

Report Type	Report Number	Report Title	Report Date	Recommendation Number	Status	Recommendation Description	Days Open	Agency Targeted Implementation Date
OIG AND CONTRACTED RECOMMENDATIONS								
Inspection	21-07	Cybersecurity Controls Report	12/21/2020	21-07-01	Open	a) Develop, finalize, and maintain SSPs for all the major information systems in accordance with the requirements outlined GPO directives and utilize best practices outlined NIST SP 800-18 Revision 1, and NIST SP 800-53, Revision 5, Security and Privacy Controls for Federal Information Systems and Organizations. b) Perform a security controls assessment and address and remediate any gaps identified where feasible for legacy systems planned to be decommissioned in the future; c) Document an ISCP for each major information system; and d) Perform risk assessment every three years or when there is a significant change to the operating environment.	749	FY 2022
Audit	21-08	Evaluation of Product Billing Rates	2/16/2021	21-08-01	Open	Update the set of SOPs covering the major People, Process, Data and Technology elements involved in the delivery of GPOs Products/Services to its customers.	692	3/31/2023
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-01	Open	As recommended by OMB Memorandum M-12-02, appoint a senior accountable official who shall be responsible for program management activities including: a) assessing the agency's suspension and debarment program and the adequacy of available resources, such as staffing; b) ensuring that the agency maintains effective internal controls and tracking capabilities; c) ensuring that the agency participates regularly on the ISDC, as appropriate; and d) reviewing internal policies, procedures, and guidance to ensure that suspension and debarment are being considered and used effectively.	552	GPO did not concur with the recommendation.
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-02	Open	Implement a case management tool to process and monitor suspension and debarment referrals, including maintaining complete official records for each referral.	552	1/31/2022
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-04	Open	Update internal S&D directives, policies, procedures, guidance, and controls to include: a) Timeframes for various steps in processing suspension and debarment referrals, including, but not limited to, timeframes for the initial review and the final decision, and a requirement to document deviations from the established timeframes. b) Quality control checks for the various steps in the suspension and debarment process, including, but not limited to, the complete official record, reconciliation of exclusion information, and duration of suspension and debarment periods. c) Reviewing SAM (and the Exclusion List, if FAR Subpart 9.4 is not adopted) both after opening bids or proposals and immediately before awarding contracts.	552	4/1/2022
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-05	Open	Review the Exclusion List and SAM records to confirm the validity of all listed contractors' status, and take appropriate action to add or remove contractors as applicable.	552	FY 2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-03	Open	Establish policies and procedures for the congressional detailee program to include roles and responsibilities and communications with internal and external parties.	479	FY 2022
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-04	Open	Assess and leverage available automated technologies to manage the Congressional Detailee workhours to include, but not limited to, tracking, reconciling, validating, approving, and transmitting hours worked for billing purposes.	479	FY 2022
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-01	Open	Prioritize greater investment in recruiting employees with knowledge of modern systems that can streamline/modernize GPO operations, retaining staff, and training existing employees to ensure that human capital and expertise is leveled across the agency.	235	11/30/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-02	Open	Evaluate recently implemented recruitment and retention initiatives and document lessons learned.	235	11/30/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-03	Open	Adopt and execute strategic workforce planning.	235	GPO concurs no date provided.
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-04	Open	Determine the to-be state of Acquisition Services and then ensure that the right infrastructure is in place and staff are sufficiently trained.	235	1) New training plan for current staff: December 2022 2) examination of AS org. structure: TBD (none given) 3) Training and FAI level 3 certification for all PG 12-14s: end of FY23 4) update MMAR: December 2023 5) propose revision to 311(c) of title 44 USC: TBD (none given)

