

Dated: October 21, 1996.

June Gibbs Brown,

*Inspector General, Department of Health and Human Services; and Vice Chair, PCIE.*

[FR Doc. 96-28376 Filed 11-4-96; 8:45 am]

BILLING CODE 4150-04-P

## RAILROAD RETIREMENT BOARD

### Agency Forms Submitted for OMB Review

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the Railroad Retirement Board has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

#### Summary of Proposal(s)

(1) *Collection title:* Statement of Authority to Act for Employee

(2) *Form(s) submitted:* SI-10

(3) *OMB Number:* 3220-0034

(4) *Expiration date of current OMB clearance:* December 31, 1996

(5) *Type of request:* Extension of a currently approved collection

(6) *Respondents:* Individuals or households, Business or other for-profit

(7) *Estimated annual number of respondents:* 400

(8) *Total annual responses:* 400

(9) *Total annual reporting hours:* 300

(10) *Collection description:* Under 20 CFR 335.2, the Railroad Retirement Board (RRB) accepts claims for sickness benefits by other than the sick or injured employees, provided the RRB has the information needed to satisfy itself that the delegation should be made.

#### ADDITIONAL INFORMATION OR COMMENTS:

Copies of the form and supporting documents can be obtained from Chuck Mierzwa, the agency clearance officer (312-751-3363). Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092 and the OMB reviewer, Laura Oliven (202-395-7316), Office of Management and Budget, Room 10230, New Executive Office Building, Washington, D.C. 20503.

Chuck Mierzwa,

*Clearance Officer.*

[FR Doc. 96-28347 Filed 11-4-96; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

Upon Written Request, Copies Available From Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

#### Extension

Form 13F—SEC File No. 270-22—OMB Control No. 3235-0006.

Rule 204-3—SEC File No. 270-42—OMB Control No. 3235-0047.

#### Reinstatement

Rule 6a-3—SEC File No. 270-15—OMB Control No. 3235-0021.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is publishing the following summaries of collections for public comment.

Form 13F is used by certain large investment managers to report quarterly with respect to certain securities over which they exercise investment discretion. Each report takes about 24.6 hours to fill out.

It is estimated that approximately 1,804 institutional investment managers are subject to the rule. Each reporting manager files Form 13F quarterly. It is estimated that compliance with the Form 13F imposes a total annual burden per manager of approximately 98.4 hours. The total annual burden for all managers is estimated at 177,513.6 hours.

Rule 203-4 requires an investment adviser to deliver or offer to deliver to clients a written disclosure containing specified information concerning the background and business practices of the adviser. Investors need this information to determine whether to retain or continue to employ the investment adviser.

There are 22,500 investment advisers subject to this rule. It is estimated that the burden resulting from the rule is 551,250 total annual hours.

Rule 6a-3 requires a registered or exempted exchange to file with the Commission (i) notification of any action that renders its application or annual amendment inaccurate, (ii) material it issues or makes available to members, and (iii) a monthly report concerning the activities on the exchange.

There are 8 registered exchanges and 1 exempted exchange that must comply with Rule 6a-3. Each of these 9

respondents file supplemental information under Rule 6a-3 approximately 25 times each year, for a total of 225 annual responses. Each response requires no more than one-half hour. Thus, the total compliance burden for registered and exempted exchanges per year is 112.5 hours.

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Direct your written comments to Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 5th Street, NW., Washington, DC 20549.

Dated: October 25, 1996.

Margaret H. McFarland,

*Deputy Secretary.*

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### Request for Public Comment

Upon Written Request, Copies Available From Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

Existing Collection In Use Without an OMB Number

Rule 15c2-1—SEC File No. 270-418—OMB Control No. 3235—new.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is publishing the following summary of collection for public comment.

Rule 15c2-1 prohibits the commingling under the same lien of securities of margin customers: (a) with other customers without their written consent; and (b) with the broker or dealer. The rule also prohibits the rehypothecation of customers' margin securities for a sum in excess of the customer's aggregate indebtedness. See