

## OTHER INDEPENDENT AGENCIES

### ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

#### Federal Funds

#### General and special funds:

##### [SALARIES AND EXPENSES]

For necessary expenses of the Administrative Conference of the United States, established under subchapter V of chapter 5 of title 5, United States Code, \$600,000: *Provided*, That these funds shall only be available for the purposes of the prompt and orderly termination of the Administrative Conference of the United States by February 1, 1996. (Independent Agencies Appropriations Act, 1996.)

| Program and Financing (in millions of dollars)              |             |           |           |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-1700-0-1-751                         | 1995 actual | 1996 est. | 1997 est. |
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations .....                               | 2           | 1         | .....     |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 2           | 1         | .....     |
| 23.95 New obligations .....                                 | -2          | -1        | .....     |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 2           | 1         | .....     |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           | 1         | 1         |
| 73.10 New obligations .....                                 | 2           | 1         | .....     |
| 73.20 Total outlays (gross) .....                           | -2          | -2        | .....     |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 1           | 1         | .....     |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 1           | 1         | .....     |
| 86.93 Outlays from current balances .....                   | 1           | 1         | .....     |
| 87.00 Total outlays (gross) .....                           | 2           | 2         | .....     |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 2           | 1         | .....     |
| 90.00 Outlays .....                                         | 2           | 1         | .....     |

Pursuant to P.L. 104-52, the Administrative Conference of the United States was terminated and shut down.

#### Object Classification (in millions of dollars)

| Identification code 95-1700-0-1-751                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 1         | .....     |
| 99.5 Below reporting threshold .....                                       | 1           | 1         | .....     |
| 99.9 Total obligations .....                                               | 2           | 1         | .....     |

#### Personnel Summary

| Identification code 95-1700-0-1-751                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 14          | 1         | .....     |

### ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

#### Federal Funds

#### General and special funds:

##### [SALARIES AND EXPENSES]

For necessary expenses of the Advisory Commission on Intergovernmental Relations, \$784,000, of which \$334,000 is to carry out the provisions of Public Law 104-4, and of which \$450,000 shall be available only for the purposes of the prompt and orderly termination of the Advisory Commission on Intergovernmental Relations. (Independent Agencies Appropriations Act, 1996.)

| Program and Financing (in millions of dollars)       |             |           |           |
|------------------------------------------------------|-------------|-----------|-----------|
| Identification code 55-0100-0-1-808                  | 1995 actual | 1996 est. | 1997 est. |
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations (object class 11.1) .....    | 1           | 1         | .....     |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 1           | 1         | .....     |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation .....                            | 1           | 1         | .....     |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 1           | 1         | .....     |
| 73.20 Total outlays (gross) .....                    | -1          | -1        | .....     |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.90 Outlays from new current authority .....       | 1           | 1         | .....     |
| 87.00 Total outlays (gross) .....                    | 1           | 1         | .....     |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority .....                         | 1           | 1         | .....     |
| 90.00 Outlays .....                                  | 1           | 1         | .....     |

Pursuant to P.L. 104-52, the Advisory Commission on Intergovernmental Relations will shut down after transmitting a study on Federal mandates.

#### Personnel Summary

| Identification code 55-0100-0-1-808                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                          |             |           |           |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 11          | 11        | .....     |
| <b>Reimbursable:</b>                                                    |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 1           | 1         | .....     |

### ADVISORY COUNCIL ON HISTORIC PRESERVATION

#### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), \$2,500,000: *Provided*, That none of these funds shall be available for the compensation of Executive Level V or higher position.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in the budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)

| Identification code 95-2300-0-1-303                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations .....                        | 3           | 3         | 3         |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 3           | 3         | 3         |
| 23.95 New obligations .....                          | -3          | -3        | -3        |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation .....                            | 3           | 3         | 3         |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 3           | 3         | 3         |
| 73.20 Total outlays (gross) .....                    | -3          | -3        | -3        |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.90 Outlays from new current authority .....       | 3           | 3         | 3         |
| 87.00 Total outlays (gross) .....                    | 3           | 3         | 3         |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority .....                         | 3           | 3         | 3         |
| 90.00 Outlays .....                                  | 3           | 3         | 3         |

The Council provides independent advice to the President and the Congress relating to the national historic preservation program.

