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S. HRG. 111–695, Pt. 5

[ERRATA]
CONFIRMATION HEARINGS ON FEDERAL APPOINTMENTS

HEARINGS

BEFORE THE

COMMITTEE ON THE JUDICIARY
UNITED STATES SENATE

ONE HUNDRED ELEVENTH CONGRESS

SECOND SESSION

JANUARY 20, FEBRUARY 11, FEBRUARY 24, and MARCH 10, 2010

PART 5

Serial No. J–111–4

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[ERRATA]

Orginal Jacket no. is 65-688, Senate Hearing no. 111-695, Pt. 5. Errata Jacket No. 67-071. Please Delete Questionnaire for Gerard Edmund Lynch, Pages 214 through 298, wrong questionnaire was submitted and insert James Patrick Lynch Questionnaire before all mark on page no. 1153 and move all mark to the bottom of page no. 1193.

Under Content for January 20, 2010, Lynch, James P., Nominee to be Director of the Bureau of Justice Statistics, Questionnaire delete page no. 214 and insert page no. 1154.

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UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

James Patrick Lynch

2. **Position**: State the position for which you have been nominated.

Director, Bureau of Justice Statistics, U.S. Department of Justice

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Residence:
Washington, DC

Office:
John Jay College
899 Tenth Avenue
New York, New York 10019

4. **Birthplace**: State date and place of birth.

1949; Hartford, CT

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

University of Chicago, attended 9/71 to 6/78, MA 1975, Ph.D. 1983

Wesleyan University, attended 9/67 to 6/71, BA, 1971

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

Vice President, American Society of Criminology, unpaid position, 11/2009 to present.

Adjunct Professor, School of Public Affairs, American University, paid position, 6/2007.

Distinguished Professor, John Jay College, 899 Tenth Avenue, New York, New York, 10019, paid position, 9/2005 to present

Executive Counselor, American Society of Criminology, unpaid position, 11/2003 to 11/2006

Treasurer, Norwood School Parent Association, unpaid position, 8/1997 to 7/1998.

Instructor, Summer Program, Interuniversity Consortium for Political and Social Research, University of Michigan, July and August of every summer from 1994 to 2009.

Board member, For Love of Children Outdoor Recreation Center, unpaid position, 7/1990 to 6/1992.

Professor and Department Chair, Department of Justice, Law and Society
American University, 4400 Mass. Ave, NW Washington, DC 20016, paid position, 9/1985 to 8/2005

Research Associate, Bureau of Social Science Research, Inc., 1990 M Street, NW, Washington, DC 20036, paid position, 1/1980 to 8/1985

Social Science Intern, United States Department of Justice. Office for Improvements in the Administration of Justice, Tenth Ave. and Pennsylvania Avenue, NW, Washington, DC 20004, paid position, 9/1978 to 12/1979

Program Evaluator, Connecticut Planning Committee on Criminal Justice Administration, 75 Elm Street, Hartford, CT, paid position, 8/1972 to 8/1973

7. **Military Service and Draft Status**: Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have registered for selective service, but I have not served in the United States Military.

8. **Honors and Awards**: List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Vice President Elect, American Society of Criminology, 2009
Co-editor, Journal of Quantitative Criminology, 2008
National Academy of Science Panel, 2007-2009
Distinguished Professor, John Jay College, 2006
Presidential Scholar, John Jay College, 2005
Visiting Chair, Federal University of Minas Gerais, Brazil 2004
Executive Board, American Society of Criminology, 2003-2006
Member, National Consortium on Violence Research, 1998-2005
Bureau of Justice Statistics Fellowship, 1993
National Institute of Mental Health Association Fellowship, 1971-1972
Phi Beta Kappa, Wesleyan University, 1971
National Collegiate Athletic Association Student Athlete Award, 1971

9. **Bar Associations**: List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

I am not an attorney.

10. **Bar and Court Admission**:

a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

I am not an attorney.

b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

I am not an attorney.

11. **Memberships**:

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

National Academy of Science Panel, 4/2007 to 4/2009
American Society of Criminology, 10/1983 to present, Board Member, Vice President-elect
Academy of Criminal Justices Sciences,1992
American Statistical Association, episodically from 1991 to present, Chair, Committee on Law and Justice Statistics
Editorial Board, *Journal of Quantitative Criminology*, 1997 to 2008
Editorial Board, *Criminology,* 1999-2003
Associate Editor, Public Policy Series, Georgetown University Press, 1996 to 2000.
Associate Editor, Public Policy Series, American University Press, 1990 to 1995
Deputy Editor, *Justice Quarterly*. August, 1988-89.
Assistant Editor, *Justice Quarterly*. September, 1985-1986.

b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None of which I am aware.

12. **Published Writings and Public Statements**:

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Books:

1.0 Understanding Crime Incidence Statistics: Why the UCR diverges from the NCS. (with Albert Biderman) New York, Springer Verlag, 1991

2.0 Immigration the World Over: Statutes, Policies and Practices. (with Rita Simon) Boulder, CO, Rowman and Littefield, 2003

3.0 Understanding Crime Incidence Statistics: Revisiting the Divergence of the UCR and the NCVS. (with Lynn A. Addington) New York, Cambridge University Press. (2007)

Monographs:

4.0 Juvenile Justice Statistics: An Agenda for Action, Volume I, (with Barbara Allen-Hagen and Sue Lindgren) Washington, D.C., Government Printing Office, 1989

Refereed Articles:

5.0 "Trends in the Gender Gap in Violent Offending: New Evidence from the National Crime Victimization Survey." (with Janet L. Lauritsen and Karen Heimer) *Criminology* 47:2, pp.361-399.

6.0 "The National Crime Victimization Survey and the Gender Gap in Offending: Redux." (with Karen Heimer and Janet L. Lauritsen) *Criminology* 47:2, pp.427-438.

7.0 "Assessing the Magnitude of Missing Data in the Uniform Crime Reports and the Effects of Missing Data and Imputation on National Estimates." (with John Jarvis) *Journal Contemporary Criminal Justice*, Vol. 24, pp. 69-85 (2008)

8.0 "Prisoner Re-entry: Beyond Program Evaluation." *Criminology and Public Policy.* Vol. 5 (2) (2006) pp. 402-412

9.0 "Prior Police Service and the Decision to Call the Police." (with Min Xie, Greg Pegarsky, and David McDowall) *Justice Quarterly*, Vol. 23, No. 4, pp.481-501 (2006)

10.0 "Exploring the Effects of Changes in Design on the Analytical uses of the NCVS Data." (with David Cantor) *Journal of Quantitative Criminology.* Vol.30, No23 2005

11.0 "Reporting to the Police in Western Nations: The Effects of Country Characteristics." (with Heike Goundriaan and Paul Niewbeerta.). *Justice Quarterly,* December 2004.

12.0 "Assessing the Effects of Mass Incarceration on Informal Social Control in Communities." *Criminology and Public Policy.* Vol.3, No.2, March 2004 (with William J. Sabol)

13.0 "The Seriousness of Crime: A Cross-national Comparison." (with Melissa Schaefer) *International Journal of Comparative Criminology*. Spring 2003

14.0"Using Citizen Surveys to Produce Information on the Police: The Present and Potential Uses of the National Crime Victimization Survey." *Justice Research and Policy*: Vol. 4, No. 1 Summer 2002, pp.1-10.

15.0 "A Comparative Assessment of Criminal Involvement Among Immigrants and Natives Across Seven Nations." (With Rita J. Simon) *International Criminal Justice Review*, Summer 1999.

16.0 "'A Comparative Assessment of Public Attitudes toward Immigration and Immigration Policies.' (With Rita J. Simon) *International Immigration Review*. Vol.33, No.2 Summer 1999

17.0 "Status Inequality and Property Crime: The Effects of Design, Scope and Specification." (with Richard R. Bennett) *International Criminal Justice Review*, Summer, 1997, pp.1-30.

18.0 "The Decomposition and Graphical Analysis of Crime and Sanctions Data." (with Brian Forst) *The Journal of Quantitative Criminology*. Vol.13, No.2, June 1997, pp.97-120.

19.0 "Towards a Caribbean Criminology: Problems and Prospects." (With Richard R. Bennett) *Caribbean Journal of Criminology and Social Psychology*, Vol.1, No.1, January, 1996, pp.8-37. Reprinted in *Crime, Delinquency and Justice: A Caribbean Reader*. Edited by Ramesh Deosaran. Miami FL. Ian Randle Publishers, 2007

20.0 "Clarifying Divergent Estimates of the Incidence of Rape from Three National Surveys" *Public Opinion Quarterly* Vol. 60, No. 3, Fall 1996, pp.410-430.

21.0 "Should Sentencing Policy Rely Upon Public Assessments of Seriousness?" (with Deirdre Golash) *American Journal of Criminal Law*, Vol. 22, No. 3, 1995

22.0 "A Cross-national Comparison of the Length of Custodial Sentences for Serious Crimes." *Justice Quarterly*. Vol.10, No. 4, 1993

23.0 "Offense Seriousness Scaling: The Limits of the Scenario Method." (With Mona J. E. Danner) *Journal of Quantitative Criminology*. Vol. 9, No. 3, 1993, pp.309-322.

24.0 "Ecological and Behavioral Influences on Property Victimization at Home: Implications for Opportunity Theory." (With David Cantor) *Journal of Research in Crime and Delinquency*, vol.29, August, 1992, pp.335-362.

25.0 "Does a Difference Make a Difference? Comparison of Cross-national Crime Indicators." (with Richard R. Bennett) *Criminology*, Vol. 28, No. 1. February 1990, pp.153-181. (Reprinted in *Issues in Comparative Criminology*, Piers Beirne and David Nelken (eds.) (1997)

26.0 "Response to Von Benda Beckmann." (with Rita J. Simon) *Law and Society Review*. Vol. 23, No. 5, 1989, pp.855-856

27.0 "The Sociology of Law: Where We Have We Been and Where Might We Be Going."(with Rita J. Simon) *Law and Society Review*. Vol. 23, No. 5, pp. 825-847.

