations .....	474	467	474

**Employment Summary**

Identification code 69-4562-0-4-402	2009 actual	2010 est.	2011 est.	
<b>Reimbursable:</b>				
2001	Civilian full-time equivalent employment .....	1,453	1,452	1,467

**Trust Funds**

**AIRPORT AND AIRWAY TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 69-8103-0-7-402	2009 actual	2010 est.	2011 est.	
<b>Memorandum (non-add) entries:</b>				
92.01	Total investments, start of year: Federal securities: Par value .....	7,674	7,829	9,249
92.02	Total investments, end of year: Federal securities: Par value .....	7,829	9,249	9,257

Section 9502 of Title 26, U.S. Code, provides for amounts equivalent to the funds received in the Treasury for the passenger ticket tax and certain other taxes paid by airport and airway users to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants, FAA facilities and equipment, research, operations, payment to air carriers, and for the Bureau of Transportation Statistics Office of Airline Information.

The status of the fund is as follows:

**Status of Funds** (in millions of dollars)

Identification code 69-8103-0-7-402	2009 actual	2010 est.	2011 est.
Unexpended balance, start of year:			

0100	Balance, start of year .....	9,705	8,780	10,358
0199	Total balance, start of year .....	9,705	8,780	10,358
Cash income during the year:				
Current law:				
Receipts:				
1200	Excise Taxes, Airport and Airway Trust Fund .....	10,569	11,798	12,493
Offsetting receipts (intragovernmental):				
1240	Interest, Airport and Airway Trust Fund .....	308	216	246
Offsetting collections:				
1280	Grants-in-aid for Airports (Airport and Airway Trust Fund) .....		1	1
1281	Facilities and Equipment (Airport and Airway Trust Fund) .....	6	47	47
1282	Facilities and Equipment (Airport and Airway Trust Fund) .....	75	93	93
1283	Research, Engineering and Development (Airport and Airway Trust Fund) .....	1	16	16
1299	Income under present law .....	10,959	12,171	12,896
3299	Total cash income .....	10,959	12,171	12,896
Cash outgo during year:				
Current law:				
4500	Payments to Air Carriers .....	-85	-119	-139
4501	Grants-in-aid for Airports (Airport and Airway Trust Fund) .....	-3,876	-3,389	-3,387
4502	Facilities and Equipment (Airport and Airway Trust Fund) .....	-2,541	-2,881	-3,071
4503	Research, Engineering and Development (Airport and Airway Trust Fund) .....	-144	-204	-225
4504	Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) .....	-5,238	-4,000	-6,064
4599	Outgo under current law (-) .....	-11,884	-10,593	-12,886
6599	Total cash outgo (-) .....	-11,884	-10,593	-12,886
Unexpended balance, end of year:				
8700	Uninvested balance (net), end of year .....	951	1,109	1,111
8701	Airport and Airway Trust Fund .....	7,829	9,249	9,257
8799	Total balance, end of year .....	8,780	10,358	10,368

**GRANTS-IN-AID FOR AIRPORTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(AIRPORT AND AIRWAY TRUST FUND)

[(INCLUDING TRANSFER OF FUNDS)]

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, **[\$3,000,000,000]** \$3,550,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: *Provided*, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of \$3,515,000,000 in fiscal year **[2010]** 2011, notwithstanding section 47117(g) of title 49, United States Code: *Provided further*, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: *Provided further*, That notwithstanding any other provision of law, of funds limited under this heading, not more than **[\$93,422,000]** \$100,208,000 shall be obligated for administration, not less than \$15,000,000 shall be available for the airport cooperative research program, not less than **[\$22,472,000]** \$27,217,000 shall be for Airport Technology Research **[and \$6,000,000, to remain available until expended, shall be available and transferred to "Office of the Secretary, Salaries and Expenses" to carry out the Small Community Air Service Development Program].**

[(RESCISSION)]

[Of the amounts authorized for the fiscal year ending September 30, 2009, and prior years under sections 48103 and 48112 of title 49, United

GRANTS-IN-AID FOR AIRPORTS—Continued

States Code, \$394,000,000 are permanently rescinded. ] (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-8106-0-7-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Grants-in-aid for airports .....	3,529	3,379	3,373
00.02 Personnel and related expenses .....	87	93	100
00.03 Airport technology research .....	19	22	27
00.05 Small community air service .....	10	6	.....
00.06 Airport Cooperative Research .....	15	15	15
01.00 Total direct program .....	3,660	3,515	3,515
09.01 Reimbursable program .....	.....	1	1
10.00 Total new obligations .....	3,660	3,516	3,516
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	103	396	487
22.00 New budget authority (gross) .....	3,807	3,607	3,516
22.10 Resources available from recoveries of prior year obligations .....	146	.....	.....
23.90 Total budgetary resources available for obligation .....	4,056	4,003	4,003
23.95 Total new obligations .....	-3,660	-3,516	-3,516
24.40 Unobligated balance carried forward, end of year .....	396	487	487
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	3,600	3,000	3,550
40.49 Portion applied to liquidate contract authority .....	-3,600	-3,000	-3,550
43.00 Appropriation (total discretionary) .....	.....	.....	.....
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	.....	1	1
Mandatory:			
66.10 Contract authority (Vision 100) .....	3,900	.....	.....
66.10 Contract authority (49 USC 48112) .....	.....	.....	.....
66.10 Contract authority .....	.....	4,000	3,515
66.35 Contract authority permanently reduced .....	-80	.....	.....
66.36 Unobligated balance permanently reduced .....	-13	-394	.....
66.90 Contract authority (total mandatory) .....	3,807	3,606	3,515
70.00 Total new budget authority (gross) .....	3,807	3,607	3,516
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5,064	4,702	4,829
73.10 Total new obligations .....	3,660	3,516	3,516
73.20 Total outlays (gross) .....	-3,876	-3,389	-3,387
73.45 Recoveries of prior year obligations .....	-146	.....	.....
74.40 Obligated balance, end of year .....	4,702	4,829	4,958
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	818	719	723
86.93 Outlays from discretionary balances .....	3,058	2,670	2,664
87.00 Total outlays (gross) .....	3,876	3,389	3,387
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	.....	-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3,807	3,606	3,515
90.00 Outlays .....	3,876	3,388	3,386
<b>Memorandum (non-add) entries:</b>			
93.01 Unobligated balance, start of year: Contract authority .....	.....	.....	.....
93.02 Unobligated balance, end of year: Contract authority .....	.....	.....	.....
93.03 Obligated balance, start of year: Contract authority .....	3,348	3,555	4,161
93.04 Obligated balance, end of year: Contract authority .....	3,555	4,161	4,126
93.07 Limitation on obligations (Transportation Trust Funds) .....	3,515	3,515	3,515

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs.

Object Classification (in millions of dollars)

Identification code 69-8106-0-7-402	2009 actual	2010 est.	2011 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	54	61	67
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	56	63	69
12.1 Civilian personnel benefits .....	15	14	15
21.0 Travel and transportation of persons .....	4	5	5
25.2 Other services .....	41	45	50
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	3	3
41.0 Grants, subsidies, and contributions .....	3,541	3,384	3,372
99.0 Direct obligations .....	3,660	3,515	3,515
99.0 Reimbursable obligations .....	.....	1	1
99.9 Total new obligations .....	3,660	3,516	3,516

Employment Summary

Identification code 69-8106-0-7-402	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	557	566	584
Reimbursable:			
2001 Civilian full-time equivalent employment .....	1	6	3

FACILITIES AND EQUIPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, [\$2,936,203,000] \$2,970,000,000, of which [\$2,466,203,000] \$2,478,000,000 shall remain available until September 30, [2012] 2013, and of which [\$470,000,000] \$492,000,000 shall remain available until September 30, [2010] 2011: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, *improvement*, and modernization of [air navigation facilities] *National Airspace Systems: Provided further*, That upon initial submission to the Congress of the fiscal year [2011] 2012 President's budget, the Secretary of Transportation shall transmit to the Congress a comprehensive capital investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years [2011] 2012 through [2015] 2016, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget. (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-8107-0-7-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Engineering, development, test and evaluation .....	310	489	674
00.02 Procurement and modernization of air traffic control (ATC) facilities and equipment .....	1,508	1,726	1,523
00.03 Procurement and modernization of non-ATC facilities and equipment .....	123	117	149
00.04 Mission support .....	239	240	261

00.05	Personnel and related expenses .....	460	470	492
01.00	Subtotal, direct program .....	2,640	3,042	3,099
09.01	Reimbursable program .....	94	140	140
10.00	Total new obligations .....	2,734	3,182	3,239
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance carried forward, start of year .....	1,016	1,203	1,097
22.00	New budget authority (gross) .....	2,860	3,076	3,110
22.10	Resources available from recoveries of prior year obligations ....	67		
22.30	Expired unobligated balance transfer to unexpired account .....	3		
23.90	Total budgetary resources available for obligation .....	3,946	4,279	4,207
23.95	Total new obligations .....	-2,734	-3,182	-3,239
23.98	Unobligated balance expiring or withdrawn .....	-9		
24.40	Unobligated balance carried forward, end of year .....	1,203	1,097	968
24.41	Special and trust fund receipts returned to Schedule N .....	52		
24.51	Expired unobligated balance carried forward, start of year (special and trust funds) .....	130		
24.52	Expired unobligated balance carried forward, end of year (special and trust funds) .....	120		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.26	Appropriation (trust fund) .....	2,742	2,936	2,970
Spending authority from offsetting collections:				
58.00	Offsetting collections (cash) .....	75	140	140
58.10	Change in uncollected customer payments from Federal sources (unexpired) .....	43		
58.90	Spending authority from offsetting collections (total discretionary) .....	118	140	140
70.00	Total new budget authority (gross) .....	2,860	3,076	3,110
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	1,786	1,830	2,131
73.10	Total new obligations .....	2,734	3,182	3,239
73.20	Total outlays (gross) .....	-2,541	-2,881	-3,071
73.40	Adjustments in expired accounts (net) .....	-58		
73.45	Recoveries of prior year obligations .....	-67		
74.00	Change in uncollected customer payments from Federal sources (unexpired) .....	-43		
74.10	Change in uncollected customer payments from Federal sources (expired) .....	19		
74.40	Obligated balance, end of year .....	1,830	2,131	2,299
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	1,046	1,343	1,366
86.93	Outlays from discretionary balances .....	1,482	1,510	1,692
86.98	Outlays from mandatory balances .....	13	28	13
87.00	Total outlays (gross) .....	2,541	2,881	3,071
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-6	-47	-47
88.40	Non-Federal sources .....	-75	-93	-93
88.90	Total, offsetting collections (cash) .....	-81	-140	-140
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	-43		
88.96	Portion of offsetting collections (cash) credited to expired accounts .....	6		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2,742	2,936	2,970
90.00	Outlays .....	2,460	2,741	2,931

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Airspace System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the FAA, NASA, and the Departments of Defense, Homeland Security and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. As the organization primarily responsible for air traffic infrastructure, the Air Traffic Organization receives and manages 95 percent of the funding in this account. The

funding request for 2011 supports FAA's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

**Object Classification** (in millions of dollars)

Identification code 69-8107-0-7-402	2009 actual	2010 est.	2011 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	308	325	338
11.3	Other than full-time permanent .....	4	5	6
11.5	Other personnel compensation .....	9	10	11
11.9	Total personnel compensation .....	321	340	355
12.1	Civilian personnel benefits .....	80	85	90
21.0	Travel and transportation of persons .....	41	35	37
22.0	Transportation of things .....	2	3	3
23.2	Rental payments to others .....	72	34	35
23.3	Communications, utilities, and miscellaneous charges .....		41	42
24.0	Printing and reproduction .....		1	1
25.2	Other services .....	1,739	1,966	1,983
26.0	Supplies and materials .....	31	43	45
31.0	Equipment .....	216	310	320
32.0	Land and structures .....	128	178	182
41.0	Grants, subsidies, and contributions .....	10	6	6
99.0	Direct obligations .....	2,640	3,042	3,099
99.0	Reimbursable obligations .....	94	140	140
99.9	Total new obligations .....	2,734	3,182	3,239

**Employment Summary**

Identification code 69-8107-0-7-402	2009 actual	2010 est.	2011 est.	
<b>Direct:</b>				
1001	Civilian full-time equivalent employment .....	2,736	2,968	2,968
<b>Reimbursable:</b>				
2001	Civilian full-time equivalent employment .....	40	55	55

**RESEARCH, ENGINEERING, AND DEVELOPMENT**

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, **[\$190,500,000]** \$190,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, **[2012]** 2013: *Provided*, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-8108-0-7-402	2009 actual	2010 est.	2011 est.	
<b>Obligations by program activity:</b>				
00.11	Improve aviation safety .....	95	117	94
00.12	Improve efficiency of the air traffic control system .....	26	69	55
00.13	Reduce environmental impact of aviation .....	19	57	36
00.14	Improve the efficiency of mission support .....	4	9	5
01.00	Subtotal, direct program .....	144	252	190
09.01	Reimbursable program .....	5	16	16
10.00	Total new obligations .....	149	268	206
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance carried forward, start of year .....	32	61	
22.00	New budget authority (gross) .....	177	207	206
22.10	Resources available from recoveries of prior year obligations ....	2		
23.90	Total budgetary resources available for obligation .....	211	268	206
23.95	Total new obligations .....	-149	-268	-206
23.98	Unobligated balance expiring or withdrawn .....	-1		
24.40	Unobligated balance carried forward, end of year .....	61		

RESEARCH, ENGINEERING, AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 69-8108-0-7-402	2009 actual	2010 est.	2011 est.
24.41 Special and trust fund receipts returned to Schedule N .....	2		
24.51 Expired unobligated balance carried forward, start of year (special and trust funds) .....	7		
24.52 Expired unobligated balance carried forward, end of year (special and trust funds) .....	6		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	171	191	190
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	1	16	16
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	5		
58.90 Spending authority from offsetting collections (total discretionary) .....	6	16	16
70.00 Total new budget authority (gross) .....	177	207	206
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	138	134	198
73.10 Total new obligations .....	149	268	206
73.20 Total outlays (gross) .....	-144	-204	-225
73.40 Adjustments in expired accounts (net) .....	-3		
73.45 Recoveries of prior year obligations .....	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-5		
74.10 Change in uncollected customer payments from Federal sources (expired) .....	1		
74.40 Obligated balance, end of year .....	134	198	179
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	61	100	100
86.93 Outlays from discretionary balances .....	83	104	125
87.00 Total outlays (gross) .....	144	204	225
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1	-16	-16
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-5		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	171	191	190
90.00 Outlays .....	143	188	209

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. For 2011, the proposed funding is allocated to the following performance goal areas of the FAA: improve safety, capacity, and environmental performance of the National Airspace System. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as the Joint Planning and Development Office which coordinates the interagency effort to develop NextGen.

Object Classification (in millions of dollars)

Identification code 69-8108-0-7-402	2009 actual	2010 est.	2011 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	27	33	34
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	28	34	35
12.1 Civilian personnel benefits .....	7	8	9
21.0 Travel and transportation of persons .....	2	4	3
25.5 Research and development contracts .....	88	168	116
26.0 Supplies and materials .....	1	3	2
31.0 Equipment .....	1	2	1
41.0 Grants, subsidies, and contributions .....	17	33	24
99.0 Direct obligations .....	144	252	190

99.0 Reimbursable obligations .....	5	16	16
99.9 Total new obligations .....	149	268	206

Employment Summary

Identification code 69-8108-0-7-402	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	260	308	311

TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8104-0-7-402	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Payment to Operations .....	5,238	4,000	6,064
10.00 Total new obligations (object class 94.0) .....	5,238	4,000	6,064
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	5,238	4,000	6,064
23.95 Total new obligations .....	-5,238	-4,000	-6,064
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	5,238	4,000	6,064
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	5,238	4,000	6,064
73.20 Total outlays (gross) .....	-5,238	-4,000	-6,064
74.40 Obligated balance, end of year .....			
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	5,238	4,000	6,064
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5,238	4,000	6,064
90.00 Outlays .....	5,238	4,000	6,064

For 2011, the Budget proposes \$9,793 million for FAA Operations, of which \$6,064 million would be provided from the Airport and Airway Trust Fund.

ADMINISTRATIVE PROVISIONS—FEDERAL AVIATION ADMINISTRATION

【SEC. 110. None of the funds in this Act may be used to compensate in excess of 600 technical staff-years under the federally funded research and development center contract between the Federal Aviation Administration and the Center for Advanced Aviation Systems Development during fiscal year 2010.】

【SEC. 111. None of the funds in this Act shall be used to pursue or adopt guidelines or regulations requiring airport sponsors to provide to the Federal Aviation Administration without cost building construction, maintenance, utilities and expenses, or space in airport sponsor-owned buildings for services relating to air traffic control, air navigation, or weather reporting: *Provided*, That the prohibition of funds in this section does not apply to negotiations between the agency and airport sponsors to achieve agreement on "below-market" rates for these items or to grant assurances that require airport sponsors to provide land without cost to the FAA for air traffic control facilities.】

SEC. 【112】110. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303: *Provided*, That during fiscal year 【2010】 2011, 49 U.S.C. 41742(b) shall not apply, and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.

SEC. 【113】111. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the

time of collection, to be merged with and available for the same purposes of such appropriation.

【SEC. 114. (a) Section 44302(f)(1) of title 49, United States Code, is amended—

(1) by striking "September 30, 2009," and inserting "September 30, 2010,"; and

(2) by striking "December 31, 2009," and inserting "December 31, 2010,".

(b) Section 44303(b) of such title is amended by striking "December 31, 2009," and inserting "December 31, 2010,".】

【SEC. 115. None of the funds appropriated or limited by this Act may be used to change weight restrictions or prior permission rules at Teterboro airport in Teterboro, New Jersey.】

SEC. 【116】112. None of the funds limited by this Act for grants under the Airport Improvement Program shall be made available to the sponsor of a commercial service airport if such sponsor fails to agree to a request from the Secretary of Transportation for cost-free space in a non-revenue producing, public use area of the airport terminal or other airport facilities for the purpose of carrying out a public service air passenger rights and consumer outreach campaign.

SEC. 【117】113. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.

SEC. 【118】114. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.

【SEC. 119. The Secretary shall apportion to the sponsor of an airport that received scheduled or unscheduled air service from a large certified air carrier (as defined in part 241 of title 14 Code of Federal Regulations, or such other regulations as may be issued by the Secretary under the authority of section 41709) an amount equal to the minimum apportionment specified in 49 U.S.C. 47114(c), if the Secretary determines that airport had more than 10,000 passenger boardings in the preceding calendar year, based on data submitted to the Secretary under part 241 of title 14, Code of Federal Regulations.】 (*Department of Transportation Appropriations Act, 2010.*)

**FEDERAL HIGHWAY ADMINISTRATION**

The authorization for Federal surface transportation programs, SAFETEA-LU, ended on September 30, 2009. Since then, to continue highway, transit, and highway safety programs, Congress has extended the SAFETEA-LU authorities on a short-term basis, most recently through February 28, 2010. The Administration recognizes that surface transportation programs and the system for paying for them must be fundamentally reformed and has called for extending current authorities through spring 2011. Such an extension would give Congress and the Administration time to craft thoughtful legislation and provide States and localities with certainty about surface transportation programs in the interim. To illustrate this position, the Budget includes placeholder baseline (inflation-adjusted) funding levels for surface transportation programs in 2011. Looking beyond 2011, to underscore the growing imbalance between projected Highway Trust Fund (HTF) revenues and spending, the Budget shows lowered HTF-funded contract authority and supplemental General Fund budget authority starting in 2012. This is for presentation purposes to reflect the reduced portion of the program that could be supported by current-law trust fund revenues.

In summary, the 2011 Budget consists of \$42,801 million in new budget authority and \$48,697 million in outlays. The following table reflects the total funding for all Federal Highway Administration programs.

[In millions of dollars]

	2009 actual	2010 est.	2011 est.
<b>Budget Authority:</b>			
Federal-aid highways (HTF) .....	31,285	43,141	42,780
Federal-aid subject to limitation .....	30,544	42,304	42,041
Federal-aid highways exempt from the limitation .....	740	836	739
Appalachian development highway system (GF) .....	10	0	0
Miscellaneous appropriations (GF) .....	167	348	0
Miscellaneous trust funds (TF) .....	20	20	20
Highway Infrastructure Investment, ARRA 2009 .....	27,500	0	0
Highway Infrastructure Program (GF) .....	0	650	0
Miscellaneous highway trust funds (TF) .....	0	-7	0
ROW Revolving Fund Liq Acct (TF) .....	-12	0	0
<b>Total Budget Authority .....</b>	<b>58,970</b>	<b>44,152</b>	<b>42,801</b>
<b>Total Discretionary .....</b>	<b>27,676</b>	<b>936</b>	<b>-263</b>
<b>Total Mandatory .....</b>	<b>31,294</b>	<b>43,216</b>	<b>43,064</b>
<b>Obligation Limitation:</b>			
Federal-aid highways (HTF) .....	40,700	41,107	41,363

Note: Numbers may not add due to rounding. Totals do not include transfers with the Federal Transit Administration.

**Federal Funds**

**MISCELLANEOUS APPROPRIATIONS**

**Program and Financing (in millions of dollars)**

Identification code 69-9911-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Interest on TIFIA Upward Reestimate 69-X-0505 .....	2	55	2
00.02 Denali Access System Program 69-X-0511 .....	6	5	5
00.03 Surface Transportation Priorities 69-X-0538 .....	30	317	25
00.83 Miscellaneous highway projects 69-X-9911 .....	25	23	21
10.00 Total new obligations .....	63	400	53
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	121	228	176
22.00 New budget authority (gross) .....	168	348	.....
22.10 Resources available from recoveries of prior year obligations ...	2	.....	.....
23.90 Total budgetary resources available for obligation .....	291	576	176
23.95 Total new obligations .....	-63	-400	-53
24.40 Unobligated balance carried forward, end of year .....	228	176	123
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	167	293	.....
41.00 Transferred to other accounts .....	-1	.....	.....
43.00 Appropriation (total discretionary) .....	166	293	.....
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	.....	.....
<b>Mandatory:</b>			
60.00 Appropriation .....	1	55	.....
70.00 Total new budget authority (gross) .....	168	348	.....
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	109	123	321
73.10 Total new obligations .....	63	400	53
73.20 Total outlays (gross) .....	-47	-202	-181
73.45 Recoveries of prior year obligations .....	-2	.....	.....
74.40 Obligated balance, end of year .....	123	321	193
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	8	79	.....
86.93 Outlays from discretionary balances .....	37	68	181
86.97 Outlays from new mandatory authority .....	1	55	.....
86.98 Outlays from mandatory balances .....	1	.....	.....
87.00 Total outlays (gross) .....	47	202	181
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	167	348	.....

MISCELLANEOUS APPROPRIATIONS—Continued  
Program and Financing—Continued

Identification code 69-9911-0-1-401	2009 actual	2010 est.	2011 est.
90.00 Outlays .....	46	202	181

This consolidated schedule shows the obligation and outlay of amounts appropriated from the General Fund for miscellaneous programs. This includes a 2010 appropriation of \$292,829,000 for surface transportation projects identified by Congress. Additionally, a Transportation Infrastructure Finance and Innovation Act (TIFIA) program upward re-estimate of \$55.1 million is reflected in this account for 2010. No further General Fund appropriations are requested in 2011 for this account.

Object Classification (in millions of dollars)

Identification code 69-9911-0-1-401	2009 actual	2010 est.	2011 est.
41.0 Direct obligations: Grants, subsidies, and contributions	62	400	53
99.0 Reimbursable obligations: reimbursable obligations .....	1		
99.9 Total new obligations .....	63	400	53

EMERGENCY RELIEF PROGRAM

Program and Financing (in millions of dollars)

Identification code 69-0500-0-1-401	2009 actual	2010 est.	2011 est.
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<b>Obligations by program activity:</b>			
00.01 Emergency Relief Backlog .....	949	802	
10.00 Total new obligations .....	949	802	

<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1,666	802	
22.10 Resources available from recoveries of prior year obligations ...	85		
23.90 Total budgetary resources available for obligation .....	1,751	802	
23.95 Total new obligations .....	-949	-802	
24.40 Unobligated balance carried forward, end of year .....	802		

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	920	1,018	934
73.10 Total new obligations .....	949	802	
73.20 Total outlays (gross) .....	-766	-886	-567
73.45 Recoveries of prior year obligations .....	-85		
74.40 Obligated balance, end of year .....	1,018	934	367

<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	766	886	567

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	766	886	567

The Emergency Relief program receives \$100 million annually in mandatory funds from the Highway Trust Fund in the Federal-aid highways account. SAFETEA-LU authorized the program to receive additional General Fund discretionary funding as needed. These funds are provided through this account starting in 2006.

In 2008, \$195 million was appropriated for the repair and reconstruction of the Interstate 35W bridge located in Minneapolis, MN, that collapsed on August 1, 2007, as authorized under P.L. 110-56. P.L. 110-329 provided an additional \$850 million for the program. No appropriation was enacted for this account in 2009 or 2010. No further appropriation is requested for this account in 2011.

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM  
Program and Financing (in millions of dollars)

Identification code 69-0640-0-1-401	2009 actual	2010 est.	2011 est.
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<b>Obligations by program activity:</b>			
00.01 Appalachian Development Highway System .....	45		
10.00 Total new obligations (object class 25.2) .....	45		

<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	95	72	72
22.00 New budget authority (gross) .....	10		
22.10 Resources available from recoveries of prior year obligations ...	12		
23.90 Total budgetary resources available for obligation .....	117	72	72
23.95 Total new obligations .....	-45		
24.40 Unobligated balance carried forward, end of year .....	72	72	72

<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	10		

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	118	76	40
73.10 Total new obligations .....	45		
73.20 Total outlays (gross) .....	-75	-36	-18
73.45 Recoveries of prior year obligations .....	-12		
74.40 Obligated balance, end of year .....	76	40	22

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	3		
86.93 Outlays from discretionary balances .....	72	36	18
87.00 Total outlays (gross) .....	75	36	18

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10		
90.00 Outlays .....	75	36	18

Funding for this program will be used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System (ADHS). This schedule shows the obligation and outlay of amounts made available in prior years. No further appropriation is requested as the ADHS is funded as part of the Federal-aid highway program.

STATE INFRASTRUCTURE BANKS

Program and Financing (in millions of dollars)

Identification code 69-0549-0-1-401	2009 actual	2010 est.	2011 est.
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<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	3	3	3
74.40 Obligated balance, end of year .....	3	3	3

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

This schedule shows the obligation and outlay of amounts made available in prior years. No further appropriations are requested.

HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 69-0504-0-1-401	2009 actual	2010 est.	2011 est.
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<b>Obligations by program activity:</b>			
00.10 Highway Infrastructure Investment Grants .....	19,163	7,169	
00.20 Federal Lands .....	203	347	

00.30	Puerto Rico Highway Program .....	79	26	.....
00.40	Territorial Highway Program .....	43	2	.....
00.50	Construction of Ferry Boats .....	8	52	.....
00.60	Highway Surface Transportation and Technology Training .....	5	15	.....
00.70	Disadvantaged Business Enterprise Bonding Assistance .....	.....	20	.....
00.80	Projects and Activities Oversight .....	4	14	13
09.01	Reimbursable program .....	40	250	250
10.00	Total new obligations .....	19,545	7,895	263

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year .....	7,747	102	.....
22.00	New budget authority (gross) .....	27,292	250	250
23.90	Total budgetary resources available for obligation .....	27,292	7,997	352
23.95	Total new obligations .....	-19,545	-7,895	-263
24.40	Unobligated balance carried forward, end of year .....	7,747	102	89

**New budget authority (gross), detail:**

Discretionary:

40.00	Appropriation .....	27,500	.....	.....
41.00	Transferred to other accounts .....	-288	.....	.....
43.00	Appropriation (total discretionary) .....	27,212	.....	.....
Spending authority from offsetting collections:				
58.00	Offsetting collections (cash) .....	.....	250	250
58.10	Change in uncollected customer payments from Federal sources (unexpired) .....	80	.....	.....
58.90	Spending authority from offsetting collections (total discretionary) .....	80	250	250
70.00	Total new budget authority (gross) .....	27,292	250	250

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	17,048	13,508	.....
73.10	Total new obligations .....	19,545	7,895	263
73.20	Total outlays (gross) .....	-2,417	-11,435	-7,328
74.00	Change in uncollected customer payments from Federal sources (unexpired) .....	-80	.....	.....
74.40	Obligated balance, end of year .....	17,048	13,508	6,443

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	2,417	250	250
86.93	Outlays from discretionary balances .....	.....	11,185	7,078
87.00	Total outlays (gross) .....	2,417	11,435	7,328

**Offsets:**

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	.....	-250	-250
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	-80	.....	.....

**Net budget authority and outlays:**

89.00	Budget authority .....	27,212	.....	.....
90.00	Outlays .....	2,417	11,185	7,078

Enacted on February 17, 2009, the American Recovery and Reinvestment Act of 2009 provided \$27.5 billion from the General Fund, of which \$26.6 billion was apportioned to States based on formulas described in the Act and \$0.9 billion was allocated to programs identified in the Act, including the Indian Reservation Roads Program, Park Roads and Parkway Program, Forest Highway Program, Refuge Roads Program, Disadvantaged Business Enterprise Bonding Assistance, Territorial Highway Program, Puerto Rico Highway Program, Ferry Boat Discretionary Program, and Administrative Oversight. The Administrative Oversight funds are available through September 30, 2012 and all other funds are available through September 30, 2010. No additional general funds are requested for 2011.

**Object Classification (in millions of dollars)**

Identification code 69-0504-0-1-401				
		2009 actual	2010 est.	2011 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	7	8
12.1	Civilian personnel benefits .....	.....	1	1
25.1	Advisory and assistance services .....	3	.....	.....

25.2	Other services .....	212	2	2
25.7	Operation and maintenance of equipment .....	.....	4	2
41.0	Grants, subsidies, and contributions .....	19,255	7,377	.....
99.0	Direct obligations .....	19,471	7,391	13
99.0	Reimbursable obligations .....	40	250	250
41.0	Allocation Account - direct: Grants, subsidies, and contributions .....	34	254	.....
99.9	Total new obligations .....	19,545	7,895	263

**Employment Summary**

Identification code 69-0504-0-1-401				
		2009 actual	2010 est.	2011 est.
Direct:				
1001	Civilian full-time equivalent employment .....	12	60	60

**PAYMENT TO HIGHWAY TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 69-0534-0-1-401				
		2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>				
00.01	Payment to Highway Trust Fund .....	7,000	.....	.....
10.00	Total new obligations (object class 41.0) .....	7,000	.....	.....

**Budgetary resources available for obligation:**

22.00	New budget authority (gross) .....	7,000	.....	.....
23.95	Total new obligations .....	-7,000	.....	.....

**New budget authority (gross), detail:**

Mandatory:

60.00	Appropriation .....	7,000	.....	.....
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**Change in obligated balances:**

73.10	Total new obligations .....	7,000	.....	.....
73.20	Total outlays (gross) .....	-7,000	.....	.....

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	7,000	.....	.....
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**Net budget authority and outlays:**

89.00	Budget authority .....	7,000	.....	.....
90.00	Outlays .....	7,000	.....	.....

This account reflects the 2009 appropriation of \$7.0 billion from the General Fund to the Highway Account of the Highway Trust Fund.

**TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM  
DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 69-4123-0-3-401				
		2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>				
00.01	Loan obligations .....	990	631	720
00.02	Interest paid to Treasury .....	85	118	148
00.91	Direct Program by Activities - Subtotal (1 level) .....	1,075	749	868
01.00	Subtotal, loan obligations and interest .....	1,075	749	868
08.02	Downward Reestimate .....	165	5	.....
08.04	Interest on Downward Reestimate .....	73	.....	.....
08.91	Subtotal, downward reestimate and interest on downward reestimate .....	238	5	.....
10.00	Total new obligations .....	1,313	754	868

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year .....	1	12	.....
22.00	New financing authority (gross) .....	1,324	742	868
23.90	Total budgetary resources available for obligation .....	1,325	754	868

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM  
DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing—Continued

Identification code 69-4123-0-3-401	2009 actual	2010 est.	2011 est.
23.95 Total new obligations .....	-1,313	-754	-868
24.40 Unobligated balance carried forward, end of year .....	12		
<b>New financing authority (gross), detail:</b>			
Mandatory:			
67.10 Authority to borrow .....	1,221	636	753
69.00 Offsetting collections (cash) .....	56	258	115
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....	47		
69.47 Portion applied to repay debt .....		-152	
69.90 Spending authority from offsetting collections (total mandatory) .....	103	106	115
70.00 Total new financing authority (gross) .....	1,324	742	868
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1,576	2,202	1,817
73.10 Total new obligations .....	1,313	754	868
73.20 Total financing disbursements (gross) .....	-640	-1,139	-1,067
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-47		
74.40 Obligated balance, end of year .....	2,202	1,817	1,618
<b>Outlays (gross), detail:</b>			
87.00 Total financing disbursements (gross) .....	640	1,139	1,067
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: subsidy from program account .....	-39	-80	-80
88.00 Federal sources: Upward Reestimate .....	-2	-97	
88.00 Federal sources: Interest on upward reestimate .....		-55	
88.25 Interest on uninvested funds .....	-4	-5	
88.40 Non-Federal sources-up-front Fees .....	-11		
88.40 Non-Federal sources - Interest only payments .....		-21	-35
88.90 Total, offsetting collections (cash) .....	-56	-258	-115
Against gross financing authority only:			
88.95 Change in receivables from program accounts .....	-47		
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....	1,221	484	753
90.00 Financing disbursements .....	584	881	952

Status of Direct Loans (in millions of dollars)

Identification code 69-4123-0-3-401	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	990	631	720
1150 Total direct loan obligations .....	990	631	720
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,488	1,880	3,144
1231 Disbursements: Direct loan disbursements .....	317	1,139	1,067
1251 Repayments: Repayments and prepayments .....			
1261 Adjustments: Capitalized interest .....	75	125	175
1290 Outstanding, end of year .....	1,880	3,144	4,386

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans made under the Transportation Infrastructure Finance and Innovation Act Program (TIFIA). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4123-0-3-401	2008 actual	2009 actual
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ASSETS:

1101 Federal assets: Fund balances with Treasury .....	2	14
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,488	1,880
1402 Interest receivable .....		124
1405 Allowance for subsidy cost (-) .....	-159	-90
1499 Net present value of assets related to direct loans .....	1,329	1,914
1999 Total assets .....	1,331	1,928
LIABILITIES:		
2103 Federal liabilities: Debt .....	1,331	1,928
2999 Total liabilities .....	1,331	1,928
4999 Total upward reestimate subsidy BA [69-8083] .....	1,331	1,928

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LOAN  
GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4145-0-3-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			4
22.00 New financing authority (gross) .....		4	4
23.90 Total budgetary resources available for obligation .....		4	8
24.40 Unobligated balance carried forward, end of year .....		4	8
<b>New financing authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....		4	4
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources .....		-4	-4
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....			
90.00 Financing disbursements .....		-4	-4

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4145-0-3-401	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....			
2131 Guaranteed loan commitments exempt from limitation .....		200	200
2150 Total guaranteed loan commitments .....		200	200
2199 Guaranteed amount of guaranteed loan commitments .....		200	200
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			40
2231 Disbursements of new guaranteed loans .....		40	80
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....		40	120
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....		40	120

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees made under the Transportation Infrastructure Finance and Innovation Act Program (TIFIA). The amounts are a means of financing and are not included in the budget totals.