Object Classification (in millions of dollars)

| Identification code 95-2300-0-1-303                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 2         | 2         |
| 99.5 Below reporting threshold .....                                       | 1           | 1         | 1         |
| 99.9 Total obligations .....                                               | 3           | 3         | 3         |

**Personnel Summary**

| Identification code 95-2300-0-1-303                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 38          | 34        | 34        |

**AMERICAN BATTLE MONUMENTS COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

*For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one for replacement only) and hire of passenger motor vehicles; and insurance of official motor vehicles in foreign countries, when required by law of such countries; \$20,400,000 to remain available until expended: Provided, That where station allowance has been authorized by the Department of Army for officers of the Army serving the Army at certain foreign stations, the same allowance shall be authorized for officers of the Armed Forces assigned to the Commission while serving at the same foreign stations, and this appropriation is hereby made available for the payment of such allowance: Provided further, That when traveling on business of the Commission, officers of the Armed Forces serving as members or as Secretary of the Commission may be reimbursed for expenses as provided for civilian members of the Commission: Provided further, That the Commission shall reimburse other Government agencies, including the Armed Forces, for salary, pay, and allowances of personnel assigned to it.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 74-0100-0-1-705                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 00.01 Administration and U.S. memorials .....               | 2           | 2         | 2         |
| 00.02 European memorials and cemeteries .....               | 16          | 14        | 14        |
| 00.03 Mediterranean memorials and cemeteries .....          | 2           | 3         | 3         |
| 00.04 Asian memorials and cemeteries .....                  | 1           | 1         | 1         |
| 10.00 Total obligations .....                               | 21          | 20        | 20        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 21          | 20        | 20        |
| 23.95 New obligations .....                                 | -21         | -20       | -20       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 20          | 20        | 20        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 3           | 3         | 4         |
| 73.10 New obligations .....                                 | 21          | 20        | 20        |
| 73.20 Total outlays (gross) .....                           | -21         | -20       | -21       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 3           | 4         | 2         |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 18          | 17        | 18        |
| 86.93 Outlays from current balances .....                   | 3           | 3         | 3         |
| 87.00 Total outlays (gross) .....                           | 21          | 20        | 21        |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 21          | 20        | 20        |
| 90.00 Outlays .....                                         | 21          | 20        | 21        |

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since April 6, 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and for the design, construction, and maintenance of permanent military cemetery memorials in foreign countries.

Object Classification (in millions of dollars)

| Identification code 74-0100-0-1-705                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 11          | 10        | 10        |
| 11.8 Special personal services payments .....                   | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                         | 12          | 11        | 11        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4         | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1         | 1         |
| 25.2 Other services .....                                       | 1           | 1         | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                            | 2           | 2         | 2         |
| 99.9 Total obligations .....                                    | 21          | 20        | 20        |

**Personnel Summary**

| Identification code 74-0100-0-1-705                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 1001 Full-time equivalent employment .....                    | 371         | 371       | 367       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 4           | 4         | 4         |

FOREIGN CURRENCY FLUCTUATIONS

Program and Financing (in millions of dollars)

| Identification code 74-0101-0-1-705                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance .....                                 | 2           | 1         |           |
| 22.00 New budget authority (gross) .....                 |             |           |           |
| 23.90 Total budgetary resources available for obligation | 2           | 1         |           |
| 24.40 Unobligated balance available, end of year:        |             |           |           |
| Uninvested balance .....                                 | 1           |           |           |
| New budget authority (gross), detail:                    |             |           |           |
| 70.00 Total new budget authority (gross) .....           |             |           |           |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations .....                              |             |           |           |
| Outlays (gross), detail:                                 |             |           |           |
| 87.00 Total outlays (gross) .....                        |             |           |           |
| Net budget authority and outlays:                        |             |           |           |
| 89.00 Budget authority .....                             |             |           |           |
| 90.00 Outlays .....                                      |             |           |           |