67071.085

28.0 "A Comparison of Imprisonment in the United States, Canada, England, and West Germany: A Limited Test of the Punitiveness Hypothesis." *Journal of Criminal Law and Criminology*, Spring 1988

29.0 "Routine Activity and Victimization at Work." *Journal of Quantitative Criminology*, Vol.3, No.4 December 1987 pp.283-300.

30.0 "Response of Organization and Community to a Deinstitutionalization Strategy". (with Irving A. Spergel, Fredric Reamer, and John Korbelik), *Crime and Delinquency*, July 1982.

31.0 "Deinstitutionalization of Status Offenders: Individual Outcomes and Systems Effects." (with Irving A. Spergel and Fredric Reamer), *Journal of Research in Crime and Delinquency*, January 1981.

Book Chapters:

32.0 "Crime, Fear and the Demand for Punishment in the United States" (with Ashley Nellis) In Helmut Kury and Theodore Ferdinand (eds.) *International Perspectives on Punitivity*. Bochum, German, Brockmeyer University Press, (2008)

33.0 "Addressing the Challenge of Costs and Error in Victimization Surveys: The Potential of New Technologies and Methods."(with David Cantor) *Surveying Crime in the 21st Century, Crime Prevention Studies vol. 22*. Edited by Michael Hough and Michael G. Maxfield, Monsey, New York, Criminal Justice Press, 2007)

34.0 "Introduction." (with Lynn A. Addington) In *Understanding Crime Incidence Statistics: Revisiting the Divergence of the UCR and the NCVS*. Edited by James P. Lynch and Lynn A. Addington. New York, Cambridge University Press. (2007)

35.0 "Conclusion." (with Lynn A. Addington) In *Understanding Crime Incidence Statistics: Revisiting the Divergence of the UCR and the NCVS*. Edited by James P. Lynch and Lynn A. Addington. New York, Cambridge University Press. (2007)

36.0 "Exploring Differences in Estimates of Visits to Emergency Rooms for Injuries from Assaults Using the NCVS and NHAMCS." (with Jacqueline Cohen) In *Understanding Crime Incidence Statistics: Revisiting the Divergence of the UCR and the NCVS*. Edited by James P. Lynch and Lynn A. Addington. New York, Cambridge University Press. (2007)

37.0 "Problems and Promise of Victimization Surveys for Cross-national Research." In *Crime and Justice: An Annual Review*. Edited by Michael Tonry and David Farrington Vol. 34 (2006)

38.0 "Impacts of Crime and Coercion on Communities" (with William J. Sabol) in *Impact of Incarceration on Families and Communities,* Edited by Bruce Western and Mary Portillo, New York, Russell Sage (2004)

39.0 "Assessing the Longer-run Consequences of Incarceration." (with William J. Sabol) in Darnell Hawkins, Samuel Myers and Randolph Stone (eds.) *Crime Control and Social Justice: The Delicate Balance*. Westport, CT Greenwood Press, 2003.

40.0 Trends in Juvenile Offending: The Victim's Perspective. Washington, D.C. Office of Juvenile Justice Delinquency Prevention, October, 2002

41.0 "The Effects of Non-uniformities in Design on Comparisons of Aggregate Estimates of Victimization in the ICVS." In Paul Nieuwbeerta (ed.) *Crime Victimization in Comparative Perspective: Results from the International Crime Victims Survey, 1989 – 2000* BOOK II. Amsterdam, BOOM, 2002

42.0 "Prisoner Re-entry in Perspective." (With William J. Sabol) *Crime Policy Report,* Justice Policy Center, The Urban Institute. September 2001.

43.0 "Crime in International Perspective." in *Crime.* James Q. Wilson and Joan Petersilia (eds.) San Francisco, ICS Press, 2001

44.0 The Role of Individual, Household and Areal Characteristics in Domestic Violence. (with Brian Wiersema) *Proceedings of the American Statistical Association, Social Statistics Section* , 2000

45.0 "Self-report Surveys as Measures of Crime and Victimization."(With David Cantor) *Criminal Justice 2000: Measurement and Analysis of Crime and Justice, Vol. IV* Washington, D. C. National Institute of Justice. 2000

46.0 "Prison Use and Social Control." (With William J. Sabol) *Criminal Justice 2000: Policies Processes and Decision s of the Criminal Justice System, Volume III.* Washington, D.C. National Institute of Justice. 2000

47.0 Gangs and Crime in Schools: A report from the school crime supplement.(with James Howell) Washington, D.C. Office of Juvenile Justice Delinquency Prevention. 2000

48.0 "Should Public Opinion Guide Sentencing Policy"." (with Deirdre Golash) *Federal Sentencing Reporter.* 1999

49.0 "Who are the Victims?" in *A Report of the Victim Needs Strategic Planning Meeting.* National Institute of Justice and Office of Victims of Crime, Washington, D.C.

50.0 "Getting Tough on Crime: Impacts of Sentencing Reforms." (With William J. Sabol) *Crime Policy Report,* Program on Law and Behavior, The Urban Institute. June, 1997.

51.0 Long Term Trends in Crime Victimization. (With Michael Rand and David Cantor) Washington, D,C. Bureau of Justice Statistics, April, 1997

52.0 The Effects of the Redesign on Victimization Estimates: Data Brief. (With Charles Kindermann and David Cantor) Washington, D,C. Bureau of Justice Statistics, April 1997

53.0 "Understanding Differences in Estimates of the Incidence and Prevalence of Rape and Sexual Assault Using Self-report Survey Data." In Rita J. Simon (ed.) *From Data to Public Policy: Affirmative Action, Sexual Harassment, Domestic Violence and Social Welfare.* Lanham, MD. University Press of America 1996

54.0 "Criminology." *Collier's Encyclopedia,* New York, Colliers, 1996

55.0 "Statistics in an International Human Rights Treaty Report." (with Thomas Jabine and Herbert Spirer) *Proceedings of the American Statistical Association: Section on Government Statistics*, 1995. Alexandria, Va. American Statistical Association, pp.77-87. 1996

56.0 "Building Data Systems for Cross-national Comparisons of Crime and Criminal Justice Practices: A Retrospective." *ICPSR Bulletin XV*, No.3, 1995

57.0 "Crime in International Perspective." in *Crime*. James Q. Wilson and Joan Petersilia (eds.) San Francisco, ICS Press, 1994 (Reprinted in Examining the Justice Process. James Inciardi (ed.) New York, Harcourt Brace, 1996)

58.0 "Secondary Analysis of International Crime Survey Data." in Anna del Frate, Ugljesa Zvekic and Jan van Dijk (eds.) *Understanding Crime: Experiences of Crime and Crime Control.* Rome, Italy. United Nations Inter-regional Crime and Justice Research Institute. 1993, pp.175-192.

59.0 "The Effects of Design on Reporting in Victimization Surveys: The United States' Experience." In W.Bilsky, C.Pfeiffer and P.Wetzels (eds.) *Criminal Victimization and Fear of Crime Among the Elderly.* Stuttgard. Enke Verlag, 1993, pp.139-163.

60.0 "Victim Behavior and Risk of Victimization: The Implications of Activity-Specific Victimization Rates." in *International Research in Victimology*, Vol. 3, Gunther Kaiser, Helmut Kury and Hans Jorg Albrecht (eds.) Frieburg, Germany, Max Planck Institute, 1991

61.0 Profile of Inmates in the United States and in England and Wales, 1991. Washington, D.C., Bureau of Justice Statistics Special Report, U.S. Department of Justice, 1994

62.0 "What Have We Learned from Research on Crime Control?" *The Public Perspective* June/July 1991 p.10

63.0 "The Current and Future National Crime Survey." in *Measuring Crime: Long Range, Large Scale Efforts.* Doris L. Mackenzie (ed.), Albany, New York, State University of New York Press 1990

64.0 Imprisonment in Four Countries. Washington, D.C., Bureau of Justice Statistics Special Report, U.S. Department of Justice, February 1987

65.0 "Recency Bias in Data on Self Reported Victimization." (with Albert D.Biderman), *American Statistical Association, Proceedings of the Social Statistical Section, 1981.* Washington, D.C.: The American Statistical Association, 1981

66.0 "A Network Based Research Consortium." (with Richard Roistacher) *American Statistical Association, Proceedings of the American Statistical Association,1981.*, Washington, D.C.: The American Statistical Association, 1981.

Government Reports:

67.0 Exploring the Effects of Incarceration on the Safety and Well-being of Families of Inmates Using the National Crime Victimization Survey. (with Leona Lee) Final Report Grant #2006-IJ-CX-0007 from the National Institute of Justice. June 2008

68.0 Crime, Coercion and Community: The Effects of Arrest and Incarceration Polices on Informal Social Control in Neighborhoods. (With William J. Sabol) Project Report, Washington, D.C. Urban Institute. October 2001

69.0 Sentencing and Time Served in the District of Columbia Prior to Truth in Sentencing (with William J. Sabol). Washington, D. C. Urban Institute, August 2000

70.0 Investigating Repeated Victimization with the NCVS. (with Michael Berbaum and Michael Planty) Final Report for National Institute of Justice Grant 97-IJ-CX-0027.

71.0 An Empirical Test of Ecological and Routine Activity Theories of Victimization. (with David Cantor) Submitted to the National Institute of Justice, Department of Justice 1988

72.0 Albert D. Biderman, David Cantor, James P. Lynch and Elizabeth Martin *Final Report of the National Crime Survey Redesign.* Bureau of Social Science Research, Inc. 1986

Book Reviews:

73.0 Time Out: A National Study of Juvenile Correctional Programs. Robert D. Vinter (ed.) Ann Arbor, MI. University of Michigan, 1976, *Social Service Review* 52:2:1978, pp.331-332

74.0 Police Work: The Social Organization of Policing. Peter Manning, Cambridge, MA.: MIT Press, 1979, *Social Forces*, Spring, 1982.