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LINE OF CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4173-0-3-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Lines of credit .....		200	200
00.02 Interest paid to Treasury .....			1
10.00 Total new obligations .....		200	201
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross) .....		200	200
23.95 Total new obligations .....		-200	-201

<b>New financing authority (gross), detail:</b>			
Mandatory:			
67.10 Authority to borrow .....		180	180
69.00 Offsetting collections (cash) .....		2	4
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....		18	16
69.90 Spending authority from offsetting collections (total mandatory) .....		20	20
70.00 Total new financing authority (gross) .....		200	200

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			162
73.10 Total new obligations .....		200	201
73.20 Total financing disbursements (gross) .....		-20	-41
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....		-18	-16
74.40 Obligated balance, end of year .....		162	306

<b>Outlays (gross), detail:</b>			
87.00 Total financing disbursements (gross) .....		20	41

<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources .....		-2	-4
Against gross financing authority only:			
88.95 Change in receivables from program accounts .....		-18	-16

<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....		180	180
90.00 Financing disbursements .....		18	37

Status of Direct Loans (in millions of dollars)

Identification code 69-4173-0-3-401	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....		200	200
1150 Total direct loan obligations .....		200	200
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			20
1231 Disbursements: Direct loan disbursements .....		20	40
1290 Outstanding, end of year .....		20	60

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from lines of credit made under the Transportation Infrastructure Finance and Innovation Act Program (TIFIA). The amounts are a means of financing and are not included in the budget totals.

ORANGE COUNTY (CA) TOLL ROAD DEMONSTRATION PROJECT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-0543-0-1-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	1	
73.40 Adjustments in expired accounts (net) .....	-1	-1	
74.40 Obligated balance, end of year .....	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated in 1992 and later years (including modifications of direct loans, loan guarantees, or lines of credit that resulted from obligations or commitments in any year) as well as administrative expenses of this program. The Department provided these lines of credit for two toll road projects in Orange County, California. Each year, \$24 million of these lines of credit expire if not used.

ORANGE COUNTY (CA) TOLL ROAD DEMONSTRATION PROJECT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4264-0-3-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross) .....	-1	-1	
22.10 Resources available from recoveries of prior year obligations .....	12	12	
22.70 Balance of authority to borrow withdrawn .....	-11	-11	
23.90 Total budgetary resources available for obligation .....			

<b>New financing authority (gross), detail:</b>			
Mandatory:			
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....		-1	-1

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	22	11	
73.45 Recoveries of prior year obligations .....	-12	-12	
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	1	1	
74.40 Obligated balance, end of year .....	11		

<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources .....		1	
Against gross financing authority only:			
88.95 Change in receivables from program accounts .....		1	1

<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....		1	
90.00 Financing disbursements .....		1	

HIGHWAY INFRASTRUCTURE PROGRAMS

Program and Financing (in millions of dollars)

Identification code 69-0548-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
Highway Infrastructure Grants:			
00.01 Grants .....		650	
10.00 Total new obligations (object class 41.0) .....		650	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		650	

HIGHWAY INFRASTRUCTURE PROGRAMS—Continued  
Program and Financing—Continued

Identification code 69-0548-0-1-401	2009 actual	2010 est.	2011 est.
23.95 Total new obligations .....		-650	
24.40 Unobligated balance carried forward, end of year .....			
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		650	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			591
73.10 Total new obligations .....		650	
73.20 Total outlays (gross) .....		-59	-267
74.40 Obligated balance, end of year .....		591	324
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		59	
86.93 Outlays from discretionary balances .....			267
87.00 Total outlays (gross) .....		59	267
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		650	
90.00 Outlays .....		59	267

In 2010, Congress appropriated \$650.0 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code. No further appropriations are requested in 2011.

**Trust Funds**

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT  
Program and Financing (in millions of dollars)

Identification code 69-8402-0-8-401	2009 actual	2010 est.	2011 est.
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	12		
69.27 Capital transfer to general fund .....	-12		
69.90 Spending authority from offsetting collections (total mandatory) .....			
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	6	6	6
74.40 Obligated balance, end of year .....	6	6	6
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-12		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-12		
90.00 Outlays .....	-12		

Status of Direct Loans (in millions of dollars)

Identification code 69-8402-0-8-401	2009 actual	2010 est.	2011 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	87	75	52
1251 Repayments: Repayments and prepayments .....	-12	-23	-34
1290 Outstanding, end of year .....	75	52	18

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby

preventing the inflation of land prices from significantly increasing construction costs.

This program was terminated by TEA-21 but will continue to be shown for reporting purposes as loan balances remain outstanding. The purchase of right-of-way is an eligible expense of the Federal-aid program.

HIGHWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 69-8102-0-7-401	2009 actual	2010 est.	2011 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	12,811	11,484	
92.02 Total investments, end of year: Federal securities: Par value .....	11,484		

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law.

The following Status of Funds table presents the status of the Highway Trust Fund. The rule governing most trust funds is that the legal authority to incur obligations against the receipts estimated to be collected by the fund cannot exceed the cash balances of the fund, i.e., the actual receipts estimated to be collected in that year. The laws governing the Highway Trust Fund provide an exception to this rule. The legal authority to incur obligations against the Highway Trust Fund can exceed the actual cash balances up to the receipts anticipated to be collected in the following four years.

**Cash balances.**—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand, i.e., uninvested balance. Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

The following table shows the annual income and outlays of programs funded by the Highway Account of the Highway Trust Fund.

STATUS OF THE HIGHWAY ACCOUNT OF THE HIGHWAY TRUST FUND

	[In millions of dollars]		
	2009 actual	2010 est.	2011 est.
Unexpended balance, start of year .....	10,032	8,882	-1,384
Cash income during the year:			
Governmental Receipts .....	30,317	31,462	32,249
Payment from the General Fund .....	7,000		
Total cash income .....	37,317	31,462	32,249
Cash outgo during the year (outlays):			
Highway and Safety programs .....	37,571	40,960	42,045
Federal Transit Administration transfers (net) .....	897	768	419
Total annual outlays .....	37,440	41,728	42,463
Unexpended balance, end of year .....	8,882	-1,384	-11,598

Status of Funds (in millions of dollars)

Identification code 69-8102-0-7-401	2009 actual	2010 est.	2011 est.
Unexpended balance, start of year:			
0100 Balance, start of year	16,788	14,094	91
0120 National Motor Carrier Safety Program [021-17-8048-0]	12		
0121 Operations and Research (Highway Trust Fund) [021-18-8016-0]	7		
0125 National Motor Carrier Safety Program [021-17-8048-0]	-12		
Adjustments:			
0191 Adjustment to reconcile to BPD/DOT cash balance	24		
0199 Total balance, start of year	16,819	14,094	91
Cash income during the year:			
Current law:			
Receipts:			
1200 Highway Trust Fund, Deposits (highway Account)	30,152	31,462	32,249
1201 Highway Trust Fund, Deposits (mass Transit Account)	4,809	4,775	4,831
Offsetting receipts (proprietary):			
1220 CMA Interest, Highway Trust Fund (highway Account)	1		
1221 Transportation Infrastructure Finance and Innovation Program, Downward Reestimates of Subsidies (FHWA)	164		
Offsetting receipts (intragovernmental):			
1240 Payment from the General Fund, Highway Trust Fund	7,000		
Offsetting collections:			
1280 Right-of-way Revolving Fund Liquidating Account	12		
1281 Federal-aid Highways	93	220	220
1282 Federal-aid Highways	1		
1283 Motor Carrier Safety	1		
1284 Motor Carrier Safety	-1		
1285 Motor Carrier Safety Operations and Programs	14	27	27
1286 Operations and Research (Highway Trust Fund)	10	25	25
1287 Highway Traffic Safety Grants	43		
1299 Income under present law	42,299	36,509	37,352
3299 Total cash income	42,299	36,509	37,352
Cash outgo during year:			
Current law:			
4500 Construction (trust Fund)		-2	
4501 Federal-aid Highways	-36,441	-39,480	-40,697
4502 Appalachian Development Highway System (Highway Trust Fund)	-1	-1	
4503 Miscellaneous Highway Trust Funds	-72	-61	-58
4504 Motor Carrier Safety	-7	-7	
4505 National Motor Carrier Safety Program	-2	-13	
4506 Motor Carrier Safety Grants	-256	-449	-397
4507 Motor Carrier Safety Operations and Programs	-257	-330	-285
4508 Operations and Research (Highway Trust Fund)	-141	-160	-166
4509 Highway Traffic Safety Grants	-566	-733	-714
4510 Discretionary Grants (Highway Trust Fund, Mass Transit Account)	-16	-17	-17
4511 Greenhouse Gas and Energy Reduction			-8
4512 Livable Communities			-46
4513 Formula and Bus Grants	-7,264	-9,252	-8,844
4599 Outgo under current law (-)	-45,023	-50,505	-51,232
6599 Total cash outgo (-)	-45,023	-50,505	-51,232
Adjustments:			
7625 Permanently cancelled balances		-7	
7645 Federal-aid Highways	8		
7645 Federal-aid Highways	-1,005	-768	-419
7645 Federal-aid Highways	108		
7645 Greenhouse Gas and Energy Reduction			53
7645 Livable Communities			307
7645 Formula and Bus Grants	-8		
7645 Formula and Bus Grants	-108		
7645 Formula and Bus Grants			-53
7645 Formula and Bus Grants			-307
7645 Formula and Bus Grants	1,005	768	419
7650 Other adjustments, net	-12		
Manual Adjustments:			
7691 Adjustment to reconcile to BPD/DOT cash balance	11		
7699 Total adjustments	-1	-7	
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	2,610	91	-13,789
8701 Highway Trust Fund	11,484		
8799 Total balance, end of year	14,094	91	-13,789

FEDERAL-AID HIGHWAYS

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

None of the funds in this Act shall be available for the implementation or execution of programs, the obligations for which are in excess of **[\$41,107,000,000]** \$41,362,775,000 for Federal-aid highways and highway safety construction programs for fiscal year **[2010]** 2011: *Provided*, That within the **[\$41,107,000,000]** \$41,362,775,000 obligation limitation on Federal-aid highways and highway safety construction programs, not more than \$429,800,000 shall be available for the implementation or execution of programs for transportation research (chapter 5 of title 23, United States Code; sections 111, 5505, and 5506 of title 49, United States Code; and title 5 of Public Law 109-59) for fiscal year **[2010]** 2011: *Provided further*, That this limitation on transportation research programs shall not apply to any authority previously made available for obligation: *Provided further*, That the Secretary may, as authorized by section 605(b) of title 23, United States Code, collect and spend fees to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: *Provided further*, That such fees are available until expended to pay for such costs: *Provided further*, That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code.

(LIQUIDATION OF CONTRACT AUTHORIZATION)

*For carrying out the provisions of title 23, United States Code, that are attributable to Federal-aid highways, not otherwise provided, including reimbursement for sums expended pursuant to the provisions of 23 U.S.C. 308, \$42,102,000,000 or so much thereof as may be available in and derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended.*

LIMITATION ON ADMINISTRATIVE EXPENSES

(HIGHWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

**[For carrying out the provisions of title 23, United States Code, that are attributable to Federal-aid highways, not otherwise provided, including reimbursement for sums expended pursuant to the provisions of 23 U.S.C. 308, \$41,846,000,000 or so much thereof as may be available in and derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended.]**

Not to exceed **[\$413,533,000]** \$420,843,000, together with advances and reimbursements received by the Federal Highway Administration, shall be paid in accordance with law from appropriations made available by this Act to the Federal Highway Administration for necessary expenses for administration and operation. In addition, not to exceed \$3,524,000 shall be paid from appropriations made available by this Act and transferred to the Department of Transportation's Office of Inspector General for costs associated with audits and investigations of projects and programs of the Federal Highway Administration, and not to exceed \$285,000 shall be paid from appropriations made available by this Act and provided to that office through reimbursement to conduct the annual audits of financial statements in accordance with section 3521 of title 31, United States Code. In addition, not to exceed **[\$3,220,000]** \$3,300,000 shall be paid from appropriations made available by this Act and transferred to the Appalachian Regional Commission in accordance with section 104 of title 23, United States Code.

(CANCELLATION OF UNOBLIGATED BALANCES)

(HIGHWAY TRUST FUND)

*Of the unobligated balances of funds made available for obligation under 23 U.S.C. 320, section 147 of Public Law 95-599, section 9(c) of Public Law 97-134, section 149 of Public Law 100-17, and sections 1006, 1069, 1103, 1104, 1105, 1106, 1107, 1108, 6005, 6015, and 6023 of Public Law 102-240, \$263,130,663 are hereby cancelled. (Department of Transportation Appropriations Act, 2010.)*

**FEDERAL-AID HIGHWAYS—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 69-8083-0-7-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan subsidy (TIFIA)	86	100	100
00.02 Guaranteed loan subsidy (TIFIA)	20	20	20
00.09 Administrative expenses (TIFIA)	2	2	2
00.10 Surface transportation program	9,445	8,054	8,816
00.11 National highway system	6,833	7,605	7,418
00.12 Interstate maintenance	4,633	6,227	5,030
00.13 Bridge program	5,026	5,321	5,456
00.14 Congestion mitigation and air quality improvement	1,252	2,171	1,359
00.15 Highway safety improvement program	1,309	1,331	1,421
00.16 Equity programs	2,564	2,007	2,002
00.17 Federal lands highways	398	800	1,019
00.18 Appalachian development highway system	379	867	867
00.19 High priority projects	2,456	2,777	2,777
00.20 Projects of national and regional significance	231	589	589
00.21 Research, development, and technology	412	412	412
00.22 Administration	385	414	421
00.23 Other programs	3,708	3,844	4,437
00.24 Livable Communities			200
00.91 Programs subject to obligation limitation	39,119	42,541	42,346
02.11 Emergency relief program	115	154	114
02.13 Equity programs	770	602	624
02.14 Demonstration projects	44	113	79
02.15 Direct loan program upward reestimate (TIFIA)	2	97	
02.91 Programs exempt from obligation limitation	931	966	817
06.00 Total direct program	40,050	43,507	43,163
09.01 Reimbursable program	49	220	220
10.00 Total new obligations	40,099	43,727	43,383
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	35,439	25,819	13,549
22.00 New budget authority (gross)	30,471	31,457	31,097
22.22 Unobligated balance transferred from other accounts	8		
23.90 Total budgetary resources available for obligation	65,918	57,276	44,646
23.95 Total new obligations	-40,099	-43,727	-43,383
24.40 Unobligated balance carried forward, end of year	25,819	13,549	1,263
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.26 Appropriation (trust fund)	41,439	41,846	42,102
40.49 Portion applied to liquidate contract authority	-40,542	-41,078	-41,683
41.00 Transferred to other accounts	-1,005	-768	-419
42.00 Transferred from other accounts	108		
43.00 Appropriation (total discretionary)			
49.36 Unobligated balance permanently reduced			-263
<b>Spending authority from offsetting collections:</b>			
58.00 Offsetting collections (cash)	94	220	220
58.10 Change in uncollected customer payments from Federal sources (unexpired)	78		
58.90 Spending authority from offsetting collections (total discretionary)	172	220	220
<b>Mandatory:</b>			
60.26 Appropriation (trust fund, indefinite)	1	97	
66.10 Contract authority		43,043	31,140
66.10 Contract authority from PL 111-8	43,186		
66.35 Contract authority permanently reduced (PL 111-8)	-27	-11,903	
66.36 Unobligated balance permanently reduced (PL 111-8)	-3,168		
66.36 Unobligated balance permanently reduced (SAFETEA-LU)	-8,708		
66.61 Transferred to other accounts	-1,085		
66.62 Transferred from other accounts	100		
66.90 Contract authority (total mandatory)	30,298	31,140	31,140
70.00 Total new budget authority (gross)	30,471	31,457	31,097
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	48,535	52,115	56,362
73.10 Total new obligations	40,099	43,727	43,383
73.20 Total outlays (gross)	-36,441	-39,480	-40,697
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-78		
74.40 Obligated balance, end of year	52,115	56,362	59,048
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	9,640	11,319	11,388

86.93 Outlays from discretionary balances	26,065	27,182	28,459
86.97 Outlays from new mandatory authority	201	297	200
86.98 Outlays from mandatory balances	535	682	650
87.00 Total outlays (gross)	36,441	39,480	40,697
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-93	-220	-220
88.40 Non-Federal sources	-1		
88.90 Total, offsetting collections (cash)	-94	-220	-220
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-78		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	30,299	31,237	30,877
90.00 Outlays	36,347	39,260	40,477
<b>Memorandum (non-add) entries:</b>			
93.01 Unobligated balance, start of year: Contract authority	15,638	1,814	
93.02 Unobligated balance, end of year: Contract authority	1,814		
93.03 Obligated balance, start of year: Contract authority	48,535	52,115	43,991
93.04 Obligated balance, end of year: Contract authority	52,115	43,991	33,448
93.07 Limitation on obligations (Transportation Trust Funds)	39,715	41,107	41,363

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2009 actual	2010 est.	2011 est.
<b>Enacted/requested:</b>			
Budget Authority	30,299	31,237	30,877
Outlays	36,347	39,260	40,477
<b>Amounts included in baseline projection of current policy:</b>			
Budget Authority		11,903	11,903
Outlays			
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority			
Outlays			
<b>Total:</b>			
Budget Authority	30,299	43,140	42,780
Outlays	36,347	39,260	40,477

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 69-8083-0-7-401	2009 actual	2010 est.	2011 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 TIFIA Lines of Credit		200	200
115002 TIFIA Direct Loans	990	631	720
115999 Total direct loan levels	990	831	920
<b>Direct loan subsidy (in percent):</b>			
132001 TIFIA Lines of Credit	10.00	10.00	10.00
132002 TIFIA Direct Loans	8.69	12.67	11.11
132999 Weighted average subsidy rate	8.69	12.03	10.87
<b>Direct loan subsidy budget authority:</b>			
133001 TIFIA Lines of Credit		20	20
133002 TIFIA Direct Loans	86	80	80
133999 Total subsidy budget authority	86	100	100
<b>Direct loan subsidy outlays:</b>			
134001 TIFIA Lines of Credit		2	4
134002 TIFIA Direct Loans	38	80	80
134999 Total subsidy outlays	38	82	84
<b>Direct loan upward reestimates:</b>			
135002 TIFIA Direct Loans	2	152	
135999 Total upward reestimate budget authority	2	152	
<b>Direct loan downward reestimates:</b>			
137002 TIFIA Direct Loans	-237	-5	
137999 Total downward reestimate budget authority	-237	-5	
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Loan guarantee		200	200
215999 Total loan guarantee levels		200	200
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Loan guarantee	0.00	10.00	10.00
232999 Weighted average subsidy rate	0.00	10.00	10.00
<b>Guaranteed loan subsidy budget authority:</b>			

233001	Loan guarantee .....	20	20
233999	Total subsidy budget authority .....	20	20
Guaranteed loan subsidy outlays:			
234001	Loan guarantee .....	4	4
234999	Total subsidy outlays .....	4	4
Administrative expense data:			
3510	Budget authority .....	2	2
3590	Outlays from new authority .....	2	2

The Federal-Aid Highways (FAH) program is designed to aid in the development, operations and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely.

Typically, all programs included within FAH have been financed from the Highway Trust Fund (HTF), and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

The authorization for Federal surface transportation programs, SAFETEA-LU, ended on September 30, 2009. Since then, to continue highway, transit, and highway safety programs, Congress has extended the SAFETEA-LU authorities on a short-term basis, most recently through February 28, 2010. The Administration recognizes that surface transportation programs and the system for paying for them must be fundamentally reformed and has called for extending current authorities through spring 2011. Such an extension would give Congress and the Administration time to craft thoughtful legislation and provide States and localities with certainty about surface transportation programs in the interim. To illustrate this position, the Budget includes placeholder baseline (inflation-adjusted) funding levels for surface transportation programs. To underscore the growing imbalance between projected HTF revenues and spending, the Budget shows HTF funding at levels that can be supported by current law revenues. Because HTF revenues are insufficient to fully support baseline funding, the Budget display includes additional highway and transit funding from the General Fund. For example, for 2012 the Budget includes \$5 billion in contract authority and obligation limitation and \$37 billion in discretionary budget authority for the Federal-Aid Highways program (shown in the Federal Aid Highways, General Fund Share account). This construction is used through the 10-year budget horizon. Again, this is not a policy recommendation, but rather a depiction that recognizes that, under current law, maintaining baseline spending would require support from the General Fund.

In 2011, \$200,000,000 is reallocated from other programs within baseline funding to support the Federal Highway Administration's (FHWA) Livable Communities Program. FHWA will lead and coordinate the development of a competitive capacity building grant program in coordination with the Environmental Protection Agency and the Department of Housing and Urban Development to improve the ability of urban and rural transportation agencies to plan, assess and implement transportation projects that are consistent with livability principles and investment performance objectives. These principles include promoting more sustainable and more integrated land use patterns, coordinating transportation and housing investments, reducing public infrastructure costs per capita and conserving natural resources.

**Object Classification** (in millions of dollars)

Identification code 69-8083-0-7-401	2009 actual	2010 est.	2011 est.
Direct obligations:			

Personnel compensation:				
11.1	Full-time permanent .....	27	33	38
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	29	35	40
12.1	Civilian personnel benefits .....	5	5	6
21.0	Travel and transportation of persons .....	15	10	10
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	43	43	43
25.2	Other services .....	349	384	412
25.3	Other purchases of goods and services from Government accounts .....	353	388	417
25.4	Operation and maintenance of facilities .....	1	1	1
25.7	Operation and maintenance of equipment .....	2	2	2
26.0	Supplies and materials .....	2	3	3
31.0	Equipment .....	1	1	1
33.0	Investments and loans .....	86		
41.0	Grants, subsidies, and contributions .....	37,732	41,173	40,759
99.0	Direct obligations .....	38,619	42,046	41,695
99.0	Reimbursable obligations .....	49	220	220
Allocation Account - direct:				
Personnel compensation:				
11.1	Full-time permanent .....	47	49	51
11.3	Other than full-time permanent .....	5	6	6
11.5	Other personnel compensation .....	2	3	3
11.9	Total personnel compensation .....	54	58	60
12.1	Civilian personnel benefits .....	13	15	16
21.0	Travel and transportation of persons .....	4	4	4
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	1	2	3
23.3	Communications, utilities, and miscellaneous charges .....	5	1	1
25.1	Advisory and assistance services .....	23	7	7
25.2	Other services .....	517	525	532
25.3	Other purchases of goods and services from Government accounts .....	3	5	6
26.0	Supplies and materials .....	5	7	7
31.0	Equipment .....	6	5	5
32.0	Land and structures .....	30	16	16
41.0	Grants, subsidies, and contributions .....	385	401	389
99.0	Allocation account - direct .....	1,047	1,047	1,047
99.9	Total new obligations .....	39,715	43,313	42,962

**Employment Summary**

Identification code 69-8083-0-7-401	2009 actual	2010 est.	2011 est.	
Direct:				
1001	Civilian full-time equivalent employment .....	323	323	323
Reimbursable:				
2001	Civilian full-time equivalent employment .....	165	185	185

**Object Classification** (in millions of dollars)

Identification code 69-8083-0-7-401	2009 actual	2010 est.	2011 est.	
Limitation Acct - Direct Obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	209	218	221
11.3	Other than full-time permanent .....	4	5	5
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	216	226	229
12.1	Civilian personnel benefits .....	61	65	68
21.0	Travel and transportation of persons .....	9	10	10
22.0	Transportation of things .....	2	2	2
23.1	Rental payments to GSA .....	24	27	27
23.3	Communications, utilities, and miscellaneous charges .....	4	5	5
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	11	12	12
25.2	Other services .....	2	3	3
25.3	Other purchases of goods and services from Government accounts .....	11	20	21
25.4	Operation and maintenance of facilities .....	1		
25.7	Operation and maintenance of equipment .....	36	37	37
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	4	4	4
99.0	Limitation acct - direct obligations .....	384	414	421

FEDERAL-AID HIGHWAYS—Continued  
Employment Summary

Identification code 69-8083-0-7-401	2009 actual	2010 est.	2011 est.
Limitation account - direct:			
6001 Civilian full-time equivalent employment .....	2,328	2,345	2,345

FEDERAL-AID HIGHWAYS  
(Amounts included in baseline projection of current policy)

Program and Financing (in millions of dollars)

Identification code 69-8083-7-7-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			11,903
22.00 New budget authority (gross) .....		11,903	11,903
23.90 Total budgetary resources available for obligation .....		11,903	23,806
24.40 Unobligated balance carried forward, end of year .....		11,903	23,806
<b>New budget authority (gross), detail:</b>			
Mandatory:			
66.10 Contract authority .....		11,903	11,903
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		11,903	11,903
90.00 Outlays .....			

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM (HIGHWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8072-0-7-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	2	2
24.40 Unobligated balance carried forward, end of year .....	2	2	2
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5	4	3
73.20 Total outlays (gross) .....	-1	-1	
74.40 Obligated balance, end of year .....	4	3	3
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	1	1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	1	1	

Funding for this program is used for the necessary expenses for the Appalachian Development Highway System (ADHS) as distributed to the following States: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and West Virginia. This schedule shows the obligation and outlay of amounts made available in prior years.

HIGHWAY-RELATED SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 69-8019-0-7-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
74.40 Obligated balance, end of year .....	1	1	1

Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....			

The Highway Safety Act of 1970 authorized grants to States and communities for implementing and maintaining highway-related safety standards. Title 23, United States Code, authorizes a consolidated State and community highway safety formula grant program, and therefore this schedule reflects spending of prior year balances.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 69-9971-0-7-999	2009 actual	2010 est.	2011 est.
01.00 Balance, start of year .....			
01.99 Balance, start of year .....			
Receipts:			
02.20 Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust .....	19	19	19
02.40 Advances from Other Federal Agencies, FHA Miscellaneous Trust .....	1	1	1
02.99 Total receipts and collections .....	20	20	20
04.00 Total: Balances and collections .....	20	20	20
Appropriations:			
05.00 Miscellaneous Trust Funds .....	-20	-20	-20
05.99 Total appropriations .....	-20	-20	-20
07.99 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 69-9971-0-7-999	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Cooperative work, forest highways 69-X-8265 .....	3	5	3
00.03 Contributions for highway research programs 69-X-8264 .....	2	3	2
00.04 Advances from State cooperating agencies 69-X-8054 .....	18	30	19
00.05 Advances from foreign governments 69-X-8502 .....	1	2	1
10.00 Total new obligations .....	24	40	25
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	42	40	20
22.00 New budget authority (gross) .....	20	20	20
22.10 Resources available from recoveries of prior year obligations .....	2		
23.90 Total budgetary resources available for obligation .....	64	60	40
23.95 Total new obligations .....	-24	-40	-25
24.40 Unobligated balance carried forward, end of year .....	40	20	15
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	20	20	20
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	119	74	54
73.10 Total new obligations .....	24	40	25
73.20 Total outlays (gross) .....	-67	-60	-50
73.45 Recoveries of prior year obligations .....	-2		
74.40 Obligated balance, end of year .....	74	54	29
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	16	16	16
86.98 Outlays from mandatory balances .....	51	44	34
87.00 Total outlays (gross) .....	67	60	50
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	20	20	20
90.00 Outlays .....	67	60	50

The Miscellaneous Trust Funds account reflects work performed by FHWA for other parties. FHWA performs the work on a reimbursable basis.

*Cooperative work, forest highways.*—Contributions are received from States and counties in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

*Contributions for highway research programs (Government Receipts).*—Contributions are received from various sources in support of the FHWA Research, Development, and Technology Program. The funds are used primarily in support of pooled-funds projects.

*Advances from State cooperating agencies.*—Funds are contributed by the State highway departments or local subdivisions thereof for construction and/or maintenance of roads or bridges. The work is performed under the supervision of the Federal Highway Administration.

*International highway transportation outreach.*—Funds are collected to inform the domestic highway community of technological innovations, promote highway transportation expertise internationally, and increase transfers of transportation technology to foreign countries.

**Object Classification** (in millions of dollars)

Identification code 69–9971–0–7–999	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	23	39	24
99.9 Total new obligations .....	24	40	25

**Employment Summary**

Identification code 69–9971–0–7–999	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	5	10	10

**MISCELLANEOUS HIGHWAY TRUST FUNDS**

**Program and Financing** (in millions of dollars)

Identification code 69–9972–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.27 Obligations by program activity Miscellaneous highway projects .....	32	51	36
01.00 Direct Program by Activities - Subtotal (running) .....	32	51	36
10.00 Total new obligations (object class 41.0) .....	32	51	36
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	148	123	65
22.00 New budget authority (gross) .....		-7	
22.10 Resources available from recoveries of prior year obligations .....	7		
23.90 Total budgetary resources available for obligation .....	155	116	65
23.95 Total new obligations .....	-32	-51	-36
24.40 Unobligated balance carried forward, end of year .....	123	65	29
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.36 Unobligated balance permanently reduced .....		-7	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	149	102	92
73.10 Total new obligations .....	32	51	36
73.20 Total outlays (gross) .....	-72	-61	-58
73.45 Recoveries of prior year obligations .....	-7		
74.40 Obligated balance, end of year .....	102	92	70

<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	72	61	58
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		-7	
90.00 Outlays .....	72	61	58

Accounts in this consolidated schedule show the obligation and outlay amounts made available in prior years.

**ADMINISTRATIVE PROVISIONS—FEDERAL HIGHWAY ADMINISTRATION**

【SEC. 120. (a) For fiscal year 2010, the Secretary of Transportation shall—

(1) not distribute from the obligation limitation for Federal-aid highways amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; programs funded from the administrative takedown authorized by section 104(a)(1) of title 23, United States Code (as in effect on the date before the date of enactment of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users); the highway use tax evasion program; and the Bureau of Transportation Statistics;

(2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highways and highway safety programs for previous fiscal years the funds for which are allocated by the Secretary;

(3) determine the ratio that—

(A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2), bears to

(B) the total of the sums authorized to be appropriated for Federal-aid highways and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (9) of subsection (b) and sums authorized to be appropriated for section 105 of title 23, United States Code, equal to the amount referred to in subsection (b)(10) for such fiscal year), less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection;

(4)(A) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for sections 1301, 1302, and 1934 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users; sections 117 (but individually for each project numbered 1 through 3676 listed in the table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users) and section 144(g) of title 23, United States Code; and section 14501 of title 40, United States Code, so that the amount of obligation authority available for each of such sections is equal to the amount determined by multiplying the ratio determined under paragraph (3) by the sums authorized to be appropriated for that section for the fiscal year; and

(B) distribute \$2,000,000,000 for section 105 of title 23, United States Code;

(5) distribute the obligation limitation provided for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and amounts distributed under paragraph (4), for each of the programs that are allocated by the Secretary under the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users and title 23, United States Code (other than to programs to which paragraphs (1) and (4) apply), by multiplying the ratio determined under paragraph (3) by the amounts authorized to be appropriated for each such program for such fiscal year; and

(6) distribute the obligation limitation provided for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and amounts distributed under paragraphs (4) and (5), for Federal-aid highways and highway safety construction programs (other than the amounts apportioned for the equity bonus program, but only to the extent that the amounts apportioned for the equity bonus program for the fiscal year are greater than \$2,639,000,000, and the Appalachian development highway system

program) that are apportioned by the Secretary under the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users and title 23, United States Code, in the ratio that—

(A) amounts authorized to be appropriated for such programs that are apportioned to each State for such fiscal year, bear to

(B) the total of the amounts authorized to be appropriated for such programs that are apportioned to all States for such fiscal year.

(b) EXCEPTIONS FROM OBLIGATION LIMITATION.—The obligation limitation for Federal-aid highways shall not apply to obligations: (1) under section 125 of title 23, United States Code; (2) under section 147 of the Surface Transportation Assistance Act of 1978; (3) under section 9 of the Federal-Aid Highway Act of 1981; (4) under subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982; (5) under subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987; (6) under sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991; (7) under section 157 of title 23, United States Code, as in effect on the day before the date of the enactment of the Transportation Equity Act for the 21st Century; (8) under section 105 of title 23, United States Code, as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years; (9) for Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century or subsequent public laws for multiple years or to remain available until used, but only to the extent that the obligation authority has not lapsed or been used; (10) under section 105 of title 23, United States Code, but only in an amount equal to \$639,000,000 for each of fiscal years 2005 through 2010; and (11) under section 1603 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation.

(c) REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY.—Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year, revise a distribution of the obligation limitation made available under subsection (a) if the amount distributed cannot be obligated during that fiscal year and redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 104 and 144 of title 23, United States Code.

(d) APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS.—The obligation limitation shall apply to transportation research programs carried out under chapter 5 of title 23, United States Code, and title V (research title) of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, except that obligation authority made available for such programs under such limitation shall remain available for a period of 3 fiscal years and shall be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(e) REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS.—

(1) IN GENERAL.—Not later than 30 days after the date of the distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highways programs; and

(B) the Secretary determines will not be allocated to the States, and will not be available for obligation, in such fiscal year due to the imposition of any obligation limitation for such fiscal year.

(2) RATIO.—Funds shall be distributed under paragraph (1) in the same ratio as the distribution of obligation authority under subsection (a)(6).

(3) AVAILABILITY.—Funds distributed under paragraph (1) shall be available for any purposes described in section 133(b) of title 23, United States Code.

(f) SPECIAL LIMITATION CHARACTERISTICS.—Obligation limitation distributed for a fiscal year under subsection (a)(4) for the provision specified in subsection (a)(4) shall—

(1) remain available until used for obligation of funds for that provision; and

(2) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(g) HIGH PRIORITY PROJECT FLEXIBILITY.—

(1) IN GENERAL.—Subject to paragraph (2), obligation authority distributed for such fiscal year under subsection (a)(4) for each project numbered 1 through 3676 listed in the table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users may be obligated for any other project in such section in the same State.

(2) RESTORATION.—Obligation authority used as described in paragraph (1) shall be restored to the original purpose on the date on which obligation authority is distributed under this section for the next fiscal year following obligation under paragraph (1).

(h) LIMITATION ON STATUTORY CONSTRUCTION.—Nothing in this section shall be construed to limit the distribution of obligation authority under subsection (a)(4)(A) for each of the individual projects numbered greater than 3676 listed in the table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users.】

SEC. [121]120. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to 49 U.S.C. 111 may be credited to the Federal-aid highways account for the purpose of reimbursing the Bureau for such expenses: *Provided*, That such funds shall be subject to the obligation limitation for Federal-aid highways and highway safety construction.

【SEC. 122. There is hereby appropriated to the Secretary of Transportation \$650,000,000, to remain available through September 30, 2012: *Provided*, That the funds provided under this section shall be apportioned to the States in the same ratio as the obligation limitation for fiscal year 2010 is distributed among the States in section 120(a)(6) of this Act, and made available for the restoration, repair, construction, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code: *Provided further*, That funds apportioned under this section shall be administered as if apportioned under chapter 1 of title 23, United States Code: *Provided further*, That the Federal share payable on account of any project or activity carried out with funds apportioned under this section shall be 80 percent: *Provided further*, That funding provided under this section shall be in addition to any and all funds provided for fiscal year 2010 in this or any other Act for "Federal-aid Highways" and shall not affect the distribution of funds provided for "Federal-aid Highways" in any other Act: *Provided further*, That the amounts made available under this section shall not be subject to any limitation on obligations for Federal-aid highways or highway safety construction programs set forth in any Act: *Provided further*, That section 1101(b) of Public Law 109–59 shall apply to funds apportioned under this section.】

【SEC. 123. Not less than 15 days prior to waiving, under his statutory authority, any Buy America requirement for Federal-aid highway projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: *Provided*, That the Secretary shall provide an annual report to the Appropriations Committees of the Congress on any waivers granted under the Buy America requirements.】

【SEC. 124. (a) IN GENERAL.—Except as provided in subsection (b), none of the funds made available, limited, or otherwise affected by this Act shall be used to approve or otherwise authorize the imposition of any toll on any segment of highway located on the Federal-aid system in the State of Texas that—

(1) as of the date of enactment of this Act, is not tolled;

(2) is constructed with Federal assistance provided under title 23, United States Code; and

(3) is in actual operation as of the date of enactment of this Act.

