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- (x) Component-system identification code;
- (xi) Quarter and year;
- (xii) Total heat input (mmBtu); and
- (xiii) Operating hours in period.

[64 FR 28612, May 26, 1999, as amended at 67 FR 40441, 40442, June 12, 2002; 70 FR 28683, May 18, 2005]

**§ 75.59 Certification, quality assurance, and quality control record provisions.**

The owner or operator shall meet all of the applicable recordkeeping requirements of this section.

(a) *Continuous emission or opacity monitoring systems.* The owner or operator shall record the applicable information in this section for each certified monitor or certified monitoring system (including certified backup monitors) measuring and recording emissions or flow from an affected unit.

(1) For each SO<sub>2</sub> or NO<sub>x</sub> pollutant concentration monitor, flow monitor, CO<sub>2</sub> emissions concentration monitor (including O<sub>2</sub> monitors used to determine CO<sub>2</sub> emissions), Hg monitor, or diluent gas monitor (including wet- and dry-basis O<sub>2</sub> monitors used to determine percent moisture), the owner or operator shall record the following for all daily and 7-day calibration error tests, all daily system integrity checks (Hg monitors, only), and all off-line calibration demonstrations, including any follow-up tests after corrective action:

- (i) Component-system identification code;
- (ii) Instrument span and span scale;
- (iii) Date and hour;
- (iv) Reference value (i.e., calibration gas concentration or reference signal value, in ppm or other appropriate units);
- (v) Observed value (monitor response during calibration, in ppm or other appropriate units);
- (vi) Percent calibration error (rounded to the nearest tenth of a percent) (flag if using alternative performance specification for low emitters or differential pressure flow monitors);
- (vii) Reference signal or calibration gas level;
- (viii) Test number and reason for test;

(ix) For 7-day calibration tests for certification or recertification, a certification from the cylinder gas vendor or CEMS vendor that calibration gas, as defined in § 72.2 of this chapter and appendix A to this part, was used to conduct calibration error testing;

(x) Description of any adjustments, corrective actions, or maintenance prior to a passed test or following a failed test; and

(xi) For the qualifying test for off-line calibration, the owner or operator shall indicate whether the unit is off-line or on-line.

(2) For each flow monitor, the owner or operator shall record the following for all daily interference checks, including any follow-up tests after corrective action.

(i) Component-system identification code;

(ii) Date and hour;

(iii) Code indicating whether monitor passes or fails the interference check; and

(iv) Description of any adjustments, corrective actions, or maintenance prior to a passed test or following a failed test.

(3) For each SO<sub>2</sub> or NO<sub>x</sub> pollutant concentration monitor, CO<sub>2</sub> emissions concentration monitor (including O<sub>2</sub> monitors used to determine CO<sub>2</sub> emissions), Hg concentration monitor, or diluent gas monitor (including wet- and dry-basis O<sub>2</sub> monitors used to determine percent moisture), the owner or operator shall record the following for the initial and all subsequent linearity check(s) and 3-level system integrity checks (Hg monitors with converters, only), including any follow-up tests after corrective action:

(i) Component-system identification code;

(ii) Instrument span and span scale;

(iii) Calibration gas level;

(iv) Date and time (hour and minute) of each gas injection at each calibration gas level;

(v) Reference value (i.e., reference gas concentration for each gas injection at each calibration gas level, in ppm or other appropriate units);

(vi) Observed value (monitor response to each reference gas injection at each calibration gas level, in ppm or other appropriate units);

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(vii) Mean of reference values and mean of measured values at each calibration gas level;

(viii) Linearity error at each of the reference gas concentrations (rounded to nearest tenth of a percent) (flag if using alternative performance specification);

(ix) Test number and reason for test (flag if aborted test); and

(x) Description of any adjustments, corrective action, or maintenance prior to a passed test or following a failed test.

(4) For each differential pressure type flow monitor, the owner or operator shall record items in paragraphs (a)(4) (i) through (v) of this section, for all quarterly leak checks, including any follow-up tests after corrective action. For each flow monitor, the owner or operator shall record items in paragraphs (a)(4) (vi) and (vii) for all flow-to-load ratio and gross heat rate tests:

(i) Component-system identification code.

(ii) Date and hour.

(iii) Reason for test.

(iv) Code indicating whether monitor passes or fails the quarterly leak check.

(v) Description of any adjustments, corrective actions, or maintenance prior to a passed test or following a failed test.

(vi) Test data from the flow-to-load ratio or gross heat rate (GHR) evaluation, including:

(A) Monitoring system identification code;

(B) Calendar year and quarter;

(C) Indication of whether the test is a flow-to-load ratio or gross heat rate evaluation;

(D) Indication of whether bias adjusted flow rates were used;

(E) Average absolute percent difference between reference ratio (or GHR) and hourly ratios (or GHR values);

(F) Test result;

(G) Number of hours used in final quarterly average;

(H) Number of hours exempted for use of a different fuel type;

(I) Number of hours exempted for load ramping up or down;

(J) Number of hours exempted for scrubber bypass;

(K) Number of hours exempted for hours preceding a normal-load flow RATA;

(L) Number of hours exempted for hours preceding a successful diagnostic test, following a documented monitor repair or major component replacement; and

(M) Number of hours excluded for flue gases discharging simultaneously through a main stack and a bypass stack.