**Trust Funds**

CONTRIBUTIONS

Unavailable Collections (in millions of dollars)

| Identification code 74-8569-0-7-705                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                         |             |           |           |
| 01.99 Balance, start of year .....                              |             |           |           |
| Receipts:                                                       |             |           |           |
| 02.01 Contributions, American Battle Monuments Commission ..... | 5           | 11        | 18        |
| Appropriation:                                                  |             |           |           |
| 05.01 Contributions .....                                       | -5          | -11       | -18       |
| 07.99 Total balance, end of year .....                          |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 74-8569-0-7-705                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 00.03 Korean War memorial .....                             | 9           |           |           |
| 00.04 World War II memorial .....                           | 1           | 3         | 10        |
| 10.00 Total obligations .....                               | 10          | 3         | 10        |
| Budgetary resources available for obligation:               |             |           |           |
| Unobligated balance available, start of year:               |             |           |           |
| 21.40 Uninvested balance .....                              | 1           |           |           |
| 21.41 U.S. Securities: Par value .....                      | 9           | 5         | 13        |
| 21.99 Total unobligated balance, start of year .....        | 10          | 5         | 13        |
| 22.00 New budget authority (gross) .....                    | 5           | 11        | 18        |
| 23.90 Total budgetary resources available for obligation    | 15          | 16        | 31        |
| 23.95 New obligations .....                                 | -10         | -3        | -10       |
| Unobligated balance available, end of year:                 |             |           |           |
| 24.40 Uninvested balance .....                              |             |           | 1         |
| 24.41 U.S. Securities: Par value .....                      | 5           | 13        | 20        |
| 24.99 Total unobligated balance, end of year .....          | 5           | 13        | 21        |
| New budget authority (gross), detail:                       |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....          | 5           | 11        | 18        |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           |           |           |
| 73.10 New obligations .....                                 | 10          | 3         | 10        |
| 73.20 Total outlays (gross) .....                           | -10         | -3        | -10       |
| Outlays (gross), detail:                                    |             |           |           |
| 86.98 Outlays from permanent balances .....                 | 10          | 5         | 10        |

|                                   |    |    |    |
|-----------------------------------|----|----|----|
| 87.00 Total outlays (gross) ..... | 10 | 3  | 10 |
| Net budget authority and outlays: |    |    |    |
| 89.00 Budget authority .....      | 5  | 11 | 18 |
| 90.00 Outlays .....               | 10 | 3  | 10 |

*Purchase of flowers.*—Private citizens contribute funds for the purchase of flowers to decorate graves and tablets of the missing at the cemeteries and memorials administered by the Commission.

*Repair of non-Federal war memorials.*—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

*World War II Memorial.*—Public Law 103-32 authorized the American Battle Monuments Commission to collect private contributions to fund construction of a memorial in the District of Columbia to honor members of the Armed Forces of the United States who served in World War II.

Object Classification (in millions of dollars)

| Identification code 74-8569-0-7-705 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| 25.2 Other services .....           | 5           | 1         | 1         |
| 26.0 Supplies and materials .....   |             |           | 7         |
| 32.0 Land and structures .....      | 5           | 2         | 2         |
| 99.9 Total obligations .....        | 10          | 3         | 10        |

APPALACHIAN REGIONAL COMMISSION

Federal Funds

General and special funds:

