AUTHENTICATED
U.S. GOVERNMENT
INFORMATION
GPO

COMMODITY FUTURES TRADING COMMISSION

2033 K Street NW., Washington, DC 20581
Phone, 202–254–6387

Chairman	MARY L. SCHAPIRO
Commissioners	JOSEPH B. DIAL, SHELIA C. BAIR, JOHN E. TULL, JR., BARBARA P. HOLUM
General Counsel	ELISSE B. WALTER
Executive Director	SUSAN BAUMANN

[For the Commodity Futures Trading Commission statement of organization, see the *Code of Federal Regulations,* Title 17, Part 140]

The Commodity Futures Trading Commission promotes healthy economic growth, protects the rights of customers, and ensures fairness and integrity in the marketplace through regulation of futures trading. To this end, it also engages in the analysis of economic issues affected by or affecting futures trading.

The Commodity Futures Trading Commission, the Federal regulatory agency for futures trading, was established by the Commodity Futures Trading Commission Act of 1974 (7 U.S.C. 4a). The Commission began operation in April 1975, and its authority to regulate futures trading was renewed by Congress in 1978, 1982, 1986, 1992, and 1995.

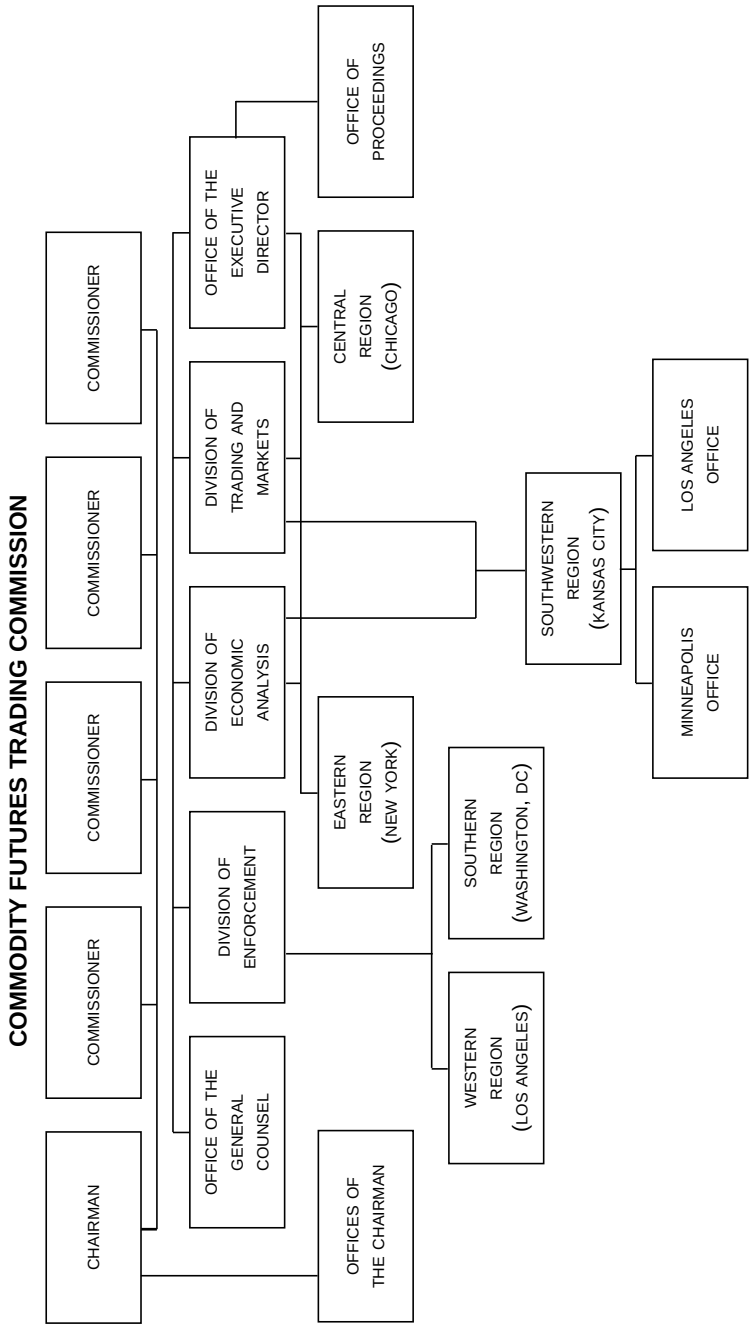
The Commission consists of five Commissioners who are appointed by the President, with the advice and consent of the Senate. One Commissioner is designated by the President to serve as Chairman. The Commissioners serve staggered 5-year terms, and by law no more than three Commissioners can belong to the same political party.

The five major operating components that exist within the Commission are: the divisions of enforcement, economic analysis, trading and markets, and the offices of the executive director and the general counsel.

Activities

The Commission regulates trading on the 11 U.S. futures exchanges, which offer active futures and options contracts. It also regulates the activities of numerous commodity exchange members, public brokerage houses (futures commission merchants), Commission-registered futures industry salespeople and associated persons, commodity trading advisers, and commodity pool operators. Some off-exchange transactions involving instruments similar in nature to futures contracts also fall under Commission jurisdiction.

The Commission's regulatory and enforcement efforts are designed to ensure that the futures trading process is fair and that it protects both the rights of customers and the financial integrity of the marketplace. It approves the rules under which an exchange proposes to operate and monitors exchange enforcement of those rules. It reviews the terms of proposed futures contracts, and registers companies and individuals who handle customer funds or give trading advice. The Commission also protects the public by enforcing rules that require


COMMODITY FUTURES TRADING COMMISSION
CHAIRMAN
COMMISSIONER
COMMISSIONER
COMMISSIONER
COMMISSIONER
OFFICE OF THE GENERAL COUNSEL
DIVISION OF ENFORCEMENT
DIVISION OF ECONOMIC ANALYSIS
DIVISION OF TRADING AND MARKETS
OFFICE OF THE EXECUTIVE DIRECTOR
OFFICES OF THE CHAIRMAN
EASTERN REGION (NEW YORK)
CENTRAL REGION (CHICAGO)
OFFICE OF PROCEEDINGS
WESTERN REGION (LOS ANGELES)
SOUTHERN REGION (WASHINGTON, DC)
SOUTHWESTERN REGION (KANSAS CITY)
MINNEAPOLIS OFFICE
LOS ANGELES OFFICE

that customer funds be kept in bank accounts separate from accounts maintained by firms for their own use, and that such customer accounts be marked to present market value at the close of trading each day.

Large regional offices are maintained in Chicago, IL, and New York, NY, where many of the Nation's futures exchanges are located. Smaller regional offices are located in Kansas City, MO, and Los Angeles, CA. A suboffice of the Kansas City regional office is located in Minneapolis, MN.

For further information, contact the Office of Public Affairs, Commodity Futures Trading Commission, 2033 K Street NW., Washington, DC 20581. Phone, 202–254–8630.

CONSUMER PRODUCT SAFETY COMMISSION

East West Towers, 4330 East West Highway, Bethesda, MD 20814
Phone, 301–504–0580

Chairman	ANN BROWN
Commissioners	MARY SHEILA GALL, (3 VACANCIES)
General Counsel	ERIC A. RUBEL
Director, Office of Congressional Relations	ROBERT J. WAGER
Director, Office of the Secretary	SADYE E. DUNN
Freedom of Information Officer	TODD A. STEVENSON
Director, Office of Equal Employment Opportunity and Minority Enterprise	JOHN W. BARRETT, JR.
Executive Director	BERTRAM R. COTTINE
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Inspector General	THOMAS F. STEIN
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