(vii) Reference data for the flow-to-load ratio or gross heat rate evaluation, including (as applicable):

(A) Reference flow RATA end date and time;

(B) Test number of the reference RATA;

(C) Reference RATA load and load level;

(D) Average reference method flow rate during reference flow RATA;

(E) Reference flow/load ratio;

(F) Average reference method diluent gas concentration during flow RATA and diluent gas units of measure;

(G) Fuel specific  $F_d$  -or  $F_c$ -factor during flow RATA and F-factor units of measure;

(H) Reference gross heat rate value;

(I) Monitoring system identification code;

(J) Average hourly heat input rate during RATA;

(K) Average gross unit load; and

(L) Operating load level.

(5) For each SO<sub>2</sub> pollutant concentration monitor, flow monitor, each CO<sub>2</sub> emissions concentration monitor (including any O<sub>2</sub> concentration monitor used to determine CO<sub>2</sub> mass emissions or heat input), each NO<sub>x</sub>-diluent continuous emission monitoring system, each NO<sub>x</sub> concentration monitoring system, each diluent gas (O<sub>2</sub> or CO<sub>2</sub>) monitor used to determine heat input, each moisture monitoring system, each Hg concentration monitoring system, each sorbent trap monitoring system, and each approved alternative monitoring system, the owner or operator shall record the following information for the initial and all subsequent relative accuracy test audits:

(i) Reference method(s) used.

(ii) Individual test run data from the relative accuracy test audit for the SO<sub>2</sub> concentration monitor, flow monitor,

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CO<sub>2</sub> emissions concentration monitor, NO<sub>x</sub>-diluent continuous emission monitoring system, SO<sub>2</sub>-diluent continuous emission monitoring system, diluent gas (O<sub>2</sub> or CO<sub>2</sub>) monitor used to determine heat input, NO<sub>x</sub> concentration monitoring system, moisture monitoring system, Hg concentration monitoring system, sorbent trap monitoring system, or approved alternative monitoring system, including:

(A) Date, hour, and minute of beginning of test run;

(B) Date, hour, and minute of end of test run;

(C) Monitoring system identification code;

(D) Test number and reason for test;

(E) Operating level (low, mid, high, or normal, as appropriate) and number of operating levels comprising test;

(F) Normal load (or operating level) indicator for flow RATAs (except for peaking units);

(G) Units of measure;

(H) Run number;

(I) Run value from CEMS being tested, in the appropriate units of measure;

(J) Run value from reference method, in the appropriate units of measure;

(K) Flag value (0, 1, or 9, as appropriate) indicating whether run has been used in calculating relative accuracy and bias values or whether the test was aborted prior to completion;

(L) Average gross unit load, expressed as a total gross unit load, rounded to the nearest MWe, or as steam load, rounded to the nearest thousand lb/hr, except for units that do not produce electrical or thermal output; and

(M) Flag to indicate whether an alternative performance specification has been used.

(iii) Calculations and tabulated results, as follows:

(A) Arithmetic mean of the monitoring system measurement values, of the reference method values, and of their differences, as specified in Equation A-7 in appendix A to this part;

(B) Standard deviation, as specified in Equation A-8 in appendix A to this part;

(C) Confidence coefficient, as specified in Equation A-9 in appendix A to this part;

(D) Statistical “t” value used in calculations;

(E) Relative accuracy test results, as specified in Equation A-10 in appendix A to this part. For multi-level flow monitor tests the relative accuracy test results shall be recorded at each load (or operating) level tested. Each load (or operating) level shall be expressed as a total gross unit load, rounded to the nearest MWe, or as steam load, rounded to the nearest thousand lb/hr, or as otherwise specified by the Administrator, for units that do not produce electrical or thermal output;

(F) Bias test results as specified in section 7.6.4 in appendix A to this part; and

(G) Bias adjustment factor from Equation A-12 in appendix A to this part for any monitoring system that failed the bias test (except as otherwise provided in section 7.6.5 of appendix A to this part) and 1.000 for any monitoring system that passed the bias test.

(iv) Description of any adjustment, corrective action, or maintenance prior to a passed test or following a failed or aborted test.

(v) F-factor value(s) used to convert NO<sub>x</sub> pollutant concentration and diluent gas (O<sub>2</sub> or CO<sub>2</sub>) concentration measurements into NO<sub>x</sub> emission rates (in lb/mmBtu), heat input or CO<sub>2</sub> emissions.

(vi) For flow monitors, the equation used to linearize the flow monitor and the numerical values of the polynomial coefficients or K factor(s) of that equation.

(vii) For moisture monitoring systems, the coefficient or “K” factor or other mathematical algorithm used to adjust the monitoring system with respect to the reference method.