(b) EXCEPTIONS.—

(1) NUMBER OF TOLL LANES.—Subsection (a) shall not apply to any segment of highway on the Federal-aid system described in that subsection that, as of the date on which a toll is imposed on the segment, will have the same number of non-toll lanes as were in existence prior to that date.

(2) HIGH-OCCUPANCY VEHICLE LANES.—A high-occupancy vehicle lane that is converted to a toll lane shall not be subject to this section, and shall not be considered to be a non-toll lane for purposes of determining

whether a highway will have fewer non-toll lanes than prior to the date of imposition of the toll, if—

(A) high-occupancy vehicles occupied by the number of passengers specified by the entity operating the toll lane may use the toll lane without paying a toll, unless otherwise specified by the appropriate county, town, municipal or other local government entity, or public toll road or transit authority; or

(B) each high-occupancy vehicle lane that was converted to a toll lane was constructed as a temporary lane to be replaced by a toll lane under a plan approved by the appropriate county, town, municipal or other local government entity, or public toll road or transit authority. ]

**[SEC. 125.** (a) In the explanatory statement referenced in section 129 of division K of Public Law 110–161 (121 Stat. 2388), the item relating to "Route 5 Overpass and River Center, St. Mary's County, MD" in the table of projects for such section 129 is deemed to be amended by striking "Route 5 Overpass and River Center, St. Mary's County, MD" and inserting "Safety Improvements and Traffic Calming Measures along Route 5 at St. Mary's County, MD".

(b) In the explanatory statement referenced in section 186 of title I of division I of Public Law 111–8 (123 Stat. 947), the item relating to "US 422 River Crossing Complex Project, King of Prussia, PA" in the table of projects under the heading "Transportation, Community, and System Preservation Program" is deemed to be amended by striking "US 422 River Crossing Complex Project, King of Prussia, PA" and inserting "For closed loop signal control system and other improvements for Trooper Road in Lower Providence and West Norriton Townships, Montgomery County, PA".

(c) In the explanatory statement referenced in section 186 of title I of division I of Public Law 111–8 (123 Stat. 947), the item relating to "Improving the West Bank River Front, IL" in the table of projects under the heading "Transportation, Community, and System Preservation Program" is deemed to be amended by striking "Improving the West Bank River Front, IL" and inserting "East Bank River Front and Bikeway Improvements, IL".

(d) In the explanatory statement referenced in section 186 of title I of division K of Public Law 110–161 (121 Stat. 2406), as amended by section 129(d) of division I of Public Law 111–8 (123 Stat. 947), the item relating to "Repair of Side Streets and Relocation of Water Mains resulting from rerouting of traffic and reconstruction of 159th Street in Harvey, IL" in the table of projects under the heading "Transportation, Community, and System Preservation Program" is deemed to be amended by striking "Repair of Side Streets and Relocation of Water Mains resulting from rerouting of traffic and reconstruction of 159th Street in Harvey, IL" and inserting "Intersection Improvements on Crawford Avenue and 203rd Street in the Village of Olympia Fields, IL".

(e) In the explanatory statement referenced in section 129 of division K of Public Law 110–161 (121 Stat. 2388), the item relating to "Study Improvements to 109th Avenue, Winfield, IN" in the table of projects for such section 129 is deemed to be amended by striking "Winfield, IN" and inserting "Town of Winfield, City of Crown Point, Lake County, IN".

(f) In the explanatory statement referenced in section 186 of title I of division I of Public Law 111–8 (123 Stat. 947), the item relating to "Ronald Reagan Parkway (Middle and Southern segments), Boone County, IN" in the table of projects under the heading "Transportation, Community, and System Preservation Program" is deemed to be amended by striking "Boone County" and inserting "Hendricks County".

(g) In the explanatory statement referenced in section 186 of title I of division I of Public Law 111–8 (123 Stat. 947), the item relating to "Onville Road Intersection and Road-Widening Project, Prince William County, VA" in the table of projects under the heading "Federal Lands" is deemed to be amended by striking "Prince William" and inserting "Stafford".

(h) In the explanatory statement referenced in section 186 of title I of division I of Public Law 111–8 (123 Stat. 947), the item relating to "U.S. 59/Alabama Grade Separation Project, St. Joseph, MO" in the table of projects under the heading "Interstate Maintenance Discretionary" is deemed to be amended by striking "U.S. 59/Alabama Grade Separation Project, St. Joseph, MO" and inserting "I-29 Interchange Reconstruction in St. Joseph, MO".

(i) In the explanatory statement referenced in section 186 of title I of division I of Public Law 111–8 (123 Stat. 947), the item relating to "Decking and Sidewalk Replacement on the Central Avenue Overpass, South Charleston, WV" in the table of projects under the heading "Interstate Maintenance Discretionary" is deemed to be amended by striking "Decking and Sidewalk Replacement on the Central Avenue Overpass, South Charleston, WV" and inserting "General Interstate Maintenance, WV".

(j) In the explanatory statement referenced in section 125 of title I of division I of Public Law 111–8 (123 Stat. 928), the item relating to "Wapsi Great Western Line Trail, Mitchell County, IA" is deemed to be amended by striking "Mitchell County" and inserting "Mitchell and Howard Counties".

(k) In the explanatory statement referenced in section 125 of title I of division I of Public Law 111–8 (123 Stat. 928), the item relating to "Highway 169 Corridor Project Environmental Assessment, Preliminary Engineering and Planning, Humboldt, IA" is deemed to be amended by striking "Corridor Project Environmental Assessment, Preliminary Engineering and Planning, Humboldt, IA" and inserting "Construction, Humboldt and Webster Counties, IA".

(l) In the explanatory statement referenced in section 125 of title I of division I of Public Law 111–8 (123 Stat. 928), the item relating to "Highway 53 Interchanges, WI" is deemed to be amended by striking "Interchanges" and inserting "Intersections". ]

**[SEC. 126.** Item 4866A in the table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109–59) is amended by striking "Repair and restore" and inserting "Removal of and enhancements around". ]

**[SEC. 127.** Item 3923 in the table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109–59) is amended by striking "to 4 lanes from I-10 to West U.S. 90". ]

**[SEC. 128.** Funds made available for "Brentwood Boulevard/SR 4 Improvements, Brentwood, CA" under section 129 of Public Law 110–161 shall be made available for "John Muir Parkway Project, Brentwood, CA". ]

**[SEC. 129.** The table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (119 Stat. 1256) is amended in item number 3138 by striking the project description and inserting "Elimination of highway-railway crossings and rehabilitation of rail along the KO railroad to Osborne". ]

**[SEC. 130.** Funds made available for "City of Tuscaloosa Downtown Revitalization Project—University Blvd and Greensboro Avenue, AL" under section 125 of Public Law 111–8 shall be made available for "City of Tuscaloosa Downtown Revitalization Project—University Blvd". ]

**[SEC. 131.** The table contained in section 1702 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (119 Stat. 1256) is amended by striking the project description for item number 4573 and inserting the following: "Design and construct interchange on I-15 in Mesquite". ]

*SEC. 121. Notwithstanding any other provision of law, whenever an apportionment is made of the sums authorized to be appropriated for the Surface Transportation Program, the Congestion Mitigation and Air Quality Improvement Program, the National Highway System Program, the Interstate Maintenance Program, and the Highway Bridge Program, the Secretary of Transportation shall deduct a sum in such amount not to exceed a total of \$200,000,000 of all sums so authorized: Provided, That of the amount so deducted in accordance with this section shall be made available for the Federal Highway Administration Livable Communities Program: Provided further, That the Federal share payable on account of any program, project, or activity carried out with funds made available under this section shall be determined in accordance with 23 U.S.C. 120: Provided further, That the Administrator of the Federal Highway Administration may retain up to one percent of the funds provided under this section for administrative expenses: Provided further, That the sum deducted in accordance with this section shall remain available until expended: Provided further, That all funds made available under this section shall be subject to any limitation on obligations for Federal-aid highways programs set forth in this Act or any other Act: Provided further, That the obligation limitation made available for the programs, projects, and activities for which funds are made available under this section shall remain available until used and shall be in addition to the amount of any*

limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years. (Department of Transportation Appropriations Act, 2010.)

**FEDERAL MOTOR CARRIER SAFETY  
ADMINISTRATION**

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act (P.L. 106–159) in December 1999. Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation and reduce truck and bus crashes. The agency also is charged with reducing fatalities associated with commercial motor vehicles through education, regulation, enforcement, and research and innovative technology, thereby achieving a safer and more secure transportation environment. Additionally, FMCSA is responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all commercial vehicles entering the United States along its southern and northern borders.

**Trust Funds**

【MOTOR CARRIER SAFETY】

【(HIGHWAY TRUST FUND)】

【(RESCISSION)】

【Of the amounts made available under this heading in prior appropriations Acts, \$6,415,501 in unobligated balances are permanently rescinded.】 (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69–8055–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Reimbursable program .....	1		
10.00 Total new obligations (object class 25.2) .....	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	6	
22.00 New budget authority (gross) .....	–1	–6	
22.10 Resources available from recoveries of prior year obligations ...	6		
23.90 Total budgetary resources available for obligation .....	7		
23.95 Total new obligations .....	–1		
24.40 Unobligated balance carried forward, end of year .....	6		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
58.10 Spending authority from offsetting collections: Change in uncollected customer payments from Federal sources (unexpired) .....	1		
Mandatory:			
66.36 Unobligated balance permanently reduced .....	–2	–6	
70.00 Total new budget authority (gross) .....	–1	–6	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	20	7	
73.10 Total new obligations .....	1		
73.20 Total outlays (gross) .....	–7	–7	
73.45 Recoveries of prior year obligations .....	–6		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	–1		
74.40 Obligated balance, end of year .....	7		

<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	7	7	
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	1		
88.40 Non-Federal sources .....	–1		
88.90 Total, offsetting collections (cash) .....			
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	–1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	–2	–6	
90.00 Outlays .....	7	7	
<b>Memorandum (non-add) entries:</b>			
93.05 (Fund balance in excess of liquidating requirements, start of year: Contract authority) .....	32	35	41
93.06 (Fund balance in excess of liquidating requirements, end of year: Contract authority) .....	35	41	41
93.07 Limitation on obligations (Transportation Trust Funds) .....			

No funding is requested for this account in 2011.

【NATIONAL MOTOR CARRIER SAFETY PROGRAM】

【(HIGHWAY TRUST FUND)】

【(RESCISSION)】

【Of the amounts made available under this heading in prior appropriations Acts, \$3,232,639 in unobligated balances are permanently rescinded.】 (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69–8048–0–7–401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	20	15	12
21.45 Adjustments to unobligated balance carried forward, start of year .....	12		
22.00 New budget authority (gross) .....	–20	–3	
22.10 Resources available from recoveries of prior year obligations ...	3		
23.90 Total budgetary resources available for obligation .....	15	12	12
24.40 Unobligated balance carried forward, end of year .....	15	12	12
<b>New budget authority (gross), detail:</b>			
Mandatory:			
66.36 Unobligated balance permanently reduced .....	–20	–3	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	31	14	1
72.45 Adjustment to obligated balance, start of year .....	–12		
73.20 Total outlays (gross) .....	–2	–13	
73.45 Recoveries of prior year obligations .....	–3		
74.40 Obligated balance, end of year .....	14	1	1
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	2	13	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	–20	–3	
90.00 Outlays .....	2	13	
<b>Memorandum (non-add) entries:</b>			
93.01 Unobligated balance, start of year: Contract authority .....	3		10
93.02 Unobligated balance, end of year: Contract authority .....		10	10
93.03 Obligated balance, start of year: Contract authority .....	31	14	1
93.04 Obligated balance, end of year: Contract authority .....	14	1	1
93.07 Limitation on obligations (Transportation Trust Funds) .....			

No funding is requested for this account in 2011.

MOTOR CARRIER SAFETY GRANTS  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(HIGHWAY TRUST FUND)  
[(INCLUDING RESCISSION)]

For payment of obligations incurred in carrying out sections 31102, 31104(a), 31106, 31107, 31109, 31309, 31313 of title 49, United States Code, and sections 4126 and 4128 of Public Law 109–59, \$310,070,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs, the obligations for which are in excess of \$310,070,000, for "Motor Carrier Safety Grants"; of which [\$212,070,000] \$215,070,000 shall be available for the motor carrier safety assistance program to carry out sections 31102 and 31104(a) of title 49, United States Code; [\$25,000,000] \$30,000,000 shall be available for the commercial driver's license improvements program to carry out section 31313 of title 49, United States Code; \$32,000,000 shall be available for the border enforcement grants program to carry out section 31107 of title 49, United States Code; \$5,000,000 shall be available for the performance and registration information system management program to carry out sections 31106(b) and 31109 of title 49, United States Code; \$25,000,000 shall be available for the commercial vehicle information systems and networks deployment program to carry out section 4126 of Public Law 109–59; \$3,000,000 shall be available for the safety data improvement program to carry out section 4128 of Public Law 109–59; and [\$8,000,000] \$1,000,000 shall be available for the commercial driver's license information system modernization program to carry out section 31309(e) of title 49, United States Code: *Provided further*, That of the funds made available for the motor carrier safety assistance program, [\$29,000,000] \$35,000,000 shall be available for audits of new entrant motor carriers: *Provided further*, That \$1,610,661 in unobligated balances are permanently rescinded]. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69–8158–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Motor Carrier Safety Assistance Program .....	209	212	215
00.02 Commercial Driver's License (CDL) Program Improvement Grants .....	25	25	30
00.03 Border Enforcement Grants .....	32	32	32
00.04 Performance and Registration Information System .....	5	5	5
00.05 Commercial Vehicle Information Systems .....	25	25	25
00.06 Safety Data Improvement Grants .....	3	3	3
00.07 CDL Information System .....	8	8	.....
10.00 Total new obligations .....	307	310	310
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	7	5	–2
22.00 New budget authority (gross) .....	300	298	300
22.10 Resources available from recoveries of prior year obligations ....	5	5	.....
23.90 Total budgetary resources available for obligation .....	312	308	298
23.95 Total new obligations .....	–307	–310	–310
24.40 Unobligated balance carried forward, end of year .....	5	–2	–12
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Motor Carrier Safety Grants .....	307	307	310
40.49 Portion applied to liquidate contract authority, Motor Carrier Safety Grants .....	–307	–307	–310
43.00 Appropriation (total discretionary) .....	.....	.....	.....
Mandatory:			
66.10 Contract authority, Motor Carrier Safety Grants .....	307	300	300
66.36 Unobligated balance permanently reduced .....	–7	–2	.....
66.90 Contract authority (total mandatory) .....	300	298	300
70.00 Total new budget authority (gross) .....	300	298	300
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	316	362	218

73.10	Total new obligations .....	307	310	310
73.20	Total outlays (gross) .....	–256	–449	–397
73.45	Recoveries of prior year obligations .....	–5	–5	.....
74.40	Obligated balance, end of year .....	362	218	131
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	76	87	87
86.93	Outlays from discretionary balances .....	180	362	310
87.00	Total outlays (gross) .....	256	449	397
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	300	298	300
90.00	Outlays .....	256	449	397
<b>Memorandum (non-add) entries:</b>				
93.05	(Fund balance in excess of liquidating requirements, start of year: Contract authority) .....	14	21	30
93.06	(Fund balance in excess of liquidating requirements, end of year: Contract authority) .....	21	30	40
93.07	Limitation on obligations (Transportation Trust Funds) .....	307	310	310

Summary of Budget Authority and Outlays (in millions of dollars)

	2009 actual	2010 est.	2011 est.
Enacted/requested:			
Budget Authority .....	300	298	300
Outlays .....	256	449	397
Amounts included in baseline projection of current policy:			
Budget Authority .....	.....	7	7
Outlays .....	.....	.....	.....
Total:			
Budget Authority .....	300	305	307
Outlays .....	256	449	397

Motor Carrier Safety Grants are used to support State compliance reviews; identify and apprehend traffic violators; conduct roadside inspections; and support safety audits on new entrant carriers. State safety enforcement efforts at the southern and northern borders ensure that all points of entry into the U.S. are fortified with comprehensive safety measures. In addition, the FMCSA oversees State commercial driver's license (CDL) oversight activities to prevent unqualified drivers from being issued CDLs. The Performance and Registration Information Systems and Management (PRISM) program links State motor vehicle registration systems with carrier safety data in order to identify unsafe commercial motor carriers. FMCSA is deploying Commercial Vehicle Information Systems and Networks Deployment (CVISN) to improve safety and productivity of commercial vehicles and drivers; improving the accuracy, timeliness, and completeness of commercial motor vehicle safety data; and modernizing its Commercial Driver's License Information System (CDLIS).

Object Classification (in millions of dollars)

Identification code 69–8158–0–7–401	2009 actual	2010 est.	2011 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services .....	24	23	24
41.0 Grants, subsidies, and contributions .....	282	286	285
99.9 Total new obligations .....	307	310	310

MOTOR CARRIER SAFETY GRANTS  
(Amounts included in baseline projection of current policy)

Program and Financing (in millions of dollars)

Identification code 69–8158–7–7–401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	.....	.....	7
22.00 New budget authority (gross) .....	.....	7	7

MOTOR CARRIER SAFETY GRANTS—Continued  
Program and Financing—Continued

Identification code 69-8158-7-7-401	2009 actual	2010 est.	2011 est.
23.90 Total budgetary resources available for obligation .....		7	14
24.40 Unobligated balance carried forward, end of year .....		7	14
<b>New budget authority (gross), detail:</b>			
Mandatory:			
66.10 Contract authority, Motor Carrier Safety Grants .....		7	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		7	7
90.00 Outlays .....			

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(HIGHWAY TRUST FUND)

For payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs pursuant to section 31104(i) of title 49, United States Code, and sections 4127 and 4134 of Public Law 109-59, **[\$239,828,000]** \$259,878,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account), together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, the sum of which shall remain available until expended: *Provided*, That none of the funds derived from the Highway Trust Fund in this Act shall be available for the implementation, execution or administration of programs, the obligations for which are in excess of **[\$239,828,000]** \$259,878,000, for "Motor Carrier Safety Operations and Programs" of which **[\$8,543,000]** \$8,586,000, to remain available for obligation until September 30, 2012, is for the research and technology program and \$1,000,000 shall be available for commercial motor vehicle operator's grants to carry out section 4134 of Public Law 109-59: *Provided further*, That notwithstanding any other provision of law, none of the funds under this heading for outreach and education shall be available for transfer: *Provided further*, That the Federal Motor Carrier Safety Administration shall transmit to Congress a report on March 30, 2010, and September 30, 2010, on the agency's ability to meet its requirement to conduct compliance reviews on high-risk carriers. (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-8159-0-7-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Operating Expenses .....	179	183	203
00.03 Research and Technology .....	9	9	9
00.04 Information Mangement .....	34	34	34
00.05 Regulatory Development .....	10	10	10
00.06 Outreach and Education .....	3	3	3
00.07 Commercial Motor Vehicle Operating Grants .....	1	1	1
01.00 Subtotal, direct program .....	236	240	260
09.01 Reimbursable program .....	15	27	27
10.00 Total new obligations .....	251	267	287
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	12	9	3
22.00 New budget authority (gross) .....	243	256	256
22.10 Resources available from recoveries of prior year obligations ...	5	5	5
23.90 Total budgetary resources available for obligation .....	260	270	264
23.95 Total new obligations .....	-251	-267	-287
24.40 Unobligated balance carried forward, end of year .....	9	3	-23
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	234	240	260

40.49 Portion applied to liquidate contract authority .....	-234	-240	-260
43.00 Appropriation (total discretionary) .....			
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	14	27	27
<b>Mandatory:</b>			
66.10 Contract authority .....	234	229	229
66.36 Unobligated balance permanently reduced .....	-5		
66.90 Contract authority (total mandatory) .....	229	229	229
70.00 Total new budget authority (gross) .....	243	256	256
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	99	88	20
73.10 Total new obligations .....	251	267	287
73.20 Total outlays (gross) .....	-257	-330	-285
73.45 Recoveries of prior year obligations .....	-5	-5	-5
74.40 Obligated balance, end of year .....	88	20	17
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	187	240	258
86.93 Outlays from discretionary balances .....	70	90	27
87.00 Total outlays (gross) .....	257	330	285
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections (from non-Federal sources) .....	-14	-27	-27
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	229	229	229
90.00 Outlays .....	243	303	258
<b>Memorandum (non-add) entries:</b>			
93.05 (Fund balance in excess of liquidating requirements, start of year: Contract authority) .....	6	10	21
93.06 (Fund balance in excess of liquidating requirements, end of year: Contract authority) .....	10	21	52
93.07 Limitation on obligations (Transportation Trust Funds) .....	234	240	260

Summary of Budget Authority and Outlays (in millions of dollars)

	2009 actual	2010 est.	2011 est.
<b>Enacted/requested:</b>			
Budget Authority .....	229	229	229
Outlays .....	243	303	258
<b>Amounts included in baseline projection of current policy:</b>			
Budget Authority .....		5	5
Outlays .....			
<b>Total:</b>			
Budget Authority .....	229	234	234
Outlays .....	243	303	258

This account provides the necessary resources to support program and administrative activities for motor carrier safety. Funding will support nationwide motor carrier safety and consumer enforcement efforts, including Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with Federal Motor Carrier Safety Regulations.

Resources are also provided to fund regulatory development and implementation, information management, research and technology, safety education and outreach, and the safety and consumer telephone hotline.

Object Classification (in millions of dollars)

Identification code 69-8159-0-7-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	81	79	86
11.3 Other than full-time permanent .....	2	2	3
11.9 Total personnel compensation .....	83	81	89
12.1 Civilian personnel benefits .....	24	33	32
21.0 Travel and transportation of persons .....	10	14	13
23.1 Rental payments to GSA .....	11	12	11

23.3	Communications, utilities, and miscellaneous charges .....	6	7	6
24.0	Printing and reproduction .....	1	2	1
25.2	Other services .....	90	79	95
25.5	Research and development contracts .....	9	9	10
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	2	2
99.0	Direct obligations .....	236	240	260
99.0	Reimbursable obligations .....	15	27	27
99.9	Total new obligations .....	251	267	287

**Employment Summary**

Identification code 69-8159-0-7-401	2009 actual	2010 est.	2011 est.	
<b>Direct:</b>				
1001	Civilian full-time equivalent employment .....	987	1,062	1,121
<b>Reimbursable:</b>				
2001	Civilian full-time equivalent employment .....	61	61	61

**MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS**

(Amounts included in baseline projection of current policy)

**Program and Financing** (in millions of dollars)

Identification code 69-8159-7-7-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....		5
22.00	New budget authority (gross) .....	5	5
23.90	Total budgetary resources available for obligation .....	5	10
24.40	Unobligated balance carried forward, end of year .....	5	10

**New budget authority (gross), detail:**

66.10	Mandatory:		
	Contract authority .....	5	5

**Net budget authority and outlays:**

89.00	Budget authority .....	5	5
90.00	Outlays .....		

24.40	Unobligated balance carried forward, end of year .....	78	
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00	Appropriation .....	1,000	
42.00	Transferred from other accounts .....	2,000	
43.00	Appropriation (total discretionary) .....	3,000	

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	93	2
73.10	Total new obligations .....	2,922	78
73.20	Total outlays (gross) .....	-2,829	-169
74.40	Obligated balance, end of year .....	93	2

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	2,829	
86.93	Outlays from discretionary balances .....	169	2
87.00	Total outlays (gross) .....	2,829	169

**Net budget authority and outlays:**

89.00	Budget authority .....	3,000	
90.00	Outlays .....	2,829	169

**Object Classification** (in millions of dollars)

Identification code 69-0654-0-1-376	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....	1	1
25.2	Other services .....	2,921	77
99.9	Total new obligations .....	2,922	78

**Employment Summary**

Identification code 69-0654-0-1-376	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001	Civilian full-time equivalent employment .....	15	15

**NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION**

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, and motor vehicle information and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

**OPERATIONS AND RESEARCH**

For expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety under subtitle C of title X of Public Law 109-59 and chapter 301 and part C of subtitle VI of title 49, United States Code, **[\$140,427,000]** \$132,837,000, of which **[\$35,543,000]** \$30,445,000 shall remain available through September 30, **[2011: Provided,** That none of the funds appropriated by this Act may be obligated or expended to plan, finalize, or implement any rule-making to add to section 575.104 of title 49 of the Code of Federal Regulations any requirement pertaining to a grading standard that is different from the three grading standards (treadwear, traction, and temperature resistance) already in effect.] **2012.** (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69-0650-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Highway Safety Programs .....	2	
00.02	Research and analysis .....	31	35
00.03	Rulemaking .....	17	22
00.04	Enforcement .....	18	18
00.06	Administrative Expenses .....	59	65
10.00	Total new obligations .....	127	140

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year .....	2	2
22.00	New budget authority (gross) .....	127	140
23.90	Total budgetary resources available for obligation .....	129	142
23.95	Total new obligations .....	-127	-140
24.40	Unobligated balance carried forward, end of year .....	2	2

**Federal Funds**

**CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 69-0654-0-1-376	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Consumer Assistance to Recycle and Save (CARS) .....	2,922	78
10.00	Total new obligations .....	2,922	78
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....		78
22.00	New budget authority (gross) .....	3,000	
23.90	Total budgetary resources available for obligation .....	3,000	78
23.95	Total new obligations .....	-2,922	-78

OPERATIONS AND RESEARCH—Continued  
Program and Financing—Continued

Identification code 69-0650-0-1-401	2009 actual	2010 est.	2011 est.
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	127	140	133
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	57	73	86
73.10 Total new obligations .....	127	140	133
73.20 Total outlays (gross) .....	-108	-127	-138
73.40 Adjustments in expired accounts (net) .....	-3		
74.40 Obligated balance, end of year .....	73	86	81
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	75	81	77
86.93 Outlays from discretionary balances .....	33	46	61
87.00 Total outlays (gross) .....	108	127	138
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	127	140	133
90.00 Outlays .....	108	127	138

These programs support vehicle safety activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by research into and implementation of Federal motor vehicle safety standards. NHTSA's research areas include biomechanics, crash avoidance and mitigation technologies, and vehicle safety issues related to fuel efficiency and alternative fuels.

NHTSA's Operation and Research programs fund a broad range of initiatives, including promulgation of Federal motor vehicle safety standards for motor vehicles and safety related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007; international harmonization of vehicle standards; and consumer information on motor vehicle safety, including the New Car Assessment Program.

NHTSA conducts compliance programs for motor vehicle safety and automotive fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; support of enforcement of State odometer law; and safety recalls when warranted.

Motor vehicle safety research and development supports all NHTSA programs, including the collection and analysis of crash data to identify safety problems; development of alternative solutions; and assessments of costs, benefits, and effectiveness. Research continues on standards and technologies to improve vehicle crashworthiness and crash avoidance, with emphasis on decreasing fatalities from rollover crashes and improving vehicle-to-vehicle crash compatibility. NHTSA also continues to improve data systems.

Object Classification (in millions of dollars)

Identification code 69-0650-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	39	40
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	36	40	41
12.1 Civilian personnel benefits .....	9	11	11
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	3	2	3
25.2 Other services .....	46	50	45
25.5 Research and development contracts .....	30	34	30
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	127	140	133

Employment Summary

Identification code 69-0650-0-1-401	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	329	366	362

NATIONAL DRIVER REGISTER MODERNIZATION

For an additional amount for the "National Driver Register" as authorized by chapter 303 of title 49, United States Code, **[\$3,350,000]** \$2,530,000, to remain available through September 30, **[2011]** 2012: *Provided*, That the funding made available under this heading shall be used to **[carry out]** *continue* the modernization of the National Driver Register. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-0660-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 National Driver Register Modernization .....		3	3
10.00 Total new obligations (object class 25.2) .....		3	3
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		3	3
23.95 Total new obligations .....		-3	-3
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		3	3
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			1
73.10 Total new obligations .....		3	3
73.20 Total outlays (gross) .....		-2	-3
74.40 Obligated balance, end of year .....		1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		2	2
86.93 Outlays from discretionary balances .....			1
87.00 Total outlays (gross) .....		2	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		3	3
90.00 Outlays .....		2	3

The Budget includes funding to continue the modernization of National Driver Register.

Trust Funds

OPERATIONS AND RESEARCH  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, **[\$105,500,000]** \$117,376,000 to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year **[2010]** 2011, are in excess of **[\$105,500,000]** \$117,376,000 for programs authorized under 23 U.S.C. 403: *Provided further*, That within the **[\$105,500,000]** \$117,376,000 obligation limitation for operations and research, **[\$26,908,000]** \$29,737,000 shall remain available until September 30, **[2011]** 2012 and shall be in addition to the amount of any limitation imposed on obligations for future years.

NATIONAL DRIVER REGISTER  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out chapter 303 of title 49, United States Code, [ \$4,000,000 ] \$4,170,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs the total obligations for which, in fiscal year [2010] 2011, are in excess of [ \$4,000,000 ] \$4,170,000 for the National Driver Register authorized under such chapter. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69–8016–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Highway safety programs .....	44	45	45
00.02 Research and analysis .....	27	27	30
00.07 National driver register .....	4	4	4
00.08 Administrative Expenses .....	36	34	43
01.00 Total Direct Obligations .....	111	110	122
09.01 Reimbursable program .....	9	25	25
10.00 Total new obligations .....	120	135	147
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	11	12	–1
21.45 Adjustments to unobligated balance carried forward, start of year .....	7	.....	.....
22.00 New budget authority (gross) .....	109	122	124
22.10 Resources available from recoveries of prior year obligations .....	5	.....	.....
23.90 Total budgetary resources available for obligation .....	132	134	123
23.95 Total new obligations .....	–120	–135	–147
24.40 Unobligated balance carried forward, end of year .....	12	–1	–24
24.51 Expired unobligated balance carried forward, start of year (special and trust funds) .....	1	.....	.....
24.52 Expired unobligated balance carried forward, end of year (special and trust funds) .....	2	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	110	110	122
40.49 Portion applied to liquidate contract authority .....	–110	–110	–122
43.00 Appropriation (total discretionary) .....	.....	.....	.....
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	10	25	25
Mandatory:			
66.10 Contract authority .....	110	99	99
66.36 Unobligated balance permanently reduced .....	–11	–2	.....
66.90 Contract authority (total mandatory) .....	99	97	99
70.00 Total new budget authority (gross) .....	109	122	124
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	141	114	89
73.10 Total new obligations .....	120	135	147
73.20 Total outlays (gross) .....	–141	–160	–166
73.40 Adjustments in expired accounts (net) .....	–1	.....	.....
73.45 Recoveries of prior year obligations .....	–5	.....	.....
74.40 Obligated balance, end of year .....	114	89	70
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	24	79	86
86.93 Outlays from discretionary balances .....	117	81	80
87.00 Total outlays (gross) .....	141	160	166
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	–10	–25	–25
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	99	97	99

90.00	Outlays .....	131	135	141
<b>Memorandum (non-add) entries:</b>				
93.05	(Fund balance in excess of liquidating requirements, start of year: Contract authority) .....	16	26	39
93.06	(Fund balance in excess of liquidating requirements, end of year: Contract authority) .....	26	39	62
93.07	Limitation on obligations (Transportation Trust Funds) .....	109	110	122

Summary of Budget Authority and Outlays (in millions of dollars)

	2009 actual	2010 est.	2011 est.
Enacted/requested:			
Budget Authority .....	99	97	99
Outlays .....	131	135	141
Amounts included in baseline projection of current policy:			
Budget Authority .....	.....	11	11
Outlays .....	.....	.....	.....
Total:			
Budget Authority .....	99	108	110
Outlays .....	131	135	141

The Budget includes funding levels for all surface programs. NHTSA provides research, demonstrations, technical assistance, and national leadership for highway safety programs conducted by State and local governments, and various safety associations and organizations. This program emphasizes alcohol and drug countermeasures, vehicle occupant protection, traffic law enforcement, emergency medical and trauma care systems, traffic records and licensing, State and community evaluation, motorcycle riders, pedestrian and bicycle safety, pupil transportation, young and older driver safety programs, and development of improved accident investigation procedures.

An emerging safety issue is distracted driving. NHTSA supports the enactment of distracted driving laws that prevent drivers from texting while driving. Beginning in 2010, the agency will implement its new two-year Distraction Plan and Research Agenda. It will include efforts to further quantify the magnitude and nature of the problem, assess the impact of distraction on driver behavior and driving performance, inform public attitudes and opinions about distraction, assess the impact of product design on distraction potential, and assess how to effectively manage driver workload due to distraction.

Implementation and operation of the Problem Driver Pointer System (PDPS) helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs.