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding section 405 of said Act, and for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian Regional Commission and for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by section 3109 of title 5, United States Code, and hire of passenger motor vehicles, to remain available until expended, \$170,000,000 (*Energy and Water Development Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 46-0200-0-1-452                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                          |             |           |           |
| Direct program:                                                           |             |           |           |
| Appalachian regional development programs:                                |             |           |           |
| 01.01 Appalachian development highway system .....                        | 137         | 200       | 83        |
| 01.02 Area development program .....                                      | 102         | 93        | 78        |
| 01.03 Local development district and technical assistance program .....   | 5           | 7         | 5         |
| 01.91 Total Appalachian regional development programs .....               | 244         | 300       | 166       |
| Salaries and expenses:                                                    |             |           |           |
| 02.01 Federal Co-chairman and staff .....                                 | 1           | 1         | 1         |
| 02.02 Administrative expenses .....                                       | 2           | 2         | 2         |
| 02.91 Total salaries and expenses .....                                   | 3           | 3         | 3         |
| 10.00 Total obligations .....                                             | 247         | 303       | 169       |
| Budgetary resources available for obligation:                             |             |           |           |
| Unobligated balance available, start of year:                             |             |           |           |
| 21.40 Uninvested balance .....                                            | 99          | 130       |           |
| 22.00 New budget authority (gross) .....                                  | 272         | 170       | 170       |
| 22.10 Resources available from recoveries of prior year obligations ..... | 6           | 4         |           |
| 23.90 Total budgetary resources available for obligation                  | 377         | 304       | 170       |
| 23.95 New obligations .....                                               | -247        | -303      | -169      |

**General and special funds—Continued**

APPALACHIAN REGIONAL COMMISSION—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 46-0200-0-1-452                                                | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------------|-------------|-----------|-----------|
| 24.40 Unobligated balance available, end of year:<br>Uninvested balance .....      | 130         |           |           |
| New budget authority (gross), detail:                                              |             |           |           |
| 40.00 Appropriation .....                                                          | 272         | 170       | 170       |
| Change in unpaid obligations:                                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:<br>Appropriation ..... | 371         | 423       | 547       |
| 73.10 New obligations .....                                                        | 247         | 303       | 169       |
| 73.20 Total outlays (gross) .....                                                  | -188        | -176      | -198      |
| 73.45 Adjustments in unexpired accounts .....                                      | -6          | -4        |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:<br>Appropriation .....   | 423         | 547       | 519       |
| Outlays (gross), detail:                                                           |             |           |           |
| 86.90 Outlays from new current authority .....                                     | 23          | 14        | 14        |
| 86.93 Outlays from current balances .....                                          | 165         | 162       | 184       |
| 87.00 Total outlays (gross) .....                                                  | 188         | 176       | 198       |
| Net budget authority and outlays:                                                  |             |           |           |
| 89.00 Budget authority .....                                                       | 272         | 170       | 170       |
| 90.00 Outlays .....                                                                | 188         | 176       | 198       |

This appropriation establishes a framework for joint Federal and State efforts to create opportunities for self-sustaining economic development and improved quality of life for the people of Appalachia. Program investments are made in the Appalachian Region for wide-ranging assistance including development highways and other physical infrastructure, business development, and human development. The States, acting through the Appalachian Regional Commission, are responsible for recommending local and State projects within their borders for assistance under this program. Special targeting to distressed counties is a part of the State allocation formula.

1. *Appalachian development highway system.*—The Appalachian development highway system, including local access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. The budget for 1997 provides \$83 million for highway construction.

The cumulative status of the system of roads, including mileage prefinaanced by the States, follows:

| Development systems miles (Prefinanced miles included) (cumulative): | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------|-------------|-----------|-----------|
| Miles contracted .....                                               | 2,298       | 2,320     | 2,330     |
| Miles completed .....                                                | 2,178       | 2,200     | 2,220     |
| Access Roads (cumulative):                                           |             |           |           |
| Miles contracted .....                                               | 911         | 914       | 920       |
| Miles completed .....                                                | 891         | 895       | 900       |
| Funds committed (cumulative-in millions of dollars):                 |             |           |           |
| Development highway .....                                            | 3,986       | 4,091     | 4,171     |
| Access roads .....                                                   | 218         | 220       | 222       |
| Administration and other .....                                       | 39          | 41        | 42        |
| Totals .....                                                         | 4,243       | 4,352     | 4,435     |
| Prefinanced by States .....                                          | 226         | 258       | 240       |
| Annual obligations (\$ millions) .....                               | 137         | 200       | 83        |

2. *Area development program.*—Area development funds are provided to each of the Appalachian States by allocation. This funding is used to help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide

special assistance to the Region's most distressed and under-developed counties. In 1996, the Commission allocated 30% of area development funding specifically to these 115 severely distressed counties in addition to the overall State allocations.