(6) For each SO<sub>2</sub>, NO<sub>x</sub>, Hg, or CO<sub>2</sub> emissions concentration monitor, NO<sub>x</sub>-diluent continuous emission monitoring system, NO<sub>x</sub> concentration monitoring system, or diluent gas (O<sub>2</sub> or CO<sub>2</sub>) monitor used to determine heat input, the owner or operator shall record the following information for the cycle time test:

(i) Component-system identification code;

(ii) Date;

(iii) Start and end times;

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- (iv) Upscale and downscale cycle times for each component;
- (v) Stable start monitor value;
- (vi) Stable end monitor value;
- (vii) Reference value of calibration gas(es);
- (viii) Calibration gas level;
- (ix) Cycle time result for the entire system;
- (x) Reason for test; and
- (xi) Test number.

(7) In addition to the information in paragraph (a)(5) of this section, the owner or operator shall record, for each relative accuracy test audit, supporting information sufficient to substantiate compliance with all applicable sections and appendices in this part. Unless otherwise specified in this part or in an applicable test method, the information in paragraphs (a)(7)(i) through (a)(7)(vi) of this section may be recorded either in hard copy format, electronic format or a combination of the two, and the owner or operator shall maintain this information in a format suitable for inspection and audit purposes. This RATA supporting information shall include, but shall not be limited to, the following data elements:

(i) For each RATA using Reference Method 2 (or its allowable alternatives) in appendix A to part 60 of this chapter to determine volumetric flow rate:

(A) Information indicating whether or not the location meets requirements of Method 1 in appendix A to part 60 of this chapter; and

(B) Information indicating whether or not the equipment passed the required leak checks.

(ii) For each run of each RATA using Reference Method 2 (or its allowable alternatives) in appendix A to part 60 of this chapter) to determine volumetric flow rate, record the following data elements (as applicable to the measurement method used):

(A) Operating level (low, mid, high, or normal, as appropriate);

(B) Number of reference method traverse points;

(C) Average stack gas temperature (°F);

(D) Barometric pressure at test port (inches of mercury);

(E) Stack static pressure (inches of H<sub>2</sub>O);

(F) Absolute stack gas pressure (inches of mercury);

(G) Percent CO<sub>2</sub> and O<sub>2</sub> in the stack gas, dry basis;

(H) CO<sub>2</sub> and O<sub>2</sub> reference method used;

(I) Moisture content of stack gas (percent H<sub>2</sub>O);

(J) Molecular weight of stack gas, dry basis (lb/lb-mole);

(K) Molecular weight of stack gas, wet basis (lb/lb-mole);

(L) Stack diameter (or equivalent diameter) at the test port (ft);

(M) Average square root of velocity head of stack gas (inches of H<sub>2</sub>O) for the run;

(N) Stack or duct cross-sectional area at test port (ft<sup>2</sup>);

(O) Average velocity (ft/sec);

(P) Average stack flow rate, adjusted, if applicable, for wall effects (scfh, wet basis);

(Q) Flow rate reference method used;

(R) Average velocity, adjusted for wall effects;

(S) Calculated (site-specific) wall effects adjustment factor determined during the run, and, if different, the wall effects adjustment factor used in the calculations; and

(T) Default wall effects adjustment factor used.

(iii) For each traverse point of each run of each RATA using Reference Method 2 (or its allowable alternatives) in appendix A to part 60 of this chapter) to determine volumetric flow rate, record the following data elements (as applicable to the measurement method used):

(A) Reference method probe type;

(B) Pressure measurement device type;

(C) Traverse point ID;

(D) Probe or pitot tube calibration coefficient;

(E) Date of latest probe or pitot tube calibration;

(F) Average velocity differential pressure at traverse point (inches of H<sub>2</sub>O) or the average of the square roots of the velocity differential pressures at the traverse point ((inches of H<sub>2</sub>O)<sup>1/2</sup>);

(G) T<sub>s</sub>, stack temperature at the traverse point (°F);

(H) Composite (wall effects) traverse point identifier;

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(I) Number of points included in composite traverse point;

(J) Yaw angle of flow at traverse point (degrees);

(K) Pitch angle of flow at traverse point (degrees);

(L) Calculated velocity at traverse point both accounting and not accounting for wall effects (ft/sec); and

(M) Probe identification number.

(iv) For each RATA using Method 6C, 7E, or 3A in appendix A to part 60 of this chapter to determine SO<sub>2</sub>, NO<sub>x</sub>, CO<sub>2</sub>, or O<sub>2</sub> concentration:

(A) Pollutant or diluent gas being measured;

(B) Span of reference method analyzer;

(C) Type of reference method system (e.g., extractive or dilution type);

(D) Reference method dilution factor (dilution type systems, only);

(E) Reference gas concentrations (zero, mid, and high gas levels) used for the 3-point pre-test analyzer calibration error test (or, for dilution type reference method systems, for the 3-point pre-test system calibration error test) and for any subsequent recalibrations;

(F) Analyzer responses to the zero-, mid-, and high-level calibration gases during the 3-point pre-test analyzer (or system) calibration error test and during any subsequent recalibration(s);

(G) Analyzer calibration error at each gas level (zero, mid, and high) for the 3-point pre-test analyzer (or system) calibration error test and for any subsequent recalibration(s) (percent of span value);

(H) Upscale gas concentration (mid or high gas level) used for each pre-run or post-run system bias check or (for dilution type reference method systems) for each pre-run or post-run system calibration error check;

(I) Analyzer response to the calibration gas for each pre-run or post-run system bias (or system calibration error) check;

