

Report Type	Report Number	Report Title	Report Date	Recommendation Number	Open	Recommendation Description	Days Open	Agency Targeted Implementation Date
<b>OIG AND CONTRACTED RECOMMENDATIONS</b>								
Inspection	21-07	Cybersecurity Controls Report	12/21/2020	21-07-01	Open	GPO should: a. Develop, finalize, and maintain SSPs for all the major information systems in accordance with the requirements outlined GPO directives and utilize best practices outlined NIST SP 800-18 Revision 1, and NIST SP 800-53, Revision 5, Security and Privacy Controls for Federal Information Systems and Organizations. b. Perform a security controls assessment and address and remediate any gaps identified where feasible for legacy systems planned to be decommissioned in the future; c. Document an ISCP for each major information system; and d. Perform risk assessment every three years or when there is a significant change to the operating environment.	455	FY 2022
Audit	21-08	Evaluation of Product Billing Rates	2/16/2021	21-08-01	Open	Update the set of SOPs covering the major People, Process, Data and Technology elements involved in the delivery of GPOs Products/Services to its customers.	398	3/31/2023
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-01	Open	As recommended by OMB Memorandum M-12-02, appoint a senior accountable official who shall be responsible for program management activities including: a) assessing the agency's suspension and debarment program and the adequacy of available resources, such as staffing; b) ensuring that the agency maintains effective internal controls and tracking capabilities; c) ensuring that the agency participates regularly on the ISDC, as appropriate; and d) reviewing internal policies, procedures, and guidance to ensure that suspension and debarment are being considered and used effectively.	258	GPO did not concur with the recommendation.
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-02	Open	Implement a case management tool to process and monitor suspension and debarment referrals, including maintaining complete official records for each referral.	258	1/31/2022
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-04	Open	Update internal S&D directives, policies, procedures, guidance, and controls to include: a) Timeframes for various steps in processing suspension and debarment referrals, including, but not limited to, timeframes for the initial review and the final decision, and a requirement to document deviations from the established timeframes. b) Quality control checks for the various steps in the suspension and debarment process, including, but not limited to, the complete official record, reconciliation of exclusion information, and duration of suspension and debarment periods. c) Reviewing SAM (and the Exclusion List, if FAR Subpart 9.4 is not adopted) both after opening bids or proposals and immediately before awarding contracts.	258	4/1/2022
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-05	Open	Review the Exclusion List and SAM records to confirm the validity of all listed contractors' status, and take appropriate action to add or remove contractors as applicable.	258	FY 2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-01	Open	Take appropriate actions to remedy the loss revenue of \$174,234 and incorrect billings of \$110,580 identified in this audit from Congressional Publishing Appropriations.	185	3/31/2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-02	Open	Review detailee timesheets not included in this audit to ensure hours worked have been accurately accounted for and billed to Congress to reimburse GPO, as appropriate.	185	3/31/2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-03	Open	Establish policies and procedures for the congressional detailee program to include roles and responsibilities and communications with internal and external parties.	185	FY 2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-04	Open	Assess and leverage available automated technologies to manage the Congressional Detailee workhours to include, but not limited to, tracking, reconciling, validating, approving, and transmitting hours worked for billing purposes.	185	FY 2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-05	Open	Require employees to validate their own timesheets in webTA prior to supervisory certification as required by GPO policy.	185	FY 2022
				<b>Total Open</b>	<b>11</b>			

Report Type	Report Number	Report Title	Report Date	Recommendation Number	Open	Recommendation Description	Days Open	Date Closed
<b>RECOMMENDATIONS CLOSED THIS QUARTER</b>								
Inspection	21-03	Acquisition Services: Late Penalties	10/30/2020	21-03-03	Closed	Review the totality of Acquisition Services policies and eliminate or revise outdated policies, instructions, regulations, and training manuals; to include the Materials Management Regulation. Specifically, the policies and guidance should explain the processes on how to: create purchase requests and provide necessary documentation to a get purchase requests approved; award and execute contracts; and close-out contracts in CLM.	507	1/28/2022
Investigation	21-04	DLUX Management Incident Report	11/19/2020	21-04-01	Closed	The GPO conduct a review of its on-going direct-mail services contracts to determine any additional oversight measures that could be implemented to include but not limited to random inspections to independently verify quantities shipped versus quantities reported.	487	1/28/2022
Audit	21-08	Evaluation of Product Billing Rates	2/16/2021	21-08-02	Closed	Drafting a detailed set of Desk Procedures that detail the necessary steps an employee takes to input and validate the input, as well as running and reporting of cost and performance information in the Cost Accounting Tool.	398	1/28/2022
Audit	21-08	Evaluation of Product Billing Rates	2/16/2021	21-08-03	Closed	Development of a standardized fee input and calculation template.	398	1/28/2022
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-03	Closed	Adopt the FAR Subpart 9.4 – Debarment, Suspension, and Ineligibility as GPO's suspension and debarment practice.	258	3/17/2022
Audit	21-10	Government Publishing Office Capital Investments	8/20/2021	21-10-01	Closed	Require agency-wide capital investment business cases to include increased qualitative (non-financial) and quantitative (financial) data and analysis - including but not limited to the agency's needs, project resource estimates, schedules, costs, return-on-investment, alternatives, and risks that are more in line with Federal agencies' practices identified in this report. These business cases should also include supporting back-up documentation to justify the investment and its cost impacts and benefits.	213	1/28/2022
Audit	21-10	Government Publishing Office Capital Investments	8/20/2021	21-10-02	Closed	Develop and implement procedures for the performance of post-implementation benefit assessments by Business Units to determine actual investment benefits achieved compared to estimated benefits and report the assessment results to the Strategic Investment Planning Committee.	213	1/28/2022
Audit	21-10	Government Publishing Office Capital Investments	8/20/2021	21-10-03	Closed	Develop and implement, within the Strategic Investment Planning Committee's process, a rating system with pre-established rating criteria for ranking and prioritizing capital investment business cases received from Business Units.	213	1/28/2022
Audit	21-10	Government Publishing Office Capital Investments	8/20/2021	21-10-04	Closed	Develop and implement a plan to build the capability of the Strategic Investment Planning Committee to independently review and validate qualitative and quantitative data in capital investment proposals received from Business Units.	213	1/28/2022
Audit	21-10	Government Publishing Office Capital Investments	8/20/2021	21-10-05	Closed	Require the Strategic Investment Planning Committee to define the retention period and implement procedures for document retention for all capital investment-related activities.	213	1/28/2022
Audit	21-10	Government Publishing Office Capital Investments	8/20/2021	21-10-06	Closed	Assess GPO Directives 1100.1B and 001.1C to ensure policies and procedures are current and relevant, and update them as appropriate.	213	2/8/2022
				<b>Total Closed</b>	<b>11</b>			