Area development emphasis is placed on three sets of complementary activities: physical development, human development, and business development. Area development also encompasses the Commission's regional initiatives, selected to help accelerate the Region's economic development. The 1996 regional initiatives include (1) internationalization of the economy and its implications for Appalachia; (2) telecommunications; and (3) local leadership and civic development. Funding for these initiatives is expected to continue at a minimum total of \$6 million in 1997. The Federal Co-Chairman is leading the Commission in developing a fourth regional initiative in "building entrepreneurial communities" and will seek additional resources from the area development allocation for this purpose. The Commission completed a comprehensive strategic planning effort in 1996, which will guide 1997 and future programs and project submissions. The budget for 1997 provides \$78 million for area development.

Funding levels for physical development, human development, and business development activities are determined by the project submissions in the Appalachian Governors' State Development Plans, which are approved annually at a meeting of the Federal Co-Chairman and a quorum of Governors. The projects included are based on the Commission's strategic plan and the Governors' strategies to accomplish the Commission's mission. The following types of activities are expected in 1997:

*Physical Development* activities provide for a wide array of community-based projects, including basic infrastructure (e.g., water and sewer, site development), State and local government assistance, community improvement, and housing development. The goal is to develop the physical infrastructure necessary for self-sustaining local economies. Public works projects must either directly relate to job creation or retention, or be key to the implementation of an adopted State or local strategic plan. Exceptions are made for basic services in designated distressed counties.

*Human Development* activities provide Appalachian residents with the skills and knowledge necessary to compete in the world economy, and with access to affordable, quality health care. Projects include increased use of telemedicine, improving quality of and access to health care, development of citizen leadership potential, youth leadership and training, strengthening the Region's education system—particularly in entrepreneurship, math, science, and access to technology, and telecommunications/distance learning. Upgrading the skills of the workforce is critical to fostering long-term economic growth in the Region. Increases in the health and education level of the workforce and in the advancement of technology, which is achieved through education, can be directly correlated to gains in national income.

*Business development* activities provide Appalachian residents with access to financial and technical resources to help build dynamic and self-sustaining local economies. Projects can help communities develop strategies to build sustainable local economies, increase Appalachian business cooperation and collaboration, encourage the use of new processes and technologies, upgrade the skills of workers and management, encourage increased exporting among small and medium-sized businesses, and support local planning efforts to enhance tourism development.

The approximate project workload follows:

|                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------|-------------|-----------|-----------|
| Area development projects ..... | 409         | 350       | 400       |

3. *Local development districts and technical assistance programs.*—The multi-county local development districts (LDDs) are the mechanism for ensuring that the local governments

in Appalachia plan and work together on a regional basis. They provide competent support staff to member governments to plan, initiate, and implement projects at the grassroots level. Technical assistance serves to strengthen the LDDs, their staff and operations, and their member units of government. The 1997 budget provides \$4 million for the LDDs and \$1 million for technical assistance, with the approximate approved workload as follows:

|                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Planning districts aided .....      | 69          | 69        | 69        |
| Technical assistance projects ..... | 8           | 10        | 10        |

4. **Salaries and expenses.**—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of the Appalachian program with Federal agencies. Since 1989, the Office of the Federal Co-Chairman includes an Inspector General.

The Federal Government contributes 50 percent of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The staff members are not Federal employees but are employees of the jointly-supported Commission. The budget for 1997 provides \$4 million for salaries and expenses.