(J) The arithmetic average of the analyzer responses to the zero-level gas, for each pair of pre- and post-run system bias (or system calibration error) checks;

(K) The arithmetic average of the analyzer responses to the upscale calibration gas, for each pair of pre- and post-

run system bias (or system calibration error) checks;

(L) The results of each pre-run and each post-run system bias (or system calibration error) check using the zero-level gas (percentage of span value);

(M) The results of each pre-run and each post-run system bias (or system calibration error) check using the upscale calibration gas (percentage of span value);

(N) Calibration drift and zero drift of analyzer during each RATA run (percentage of span value);

(O) Moisture basis of the reference method analysis;

(P) Moisture content of stack gas, in percent, during each test run (if needed to convert to moisture basis of CEMS being tested);

(Q) Unadjusted (raw) average pollutant or diluent gas concentration for each run;

(R) Average pollutant or diluent gas concentration for each run, corrected for calibration bias (or calibration error) and, if applicable, corrected for moisture;

(S) The F-factor used to convert reference method data to units of lb/mmBtu (if applicable);

(T) Date(s) of the latest analyzer interference test(s);

(U) Results of the latest analyzer interference test(s);

(V) Date of the latest NO<sub>2</sub> to NO conversion test (Method 7E only);

(W) Results of the latest NO<sub>2</sub> to NO conversion test (Method 7E only); and

(X) For each calibration gas cylinder used during each RATA, record the cylinder gas vendor, cylinder number, expiration date, pollutant(s) in the cylinder, and certified gas concentration(s).

(v) For each test run of each moisture determination using Method 4 in appendix A to part 60 of this chapter (or its allowable alternatives), whether the determination is made to support a gas RATA, to support a flow RATA, or to quality assure the data from a continuous moisture monitoring system, record the following data elements (as applicable to the moisture measurement method used):

(A) Test number;

(B) Run number;

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(C) The beginning date, hour, and minute of the run;

(D) The ending date, hour, and minute of the run;

(E) Unit operating level (low, mid, high, or normal, as appropriate);

(F) Moisture measurement method;

(G) Volume of H<sub>2</sub>O collected in the impingers (ml);

(H) Mass of H<sub>2</sub>O collected in the silica gel (g);

(I) Dry gas meter calibration factor;

(J) Average dry gas meter temperature (°F);

(K) Barometric pressure (inches of mercury);

(L) Differential pressure across the orifice meter (inches of H<sub>2</sub>O);

(M) Initial and final dry gas meter readings (ft<sup>3</sup>);

(N) Total sample gas volume, corrected to standard conditions (dscf); and

(O) Percentage of moisture in the stack gas (percent H<sub>2</sub>O).

(vi) The raw data and calculated results for any stratification tests performed in accordance with sections 6.5.6.1 through 6.5.6.3 of appendix A to this part.

(vii) For each RATA run using the Ontario Hydro Method to determine Hg concentration:

(A) Percent CO<sub>2</sub> and O<sub>2</sub> in the stack gas, dry basis;

(B) Moisture content of the stack gas (percent H<sub>2</sub>O);

(C) Average stack temperature (°F);

(D) Dry gas volume metered (dscm);

(E) Percent isokinetic;

(F) Particle-bound Hg collected by the filter, blank, and probe rinse (µgm);

(G) Oxidized Hg collected by the KCl impingers (µgm);

(H) Elemental Hg collected in the HNO<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> impinger and in the KMnO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> impingers (µgm);

(I) Total Hg, including particle-bound Hg (µgm); and

(J) Total Hg, excluding particle-bound Hg (µgm)

(viii) *Data elements for instrumental Hg reference method.* [Reserved]

(8) For each certified continuous emission monitoring system, continuous opacity monitoring system, or alternative monitoring system, the date and description of each event which requires recertification of the system and

the date and type of each test performed to recertify the system in accordance with § 75.20(b).

(9) When hardcopy relative accuracy test reports, certification reports, recertification reports, or semiannual or annual reports for gas or flow rate CEMS, Hg CEMS, or sorbent trap monitoring systems are required or requested under § 75.60(b)(6) or § 75.63, the reports shall include, at a minimum, the following elements (as applicable to the type(s) of test(s) performed:

(i) Summarized test results.

(ii) DAHS printouts of the CEMS data generated during the calibration error, linearity, cycle time, and relative accuracy tests.

(iii) For pollutant concentration monitor or diluent monitor relative accuracy tests at normal operating load:

(A) The raw reference method data from each run, i.e., the data under paragraph (a)(7)(iv)(Q) of this section (usually in the form of a computerized printout, showing a series of one-minute readings and the run average);

(B) The raw data and results for all required pre-test, post-test, pre-run and post-run quality assurance checks (i.e., calibration gas injections) of the reference method analyzers, i.e., the data under paragraphs (a)(7)(iv)(E) through (a)(7)(iv)(N) of this section;

(C) The raw data and results for any moisture measurements made during the relative accuracy testing, i.e., the data under paragraphs (a)(7)(v)(A) through (a)(7)(v)(O) of this section; and

(D) Tabulated, final, corrected reference method run data (i.e., the actual values used in the relative accuracy calculations), along with the equations used to convert the raw data to the final values and example calculations to demonstrate how the test data were reduced.