Object Classification (in millions of dollars)

Identification code 69–8016–0–7–401	2009 actual	2010 est.	2011 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	19	17	20
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	20	18	22
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	6	6	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	51	52	58
25.5 Research and development contracts .....	27	27	30
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	111	110	122
99.0 Reimbursable obligations .....	9	25	25
99.9 Total new obligations .....	120	135	147

Employment Summary

Identification code 69–8016–0–7–401	2009 actual	2010 est.	2011 est.
Direct:			

OPERATIONS AND RESEARCH—Continued  
Employment Summary—Continued

Identification code 69-8016-0-7-401	2009 actual	2010 est.	2011 est.
1001 Civilian full-time equivalent employment .....	188	168	201

OPERATIONS AND RESEARCH (HIGHWAY TRUST FUND)  
(Amounts included in baseline projection of current policy)

Program and Financing (in millions of dollars)

Identification code 69-8016-7-7-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			11
22.00 New budget authority (gross) .....		11	11
23.90 Total budgetary resources available for obligation .....		11	22
24.40 Unobligated balance carried forward, end of year .....		11	22
<b>New budget authority (gross), detail:</b>			
Mandatory:			
66.10 Contract authority .....		11	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		11	11
90.00 Outlays .....			
<b>Memorandum (non-add) entries:</b>			
93.05 (Fund balance in excess of liquidating requirements, start of year: Contract authority) .....			
93.06 (Fund balance in excess of liquidating requirements, end of year: Contract authority) .....			1

HIGHWAY TRAFFIC SAFETY GRANTS  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 402, 405, 406, 408, and 410 and sections 2001(a)(11), 2009, 2010, and 2011 of Public Law 109-59, to remain available until expended, **[\$619,500,000] \$620,697,000** to be derived from the Highway Trust Fund (other than the Mass Transit Account): *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year **[2010] 2011**, are in excess of **[\$619,500,000] \$620,697,000** for programs authorized under 23 U.S.C. 402, 405, 406, 408, and 410 and sections 2001(a)(11), 2009, 2010, and 2011 of Public Law 109-59, of which \$235,000,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402; \$25,000,000 shall be for "Occupant Protection Incentive Grants" under 23 U.S.C. 405; \$124,500,000 shall be for "Safety Belt Performance Grants" under 23 U.S.C. 406, and such obligation limitation shall remain available until September 30, **[2011] 2012** in accordance with subsection (f) of such section 406 and shall be in addition to the amount of any limitation imposed on obligations for such grants for future fiscal years, *of which up to \$50,000,000 may be made available by the Secretary as grants to States that enact and enforce laws to prevent distracted driving*; \$34,500,000 shall be for "State Traffic Safety Information System Improvements" under 23 U.S.C. 408; \$139,000,000 shall be for "Alcohol-Impaired Driving Countermeasures Incentive Grant Program" under 23 U.S.C. 410; **[\$18,500,000] \$19,697,000** shall be for "Administrative Expenses" under section 2001(a)(11) of Public Law 109-59; \$29,000,000 shall be for "High Visibility Enforcement Program" under section 2009 of Public Law 109-59; \$7,000,000 shall be for "Motorcyclist Safety" under section 2010 of Public Law 109-59; and \$7,000,000 shall be for "Child Safety and Child Booster Seat Safety Incentive Grants" under section 2011 of Public Law 109-59: *Provided further, That of the funds made available for grants to States that enact and enforce laws to prevent distracted driving, up to \$5,000,000 may be available for the development, production, and use of*

*of broadcast and print media advertising for distracted driving prevention: Provided further, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: Provided further, That not to exceed \$500,000 of the funds made available for section 410 "Alcohol-Impaired Driving Countermeasures Grants" shall be available for technical assistance to the States: Provided further, That not to exceed \$750,000 of the funds made available for the "High Visibility Enforcement Program" shall be available for the evaluation required under section 2009(f) of Public Law 109-59. (Department of Transportation Appropriations Act, 2010.)*

Program and Financing (in millions of dollars)

Identification code 69-8020-0-7-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Section 402 formula grants .....	235	235	235
00.02 Section 405 occupant protection incentive grants .....	25	25	25
00.03 Section 406 Safety Belt Performance .....	125	125	125
00.04 Section 408 State Traffic Information System Improvements .....	34	34	34
00.05 Section 410 Alcohol Incentive Grants .....	139	139	139
00.06 Section 2009 High Visibility Enforcement .....	29	29	29
00.07 Section 2010 Motorcyclist Safety .....	7	7	7
00.08 Section 2011 Child Safety and Booster Seat Grants .....	7	7	7
00.09 Section 2001 Grant Administrative .....	18	19	20
09.01 Reimbursable program .....	42		
10.00 Total new obligations .....	661	620	621
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	118	17	-58
22.00 New budget authority (gross) .....	560	545	559
23.90 Total budgetary resources available for obligation .....	678	562	501
23.95 Total new obligations .....	-661	-620	-621
24.40 Unobligated balance carried forward, end of year .....	17	-58	-120
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	620	620	621
40.49 Portion applied to liquidate contract authority .....	-620	-620	-621
43.00 Appropriation (total discretionary) .....			
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	43		
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-43		
58.90 Spending authority from offsetting collections (total discretionary) .....			
Mandatory:			
66.10 Contract authority .....	620	559	559
66.36 Unobligated balance permanently reduced .....	-60	-14	
66.90 Contract authority (total mandatory) .....	560	545	559
70.00 Total new budget authority (gross) .....	560	545	559
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	714	852	739
73.10 Total new obligations .....	661	620	621
73.20 Total outlays (gross) .....	-566	-733	-714
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	43		
74.40 Obligated balance, end of year .....	852	739	646
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	119	254	255
86.93 Outlays from discretionary balances .....	447	479	459
87.00 Total outlays (gross) .....	566	733	714
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-43		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	43		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	560	545	559

90.00	Outlays .....	523	733	714
<b>Memorandum (non-add) entries:</b>				
93.03	Obligated balance, start of year: Contract authority <sup>1</sup> .....	110	50	-25
93.04	Obligated balance, end of year: Contract authority <sup>2</sup> .....	50	-25	-87
93.05	(Fund balance in excess of liquidating requirements, start of year: Contract authority) .....			25
93.06	(Fund balance in excess of liquidating requirements, end of year: Contract authority) .....		25	87
93.07	Limitation on obligations (Transportation Trust Funds) .....	620	620	621

<sup>1</sup>Amount in 2011 should be zero.  
<sup>2</sup>Amounts in 2010 and 2011 should be zero.

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2009 actual	2010 est.	2011 est.
<b>Enacted/requested:</b>			
Budget Authority .....	560	545	559
Outlays .....	523	733	714
<b>Amounts included in baseline projection of current policy:</b>			
Budget Authority .....		61	61
Outlays .....			
<b>Total:</b>			
Budget Authority .....	560	606	620
Outlays .....	523	733	714

NHTSA provides grants for the following activities:

State highway safety programs designed to reduce traffic crashes and resulting deaths, injuries, and property damage.

Programs to reduce deaths and injuries from riding unrestrained or improperly restrained in motor vehicles.

Enactment and enforcement of laws requiring the use of safety belts in passenger motor vehicles. The Department proposes to establish a new incentive grant program for States that enact and enforce laws to prevent distracted driving, such as prohibiting texting while driving. States would be able to use up to \$50,000,000 for any safety activity authorized under Title 23, of which up to \$5,000,000 is reserved for media campaigns.

Adoption and implementation of effective programs to improve the timeliness, accuracy, completeness, uniformity, integration, and accessibility of State data that is needed to identify priorities for national, State, and local highway and traffic safety programs

Adoption and implement effective programs to reduce traffic safety problems resulting from individuals driving while under the influence of alcohol.

Enforcement of laws which impose child restraint requirements that meet Federal criteria under section 3 of Anton's Law (49 U.S.C. 30127 note; 116 Stat. 2772).

Reduction of the number of single and multi-vehicle crashes involving motorcyclists through motorcyclist safety training and motorcyclist awareness programs, including improvements to training curricula, delivery of training, recruitment or retention of motorcyclist safety instructors, and public awareness and outreach programs.

High-visibility traffic safety law enforcement campaigns to achieve one or both of the following objectives: (1) reduce alcohol-impaired or drug-impaired operation of motor vehicles; and/or (2) increase the use of safety belts by occupants of motor vehicles.

Administrative activities related to carrying out Chapter 4 of Title 23, United States Code, as well as supporting the National Occupant Protection User Survey (NOPUS) and Highway Safety Research programs.

**Object Classification** (in millions of dollars)

Identification code 69-8020-0-7-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	8	9
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services .....	8	9	9

41.0	Grants, subsidies, and contributions .....	601	601	601
99.0	Direct obligations .....	619	620	621
99.0	Reimbursable obligations .....	42		
99.9	Total new obligations .....	661	620	621

**Employment Summary**

Identification code 69-8020-0-7-401	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	76	83	87

**HIGHWAY TRAFFIC SAFETY GRANTS**

(Amounts included in baseline projection of current policy)

**Program and Financing** (in millions of dollars)

Identification code 69-8020-7-7-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			61
22.00 New budget authority (gross) .....		61	61
23.90 Total budgetary resources available for obligation .....		61	122
24.40 Unobligated balance carried forward, end of year .....		61	122

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
66.10 Contract authority .....		61	61

**Net budget authority and outlays:**

89.00 Budget authority .....		61	61
90.00 Outlays .....			

**Memorandum (non-add) entries:**

93.01 Unobligated balance, start of year: Contract authority .....			
93.02 Unobligated balance, end of year: Contract authority .....			
93.03 Obligated balance, start of year: Contract authority .....			
93.04 Obligated balance, end of year: Contract authority .....			

**ADMINISTRATIVE PROVISIONS—NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION**

[(INCLUDING RECISSIONS)]

SEC. 140. Notwithstanding any other provision of law or limitation on the use of funds made available under section 403 of title 23, United States Code, an additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.

SEC. 141. The limitations on obligations for the programs of the National Highway Traffic Safety Administration set in this Act shall not apply to obligations for which obligation authority was made available in previous public laws for multiple years but only to the extent that the obligation authority has not lapsed or been used.

SEC. 142. Of the amounts made available under the heading "Operations and Research (Liquidation of Contract Authorization) (Limitation on Obligations) (Highway Trust Fund)" in prior appropriations Acts, \$2,299,000 in unobligated balances are permanently rescinded.

SEC. 143. Of the amounts made available under the heading "Highway Traffic Safety Grants (Liquidation of Contract Authorization) (Limitation on Obligations) (Highway Trust Fund)" in prior appropriations Acts, \$14,004,000 in unobligated balances are permanently rescinded. (Department of Transportation Appropriations Act, 2010.)

**FEDERAL RAILROAD ADMINISTRATION**

The following tables show the funding for all Federal Railroad Administration programs:

[In millions of dollars]

	2009 Actual	2010 Enacted	2011 Est.
<b>Budget Authority:</b>			
Federal Railroad Operations (formerly Safety and Operations) .....	159	172	154
Offsetting Collections .....	0	0	-50
Federal Railroad Operations Net BA .....	0	0	104
Railroad Safety (formerly part of Safety and Operations) .....	0	0	49
Railroad Safety Technology Program .....	0	50	0
Railroad Research and Development .....	34	38	40
Rail Line Relocation .....	25	34	0
Intercity Passenger Rail Grant Program .....	90	0	0
Amtrak Office of Inspector General Grants .....	0	0	22
Capital and Debt Service Grants to Amtrak .....	940	1,002	1,052
Operating Subsidy Grants to Amtrak .....	550	563	563
Capital Grants to Amtrak (Recovery Act) .....	1,300	0	0
Capital Assistance for High Speed Rail and Intercity Passenger Grants .....	8,000	2,500	1,000
Grants to Amtrak .....	0	0	0
Efficiency Incentive Grants to Amtrak .....	0	0	0
Northeast Corridor Improvement Program .....	0	0	0
Railroad Rehabilitation and Repair Program .....	0	0	0
Pennsylvania Station Redevelopment Project .....	0	0	0
Next Generation High Speed Rail .....	0	0	0
Alaska Railroad Rehabilitation .....	0	0	0
<b>Total Budget Authority (net) .....</b>	<b>11,098</b>	<b>4,359</b>	<b>2,830</b>
<b>Outlays:</b>			
Federal Railroad Operations (formerly Safety and Operations) .....	106	196	221
Offsetting Collections .....	0	0	-50
Federal Railroad Operations Net Outlays .....	0	0	171
Railroad Safety (formerly part of Safety and Operations) .....	0	0	30
Railroad Safety Technology Program .....	0	0	30
Railroad Research and Development .....	34	39	38
Rail Line Relocation .....	0	40	40
Intercity Passenger Rail Grant Program .....	0	6	18
Amtrak Office of Inspector General Grants .....	0	0	22
Capital and Debt Service Grants to Amtrak .....	979	1,005	1,052
Operating Subsidy Grants to Amtrak .....	560	563	563
Capital Grants to Amtrak (Recovery Act) .....	99	941	260
Capital Assistance for High Speed Rail and Intercity Passenger Grants .....	2	388	1,225
Grants to Amtrak .....	1	9	0
Efficiency Incentive Grants to Amtrak .....	26	22	0
Northeast Corridor Improvement Program .....	0	6	0
Railroad Rehabilitation and Repair Program .....	0	20	0
Pennsylvania Station Redevelopment Project .....	0	5	24
Next Generation High-Speed Rail .....	3	11	11
Alaska Railroad Rehabilitation .....	0	1	0
<b>Total Outlays (net) .....</b>	<b>1,810</b>	<b>3,252</b>	<b>3,484</b>

**Federal Funds**

**[SAFETY AND OPERATIONS] FEDERAL RAILROAD OPERATIONS**

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, **[\$172,270,000] \$153,846,000**, of which **[\$12,300,000] \$5,492,000** shall remain available until expended and of which **\$50,000,000** shall be derived from railroad safety fees collected in fiscal year 2011, as provided in this Act: *Provided, That such railroad safety fees shall be credited as an offsetting collection to this account to remain available until expended for railroad safety activities: Provided further, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2011, so as to result in a final appropriation from the general fund estimated at \$103,846,000. (Department of Transportation Appropriations Act, 2010.)*

**Program and Financing** (in millions of dollars)

Identification code 69-0700-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Salaries and expenses .....	160	177	102
00.02 Contract support .....		1	1
00.06 Alaska railroad liabilities .....	1	1	1
01.00 Total direct program .....	161	179	104
09.01 Reimbursable services .....	64	12	50

09.99 Total reimbursable program .....	64	12	50
10.00 Total new obligations .....	225	191	154
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	9	7	
22.00 New budget authority (gross) .....	223	184	154
23.90 Total budgetary resources available for obligation .....	232	191	154
23.95 Total new obligations .....	-225	-191	-154
24.40 Unobligated balance carried forward, end of year .....	7		
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	159	172	104
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	64	12	50
70.00 Total new budget authority (gross) .....	223	184	154
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	123	203	186
73.10 Total new obligations .....	225	191	154
73.20 Total outlays (gross) .....	-173	-208	-221
73.32 Obligated balance transferred from other accounts .....	25		
74.10 Change in uncollected customer payments from Federal sources (expired) .....	3		
74.40 Obligated balance, end of year .....	203	186	119
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	139	139	123
86.93 Outlays from discretionary balances .....	34	69	98
87.00 Total outlays (gross) .....	173	208	221
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	-66	-12	
88.40 Non-Federal sources .....	-1		-50
88.90 Total, offsetting collections (cash) .....	-67	-12	-50
<b>Against gross budget authority only:</b>			
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	3		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	159	172	104
90.00 Outlays .....	106	196	171

In 2011, the FRA proposes the elimination of the Safety and Operations account and the establishment of two new accounts: (1) the Railroad Safety account, which will fund all rail safety program activities for the new and expanded mission of the Office of Railroad Safety as prescribed in Division A of the Railroad Safety Improvement Act (RSIA) of 2008 and (2) the Federal Railroad Operations account, which will fund FRAs management and administration costs. The Federal Railroad Operations account is a new account to be established in 2011 to fund FRA's management and administrative costs in the following activities:

**Salaries and expenses.**—Provides support for FRA all administrative and operating activities related to FRA staff and programs.

**Contract support.**—Provides support for policy-oriented economic, industry, and systems analysis.

**Alaska Railroad Liabilities.**—Provides reimbursement to the Department of Labor for compensation payments to former Federal employees of the Alaska Railroad who were on the rolls during the period of Federal ownership and support for clean-up activities at hazardous waste sites located at properties once owned by the FRA. The 2011 request is for workers' compensation.

In 2011, this account includes a proposal to establish a new rail safety user fee. The fee is meant to recoup the cost of FRA rail safety inspectors. It is envisioned the fee would be phased in

starting in 2011, and that fee collections would increase in subsequent years.

**Object Classification** (in millions of dollars)

Identification code 69-0700-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	77	79	32
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	4
11.9 Total personnel compensation .....	80	82	37
12.1 Civilian personnel benefits .....	23	24	24
21.0 Travel and transportation of persons .....	10	9	9
23.1 Rental payments to GSA .....	5	6	5
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
25.2 Other services .....	9	18	6
25.3 Other purchases of goods and services from Government accounts .....	23	25	8
25.7 Operation and maintenance of equipment .....	4	9	9
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	2	3
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	161	179	104
99.0 Reimbursable obligations .....	64	12	50
99.9 Total new obligations .....	225	191	154

**Employment Summary**

Identification code 69-0700-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	817	895	948

**RAILROAD SAFETY**

For necessary expenses for railroad safety programs and activities, \$49,502,000, to remain available until September 30, 2014.

**Program and Financing** (in millions of dollars)

Identification code 69-0702-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Railroad Safety .....			50
01.00 Railroad Safety .....			50
10.00 Total new obligations .....			50
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			50
23.95 Total new obligations .....			-50
24.40 Unobligated balance carried forward, end of year .....			
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....			50
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			50
73.20 Total outlays (gross) .....			-30
74.40 Obligated balance, end of year .....			20
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			30
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			50
90.00 Outlays .....			30

In 2011, the FRA proposes the elimination of the Safety and Operations account and the establishment of two new accounts: (1) the Railroad Safety account, which will fund all rail safety

program activities for the new and expanded mission of the Office of Railroad Safety as prescribed in Division A of the Railroad Safety Improvement Act (RSIA) of 2008 and (2) the Federal Railroad Operations account, which will fund FRAs management and administration costs. As proposed, the new Railroad Safety account provides resources necessary to fund FRAs essential railroad safety activities such as: the risk reduction program, automated track inspection program, railroad safety inspection system, and safety inspector program.

**Object Classification** (in millions of dollars)

Identification code 69-0702-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....			4
25.2 Other services .....			37
41.0 Grants, subsidies, and contributions .....			9
99.9 Total new obligations .....			50

**RAILROAD RESEARCH AND DEVELOPMENT**

For necessary expenses for railroad research and development, \$37,613,000 \$40,000,000, to remain available until expended. (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69-0745-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Railroad system issues .....	3	4	4
00.02 Human factors .....	3	4	3
00.03 Rolling stock and components .....	2	7	3
00.04 Track and structures .....	4	6	5
00.05 Track and train interaction .....	2	5	4
00.06 Train control .....	8	10	8
00.07 Grade crossings .....	2	2	2
00.08 Hazardous materials transportation .....	1	2	2
00.09 Train occupant protection .....	5	5	5
00.10 R&D facilities and test equipment .....	2	3	3
00.11 Marshall U/U of Nebraska .....	1		
00.12 PEERS, IL .....	1		
00.13 Rail Cooperative Reserach Program .....			1
01.00 Total direct program .....	34	48	40
09.10 Reimbursable program .....	3	5	
10.00 Total new obligations .....	37	53	40
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	10	10	
22.00 New budget authority (gross) .....	37	43	40
23.90 Total budgetary resources available for obligation .....	47	53	40
23.95 Total new obligations .....	-37	-53	-40
24.40 Unobligated balance carried forward, end of year .....	10		
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	34	38	40
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	3	5	
70.00 Total new budget authority (gross) .....	37	43	40
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	52	52	61
73.10 Total new obligations .....	37	53	40
73.20 Total outlays (gross) .....	-37	-44	-38
74.40 Obligated balance, end of year .....	52	61	63
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	10	13	12
86.93 Outlays from discretionary balances .....	27	31	26
87.00 Total outlays (gross) .....	37	44	38

RAILROAD RESEARCH AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 69-0745-0-1-401	2009 actual	2010 est.	2011 est.
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-3	-5	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	34	38	40
90.00 Outlays .....	34	39	38

The Railroad Research and Development Program provides science and technology support for FRA's rail safety rulemaking and enforcement efforts. It also stimulates technological advances in conventional and high speed railroads. The program focuses on the following areas of research:

*Railroad system issues.*—Provides for research in railroad system safety, performance-based regulations, railroad systems and infrastructure security, railroad environmental issues, and locomotive R&D.

*Human factors.*—Provides for research in train operations, and yard and terminal accidents and incidents.

*Rolling stock and components.*—Provides for research in on-board monitoring systems, wayside monitoring systems, and material and design improvements.

*Track and structures.*—Provides for research in inspection techniques, material and component reliability, track and structure design and performance, and track stability data processing and feedback.

*Track and train interaction.*—Provides for research in derailment mechanisms, and vehicle-track performance.

*Train control.*—Provides for research in train control test and evaluation.

*Grade crossings.*—Provides for research in grade crossing human factors and infrastructure.

*Hazardous materials transportation.*—Provides for research in hazmat transportation safety, damage assessment and inspection, and tank car safety.

*Train occupant protection.*—Provides for research in locomotive safety, and passenger car safety and performance.

*R&D facilities and test equipment.*—Provides support to the Transportation Technology Center (TTC) and the track research instrumentation platform. The TTC is a Government-owned facility near Pueblo, Colorado, operated by the Association of American Railroads under a contract for care, custody and control.

*Rail Cooperative Research Program.*—Enables the FRA to (1) efficiently gather inputs from all stakeholders (e.g.: railroads, states, technology providers and university researchers) in the nations rail transportation system to establish research priorities; and, (2) accelerate the real-world impact of FRA's Research and Development Program by strengthening and broadening the academic and industrial railroad technical communities.

Object Classification (in millions of dollars)

Identification code 69-0745-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
25.2 Other services .....	2	9	4
25.3 Other purchases of goods and services from Government accounts .....	3	.....	.....
25.4 Operation and maintenance of facilities .....	2	3	4
25.5 Research and development contracts .....	20	34	31
41.0 Grants, subsidies, and contributions .....	7	2	1
99.0 Direct obligations .....	34	48	40
99.0 Reimbursable obligations .....	3	5	.....

99.9 Total new obligations .....	37	53	40
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PENNSYLVANIA STATION REDEVELOPMENT PROJECT

Program and Financing (in millions of dollars)

Identification code 69-0723-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Pennsylvania Station redevelopment project .....	.....	60	.....
10.00 Total new obligations (object class 41.0) .....	.....	60	.....
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	60	60	.....
23.95 Total new obligations .....	.....	-60	.....
24.40 Unobligated balance carried forward, end of year .....	60	.....	.....
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	.....	.....	55
73.10 Total new obligations .....	.....	60	.....
73.20 Total outlays (gross) .....	.....	-5	-24
74.40 Obligated balance, end of year .....	.....	55	31
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	.....	5	24
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	.....	5	24

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, an advance appropriation of \$20 million was provided for 2001, 2002, and 2003. In 2001, Congress specified that the \$20 million advance appropriation provided in 2000 for the Farley Building, was to be used exclusively for fire and life safety initiatives. No new funds are requested for this program in 2011.

ALASKA RAILROAD REHABILITATION

Program and Financing (in millions of dollars)

Identification code 69-0730-0-1-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	.....
73.20 Total outlays (gross) .....	.....	-1	.....
74.40 Obligated balance, end of year .....	1	.....	.....
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	.....	1	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	.....	1	.....

These funds have historically been earmarked under the Department of Defense Appropriation for direct payments to the Alaska railroad. No new funds are requested for this program in 2011.

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 69-0704-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Capital Grants - Recovery Act Capital Investment .....	842	6	.....
00.02 Capital Grants — Recovery Act Security Investments .....	446	.....	.....
00.03 Capital Grant — Recovery Act — Amtrak Inspector General .....	5	.....	.....
00.04 Amtrak Asset Valuation .....	1	1	.....
10.00 Total new obligations .....	1,294	7	.....
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	7	.....
22.00 New budget authority (gross) .....	1,300	.....	.....
23.90 Total budgetary resources available for obligation .....	1,301	7	.....
23.95 Total new obligations .....	-1,294	-7	.....
24.40 Unobligated balance carried forward, end of year .....	7	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1,300	.....	.....
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	1,202	260
73.10 Total new obligations .....	1,294	7	.....
73.20 Total outlays (gross) .....	-100	-949	-260
74.40 Obligated balance, end of year .....	1,202	260	.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	99	.....	.....
86.93 Outlays from discretionary balances .....	1	949	260
87.00 Total outlays (gross) .....	100	949	260
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,300	.....	.....
90.00 Outlays .....	100	949	260

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for profit corporation with all Board members appointed by the Executive Branch of the Federal Government, with the advice and consent of the Senate. Amtrak is not an agency or instrument of the U.S. Government. Since 2006, federal resources specifically for Amtrak have been provided through separate appropriation accounts for capital, operating, and efficiency incentive grants.

In 2009, the American Recovery and Reinvestment Act (ARRA) provided \$1.3 billion to Amtrak for capital grants. Of which \$450 million was designated for capital security grants to fund enhancements in situational awareness, Improved Explosive Device (IED) and Vehicle Borne Improvised Explosive Device detection, risk assessment/risk reduction cycle optimization (when vulnerabilities are discovered), and quick response communications within the intercity passenger rail network. The remaining \$850 million was for projects that remediate vulnerabilities in the system's physical infrastructure and enhance national incident management and risk mitigation capabilities in the intercity passenger rail network. No new funds are requested in this account for 2011.

Object Classification (in millions of dollars)

Identification code 69-0704-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
25.2 Other services .....	.....	6	.....
25.5 Research and development contracts .....	1	1	.....
41.0 Grants, subsidies, and contributions .....	1,293	.....	.....

99.9 Total new obligations .....	1,294	7	.....
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OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

To enable the Secretary of Transportation to make quarterly grants to the National Railroad Passenger Corporation for the operation of intercity passenger rail, as authorized by section 101 of the Passenger Rail Investment and Improvement Act of 2008 (division B of Public Law 110-432), \$563,000,000, to remain available until expended: Provided, That each grant request shall be accompanied by a detailed financial analysis, revenue projection, and capital expenditure projection justifying the Federal support to the Secretary's satisfaction: Provided further, That concurrent with the President's budget request for fiscal year 2012, the Corporation shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2012 in similar format and substance to those submitted by executive agencies of the Federal Government.

Program and Financing (in millions of dollars)

Identification code 69-0121-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Operating subsidy grants .....	550	563	563
10.00 Total new obligations (object class 41.0) .....	550	563	563
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	550	563	563
23.95 Total new obligations .....	-550	-563	-563
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	550	563	563
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	10	.....	.....
73.10 Total new obligations .....	550	563	563
73.20 Total outlays (gross) .....	-560	-563	-563
74.40 Obligated balance, end of year .....	.....	.....	.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	550	563	563
86.93 Outlays from discretionary balances .....	10	.....	.....
87.00 Total outlays (gross) .....	560	563	563
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	550	563	563
90.00 Outlays .....	560	563	563

This account includes funds for Operating Subsidy Grants to the National Passenger Railroad Corporation. This account no longer includes funds for the Amtrak Office of Inspector General. In 2011, FRA is proposing to directly fund the operating costs of the Amtrak Office of Inspector General under a separate account.

PAYMENT TO THE AMTRAK INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, \$22,000,000, as provided in section 101(b) of the Passenger Rail Investment and Improvement Act of 2008, division B of Public Law 110-432.

Program and Financing (in millions of dollars)

Identification code 69-2809-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Amtrak IG Grant .....	.....	.....	22

**PAYMENT TO THE AMTRAK INSPECTOR GENERAL—Continued**  
**Program and Financing—Continued**

Identification code 69-2809-0-1-401	2009 actual	2010 est.	2011 est.
10.00 Total new obligations (object class 41.0)			22
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			22
23.95 Total new obligations			-22
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation			22
<b>Change in obligated balances:</b>			
73.10 Total new obligations			22
73.20 Total outlays (gross)			-22
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority			22
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			22
90.00 Outlays			22

This account is new in 2011 and will fund all operating costs of the Amtrak Office of Inspector General.

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

To enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation for capital investments as authorized by section 101(c) and 219 (b) of the Passenger Rail Investment and Improvement Act of 2008 (division B of Public Law 110-432), **[\$1,001,625,000] \$1,052,000,000**, to remain available until expended, of which not to exceed **[\$264,000,000] \$288,000,000** shall be for debt service obligations as authorized by section 102 of such Act: *Provided*, That **[grants]** after an initial **[allocation]** distribution of up to \$200,000,000 which shall be used by the Corporation as a working capital account, all remaining funds shall be provided to the Corporation only on a reimbursable basis: *Provided further*, That the Secretary may retain up to one-half of 1 percent of the funds provided under this heading to fund the costs of project management oversight of capital projects funded by grants provided under this heading, as authorized by subsection 101(d) of division B of Public Law 110-432: *Provided further*, That the Secretary shall approve funding for capital expenditures, including advance purchase orders of materials, for the Corporation only after receiving and reviewing a grant request for each specific capital project justifying the Federal support to the Secretary's satisfaction: *Provided further*, That none of the funds under this heading may be used to subsidize operating losses of the Corporation: *Provided further*, That none of the funds under this heading may be used for capital projects not approved by the Secretary of Transportation or on the Corporation's fiscal year 2010 business plan: *Provided further*, That in addition to the project management oversight funds authorized under section 101(d) [of] of division B of Public Law 110-432, the Secretary may retain up to an additional one-half of one percent of the funds provided under this heading to fund expenses associated with implementing section 212 [of] of division B of Public Law 110-432, including the amendments made by section 212 to section 24905 of title 49, United States Code, and other mandates of Division B of Public Law 110-432. (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69-0125-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 General Capital Improvements	645	728	764
00.02 Debt Service Grants	329	264	285
00.05 Contract Oversight		13	3

10.00 Total new obligations	974	1,005	1,052
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	37	3	
22.00 New budget authority (gross)	940	1,002	1,052
23.90 Total budgetary resources available for obligation	977	1,005	1,052
23.95 Total new obligations	-974	-1,005	-1,052
24.40 Unobligated balance carried forward, end of year	3		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation	940	1,002	1,052
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	5		
73.10 Total new obligations	974	1,005	1,052
73.20 Total outlays (gross)	-979	-1,005	-1,052
74.40 Obligated balance, end of year			
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	939	1,002	1,052
86.93 Outlays from discretionary balances	40	3	
87.00 Total outlays (gross)	979	1,005	1,052
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	940	1,002	1,052
90.00 Outlays	979	1,005	1,052

This account includes funds for grants to the National Passenger Railroad Corporation for capital and debt services requirements.

**Object Classification** (in millions of dollars)

Identification code 69-0125-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
25.2 Other services		13	3
41.0 Grants, subsidies, and contributions	974	992	1,049
99.9 Total new obligations	974	1,005	1,052

**EMERGENCY RAILROAD REHABILITATION AND REPAIR**

**Program and Financing** (in millions of dollars)

Identification code 69-0124-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Emergency Railroad Rehabilitation and Repair		20	
10.00 Total new obligations (object class 41.0)		20	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	20	20	
23.95 Total new obligations		-20	
24.40 Unobligated balance carried forward, end of year	20		
<b>Change in obligated balances:</b>			
73.10 Total new obligations		20	
73.20 Total outlays (gross)		-20	
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances		20	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays		20	

Funding for this program was provided in a supplemental appropriation in 2008. This program provides discretionary grants to States to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and other natural disasters in areas for which the President declared a major disaster under title IV of the Robert T. Stafford Disaster Relief and

Emergency Assistance Act of 1974. In 2011, no new funding is requested for this program.

EFFICIENCY INCENTIVE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 69-0120-0-1-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year	48	22
73.20	Total outlays (gross)	-26	-22
74.40	Obligated balance, end of year	22	
<b>Outlays (gross), detail:</b>			
86.93	Outlays from discretionary balances	26	22
<b>Net budget authority and outlays:</b>			
89.00	Budget authority		
90.00	Outlays	26	22

Resources in this account are provided to the Secretary of Transportation to make grants to the National Passenger Railroad Corporation (Amtrak) for operating expenses contingent upon efficiency gains. No new funds are requested for this program in 2011.

INTERCITY PASSENGER RAIL GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 69-0715-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Intercity passenger rail grants	28	92
10.00	Total new obligations (object class 41.0)	28	92
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year	30	92
22.00	New budget authority (gross)	90	
23.90	Total budgetary resources available for obligation	120	92
23.95	Total new obligations	-28	-92
24.40	Unobligated balance carried forward, end of year	92	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation	90	
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year		28
73.10	Total new obligations	28	92
73.20	Total outlays (gross)		-6
74.40	Obligated balance, end of year	28	114
<b>Outlays (gross), detail:</b>			
86.93	Outlays from discretionary balances		6
<b>Net budget authority and outlays:</b>			
89.00	Budget authority	90	
90.00	Outlays		6

This competitive grant program encourages state participation in its passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of Statewide transportation

planning as required under 23 U.S.C. 135. Additionally, the specific project has to be on the Statewide Transportation Improvement Plan at the time of application. No new funds are requested for this program in 2011, though similar grants are available through FRA's Capital Assistance for High Speed Rail Corridors account.

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE

To enable the Secretary of Transportation to make grants for high-speed rail projects as authorized under section 26106 of title 49, United States Code, capital investment grants to support intercity passenger rail service as authorized under section 24406 of title 49, United States Code, and congestion grants as authorized under section 24105 of title 49, United States Code, and to enter into cooperative agreements for these purposes as authorized, [ \$2,500,000,000 ] \$1,000,000,000, to remain available until expended: *Provided*, That up to \$50,000,000 of funds provided under this paragraph are available to the Administrator of the Federal Railroad Administration to fund the award and oversight by the Administrator of grants and cooperative agreements for intercity and high-speed rail: *Provided further*, That up to \$30,000,000 of the funds provided under this paragraph are available to the Administrator for the purposes of conducting research and demonstrating technologies supporting the development of high-speed rail in the United States, including the demonstration of next-generation rolling stock fleet technology and the implementation of the Rail Cooperative Research Program authorized by section 24910 of title 49, United States Code: *Provided further*, That up to \$50,000,000 of the funds provided under this paragraph may be used for planning activities that lead directly to the development of a passenger rail corridor investment plan consistent with the requirements established by the Administrator or a state rail plan consistent with chapter 227 of title 49, United States Code: *Provided further*, That the Secretary may retain a portion of the funds made available for planning activities under the previous proviso to facilitate the preparation of a service development plan and related environmental impact statement for high-speed corridors located in multiple States: [ *Provided further*, That the Secretary shall issue interim guidance to applicants covering application procedures and administer the grants provided under this heading pursuant to that guidance until final regulations are issued: *Provided further*, That not less than 85 percent of the funds provided under this heading shall be for cooperative agreements that lead to the development of entire segments or phases of intercity or high-speed rail corridors: *Provided further*, That the Secretary shall submit to Congress the national rail plan required by section 103(j) of title 49, United States Code, no later than September 15, 2010: ] *Provided further*, That at least 30 days prior to issuing a letter of intent or cooperative agreement pursuant to Section 24402(f) of title 49, United States Code, for a major corridor development program, the Secretary shall provide to the House and Senate Committees on Appropriations written notification consisting of a business and public investment case for the proposed corridor program which shall include: a comprehensive analysis of the monetary and non-monetary costs and benefits of the corridor development program; an assessment of ridership, passenger travel time reductions, congestion relief benefits, environmental benefits, economic benefits, and other public benefits; operating financial forecasts for the program; a full capital cost estimation for the entire project, including the amount, source and security of non-Federal funds to complete the project; a summary of the grants management plan and an evaluation of the grantee's ability to sustain the project: *Provided further*, That the Federal share payable of the costs for which a grant or cooperative agreements is made under this heading shall not exceed 80 percent: *Provided further*, That in addition to the provisions of title 49, United States Code, that apply to each of the individual programs funded under this heading, subsections 24402(a)(2), 24402(f), 24402(i), and 24403(a) and (c) of title 49, United States Code, shall also apply to the provision of funds provided under this heading: *Provided further*, That a project need not be in a State rail plan developed under Chapter 227 of title 49, United States Code, to be eligible for assistance under this heading: *Provided further*, That recipients of grants under this paragraph shall conduct all procurement transactions using such grant funds in a

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE—Continued

manner that provides full and open competition, as determined by the Secretary, in compliance with existing labor agreements. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-0718-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Discretionary Grants, Recovery Act .....		4,475	3,505
00.02 Administrative Oversight .....	5	8	7
00.03 Discretionary Grants .....		1,225	2,215
00.04 Oversight HSR .....		25	35
10.00 Total new obligations .....	5	5,733	5,762
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		7,995	4,762
22.00 New budget authority (gross) .....	8,000	2,500	1,000
23.90 Total budgetary resources available for obligation .....	8,000	10,495	5,762
23.95 Total new obligations .....	-5	-5,733	-5,762
24.40 Unobligated balance carried forward, end of year .....	7,995	4,762	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	8,000	2,500	1,000
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		3	5,348
73.10 Total new obligations .....	5	5,733	5,762
73.20 Total outlays (gross) .....	-2	-388	-1,225
74.40 Obligated balance, end of year .....	3	5,348	9,885
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	100	40
86.93 Outlays from discretionary balances .....		288	1,185
87.00 Total outlays (gross) .....	2	388	1,225
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8,000	2,500	1,000
90.00 Outlays .....	2	388	1,225

Through this program, FRA provides capital grants to states to invest in high-speed passenger rail capacity. Through this appropriation, FRA also may provide grants for intercity passenger rail capital projects unrelated to high-speed rail service. This investment addresses five primary transportation priorities: (1) optimize existing transportation infrastructure; (2) build a foundation for economic competitiveness; (3) promote energy efficiency and environmental quality; (4) support inter-connected, livable communities; and (5) ensure safe transportation.