75.0 Lifetime Likelihood of Victimization. Herbert Koppel, Technical Report, Bureau of Justice Statistics, United States Department of Justice, March 1987. *Public Opinion Quarterly,* Summer, 1989

b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

Panel Member and Contributor to *Surveying Crime: Options for Conducting the National Crime Victimization Survey.* Edited by Robert Groves and Daniel Cork. Washington, DC National Academy of Sciences (2008)

Panel Member and Contributor to *Ensuring the Quality, Credibility, and Relevance of U.S. Justice Statistics.* Edited by Robert Groves and Daniel Cork. Washington, DC National Academy of Sciences (2009)

Juvenile Justice Statistics: An Agenda for Action, Volume I, (with Barbara Allen-Hagen and Sue Lindgren) Washington, D.C., Government Printing Office, 1989

Albert D. Biderman, David Cantor, James P. Lynch and Elizabeth Martin *Final Report of the National Crime Survey Redesign.* Bureau of Social Science Research, Inc. 1986

c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

"The Correctional System and the Integration of Marginal Populations: 1979-2004." (with William J. Sabol) Presentation at the Annual Meetings of the American Society of Criminology, St. Louis, MO, November 14, 2008
This presentation assessed the effects of structural changes in society to account for the new equilibrium in incarcerated populations.

"The Changing Face of Workplace Safety, 1983 to 2004." (with Bonnie Fisher) Presentation at the Annual Meetings of the American Society of Criminology, St. Louis, MO, November 13, 2008
Paper assessed changes in the level and nature of workplace violence and workplace safety from 1983 to 2004.

"The Criminal Involvement of Military Veterans: Estimating the extent and exploring explanations" (with Kristin Englander, Tasha Youstin and Richard Culp) Presentation at the Annual Meetings of the American Society of Criminology, St. Louis, MO, November 12, 2008
This presentation described preliminary results of a study of the criminal involvement of military veterans between 1973 and 2004 using the Survey of Inmates and the Current Population Survey.

"Review of the Programs of the Bureau of Justice Statistics." Presentation at the Annual Meetings of the American Society of Criminology, St. Louis, MO, November 12, 2008. *This presentation summarized the report of the National Academy of Science Panel on the Bureau of Justice Statistics with emphasis on the National Crime Victimization Survey. The subject matter of this talk can be found in Surveying Crime: Options for Conducting the National Crime Victimization Survey.* Edited by Robert Groves and Daniel Cork. Washington, DC National Academy of Sciences (2008)

"Cross-national Research and the Declining Significance of the Nation State." Presentation at the Annual Meetings of the American Society of Criminology, St. Louis, MO, November 12, 2008. *This presentation argued that there was so much within country variation in crime and criminal*

justice responses to crime that nation level studies could tell us little of use with regard to causes of crime and the effectiveness of responses to same.

"Evaluating the Index Crime Classification and Searching for Alternatives" (with Jane Grabias). Paper presented at the Annual Meetings of the American Society of Criminology, Atlanta, Georgia, November 12, 2007. *Paper presented results from analyses of the British Crime Survey suggesting that the Uniform Crime Classification crime classes were based on faulty assumptions about the relative moral repugnance of certain crimes and the internal homogeneity of these crime classes.*

"Crime, Coercion and Community: Life after an NIJ grant." (with William J. Sabol and Avinish Bhati). Presentation at the National Institute of Justice. Washington, DC, September 14, 2007. *This presentation was invited by the NIJ staff and described the further analyses of the data that occurred since the completion of the grant. It centered on problems in estimating the effects of imprisonment on crime at the neighborhood level.*

"Assessing the Impact of Social Research on Criminal Justice Policy." Presentation at the Annual Meeting of the Society for the Study of Social Problems. New York. August 11, 2007. *I have no recollection of the substance of this presentation.*

Discussant, Understanding Crime Trends. Panel at the American Academy for the Advancement of Sciences, San Francisco, February 16, 2007. *Commented on Jeff Fagan's paper on neighborhood crime trends.*

"Addressing the Challenge of Costs and Error in Victimization Surveys: The Potential of New Technologies and Methods."(with David Cantor) Paper presented at The Meeting on the Twenty-fifth Anniversary of the British Crime Survey. London, October 18, 2006. *Paper identified problems facing victimization surveys—and specifically non-response and changes in the telephone industry—and suggested alternative designs that may ameliorate these problems. See publication number 33 above.*

"Testing the Applicability of Ecological Theories of Crime Cross-nationally." Presentation at the Annual Meetings of the American Society of Criminology, Los Angeles CA, November 3, 2006. *This paper compared the effects of the social organization of communities on the distribution of crime in Baltimore and Belo Horizonte, Brazil. Neighborhood effects were quite different in Brazil.*

"The Effects of Incarceration on Crime in Urban Neighborhoods: Punishing Peter to Protect Paul." (with Avinash Bhati and William J. Sabol) Presentation at the Annual Meetings of the American Society of Criminology, Los Angeles CA, November 3, 2006. *Examined the effects of social and spatial lags in incarceration across neighborhoods on crime in those areas. Spatial lags were not significant but social lags were.*

"Implications of Opportunity Theory for Understanding and Controlling Terrorism." Presentation at the Annual Meetings of the American Society of Criminology, Toronto, Canada, November 17, 2005. *Paper argues that opportunity theory and the empirical methods used to build opportunity theory will not be useful for increasing our knowledge of terrorist acts due to the rare event nature of terrorist acts.*

"Incarceration and Crime in Urban Neighborhoods:" (with William J. Sabol and Avinish Bhati) Paper presented at the Annual Meetings of the American Society of Criminology, Toronto,

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Canada, November 19, 2005. *Presentation assesses the effects of instrumental variable techniques on modeling the effects of incarceration on crime in urban neighborhoods.*

Discussant, Using Criminological Theory to Support Program and Policy Development. Committee on Law and Justice, National Academy of Sciences, August 24, 2005. *Comments on Ronald Clark's paper on opportunity presented to the NAS Panel.*

"Victimization Surveys and the Police Response to Crime: The United States Experience." Presentation at the Conference on Crime Data, Victimization Surveys and Police Responses: Experiences from the UK, US and Brazil. Centre for Brazilian Studies, University of Oxford, Oxford, England, January 28, 2005. *Presentation on how jurisdictions in the US have used victimization surveys to illuminate the crime problem.*

"Exploring the Effects of Changes in Design on the Analytical uses of the NCVS Data." (with David Cantor) American Society of Criminology, Nashville, TN November 20, 2004. *This paper assesses the effects of change in the design of the National Crime Victimization Survey on analytical uses of the data. See publication 10 above.*

"Incarceration and the Well-being of Communities." (with Seri Palla) Annual Meetings of the American Society of Criminology, Nashville, TN November 19, 2004. *This paper uses longitudinal data on crime and communities in Baltimore to assess the long term effects on the economic well-being of communities.*

"Exploring the Sources of Non-response in the Uniform Crime Reports." Paper presented at the Annual Meetings of the American Society of Criminology, Denver, CO, November 19, 2003. *Presentation assesses the effect of state UCR programs and mandatory reporting laws on missing data in the UCR return A.*

"Exploring the Precipitants and Consequences of Bullying in School: Lessons from the 1999 School Crime Supplement." Paper presented at the Annual Meetings of the American Society of Criminology, Chicago, IL, November 7, 2002 *Paper examines the school and individual level determinants of bullying using the School Crime Supplement to the NCVS.*

"Bullying in School." Paper Presented at the National Institute's Annual Justice Research and Evaluation Conference, Washington, DC, July 21, 2002. *Paper examines the school and individual level determinants of bullying using the School Crime Supplement to the NCVS.*

"Using Citizen Surveys to Produce Information on the Police: The Present and Potential Uses of the National Crime Victimization Survey." Paper Presented at the National Research Council's Committee to Review Research on Police Policy and Practices Data Collection Workshop. Washington, DC April 11, 2002. *Paper outlining the potential of victimization surveys to produce information useful for evaluating the police. See publication 14 above.*

"Trends in Juvenile Offending: The Victims Perspective." Paper presented at the Annual Meeting of the American Society of Criminology, Atlanta November 8, 2001. *Paper examines trends in juvenile offending using the reports of victims in the NCVS. Unlike official statistics*

these reports are not affected by the actions of the police. The trends show a reasonable consistency with the trends from official data. See publication 44 above.

"Methodological Issues in Cross-national Comparisons of Crime and Punishment." Presentation at the Cross-national Comparison of Crime Trends Conference. Cambridge University, Cambridge England, June 30, 2001. *Critiqued the methodology used to compare crime and punishment across Europe and the US.*

"The Effects of Non-uniformities in Design on Comparisons of Aggregate Estimates of Victimization in the ICVS." Paper presented at the International Crime Victimization Workshop, Netherlands Institute for the study of Criminology and Law Enforcement, Leiden University, Leiden, The Netherlands, June 21, 2001 *Paper estimated effects of differences in design, e.g. mode, response rates, etc. on nation level estimates of crime in the ICVS. See publication 41 above.*

"Qualitative Differences Among Repeat Victims." (with Mike Planty) Presentation at the Annual Meeting of the American Society of Criminology, San Francisco November 15-16, 2000. *Presentation examined the difference in characteristics between persons who were not victimized and those victimized once, 2-5 time and very high volume victims. The attributes that distinguished the singly victimized from non-victims were the same attributes that distinguished the non-victims from the highly victimized except the effects were stronger.* See publication 70 above.