(iv) For relative accuracy tests for flow monitors:

(A) The raw flow rate reference method data, from Reference Method 2 (or its allowable alternatives) under appendix A to part 60 of this chapter, including auxiliary moisture data (often in the form of handwritten data sheets), i.e., the data under paragraphs (a)(7)(ii)(A) through (a)(7)(ii)(T), paragraphs (a)(7)(iii)(A) through

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(a)(7)(iii)(M), and, if applicable, paragraphs (a)(7)(v)(A) through (a)(7)(v)(O) of this section; and

(B) The tabulated, final volumetric flow rate values used in the relative accuracy calculations (determined from the flow rate reference method data and other necessary measurements, such as moisture, stack temperature and pressure), along with the equations used to convert the raw data to the final values and example calculations to demonstrate how the test data were reduced.

(v) Calibration gas certificates for the gases used in the linearity, calibration error, and cycle time tests and for the calibration gases used to quality assure the gas monitor reference method data during the relative accuracy test audit.

(vi) Laboratory calibrations of the source sampling equipment. For sorbent trap monitoring systems, the laboratory analyses of all sorbent traps, and information documenting the results of all leak checks and other applicable quality control procedures.

(vii) A copy of the test protocol used for the CEMS certifications or recertifications, including narrative that explains any testing abnormalities, problematic sampling, and analytical conditions that required a change to the test protocol, and/or solutions to technical problems encountered during the testing program.

(viii) Diagrams illustrating test locations and sample point locations (to verify that locations are consistent with information in the monitoring plan). Include a discussion of any special traversing or measurement scheme. The discussion shall also confirm that sample points satisfy applicable acceptance criteria.

(ix) Names of key personnel involved in the test program, including test team members, plant contacts, agency representatives and test observers on site.

(10) Whenever reference methods are used as backup monitoring systems pursuant to § 75.20(d)(3), the owner or operator shall record the following information:

(i) For each test run using Reference Method 2 (or its allowable alternatives in appendix A to part 60 of this chap-

ter) to determine volumetric flow rate, record the following data elements (as applicable to the measurement method used):

(A) Unit or stack identification number;

(B) Reference method system and component identification numbers;

(C) Run date and hour;

(D) The data in paragraph (a)(7)(ii) of this section, except for paragraphs (a)(7)(ii)(A), (F), (H), (L) and (Q) through (T); and

(E) The data in paragraph (a)(7)(iii), except on a run basis.

(ii) For each reference method test run using Method 6C, 7E, or 3A in appendix A to part 60 of this chapter to determine SO<sub>2</sub>, NO<sub>x</sub>, CO<sub>2</sub>, or O<sub>2</sub> concentration:

(A) Unit or stack identification number;

(B) The reference method system and component identification numbers;

(C) Run number;

(D) Run start date and hour;

(E) Run end date and hour;

(F) The data in paragraphs (a)(7)(iv)(B) through (I) and (L) through (O); and (G) Stack gas density adjustment factor (if applicable).

(iii) For each hour of each reference method test run using Method 6C, 7E, or 3A in appendix A to part 60 of this chapter to determine SO<sub>2</sub>, NO<sub>x</sub>, CO<sub>2</sub>, or O<sub>2</sub> concentration:

(A) Unit or stack identification number;

(B) The reference method system and component identification numbers;

(C) Run number;

(D) Run date and hour;

(E) Pollutant or diluent gas being measured;

(F) Unadjusted (raw) average pollutant or diluent gas concentration for the hour; and

(G) Average pollutant or diluent gas concentration for the hour, adjusted as appropriate for moisture, calibration bias (or calibration error) and stack gas density.

(11) For each other quality-assurance test or other quality assurance activity, the owner or operator shall record the following (as applicable):

(i) Component/system identification code;

(ii) Parameter;

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(iii) Test or activity completion date and hour;

(iv) Test or activity description;

(v) Test result;

(vi) Reason for test; and

(vii) Test code.

(12) For each request for a quality assurance test extension or exemption, for any loss of exempt status, and for each single-load flow RATA claim pursuant to section 2.3.1.3(c)(3) of appendix B to this part, the owner or operator shall record the following (as applicable):

(i) For a RATA deadline extension or exemption request:

(A) Monitoring system identification code;

(B) Date of last RATA;

(C) RATA expiration date without extension;

(D) RATA expiration date with extension;

(E) Type of RATA extension of exemption claimed or lost;

(F) Year to date hours of usage of fuel other than very low sulfur fuel;

(G) Year to date hours of non-redundant back-up CEMS usage at the unit/stack; and

(H) Quarter and year.

(ii) For a linearity test or flow-to-load ratio test quarterly exemption:

(A) Component-system identification code;

(B) Type of test;

(C) Basis for exemption;

(D) Quarter and year; and

(E) Span scale.

(iii) For a quality assurance test extension claim based on a grace period:

(A) Component-system identification code;

(B) Type of test;

(C) Beginning of grace period;

(D) Date and hour of completion of required quality assurance test;

(E) Number of unit or stack operating hours from the beginning of the grace period to the completion of the quality assurance test or the maximum allowable grace period; and

(F) Date and hour of end of grace period.

