



Date

December 5, 2019

To

Acting Chief of Staff

From

Inspector General

Subject

Office of Inspector General Open Recommendations

This memorandum provides an updated status for the open recommendations addressed in your November 19, 2019 and November 26, 2019 responses. Based on information provided, we were able to close one of the open recommendations.

The Financial Statements Audits' eight open recommendations and responses are being reviewed by KPMG for potential closing. KPMG will provide OIG feedback on whether GPO's corrective actions addressed the conditions that necessitated the recommendations. Those open recommendations are:

- Recommendation 19-03-01
- Recommendation 19-03-02
- Recommendation 19-03-03
- Recommendation 19-03-04
- Recommendation 19-03-05
- Recommendation 19-04-01
- Recommendation 19-04-02
- Recommendation 19-04-03

The status of the four open recommendations, addressed in the responses, that resulted from OIG's performance audits are discussed below.

Recommendation 16-11-21

The Managing Director, Plant Operations [changed from the Chief Information Officer] combine the 2017 Design Document and 2017 Project Plan into a single artifact known as the Composition System Replacement (CSR) Architecture Road Map, which is a living document that is focused on the features being delivered described as "epics" with details appropriately sized and speak to stakeholder objectives. (Note: This report's distribution was restricted)

GPO Status

Plant Operations and Programs, Strategy and Technology are on track to provide an updated CSR Architecture Road Map document that provide sufficient details regarding the planned delivery of functionality and features of the CSR application (detailing for who, what is involved, when to be delivered and high level how to be delivered) by December 31, 2019. A draft updated document is planned to be completed by December 1, 2019 for consultation and coordination with Plant Operations management and the Chief of Staff. The final document is planned to be completed by December 31, 2019 and delivered to the OIG.

OIG's Assessment

GPO is demonstrating progress toward the implementation of the recommendation. If the final version of the CSR Architecture Road Map version provides sufficient details regarding the planned delivery of functionality and features of the CSR application, we will be able to close the recommendation.

Recommendation 19-08-01

The Managing Director, Customer Services develop controls to ensure Memorandums of Agreements (MOAs) are properly executed prior to any Federal customer participating in Simplified Purchase Agreement program (SPA).

GPO's Status

Customer Services is currently in the process of finalizing revisions to the MOA, developing a communication notice for current agency SPA users (all agencies with a FY19/20 Open requisition), collecting contact information for person responsible for signing the MOA for their agency in all GPO offices and vetting and consolidating the list removing any duplicates. These actions are scheduled to be complete by January 14, 2020. Notifications to update the agency's MOA will go out to identified customers the 3rd week of January. Responses will be due by February 10, 2020, and subsequently uploaded to the SPA Document Repository on the CS SharePoint site. This will ensure that all current agency users of the SPA have an updated MOA on file.

OIG Assessment

GPO efforts show progress toward addressing the recommendation. OIG should be able to close this recommendation once GPO fully implement its corrective actions.

Recommendation 19-08-02

The Managing Director, Customer Services ensure adequate documentation is maintained and readily available for external reviews.

GPO Status

Complete. The document electronic repository has been created. A library has been set up on Customer Services SharePoint site in which all required documentation (MOA, Letter of Authorization, and Training participants) will be captured for easy access for any internal and external reviews. As the refreshed MOAs are received they will be uploaded. Existing documentation for training and procurement authority is in the process of being scanned and uploaded to the site.

OIG's Assessment

This recommendation is considered closed based on GPO's corrective actions.

Recommendation 19-08-03

The Managing Director, Customer Services implement controls for updating in a timely fashion the authorized user list for Simplified Purchase Agreement.

GPO Status

All SPA Administrator staff have been retrained in accordance with the process outlined in the Printing Procurement Regulations, Chapter VII. Section 10.4 Authorizing Use of Simplified Purchase Agreements for Federal Customers. It has been determined that Customer Services will do random quarterly checks to ensure that all of the necessary documents have been acquired and that the database has been updated in a timely manner. The revised SOP is in the final draft stage to include this information, the timeframe for updating the database upon receipt of documentation, and the process of uploading the documents to the SPA document repository.

OIG's Assessment

Customer Services has made sufficient progress on this recommendations. This recommendation will be closed when the revised SOP addressing the timeframe for updating the authorized user list is issued in final.