"The Role of Individual, Household and Areal Characteristics in Domestic Violence. "(with Brian Wiersema) Paper Presented at the Annual Meetings of the American Statistical Association Meetings, Indianapolis, IN August 13, 2000. *This presentation used the area-identified NCVS to estimate the effects of the social organization of areas on the incidence of domestic violence. See publication 44 above.*

Discussant, Meeting of the National Research Council's Committee on Social Statistics and the Committee on Law and Justice on the Measurement of Crime , Woods hole, MA July 24, 2000. *I commented on Richard McCleary's paper on the effects of missing data on estimates from surveys of rare events.*

"Prison Use and Social Control." (with Bill Sabol) Presentation at the Plenary Session of the National Institute of Justice's Annual Research and Evaluation Conference July 17, 2000. *This presentation reviewed the state of knowledge concerning the crime reduction and collateral damage effects of incarceration. See publication 46 above.*

"Longitudinal Studies of What Happened with the "Get Tough" Policy." Paper Presented at the Annual Meeting of the National Council on Family Relations, Irvine California, November 13, 1999. *This presentation described the likelihood of collateral damage due to increases in use of incarceration. It was based on data in publication 50 above.*

"Crime, Coercion and Community: The Effects of Arrest Policies on the Social Organization of Community." (with Bill Sabol) Paper presented at the National Institute of Justice's Annual Research and Evaluation Conference, July 21, 1999. *We presented the results of arrests in local neighborhoods on crime and collective efficacy in those areas.*

"Partner Abuse: Crime Against Women and Self Report Victimization Surveys." Presentation at the NIJ Conference on Crime Against Women. Ann Arbor, Michigan, June 21, 1999. *I believe*

that this presentation outlined the methodological problems in assessing this crime with self report surveys and presented data on high volume repeat domestic violence from the NCVS.

"A Comparative Assessment of the Criminal Involvement Among Immigrants and Natives Across Seven Nations."(With Rita J. Simon) Paper presented at the 50th Annual Meeting of the American Society of Criminology, Washington, D.C. November 13, 1998.

"Housing Unit and Areal Effects on Residential Burglary Victimization." (With Brian Wiersema and Richard Titus.) Paper presented at the 50th Annual Meeting of the American Society of Criminology, Washington, D.C. November 12, 1998.

'Unintended Consequences of Incarceration: More Female Headed Households and Higher Unemployment." Paper presented at the Midwest Regional Seminar of the Council of State Legislators, Chicago, IL , March 8, 1998.(With Bill Sabol) *This presentation described the preliminary results from an analysis using county level data on incarceration to predict family formation for African Americans and non-African Americans.*

"Creating the National Institutes of Justice: A New Structure for Building and Using Knowledge About Crime and Its Effective Prevention." (with Barbara Allen-Hagan) Annual Meetings of the American Society of Criminology. San Diego CA November 20, 1997. *I have no recollection of the content of this presentation and no records describing its content.*

'Modeling Repeat Burglary Victimization with the NCVS: Giving Content to Heterogeneity and State Dependence.' (With Michael Berbaum) Annual Meetings of the American Society of Criminology. San Diego CA November 18, 1997. *This presentation described models of burglary victimization using fixed and time varying features of the household including prior burglary victimization. See publication 70.*

"Getting Tough on Crime: Impacts of Sentencing Reforms." (With William J. Sabol) Paper presented at the First Tuesday Luncheon at the Urban Institute, November 6, 1997. *Paper assessed the effects of sentencing reform on crime, the composition of prison populations and collateral damage to families and communities from which inmates come.*

"Assessing Alternative Estimators of Length of Stay for Juvenile Offenders." Paper presented at the National Council on Crime and Delinquency, Annual Meeting of Participants in the Juveniles Taken Into Custody Program, San Francisco CA October 20, 1997. *We estimated length of stay for juvenile offenders using exiting cohorts and modeled data taking account of right censoring. When right censoring is taken into account, estimates of length of stay are longer.*

"Using the NCVS micro-data Longitudinally to Explore Issues Pertinent to Victimization and Its Consequences." (with Michael Berbaum) Paper presented at the National Institute of Justice Workshop on Uses of Longitudinal Data, Ann Arbor, MI June 23, 1997. *Paper presents the effects of prior burglary on subsequent burglary using the NCVS linked across interviews. See publication 70 above.*

"Who are the Victims?" Presentation at the Victim Needs Strategic Planning Meeting. National Institute of Justice and Office of Victims of Crime, Washington, D.C. April 9, 1997. *This presentation described the basic socio-demographic characteristics of victims using the NCVS data.*

"Repeat Burglary Victimization." (With Richard Titus) Annual Meetings of the American Society of Criminology, Chicago, IL, November 21, 1996. *This presentation assessed the effect of victim survey design on estimates of the volume and nature of repeat victimization using the NCVS and the BCS. See publication 70 above.*

"Exploring the Effects of Changes in Design on the Analytical Uses of the NCVS Data."(with David Cantor)Annual Meetings of the American Society of Criminology, Chicago, IL, November 20, 1996. *This presentation compared estimates of risk of various types of crime using data from the old and new design in the NCVS to determine the effects of design changes on models of risk. See publication 10 above.*

"The Implications of Measurement Error in Crime Statistics for Understanding Violence Across Settings." Presentation at the National Institute of Justice Workshop on Violence Across Settings, ICPSR, Ann Arbor, Michigan. June 24, 1996. *I have no recollection of the content of this presentation.*

"An Examination of the Effects of Survey Design on Estimates of Rape and Sexual Assault from Self-Report Surveys." Annual Meetings of the American Society of Criminology. Boston MA, November 17, 1995. *This presentation explained why estimates of rape victimization differed across several major surveys. See publication 20 above.*

Co-convener. Roundtable on Repeat Victimization: Theoretical Importance, Policy Relevance and Research Priorities and Methods. Annual Meetings of the American Society of Criminology, Boston MA, November 15, 1995. *This was an informal discussion of methods for estimating and analyzing repeat victimization.*

"Understanding Differences in Estimates of the Incidence and Prevalence of Rape and Sexual Assault Using Self-report Survey Data." Presentation at the National Conference of the Woman's Freedom Network. Washington, D.C. October 15, 1995. *This presentation explained why estimates of rape victimization differed across several major surveys. See publication 20 above.*

"The Decomposition and Graphical Analysis of Crime and Sanctions Data." (with Brian Forst) Paper presented at the Annual Meetings of the American Society of Criminology, Miami, Florida. November 11, 1994. *This presentation showed how graphical presentation of the results of multi-variate models facilitated their interpretation. See publication 18.*

"Testing Opportunity Theories of Robbery Using a Case-control Design." (with David Cantor and Michael Maxfield) Paper presented at the Annual Meetings of the American Society of Criminology, Miami, Florida. November 10, 1994. *This presentation presented the result of a feasibility test of conducting a case-control design to investigate the factors affecting risk of robbery in Baltimore County.*

"Economic Marginality, Race and Imprisonment: A Comparison of Alternative Measures of Marginality." (with William J. Sabol) Paper presented at the Annual Meetings of the American Society of Criminology, Miami, Florida. November 9, 1994. *This paper compared measures of poverty and measures of underclass status generated from surveys of inmates.*

"Routine Activities and Victimization Risk: The Importance of Theory Building in a Practical World." Paper delivered at the Conference of The Council on Architectural Research, Secure and

Livable Communities: Crime Prevention Through Environmental Design, Washington, D.C. December 10, 1993. *Paper argued for building a general theory of victimization risk by first estimating models separately for each activity domain—work, home, school, and leisure. See publications 29 and 60.*

"Opportunity Theory and Commercial Crime: Implications from Models of Non-commercial Crime." Paper presented at the Annual Meetings of the American Society of Criminology, Phoenix, Arizona. October 27, 1993. *This presentation described analyses of data from the Commercial Crime Surveys to assess the effects of opportunity reduction practices to lower risk of victimization.*

"The Prevalence of Incarceration Revisited." Paper presented at the Annual Meetings of the American Society of Criminology, Phoenix, Arizona. October 28, 1993. *I believe this addressed the issue of whether the growth in the prison population in the early 1980s was due to the growth in the underclass made popular in William Julius Wilson's work.*

"Changes in the Underclass/Imprisonment Policy and Drug Offenses." (with William J. Sabol) Paper presented at the Annual Meetings of the American Society of Criminology, Phoenix, Arizona. October 29, 1993. *I believe this addressed the issue of whether the growth in the prison population in the early 1980s was due to the growth in the underclass made popular in William Julius Wilson's work. Particular attention was given to the role of sentences for drug crimes.*

Discussant, Panel on Exploring Incidence and Etiological Issues of Crime-- NCVS Survey, The Annual Meetings of the American Society of Criminology, Phoenix, Arizona. October 29, 1993. *I introduced speakers but made no comments due to time limitations.*

"Social Class and the Effects of Criminal Opportunities on Non-commercial Robbery Victimization." (with David Cantor) Paper presented at the Annual Meetings of the American Society of Criminology, Phoenix, Arizona. October 30, 1993. *I have no recollection of this presentation.*

"Secondary Analysis of International Crime Survey Data." International Conference on Understanding Crime: Experiences of Crime and Crime Control. United Nations Inter-regional Crime and Justice Research Institute. Rome, ITALY, November 18, 1992. *This paper suggested ways that the ICVS data could be used for purposes other than rate estimation. See publication 58.*

"Macro-social Changes and Their Implications for Prison Reform: The Underclass and the Composition of Prison Populations." (with William J. Sabol) Annual Meetings of the American Society of Criminology, New Orleans, November 6, 1992. *We tested the assertion that the growth in the underclass accounted for the increase in the prison population. It did not.*

"Routine Activity and Victimization Risk: The Implications of Activity-specific Risk Rates Using Duration in the Denominator." Presented at the Annual Meetings of the American Society of Criminology, New Orleans, November 4, 1992. *This presentation used time budget surveys in combination with the NCVS to compute rates of crime by time in activity across class groups. See publication 60 above.*

"Should Sentencing Policy Rely Upon Public Assessments of Seriousness." (with Deirdre Golash) Paper Presented at the Annual Meetings of The Law and Society Association, Philadelphia, PA May 30, 1992. *Used philosophical arguments to narrow the range of*

acceptable rationales for sentencing that could be investigated using empirical information and then assessed the ability of seriousness surveys to provide that information. See publication 21 and 48 above.