Object Classification (in millions of dollars)

| Identification code 46-0200-0-1-452                        | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                 |             |           |           |
| 11.1 Personnel compensation: Full-time permanent .....     | 1           | 1         | 1         |
| 25.2 Other services .....                                  | 1           | 1         | 1         |
| 41.0 Grants, subsidies, and contributions .....            | 51          | 39        | 16        |
| 99.0 Subtotal, direct obligations .....                    | 53          | 41        | 18        |
| <b>Allocation Account—Direct Obligations:</b>              |             |           |           |
| 11.1 Personnel compensation: Full-time permanent .....     | 2           | 2         | 2         |
| 41.0 Grants, subsidies, and contributions .....            | 191         | 260       | 150       |
| 99.0 Subtotal, allocation account—direct obligations ..... | 193         | 262       | 152       |
| 99.5 Below reporting threshold .....                       | 1           | 1         | .....     |
| 99.9 Total obligations .....                               | 247         | 303       | 169       |

Obligations are distributed as follows:

|                                                   |     |     |    |
|---------------------------------------------------|-----|-----|----|
| Appalachian Regional Commission .....             | 54  | 53  | 50 |
| Department of Agriculture .....                   | 26  | 16  | 10 |
| Department of Commerce .....                      | 11  | 16  | 8  |
| Department of Defense .....                       | 0   | 0   | 0  |
| Department of Education .....                     | 4   | 6   | 5  |
| Department of Energy .....                        | 0   | 0   | 0  |
| Department of Health and Human Services .....     | 1   | 1   | 1  |
| Department of Housing and Urban Development ..... | 9   | 16  | 9  |
| Department of Interior .....                      | 0   | 0   | 0  |
| Department of Transportation .....                | 137 | 175 | 83 |
| Environmental Protection Agency .....             | 1   | 2   | 1  |
| Tennessee Valley Authority .....                  | 4   | 15  | 3  |

Personnel Summary

| Identification code 46-0200-0-1-452                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 8           | 11        | 11        |

**Trust Funds**

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

| Identification code 46-9971-0-7-452                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Balance, start of year:</b>                                          |             |           |           |
| 01.99 Balance, start of year .....                                      | .....       | .....     | .....     |
| <b>Receipts:</b>                                                        |             |           |           |
| 02.01 General fund contributions, Appalachian Regional Commission ..... | 2           | 2         | 2         |
| 02.02 Fees for services, Appalachian Regional Commission .....          | 2           | 2         | 2         |
| 02.99 Total receipts .....                                              | 5           | 5         | 5         |
| <b>Appropriation:</b>                                                   |             |           |           |
| 05.01 Miscellaneous trust funds .....                                   | -5          | -5        | -5        |

07.99 Total balance, end of year .....

Program and Financing (in millions of dollars)

| Identification code 46-9971-0-7-452                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                        |             |           |           |
| 10.00 Total obligations .....                                  | 6           | 5         | 5         |
| <b>Budgetary resources available for obligation:</b>           |             |           |           |
| 21.40 Unobligated balance available, start of year:            |             |           |           |
| Uninvested balance .....                                       | 1           | 1         | 1         |
| 22.00 New budget authority (gross) .....                       | 5           | 5         | 5         |
| 23.90 Total budgetary resources available for obligation ..... | 6           | 6         | 6         |
| 23.95 New obligations .....                                    | -6          | -5        | -5        |
| 24.40 Unobligated balance available, end of year:              |             |           |           |
| Uninvested balance .....                                       | 1           | 1         | 1         |
| <b>New budget authority (gross), detail:</b>                   |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....             | 5           | 5         | 5         |
| <b>Change in unpaid obligations:</b>                           |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            | 1           | 1         | 1         |
| 73.10 New obligations .....                                    | 6           | 5         | 5         |
| 73.20 Total outlays (gross) .....                              | -5          | -5        | -5        |
| 74.40 Unpaid obligations, end of year: Obligated balance:      |             |           |           |
| Appropriation .....                                            | 1           | 1         | 1         |
| <b>Outlays (gross), detail:</b>                                |             |           |           |
| 86.97 Outlays from new permanent authority .....               | 3           | 4         | 4         |
| 86.98 Outlays from permanent balances .....                    | 2           | 2         | 1         |
| 87.00 Total outlays (gross) .....                              | 5           | 5         | 5         |
| <b>Net budget authority and outlays:</b>                       |             |           |           |
| 89.00 Budget authority .....                                   | 5           | 5         | 5         |
| 90.00 Outlays .....                                            | 5           | 5         | 5         |

