



Date

November 4, 2019

To

Acting Chief of Staff

From

Inspector General

A handwritten signature in black ink, appearing to be "M. A. ...", written over the "From" line.

Subject

Office of Inspector General (OIG) Open Recommendations Status

We have reviewed the response to our open recommendations made to the Chief Financial Officer and the Chief Information Officer. We acknowledge the continued efforts of the agency to address the open recommendations.

In reference to Open Recommendation 16-21-11, our records were updated to show the recommendation belongs to Plant Operations. We have attached our latest open recommendations report which reflects that update plus other OIG open recommendations.

Attachment

US GOVERNMENT PUBLISHING OFFICE

Office of Inspector General

Open Recommendations

As of November 4, 2019

Summary of Open OIG Recommendations By Business Unit

As of November 4, 2019

1. **Acquisition Services**

1 report with a total of 1 open recommendation

2. **Customer Services**

2 reports with a total of 4 open recommendations

3. **Human Capital**

1 report with a total of 1 open recommendation

4. **Office of the Chief Information Officer**

1 report with a total of 3 open recommendations

5. **Office of Finance**

3 reports with a total of 5 open recommendations

6. **Plant Operations**

1 report with a total of 1 open recommendation

This document identifies a total of 15 open recommendations. (4 recommendations have been opened for more than a year)

**Recommendations that have been opened for more than a year.

Office and Business Unit: Acquisition Services
1 report with a total of 1 open recommendation

FY 2019

Report Title: Management Letter — FY 2018 Financial Statements

OIG Report Number: 19-03

Management Official: Chief Acquisition Officer

Recommendation Number 19-03-02

We recommend the Chief Acquisition Officer strengthen its internal controls to ensure that:

1. All the supporting documentation and approvals required by GPO policies to ensure procurement actions are properly supported and approved are obtained prior to Issuance of the purchase orders.
2. All procedures for correcting errors in bids are completed in accordance with GPO's policies and the results are properly documented and maintained.

**Recommendations that have been opened for more than a year.

Office and Business Unit: Customer Services
2 reports with a total of 4 open recommendations

FY 2019

Report Title: Management Letter — FY 2018 Financial Statements
OIG Report Number: 19-03
Management Official: Managing Director

Recommendation Number 19-03-03

We recommend the Managing Director, Customer Services strengthen its controls to ensure the CRB and Contracting Officers follow GPO's policies and procedures.

Report Title: Management of Simplified Purchase Agreements
OIG Report Number: 19-08
Management Official: Managing Director

Recommendation Number 19-08-01

We recommend that the Managing Director, Customer Services develop controls to ensure Memorandums of Agreements are properly executed prior to any Federal customer participating in Simplified Purchase Agreement program.

Recommendation Number 19-08-02

We recommend that the Managing Director, Customer Services ensure adequate documentation is maintained and readily available for external reviews.

Recommendation Number 19-08-03

We recommend that the Managing Director, Customer Services implement controls for updating in a timely fashion the authorized user list for Simplified Purchase Agreement.

**Recommendations that have been opened for more than a year.

Office and Business Unit: Human Capital
1 report with a total of 1 open recommendation

FY 2019

Report Title: Management Letter — FY 2018 Financial Statements

OIG Report Number: 19-03

Management Official: Chief Human Capital Officer

Recommendation Number 19-03-01

We recommend the Chief Human Capital Officer:

1. strengthen its internal controls in place over the review and approval of personnel actions by ensuring that the SF-52 is reviewed and approved prior to the approval of the SF-50.
2. ensure that both the SF-50 and SF-52 are approved on or before their effective dates.

**Recommendations that have been opened for more than a year.

Office and Business Unit: Office of the Chief Information Officer
1 report with a total of 3 open recommendations

Report Title: Information Technology — FY 2018 Financial Statements

OIG Report Number: 19-04

Management Official: Chief Information Officer

Recommendation Number 19-04-01

We recommend that the CIO:

1. Update Standard Operating Policies and Procedures around user separations to align with the promulgation of the bi-weekly Human Capital separations report.
2. Validate that the bi-weekly Human Capital separation reports are pulling complete and accurate separated user data.

Recommendation Number 19-04-02

We recommend the CIO:

1. Complete testing and implementation of the GRC module into the GBIS application.
2. Update the GBIS SOD Matrix to clearly identify conflicting system roles in the GBIS application.

Recommendation Number 19-04-03

We recommend the CIO:

1. Complete the GSS Contingency Plan testing.
2. Finalize and approve the new GSS contingency plan once testing is complete.

**Recommendations that have been opened for more than a year.

Office and Business Unit: Office of Finance
3 reports with a total of 5 open recommendations

FY 2014

Report Title: Changes can Provide GPO Better Information on Establishing Billing Rates for Congressional Hearings (Product Code 83)

OIG Report Number: 14-07

Management Official: Chief Financial Officer

Recommendation Number 14-07-03**

We recommend the CFO conduct an analysis that will determine system requirements required to further develop and implement a comprehensive management cost accounting system in support of the establishment of congressional billing rates for congressional hearings (Product Code 83). The analysis should determine the feasibility of fully automating and integrating GPO's Plant Operations workflow systems and processes with GPO's financial systems.

FY 2017

Report Title: Financial Management: Unbilled Congressional Publication Jackets

OIG Report Number: 17-08

Management Official: Chief Financial Officer

Recommendation Number: 17-08-01**

We recommend the CFO should work with the offices of Official Journals of Government and Plant Operations to develop and implement a process by which unbilled jackets can be monitored effectively.

Recommendation Number: 17-08-02**

We recommend the CFO should work with the offices of Official Journals of Government and Plant Operations to clear the unbilled jackets by taking necessary action on the 1,084 unbilled jackets.

**Recommendations that have been opened for more than a year.

FY 2019

Report Title: Management Letter — FY 2018 Financial Statements

OIG Report Number: 19-03

Management Official: Chief Financial Officer

Recommendation Number 19-03-04

We recommend the CFO Implement controls to ensure that during the review of any invoice, invoice examiners verify that the vendor has included the correct purchase order number in the invoice.

Recommendation Number 19-03-05

We recommend the CFO strengthen its controls over the recording of Inventory receipts to ensure that receipts are recorded in a timely manner.

**Recommendations that have been opened for more than a year.

Office and Business Unit: Plant Operations
1 report with a total of 1 open recommendation

FY 2016

Report Title: Independent Verification and Validation of GPO's Composition System Replacement (CSR)

OIG Report Number: 16-11 (RESTRICTED DISTRIBUTION)

Management Official: Managing Director

Recommendation Number 16-11-21**

**Recommendations that have been opened for more than a year.