"Punishment Policy and Social Control: Incarceration and the Growth of the Underclass 1970-1990." (with William J. Sabol) Paper presented at the meetings of the Academe of Criminal Justice Sciences, Pittsburgh, PA, March 14, 1992. *We tested the assertion that the growth in the underclass accounted for the increase in the prison population.*

"Toward an Explanation of Cross-national Differences in Punishment Practices." Paper Presented at the Annual Meetings of the American Society of Criminology, San Francisco, November 23, 1991. *Presentation compared levels of prison use across industrialized democracies controlling for the volume and mix of crime. See publication 28.*

"Responses to Violence: A Cross-national Perspective." Paper Presented at the Colloquium on Violence, Northern Illinois University, October 26, 1991

"Status Inequality and Property Crime: A Cross-national Time-series Analysis." Paper Presented at the Annual Meetings of the Law and Society Association, Amsterdam, Netherlands, June 27, 1991. *This presentation addressed the question of why cross-national studies of the relationship between crime and inequality had inconsistent results. See publication17 above.*

"Measuring Change with the National Crime Survey." (with David Cantor) Paper Presented at the Annual Meetings of the American Society of Criminology Meetings, Baltimore Maryland, November 9, 1990. *I have no recollection of this presentation.*

"Models of Property Victimization: Contrasting Findings from Different Methods." Paper Presented at the Annual Meetings of the American Society of Criminology Meetings, Baltimore Maryland, November 8, 1990. *I have no records or recollection of this presentation.*

"Current Research on Victims Using Self Report Surveys." Presentation at the CJAIN Workshop, University of Michigan, Ann Arbor, MI, July 24,1990. *Described most common analytical uses of the NCVS.*

"Using Supplements to the National Crime Survey: The VRS Experience." Presentation at the Workshop on the Design and Use of the National Crime Survey, University of Maryland, College Park, Md., July 23, 1990. *Described the technical problems inherent in use of VRS.*

"A Cross national Comparison of the Length of Sentences for Serious crimes." Paper Presented at the Annual Meeting of the Academe of Criminal Justice Sciences, Denver, Colorado, March 14, 1990. *This paper compared estimates of sentence length across industrialized democracies using exiting cohorts and life tables. See publication 22 above.*

"Why Annual Change Estimates in the National Crime Survey and the Uniform Crime Reports Are Occasionally Divergent." Invited presentation at the Federal Bureau of Investigation, February 7, 1990. *This presentation examined the trends in crime from the two major series and tried to explain their divergence. See publication 1 above.*

"Multi-level Models of Property Victimization at Home: Implications for Opportunity Theory." (with David Cantor) Paper Presented at the American Society of Criminology Meetings, Reno, Nevada, November 9, 1989. *This presentation distinguished the effects of neighborhood and*

household characteristics on the risk of burglary, larceny and motor vehicle theft. See publication 24 above.

"Using the NCS and UCR in Trend Analyses" Presentation at the CJAIN Workshop, University of Michigan, Ann Arbor, MI, August 11,1989. *This presentation identified the changes and method in the NCVS time series since 1973.*

"Offense Seriousness Scaling: The Limitations of Surveys and Scenarios." (with Mona J. E. Danner) Paper Presented at the Annual Meetings of the Academe of Criminal Justice Sciences , Washington, D. C., March 30, 1989. *This presentation critiqued the scenario method for assessing crime seriousness. See publication* 23 above.

"Enlightenment or Engineering: The Appropriate Role of Quantitative Sociology", Presentation at the Annual Meetings of the Eastern Sociological Association, Baltimore, March 17, 1989. *I have no recollection of this presentation.*

"Alternative Strategies for Testing Routine Activity Theory: An Evaluation of Domain-Specific Models", Paper Presented at the American Society of Criminology Meetings, Chicago, IL. November 11, 1988. *This presentation argued for the advantage of differentiating the dependent variable in trying to build general models of victimization risk. It argued for specifying models by activity domain. See publication 29.*

"Empirical Tests of Opportunity Theories of Victimization Risk" Presentation at the National Institute of Justice Cluster Conference on Victimization, Charleston, South Carolina October 6, 1988. *This presentation describes research on the risk of specific crimes using data from the Victim Risk Supplement to the NCVS. See publication 71 above.*

"The Victim Risk Supplement to the National Crime Survey." Presentation at the CJAIN Workshop, University of Michigan, Ann Arbor, MI, August 4,1988. *Described the potential uses of VRS.*

"Recommendations for Improvements in National Statistics on Delinquency, Drug Use and Child Victimization", Presentation at the Interagency Conference on Child and Family Statistics, National Institutes of Health, Bethesda, Md. April 29,1988. *Summary of comments made by a panel of experts on potential enhancements to existing data on juveniles.*

"Contextual and Individual Determinants of Victim Risk". (with David Cantor) Paper presented at the American Society of Criminology Meetings. Montreal, November 12, 1987. *Presented ways of using the sample design of the NCVS to produce block level data on households and respondents in the survey. Fuller discussion can be found in publication 24 above.*

"Cross-national Comparisons of Prison Use and Their Implications for Sentencing Policy". Presentation at the National Conference on Punishment for Criminal Offenses. University of Michigan, November 9, 1987. *Presentation compared levels of prison use across industrialized democracies controlling for the volume and mix of crime. See publication 28.*

"Crime Classifications and Victimization Surveys: The Need for Alternatives to the Uniform Crime Report's Index Crimes". Paper presented at the Workshop on the Design and Use of the National Crime Survey, University of Maryland, College Park, Md., July 17, 1987. *Used the*

attributes of crimes presented in the NCVS and cluster analysis to identify alternative crime classifications that could be used in crime reporting.

Discussant, "The National Crime Survey Redesign: A Summary Report", Paper presented by Bruce Taylor at the Washington Statistical Association Meetings, April 27, 1987 *Described the major recommendations of the NCS Redesign. See publication 72 above.*

"The Hazards of Doing Cross-national Research", Paper Presented at the Meetings of the American Society of Criminology, Atlanta, Georgia, October 29,1986. *Identified the major methodological issues in doing cross-national comparisons of crime and punishment. See publication 28 above.*

"Routine Activity and Victimization at Work", Paper presented at the Meetings of the American Society of Criminology, Atlanta, Georgia, October 29, 1986. *Examined the risk of victimization at work using data from the Victim Risk Supplement to the NCVS. See publications 71 and 29 above.*

"Should Magistrates Eat at Brennan's", Paper presented at The Southern Sociological Association Meetings, New Orleans, Louisiana, April 10, 1986. *Examined the effect of various procedural differences across Federal courts in the processing of habeas petitions and their effect on finding a meritorious petition.*

"The Current and Future National Crime Survey", Paper Presented at The Workshop on the Use of Large Data sets in Criminal Justice, Louisiana State University, Baton Rouge, Louisiana, October 8,1985. *Describe the potential changes in the NCVS resulting from the redesign. See publication 63.*

"Why the UCR Diverges from the NCS: The Contribution of Non-Uniformities in Measurement." Paper presented at the Meetings of the American Society of Criminologists, Cincinnati, Ohio, November 8, 1984. *This presentation used the divergence of the two major crime trends to present a discussion of the error structure of the two series. See publication 1.*

"Cars, Crimes and Crime Statistics: What the UCR Does Not Tell Us But Should." (with Albert D. Biderman), Paper presented at the meetings of the American Society of Criminologists, Cincinnati, Ohio, November 7, 1984. *This is a critique of the UCR index crime classification and a proposal for an alternative type of crime classification using the attribute richness of the NCVS.*

"Serious Score Dimensions and Other Attributes Affecting Reactions to Victimization." (with Albert D. Biderman), Paper presented at the meetings of the American Society of Criminology, Cincinnati, Ohio, November 8,1984. *This presentation argued that crime scenario methods resulted in under specification of the regression models used to determine seriousness weights. See publication 23.*

"Changes in Police Organization and Their Effects on the Divergence of UCR and NCS Trends." Paper presented at the meetings of the American Society of Criminology, Denver, Colorado, November 9, 1983. *This presentation demonstrated a link between changes in the social organization of policing and reporting in the UCR. See publication 1 chapter 3 for a fuller treatment of this discussion.*

"Recency Bias in the NCS and the Analysis of Outcomes of Victimization Events," Paper presented at the meetings of the American Society of Criminology, Denver, Colorado, November 13, 1983. *This paper examines the recency slope in reporting in the NCS and show how recency bias was not correlated with most attributes of victimization events except reporting to the police. See publication 65.*

"Understanding the Role of Community in Delinquency Prevention." (with Irving A. Spergel and John Korbelik), Paper presented at the meetings of the American Psychological Association, Los Angeles, August 21, 1981. *Examined the effects of community organization on the criminal careers of status offenders. See publication 31.*

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have received many calls from reporters requesting comments on crime trends. Using publicly available databases, I found the following references to me and interviews with me in newspapers and other publications. Several of these articles include excerpts from an interview taken from a previous article. Those articles that I recognized as having original interviews are marked "non-redundant."

nobulletin.blogspot.com/2007_01_01_archive.html - [Cached Version]

www.postandcourier.com/stories/091304/wor_13crime.shtml - [Cached Version]

www.oaklandtribune.com/Stories/0,1413,82~1865~2398368

www.democratandchronicle.com/apps/pbcs.dll/article?AID= - [Cached Version]

www.dentonrc.com/sharedcontent/dws/dn/latestnews/storie - [Cached Version]

seattletimes.nwsource.com/html/nationworld/2002033933_c - [Cached Version]
Published on: 9/13/2004 Last Visited: 9/13/2004

2002 Crime Rate Lowest Since Records Kept - [Cached Version
ABC 13 - WSET-TV - U.S. Crime Rises for First Time in

Arizona Daily Sun - [Cached Version]

Arkansas Democrat-Gazette :: National News

Associated Press - [Cached Version]

Bennington Banner - [Cached Version]

Boston Globe Online / Nation | World / 51% of violent... - [Cached Version]

Boston.com / News / Nation / US violent crime rate... - [Cached Version]

Buffalo News - FBI says crime rose 2.1% - [Cached Version]

Casa de Maryland - Urban Legends - [Cached Version]. (Non-redundant.).