Object Classification (in millions of dollars)

Identification code 69-0718-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
25.2 Other services .....	5	33	42
41.0 Grants, subsidies, and contributions .....		5,700	5,720
99.9 Total new obligations .....	5	5,733	5,762

NEXT GENERATION HIGH-SPEED RAIL

Program and Financing (in millions of dollars)

Identification code 69-0722-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.03 Grade crossing hazard mitigation/low-cost innovative technologies .....		4	
00.05 Corridor planning .....		5	

10.00 Total new obligations (object class 25.3) .....		9	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	8	9	
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	9	9	
23.95 Total new obligations .....		-9	
24.40 Unobligated balance carried forward, end of year .....	9		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	17	13	11
73.10 Total new obligations .....		9	
73.20 Total outlays (gross) .....	-3	-11	-11
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	13	11	
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	3	11	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	3	11	11

The Next Generation High-Speed Rail Program funds: research, development, and technology demonstration programs and the planning and analysis required to evaluate technology proposals under the program. No new funds are requested for this program in 2011.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 69-0123-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Northeast corridor improvement program .....	2	4	
10.00 Total new obligations (object class 25.2) .....	2	4	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	6	4	
23.95 Total new obligations .....	-2	-4	
24.40 Unobligated balance carried forward, end of year .....	4		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	3	
73.10 Total new obligations .....	2	4	
73.20 Total outlays (gross) .....		-7	
74.40 Obligated balance, end of year .....	3		
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....		7	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....		7	

This program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, D.C. and Boston. Since 2001, capital funding has been provided in the Amtrak appropriation.

RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM

[For necessary expenses of carrying out section 20154 of title 49, United States Code, \$34,532,000, to remain available until expended.] (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-0716-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Rail line relocation .....		80	
10.00 Total new obligations (object class 41.0) .....		80	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	20	45	
22.00 New budget authority (gross) .....	25	35	
23.90 Total budgetary resources available for obligation .....	45	80	
23.95 Total new obligations .....		-80	
24.40 Unobligated balance carried forward, end of year .....	45		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	25	35	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			40
73.10 Total new obligations .....		80	
73.20 Total outlays (gross) .....		-40	-40
74.40 Obligated balance, end of year .....		40	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		18	
86.93 Outlays from discretionary balances .....		22	40
87.00 Total outlays (gross) .....		40	40
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	25	35	
90.00 Outlays .....		40	40

This program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. No new funds are requested for this program in 2011.

**【RAILROAD SAFETY TECHNOLOGY PROGRAM】**

【For necessary expenses of carrying out section 20158 of title 49, United States Code, \$50,000,000, to remain available until expended: *Provided*, That to be eligible for assistance under this heading, an entity need not have developed plans required under subsection 20156(e)(2) of title 49, United States Code, and section 20157 of such title.】 (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-0701-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Railroad Safety Technology Program .....		50	
10.00 Total new obligations (object class 41.0) .....		50	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		50	
23.95 Total new obligations .....		-50	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		50	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			50
73.10 Total new obligations .....		50	
73.20 Total outlays (gross) .....			-30
74.40 Obligated balance, end of year .....		50	20
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....			30

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		50	
90.00 Outlays .....			30

The Railroad Safety Technology Program provides competitive grants for the deployment of train control technologies to passenger and freight rail carriers, railroad suppliers, and State and local governments for projects that have a public benefit of improved railroad safety and efficiency. Projects may include the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new technologies to improve the safety of railroad systems. Priority is given to projects that make technologies interoperable between railroad systems; accelerate the deployment of train control technology on high risk corridors, such as those that have high volumes of hazardous materials shipments, or over which commuter or passenger trains operate; or benefit both passenger and freight safety and efficiency. Entities need not have developed plans required under 49 U.S.C. 20156(e)(2) and 20157. However, in order to qualify for a grant under this program, all applicants must demonstrate that they are currently developing the required plans.

**RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM**

The Secretary of Transportation is authorized to issue to the Secretary of the Treasury notes or other obligations pursuant to section 512 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, in such amounts and at such times as may be necessary to pay any amounts required pursuant to the guarantee of the principal amount of obligations under sections 511 through 513 of such Act, such authority to exist as long as any such guaranteed obligation is outstanding: *Provided*, That pursuant to section 502 of such Act, as amended, no new direct loans or loan guarantee commitments shall be made using Federal funds for the credit risk premium during fiscal year 【2010】 2011. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-0750-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.05 Upward Reestimate .....	6	16	
00.06 Interest on reestimates of direct loan subsidy .....	11	2	
10.00 Total new obligations .....	17	18	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	17	18	
23.95 Total new obligations .....	-17	-18	
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	17	18	
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	17	18	
73.20 Total outlays (gross) .....	-17	-18	
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	17	18	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	17	18	
90.00 Outlays .....	17	18	

**RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM—Continued**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 69-0750-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Railroad Rehabilitation and Improvement Financing Direct Loans	104	600	600
115999 Total direct loan levels	104	600	600
<b>Direct loan subsidy (in percent):</b>			
132001 Railroad Rehabilitation and Improvement Financing Direct Loans	0.00	0.00	0.00
<b>Direct loan upward reestimates:</b>			
135001 Railroad Rehabilitation and Improvement Financing Direct Loans	17	18	.....
135999 Total upward reestimate budget authority	17	18	.....
<b>Direct loan downward reestimates:</b>			
137001 Railroad Rehabilitation and Improvement Financing Direct Loans	-19	-16	.....
137999 Total downward reestimate budget authority	-19	-16	.....
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215002 Railroad Rehabilitation and Improvement Financing Guarantees	.....	100	100
215999 Total loan guarantee levels	.....	100	100
<b>Guaranteed loan subsidy (in percent):</b>			
232002 Railroad Rehabilitation and Improvement Financing Guarantees	0.00	0.00	0.00

Data above includes funds for the Railroad Rehabilitation and Improvement (RRIF) Program. The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing (RRIF) loan and loan guarantee program. SAFETEA-LU amended the program to allow direct loan and loan guarantees up to \$35,000,000,000 and required that not less than \$7,000,000,000 shall be reserved for projects primarily benefiting freight railroads other than class I carriers. The funding may be used: (1) to acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; (2) to refinance debt; or (3) to develop and establish new intermodal or railroad facilities.

No Federal appropriation is required, since a non-Federal infrastructure partner may contribute the subsidy amount required by the Credit Reform Act of 1990 in the form of a credit risk premium. Once received, statutorily established investigation charges are immediately available for appraisals and necessary determinations and findings.

**Object Classification** (in millions of dollars)

Identification code 69-0750-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
33.0 Investments and loans	6	16	.....
43.0 Interest and dividends	11	2	.....
99.9 Total new obligations	17	18	.....

**RRIF GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4288-0-3-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	.....	.....	3
22.00 New financing authority (gross)	.....	3	3
23.90 Total budgetary resources available for obligation	.....	3	6
24.40 Unobligated balance carried forward, end of year	.....	3	6

**New financing authority (gross), detail:**

<b>Mandatory:</b>			
69.00 Offsetting collections (credit risk premium)	.....	3	3
<b>Offsets:</b>			
<b>Against gross financing authority and financing disbursements:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources	.....	-3	-3
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority	.....	.....	.....
90.00 Financing disbursements	.....	-3	-3

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 69-4288-0-3-401	2009 actual	2010 est.	2011 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders	.....	.....	.....
2131 Guaranteed loan commitments exempt from limitation	.....	100	100
2150 Total guaranteed loan commitments	.....	100	100
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year	.....	.....	95
2231 Disbursements of new guaranteed loans	.....	100	100
2251 Repayments and prepayments	.....	-5	-5
2290 Outstanding, end of year	.....	95	190
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	.....	95	190

The RRIF program was established by the Transportation Equity Act for the 21st Century (TEA-21) and amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU). RRIF program funds may be used to acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings and shops.

**RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4420-0-3-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Direct loans	104	600	600
00.02 Interest to Treasury	19	38	38
00.91 Subtotal, direct loans and interest	123	638	638
08.02 Downward reestimate	14	2	.....
08.04 Interest on downward reestimate	5	14	.....
08.91 Subtotal, downward reestimate and interest on downward reestimate	19	16	.....
10.00 Total new obligations	142	654	638
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1	4	4
22.00 New financing authority (gross)	145	654	636
23.90 Total budgetary resources available for obligation	146	658	640
23.95 Total new obligations	-142	-654	-638
24.40 Unobligated balance carried forward, end of year	4	4	2

**New financing authority (gross), detail:**

<b>Mandatory:</b>			
67.10 Authority to borrow	124	600	600
69.00 Offsetting collections (interest on uninvested funds)	3	3	3
69.00 Offsetting collections (principal-borrowers)	9	60	60
69.00 Offsetting collections (upward reestimate)	17	18	.....
69.00 Offsetting collections (interest-borrowers)	14	27	27
69.00 Offsetting collections (cash)	2	6	6
69.47 Portion applied to repay debt	-24	-60	-60

69.90	Spending authority from offsetting collections (total mandatory) .....	21	54	36
70.00	Total new financing authority (gross) .....	145	654	636
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	82	89	89
73.10	Total new obligations .....	142	654	638
73.20	Total financing disbursements (gross) .....	-135	-654	-636
74.40	Obligated balance, end of year .....	89	89	91
<b>Outlays (gross), detail:</b>				
87.00	Total financing disbursements (gross) .....	135	654	636
<b>Offsets:</b>				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-17	-18	.....
88.25	Interest on uninvested funds .....	-3	-3	-3
88.40	Credit Risk Premium .....	-2	-6	-6
88.40	Principal Repayment .....	-9	-60	-60
88.40	Interest Repayment .....	-14	-27	-27
88.90	Total, offsetting collections (cash) .....	-45	-114	-96
<b>Net financing authority and financing disbursements:</b>				
89.00	Financing authority .....	100	540	540
90.00	Financing disbursements .....	90	540	540

**Status of Direct Loans** (in millions of dollars)

Identification code 69-4420-0-3-401	2009 actual	2010 est.	2011 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1111	Limitation on direct loans .....	.....	.....	
1131	Direct loan obligations exempt from limitation .....	104	600	600
1150	Total direct loan obligations .....	104	600	600
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	288	375	915
1231	Disbursements: Direct loan disbursements .....	96	600	600
1251	Repayments: Repayments and prepayments .....	-9	-60	-60
1263	Write-offs for default: Direct loans .....	.....	.....	.....
1290	Outstanding, end of year .....	375	915	1,455

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 69-4420-0-3-401	2008 actual	2009 actual	
<b>ASSETS:</b>			
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	288	375
1499	Net present value of assets related to direct loans .....	288	375
1999	Total assets .....	288	375
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other .....	288	375
2999	Total liabilities .....	288	375
4999	Total liabilities and net position .....	288	375

**RAILROAD REHABILITATION AND IMPROVEMENT LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4411-0-3-401	2009 actual	2010 est.	2011 est.	
<b>Obligations by program activity:</b>				
00.01	Interest to Treasury .....	1	1	.....
10.00	Total new obligations (object class 43.0) .....	1	1	.....

<b>Budgetary resources available for obligation:</b>				
23.95	Total new obligations .....	-1	-1	.....
<b>New budget authority (gross), detail:</b>				
Mandatory:				
69.00	Offsetting collections (cash) .....	8	4	3
69.27	Capital transfer to general fund .....	-8	-4	-3
69.90	Spending authority from offsetting collections (total mandatory) .....	.....	.....	.....
<b>Change in obligated balances:</b>				
73.10	Total new obligations .....	1	1	.....
73.20	Total outlays (gross) .....	-1	-1	.....
<b>Outlays (gross), detail:</b>				
86.98	Outlays from mandatory balances .....	1	1	.....
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-8	-4	-3
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	-8	-4	-3
90.00	Outlays .....	-7	-3	-3

**Status of Direct Loans** (in millions of dollars)

Identification code 69-4411-0-3-401	2009 actual	2010 est.	2011 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	13	7	3
1251	Repayments: Repayments and prepayments .....	-6	-4	-3
1290	Outstanding, end of year .....	7	3	.....

This account shows credit activity that occurred prior to the passage of the Federal Credit Reform Act, including:

**Section 505—Redeemable preference shares.**—Authority for the section 505 redeemable preference shares program expired on September 30, 1988. The account reflects actual and projected outlays resulting from payments of principal and interest as well as repurchases of redeemable preference shares and the sale of redeemable preference shares to the private sector.

**Section 511—Loan repayments.**—This program reflects repayments of principal and interest on outstanding borrowings by the railroads to the Federal Financing Bank under the section 511 loan guarantee program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program accounts and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 69-4411-0-3-401	2008 actual	2009 actual	
<b>ASSETS:</b>			
1601	Direct loans, gross .....	13	7
1602	Interest receivable .....	1	1
1699	Value of assets related to direct loans .....	14	8
1999	Total assets .....	14	8
<b>LIABILITIES:</b>			
Federal liabilities:			
2102	Interest payable .....	1	1
2103	Debt .....	13	7
2999	Total liabilities .....	14	8

RAILROAD REHABILITATION AND IMPROVEMENT LIQUIDATING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 69-4411-0-3-401	2008 actual	2009 actual
4999 Total liabilities and net position .....	14	8

## ADMINISTRATIVE PROVISIONS—FEDERAL RAILROAD ADMINISTRATION

【SEC. 151. The Secretary may purchase promotional items of nominal value for use in public outreach activities to accomplish the purposes of 49 U.S.C. 20134: *Provided*, That the Secretary shall prescribe guidelines for the administration of such purchases and use.】

【SEC. 152. Hereafter, notwithstanding any other provision of law, funds provided in this Act for the National Railroad Passenger Corporation shall immediately cease to be available to said Corporation in the event that the Corporation contracts to have services provided at or from any location outside the United States. For purposes of this section, the word "services" shall mean any service that was, as of July 1, 2006, performed by a full-time or part-time Amtrak employee whose base of employment is located within the United States.】

SEC. 【153】151. The Secretary of Transportation may receive and expend cash, or receive and utilize spare parts and similar items, from non-United States Government sources to repair damages to or replace United States Government owned automated track inspection cars and equipment as a result of third party liability for such damages, and any amounts collected under this section shall be credited directly to the 【Safety and Operations】 *Railroad Safety* account of the Federal Railroad Administration, and shall remain available until expended for the repair, operation and maintenance of automated track inspection cars and equipment in connection with the automated track inspection program.

【SEC. 154. The Administrator of the Federal Railroad Administration shall submit a report on April 1, 2010, and quarterly reports thereafter, to the House and Senate Committees on Appropriations detailing the Administrator's efforts at improving the on-time performance of Amtrak intercity rail service operating on non-Amtrak owned property. Such reports shall compare the most recent actual on-time performance data to pre-established on-time performance goals that the Administrator shall set for each rail service, identified by route. Such reports shall also include whatever other information and data regarding the on-time performance of Amtrak trains the Administrator deems to be appropriate.】

【SEC. 155. In the Explanatory Statement referenced in division I of Public Law 111-8 under the heading Railroad Research and Development the item relating to "San Gabriel trench grade separation project, Alameda Corridor, CA" is deemed to be amended by inserting "Alameda Corridor East Construction Authority Grade Separations, CA."】

【SEC. 156. In the Explanatory Statement referenced in division K of Public Law 110-161 under the heading Rail Line Relocation and Improvement Program the item relating to "Mt. Vernon railroad cut, NY" is deemed to be amended by inserting "Rail Line and Station Improvement and Rehabilitation, Mount Vernon, NY."】

【SEC. 157. Notwithstanding any other provision of law, funds provided in Public Law 111-8 for "Lincoln Avenue Grade Separation, Port of Tacoma, Washington" shall be made available for this project as therein described.】

【SEC. 158. The Administrator of the Federal Railroad Administration, in cooperation with the Illinois Department of Transportation (IDOT), may provide technical and financial assistance to IDOT and local and county officials to study the feasibility of 10th Street, or other alternatives, in Springfield, Illinois, as a route for consolidated freight rail operations and/or combined freight and passenger rail operations within the city of Springfield.】

【SEC. 159. (a) AMTRAK SECURITY EVALUATION.—No later than 180 days after the enactment of this Act, Amtrak, in consultation with the Assistant Secretary of Homeland Security (Transportation Security Administration), shall submit a report to Congress that contains—

- (1) a comprehensive, system-wide, security evaluation; and
- (2) proposed guidance and procedures necessary to implement a new checked firearms program.

(b) DEVELOPEMENT AND IMPLEMENTATION OF GUIDANCE AND PROCEDURES.—

(1) IN GENERAL.—Not later than one year after the enactment of this Act, Amtrak, in consultation with the Assistant Secretary, shall develop and implement guidance and procedures to carry out the duties and responsibilities of firearm storage and carriage in checked baggage cars and at Amtrak stations that accept checked baggage.

(2) SCOPE.—The guidance and procedures developed under paragraph (1) shall—

(A) permit Amtrak passengers holding a ticket for a specific Amtrak route to place an unloaded firearm or starter pistol in a checked bag on such route if—

- (i) the Amtrak station accepts checked baggage for such route;
- (ii) the passenger declares to Amtrak, either orally or in writing, at the time the reservation is made or not later than 24 hours before departure, that the firearm will be placed in his or her bag and will be unloaded;
- (iii) the firearm is in a hard-sided container;
- (iv) such container is locked; and
- (v) only the passenger has the key or combination for such container;

(B) permit Amtrak passengers holding a ticket for a specific Amtrak route to place small arms ammunition for personal use in a checked bag on such route if the ammunition is securely packed—

- (i) in fiber, wood, or metal boxes; or
- (ii) in other packaging specifically designed to carry small amounts of ammunition; and

(C) include any other measures needed to ensure the safety and security of Amtrak employees, passengers, and infrastructure, including—

- (i) in fiber, wood, or metal boxes; or
- (ii) in other packaging specifically designed to carry small amounts of ammunition; and

(c) DEFINITIONS.—

(1) For purposes of this section, the term "checked baggage" refers to baggage transported that is accessible only to select Amtrak employees.】

SEC. 152. (a) *Schedule of Railroad Safety User fees. The Secretary of Transportation shall prescribe by regulation, for application in the current fiscal year and in subsequent fiscal years, a schedule of rail safety fees for railroad carriers subject to Part A of Subtitle V of title 49, United States Code. The fees shall cover the costs of carrying out such Part and Chapter 51 of title 49, United States Code, (transportation of hazardous materials) and shall be imposed fairly on railroad carriers, in reasonable relationship to appropriate criteria to be developed by the Secretary. The Secretary shall amend this regulation periodically so as to ensure that the schedule of fees covers such costs.*

(b) *Collection Procedures. The Secretary shall prescribe procedures to collect the fees. The Secretary may use the services of a department, agency, or instrumentality of the United States Government or a State or local authority to collect the fees, and may reimburse the department, agency, or instrumentality a reasonable amount for its services.*

(c) *Collection, Deposit, and Use.—*

(1) *Fees collected under this section shall be deposited in the Federal Railroad Administrations Federal Railroad Operations account as offsetting collections.*

(2) *Such fees shall be collected and available to the extent provided in appropriations acts.*

(Department of Transportation Appropriations Act, 2010.)

## FEDERAL TRANSIT ADMINISTRATION

The Federal Transit Administration (FTA) provides grant funding to State and local governments, public and private transit operators, and other recipients to subsidize public transit operations; construct new public transit systems; purchase and maintain transit vehicles and equipment; support regional transportation planning efforts; and improve the technology and service methods used in the delivery of public transportation services. FTA programs advance the Administration's domestic

and fiscal priorities and increase the impact of government spending by leveraging place-conscious planning and programming. In 2011, FTA reformats and restructures its programs and accounts to reflect the Administration's commitment to strengthen public transportation safety oversight and improve the condition of bus and rail transit infrastructure. Funding in 2011 for public transportation will increase choices for transportation users, provide affordable access to employment centers and social services, and enhance economic opportunities and quality of life for all Americans.

The table below presents actual funding enacted for 2009 and 2010 together with the Budget for 2011 for all Federal Transit Administration accounts. Additional detail is provided in the program budget schedules that follow.

[In millions of dollars]

	2009 actual	2010 actual	2011 est.
<b>Budget Authority:</b>			
Livable communities (TF) .....	0	0	307
Greenhouse gas and energy reduction (TF) .....	0	0	53
Grants for energy efficiency and greenhouse gas reduction (GF) .....	0	75	0
Transit formula grants (TF) .....	8,261	8,343	8,272
Capital investment grants (GF) .....	1,807	1,998	1,820
Washington metropolitan area transit authority (GF) .....	0	150	150
Rail transit safety oversight program (GF) .....	0	0	24
Technical assistance and workforce development (GF) .....	0	0	29
National research and technology (GF) .....	67	66	30
Administrative expenses (GF) .....	94	99	114
Transit capital assistance, Recovery Act (GF) .....	6,900	0	0
Fixed guideway infrastructure investment, Recovery Act (GF) .....	750	0	0
Capital investment grants, Recovery Act (GF) .....	750	0	0
<b>Total Budget Authority .....</b>	<b>18,629</b>	<b>10,731</b>	<b>10,800</b>
<b>Total Discretionary .....</b>	<b>10368</b>	<b>2,398</b>	<b>2,167</b>
<b>Total Mandatory .....</b>	<b>8261</b>	<b>8,343</b>	<b>8,631</b>
<b>Obligation Limitation:</b>			
Formula and bus grants (HTF) .....	8261	8,343	8,631

Note: Numbers may not add due to rounding. Totals do not include transfers with the Federal Highway Administration.

**Federal Funds**

FORMULA AND BUS GRANTS, GENERAL FUND SHARE

To reflect the growing imbalance between projected Highway Trust Fund (HTF) revenues and baseline spending in the most transparent manner, the Budget shows only the HTF funding that can be supported while maintaining positive annual cash balances in the trust fund. The remaining spending compared to the baseline for the program is shown as discretionary budget authority from the General Fund. Specifically, for 2010 the Budget included \$5 billion in contract authority and obligation limitation in the existing Formula and Bus Grant account and \$3.3 billion in a new Formula and Bus Grants, General Fund Share account. This presentation did not represent the Administration's recommended funding levels or a budgeting approach for the forthcoming surface reauthorization. Rather, it is intended to accurately depict the condition of the HTF and recognize that, under current law, maintaining baseline spending would require support from the General Fund.

ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, [§98,911,000: *Provided*, That of the funds available under this heading, not to exceed \$1,809,000 shall be available for travel: *Provided further*, That none of the funds provided or limited in this Act may be used to create a permanent office of transit security under this heading: *Provided further*, That of the amounts made available under this heading

not to exceed \$75,000 shall be paid from appropriations made available by this Act and provided to the Department of Transportation Office of Inspector General through reimbursement to conduct the annual audits of financial statements in accordance with section 3521 of title 31, United States Code: *Provided further*, That upon submission to the Congress of the fiscal year 2011 President's budget, the Secretary of Transportation shall transmit to Congress the annual report on new starts, including proposed allocations of funds for fiscal year 2011] \$113,559,000. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-1120-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Administrative expenses .....	94	99	114
09.00 Reimbursable program .....	1		
<b>10.00 Total new obligations .....</b>	<b>95</b>	<b>99</b>	<b>114</b>
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	95	99	114
23.95 Total new obligations .....	-95	-99	-114
23.98 Unobligated balance expiring or withdrawn .....	-1		
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	94	99	114
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1		
<b>70.00 Total new budget authority (gross) .....</b>	<b>95</b>	<b>99</b>	<b>114</b>
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	9	12	10
73.10 Total new obligations .....	95	99	114
73.20 Total outlays (gross) .....	-92	-101	-113
<b>74.40 Obligated balance, end of year .....</b>	<b>12</b>	<b>10</b>	<b>11</b>
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	85	89	103
86.93 Outlays from discretionary balances .....	7	12	10
<b>87.00 Total outlays (gross) .....</b>	<b>92</b>	<b>101</b>	<b>113</b>
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	94	99	114
90.00 Outlays .....	91	101	113

For 2011, \$113.6 million will fund the personnel and support costs associated with the management and administration of federal public transit programs. FTA plans to expand its workforce to address current urban and rural transportation challenges, promote livable and sustainable communities and expand efforts to reduce greenhouse gas emissions and energy consumption. Additional resources within this request will support of the Office of Safety to administer a new rail transit safety oversight program.

**Object Classification** (in millions of dollars)

Identification code 69-1120-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	50	52	60
11.3 Other than full-time permanent .....	2	2	2
<b>11.9 Total personnel compensation .....</b>	<b>52</b>	<b>54</b>	<b>62</b>
12.1 Civilian personnel benefits .....	13	14	16
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	6	7	8
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.2 Other services .....	18	19	22
31.0 Equipment .....	2	1	1

ADMINISTRATIVE EXPENSES—Continued  
Object Classification—Continued

Identification code 69-1120-0-1-401	2009 actual	2010 est.	2011 est.
99.0 Direct obligations .....	94	99	114
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	95	99	114

Employment Summary

Identification code 69-1120-0-1-401	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	512	517	567

FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 69-1129-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Urban formula - capital .....	5	20	19
00.02 Elderly and Disabled .....	1	1	1
00.03 Nonurban formula .....	3	2	2
10.00 Total new obligations (object class 41.0) .....	9	23	22

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year .....	81	81	58
22.00 New budget authority (gross) .....	1		
22.10 Resources available from recoveries of prior year obligations ...	8		
23.90 Total budgetary resources available for obligation .....	90	81	58
23.95 Total new obligations .....	-9	-23	-22
24.40 Unobligated balance carried forward, end of year .....	81	58	36

New budget authority (gross), detail:

Discretionary:			
42.00 Transferred from other accounts .....	1		
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	4		
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-4		
58.90 Spending authority from offsetting collections (total discretionary) .....			
70.00 Total new budget authority (gross) .....	1		

Change in obligated balances:

72.40 Obligated balance, start of year .....	1,977	1,238	626
73.10 Total new obligations .....	9	23	22
73.20 Total outlays (gross) .....	-744	-635	-373
73.45 Recoveries of prior year obligations .....	-8		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	4		
74.40 Obligated balance, end of year .....	1,238	626	275

Outlays (gross), detail:

86.93 Outlays from discretionary balances .....	744	635	373
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-4		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	4		

Net budget authority and outlays:

89.00 Budget authority .....	1		
90.00 Outlays .....	740	635	373

In 2011, funds requested for all transit formula grant programs are included in the Transit Formula Grants account and funded

exclusively by the Mass Transit Account of the Highway Trust Fund.

UNIVERSITY TRANSPORTATION RESEARCH

Program and Financing (in millions of dollars)

Identification code 69-1136-0-1-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	
73.20 Total outlays (gross) .....		-1	
74.40 Obligated balance, end of year .....	1		

Outlays (gross), detail:

86.93 Outlays from discretionary balances .....		1	
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Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....		1	

In 2011, funding for University Transportation Research programs is requested within the new National Research and Technology account.

TECHNICAL ASSISTANCE AND WORKFORCE DEVELOPMENT

For necessary expenses to carry out technical assistance and workforce development activities related to public transportation, \$28,647,000, to remain available until expended: Provided, that \$4,184,000 is available for the National Transit Institute under section 5315 of title 49, United States Code.

Program and Financing (in millions of dollars)

Identification code 69-2807-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Technical assistance and workforce development .....			29
10.00 Total new obligations (object class 41.0) .....			29

Budgetary resources available for obligation:

22.00 New budget authority (gross) .....			29
23.95 Total new obligations .....			-29

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation .....			29

Change in obligated balances:

73.10 Total new obligations .....			29
73.20 Total outlays (gross) .....			-4
74.40 Obligated balance, end of year .....			25

Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....			4
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Net budget authority and outlays:

89.00 Budget authority .....			29
90.00 Outlays .....			4

In 2011, FTA reformats and restructures its programs and accounts to reflect the Administration's priority emphasis on transportation safety, livable communities and placed-based development. FTA will fund technical assistance for targeted populations, safety and security technical assistance, institutional and policy technical assistance and training and capacity building programs. Combining all technical assistance programs in one focused program will better address the needs of transit providers nationwide. Training and capacity building programs will develop

a workforce with the skill-mix to address public transportation challenges of the future.

**RAIL TRANSIT SAFETY OVERSIGHT PROGRAM**

For necessary expenses to carry out rail transit safety oversight activities authorized under 49 U.S.C. 5329, \$24,139,000, to remain available until September 30, 2013.

**Program and Financing** (in millions of dollars)

Identification code 69-2808-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Rail transit safety oversight program .....			24
10.00 Total new obligations .....			24
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			24
23.95 Total new obligations .....			-24
24.40 Unobligated balance carried forward, end of year .....			
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			24
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			24
73.20 Total outlays (gross) .....			-16
74.40 Obligated balance, end of year .....			8
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			16
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			24
90.00 Outlays .....			16

For 2011, the FTA will fund activities embodied in the Administration's rail transit safety legislation, the Public Transportation Act of 2009. The Administration's legislative proposal was transmitted to Congress on December 7, 2009 and would strengthen and expand the federal role in regulatory oversight of safety on rail transit systems. Funds under this heading will allow state rail oversight functions to be strengthened to enforce the new regulations and meet federal safety standards. In addition, federal and state teams will have the power to conduct investigations and audits identifying unsafe vehicles, equipment, control systems and operating practices.

**Object Classification** (in millions of dollars)

Identification code 69-2808-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			8
41.0 Grants, subsidies, and contributions .....			16
99.9 Total new obligations .....			24

**Employment Summary**

Identification code 69-2808-0-1-401	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....			100

**[RESEARCH AND UNIVERSITY RESEARCH CENTERS] NATIONAL RESEARCH AND TECHNOLOGY**

For necessary expenses to carry out 49 U.S.C. [5306, 5312-5315] 5312-5314, and 5322, [and 5506, \$65,670,000] \$29,729,000, to remain available until expended: *Provided*, That [[\$10,000,000] \$9,729,000 is available to carry out the transit cooperative research program under section 5313 of title 49, United States Code[, \$4,300,000 is available for the National Transit Institute under section 5315 of title 49, United States Code, and \$7,000,000 is available for university transportation centers program under section 5506 of title 49, United States Code]: *Provided further*, That [[\$44,370,000] \$20,000,000 is available to carry out national research programs under sections 5312, 5313, 5314, and 5322 of title 49, United States Code[: *Provided further*, That of the funds available to carry out section 5312 of title 49, United States Code, \$5,000,000 shall be available to the Secretary to develop standards for asset management plans, provide technical assistance to recipients engaged in the development or implementation of an asset management plan, improve data collection through the National Transit Database, and conduct a pilot program designed to identify the best practices of asset management.]. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-1137-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Research and University Research Centers .....	58	78	59
09.01 Reimbursable program .....	7	29	34
10.00 Total new obligations .....	65	107	93
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	66	76	55
22.00 New budget authority (gross) .....	70	86	50
22.10 Resources available from recoveries of prior year obligations ...	5		
23.90 Total budgetary resources available for obligation .....	141	162	105
23.95 Total new obligations .....	-65	-107	-93
24.40 Unobligated balance carried forward, end of year .....	76	55	12
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	67	66	30
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	15	20	20
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-12		
58.90 Spending authority from offsetting collections (total discretionary) .....	3	20	20
70.00 Total new budget authority (gross) .....	70	86	50
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	88	68	65
73.10 Total new obligations .....	65	107	93
73.20 Total outlays (gross) .....	-92	-110	-110
73.45 Recoveries of prior year obligations .....	-5		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	12		
74.40 Obligated balance, end of year .....	68	65	48
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	9	33	26
86.93 Outlays from discretionary balances .....	83	77	84
87.00 Total outlays (gross) .....	92	110	110
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-15	-20	-20
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	12		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	67	66	30
90.00 Outlays .....	77	90	90

NATIONAL RESEARCH AND TECHNOLOGY—Continued

In 2011, FTA reformats and restructures its programs and accounts to reflect the Administration's priority emphasis on transportation safety, livable communities and placed-based development. Funding in this account provides discretionary resources to fund research activities that support FTAs research and technology program mission.

*National Research and Technology.*—\$20 million. Funds to advance the research and development of transportation methods and technologies that support increasing transit market share and improving the performance of transit operations and systems, and .

*Transit Cooperative Research Program.*—\$9.7 million. Funds research projects that are significant to the transit industry. Grant awards support priority projects in areas of safety, security, equipment and system design, system operations, and the economic development impact of transit investment in transportation corridors.

This account is renamed in 2011 from Research and University Research Centers consistent with activities funded under this heading.

Object Classification (in millions of dollars)

Identification code 69-1137-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
25.5 Research and development contracts .....	2	1	1
41.0 Grants, subsidies, and contributions .....	56	77	58
99.0 Direct obligations .....	58	78	59
99.0 Reimbursable obligations .....	7	29	34
99.9 Total new obligations .....	65	107	93

JOB ACCESS AND REVERSE COMMUTE GRANTS

Program and Financing (in millions of dollars)

Identification code 69-1125-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Job access and reverse commute grants .....	3	7	5
10.00 Total new obligations (object class 41.0) .....	3	7	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	15	12	5
23.95 Total new obligations .....	-3	-7	-5
24.40 Unobligated balance carried forward, end of year .....	12	5	.....
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	81	51	41
73.10 Total new obligations .....	3	7	5
73.20 Total outlays (gross) .....	-33	-17	-11
74.40 Obligated balance, end of year .....	51	41	35
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	33	17	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	33	17	11

In 2011, funds requested for the Job Access and Reverse Commute program are included in the Livable Communities account.