(iv) For a fuel flowmeter accuracy test extension:

(A) Component-system identification code;

(B) Date of last accuracy test;

(C) Accuracy test expiration date without extension;

(D) Accuracy test expiration date with extension;

(E) Type of extension; and

(F) Quarter and year.

(v) For a single-load (or single-level) flow RATA claim:

(A) Monitoring system identification code;

(B) Ending date of last annual flow RATA;

(C) The relative frequency (percentage) of unit or stack operation at each load (or operating) level (low, mid, and high) since the previous annual flow RATA, to the nearest 0.1 percent;

(D) End date of the historical load (or operating level) data collection period; and

(E) Indication of the load (or operating) level (low, mid or high) claimed for the single-load flow RATA.

(13) An indication that data have been excluded from a periodic span and range evaluation of an SO<sub>2</sub> or NO<sub>x</sub> monitor under section 2.1.1.5 or 2.1.2.5 of appendix A to this part and the reason(s) for excluding the data. For purposes of reporting under § 75.64(a)(2), this information shall be reported with the quarterly report as descriptive text consistent with § 75.64(g).

(14) For the sorbent traps used in sorbent trap monitoring systems to quantify Hg concentration under subpart I of this part (including sorbent traps used for relative accuracy testing), the owner or operator shall keep records of the following:

(i) The ID number of the monitoring system in which each sorbent trap was used to collect Hg;

(ii) The unique identification number of each sorbent trap;

(iii) The beginning and ending dates and hours of the data collection period for each sorbent trap;

(iv) The average Hg concentration (in µgm/dscm) for the data collection period;

(v) Information documenting the results of the required leak checks;

(vi) The analysis of the Hg collected by each sorbent trap; and

(vii) Information documenting the results of the other applicable quality control procedures in § 75.15 and in appendices B and K to this part.

(b) *Excepted monitoring systems for gas-fired and oil-fired units.* The owner or operator shall record the applicable information in this section for each excepted monitoring system following the requirements of appendix D to this part or appendix E to this part for determining and recording emissions from an affected unit.

(1) For certification and quality assurance testing of fuel flowmeters tested against a reference fuel flow rate (i.e., flow rate from another fuel flowmeter under section 2.1.5.2 of appendix D to this part or flow rate from a procedure according to a standard incorporated by reference under section 2.1.5.1 of appendix D to this part):

(i) Unit or common pipe header identification code;

(ii) Component and system identification codes of the fuel flowmeter being tested;

(iii) Date and hour of test completion, for a test performed in-line at the unit;

(iv) Date and hour of flowmeter re-installation, for laboratory tests;

(v) Test number;

(vi) Upper range value of the fuel flowmeter;

(vii) Flowmeter measurements during accuracy test (and mean of values), including units of measure;

(viii) Reference flow rates during accuracy test (and mean of values), including units of measure;

(ix) Level of fuel flowrate test during runs (low, mid or high);

(x) Average flowmeter accuracy for low and high fuel flowrates and highest flowmeter accuracy of any level designated as mid, expressed as a percent of upper range value;

(xi) Indicator of whether test method was a lab comparison to reference meter or an in-line comparison against a master meter;

(xii) Test result (aborted, pass, or fail); and

(xiii) Description of fuel flowmeter calibration specification or procedure (in the certification application, or periodically if a different method is used for annual quality assurance testing).

(2) For each transmitter or transducer accuracy test for an orifice-, nozzle-, or venturi-type flowmeter used

under section 2.1.6 of appendix D to this part:

(i) Component and system identification codes of the fuel flowmeter being tested;

(ii) Completion date and hour of test;

(iii) For each transmitter or transducer: transmitter or transducer type (differential pressure, static pressure, or temperature); the full-scale value of the transmitter or transducer, transmitter input (pre-calibration) prior to accuracy test, including units of measure; and expected transmitter output during accuracy test (reference value from NIST-traceable equipment), including units of measure;

(iv) For each transmitter or transducer tested: output during accuracy test, including units of measure; transmitter or transducer accuracy as a percent of the full-scale value; and transmitter output level as a percent of the full-scale value;

(v) Average flowmeter accuracy at low and high level fuel flowrates and highest flowmeter accuracy of any level designated as mid fuel flowrate, expressed as a percent of upper range value;

(vi) Test result (pass, fail, or aborted);

(vii) Test number; and

(viii) Accuracy determination methodology.

(3) For each visual inspection of the primary element or transmitter or transducer accuracy test for an orifice-, nozzle-, or venturi-type flowmeter under sections 2.1.6.1 through 2.1.6.4 of appendix D to this part:

(i) Date of inspection/test;

(ii) Hour of completion of inspection/test;

(iii) Component and system identification codes of the fuel flowmeter being inspected/tested; and

(iv) Results of inspection/test (pass or fail).