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Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-05	Open	Conduct an environmental scan of the private sector and other government publishing entities to discover newly available technology to potentially implement at GPO.	235	GPO concurs no date provided.
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-06	Open	Establish and implement clear customer satisfaction standards and metrics for all GPO organizational units. Include customer satisfaction standards and metrics in all organizational unit strategic plans. Identify, document, and implement improvements based on customer feedback.	235	11/30/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-07	Open	Develop a forecast of expected needs of Federal Government Agencies and other customers, both in the short- and long-term (e.g., 3-D printing).	235	Spring 2023 (expected)
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-09	Open	Communicate to Congress the ways statutory authority (Title 44) constrains GPO efforts to modernize and recommend Congress change statutory authority to enable GPO to expand its lines of business and generate additional revenue.	235	FY 2022
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-11	Open	Update business unit-level strategic plans, where outdated, to cover current fiscal year efforts. Ensure that plans include realistic timeframes with both short- and long-term goals and corresponding metrics for success for accountability purposes. Ensure GPO leadership is aligned on and providing consistent messaging about agencywide priorities and business unit-level strategic plans.	235	FY2023 (expected)
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-12	Open	Incorporate business unit-level progress toward execution of strategic plans in an Executive-level monitoring tool, such as the GPO Executive Dashboard.	235	12/31/2022
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-13	Open	Appoint a Chief Strategy Officer to help ensure agency vision and goals are consistent across business units and leaders.	235	12/31/2022
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-14	Open	Develop for Acquisition Services and Human Capital, an automation plan outlining GPO's ongoing efforts and way-forward to make processes more efficient, effective and accountable.	235	GPO concurs no date provided.
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-15	Open	Add a running list of pending procurement actions, by stage, to the GPO Executive Dashboard to increase accountability and awareness of processing time for various actions.	235	FY 2022
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-17	Open	Conduct a strategic, enterprise-wide, and forward-looking forecasting initiative to discern where GPO needs to be in the next decade and beyond.	235	GPO concurs no date provided.
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-01	Open	Identify the federal privacy laws and oversight guidance, and their applicable sections, that GPO intends to follow as definitive guidance. Include the reasoning and/or basis for those determinations.	164	12/30/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-02	Open	Develop PIAs for the five untracked PII systems identified: HC Dashboard, APEC ABTC, DC One Card ID, Pentagon Contractors ID Card, and TWIC*.	164	12/30/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-03	Open	Identify the mechanism to document confidentiality impact levels and document the confidentiality impact levels for all GPO PII systems.	164	11/30/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-04	Open	Implement a process to conduct BU PII inventories and share the results with the Privacy Officer.	164	10/31/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-05	Open	Conduct biennial Privacy Compliance Reviews in accordance with GPO's Privacy Program directive.	164	2/28/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-06	Open	Review all stored records to identify and mark which records contain or may contain PII.	164	2/28/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-07	Open	Update the Records Management Program directive and the corresponding GPO Form 1350 to clearly state that records transferred to Records Administration & Management Division, for storage and destruction, must indicate whether or not the records contain PII.	164	8/31/2022

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Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-08	Open	Update the PIRT Framework and Procedures to incorporate the guidance for incident response plans from NIST Special Publication 800-122 and include comprehensive guidance, such as: a) defining team member roles and responsibilities b) defining key terms c) developing communication templates d) ensuring notification of the appropriate individuals and organizations by identifying points of contact, including external entities, and how to contact them.	164	12/31/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-09	Open	Update the PIHG to incorporate the guidance for incident response plans from NIST Special Publication 800-122 including comprehensive guidance, such as: a) ensuring the proper notification of the appropriate individuals and organizations when evaluating and responding to a suspected PII breach, by identifying points of contact, including external entities, and how to contact them b) stating what information is to be provided to US-CERT and the reporting method, such as through a phone call, email, or a website c) stating how to document that the information was reported to US-CERT.	164	12/31/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-10	Open	Develop and/or identify the one definitive method to report suspected PII breach incidents.	164	12/30/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-11	Open	Develop and implement a PII training program to ensure all GPO personnel, including employees, contractors, subcontractors, and temporary staff, are trained on PII roles and responsibilities, including applicable penalties for failing to protect PII. This training program should include: a) Annual PII training in accordance with OMB Memorandum M-17-12; b) PII and related records management training as part of the New Employee Orientation; and c) Best practices from other agencies.	164	GPO concurs no date provided.
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-12	Open	Implement a central training method to ensure employees and contractors receive PII training before accessing GPO's information system. This method should include reassigning the responsibility for annual training to a single BU, likely Information Technology, and assigning BUs with the responsibility for specialized PII training.	164	10/31/2022
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-13	Open	Update the Privacy Program directive to reflect changes resulting from these recommendations.	164	2/28/2023
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-01	Open	Enforce collective bargaining agreement provisions on official time by requiring supervisors and all bargaining unit employees to accurately and timely request, approve, record, and report official time in one system, GPO's official time and attendance system.	115	12/31/2022
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-02	Open	Enforce that all employees validate their timesheets in webTA prior to supervisory certification as required by GPO Directive 440.12A.	115	12/31/2022
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-03	Open	Require employee numbers 5 and 6 to adjust timesheets for official time incorrectly recorded in webTA identified in this audit.	115	12/31/2022
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-04	Open	Require Labor Relations to evaluate any practices that employee No. 1 and their supervisors have established with respect to official time and enforce practices that are aligned with the Statute and collective bargaining agreement provisions.	115	GPO concurs no date provided.
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-05	Open	Provide employees and supervisors training on the use and management of official time.	115	End of FY 2023
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-06	Open	Require Labor Relations to implement procedures to monitor official time usage, determine whether it is being used within the confines of collective bargaining agreements, and report inappropriate usage to management for corrective actions.	115	GPO concurs no date provided.
				Total Open	41			

Report Type	Report Number	Report Title	Report Date	Recommendation Number	Status	Recommendation Description	Days Open	Date Closed
RECOMMENDATIONS CLOSED THIS QUARTER								
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-05	Closed	Require employees to validate their own timesheets in webTA prior to supervisory certification as required by GPO policy.	479	11/9/2022
Total Closed					1			