Charleston Daily Mail - [Cached Version]

FBI Statistics, Police Figures Paint 2 Pictures Of... - [Cached Version (not redundant)

North Jersey Media Group providing local news, sports... - [Cached (not redundant)

Sun-Sentinel: South Florida news - [Cached Version] (non redundant)
Published on: 2/28/2004 Last Visited: 2/28/2004

Uncounted crime distorts perceived and real safety - [Cached Version]
Published on: 11/15/2001 Last Visited: 11/29/2002 (Non-redundant)

Washington Journal, C-SPAN, September 20, 2009. (Interview on recently released statistics from the Uniform Crime Reports).
http://www.c-spanvideo.org/videoLibrary/video.php?progid=212648.

13. **Public Office, Political Activities and Affiliations**:

a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

None.

b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None.

14. **Legal Career:** Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I am not an attorney.

ii. whether you practiced alone, and if so, the addresses and dates;

I am not an attorney.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

Adjunct Professor, School of Public Affairs, American University, paid position, 6/2007.

Distinguished Professor, John Jay College, 899 Tenth Avenue, New York, New York, 10019, paid position, 9/2005 to present

Instructor, Summer Program, Interuniversity Consortium for Political and Social Research, University of Michigan, July and August of every summer from 1994 to 2009.

Professor and Department Chair, Department of Justice, Law and Society
American University, 4400 Mass. Ave, NW Washington, DC 20016, paid position, 9/1985 to 8/2005

Research Associate, Bureau of Social Science Research, Inc., 1990 M Street NW Washington, DC 20036, paid position, 1/1980 to 8/1985

Social Science Intern, United States Department of Justice. Office for Improvements in the Administration of Justice, Tenth and Pennsylvania Avenue, NW Washington, DC 20004, paid position, 9/1978 to 12/1979

Program Evaluator, Connecticut Planning Committee on Criminal Justice Administration, 75 Elm Street, Hartford, CT, paid position, 8/1972 to 8/1973

iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I am not an attorney.

b. Describe:

i. the general character of your law practice and indicate by date when its character has changed over the years.

I am not an attorney.

ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

I am not an attorney.

c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

i. Indicate the percentage of your practice in:
   1. federal courts;
   2. state courts of record;
   3. other courts;
   4. administrative agencies

I am not an attorney.

ii. Indicate the percentage of your practice in:
   1. civil proceedings;
   2. criminal proceedings.

I am not an attorney.

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I am not an attorney.

i. What percentage of these trials were:
   1. jury;
   2. non-jury.

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I am not an attorney.

15. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

a. the date of representation;

b. the name of the court and the name of the judge or judges before whom the case was litigated; and

c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

I am not an attorney, but the following people know me professionally:

Alfred Blumstein, Heinz College, Carnegie Mellon University, 5000 Forbes Ave, Hamburg Hall Pittsburgh, PA 15213-3890, 1-412-268-2159

Robert Groves, Director, United States Bureau of the Census, Suitland, MD 301-763-2135.

Richard Rosenfeld, Department of Criminal Justice, University of Missouri - St. Louis
One University Blvd, 537 Lucas Hall, St. Louis MO 63121-4499, 314-516-6717

Robert Bursik, Department of Criminal Justice, University of Missouri - St. Louis
One University Blvd, 540 Lucas Hall, St. Louis MO 63121-4499, 314- 516-6644

Bruce Western, Department of Sociology, Harvard University, 33 Kirkland Street, Cambridge, MA 02138, 617- 495-3879

Wesley Skogan, Institute for Policy Research, Northwestern University, 2040 Sheridan Road Evanston, IL 60208-4100 847- 491-3395

Joan Petersilia, Stanford Criminal Justice Center, Stanford University, Stanford, CA 94305-8610 650-723-4740

Colin Loftin, School of Criminal Justice, SUNY Albany, Albany New York 12222, 518-442-5216

John Laub, Department of Criminology and Criminal Justice, 2220 LeFrak Hall
University of Maryland, College Park, MD 20742-8235, 301- 405-8070

David Cantor, Westat Inc., 1650 Research Blvd Rockville, MD 301- 294-2080

James Q. Wilson, School of Public Policy, Pepperdine University, 24255 Pacific Coast Highway Malibu, CA 90263-7490 310- 506-7490

16. **Legal Activities**: Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

I have been interested in national statistics on crime and criminal justice since 1980, and during this period I have been involved in most of the major efforts to build and improve these systems. From 1980 to 1985 I was the project manager for the National Crime Survey (NCS) Redesign. This was a multi-year, multi-million dollar effort to improve the National Crime Survey, one of our two major indicators of crime. This research and development work produced a great deal of knowledge about the survey method as well as a completely redesigned survey that was implemented in 1992. During the same period, I was involved as an advisor in the redesign of the Uniform Crime Report, our second major indicator crime. This work resulted in a blueprint for improving police crime statistics and ultimately the National Incident Based Reporting System (NIBRS) currently administered by the Federal Bureau of Investigation. In 1988, I wrote (with Barbara Allen-Hagan and Susan Lindgren) a plan for improving statistics on juveniles and the juvenile justice. Some years later this plan gave rise to a new system of correctional statistics on juveniles. In 1991, Albert Biderman and I wrote *Understanding Crime Incidence Statistics* which has become the definitive work on understanding the relative strengths and weakness of survey based indicators of crime and of those based on police administrative records. During the

1990s, I was involved in a variety of activities to improve crime statistics and broaden their use. As chair of the American Statistical Association Committee on Law and Justice Statistics, I developed and administered a small grants program designed to promote research (with emphasis on methodological work) using the data collected by the Bureau of Justice Statistics (BJS) and advised BJS on splicing the old and new designs in the NCS. I was also a member of a committee advising BJS on changes to the National Archive of Criminal Justice Data, the organization managing the dissemination of BJS's data. This committee was instrumental in moving the Archive to a policy of public access to data through the Internet. In this period, I also began a fifteen-year affiliation with the Summer Program at ICPSR during which I trained almost two hundred graduate students and post doctoral students in the use of BJS data to address substantive and methodological issues in crime and criminal justice. This training is necessary because BJS's data collections employ complex samples and file structures that are not typically encountered by social scientists. More recently, I have edited a book with Cambridge University Press that revisits issues addressed in my first book, *Understanding Crime Incidence Statistics*, and takes account of changes in crime data as well as the nation since the first book appeared. For the last two years I have served on a National Academy Panel to Review the Programs of the Bureau of Justice Statistics. The Panel has produced two volumes that evaluate the current state of our statistical systems on crime and criminal justice and recommend steps to be taken to improve these systems.

17. **Teaching**: What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

John Jay College

Advanced Quantitative Methods, Spring 2009, 2007
This course was designed to build upon introductory courses in statistics and quantitative methods for PhD. students. It is designed to introduce the basic tool box for students who want to do quantitative analysis. It begins with advanced topics in OLS regression and goes on to the general linear model (logistic regression and survival analysis), and factor analysis. Lectures cover the theory behind these techniques and the lab sessions teach students how they would employ these techniques using commonly used statistical packages. (See attached syllabus.)

Getting Published, Spring 2005, 2006, 2008
This course is designed to provide advanced graduate students more experience in independent research and in the publication process. The course is limited to students who have a written piece that they believe to be publishable. The course simulates the review process with students presenting their paper to their colleagues. (See attached syllabus)

Crime, Coercion and Community, Fall 2007
This course was designed to provide an overview of criminological theories that purport to explain the distribution of crime across residential neighborhoods. Attention was also given to empirical tests of these theories. The intent was to give graduate students grounding in this theory and the empirical tests of these theories with an eye toward doing original empirical work in this area. (See attached syllabus)

Cross-national Comparisons of Criminal Justice, Fall 2006 (Masters level course)
This course described the criminal justice system in industrialized democracies including the United States. The description was organized around the central dilemma of these systems—

67071.025

balancing their coercive power with restraint in such a way as to preserve the legitimacy of the system among the citizenry. The descriptions are followed by discussions of several common issues confronting all of these systems, e.g. drug crimes, population heterogeneity, to demonstrate how basic institutional arrangements designed to achieve the balance of coercion and restraint influence a system's approach to these specific issues. (See attached syllabus.)

American University

Introduction to the Criminal Justice System—JLS 104
The course provided an introduction to the criminal justice system—police, courts and corrections. This course emphasized the social organization of the various entities performing essential functions in responding to crime and processing criminal cases.

Issues in Criminal Justice—JLS309
This course focused on controversial issues in the criminal justice system and the debates as to how these issues should be addressed.

Policing –JLS 210, 1985
This course described the function of the police in modern society and how it evolved. Specific attention was given to the need for police to balance their coercive power with demonstrations of restraint in order to maintain public support. Alternative strategies for striking that balance were discussed and evaluated.