(4) For fuel flowmeters that are tested using the optional fuel flow-to-load ratio procedures of section 2.1.7 of appendix D to this part:

(i) Test data for the fuel flowmeter flow-to-load ratio or gross heat rate check, including:

(A) Component/system identification code;

(B) Calendar year and quarter;

(C) Indication of whether the test is for fuel flow-to-load ratio or gross heat rate;

(D) Quarterly average absolute percent difference between baseline for fuel flow-to-load ratio (or baseline gross heat rate and hourly quarterly fuel flow-to-load ratios (or gross heat rate value));

(E) Test result;

(F) Number of hours used in the analysis;

(G) Number of hours excluded due to co-firing;

(H) Number of hours excluded due to ramping; and

(I) Number of hours excluded in lower 25.0 percent range of operation.

(ii) Reference data for the fuel flowmeter flow-to-load ratio or gross heat rate evaluation, including:

(A) Completion date and hour of most recent primary element inspection;

(B) Completion date and hour of most recent flowmeter or transmitter accuracy test;

(C) Beginning date and hour of baseline period;

(D) Completion date and hour of baseline period;

(E) Average fuel flow rate, in 100 scfh for gas and lb/hr for oil;

(F) Average load, in megawatts or 1000 lb/hr of steam;

(G) Baseline fuel flow-to-load ratio, in the appropriate units of measure (if using fuel flow-to-load ratio);

(H) Baseline gross heat rate if using gross heat rate, in the appropriate units of measure (if using gross heat rate check);

(I) Number of hours excluded from baseline data due to ramping;

(J) Number of hours excluded from baseline data in lower 25.0 percent of range of operation;

(K) Average hourly heat input rate;

(L) Flag indicating baseline data collection is in progress and that fewer than four calendar quarters have elapsed since the quarter of the last flowmeter QA test; and

(M) Number of hours excluded due to co-firing.

(5) For gas-fired peaking units or oil-fired peaking units using the optional procedures of appendix E to this part, for each initial performance, periodic,

or quality assurance/quality control-related test:

(i) For each run of emission data, record the following data:

(A) Unit or common pipe identification code;

(B) Monitoring system identification code for appendix E system;

(C) Run start date and time;

(D) Run end date and time;

(E) Total heat input during the run (mmBtu);

(F) NO<sub>x</sub> emission rate (lb/mmBtu) from reference method;

(G) Response time of the O<sub>2</sub> and NO<sub>x</sub> reference method analyzers;

(H) Type of fuel(s) combusted during the run;

(I) Heat input rate (mmBtu/hr) during the run;

(J) Test number;

(K) Run number;

(L) Operating level during the run;

(M) NO<sub>x</sub> concentration recorded by the reference method during the run;

(N) Diluent concentration recorded by the reference method during the run; and

(O) Moisture measurement for the run (if applicable).

(ii) For each run during which oil or mixed fuels are combusted record the following data:

(A) Unit or common pipe identification code;

(B) Monitoring system identification code for oil monitoring system;

(C) Run start date and time;

(D) Run end date and time;

(E) Mass flow or volumetric flow of oil, in the units of measure for the type of fuel flowmeter;

(F) Gross calorific value of oil in the appropriate units of measure;

(G) Density of fuel oil in the appropriate units of measure (if density is used to convert oil volume to mass);

(H) Hourly heat input (mmBtu) during run from oil;

(I) Test number;

(J) Run number; and

(K) Operating level during the run.

(iii) For each run during which gas or mixed fuels are combusted record the following data:

(A) Unit or common pipe identification code;

(B) Monitoring system identification code for gas monitoring system;

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- (C) Run start date and time;
  - (D) Run end date and time;
  - (E) Volumetric flow of gas (100 scf);
  - (F) Gross calorific value of gas (Btu/100 scf);
  - (G) Hourly heat input (mmBtu) during run from gas;
  - (H) Test number;
  - (I) Run number; and
  - (J) Operating level during the run.
    - (iv) For each operating level at which runs were performed:
      - (A) Completion date and time of last run for operating level;
      - (B) Type of fuel(s) combusted during test;
      - (C) Average heat input rate at that operating level (mmBtu/hr);
      - (D) Arithmetic mean of NO<sub>x</sub> emission rates from reference method run at this level;
      - (E) F-factor used in calculations of NO<sub>x</sub> emission rate at that operating level;
      - (F) Unit operating parametric data related to NO<sub>x</sub> formation for that unit type (e.g., excess O<sub>2</sub> level, water/fuel ratio);
      - (G) Test number; and
      - (H) Operating level for runs.
- (c) Except as otherwise provided in § 75.58(b)(3)(i), units with add-on SO<sub>2</sub> or NO<sub>x</sub> emission controls following the provisions of § 75.34(a)(1) or (a)(2), and for units with add-on Hg emission controls, the owner or operator shall keep the following records on-site in the quality assurance/quality control plan required by section 1 of appendix B to this part:
- (1) A list of operating parameters for the add-on emission controls, including parameters in § 75.58(b), appropriate to the particular installation of add-on emission controls; and
  - (2) The range of each operating parameter in the list that indicates the add-on emission controls are properly operating.
- (d) *Excepted monitoring for low mass emissions units under § 75.19(c)(1)(iv).* For oil-and gas-fired units using the optional SO<sub>2</sub>, NO<sub>x</sub> and CO<sub>2</sub> emissions calculations for low mass emission units under § 75.19, the owner or operator shall record the following information for tests performed to determine a fuel and unit-specific default as provided in § 75.19(c)(1)(iv):

