Office of the
INSPECTOR GENERAL
U.S. GOVERNMENT PUBLISHING OFFICE

Report Type	Report Number	Report Title	Report Date	Recommendation Number	Status	Recommendation Description	Days Open	Agency Targeted Implementation Date
				OIG AND CONTRACTED RECOMMENDATIONS				
Inspection	21-09	Evaluation of GPO's Suspension and Debarment Program	7/6/2021	21-09-04	Open	Update internal S&D directives, policies, procedures, guidance, and controls to include: a) Timeframes for various steps in processing suspension and debarment referrals, including, but not limited to, timeframes for the initial review and the final decision, and a requirement to document deviations from the established timeframes. b) Quality control checks for the various steps in the suspension and debarment process, including, but not limited to, the complete official record, reconciliation of exclusion information, and duration of suspension and debarment periods. c) Reviewing SAM (and the Exclusion List, if FAR Subpart 9.4 is not adopted) both after opening bids or proposals and immediately before awarding contracts.	814	9/30/2023
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-03	Open	Establish policies and procedures for the congressional detailee program to include roles and responsibilities and communications with internal and external parties.	741	FY 2023
Audit	21-11	Government Publishing Office Employees Detailed to Congress	9/17/2021	21-11-04	Open	Assess and leverage available automated technologies to manage the Congressional Detailee workhours to include, but not limited to, tracking, reconciling, validating, approving, and transmitting hours worked for billing purposes.	741	FY 2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-02	Open	Evaluate recently implemented recruitment and retention initiatives and document lessons learned.	497	5/30/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-03	Open	Adopt and execute strategic workforce planning.	497	FY 2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-06	Open	Establish and implement clear customer satisfaction standards and metrics for all GPO organizational units. Include customer satisfaction standards and metrics in all organizational unit strategic plans. Identify, document, and implement improvements based on customer feedback.	497	9/30/2024
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-12	Open	Incorporate business unit-level progress toward execution of strategic plans in an Executive-level monitoring tool, such as the GPO Executive Dashboard.	497	9/30/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-14	Open	Develop for Acquisition Services and Human Capital, an automation plan outlining GPO's ongoing efforts and way-forward to make processes more efficient, effective and accountable.	497	END of CY 2024
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-17	Open	Conduct a strategic, enterprise-wide, and forward-looking forecasting initiative to discern where GPO needs to be in the next decade and beyond.	497	12/1/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-02	Open	Develop PIAs for the five untracked PII systems identified: HC Dashboard, APEC ABTC, DC One Card ID, Pentagon Contractors ID Card, and TWIC®.	426	8/31/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-03	Open	Identify the mechanism to document confidentiality impact levels and document the confidentiality impact levels for all GPO PII systems.	426	OIG requests supporting docs to support closure.
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-04	Open	Implement a process to conduct BU PII inventories and share the results with the Privacy Officer.	426	OIG requests supporting docs to support closure.
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-05	Open	Conduct biennial Privacy Compliance Reviews in accordance with GPO's Privacy Program directive.	426	10/31/2023

