

**OFFICE OF INSPECTOR GENERAL  
GOVERNMENT PUBLISHING OFFICE  
OPEN RECOMMENDATIONS 12/2/2020  
1st Qtr FY 2021 Report**

Responsible Office	Report Type	Report Number	Report Title	Report Date	Recommendation Number	Status	Recommendation Description	Days Open
Customer Services	Audit	19-08	Management of Simplified Purchase Agreements	3/28/2019	19-08-03	Open	We recommend that the Managing Director, Customer Services implement controls for updating in a timely fashion the authorized user list for Simplified Purchase Agreement.	615
Human Capital	Audit	20-03*	Management Letter—FY 2019 Financial Statements	12/13/2019	20-03-01	Open	We recommend Chief Human Capital Officer strengthen its internal controls in place over the review and approval of personnel actions by ensuring that the SF-52 is reviewed and approved prior to the approval of the SF-50. Additionally, we recommend that GPO ensure that both the SF-50 and SF-52 are approved on or before their effective dates.	355
Acquisition Services	Audit	20-03*	Management Letter—FY 2019 Financial Statements	12/13/2019	20-03-02	Open	Implement controls to ensure that contracts and contract modifications that are required to be reviewed by the CRB, are signed by individuals that did not participate in the CRB review process.	355
Acquisition Services	Audit	20-03*	Management Letter—FY 2019 Financial Statements	12/13/2019	20-03-03	Open	Strengthen its internal controls to ensure Contracting Officers are following the policies and procedures in place regarding the timely review and approval of purchases and the maintenance of documentation after approval of purchases.	355
Customer Services	Audit	20-03*	Management Letter—FY 2019 Financial Statements	12/13/2019	20-03-04	Open	We recommend that GPO design and implement controls to ensure that the SF-1 is completed and signed by an authorized official prior to issuance.	355
Office of Chief Information Officer	Inspection	20-09	E-Waste	9/10/2020	20-09-01	Open	GPO should update its directives to align with its practices for hard drive sanitization and Business Unit procedures.	83
Office of Chief Information Officer	Inspection	20-09	E-Waste	9/10/2020	20-09-02	Open	GPO should perform periodic verification of destruction of e-waste at its contractor facility.	83
Acquisition Services	Inspection	21-03	Acquisition Services: Late Penalties	10/30/2020	21-03-02	Open	Track late penalty fees and provide periodic reports to the Director of GPO for oversight.	33
Acquisition Services	Inspection	21-03	Acquisition Services: Late Penalties	10/30/2020	21-03-03	Open	Review the totality of Acquisition Services policies and eliminate or revise outdated policies, instructions, regulations, and training manuals; to include the Materials Management Regulation. Specifically, the policies and	33
Acquisition Services	Inspection	21-03	Acquisition Services: Late Penalties	10/30/2020	21-03-04	Open	Train all Acquisition Services employees on the cradle-to-grave process to make or support making payments within the established contractual due dates, including coordination details with the Office of Finance.	33
Acquisition Services	Inspection	21-03	Acquisition Services: Late Penalties	10/30/2020	21-03-05	Open	Develop a training plan that ensures Acquisition Services employees are either FAI or DAU certified within agreed upon timeframes of GPO's management response.	33
Acquisition Services	Inspection	21-03	Acquisition Services: Late Penalties	10/30/2020	21-03-06	Open	Implement a tracking system to document all Acquisition Services employee FAI or DAU certifications and required CLPs. Alternatively GPO can provide evidence of the use of the Federal Acquisition Institute Training Application	33
GPO: Direct Mailing	Investigation	21-04	DLUX Management Incident Report	11/19/2020	21-04-01	Open	The GPO conduct a review of its on-going direct-mail services contracts to determine any additional oversight measures that could be implemented to include but not limited to random inspections to independently verify	13
<b>Total</b>						<b>13</b>		
<i>*Note: Audit 20-03, FY 2019 Financial Statement Recommendations remain open until the following Financial Statement Audit</i>								