Survey Research—1987
This course introduced students to the basics of survey research including sampling, instrument construction, administration, data processing and analysis. The class was organized around the fielding and analysis of a survey of AU undergraduates living in dorms.

Introduction to Justice Research—JLS 380, 1986-2005
This course introduced advanced undergraduates to the fundamentals of using empirical evidence to test causal statements. It addressed theory, hypothesis formulation, sampling, measurement, analysis and interpretation of empirical results.

Comparative Systems of Law and Justice—JLS 402
This course was designed to provide advanced undergraduates and graduate students with a cross-national perspective from which to view justice issues in the United States. This perspective enhanced students' ability to understand and to evaluate institutions of social control here in the U.S. Similarities and differences between the U. S. and other nations in terms of crime and responses to crime were used to raise questions about the effectiveness and appropriateness of our institutions and policies.

Research Methods I—JLS 680 (Masters level course)
This course was designed to introduce master's students to the methods for gathering and assessing empirical data in order to test causal statements. There was some discussion of how one establishes causality with empirical evidence. Standard research designs were assessed in terms of their ability to establish the conditions of causality. Issue of sampling and measurement error were also discussed.

Research Methods II—JLS 681(Masters level course)

67071.026

This is essentially a statistics course in which students are introduced to inferential and descriptive statistics beginning with uni-variate statistics and moving to bi-variate and multi-variate. (See the attached syllabus.)

Justice and Public Policy JLS—610 (masters level course)
This course describes the criminal justice system by identifying a series of topical issues in criminal justice, examining the various approaches to these issues, and explaining why these issues are controversial. These discussions lay bare some of the major beliefs about how the system must be organized and conduct its work. Issues usually become controversial because they hit a seam or an unsettled area where the division of labor between organizations is unclear or contested. This is a much more interesting way to learn about the fundamentals of the system. (See attached syllabus)

Seminar in Justice, Law and Society. JLS-710 (PhD. Counterpart to 610 above)
(See attached syllabus) This is the Ph.D. equivalent of the 610 course above.

Crime and Policy Analysis PUAD 496/596
Introduction to basic empirical research for police administrators in the Key Executive Program. One week course.

ICPSR—University of Michigan

Seminar in the Quantitative Analysis of Criminal Justice Data- Every summer since 1994 for one month.
This course is designed to promote the secondary analysis of the data collected by the Bureau of Justice Statistics and archived by the National Criminal Justice Data Archive (NCJDA). (See the syllabi for the two most recent years)

18. **Deferred Income/ Future Benefits**: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I am taking a leave of absence from my position at John Jay College. I will retain my interest in the City University of New York retirement fund, but no further contributions from CUNY or me will be made during my term of service as BJS director.

I have agreements with Cambridge University to receive royalties for two books, *Understanding Crime Incidence Statistics: Revisiting the divergence of the NCVS and the UCR* and *Criminologists on Terrorism and Homeland Security*. The amount of royalties cannot be determined at this time.

19. **Outside Commitments During Service**: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service? If so, explain.

No.

20. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries,

fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding $500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

(See the SF278)

21. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

(See the attached net worth statement.)

22. **Potential Conflicts of Interest**:

a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's designated agency ethics official. See also the attached Ethics Agreement.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's designated agency ethics official. See also the attached Ethics Agreement.

23. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

I am not an attorney.

I have done the following volunteer work:

Treasurer, Norwood School Parent's Association, 1997-1999. The parent association served as a liaison with the school administration and a vehicle for raising funds to purchase items not provided for in the school's operating budget. The association held a number of fundraising

events throughout the year. As treasurer I was responsible for receiving and disbursing funds in preparation for and during these events and for investing the associations cash reserves throughout the year.

Soccer Coach, 1992 to 1998. I coached three teams over the years—one in the DC Stoddert Soccer League and two in Montgomery Soccer Incorporated (MSI) League. The players were 5 years old on the first team and 12 when I retired.

Member of the Board of Directors, For Love of Children (FLOC), Outdoor Education Center, 1992. FLOC is an extremely successful, grassroots organization that provides services to needy children in the District of Columbia. The Outdoor Education Center gives special attention to homeless children. FLOC received funds from a variety of sources including grants and the staff recruited me to help them with grant writing.

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	66,101		Notes payable to banks-secured		
U.S. Government securities-add schedule	50,420		Notes payable to banks-unsecured		
Listed securities-add schedule	-0-		Notes payable to relatives		
Unlisted securities--add schedule	-0-		Notes payable to others		
Accounts and notes receivable:	-0-		Accounts and bills due	8,000	
Due from relatives and friends	-0-		Unpaid income tax		
Due from others	-0-		Other unpaid income and interest		
Doubtful	-0-		Real estate mortgages payable-add schedule	278,000	
Real estate owned-add schedule	843,160		Chattel mortgages and other liens payable		
Real estate mortgages receivable	-0-		Other debts-itemize:	40,000	
Autos and other personal property	29,545				
Cash value-life insurance	-0-				
Other assets itemize:					
TIAA-CREF retirement fund	496,000				
TSP retirement fund	202,000				
			Total liabilities	326,000	
			Net Worth	1,361,226	
Total Assets	1,687,226		Total liabilities and net worth	1,687,226	
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, comaker or guarantor	-0-		Are any assets pledged? (Add schedule)	No	

On leases or contracts	-0-			Are you defendant in any suits or legal actions?	No		
Legal Claims	-0-			Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax	-0-						
Other special debt	-0-						

Schedules for Financial Statement—Net worth.

Assets

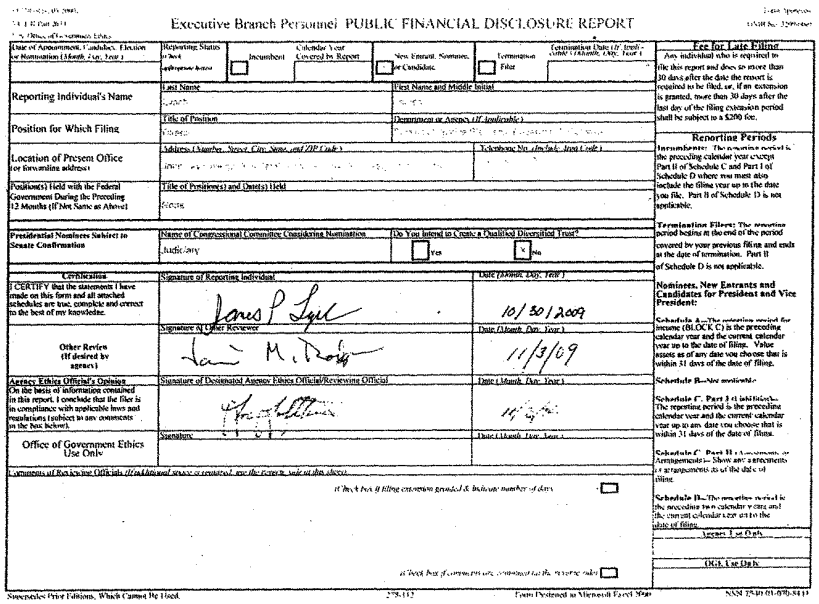
US Government Securities held in Mutual Funds
Vanguard IRA Mutual Fund—Short term Treasury Fund Investor--$50,420.

Real Estate Owned
Home in Washington DC estimated at $800,000 (based on most recent sale)
One sixth of house in Newington, CT $43,160
Total $843,160

Liabilities.

Real Estate Mortgages Payable.
We currently hold a mortgage with CCO Mortgage on our primary residence that has a principal balance of $278,000.

Other Debts.
We have $40,000 in tuition and room and board for two children in college due in January 2010.



Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status (Check appropriate boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☐	Termination Filer ☐	Termination Date (If Applicable) (Month, Day, Year)
Reporting Individual's Name	Last Name			First Name and Middle Initial		
Position for Which Filing	Title of Position			Department or Agency (If Applicable)		
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code)			Telephone No. (Include Area Code)		
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held					
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination			Do You Intend to Create a Qualified Diversified Trust?		
	Judiciary			☐ Yes	☒ No	

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	James P Lyle	10/30/2009
Other Review (If desired by agency)	Signature of Other Reviewer	Date (Month, Day, Year)
	[signature]	11/3/09
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date (Month, Day, Year)
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	[signature]	11/3/09
Office of Government Ethics Use Only	Signature	Date (Month, Day, Year)

Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)

If check box if filing extension granted & indicate number of days ☐

If check box if comments are continued on the reverse side ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

OGE Use Only

Supersedes Prior Editions, Which Cannot Be Used. 278-112 Form Designed in Microsoft Excel 2000 NSN 7540-01-070-8411

Reporting Individual's Name

James P. Lynch

SCHEDULE A

Page Number: 1

Assets and Income BLOCK A For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, and each asset (regardless of value) from which more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse). None ☐	Valuation of Assets at close of reporting period BLOCK B														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C														
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Amount: Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Comml Airlines Common Stock			X																				X								
Dow Jones & Smith, Hometown, State Bond Fund				X																				X							
Kampsime Equity Fund					X																				X						
IRA, Heartland 500 Index Fund						X																				X					
1 Fidelity (J) Fidelity Government Reserves		X												X						X											
2 Capital One Savings Account			X															X					X								
3 Fidelity SEP IRA Fidelity Blue Chip Growth	X													X						X											
4 Vanguard IRA Short term Treasury Fund Investor		X												X						X											
5 DC 529 College Saving Account Acadia Principal Plus		X												X							X										
6 Vanguard IRA Short term Treasury Fund Investor (spouse)			X											X						X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Page Updated: Jan 2012

Reporting Individual's Name: James P. Lynch

SCHEDULE A continued

(Use only if needed)