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OIG AND CONTRACTED RECOMMENDATIONS								
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-06	Open	Review all stored records to identify and mark which records contain or may contain PII.	426	OIG requests supporting docs to support closure.
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-08	Open	Update the PIRT Framework and Procedures to incorporate the guidance for incident response plans from NIST Special Publication 800-122 and include comprehensive guidance, such as: a) defining team member roles and responsibilities b) defining key terms c) developing communication templates d) ensuring notification of the appropriate individuals and organizations by identifying points of contact, including external entities, and how to contact them.	426	7/31/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-09	Open	Update the PIHG to incorporate the guidance for incident response plans from NIST Special Publication 800-122 including comprehensive guidance, such as: a) ensuring the proper notification of the appropriate individuals and organizations when evaluating and responding to a suspected PII breach, by identifying points of contact, including external entities, and how to contact them b) stating what information is to be provided to US-CERT and the reporting method, such as through a phone call, email, or a website c) stating how to document that the information was reported to US-CERT.	426	9/30/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-10	Open	Develop and/or identify the one definitive method to report suspected PII breach incidents.	426	3/30/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-12	Open	Implement a central training method to ensure employees and contractors receive PII training before accessing GPO's information system. This method should include reassigning the responsibility for annual training to a single BU, likely Information Technology, and assigning BUs with the responsibility for specialized PII training.	426	3/31/2023
Inspection	22-07	GPO Privacy Program Inspection	7/29/2022	22-07-13	Open	Update the Privacy Program directive to reflect changes resulting from these recommendations.	426	End of FY 2023
Audit	22-08	Management and Use of Official Time	9/16/2022	22-08-03	Open	Require employee numbers 5 and 6 to adjust timesheets for official time incorrectly recorded in webTA identified in this audit.	377	Pending ULP review.
Audit	23-06	Payments to Employees Covered by the Federal Employee Compensation Act	6/5/2023	23-06-01	Open	Take appropriate actions to remedy the remaining improper payments of $25,111 identified in this audit.	115	9/30/2023
Audit	23-06	Payments to Employees Covered by the Federal Employee Compensation Act	6/5/2023	23-06-02	Open	Develop and implement written standard operating procedures to include but not limited to establishing clear roles and responsibilities for employees who are accountable for the Workers' Compensation Program to: 1. Ensure only eligible claimants receive continuation of pay benefits and any previously provisioned continuation of pay is rescinded when the Federal Employees' Compensation Act claim is formally denied by the Department of Labor. 2. Monitor and track all workers' compensation-related activities recorded in the Government Publishing Office time and attendance system and take timely actions to remedy ineligible activities under Federal Employees' Compensation Act. 3. Standardize processes to provide a consistent approach for conducting and documenting case reviews.	115	9/30/2023
Audit	23-06	Payments to Employees Covered by the Federal Employee Compensation Act	6/5/2023	23-06-03	Open	Review and update Government Publishing Office Directive 665.5B in compliance with Government Publishing Office Directive 001.D.	115	7/31/2023
Inspection	23-07	Environmental Program Inspection	6/21/2023	23-07-01	Open	Develop and implement a hazardous waste training schedule, as well as internal policies and SOPs for supervisors and staff that handle hazardous waste.	99	End of 2nd Quarter 2024
Inspection	23-07	Environmental Program Inspection	6/21/2023	23-07-02	Open	Develop and implement end-of-day cleaning procedures that are in alignment with manufacturer specifications, and verify that end-of-day cleaning is performed on all pollution prevention equipment.	99	End of 2nd Quarter 2024
Inspection	23-07	Environmental Program Inspection	6/21/2023	23-07-03	Open	Develop and implement written procedures for handling hazardous materials for day-to-day operations that could release hazardous substances.	99	End of 2nd Quarter 2024
Inspection	23-08	Top 10 Safety Hazards Program Inspection	9/28/2023	23-08-01	Open	Document the requirement for a Top 10 Safety Hazards list, in a directive or other guidance document. Include the following: a. the list's purpose and intended use throughout GPO; b. assigned responsibilities; c. details to be included in the Top 10 Safety Hazards List, like a description of the issue, the planned solution, a timeline history, safety risks to GPO personnel, and mission risk to GPO; d. the process for how hazards are identified, categorized, reported, updated, and closed.	0	12/31/2023
Inspection	23-08	Top 10 Safety Hazards Program Inspection	9/28/2023	23-08-02	Open	Develop documented guidance to prioritize and address the Top 10 Safety Hazards. This could be a short checklist, or it could be a more extensive standard operating procedure.	0	12/31/2023
Inspection	23-08	Top 10 Safety Hazards Program Inspection	9/28/2023	23-08-03	Open	Complete a Master Plan to address all of the buildings' needs for the next 10-20 years or more, beyond the current project-specific "Facilities Management Master Plan," and use the Master Plan to prioritize projects.	0	9/30/2024
				Total Open	**29**			

Report Type	Report Number	Report Title	Report Date	Recommendation Number	Status	Recommendation Description	Days Open	Date Closed
				RECOMMENDATIONS CLOSED THIS QUARTER				
Inspection	21-07	Cybersecurity Controls Report	12/21/2020	21-07-01	Closed	a) Develop, finalize, and maintain SSPs for all the major information systems in accordance with the requirements outlined GPO directives and utilize best practices outlined NIST SP 800-18 Revision 1, and NIST SP 800-53, Revision 5, Security and Privacy Controls for Federal Information Systems and Organizations. b) Perform a security controls assessment and address and remediate any gaps identified where feasible for legacy systems planned to be decommissioned in the future; c) Document an ISCP for each major information system; and d) Perform risk assessment every three years or when there is a significant change to the operating environment.	945	7/24/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-05	Closed	Conduct an environmental scan of the private sector and other government publishing entities to discover newly available technology to potentially implement at GPO.	431	7/24/2023
Inspection	22-06	GPO Organizational Transformation Evaluation	5/19/2022	22-06-15	Closed	Add a running list of pending procurement actions, by stage, to the GPO Executive Dashboard to increase accountability and awareness of processing time for various actions.	431	7/24/2023
Inspection	23-07	Environmental Program Inspection	6/21/2023	23-07-05	Closed	Record daily VOC emissions for Press Groups 97, 40, and 69. If unable to follow permit guidance, work with the DC DOEE to amend permits to eliminate the daily recording requirement, or for an exception to the policy with regard to the daily VOC recording requirements outlined in the Title V permits.	33	7/24/2023
Inspection	23-07	Environmental Program Inspection	6/21/2023	23-07-06	Closed	Incorporate the Environmental Manager into the planning phase of all Capital Investments to ensure potential environmental impacts are addressed.	33	7/24/2023
Inspection	23-07	Environmental Program Inspection	6/21/2023	23-07-07	Closed	Identify and track hazardous waste non-compliance trends.	99	8/8/2023
				Total Closed	**6**			