CAPITAL INVESTMENT GRANTS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out section 5309 of title 49, United States Code, [ \$2,000,000,000 ] \$1,822,112,000, to remain available until expended [ , of which no less than \$200,000,000 is for section 5309(e) of such title ]: *Provided*, That \$2,000,000 shall be transferred to the Department of Transportation Office of Inspector General from funds set aside for the execution of oversight contracts pursuant to section 5327(c) of title 49, United States Code, for costs associated with audits and investigations of transit-related issues, including reviews of new fixed guideway systems. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-1134-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Capital investment grants .....	955	2,274	2,640
00.03 Lower Manhattan recovery P.L. 107-206 .....	.....	90	8
00.04 Capital Investment Grants Recovery Act .....	468	277	3
09.00 Federal emergency management P.L. 107-206 Reimbursable (FEMA) .....	13	94	71
10.00 Total new obligations .....	1,436	2,735	2,722
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	973	2,099	1,362
22.00 New budget authority (gross) .....	2,557	1,998	1,820
22.10 Resources available from recoveries of prior year obligations .....	5	.....	.....
23.90 Total budgetary resources available for obligation .....	3,535	4,097	3,182
23.95 Total new obligations .....	-1,436	-2,735	-2,722
24.40 Unobligated balance carried forward, end of year .....	2,099	1,362	460
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	2,559	2,000	1,822
41.00 Transferred to other accounts .....	-2	-2	-2
43.00 Appropriation (total discretionary) .....	2,557	1,998	1,820
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	.....	.....	.....
70.00 Total new budget authority (gross) .....	2,557	1,998	1,820
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5,717	4,665	4,782
73.10 Total new obligations .....	1,436	2,735	2,722
73.20 Total outlays (gross) .....	-2,483	-2,618	-2,424
73.45 Recoveries of prior year obligations .....	-5	.....	.....
74.40 Obligated balance, end of year .....	4,665	4,782	5,080
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	735	240	218
86.93 Outlays from discretionary balances .....	1,748	2,378	2,206
87.00 Total outlays (gross) .....	2,483	2,618	2,424
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,557	1,998	1,820
90.00 Outlays .....	2,483	2,618	2,424

In 2011, funding for the New Starts program, including Small Starts grants, is included in the Capital Investment Grants account. FTA's New Starts program is the Federal government's primary source for capital investment in transit infrastructure that is planned, constructed and operated by State and local government entities. These projects include heavy rail, light rail, commuter rail, bus rapid transit and streetcar systems that are implemented in communities across the country. New Starts and Small Starts projects are an important component of the Administration's objective to promote livable communities, improve mobility by implementing alternatives to automobile travel, and reduce the impact on the environment of from auto emissions.

**Object Classification** (in millions of dollars)

Identification code 69-1134-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	25	29	27
41.0 Grants, subsidies, and contributions .....	1,397	2,611	2,623
99.0 Direct obligations .....	1,423	2,641	2,651
99.0 Reimbursable obligations .....	13	94	71
99.9 Total new obligations .....	1,436	2,735	2,722

**Employment Summary**

Identification code 69-1134-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	6	9	9

**[ GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS REDUCTIONS ]**

For grants to public transit agencies for capital investments that will reduce the energy consumption or greenhouse gas emissions of their public transportation systems, \$75,000,000, to remain available through September 30, 2012: *Provided*, That priority shall be given to projects based on the total energy savings that are projected to result from the investments, and the projected energy savings as a percentage of the total energy usage of the public transit agency: *Provided further*, That the Secretary shall public criteria on which to base the competition for any grants awarded under this heading no sooner than 90 days after the enactment of this Act, require applications for funding provided under this heading to be submitted no sooner than 120 days after the publication of such criteria, and announce all projects selected to be funded from funds provided under this heading no sooner than September 15, 2010. **[ Department of Transportation Appropriations Act, 2010. ]**

**Program and Financing** (in millions of dollars)

Identification code 69-1131-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Energy and Greenhouse Gas Reductions .....		50	25
10.00 Total new obligations (object class 41.0) .....		50	25
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			25
22.00 New budget authority (gross) .....	75		
23.90 Total budgetary resources available for obligation .....		75	25
23.95 Total new obligations .....		-50	-25
24.40 Unobligated balance carried forward, end of year .....		25	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	75		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			50
73.10 Total new obligations .....		50	25
73.20 Total outlays (gross) .....			-11
74.40 Obligated balance, end of year .....		50	64
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....			11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	75		
90.00 Outlays .....			11

In 2011, funding for grants related to energy efficiency and greenhouse gas reduction activities are requested in a new Greenhouse Gas and Energy Reduction account with a proposed

transfer of contract authority from the Transit Formula Grants account.

**FIXED GUIDEWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1102-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Fixed guideway infrastructure investment .....	738	5	
00.02 Administrative/Oversight .....	1	3	2
10.00 Total new obligations .....	739	8	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		11	3
22.00 New budget authority (gross) .....	750		
23.90 Total budgetary resources available for obligation .....	750	11	3
23.95 Total new obligations .....	-739	-8	-2
24.40 Unobligated balance carried forward, end of year .....	11	3	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	750		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		663	419
73.10 Total new obligations .....	739	8	2
73.20 Total outlays (gross) .....	-76	-252	-192
74.40 Obligated balance, end of year .....	663	419	229
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	76		
86.93 Outlays from discretionary balances .....		252	192
87.00 Total outlays (gross) .....	76	252	192
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	750		
90.00 Outlays .....	76	252	192

The American Recovery and Reinvestment Act (ARRA) of 2009 provided \$750 million to fund fixed guideway modernization grants to create jobs to bolster the American economy. The funds were apportioned under the existing multi-tiered allocation formula. The funds were used for eligible capital projects including purchase or rehabilitation of rail rolling stock and construction or rehabilitation of transit guideway systems, passenger facilities, maintenance facilities and security systems.

**Object Classification** (in millions of dollars)

Identification code 69-1102-0-1-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
25.2 Other services .....	1	3	2
41.0 Grants, subsidies, and contributions .....	738	5	
99.9 Total new obligations .....	739	8	2

**TRANSIT CAPITAL ASSISTANCE, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 69-1101-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Urban area formula grants .....	5,616	641	
00.02 Nonurban area formula grants .....	626	139	
00.03 Tribal transit grants .....		17	
00.04 Transit energy reduction .....		100	
00.05 Administration/Oversight .....	1	24	13

TRANSIT CAPITAL ASSISTANCE, RECOVERY ACT—Continued  
Program and Financing—Continued

Identification code 69-1101-0-1-401	2009 actual	2010 est.	2011 est.
10.00 Total new obligations .....	6,243	921	13
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		945	24
22.00 New budget authority (gross) .....	7,188		
23.90 Total budgetary resources available for obligation .....	7,188	945	24
23.95 Total new obligations .....	-6,243	-921	-13
24.40 Unobligated balance carried forward, end of year .....	945	24	11
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	6,900		
42.00 Transferred from other accounts .....	288		
43.00 Appropriation (total discretionary) .....	7,188		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		5,673	4,135
73.10 Total new obligations .....	6,243	921	13
73.20 Total outlays (gross) .....	-570	-2,459	-1,884
74.40 Obligated balance, end of year .....	5,673	4,135	2,264
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	570		
86.93 Outlays from discretionary balances .....		2,459	1,884
87.00 Total outlays (gross) .....	570	2,459	1,884
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	7,188		
90.00 Outlays .....	570	2,459	1,884

The American Recovery and Reinvestment Act (ARRA) of 2009 provided \$6.9 billion to fund transit capital assistance to create jobs to bolster the American economy. Transit capital assistance was provided through urbanized area formula grants, non-urbanized area formula grants, and discretionary Tribal Transit grants. Additional capital assistance funding supported a new Transportation Investments in Greenhouse Gas and Energy Reduction (TIGGER) program. The funds were used for eligible capital projects, preventive maintenance, and the acquisition of buses and rail rolling stock.

Object Classification (in millions of dollars)

Identification code 69-1101-0-1-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		5	5
25.2 Other services .....	1	19	8
41.0 Grants, subsidies, and contributions .....	6,242	897	
99.9 Total new obligations .....	6,243	921	13

Employment Summary

Identification code 69-1101-0-1-401	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	5	37	40

RESEARCH, TRAINING, AND HUMAN RESOURCES

Program and Financing (in millions of dollars)

Identification code 69-1121-0-1-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1

74.40 Obligated balance, end of year .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

Since 2006, the activities funded in the Research, Training and Human Resources account have been requested funded in the Research and University Research Centers program. This schedule shows the obligation and outlay of amounts made available in fiscal years prior to 2006.

INTERSTATE TRANSFER GRANTS-TRANSIT

Program and Financing (in millions of dollars)

Identification code 69-1127-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Interstate Transfer .....		1	
10.00 Total new obligations (object class 25.2) .....		1	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		1	
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	1	1	
23.95 Total new obligations .....		-1	
24.40 Unobligated balance carried forward, end of year .....	1		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	3	2	2
73.10 Total new obligations .....		1	
73.20 Total outlays (gross) .....		-1	-1
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	2	2	1
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....		1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....		1	1

This account funds transit capital projects substituted for previously withdrawn segments of the Interstate Highway System under the provisions of 23 U.S.C. 103(e)(4).

【GRANTS TO THE】WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110-432, \$150,000,000, to remain available until expended: *Provided*, That the Secretary shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: *Provided further*, That prior to approving such grants, the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system【, including but not limited to fixing the track signal system, replacing the 1000 series cars, installing guarded turnouts, buying equipment for wayside worker protection, and installing rollback protection on cars that are not equipped with this safety feature】. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-1128-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Washington Metropolitan Area Transit .....		130	170

10.00	Total new obligations (object class 41.0)	130	170
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year	1	21
22.00	New budget authority (gross)	150	150
22.10	Resources available from recoveries of prior year obligations	1	
23.90	Total budgetary resources available for obligation	1	171
23.95	Total new obligations	-130	-170
24.40	Unobligated balance carried forward, end of year	1	21
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation	150	150
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year	-1	96
73.10	Total new obligations	130	170
73.20	Total outlays (gross)	-33	-78
73.45	Recoveries of prior year obligations	-1	
74.40	Obligated balance, end of year	-1	96
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority	33	33
86.93	Outlays from discretionary balances		45
87.00	Total outlays (gross)	33	78
<b>Net budget authority and outlays:</b>			
89.00	Budget authority	150	150
90.00	Outlays	33	78

The Federal Rail Safety Improvements Act, 2008, (P.L. 110–432, Title VI, Sec. 601), provided authorization for capital and preventive maintenance projects for the Washington Metropolitan Area Transit Authority (WMATA). Funding will help WMATA address its maintenance backlog to improve the safety and reliability of service and to expand existing system capacity to meet growing demand. The Secretary will use his authority to approve grants under this program to ensure that available funds first address WMATA's most critical safety needs.

MISCELLANEOUS EXPIRED ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 69–1122–0–1–401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year	1	1
74.40	Obligated balance, end of year	1	1
<b>Net budget authority and outlays:</b>			
89.00	Budget authority		
90.00	Outlays		

Trust Funds

DISCRETIONARY GRANTS (HIGHWAY TRUST FUND, MASS TRANSIT ACCOUNT)

Program and Financing (in millions of dollars)

Identification code 69–8191–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Discretionary grants	2	3
10.00	Total new obligations (object class 41.0)	2	3
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year	4	3
22.10	Resources available from recoveries of prior year obligations	1	
23.90	Total budgetary resources available for obligation	5	3

23.95	Total new obligations	-2	-3
24.40	Unobligated balance carried forward, end of year	3	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
58.00	Spending authority from offsetting collections: Offsetting collections (cash)		
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year	96	81
73.10	Total new obligations	2	3
73.20	Total outlays (gross)	-16	-17
73.45	Recoveries of prior year obligations	-1	
74.40	Obligated balance, end of year	81	67
<b>Outlays (gross), detail:</b>			
86.93	Outlays from discretionary balances	16	17
<b>Net budget authority and outlays:</b>			
89.00	Budget authority		
90.00	Outlays	16	17
<b>Memorandum (non-add) entries:</b>			
93.05	(Fund balance in excess of liquidating requirements, start of year: Contract authority)	38	38
93.06	(Fund balance in excess of liquidating requirements, end of year: Contract authority)	38	38
93.07	Limitation on obligations (Transportation Trust Funds)		

In 2011, no additional liquidating cash is requested to pay previously incurred obligations in the Discretionary Grants account.

GREENHOUSE GAS AND ENERGY REDUCTION

(LIQUIDATION OF CONTRACT AUTHORITY)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

For the payment of obligations incurred in carrying out to provisions of 49 U.S.C. 301 for programs and activities identified under this heading, \$12,000,000, to be derived from the Mass Transit Account of the Highway Trust Fund and to remain available until expended: Provided, That \$52,743,000 in contract authority is transferred from the "Transit Formula Grants" account, Federal Transit Administration: Provided further, That funds available for the implementation or execution of programs and activities identified under this heading shall not exceed total obligations of \$52,743,000 for fiscal year 2011.

Program and Financing (in millions of dollars)

Identification code 69–8301–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	Greenhouse gas and energy reduction		48
10.00	Total new obligations (object class 41.0)		48
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross)		53
23.95	Total new obligations		-48
24.40	Unobligated balance carried forward, end of year		5
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26	Appropriation (trust fund)		12
40.49	Portion applied to liquidate contract authority		-12
42.00	Transferred from other accounts		53
43.00	Appropriation (total discretionary)		53
Mandatory:			
66.10	Contract authority		
70.00	Total new budget authority (gross)		53

**GREENHOUSE GAS AND ENERGY REDUCTION—Continued**  
**Program and Financing—Continued**

Identification code 69-8301-0-7-401	2009 actual	2010 est.	2011 est.
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			48
73.20 Total outlays (gross) .....			-8
74.40 Obligated balance, end of year .....			40
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			53
90.00 Outlays .....			8
<b>Memorandum (non-add) entries:</b>			
93.01 Unobligated balance, start of year: Contract authority .....			
93.02 Unobligated balance, end of year: Contract authority .....			
93.04 Obligated balance, end of year: Contract authority .....			
93.07 Limitation on obligations (Transportation Trust Funds) .....			53

For 2011, the FTA reformats and restructures its programs and accounts to reflect the Administration's emphasis on programs that help address climate change by helping advance green technologies within the transit industry. The Greenhouse Gas and Energy Reduction account includes funding for Clean Fuels and Environmental Research as well as Greenhouse Gas and Energy Reduction Deployment and Demonstrations that will assist in reducing the energy consumption or greenhouse gas emissions of public transportation systems.

**LIVABLE COMMUNITIES**

(LIQUIDATION OF CONTRACT AUTHORITY)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

For payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5316 and 5339, \$52,000,000, to be derived from the Mass Transit Account of the Highway Trust Fund and to remain available until expended: Provided, That \$306,905,000 in contract authority is transferred from the "Transit Formula Grants" account, Federal Transit Administration: Provided further, That funds available for the implementation or execution of programs and activities identified under this heading shall not exceed total obligations of \$306,905,000 for fiscal year 2011.

**Program and Financing** (in millions of dollars)

Identification code 69-8316-0-7-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Job access and reverse commute formula program .....			134
00.02 Alternative analysis program .....			20
00.03 Planning programs .....			114
10.00 Total new obligations .....			268
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			307
23.95 Total new obligations .....			-268
24.40 Unobligated balance carried forward, end of year .....			39
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....			52
40.49 Portion applied to liquidate contract authority .....			-52
42.00 Transferred from other accounts .....			307
43.00 Appropriation (total discretionary) .....			307
Mandatory:			

66.10 Contract authority .....			
70.00 Total new budget authority (gross) .....			307
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			268
73.20 Total outlays (gross) .....			-46
74.40 Obligated balance, end of year .....			222
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			46
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			307
90.00 Outlays .....			46
<b>Memorandum (non-add) entries:</b>			
93.01 Unobligated balance, start of year: Contract authority .....			
93.02 Unobligated balance, end of year: Contract authority .....			
93.03 Obligated balance, start of year: Contract authority .....			
93.04 Obligated balance, end of year: Contract authority .....			
93.07 Limitation on obligations (Transportation Trust Funds) .....			307

In 2011, FTA reformats and restructures its programs and accounts to reflect the Administration's priority emphasis on transportation safety, livable communities and placed-based development. Focused investment in public transportation in metropolitan and rural areas enhances the development of communities and the livability of people within those communities by providing effective and reliable transportation alternatives that increase access to jobs, health and social services, entertainment, educational opportunities, and other activities of daily life. The Livable Communities account includes funding for Job Access and Reverse Commute, Alternatives Analysis, and Metropolitan and Statewide Planning activities.

**Object Classification** (in millions of dollars)

Identification code 69-8316-0-7-401	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
25.2 Other services .....			2
41.0 Grants, subsidies, and contributions .....			266
99.9 Total new obligations .....			268

**TRANSIT FORMULA GRANTS**

**[FORMULA AND BUS GRANTS]**

(LIQUIDATION OF CONTRACT AUTHORITY)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

For payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5308, 5309, 5310, 5311, 5316, 5317, 5320, 5335, 5339, and 5340 and section 3038 of Public Law 105-178, as amended, [\$9,400,000,000] \$9,200,000,000 to be derived from the Mass Transit Account of the Highway Trust Fund and to remain available until expended: Provided, That funds available for the implementation or execution of programs authorized under 49 U.S.C. [5305,] 5307, 5308, 5309, 5310, 5311, [5316, 5317,] 5320, 5335, [5339, and 5340 and section 3038 of Public Law 105-178, as amended,] shall not exceed total obligations of [\$8,343,171,000] \$8,271,700,000 in fiscal year [2010] 2011: Provided further, That \$306,905,000 in contract authority for programs under 49 U.S.C 5305, 5316 and 5339 is transferred to the "Livable Communities" account, Federal Transit Administration: Provided further, That \$52,743,000 in contract authority to implement programs under 49 U.S.C. 301 is transferred to the Greenhouse Gas and Energy Reduction account of the Federal Transit Administration. (Department of Transportation Appropriations Act, 2010.)

**Program and Financing** (in millions of dollars)

Identification code 69–8350–0–7–401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Urbanized area programs .....	3,705	5,256	5,992
00.02 Fixed guideway modernization .....	1,380	1,684	515
00.03 Bus and bus facility grants .....	683	1,232	737
00.04 Over-the-road bus .....	15	12	7
00.05 Clean Fuels Program .....	42	67	41
00.06 Planning Programs .....	162	101	45
00.07 State administered programs .....	823	1,090	177
00.08 Alternatives analysis program .....	11	38	26
00.09 Alternative transportation in parks and public Lands .....	29	37	44
00.10 Bus and rail state of good repair .....			2,283
00.11 Seniors and persons with disabilities .....			343
00.12 Non-urbanized area programs .....			695
10.00 Total new obligations .....	6,850	9,517	10,905
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3,311	5,715	4,459
22.00 New budget authority (gross) .....	9,247	8,261	7,901
22.10 Resources available from recoveries of prior year obligations ...	15		
22.21 Unobligated balance transferred to other accounts .....	-8		
23.90 Total budgetary resources available for obligation .....	12,565	13,976	12,360
23.95 Total new obligations .....	-6,850	-9,517	-10,905
24.40 Unobligated balance carried forward, end of year .....	5,715	4,459	1,455
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	8,670	9,400	9,200
40.49 Portion applied to liquidate contract authority used .....	-9,567	-10,168	-9,619
41.00 Transferred to other accounts .....	-108		-360
42.00 Transferred from other accounts .....	1,005	768	419
43.00 Appropriation (total discretionary) .....			-360
Mandatory:			
66.10 Contract authority .....	8,361	8,261	8,261
66.35 Contract authority permanently reduced .....	-100		
66.61 Transferred to other accounts .....	-100		
66.62 Transferred from other accounts .....	1,086		
66.90 Contract authority (total mandatory) .....	9,247	8,261	8,261
70.00 Total new budget authority (gross) .....	9,247	8,261	7,901
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	9,952	9,523	9,788
73.10 Total new obligations .....	6,850	9,517	10,905
73.20 Total outlays (gross) .....	-7,264	-9,252	-8,844
73.45 Recoveries of prior year obligations .....	-15		
74.40 Obligated balance, end of year .....	9,523	9,788	11,849
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1,830	2,003	1,985
86.93 Outlays from discretionary balances .....	5,434	7,249	6,859
87.00 Total outlays (gross) .....	7,264	9,252	8,844
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9,247	8,261	7,901
90.00 Outlays .....	7,264	9,252	8,844
<b>Memorandum (non-add) entries:</b>			
93.01 Unobligated balance, start of year: Contract authority .....			
93.02 Unobligated balance, end of year: Contract authority .....			
93.03 Obligated balance, start of year: Contract authority .....	8,837	8,516	6,609
93.04 Obligated balance, end of year: Contract authority .....	8,516	6,609	5,251
93.07 Limitation on obligations (Transportation Trust Funds) .....	9,247	8,343	8,272

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2009 actual	2010 est.	2011 est.
Enacted/requested:			
Budget Authority .....	9,247	8,261	7,901
Outlays .....	7,264	9,252	8,844
Amounts included in baseline projection of current policy:			
Budget Authority .....		100	100
Outlays .....			
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			

Outlays .....			
Total:			
Budget Authority .....	9,247	8,361	8,001
Outlays .....	7,264	9,252	8,844

In 2011, FTA reformats and restructures its programs and accounts to reflect the Administration's priority emphasis on transportation safety, livable communities and placed-based development. Some programs previously funded under this account have been merged with other programs or moved to other accounts to consolidate like activities and programs. All programs within the Transit Formula Grants account are funded from the Mass Transit Account of the Highway Trust Fund.

Formula Grant funds can be used for all transit capital purposes including bus and railcar purchases, facility repair and construction, maintenance and where eligible, planning and operating expenses. These funds help existing transit systems provide safe and reliable transportation options, promote economically vibrant communities and meet the requirements of the Americans with Disabilities Act (ADA) and the Clean Air Act (CAA).

**Urbanized Area Formula.**—\$4,633.5 million in funds will be apportioned to areas with populations of 50,000 or more. Funds may be used for any transit capital purpose, including preventive maintenance for capital assets in urban areas over 200,000 in population. In urban areas under 200,000, both capital and operating costs are eligible expenditures.

**Bus and Rail State of Good Repair.**—\$2,853.7 million for investments in bus and related capital projects and the acquisition, reconstruction and improvement of facilities and equipment for use on fixed guideways, including heavy and light rail, commuter rail, and ferryboat operations. Funding for this program will help address the replacement backlog in rail transit assets that are in marginal or poor condition. Federal, State and local investment is needed to ensure that transit infrastructure achieves a state of good repair and can continue to provide for safe and reliable transit service for the American public.

**Nonurbanized Areas Formula.**—\$547.8 million will be apportioned by legislative formula based on each State's nonurban areas with populations of less than 50,000. Available funding may also be used to support intercity bus service as well as to help meet rural and small urban areas' transit needs, and including \$10.9 million for the Rural Transportation Assistance Program. This request also includes \$15.0 million for discretionary grants to native american tribes for capital, operating, planning and administrative assistance.

**Formula Grants for Seniors and Persons with Disabilities.**—\$230.0 million to be apportioned to each State according to a legislative formula for the purchase of vehicles and equipment and for transportation services under contract, lease or similar arrangement. In 2011, this program funds expanded activities formerly funded under the Elderly and Persons with Disabilities and the New Freedom programs. Funds in this request will provide additional tools to overcome significant barriers facing Americans with disabilities seeking access to jobs and integration into the workforce.

**Paul S. Sarbanes Transit in Parks Program.**—\$27.4 million to enhance the protection of America's national parks and increase the enjoyment of those visiting the parks. The goals of the Alternative Transportation in Parks program include ensuring access for all, including individuals with disabilities; improving conservation through partnering with State and local governments; and improving park and public land transportation.

**National Transit Database (NTD).**—\$5.0 million for operation and maintenance of the NTD system, a database of statistics on the transit industry, which is Congressionally mandated under

TRANSIT FORMULA GRANTS—Continued

49 U.S.C. 5335(a)(1)(2). The NTD provides for the collection and dissemination of a uniform system of transit system financial accounts and operating data. As set forth in legislative formulas, these data sets are used in the national allocation of FTA formula funding.

This account is renamed in 2011 from Formula and Bus Grants consistent with the activities funded under this heading.

STATUS OF THE MASS TRANSIT ACCOUNT OF THE HIGHWAY TRUST FUND

(In millions of dollars)

	2009 actual	2010 est.	2011 est.
Unexpended balance, start of year .....	6787	5,052	1,270
Cash income during the year:			
Governmental receipts, Motor fuel taxes .....	4,649	4,775	4,831
Federal Highway Administration transfers (net) .....	897	768	419
Total annual cash income .....	<u>5,546</u>	<u>5,543</u>	<u>5,250</u>
Cash outlays during the year:			
Discretionary grants .....	16	17	17
Formula and Bus Grants .....	7,264	9,309	8,961
Total annual outlays .....	<u>7,281</u>	<u>9,325</u>	<u>8,977</u>
Unexpended balance, end of year .....	<u>5,052</u>	<u>1,270</u>	<u>-2,458</u>

Object Classification (in millions of dollars)

Identification code 69-8350-0-7-401	2009 actual	2010 est.	2011 est.
Direct obligations:			
25.2 Other services .....	32	78	94
41.0 Grants, subsidies, and contributions .....	6,818	9,439	10,811
99.9 Total new obligations .....	<u>6,850</u>	<u>9,517</u>	<u>10,905</u>

FORMULA AND BUS GRANTS

(Amounts included in baseline projection of current policy)

Program and Financing (in millions of dollars)

Identification code 69-8350-7-7-401	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			100
22.00 New budget authority (gross) .....		100	100
23.90 Total budgetary resources available for obligation .....		100	200
24.40 Unobligated balance carried forward, end of year .....		100	200
<b>New budget authority (gross), detail:</b>			
Mandatory:			
66.10 Contract authority .....		100	100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		100	100
90.00 Outlays .....			

ADMINISTRATIVE PROVISIONS—FEDERAL TRANSIT ADMINISTRATION

SEC. 160. The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.

SEC. 161. Notwithstanding any other provision of law, funds appropriated or limited by this Act under "Federal Transit Administration, Capital Investment Grants" and for bus and bus facilities under "Federal Transit Administration, Formula and Bus Grants" for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, [2012] 2013, and other recoveries, shall be [directed to projects eligible to use the funds for the purposes for which they were

originally provided] made available for other projects under 49 U.S.C. 5309.

SEC. 162. Notwithstanding any other provision of law, any funds appropriated before October 1, [2009] 2010, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.

[SEC. 163. Notwithstanding any other provision of law, unobligated funds made available for new fixed guideway system projects under the heading "Federal Transit Administration, Capital investment grants" in any appropriations Act prior to this Act may be used during this fiscal year to satisfy expenses incurred for such projects.]

SEC. [164]163. During fiscal year [2010] 2011, each Federal Transit Administration grant for a project that involves the acquisition or rehabilitation of a bus to be used in public transportation shall be funded for 90 percent of the net capital costs of a biodiesel bus or a factory-installed or retrofitted hybrid electric propulsion system and any equipment related to such a system: *Provided*, That the Secretary shall have the discretion to determine, through practicable administrative procedures, the costs attributable to the system and related-equipment.

[SEC. 165. Notwithstanding any other provision of law, unobligated funds or recoveries under section 5309 of title 49, United States Code, that are available to the Secretary of Transportation for reallocation shall be directed to projects eligible to use the funds for the purposes for which they were originally provided.]

[SEC. 166. (a) In the explanatory statement referenced in section 186 of title I of division K of Public Law 110-161 (121 Stat. 2406), the item relating to "Broward County Southwest Transit Facility" in the table of projects under the heading "Bus and Bus Facilities" is deemed to be amended by striking "Southwest" and inserting "Ravenswood".

(b) The explanatory statement referenced in section 186 of title I of division I of Public Law 111-8 for "Alternatives analysis" under "Federal Transit Administration-Formula and Bus Grants" is deemed to be amended by striking "Hudson-Bergen Light Rail Extension Route 440, North Bergen, NJ" and inserting "Hudson-Bergen Light Rail Extension Route 440, Jersey City, NJ".

(c) Funds made available for the "Phoenix/Regional Heavy Maintenance Facility, AZ", "Dial-a-Ride facility, Phoenix, AZ" and the "Phoenix Regional Heavy Bus Maintenance Facility, Arizona" through the Department of Transportation Appropriations Acts for Fiscal Years 2004, 2005 and 2008 that remain unobligated or unexpended shall be made available to the East Baseline Park-and-Ride Facility in Phoenix, Arizona.]

[SEC. 167. Funds made available for Alaska or Hawaii ferry boats or ferry terminal facilities pursuant to 49 U.S.C. 5309(m)(2)(B) may be used to construct new vessels and facilities, or to improve existing vessels and facilities, including both the passenger and vehicle-related elements of such vessels and facilities, and for repair facilities: *Provided*, That not more than \$4,000,000 of the funds made available pursuant to 49 U.S.C. 5309(m)(2)(B) may be used by the City and County of Honolulu to operate a passenger ferry boat service demonstration project to test the viability of different intra-island ferry boat routes and technologies.]

[SEC. 168. In determining the local share of the cost of the project authorized to be carried out under section 3043(c)(70) of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59; 119 Stat. 1644) for purposes of the rating process for New Starts projects, the Secretary shall consider any portion of the corridor advanced entirely with non-Federal funds.]

[SEC. 169. The Secretary of Transportation shall provide recommendations to Congress, including legislative proposals, on how to strengthen its role in regulating the safety of transit agencies operating heavy rail on fixed guideway: *Provided*, That the Secretary shall include actions the Department of Transportation will take and what additional legislative authorities it may need in order to fully implement recommendations of the National Transportation Safety Board directed at the Federal Transit Administration, including but not limited to recommendations related to crashworthiness, emergency access and egress, event recorders, and hours of service: *Provided further*, That the Secretary shall transmit to the House and Senate Committees on Appropriations, the House Committee on Transportation and Infrastructure, and the Senate Committee on Banking, Housing, and Urban Affairs a report outlining these

recommendations and a plan for their implementation by the Department of Transportation no later than 45 days after enactment of this Act. ]

[SEC. 170. Notwithstanding any other provision of law, the Secretary of Transportation shall not reallocate any funding made available for items 523, 267, and 131 of section 3044 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59). ]

SEC. [171]164. Notwithstanding any other provision of law, for fiscal year [2010] 2011, the total estimated amount of future obligations of the Government and contingent commitments to incur obligations covered by all outstanding full funding grant agreements entered into on or before September 30, 2009, and all outstanding letters of intent and early systems work agreements under subsection 5309(g) of Title 49, United States Code, for major new fixed guideway capital projects may be not more than the sum of the amount authorized under subsections 5338(a)(3)(iv) and 5338(c) of such title for such projects and an amount equivalent to the last 3 fiscal years of funding allocated under subsections 5309(m)(1)(A) and (m)(2)(A)(ii) of such title, for such projects, less an amount the Secretary reasonably estimates is necessary for grants under subsection 5309(b)(1) of such title for those of such projects that are not covered by a letter or agreement: *Provided*, That the Secretary may enter into full funding grant agreements under subsection 5309(g)(2) of such title for major new fixed guideway capital projects that contain contingent commitments to incur obligations in such amounts as the Secretary determines are appropriate.

[SEC. 172. None of the funds provided or limited under this Act may be used to enforce regulations related to charter bus service under part 604 of title 49, Code of Federal Regulations, for any transit agency who during fiscal year 2008 was both initially granted a 60-day period to come into compliance with part 604, and then was subsequently granted an exception from said part. ]

[SEC. 173. Hereafter, for interstate multi-modal projects which are in Interstate highway corridors, the Secretary shall base the rating under section 5309(d) of title 49, United States Code, of the non-New Starts share of the public transportation element of the project on the percentage of non-New Starts funds in the unified finance plan for the multi-modal project: *Provided*, That the Secretary shall base the accounting of local matching funds on the total amount of all local funds incorporated in the unified finance plan for the multi-modal project for the purposes of funding under chapter 53 of title 49, United States Code and title 23, United States Code: *Provided further*, That the Secretary shall evaluate the justification for the project under section 5309(d) of title 49, United States Code, including cost effectiveness, on the public transportation costs and public transportation benefits. ]

SEC. 165. *In addition to the amounts made available under section 5327(c)(1) of title 49, United States Code, the Secretary may use, for program management activities described in section 5327(c)(2), 0.25 percent of the amount made available to carry out section 5307 of title 49, United States Code, 0.5 percent of the amount made available to carry out section 5311 of title 49, United States Code, and 1 percent of the amount made available to carry out section 5316 of title 49, United States Code: Provided, That funds made available for program management oversight shall be used to oversee the compliance of a recipient or subrecipient of Federal transit assistance consistent with activities identified under section 5327(c)(2) and for purposes of enforcement. (Department of Transportation Appropriations Act, 2010.)*

## SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

### Federal Funds

#### SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year. (Department of Transportation Appropriations Act, 2010.)

### Program and Financing (in millions of dollars)

Identification code 69-4089-0-3-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Operations and maintenance .....	24	26	21
09.02 Replacements and improvements .....	10	7	12
10.00 Total new obligations .....	34	33	33
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	14	14	14
22.00 New budget authority (gross) .....	33	33	33
22.10 Resources available from recoveries of prior year obligations ...	1		
23.90 Total budgetary resources available for obligation .....	48	47	47
23.95 Total new obligations .....	-34	-33	-33
24.40 Unobligated balance carried forward, end of year .....	14	14	14
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	33	33	33
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	7	18	18
73.10 Total new obligations .....	34	33	33
73.20 Total outlays (gross) .....	-22	-33	-33
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	18	18	18
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	22	33	33
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-32	-32	-32
88.40 Non-Federal sources .....	-1	-1	-1
88.90 Total, offsetting collections (cash) .....	-33	-33	-33
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-11		

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly owned government corporation responsible for the operation, maintenance, and development of that part of the St. Lawrence Seaway between the Port of Montreal and Lake Erie that is within the territorial limits of the United States. The St. Lawrence Seaway is a waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. SLSDC works with its Canadian counterpart agency to ensure the reliability, safety and security of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital asset renewal needs for the U.S. portion of the St. Lawrence Seaway.

### Balance Sheet (in millions of dollars)

Identification code 69-4089-0-3-403	2008 actual	2009 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	7	18
Other Federal assets:		
1801 Cash and other monetary assets .....	12	12
1803 Property, plant and equipment, net .....	73	74
1901 Other assets .....	4	4
1999 Total assets .....	96	108
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	3	4
2206 Pension and other actuarial liabilities .....	3	3
2999 Total liabilities .....	6	7

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION—Continued  
Balance Sheet—Continued

Identification code 69-4089-0-3-403	2008 actual	2009 actual
<b>NET POSITION:</b>		
3100 Invested Capital .....	88	89
3300 Cumulative results of operations .....	2	12
3999 Total net position .....	90	101
4999 Total liabilities and net position .....	96	108

**Object Classification** (in millions of dollars)

Identification code 69-4089-0-3-403	2009 actual	2010 est.	2011 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	11	11
12.1 Civilian personnel benefits .....	3	3	4
25.2 Other services .....	1		
25.3 Other purchases of goods and services from Government accounts .....	1	1	1
25.4 Operation and maintenance of facilities .....	7	10	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2		
32.0 Land and structures .....	7	6	12
99.0 Reimbursable obligations .....	32	32	33
99.5 Below reporting threshold .....	2	1	
99.9 Total new obligations .....	34	33	33

**Employment Summary**

Identification code 69-4089-0-3-403	2009 actual	2010 est.	2011 est.
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	138	157	157

**Trust Funds**

**OPERATIONS AND MAINTENANCE**  
(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses for operations, maintenance, and capital asset renewal of those portions of the [Saint] St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, [\$32,324,000] \$32,150,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-8003-0-7-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Operations and maintenance .....	32	32	32
10.00 Total new obligations (object class 25.3) .....	32	32	32
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	32	32	32
23.95 Total new obligations .....	-32	-32	-32
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	32	32	32
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	32	32	32
73.20 Total outlays (gross) .....	-32	-32	-32
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	32	32	32
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	32	32	32
90.00 Outlays .....	32	32	32

The Water Resources Development Act of 1986 authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital asset renewal programs.

**PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION**

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

[In millions of dollars]

	2009 actual	2010 enacted	2011 est.
<b>Budget authority:</b>			
Operational Expenses .....	18	20	22
Hazardous materials safety .....	32	38	40
Research and special programs .....	0	0	0
Emergency preparedness grants .....	26	28	28
Pipeline safety .....	75	87	93
Trust fund share of pipeline safety .....	19	19	19
Total budget authority .....	170	192	202
<b>Program level (obligations):</b>			
Operational Expenses .....	18	20	21
Hazardous materials safety .....	30	43	40
Research and special programs .....	0	1	0
Emergency preparedness grants .....	26	28	28
Pipeline safety .....	73	102	92
Trust fund share of pipeline safety .....	19	19	19
Total program level .....	166	213	200
<b>Outlays:</b>			
Operational Expenses .....	17	19	21
Hazardous materials safety .....	27	41	41
Research and special programs .....	0	1	0
Emergency preparedness grants .....	16	37	35
Pipeline safety .....	65	80	90
Trust fund share of pipeline safety .....	17	17	18
Total outlays .....	142	195	205

**Federal Funds**

**RESEARCH AND SPECIAL PROGRAMS**  
**Program and Financing** (in millions of dollars)

Identification code 69-0104-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Research and Special Programs .....		1	
10.00 Total new obligations (object class 25.2) .....		1	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	1	
23.95 Total new obligations .....		-1	
24.40 Unobligated balance carried forward, end of year .....	1		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2		
73.10 Total new obligations .....		1	
73.20 Total outlays (gross) .....		-1	
73.40 Adjustments in expired accounts (net) .....	-2		
74.40 Obligated balance, end of year .....			
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....		1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			

90.00	Outlays .....	1	.....
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**HAZARDOUS MATERIALS SAFETY**

For expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, **[\$37,994,000]** \$40,434,000, of which **[\$1,699,000]** \$1,707,000 shall remain available until September 30, **[2012]** 2013: *Provided*, That up to \$800,000 in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: *Provided further*, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-1401-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Hazardous materials safety .....	30	43	40
09.01 Reimbursable program .....	4	.....	.....
10.00 Total new obligations .....	34	43	40
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	5	.....
22.00 New budget authority (gross) .....	36	38	40
23.90 Total budgetary resources available for obligation .....	39	43	40
23.95 Total new obligations .....	-34	-43	-40
24.40 Unobligated balance carried forward, end of year .....	5	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	32	38	40
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	4	.....	.....
70.00 Total new budget authority (gross) .....	36	38	40
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	11	13
73.10 Total new obligations .....	34	43	40
73.20 Total outlays (gross) .....	-31	-41	-41
74.40 Obligated balance, end of year .....	11	13	12
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	23	26	27
86.93 Outlays from discretionary balances .....	8	15	14
87.00 Total outlays (gross) .....	31	41	41
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-4	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	32	38	40
90.00 Outlays .....	27	41	41

The Pipeline and Hazardous Materials Safety Administration (PHMSA) has a responsibility for advancing the safe and secure transportation of hazardous materials. PHMSA's Hazardous Materials Safety program is focused on five principal areas. First, PHMSA provides comprehensive regulations for the safe and secure transportation of hazardous materials. Second, through outreach, training and distribution of informational materials, PHMSA helps the hazardous materials community understand the regulations and how to comply with them. Third, PHMSA enforces the regulations to ensure compliance with safety and security standards by those subject to the regulations. Fourth,

PHMSA assists the Nation's response community to plan for and respond to hazardous materials transportation emergencies. Finally, PHMSA builds on each of these principal areas to reduce overall transportation risk by establishing a sound and comprehensive technical and analytical foundation to ensure that the program's resources are effectively applied to minimize serious incidents and fatalities, mitigate the consequences of incidents that occur, and enhance safety.

**Object Classification** (in millions of dollars)

Identification code 69-1401-0-1-407	2009 actual	2010 est.	2011 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	14	20	21
12.1 Civilian personnel benefits .....	4	3	3
23.1 Rental payments to GSA .....	1	1	2
23.3 Communications, utilities, and miscellaneous charges-WCF .....	1	1	2
25.1 Advisory and assistance services .....	1	4	3
25.2 Other services .....	8	12	6
25.3 Other purchases of goods and services from Government accounts .....	1	1	2
31.0 Equipment .....	.....	1	1
99.0 Direct obligations .....	30	43	40
99.0 Reimbursable obligations .....	4	.....	.....
99.9 Total new obligations .....	34	43	40

**Employment Summary**

Identification code 69-1401-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	147	179	187

**OPERATIONAL EXPENSES**

(PIPELINE SAFETY FUND)

(INCLUDING TRANSFER OF FUNDS)

For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, **[\$21,132,000]** \$22,383,000, of which \$639,000 shall be derived from the Pipeline Safety Fund: *Provided*, That \$1,000,000 shall be transferred to "Pipeline Safety" in order to fund "Pipeline Safety Information Grants to Communities" as authorized under section 60130 of title 49, United States Code. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-1400-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Operations .....	19	20	21
10.00 Total new obligations .....	19	20	21
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	19	20	22
23.95 Total new obligations .....	-19	-20	-21
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	18	20	22
41.00 Transferred to other accounts .....	-1	-1	-1
42.00 Transferred from other accounts .....	1	1	1
43.00 Appropriation (total discretionary) .....	18	20	22
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	.....	.....
70.00 Total new budget authority (gross) .....	19	20	22
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	4	5	6
73.10 Total new obligations .....	19	20	21

OPERATIONAL EXPENSES—Continued  
Program and Financing—Continued

Identification code 69-1400-0-1-407	2009 actual	2010 est.	2011 est.
73.20 Total outlays (gross) .....	-18	-19	-21
74.40 Obligated balance, end of year .....	5	6	6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	16	14	15
86.93 Outlays from discretionary balances .....	2	5	6
87.00 Total outlays (gross) .....	18	19	21
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	18	20	22
90.00 Outlays .....	17	19	21

The success of the Pipeline and Hazardous Materials Safety Administration safety programs depends on the performance of support organizations that empower the program offices to meet their safety mandate. These support organizations include the Administrator, Deputy Administrator, Assistant Administrator/Chief Safety Officer, Chief Counsel, Chief Financial Officer, Governmental, International and Public Affairs, Associate Administrator for Administration, Information Technology Services, Administrative Services, Budget and Finance, Contracts and Procurement, Human Resources and Civil Rights.

Object Classification (in millions of dollars)

Identification code 69-1400-0-1-407	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	7	6
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges-WCF .....	3	3	3
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	1	2	3
25.3 Other purchases of goods and services from Government accounts .....	4	2	3
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	18	20	21
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations .....	19	20	21

Employment Summary

Identification code 69-1400-0-1-407	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	57	65	67
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	8	11	11

PIPELINE SAFETY

(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

For expenses necessary to conduct the functions of the pipeline safety program, for grants-in-aid to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, **[\$105,239,000]** \$111,111,000, of which \$18,905,000 shall be derived from the Oil Spill Liability Trust Fund and shall remain available until September 30, **[2012]** 2013; and of which **[\$86,334,000]** \$92,206,000 shall be derived

from the Pipeline Safety Fund, of which **[\$47,332,000]** \$51,206,000 shall remain available until September 30, **[2012: Provided,** That not less than \$1,048,000 of the funds provided under this heading shall be for the one-call State grant program] 2013. (Department of Transportation Appropriations Act, 2010.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 69-5172-0-2-407	2009 actual	2010 est.	2011 est.
01.00 Balance, start of year .....	29	34	34
01.99 Balance, start of year .....	29	34	34
<b>Receipts:</b>			
02.60 Pipeline Safety Fund .....	78	87	93
02.99 Total receipts and collections .....	78	87	93
04.00 Total: Balances and collections .....	107	121	127
<b>Appropriations:</b>			
05.00 Pipeline Safety .....	-75	-87	-93
05.99 Total appropriations .....	-75	-87	-93
06.10 Pipeline Safety .....	2		
07.99 Balance, end of year .....	34	34	34

Program and Financing (in millions of dollars)

Identification code 69-5172-0-2-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Operations .....	51	63	56
00.02 Research and development .....	6	9	9
00.03 Grants .....	35	49	46
10.00 Total new obligations .....	92	121	111

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year .....	12	15	
22.00 New budget authority (gross) .....	94	106	112
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	107	121	112
23.95 Total new obligations .....	-92	-121	-111
24.40 Unobligated balance carried forward, end of year .....	15		1
24.41 Special and trust fund receipts returned to Schedule N .....	2		
24.51 Expired unobligated balance carried forward, start of year (special and trust funds) .....	4		
24.52 Expired unobligated balance carried forward, end of year (special and trust funds) .....	4		

New budget authority (gross), detail:

<b>Discretionary:</b>			
40.20 Appropriation (special fund) .....	75	87	93
41.00 Transferred to other accounts .....	-1	-1	-1
42.00 Transferred from other accounts .....	1	1	1
43.00 Appropriation (total discretionary) .....	75	87	93
<b>Spending authority from offsetting collections:</b>			
58.00 Offsetting collections (cash) .....	17	19	19
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	2		
58.90 Spending authority from offsetting collections (total discretionary) .....	19	19	19
70.00 Total new budget authority (gross) .....	94	106	112

Change in obligated balances:

72.40 Obligated balance, start of year .....	38	43	65
73.10 Total new obligations .....	92	121	111
73.20 Total outlays (gross) .....	-82	-99	-109
73.40 Adjustments in expired accounts (net) .....	-2		
73.45 Recoveries of prior year obligations .....	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-2		
74.40 Obligated balance, end of year .....	43	65	67

Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	42	61	65
86.93 Outlays from discretionary balances .....	40	38	44
87.00 Total outlays (gross) .....	82	99	109

<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-17	-19	-19
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	-2		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	75	87	93
90.00	Outlays .....	65	80	90

The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for the Department's pipeline safety program. PHMSA's Pipeline Safety program oversees the safety, security, and environmental protection of pipelines through analysis of data, damage prevention, education and training, enforcement of regulations and standards, research and development, grants for States pipeline safety programs, and emergency planning for response to accidents.

**Object Classification** (in millions of dollars)

Identification code 69-5172-0-2-407	2009 actual	2010 est.	2011 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	17	20	22
12.1	Civilian personnel benefits .....	5	5	5
21.0	Travel and transportation .....	3	2	1
23.1	Rental payments to GSA .....	2	3	3
23.3	Communications, utilities, and miscellaneous charges - wcf ...	1	3	3
25.1	Advisory and assistance services .....	5	18	15
25.2	Other services .....	1	6	2
25.3	Other purchases of goods and services from Government accounts .....	2	7	4
25.5	Research and development contracts .....	25	8	9
31.0	Equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	30	48	46
99.9	Total new obligations .....	92	121	111

**Employment Summary**

Identification code 69-5172-0-2-407	2009 actual	2010 est.	2011 est.	
<b>Direct:</b>				
1001	Civilian full-time equivalent employment .....	162	206	215

**EMERGENCY PREPAREDNESS GRANTS**

(EMERGENCY PREPAREDNESS FUND)

For necessary expenses to carry out 49 U.S.C. 5128(b), \$188,000, to be derived from the Emergency Preparedness Fund, to remain available until September 30, [2011] 2012: *Provided*, That not more than \$28,318,000 shall be made available for obligation in fiscal year [2010] 2011 from amounts made available by 49 U.S.C. 5116(I) and 5128(b)-(c): *Provided further*, That none of the funds made available by 49 U.S.C. 5116[(I)] (i), 5128(b), or 5128(c) shall be made available for obligation by individuals other than the Secretary of Transportation, or his designee. (*Department of Transportation Appropriations Act, 2010.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 69-5282-0-2-407	2009 actual	2010 est.	2011 est.	
01.00	Balance, start of year .....	15	10	1
01.99	Balance, start of year .....	15	10	1
<b>Receipts:</b>				
02.20	Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants .....	19	19	28
02.99	Total receipts and collections .....	19	19	28
04.00	Total: Balances and collections .....	34	29	29
<b>Appropriations:</b>				
05.00	Emergency Preparedness Grants .....	-19	-28	-28
05.01	Emergency Preparedness Grants .....	-7		

05.99	Total appropriations .....	-26	-28	-28
06.10	Emergency Preparedness Grants .....	2		
07.99	Balance, end of year .....	10	1	1

**Program and Financing** (in millions of dollars)

Identification code 69-5282-0-2-407	2009 actual	2010 est.	2011 est.	
<b>Obligations by program activity:</b>				
00.01	Grants .....	26	27	27
00.02	Supplemental training grants .....		1	1
10.00	Total new obligations .....	26	28	28
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	26	28	28
22.10	Resources available from recoveries of prior year obligations ...	2		
23.90	Total budgetary resources available for obligation .....	28	28	28
23.95	Total new obligations .....	-26	-28	-28
23.98	Unobligated balance expiring or withdrawn .....	-2		
24.40	Unobligated balance carried forward, end of year .....			
24.41	Special and trust fund receipts returned to Schedule N .....	2		
24.52	Expired unobligated balance carried forward, end of year (special and trust funds) .....			
<b>New budget authority (gross), detail:</b>				
<b>Mandatory:</b>				
60.20	Appropriation (special fund) .....	19	28	28
60.28	Appropriation (previously unavailable) .....	7		
62.50	Appropriation (total mandatory) .....	26	28	28
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	34	42	33
73.10	Total new obligations .....	26	28	28
73.20	Total outlays (gross) .....	-16	-37	-35
73.45	Recoveries of prior year obligations .....	-2		
74.40	Obligated balance, end of year .....	42	33	26
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority .....	1	10	10
86.98	Outlays from mandatory balances .....	15	27	25
87.00	Total outlays (gross) .....	16	37	35
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	26	28	28
90.00	Outlays .....	16	37	35

Federal hazardous material law (49 U.S.C. 5101 et seq.) established a national registration program for shippers and carriers of hazardous materials. The law also established fees to be collected from registrants. These fees finance emergency preparedness planning and training grants, development of a training curriculum for emergency responders, and technical assistance to States, political subdivisions, and Indian tribes; publication and distribution of the Emergency Response guidebook; and costs for staff to administer the program.

**Object Classification** (in millions of dollars)

Identification code 69-5282-0-2-407	2009 actual	2010 est.	2011 est.	
41.0	Direct obligations: Grants, subsidies, and contributions .....	25	27	27
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	26	28	28

**Trust Funds**

**TRUST FUND SHARE OF PIPELINE SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 69-8121-0-7-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Trust fund share of pipeline safety .....	19	19	19
10.00 Total new obligations (object class 94.0) .....	19	19	19
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	19	19	19
23.95 Total new obligations .....	-19	-19	-19
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	19	19	19
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	9	11	13
73.10 Total new obligations .....	19	19	19
73.20 Total outlays (gross) .....	-17	-17	-18
74.40 Obligated balance, end of year .....	11	13	14
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	11	9	9
86.93 Outlays from discretionary balances .....	6	8	9
87.00 Total outlays (gross) .....	17	17	18
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	19	19	19
90.00 Outlays .....	17	17	18

The Oil Pollution Act of 1990 requires the preparation of oil spill response plans by pipeline operators to minimize the environmental impact of oil spills and to improve public and private sector response capabilities. The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for the review and approval of these plans as an added measure helping to ensure that the public and the environment are provided with an adequate level of protection from such spills. PHMSA also seeks to improve oil spill preparedness and response through data analysis, spill monitoring, pipeline mapping, environmental indexing, and advanced technologies to detect and prevent leaks from hazardous liquid pipelines.

**RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION**

**Federal Funds**

**RESEARCH AND DEVELOPMENT**

For necessary expenses of the Research and Innovative Technology Administration, **[\$13,007,000]** \$17,200,000, of which **[\$6,036,000]** \$10,000,000 shall remain available until September 30, **[2012]** 2013: *Provided*, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-1730-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Salaries and administrative expenses .....	6	7	7
00.02 Research development and technology coordination .....	1	1	1
00.03 Alternative fuels R&D (formerly hydrogen fuels safety) .....	1	1	1
00.04 Nationwide differential global positioning system .....	5	4	7
00.05 Positioning navigation & timing .....	1	1	1
01.00 Direct Program by Activities - Subtotal (running) .....	12	14	17
09.01 University transportation center .....	54	8	8

09.02 Transportation safety institute .....	16	20	20
09.03 Other programs .....	5	10	10
09.09 Reimbursable program - subtotal line .....	75	38	38
10.00 Total new obligations .....	87	52	55

<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1		
22.00 New budget authority (gross) .....	88	51	55
23.90 Total budgetary resources available for obligation .....	88	52	55
23.95 Total new obligations .....	-87	-52	-55
24.40 Unobligated balance carried forward, end of year .....	1		

<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	13	13	17
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	36	38	38
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	39		
58.90 Spending authority from offsetting collections (total discretionary) .....	75	38	38
70.00 Total new budget authority (gross) .....	88	51	55

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	219	158	2
73.10 Total new obligations .....	87	52	55
73.20 Total outlays (gross) .....	-114	-208	-54
73.40 Adjustments in expired accounts (net) .....	1		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-39		
74.10 Change in uncollected customer payments from Federal sources (expired) .....	4		
74.40 Obligated balance, end of year .....	158	2	3

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	21	50	53
86.93 Outlays from discretionary balances .....	93	158	1
87.00 Total outlays (gross) .....	114	208	54

<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-41	-38	-38
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-39		
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	5		

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	13	13	17
90.00 Outlays .....	73	170	16

The Research and Innovative Technology Administration (RITA) is responsible for coordinating, facilitating, and reviewing the Department's research and development programs and activities. Coordination and advancement of research and technology activities is led by the RITA Office of Research, Development and Technology and is funded through the General Fund. RITA is also responsible for coordinating and developing Positioning, Navigation and Timing (PNT) technology, PNT policy coordination, and spectrum management. RITA is the program manager for the Nationwide Differential Global Positioning System.

RITA oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. BTS is funded by an allocation from the Federal Highway Administration's Federal-Aid Highways account.

The Intelligent Transportation Systems (ITS) Joint Program Office (JPO) facilitates the deployment of technology to enhance

the safety, efficiency, convenience, and environmental sustainability of surface transportation. The ITS program carries out its goals through research and development, operational testing, technology transfer, training and technical guidance. The ITS Research Program is currently funded through the Federal Highway Administration (FHWA) under SAFETEA-LU (Subtitle C).

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The UTC Program funding is provided to RITA through an allocation from the Federal Highway Administration and a reimbursable agreement from the Federal Transit Administration.

The John A. Volpe National Transportation Systems (Cambridge, MA) provides expertise in research, analysis, technology deployment, and other technical knowledge to DOT and non-DOT customers on specific transportation system projects or issues, on a fee-for-service basis.

The Transportation Safety Institute develops and conducts safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

**Object Classification** (in millions of dollars)

Identification code 69-1730-0-1-407	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	2	1	4
25.3 Other purchases of goods and services from Government accounts .....	6	8	8
99.0 Direct obligations .....	12	14	17
99.0 Reimbursable obligations .....	75	38	38
99.9 Total new obligations .....	87	52	55

**Employment Summary**

Identification code 69-1730-0-1-407	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	21	26	27
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	53	60	60
<b>Allocation account:</b>			
3001 Civilian full-time equivalent employment .....	68	70	70

**WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER**

**Program and Financing** (in millions of dollars)

Identification code 69-4522-0-4-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Volpe National Transportation Systems Center .....	248	248	250
10.00 Total new obligations .....	248	248	250
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			229
22.00 New budget authority (gross) .....	234	240	250
23.90 Total budgetary resources available for obligation .....	485	477	479
23.95 Total new obligations .....	-248	-248	-250
24.40 Unobligated balance carried forward, end of year .....	237	229	229
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	307	240	250

58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-73		
58.90 Spending authority from offsetting collections (total discretionary) .....	234	240	250
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	-93	-8	
73.10 Total new obligations .....	248	248	250
73.20 Total outlays (gross) .....	-236	-240	-250
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	73		
74.40 Obligated balance, end of year .....	-8		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	174	240	250
86.93 Outlays from discretionary balances .....	62		
87.00 Total outlays (gross) .....	236	240	250
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-307	-240	-250
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	73		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-71		

The Working Capital Fund finances multidisciplinary research, evaluation, analytical and related activities undertaken at the Volpe Center in Cambridge, MA. The fund is financed through negotiated agreements with the Office of the Secretary, Departmental operating administrations, and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

**Object Classification** (in millions of dollars)

Identification code 69-4522-0-4-407	2009 actual	2010 est.	2011 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	48	49	50
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	52	53	54
12.1 Civilian personnel benefits .....	13	13	14
21.0 Travel and transportation of persons .....	4	4	5
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.1 Advisory and assistance services .....	1		
25.2 Other services .....	60	60	60
25.3 Other purchases of goods and services from Government accounts .....		1	1
25.4 Operation and maintenance of facilities .....	4	5	5
25.5 Research and development contracts .....	96	95	94
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	12	8	8
32.0 Land and structures .....	1	3	3
99.9 Total new obligations .....	248	248	250

**Employment Summary**

Identification code 69-4522-0-4-407	2009 actual	2010 est.	2011 est.
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	511	550	550

**OFFICE OF INSPECTOR GENERAL**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, **[\$75,114,000] \$79,772,000: Provided**, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department: *Provided further*, That the funds made available under this heading **[shall]** may be used to investigate, pursuant to section 41712 of title 49, United States Code: (1) unfair or deceptive practices and unfair methods of competition by domestic and foreign air carriers and ticket agents; and (2) the compliance of domestic and foreign air carriers with respect to item (1) of this proviso. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-0130-0-1-407	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
01.01 General administration .....	73	77	82
01.02 ARRA oversight administration .....		6	6
09.01 Reimbursable program .....	4	5	5
10.00 Total new obligations .....	77	88	93
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		20	14
22.00 New budget authority (gross) .....	97	82	87
23.90 Total budgetary resources available for obligation .....	97	102	101
23.95 Total new obligations .....	-77	-88	-93
24.40 Unobligated balance carried forward, end of year .....	20	14	8
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	91	75	80
42.00 Transferred from other accounts .....	2	2	2
43.00 Appropriation (total discretionary) .....	93	77	82
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	4	5	5
70.00 Total new budget authority (gross) .....	97	82	87
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	9	8	8
73.10 Total new obligations .....	77	88	93
73.20 Total outlays (gross) .....	-77	-88	-93
73.40 Adjustments in expired accounts (net) .....	-1		
74.40 Obligated balance, end of year .....	8	8	8
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	70	74	79
86.93 Outlays from discretionary balances .....	7	14	14
87.00 Total outlays (gross) .....	77	88	93
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-4	-5	-5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	93	77	82
90.00 Outlays .....	73	83	88

This appropriation finances the cost of conducting and supervising audits and investigations relating to the programs and operations of the Department to promote economy, efficiency and effectiveness, and to prevent and detect fraud, waste, and abuse in such programs and operations. Additional funding will be received from the Federal Highway Administration, the Federal Transit Administration, the Federal Aviation Administration,

and the National Transportation Safety Board to carry out related activities through transfers and reimbursables.

**Object Classification** (in millions of dollars)

Identification code 69-0130-0-1-407	2009 actual	2010 est.	2011 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	37	43	45
11.3 Other than full-time permanent .....	1	3	3
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	41	49	51
12.1 Civilian personnel benefits .....	12	15	16
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....		1	1
25.2 Other services .....	4	4	4
25.3 Other purchases of goods and services from Government accounts .....	6	5	5
31.0 Equipment .....	1		1
99.0 Direct obligations .....	73	83	87
99.0 Reimbursable obligations .....	4	5	5
99.5 Below reporting threshold .....			1
99.9 Total new obligations .....	77	88	93

**Employment Summary**

Identification code 69-0130-0-1-407	2009 actual	2010 est.	2011 est.
Direct:			
1001 Civilian full-time equivalent employment .....	375	418	426
Reimbursable:			
2001 Civilian full-time equivalent employment .....	35	35	35

**SURFACE TRANSPORTATION BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, **[\$29,066,000] \$25,988,000: Provided**, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the Chairman of the Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: *Provided further*, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2010] 2011**, to result in a final appropriation from the general fund estimated at no more than **[\$27,816,000] \$24,738,000**. (*Department of Transportation Appropriations Act, 2010.*)

**Program and Financing** (in millions of dollars)

Identification code 69-0301-0-1-401	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Rail carriers .....	25	27	24
00.02 Other surface transportation carriers .....	1	1	1
01.00 Total direct obligations .....	26	28	25
09.12 Reimbursable rail carriers .....	1	1	1
10.00 Total new obligations .....	27	29	26
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	1	1
22.00 New budget authority (gross) .....	27	29	26
23.90 Total budgetary resources available for obligation .....	28	30	27
23.95 Total new obligations .....	-27	-29	-26
24.40 Unobligated balance carried forward, end of year .....	1	1	1

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation .....	26	28	25
58.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
70.00	Total new budget authority (gross) .....	27	29	26
Change in obligated balances:				
72.40	Obligated balance, start of year .....	4	3	3
73.10	Total new obligations .....	27	29	26
73.20	Total outlays (gross) .....	-28	-29	-27
74.40	Obligated balance, end of year .....	3	3	2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority .....	25	26	24
86.93	Outlays from discretionary balances .....	3	3	3
87.00	Total outlays (gross) .....	28	29	27
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-1	-1	-1
Net budget authority and outlays:				
89.00	Budget authority .....	26	28	25
90.00	Outlays .....	27	28	26

The Surface Transportation Board was created on January 1, 1996, by P.L. 104-88, the ICC Termination Act of 1995 (ICCTA). The Board is specifically responsible for the regulation of the rail and pipeline industries and certain non-licensing regulation of motor carriers and water carriers.

*Rail Carriers.*—This regulatory oversight encompasses the regulation of rates, mergers and acquisitions, construction, and abandonment of railroad lines, as well as the planning, analysis and policy development associated with these activities.

*Other Surface Transportation Carriers.*—This regulatory oversight includes certain regulation of the intercity bus industry and surface pipeline carriers as well as the rate regulation of water transportation in the non-contiguous domestic trade, household-good carriers, and collectively determined motor rates.

*2011 Program Request.*—\$25.988 million is requested to implement rulemakings and adjudicate the ongoing caseload within the directives and deadlines set forth by the ICCTA. This includes a request for \$1.250 million from reimbursements from offsetting collections of user fees.

The following paragraph is presented in compliance with Section 703 of the ICCTA. It is presented without change or correction.

*The Board's Request to OMB.*—The Board had submitted to the Secretary of Transportation and the Office of Management and Budget a 2011 appropriation request of \$31.249 million and a request that \$1.250 million from the offsetting collection of user fees be made available to the Board to operate at 156 FTEs. The offsetting collection of user fees is based on the costs incurred by the Board for fee-related activities and is commensurate with the costs of processing parties' submissions. In past fiscal years, the Board received both an appropriation and authorization for offsetting collections to be made available to the appropriation for the Board's expenses. The 2011 Budget request reflects offsetting collections as a credit to the appropriation received, to the extent that they are collected.

This level of funding is necessary to implement rulemakings and adjudicate the ongoing caseload within the deadlines imposed by ICCTA. The Board requires adequate resources to perform key functions under the ICCTA, including rail rate reasonableness oversight; the processing of rail consolidations, abandonments, and other restructuring proposals; and the resolution of non-rail matters. This request also includes staffing

and resources required to implement the Board's expanded jurisdiction with respect to regulation of passenger rail service under the Passenger Rail Investment and Improvement Act of 2008, P.L. No. 110-432.

**Object Classification** (in millions of dollars)

Identification code 69-0301-0-1-401	2009 actual	2010 est.	2011 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	15	16	14
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	16	17	15
12.1	Civilian personnel benefits .....	4	4	4
23.1	Rental payments to GSA .....	4	4	4
25.2	Other services .....	1	1	1
25.3	Other purchases of goods and services from Government accounts .....	1	1	1
99.0	Direct obligations .....	26	27	25
99.0	Reimbursable obligations .....	1	1	1
99.5	Below reporting threshold .....		1	
99.9	Total new obligations .....	27	29	26

**Employment Summary**

Identification code 69-0301-0-1-401	2009 actual	2010 est.	2011 est.	
Direct:				
1001	Civilian full-time equivalent employment .....	132	147	112
Reimbursable:				
2001	Civilian full-time equivalent employment .....	9	9	9

**MARITIME ADMINISTRATION**  
*Federal Funds*

OPERATIONS AND TRAINING

For necessary expenses of operations and training activities authorized by law, **[\$149,750,000]** \$164,353,000, of which **[\$11,240,000]** \$11,007,000 shall remain available until expended for maintenance and repair of training ships at State Maritime Academies, and of which **[\$15,000,000]** \$30,900,000 shall remain available until expended for capital improvements at the United States Merchant Marine Academy, and of which **[\$59,057,000]** shall be available for operations at the United States Merchant Marine Academy **[\$6,000,000]** shall be available until expended for the Secretary's reimbursement of overcharged midshipmen fees: *Provided, That the Secretary, through such structure and administration as the Secretary establishes, shall reimburse current and former midshipmen of United States Merchant Marine Academy in such amounts as the Secretary determines, in his sole discretion, to be appropriate to address claims regarding the overcharging of midshipman fees, pertaining first to academic years 2003/2004 through 2008/2009, and then pertaining to earlier academic years to the extent that the Secretary determines to be appropriate and subject to the amounts specifically appropriated herein for such reimbursements: Provided further, That notwithstanding any other provision of law, such midshipmen-fee reimbursements shall be the exclusive remedy available for the compensation of fees overcharged to current and former midshipmen at the United States Merchant Marine Academy and, in addition, shall be the final, conclusive, non-reviewable settlement of any and all overcharges and of any and all claims arising out or relating to midshipmen fees: Provided further, That amounts apportioned to the United States Merchant Marine Academy shall be available only upon allotments made personally by the Secretary of Transportation or the Assistant Secretary for Budget and Programs: Provided further, That the Superintendent, Deputy Superintendent and the Director of the Office of Resource Management of the United States Merchant Marine Academy may not be allotment holders for the United States Merchant Marine Academy, and the Administrator of Maritime Administration shall hold all allotments made by the Secretary of Transportation or the Assistant Secretary for Budget and Programs under the previous proviso: Provided further, That 50 percent of the funding made available for the United States Merchant Marine Academy under*

OPERATIONS AND TRAINING—Continued

this heading shall be available only after the Secretary, in consultation with the Superintendent and the Maritime Administration, completes a plan detailing by program or activity and by object class how such funding will be expended at the Academy, and this plan is submitted to the House and Senate Committees on Appropriations. (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-1750-0-1-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Merchant Marine Academy .....	54	86	100
00.02 State marine schools .....	15	18	15
00.03 MARAD operations .....	46	60	49
00.04 Other Maritime Programs .....	4	20	.....
00.05 ARRA- Grant Admin. ....	.....	2	.....
01.00 Subtotal, Direct program .....	119	186	164
09.01 Reimbursable program .....	16	32	28
10.00 Total new obligations .....	135	218	192
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	19	40	.....
22.00 New budget authority (gross) .....	157	178	192
22.10 Resources available from recoveries of prior year obligations .....	2	.....	.....
23.90 Total budgetary resources available for obligation .....	178	218	192
23.95 Total new obligations .....	-135	-218	-192
23.98 Unobligated balance expiring or withdrawn .....	-3	.....	.....
24.40 Unobligated balance carried forward, end of year .....	40	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	123	150	164
42.00 Transferred from other accounts .....	2	.....	.....
43.00 Appropriation (total discretionary) .....	125	150	164
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	20	28	28
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	12	.....	.....
58.90 Spending authority from offsetting collections (total discretionary) .....	32	28	28
70.00 Total new budget authority (gross) .....	157	178	192
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	53	39	28
73.10 Total new obligations .....	135	218	192
73.20 Total outlays (gross) .....	-133	-229	-188
73.40 Adjustments in expired accounts (net) .....	-2	.....	.....
73.45 Recoveries of prior year obligations .....	-2	.....	.....
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-12	.....	.....
74.40 Obligated balance, end of year .....	39	28	32
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	97	156	167
86.93 Outlays from discretionary balances .....	36	73	21
87.00 Total outlays (gross) .....	133	229	188
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Ready Reserve Force/National Defense Reserve Fleet .....	.....	.....	.....
88.00 Merchant Marine Academy .....	.....	-1	-1
88.00 Title XI administrative expenses .....	-4	.....	.....
88.00 Marine Board research program and others .....	.....	.....	.....
88.00 Port of Anchorage .....	.....	.....	.....
88.00 Federal sources .....	-16	-27	-27
88.90 Total, offsetting collections (cash) .....	-20	-28	-28
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-12	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	125	150	164

90.00	Outlays .....	113	201	160
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The appropriation for Operations and Training provides funding for staff at headquarters and field offices to administer and direct Maritime Administration operations and programs. Maritime Administration operations include planning for coordination of U.S. maritime industry activities under emergency conditions; technology assessments calculated to achieve advancements in ship design, construction and operation; and port and intermodal development to increase capacity and mitigate congestion in freight movements. Maritime training programs include the operation of the U.S. Merchant Marine Academy and financial assistance to the six State maritime academies.

The Operations and Training budget request of \$164.6 million includes \$100 million for the United States Merchant Marine Academy, \$15 million for the State maritime academies, and \$49.6 million for maritime operations and programs.

Object Classification (in millions of dollars)

Identification code 69-1750-0-1-403	2009 actual	2010 est.	2011 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	37	42	43
11.3 Other than full-time permanent .....	6	7	7
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	45	51	52
12.1 Civilian personnel benefits .....	11	12	13
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services .....	36	96	72
26.0 Supplies and materials .....	6	6	6
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	4	4	4
41.0 Grants, subsidies, and contributions .....	4	4	4
99.0 Direct obligations .....	119	186	164
99.0 Reimbursable obligations .....	16	32	28
99.9 Total new obligations .....	135	218	192

Employment Summary

Identification code 69-1750-0-1-403	2009 actual	2010 est.	2011 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....	442	498	502

【ASSISTANCE TO SMALL SHIPYARDS】

【To make grants to qualified shipyards as authorized under section 3508 of Public Law 110-417 or section 54101 of title 46, United States Code, \$15,000,000, to remain available until expended: *Provided*, That to be considered for assistance, a qualified shipyard shall submit an application for assistance no later than 60 days after enactment of this Act: *Provided further*, That from applications submitted under the previous proviso, the Secretary of Transportation shall make grants no later than 120 days after enactment of this Act in such amounts as the Secretary determines: *Provided further*, That not to exceed 2 percent of the funds appropriated under this heading shall be available for necessary costs of grant administration.】 (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-1770-0-1-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Grants for Capital Improvement for Small Shipyards .....	17	15	.....
00.03 Grants for Small Shipyards, Recovery Act .....	98	1	.....
10.00 Total new obligations (object class 41.0) .....	115	16	.....

<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	8	1
21.45	Adjustments to unobligated balance carried forward, start of year .....	-8	
22.00	New budget authority (gross) .....	116	15
23.90	Total budgetary resources available for obligation .....	116	16
23.95	Total new obligations .....	-115	-16
24.40	Unobligated balance carried forward, end of year .....	1	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation .....	118	15
41.00	Transferred to other accounts .....	-2	
43.00	Appropriation (total discretionary) .....	116	15
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....		117
72.45	Adjustment to obligated balance, start of year .....	8	
73.10	Total new obligations .....	115	16
73.20	Total outlays (gross) .....	-6	-104
74.40	Obligated balance, end of year .....	117	29
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....	6	15
86.93	Outlays from discretionary balances .....		89
87.00	Total outlays (gross) .....	6	104
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	116	15
90.00	Outlays .....	6	104

The National Defense Authorization Act for Fiscal Year 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry. No new funds are requested for 2011.