- (1) For each run of each test performed using the procedures of section 2.1 of appendix E to this part, record the following data:
  - (i) Unit or common pipe identification code;
  - (ii) Run start date and time;
  - (iii) Run end date and time;
  - (iv) NO<sub>x</sub> emission rate (lb/mmBtu) from reference method;
  - (v) Response time of the O<sub>2</sub> and NO<sub>x</sub> reference method analyzers;
  - (vi) Type of fuel(s) combusted during the run;
  - (vii) Test number;
  - (viii) Run number;
  - (ix) Operating level during the run;
  - (x) NO<sub>x</sub> concentration recorded by the reference method during the run;
  - (xi) Diluent concentration recorded by the reference method during the run; and
  - (xii) Moisture measurement for the run (if applicable).
- (2) For each single-load or multiple-load appendix E test, record the following:
  - (i) The three-run average NO<sub>x</sub> emission rate for each load level;
  - (ii) An indicator that the average NO<sub>x</sub> emission rate is the highest NO<sub>x</sub> average emission rate recorded at any load level of the test (if appropriate);
  - (iii) The default NO<sub>x</sub> emission rate (highest three-run average NO<sub>x</sub> emission rate at any load level), multiplied by 1.15, if appropriate;
  - (iv) An indicator that the add-on NO<sub>x</sub> emission controls were operating or not operating during each run of the test; and
  - (v) Parameter data indicating the use and efficacy of control equipment during the test.
- (3) For each unit in a group of identical units qualifying for reduced testing under § 75.19(c)(1)(iv)(B), record the following data:
  - (i) The unique group identification code assigned to the group. This code must include the ORIS code of one of the units in the group;
  - (ii) The ORIS code or facility identification code for the unit;
  - (iii) The plant name of the facility at which the unit is located, consistent with the facility's monitoring plan;

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(iv) The identification code for the unit, consistent with the facility's monitoring plan;

(v) A record of whether or not the unit underwent fuel and unit-specific testing for purposes of establishing a fuel and unit-specific NO<sub>x</sub> emission rate for purposes of § 75.19;

(vi) The completion date of the fuel and unit-specific test performed for purposes of establishing a fuel and unit-specific NO<sub>x</sub> emission rate for purposes of § 75.19;

(vii) The fuel and unit-specific NO<sub>x</sub> default rate established for the group of identical units under § 75.19;

(viii) The type of fuel combusted for the units during testing and represented by the resulting default NO<sub>x</sub> emission rate;

(ix) The control status for the units during testing and represented by the resulting default NO<sub>x</sub> emission rate;

(x) Documentation supporting the qualification of all units in the group for reduced testing based on the criteria established in §§ 75.19(c)(1)(iv)(B)(I); and

(xi) Purpose of group tests.

[64 FR 28614, May 26, 1999, as amended at 67 FR 40442, June 12, 2002; 70 FR 28683, May 18, 2005]

### Subpart G—Reporting Requirements

#### § 75.60 General provisions.

(a) The designated representative for any affected unit subject to the requirements of this part shall comply with all reporting requirements in this section and with the signatory requirements of § 72.21 of this chapter for all submissions.

(b) *Submissions.* The designated representative shall submit all reports and petitions (except as provided in § 75.61) as follows:

(1) *Initial certifications.* The designated representative shall submit initial certification applications according to § 75.63.

(2) *Recertifications.* The designated representative shall submit recertification applications according to § 75.63.

(3) *Monitoring plans.* The designated representative shall submit monitoring plans according to § 75.62.

(4) *Electronic quarterly reports.* The designated representative shall submit electronic quarterly reports according to § 75.64.

(5) *Other petitions and communications.* The designated representative shall submit petitions, correspondence, application forms, designated representative signature, and petition-related test results in hardcopy to the Administrator. Additional petition requirements are specified in §§ 75.66 and 75.67.

(6) *Semiannual or annual RATA reports.* If requested in writing (or by electronic mail) by the applicable EPA Regional Office, appropriate State, and/or appropriate local air pollution control agency, the designated representative shall submit a hardcopy RATA report within 45 days after completing a required semiannual or annual RATA according to section 2.3.1 of appendix B to this part, or within 15 days of receiving the request, whichever is later. The designated representative shall report the hardcopy information required by § 75.59(a)(9) to the applicable EPA Regional Office, appropriate State, and/or appropriate local air pollution control agency that requested the RATA report.

(7) *Routine appendix E retest reports.* If requested in writing (or by electronic mail) by the applicable EPA Regional Office, appropriate State, and/or appropriate local air pollution control agency, the designated representative shall submit a hardcopy report within 45 days after completing a required periodic retest according to section 2.2 of appendix E to this part, or within 15 days of receiving the request, whichever is later. The designated representative shall report the hardcopy information required by § 75.59(b)(5) to the applicable EPA Regional Office, appropriate State, and/or appropriate local air pollution control agency that requested the hardcopy report.

(c) *Confidentiality of data.* The following provisions shall govern the confidentiality of information submitted under this part.

(1) All emission data reported in quarterly reports under § 75.64 shall remain public information.