Page Number: 2

Assets and Income (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B) — **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Vanguard IRA Short term Treasury Fund Investor (Spouse)		x											X							X												
2	PNC Checking		X																X		X												
3	DC1 Savings and Checking--PNC (DC)		X																X		X												
4	DC2 Savings and Checking--PNC (DC)		X																X		X												
5	TIAA CREF Retirement Account Traditional Annuity					X													X							X							
6	CREF Composite Index Fund TLRRX							X						X							X												
7	City University of New York John Jay College																															Salary 231,198	
8	Arizona State University																															Honoraria 3,000	5/26/06
9	Covington and Burling																															Consulting fees 18005	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used

Reporting Individual's Name: James P. Lynch

SCHEDULE A continued

(Use only if needed)

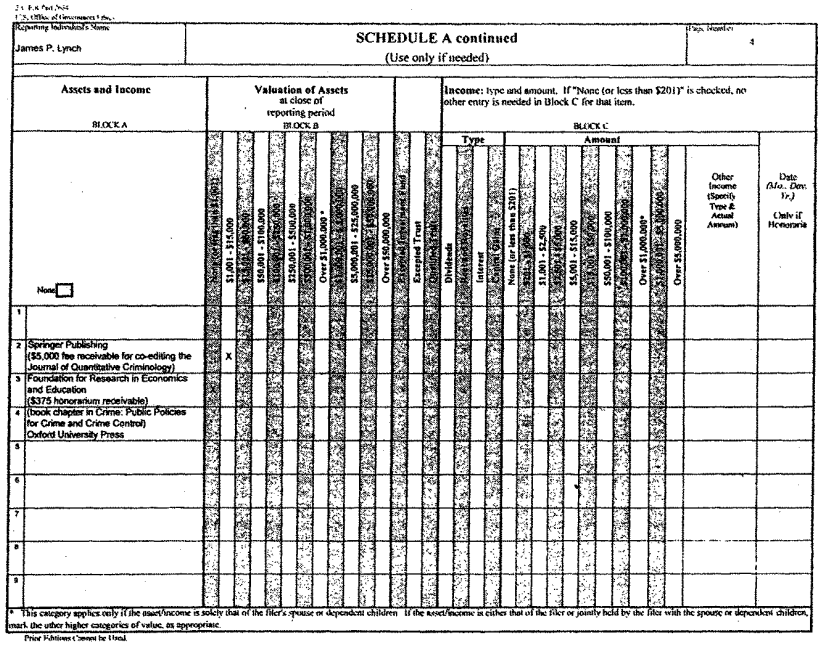
Page Number: 2

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None ☐																																
1	ICPSR																															Consulting fees 36510	
2	Aspen Systems																															Consulting fees 2250	
3	Asis Foundation																															Consulting fees 250	
4	Cambridge University Press Understanding Crime Incidence Statistics (value not readily ascertainable.)																	X				X											
5																																	
6	DC 529 College Savings Account Acacia Principal Plus (Spouse)		X											X								X											
7		X																															
8		X																															
9	CIDE																															Honoraria 1300	4/17/09

Block B: Valuation of Assets at close of reporting period. Block C: Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

* This category applies only if the asset income is solely that of the filer's spouse or dependent children. If the asset income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used



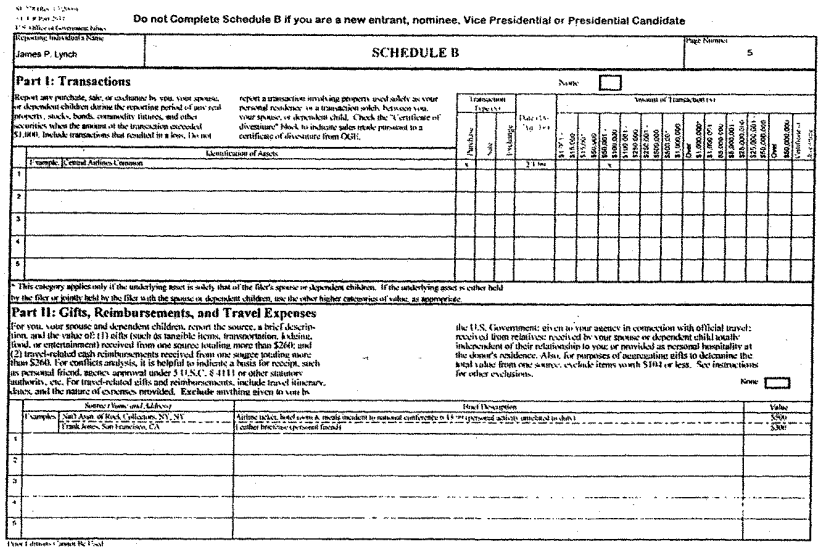
Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James P. Lynch		4

Assets and Income	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.													
BLOCK A	BLOCK B												BLOCK C											
													Type			Amount								
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Trust	Qualified Blind Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1																																
2 Springer Publishing ($5,000 fee receivable for co-editing the Journal of Quantitative Criminology)		X																														
3 Foundation for Research in Economics and Education ($375 honorarium receivable)																																
4 (book chapter in Crime: Public Policies for Crime and Crime Control) Oxford University Press																																
5																																
6																																
7																																
8																																
9																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

SF 278 (Rev. 1/2000)
5 C.F.R. Part 2637
U.S. Office of Government Ethics



Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
James P. Lynch	**SCHEDULE B**	5

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Cumulative of Assets
	Example: Central Airlines Common	x			2/1/00			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference to $1,000 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
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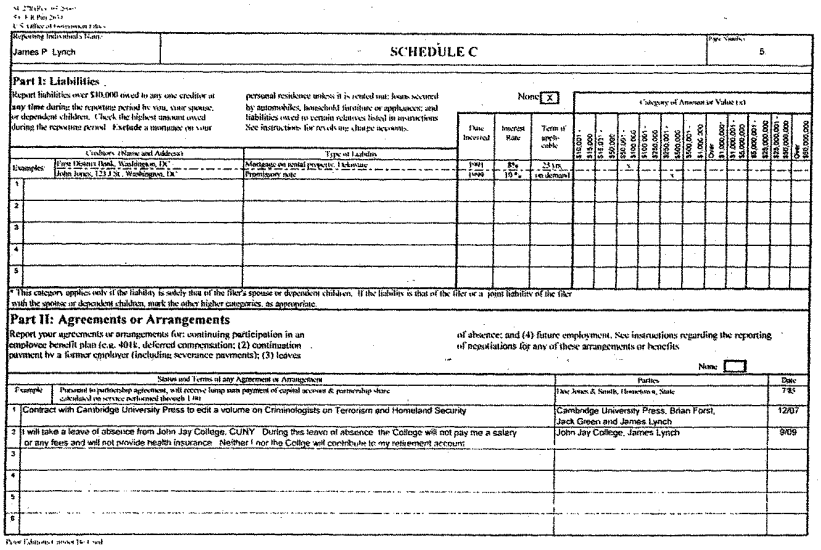

Reporting Individual's Name: James P. Lynch

SCHEDULE C

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [X]

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)											
						$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - Over $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	$50,000,001 - $100,000,000	Over $100,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property	1991	8%	33 yrs.			X			X						
	John Jones, 123 J St., Washington, DC*	Promissory note	1999	10%	on demand												
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* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g., 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None [ ]

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/01	Doe Jones & Smith, Hometown, State	7/05
1	Contract with Cambridge University Press to edit a volume on Criminologists on Terrorism and Homeland Security	Cambridge University Press, Brian Forst, Jack Green and James Lynch	12/07
2	I will take a leave of absence from John Jay College, CUNY. During this leave of absence the College will not pay me a salary or any fees and will not provide health insurance. Neither I nor the College will contribute to my retirement account.	John Jay College, James Lynch	9/09
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James P. Lynch		6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Excludes positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/90	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	ICPSR, 330 Packard Street, Ann Arbor, MI 48104	Non-profit Education	Consultant insructor	7/94	7/09
2	Covington and Burling, 1201 Pennsylvania Ave NW, Washington, DC 20004	Law Firm	Expert witness, consultant	6/07	8/08
3	CIDE, Carretera Mexico, Toluca, 3655 Col. Lomas de Santa Fe, 01201 Mexico, D.F.	Non-profit Education	Consultant	4/09	4/09
4	Police Foundation, 1201 Connecticut Ave., Washington, DC 20036	Non-profit research firms	Institutional Review Board Member	1/02	Present
5	Research Triangle Institute, 3040 North Cornwallis Road, Research Triangle Park, NC 27709	For profit research firm	Consultant	6/07	9/07
6	American University, 4400 Mass. Ave NW, Washington DC 20016	Non-profit education	Consultant instructor	6/07	6/07

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Hometown, State	Legal services in connection with university construction
1	ICPSR, 330 Packard Street, Ann Arbor, MI 48104	Taught a course in the summer program in 2008 and 2009.
2	Covington and Burling, 1201 Pennsylvania Ave NW, Washington, DC 20004	Served as an expert witness on a civil case.
3	National Council of Juvenile and Family Court Judges, P.O. Box 8970, Reno, Nevada	Completed a report of juvenile offending trends for their research arm NCJJ
4	Research Triangle Institute, 3040 North Cornwallis Road, Research Triangle Park, NC 27709	Wrote a paper on type of crime classification
5	John Jay College, 899 Tenth Avenue, New York, New York 10019	I am a faculty member.
6		

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Natl Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/02	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/82	1/00
1	John Jay College 899 Tenth Avenue, New York, NY 10019	Public Educational	Distinguished Professor	9/2005	Present
2	American Society of Criminology 1314 Kinnear Road, Columbus Ohio	Non-profit	Vice President	9/2009	Present
3	National Center for Juvenile Justice 3700 Water Street, Pittsburgh, PA	Non-profit research	Consultant	6/2002	1/2007
4	Journal of Quantitative Criminology	Journal	Co-Editor	6/2008	Present
5					
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
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