SHIP DISPOSAL

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, **[\$15,000,000]** \$10,000,000, to remain available until expended. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-1768-0-1-403			
2009 actual    2010 est.    2011 est.			
<b>Obligations by program activity:</b>			
00.01	Ship disposal .....	10	41
10.00	Total new obligations (object class 25.2) .....	10	41
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	20	26
22.00	New budget authority (gross) .....	15	15
22.10	Resources available from recoveries of prior year obligations .....	1	
23.90	Total budgetary resources available for obligation .....	36	41
23.95	Total new obligations .....	-10	-41
24.40	Unobligated balance carried forward, end of year .....	26	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation .....	15	15
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....	8	6
73.10	Total new obligations .....	10	41
73.20	Total outlays (gross) .....	-11	-40

73.45	Recoveries of prior year obligations .....	-1	
74.40	Obligated balance, end of year .....	6	7
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....	7	8
86.93	Outlays from discretionary balances .....	4	32
87.00	Total outlays (gross) .....	11	40
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	15	15
90.00	Outlays .....	11	40

The Ship Disposal program provides resources to properly dispose of obsolete Government-owned merchant ships maintained by the Maritime Administration in the National Defense Reserve Fleet. The Maritime Administration contracts with domestic shipbreaking firms to dismantle these vessels in accordance with guidelines set forth by the U.S. Environmental Protection Agency.

Employment Summary

Identification code 69-1768-0-1-403			
2009 actual    2010 est.    2011 est.			
Direct:			
1001	Civilian full-time equivalent employment .....	11	11

MARITIME SECURITY PROGRAM

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$174,000,000, to remain available until expended. (*Department of Transportation Appropriations Act, 2010.*)

Program and Financing (in millions of dollars)

Identification code 69-1711-0-1-054			
2009 actual    2010 est.    2011 est.			
<b>Obligations by program activity:</b>			
00.01	Maritime security program .....	170	179
10.00	Total new obligations (object class 41.0) .....	170	179
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	1	5
22.00	New budget authority (gross) .....	174	174
23.90	Total budgetary resources available for obligation .....	175	179
23.95	Total new obligations .....	-170	-179
24.40	Unobligated balance carried forward, end of year .....	5	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00	Appropriation .....	174	174
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....	16	20
73.10	Total new obligations .....	170	179
73.20	Total outlays (gross) .....	-166	-187
74.40	Obligated balance, end of year .....	20	12
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....	153	162
86.93	Outlays from discretionary balances .....	13	25
87.00	Total outlays (gross) .....	166	187
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	174	174
90.00	Outlays .....	166	187

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to establish and sustain a fleet of active, commercially viable, privately-owned, militarily useful vessels to meet national defense and other

MARITIME SECURITY PROGRAM—Continued

emergency sealift requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities and U.S. citizen merchant mariners to crew both Government-owned and commercial fleets.

SHIP CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 69-1708-0-1-403	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40		1	
22.00	-1		
23.90			
24.40			
<b>Budgetary resources available for obligation:</b>			
21.40		1	
22.00	-1		
23.90			
24.40			
<b>Total budgetary resources available for obligation</b>			
23.90			
23.95	-149	-175	-175
<b>Unobligated balance carried forward, end of year</b>			
24.40			
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.36	-1		
<b>Change in obligated balances:</b>			
74.40			
<b>Net budget authority and outlays:</b>			
89.00	-1		
90.00			

The Ship Construction program is currently inactive except for determinations regarding the use of vessels built under the program and the final settlement of open contracts to close financial accounts.

OPERATING-DIFFERENTIAL SUBSIDIES

Program and Financing (in millions of dollars)

Identification code 69-1709-0-1-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01		1	
10.00		1	
<b>Budgetary resources available for obligation:</b>			
21.40	1	1	
23.95		-1	
24.40	1		
<b>Change in obligated balances:</b>			
72.40	10	10	11
73.10		1	
74.40	10	11	11
<b>Net budget authority and outlays:</b>			
89.00			
90.00			

This program has been replaced by the Maritime Security Program and is inactive except for final settlement of open contracts to close financial accounts.

OCEAN FREIGHT DIFFERENTIAL

Program and Financing (in millions of dollars)

Identification code 69-1751-0-1-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01	119	139	139
00.02	30	35	35
00.03		1	1
10.00	149	175	175
<b>Budgetary resources available for obligation:</b>			
21.40	91	27	27
22.00	176	175	175
22.70	-91		
23.90	176	202	202
23.95	-149	-175	-175
24.40	27	27	27
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00	109	175	175
60.47	-108	-175	-175
62.50	1		
67.10	175	175	175
70.00	176	175	175
<b>Change in obligated balances:</b>			
72.40		1	
73.10	149	175	175
73.20	-150	-175	-175
74.40			
<b>Outlays (gross), detail:</b>			
86.97	150	175	175
<b>Net budget authority and outlays:</b>			
89.00	176	175	175
90.00	150	175	175

Ocean freight differential is the difference in cost incurred in the movement of ocean cargoes. In general, when applied to cargo preference policy implementation, it is the cost difference between using U.S. flag carriers and foreign-flag carriers. Cargo preference provides a revenue source to help sustain a privately-owned U.S. flag merchant marine by requiring shippers of certain U.S. government-sponsored cargoes to use U.S. flag vessels. P.L. 99-108 amended the cargo preference requirement in Section 901 of the Merchant Marine Act by increasing the minimum required tonnage of certain government-sponsored food-aid shipments that must be shipped on U.S. flag vessels from 50 to 75 percent. The Maritime Administration is required to reimburse the U.S. government agencies that sponsor these food-aid shipments for the increase in ocean freight differential associated with compliance with this expanded U.S. flag shipping requirement.

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 69-1710-0-1-054	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.00			400
10.00			400
<b>Budgetary resources available for obligation:</b>			
21.40	2	2	2
22.00			400
23.90	2	2	402

23.95	Total new obligations .....			-400
24.40	Unobligated balance carried forward, end of year .....	2	2	2
<b>New budget authority (gross), detail:</b>				
Discretionary:				
58.00	Spending authority from offsetting collections: Offsetting collections (cash) .....			400
<b>Change in obligated balances:</b>				
73.10	Total new obligations .....			400
73.20	Total outlays (gross) .....			-360
74.40	Obligated balance, end of year .....			40
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....			360
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Ready Reserve Force .....			-310
88.00	Activations and deactivations .....			-5
88.00	Afloat Prepositioning Force (APF) and Army Prepositioning Stock (APS) .....			-15
88.00	DOD exercises and other .....			-35
88.00	Iraqi Freedom .....			-35
88.90	Total, offsetting collections (cash) .....			-400
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....			-40

The Ready Reserve Force (RRF) is comprised of Government-owned merchant ships within the National Defense Reserve Fleet (NDRF) that are maintained in an advanced state of readiness to meet surge sealift requirements during a national emergency. Resources for RRF vessel maintenance, preservation, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Defense Sealift Fund.

**Object Classification** (in millions of dollars)

Identification code 69-1710-0-1-054	2009 actual	2010 est.	2011 est.
99.0 Reimbursable obligations .....			400
99.9 Total new obligations .....			400

**Employment Summary**

Identification code 69-1710-0-1-054	2009 actual	2010 est.	2011 est.
Reimbursable:			
2001 Civilian full-time equivalent employment .....			333

**VESSEL OPERATIONS REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 69-4303-0-3-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Vessel operations .....	355	494	
10.00 Total new obligations .....	355	494	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	52	94	
22.00 New budget authority (gross) .....	377	400	
22.10 Resources available from recoveries of prior year obligations .....	20		
23.90 Total budgetary resources available for obligation .....	449	494	
23.95 Total new obligations .....	-355	-494	

24.40	Unobligated balance carried forward, end of year .....	94		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
Spending authority from offsetting collections:				
58.00	Offsetting collections (cash) .....	382	400	
58.10	Change in uncollected customer payments from Federal sources (unexpired) .....	-5		
58.90	Spending authority from offsetting collections (total discretionary) .....	377	400	
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	57	30	40
73.10	Total new obligations .....	355	494	
73.20	Total outlays (gross) .....	-367	-484	-40
73.45	Recoveries of prior year obligations .....	-20		
74.00	Change in uncollected customer payments from Federal sources (unexpired) .....	5		
74.40	Obligated balance, end of year .....	30	40	
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	250	360	
86.93	Outlays from discretionary balances .....	117	124	40
87.00	Total outlays (gross) .....	367	484	40
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Ready Reserve Force .....	-220	-310	
88.00	Activations and deactivations .....	-36	-5	
88.00	Afloat Prepositioning Force (APF) and Army Prepositioning Stock (APS) .....	-32	-15	
88.00	DOD exercises and other .....	-25	-35	
88.00	Iraqi Freedom .....	-68	-35	
88.40	Non-Federal sources .....	-1		
88.90	Total, offsetting collections (cash) .....	-382	-400	
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	5		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-15	84	40

The Maritime Administration (MARAD) is authorized to reactivate, maintain, operate, and deactivate government-owned merchant vessels comprising the National Defense Reserve Fleet (NDRF) and the Ready Reserve Force (RRF), a subset of the NDRF. Resources for RRF vessel maintenance, preservation, activation and operation costs, as well as RRF infrastructure support costs and additional DOD/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Defense Sealift Fund. In 2011 and thereafter, interagency agreement transactions pertaining to the RRF with be reflected in the Ready Reserve Force account instead of the Vessel Operations Revolving Fund.

MARAD also incurs obligations for maintenance, preservation, operation and deactivation of government-owned merchant vessels outside the RRF fleet and for the charter of privately-owned merchant vessels, the cost of which is likewise provided by reimbursement from sponsoring Federal agencies to the Vessel Operations Revolving Fund. The fund is also used by MARAD to finance the acquisition, maintenance, preservation, protection and use of merchant vessels involved in mortgage foreclosure or collateral forfeiture proceedings instituted by the Federal Government and not financed by the Federal Ship Financing Fund or the Maritime Guaranteed Loan Program; and to finance the acquisition and disposition of merchant vessels under the Trade-In/Scrap Out program. Direct appropriations for the disposal of obsolete government-owned merchant vessels are provided to a separate account within the ship disposal program.

VESSEL OPERATIONS REVOLVING FUND—Continued

Object Classification (in millions of dollars)

Identification code 69-4303-0-3-403	2009 actual	2010 est.	2011 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	28	28	.....
12.1 Civilian personnel benefits .....	7	7	.....
21.0 Travel and transportation of persons .....	1	1	.....
23.1 Rental payments to GSA .....	4	4	.....
23.2 Rental payments to others .....	13	13	.....
23.3 Communications, utilities, and miscellaneous charges .....	11	11	.....
25.2 Other services .....	266	405	.....
26.0 Supplies and materials .....	6	6	.....
31.0 Equipment .....	3	3	.....
41.0 Grants, subsidies, and contributions .....	1	1	.....
42.0 Insurance claims and indemnities .....	15	15	.....
99.9 Total new obligations .....	355	494	.....

Employment Summary

Identification code 69-4303-0-3-403	2009 actual	2010 est.	2011 est.
<b>Reimbursable:</b>			
2001 Civilian full-time equivalent employment .....	306	333	.....

WAR RISK INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 69-4302-0-3-403	2009 actual	2010 est.	2011 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	44	46	48
22.00 New budget authority (gross) .....	2	2	2
23.90 Total budgetary resources available for obligation .....	46	48	50
24.40 Unobligated balance carried forward, end of year .....	46	48	50
<b>New budget authority (gross), detail:</b>			
Discretionary:			
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2	2	2
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on Federal securities .....	-2	-2	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	41	29	31
92.02 Total investments, end of year: Federal securities: Par value .....	29	31	33

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

PORT OF GUAM IMPROVEMENT ENTERPRISE FUND

Program and Financing (in millions of dollars)

Identification code 69-5560-0-2-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
09.01 Reimbursable program .....	.....	2	.....

10.00 Total new obligations (object class 25.2) .....	2	.....	.....
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	.....	.....
23.95 Total new obligations .....	-2	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2	.....	.....
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	2	.....	.....
73.20 Total outlays (gross) .....	-2	.....	.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	.....	.....
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-2	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	.....	.....	.....

FEDERAL SHIP FINANCING FUND LIQUIDATING ACCOUNT

This fund was used to underwrite vessel construction loan guarantees made under the Title XI maritime guaranteed loan program prior to 1992.

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For the cost of guaranteed loans, as authorized, \$9,000,000, of which \$5,000,000 shall remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That not to exceed \$4,000,000 For necessary administrative expenses of the maritime guaranteed loan program, \$3,688,000 shall be available for administrative expenses to carry out the guaranteed loan program, which shall be transferred to and merged with the paid to the appropriation for "Operations and Training", Maritime Administration. (Department of Transportation Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 69-1752-0-1-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.02 Loan guarantee subsidy .....	18	78	.....
00.07 Reestimates of loan guarantee subsidy .....	43	32	.....
00.08 Interest on reestimates of loan guarantee subsidy .....	12	24	.....
00.09 Administrative expense .....	4	4	4
10.00 Total new obligations .....	77	138	4
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	12	43	.....
22.00 New budget authority (gross) .....	108	95	4
23.90 Total budgetary resources available for obligation .....	120	138	4
23.95 Total new obligations .....	-77	-138	-4
24.40 Unobligated balance carried forward, end of year .....	43	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	4	9	4
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	48	30	.....
Mandatory:			
60.00 Appropriation .....	56	56	.....

70.00	Total new budget authority (gross) .....	108	95	4
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....		2	2
73.10	Total new obligations .....	77	138	4
73.20	Total outlays (gross) .....	-75	-138	-4
74.40	Obligated balance, end of year .....	2	2	2
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	7	39	4
86.93	Outlays from discretionary balances .....	12	43	
86.97	Outlays from new mandatory authority .....	56	56	
87.00	Total outlays (gross) .....	75	138	4
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-48	-30	
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	60	65	4
90.00	Outlays .....	27	108	4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 69-1752-0-1-403	2009 actual	2010 est.	2011 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215010 Risk Category 3 .....	41	287	
215011 Risk Category 4 .....	269	581	
215012 Risk Category 5 .....		187	
215999 Total loan guarantee levels .....	310	1,055	
Guaranteed loan subsidy (in percent):			
232010 Risk Category 3 .....	4.55	5.43	0.00
232011 Risk Category 4 .....	5.79	7.38	0.00
232012 Risk Category 5 .....	0.00	10.42	0.00
232999 Weighted average subsidy rate .....	5.63	7.39	0.00
Guaranteed loan subsidy budget authority:			
233010 Risk Category 3 .....	2	16	
233011 Risk Category 4 .....	16	43	
233012 Risk Category 5 .....		19	
233999 Total subsidy budget authority .....	17	78	
Guaranteed loan subsidy outlays:			
234010 Risk Category 3 .....		15	
234011 Risk Category 4 .....	15	39	
234012 Risk Category 5 .....		19	
234999 Total subsidy outlays .....	15	73	
Guaranteed loan upward reestimates:			
235014 Weighted Average Reestimates .....	56	56	
235999 Total upward reestimate budget authority .....	56	56	
Guaranteed loan downward reestimates:			
237014 Weighted Average Reestimates .....	-39	-44	
237999 Total downward reestimate subsidy budget authority .....	-39	-44	
Administrative expense data:			
3510 Budget authority .....	4	4	4
3590 Outlays from new authority .....	4	4	4

Title XI maritime loan guarantees provide for a full faith and credit guarantee of debt obligations issued (1) by U.S or foreign shipowners to finance or refinance either U.S.-flag vessels or eligible export vessels constructed, reconstructed or reconditioned in U.S. shipyards; and (2) by U.S. shipyards to finance the modernization of U.S. shipbuilding technology at shipyard facilities located in the United States.

As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years, and the administrative expenses of the program. The subsidy costs are estimated on a present value basis; the administrative expenses are estimated on a cash basis. Funds for administrative expenses for the Title XI program are appropriated to this account, then paid to the Operations and Training account. Given significant

funding from recent Department of Defense appropriations, no new funds for loan guarantees are requested for 2011.

**Object Classification** (in millions of dollars)

Identification code 69-1752-0-1-403	2009 actual	2010 est.	2011 est.
Direct obligations:			
25.2 Other services .....	4	4	4
41.0 Grants, subsidies, and contributions .....	73	134	
99.9 Total new obligations .....	77	138	4

**MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 69-4304-0-3-999	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Defaults Related to Acquisition of Property .....	50	150	
00.02 Payment of Interest to Treasury .....	2	5	
00.03 Default related activities .....	4	8	5
00.91 Subtotal .....	56	163	5
08.02 Downward re-estimates .....	20	24	
08.04 Interest on downward re-estimates .....	19	20	
08.91 Subtotal, downward re-estimates .....	39	44	
10.00 Total new obligations .....	95	207	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	238	249	337
22.00 New financing authority (gross) .....	106	295	29
23.90 Total budgetary resources available for obligation .....	344	544	366
23.95 Total new obligations .....	-95	-207	-5
24.40 Unobligated balance carried forward, end of year .....	249	337	361
<b>New financing authority (gross), detail:</b>			
Mandatory:			
67.10 Authority to borrow .....	29	90	
69.00 Offsetting collections (cash) .....	102	205	29
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....	2		
69.47 Portion applied to repay debt .....	-27		
69.90 Spending authority from offsetting collections (total mandatory) .....	77	205	29
70.00 Total new financing authority (gross) .....	106	295	29
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1		207
73.10 Total new obligations .....	95	207	5
73.20 Total financing disbursements (gross) .....	-94		
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-2		
74.40 Obligated balance, end of year .....		207	212
<b>Outlays (gross), detail:</b>			
87.00 Total financing disbursements (gross) .....	94		
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account - Upward Reestimate ....	-56	-56	
88.00 Federal sources .....	-15	-73	
88.25 Interest on uninvested funds .....	-14	-10	-14
88.40 Loan Repayment .....	-17	-21	-5
88.40 Fees and other payments .....		-45	-10
88.90 Total, offsetting collections (cash) .....	-102	-205	-29
Against gross financing authority only:			
88.95 Change in receivables from program accounts .....	-2		
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....	2	90	
90.00 Financing disbursements .....	-8	-205	-29

**MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT—Continued**  
**Status of Guaranteed Loans** (in millions of dollars)

Identification code 69-4304-0-3-999	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....			
2131 Guaranteed loan commitments exempt from limitation .....	310	1,055	
2150 Total guaranteed loan commitments .....	310	1,055	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	2,421	2,441	2,416
2231 Disbursements of new guaranteed loans .....	269	350	450
2251 Repayments and prepayments .....	-199	-225	-191
2262 Adjustments: Terminations for default that result in acquisition of property .....	-50	-150	-73
2290 Outstanding, end of year .....	2,441	2,416	2,602
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,271	2,096	2,096

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Title XI program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 69-4304-0-3-999	2008 actual	2009 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	239	250
1106 Investments in US securities:		
Receivables, net .....	27	20
1999 Total assets .....	266	270
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	266	270
2999 Total liabilities .....	266	270
4999 Total liabilities and net position .....	266	270

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 69-8547-0-7-403	2009 actual	2010 est.	2011 est.
01.00 Balance, start of year .....			
01.99 Balance, start of year .....			
Receipts:			
02.20 Gifts and Bequests, Maritime Administration, Transportation .....	1	1	1
02.99 Total receipts and collections .....	1	1	1
04.00 Total: Balances and collections .....	1	1	1
Appropriations:			
05.00 Miscellaneous Trust Funds, Maritime Administration .....	-1		
05.01 Miscellaneous Trust Funds, Maritime Administration .....		-1	-1
05.99 Total appropriations .....	-1	-1	-1
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 69-8547-0-7-403	2009 actual	2010 est.	2011 est.
<b>Obligations by program activity:</b>			
00.01 Special Studies .....	35	1	
00.02 Gifts and Bequests .....		2	1

01.00 Total direct program - Subtotal (running) .....	35	3	1
10.00 Total new obligations (object class 25.2) .....	35	3	1

**Budgetary resources available for obligation:**

21.40 Unobligated balance carried forward, start of year .....	3	2	
22.00 New budget authority (gross) .....	1	1	1
22.10 Resources available from recoveries of prior year obligations .....	33		
23.90 Total budgetary resources available for obligation .....	37	3	1
23.95 Total new obligations .....	-35	-3	-1
24.40 Unobligated balance carried forward, end of year .....	2		

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.26 Appropriation (trust fund) .....	1		
60.26 Appropriation (trust fund) (69-8503-0-403-N-0500-02) .....		1	1
62.50 Appropriation (total mandatory) .....	1	1	1

**Change in obligated balances:**

72.40 Obligated balance, start of year .....	78	21	1
73.10 Total new obligations .....	35	3	1
73.20 Total outlays (gross) .....	-59	-23	-1
73.45 Recoveries of prior year obligations .....	-33		
74.40 Obligated balance, end of year .....	21	1	1

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....		1	1
86.98 Outlays from mandatory balances .....	59	22	
87.00 Total outlays (gross) .....	59	23	1

**Net budget authority and outlays:**

89.00 Budget authority .....	1	1	1
90.00 Outlays .....	59	23	1

**ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION**

**SEC. 175.** Notwithstanding any other provision of this Act, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration, and payments received therefor shall be credited to the appropriation charged with the cost thereof: *Provided*, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.

**SEC. 176.** Section 51314 of title 46, United States Code, is amended in subsection (b) by inserting at the end "Such fees shall be credited to the Maritime Administration's Operations and Training appropriation, to remain available until expended, for those expenses directly related to the purposes of the fees. Fees collected in excess of actual expenses may be refunded to the Midshipmen through a mechanism approved by the Secretary. The Academy shall maintain a separate and detailed accounting of fee revenue and all associated expenses." *Department of Transportation Appropriations Act, 2010.*

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2009 actual	2010 est.	2011 est.
Offsetting receipts from the public:			
69-085500 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Administrative Costs .....	1	1	1
69-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1		
69-272830 Maritime (title XI) Loan Program, Downward Reestimates of Subsidies .....	39	44	
69-276030 Downward Reestimates, Railroad Rehabilitation and Improvement Program .....	19	16	
69-276830 Transportation Infrastructure Finance and Innovation Program, Interest on Downward Reestimates .....	73	5	

69-322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts .....	52		
General Fund Offsetting receipts from the public .....	185	66	1
Intragovernmental payments:			
69-388500 Undistributed Intragovernmental Payments and			
Receivables from Cancelled Accounts .....	-24		
General Fund Intragovernmental payments .....	-24		

**GENERAL PROVISIONS—DEPARTMENT OF TRANSPORTATION**

SEC. 180. During the current fiscal year applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901–5902).

SEC. 181. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 182. None of the funds in this Act shall be available for salaries and expenses of more than 110 political and Presidential appointees in the Department of Transportation [ *Provided*, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation ].

SEC. 183. None of the funds in this Act shall be used to implement section 404 of title 23, United States Code.

SEC. 184. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.

(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.

SEC. 185. Funds received by the Federal Highway Administration, Federal Transit Administration, and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account, the Federal Transit Administration's "Research and University Research Centers" account, and to the Federal Railroad Administration's "Safety and Operations" account, and used for such expenses, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

[ SEC. 186. Funds provided or limited in this Act under the appropriate accounts within the Federal Highway Administration, the Federal Railroad Administration and the Federal Transit Administration shall be for the eligible programs, projects and activities in the corresponding amounts identified in the committee report accompanying this Act for "Ferry Boats and Ferry Terminal Facilities", "Federal Lands", "Interstate Maintenance Discretionary", "Transportation, Community and System Preservation Program", "Delta Region Transportation Development Program", "Rail Line Relocation and Improvement Program", "Rail-highway crossing hazard eliminations", "Capital Investment Grants", "Alternatives analysis", and "Bus and bus facilities". ]

SEC. [187]186. Notwithstanding any other provisions of law, rule or regulation, the Secretary of Transportation is authorized to allow the issuer of any preferred stock heretofore sold to the Department to redeem or repurchase such stock upon the payment to the Department of an amount determined by the Secretary.

SEC. [188]187. None of the funds in this Act to the Department of Transportation may be used to make a grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than 3 full business days before any discretionary grant award, letter of intent, or full funding grant agreement totaling \$1,000,000 or more is announced by the department or its modal administrations from: (1) any discretionary grant program of the Federal Highway Administration including the emergency relief program; (2) the airport improve-

ment program of the Federal Aviation Administration; (3) any grant from the Federal Railroad Administration; or (4) any program of the Federal Transit Administration other than the formula grants and fixed guideway modernization programs: *Provided*, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: *Provided further*, That no notification shall involve funds that are not available for obligation.

SEC. [189]188. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. [190]189. Amounts made available in this or any other Act that the Secretary determines represent improper payments by the Department of Transportation to a third-party contractor under a financial assistance award, which are recovered pursuant to law, shall be available—

(1) to reimburse the actual expenses incurred by the Department of Transportation in recovering improper payments; and

(2) to pay contractors for services provided in recovering improper payments or contractor support in the implementation of the Improper Payments Information Act of 2002: *Provided*, That amounts in excess of that required for paragraphs (1) and (2)—

(A) shall be credited to and merged with the appropriation from which the improper payments were made, and shall be available for the purposes and period for which such appropriations are available; or

(B) if no such appropriation remains available, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That [ prior to the transfer of any such recovery to an appropriations account, ] the Secretary shall [ notify ] *report annually* to the House and Senate Committees on Appropriations [ of ] the amount and reasons for [ such transfer ] *these transfers: Provided further*, That for purposes of this section, the term "improper payments", has the same meaning as that provided in section 2(d)(2) of Public Law 107–300.

[ SEC. 191. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, said reprogramming action shall be approved or denied solely by the Committees on Appropriations: *Provided*, That the Secretary may provide notice to other congressional committees of the action of the Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been approved or denied by the House and Senate Committees on Appropriations. ]

[ SEC. 192. None of the funds appropriated or otherwise made available under this Act may be used by the Surface Transportation Board of the Department of Transportation to charge or collect any filing fee for rate complaints filed with the Board in an amount in excess of the amount authorized for district court civil suit filing fees under section 1914 of title 28, United States Code. ]

SEC. [193]190. Notwithstanding section 3324 of Title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital [ fund ] *Fund* is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109–59: *Provided*, that the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high quality performance under the contract.

[ SEC. 194. (a) IN GENERAL.—Section 127(a)(11) of title 23, United States Code, is amended by striking "that portion of the Maine Turnpike designated Route 95 and 495, and that portion of Interstate Route 95 from the southern terminus of the Maine Turnpike to the New Hampshire State line, laws (including regulations)" and inserting "all portions of the Interstate Highway System in the State, laws (including regulations)". ]

(b) PERIOD OF EFFECTIVENESS.—The amendment made by subsection (a) shall be in effect during the 1-year period beginning on the date of enactment of this Act.

(c) REVERSION.—Effective as of the date that is 366 days after the date of enactment of this Act, section 127(a)(11) of title 23, United States Code, is amended by striking "all portions of the Interstate Highway System in the State, laws (including regulations)" and inserting "that portion of the Maine Turnpike designated Route 95 and 495, and that portion of Interstate Route 95 from the southern terminus of the Maine Turnpike to the New Hampshire State line, laws (including regulations)".

(d) VERMONT PILOT PROGRAM.—Section 127(a) of title 23, United States Code, is amended by adding at the end the following:

"(13)VERMONT PILOT PROGRAM.—

"(A)IN GENERAL.—With respect to Interstate Routes 89, 91, and 93 in the State of Vermont, laws (including regulations) of that State concerning vehicle weight limitations applicable to State highways other than the Interstate system shall be applicable in lieu of the requirements of this subsection."

(e) PERIOD OF EFFECTIVENESS FOR THE VERMONT PILOT PROGRAM.—The amendment made by subsection (d) shall be in effect during the 1-year period beginning on the date of enactment of this Act.

(f) REVERSION FOR THE VERMONT PILOT PROGRAM.—Effective as of the date that is 366 days after the date of enactment of this Act, section 127(a) of title 23, United States Code, is amended by striking paragraph (13).

(g) REPORT ON THE VERMONT PILOT PROGRAM.—Not later than 2 years after the date of enactment of this paragraph, the Secretary shall complete and submit to Congress a report on the effects of the pilot program under this paragraph on highway safety, bridge and road durability, commerce, truck volumes, and energy use within the State of Vermont. ]

[SEC. 195. The Secretary shall initiate an independent and comprehensive study and analysis to supplement that authorized under section 108, division C, of Public Law 111–8: *Provided*, That the Department of Transportation shall work with and coordinate with the Departments of Energy, Commerce and Agriculture to develop a comprehensive understanding of the full value of river flow support to users in the Mississippi and Missouri Rivers: *Provided further*, That subjects of analysis shall include energy (including hydropower and generation cooling), and water transport (including water-compelled rates, projected total transportation congestion considerations, transportation energy efficiency, air quality and carbon emissions) and water users (including the number and distribution of people, households, municipalities, and business throughout the Missouri and Mississippi River basins who use river water for multiple purposes): *Provided further*, That in addition to understanding current value, the Department is directed to work with appropriate Federal partners to develop recommendations on how to minimize impediments to growth and maximize water value of benefits related to energy production and efficiency, congestion relief, trade and transport efficiency, and air quality: *Provided further*, That the Department of Transportation shall provide its analysis and recommendations to the U.S. Army Corps of Engineers, the White House, and the Congress: *Provided further*, That \$2,000,000 is available until expended for such purposes. ]

[SEC. 196. Notwithstanding any other provision of law, funds made available under section 330 of the Fiscal Year 2002 Department of Transportation and Related Agencies Appropriations Act (Public Law 107–87) for the Las Vegas, Nevada Monorail Project, funds made available under section 115 of the Fiscal Year 2004 Transportation, Treasury and Independent Agencies Appropriations Act (Public Law 108–199) for the North Las Vegas Intermodal Transit Hub, and funds made available for the CATRAIL RTC Rail Project, Nevada in the Fiscal Year 2005 Transportation, Treasury, Independent Agencies and General Government Appropriations Act (Public Law 108–447), as well as any unexpended funds in the Federal Transit Administration grant numbers NV-03–0024 and NV-03–0027, shall be made available until expended to the Regional Transportation Commission of Southern Nevada for bus and bus-related projects and bus rapid transit projects: *Provided*, That the funds made available for a project in accordance with this section shall be administered under the terms and conditions set forth in 49 U.S.C. 5307, to the extent applicable. ]

SEC. 191. *Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary of Transportation for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to*

*provide a direct benefit to the applicable modal administration or administrations.*

SEC. 192. *In addition to the authority provided by section 327 of title 49, United States Code, the Secretary of Transportation is authorized to maintain and utilize without fiscal year limitation a reasonable operating and capital replacement reserve, notwithstanding paragraph (d) of such section, within the Working Capital Fund: Provided, That such capital replacement reserve shall not exceed four percent of the revenue from the previous fiscal year.*

SEC. 193. *For an additional amount for the "Salaries and Expenses" account, \$7,622,655, to increase the Department's acquisition workforce capacity and capabilities: Provided, That such funds may be transferred by the Secretary to any other account in the Department to carry out the purposes provided herein: Provided further, That such transfer authority is in addition to any other transfer authority provided in this Act: Provided further, That such funds shall be available only to supplement and not to supplant existing acquisition workforce activities: Provided further, That such funds shall be available for training, recruitment, retention, and hiring additional members of the acquisition workforce as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 401 et seq.): Provided further, That such funds shall be available for information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management. (Department of Transportation Appropriations Act, 2010.)*

## GENERAL PROVISIONS—THIS ACT

[SEC. 401. Such sums as may be necessary for fiscal year 2010 pay raises for programs funded in this Act shall be absorbed within the levels appropriated in this Act or previous appropriations Acts. ]

SEC. [402]401. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. [403]402. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. [404]403. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

[SEC. 405. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year 2010, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates a new program; (2) eliminates a program, project, or activity; (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress; (4) proposes to use funds directed for a specific activity by either the House or Senate Committees on Appropriations for a different purpose; (5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less; (6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or (7) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the explanatory statement accompanying this Act, whichever is more detailed, unless prior approval is received from the House and Senate Committees on Appropriations: *Provided*, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: *Provided further*, That the report shall include: (1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level; (2) a delineation in the table for each appropriation both

by object class and program, project, and activity as detailed in the budget appendix for the respective appropriation; and (3) an identification of items of special congressional interest: *Provided further*, That the amount appropriated or limited for salaries and expenses for an agency shall be reduced by \$100,000 per day for each day after the required date that the report has not been submitted to the Congress.】

SEC. 【406】404. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 【2010】2011 from appropriations made available for salaries and expenses for fiscal year 【2010】2011 in this Act, shall remain available through September 30, 【2011】2012, for each such account for the purposes authorized: *Provided*, That 【a request】*notice thereof* shall be submitted to the House and Senate Committees on Appropriations 【for approval】 prior to the expenditure of such funds【: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines under section 405 of this Act.】

【SEC. 407. All Federal agencies and departments that are funded under this Act shall issue a report to the House and Senate Committees on Appropriations on all sole source contracts by no later than July 30, 2010. Such report shall include the contractor, the amount of the contract and the rationale for using a sole source contract.】

【SEC. 408. (a) None of the funds made available in this Act may be obligated or expended for any employee training that—

(1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;

(2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;

(3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;

(4) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or

(5) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties.】

SEC. 【409】405. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: *Provided*, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: *Provided further*, That any use of funds for mass transit, railroad, airport, seaport or highway projects as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfield as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107–118) shall be considered a public use for purposes of eminent domain.

【SEC. 410. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United

States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.】

【SEC. 411. No part of any appropriation contained in this Act shall be available to pay the salary for any person filling a position, other than a temporary position, formerly held by an employee who has left to enter the Armed Forces of the United States and has satisfactorily completed his period of active military or naval service, and has within 90 days after his release from such service or from hospitalization continuing after discharge for a period of not more than 1 year, made application for restoration to his former position and has been certified by the Office of Personnel Management as still qualified to perform the duties of his former position and has not been restored thereto.】

SEC. 【412】406. No funds appropriated pursuant to this Act may be expended in contravention of sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 10a–10c, popularly known as the "Buy American Act").

SEC. 【413】407. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been found to violate the Buy American Act (41 U.S.C. 10a–10c).

SEC. 【414】408. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301–10.122 and 301–10.123 of title 41, Code of Federal Regulations.

SEC. 【415】409. None of the funds made available in this Act may be used to purchase a light bulb for an office building unless the light bulb has, to the extent practicable, an Energy Star or Federal Energy Management Program designation.

【SEC. 416. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.】

【SEC. 417. None of the funds made available in this Act may be used to establish, issue, implement, administer, or enforce any prohibition or restriction on the establishment or effectiveness of any occupancy preference for veterans in supportive housing for the elderly that: (1) is provided assistance by the Department of Housing and Urban Development; and (2)(A) is or would be located on property of the Department of Veterans Affairs; or (B) is subject to an enhanced use lease with the Department of Veterans Affairs.】

SEC. 【418】410. None of the funds made available under this Act or any prior Act may be provided to the Association of Community Organizations for Reform Now (ACORN), or any of its affiliates, subsidiaries, or allied organizations.

SEC. 【419】411. 【Specific projects contained in】 *To the extent that* the report of the Committee on Appropriations of the House of Representatives accompanying this Act (H. Rept. 111–218) *includes specific projects* that are considered congressional earmarks for purposes of clause 9 of rule XXI of the Rules of the House of Representatives, *such projects*, when intended to be awarded to a for-profit entity, shall be awarded under a full and open competition. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2010.*)