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

Object Classification (in millions of dollars)

| Identification code 46-9971-0-7-452                                   | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------------|-------------|-----------|-----------|
| 11.8 Personnel compensation: Special personal services payments ..... | 3           | 3         | 3         |
| 12.1 Civilian personnel benefits .....                                | 1           | 1         | 1         |
| 23.2 Rental payments to others .....                                  | 2           | 1         | 1         |
| 99.9 Total obligations .....                                          | 6           | 5         | 5         |

**ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, **[\$3,500,000] \$3,540,000: Provided, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. (Department of Transportation and Related Agencies Appropriations Act, 1996.)**

Program and Financing (in millions of dollars)

| Identification code 95-3200-0-1-751                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations .....                        | 3           | 4         | 4         |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 3           | 4         | 4         |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 95-3200-0-1-751                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| 23.95 New obligations .....                                 | -3          | -4        | -4        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 3           | 4         | 4         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           |           |           |
| 73.10 New obligations .....                                 | 3           | 4         | 4         |
| 73.20 Total outlays (gross) .....                           | -3          | -4        | -4        |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 3           | 4         | 4         |
| 87.00 Total outlays (gross) .....                           | 3           | 4         | 4         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 3           | 4         | 4         |
| 90.00 Outlays .....                                         | 3           | 4         | 4         |

The Architectural and Transportation Barriers Compliance Board was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Its primary role is to carry out a compliance program to ensure accessibility and usability of most Federal and federally funded buildings by people with disabilities. In 1995, the Board will continue to process, investigate, and resolve complaints of noncompliance. Emphasis is on voluntary, amicable resolution of access issues.

The Board also develops Federal minimum accessibility guidelines and requirements for the standards under the Architectural Barriers Act, and provides technical assistance to public and private organizations affected by Federal accessibility regulations.

The Americans With Disabilities Act (P.L. 101-336) expanded the Board's responsibilities to include (1) developing accessibility guidelines for transportation vehicles and facilities, public accommodations, outdoor recreation, and communications; (2) implementing technical assistance programs in the areas of transportation and public accommodations; (3) developing and publishing technical assistance manuals for entities covered under Titles II and III of the Act; and (4) assisting the Department of Justice in certifying State and local building codes to be used to comply with the ADA.

With the enactment of the Telecommunications Act of 1996 (P.L. 104-104), the Access Board has been given additional guideline development responsibilities. The new law requires the Access Board to develop accessibility guidelines for telecommunications equipment and customer premises equipment within 18 months in conjunction with the Federal Communications Commission. The Board is also required to review and update the guidelines periodically.

Object Classification (in millions of dollars)

| Identification code 95-3200-0-1-751                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent .....          | 1           | 2         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |           |           |
| 25.1 Advisory and assistance services .....                     | 1           | 1         |           |
| 99.5 Below reporting threshold .....                            |             | 1         | 2         |
| 99.9 Total obligations .....                                    | 3           | 4         | 4         |

**Personnel Summary**

| Identification code 95-3200-0-1-751                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 30          | 36        | 36        |

**ARMS CONTROL AND DISARMAMENT AGENCY**

**Federal Funds**

**General and special funds:**

**ARMS CONTROL AND DISARMAMENT ACTIVITIES**

*For necessary expenses not otherwise provided, for arms control, nonproliferation, and disarmament activities, \$48,455,000, of which not to exceed \$100,000 shall be for official reception and representation expenses as authorized by the Act of September 26, 1961, as amended (22 U.S.C. 2551 et seq.).*

Note.—A regular 1996 appropriation for this account has not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 94-0100-0-1-153                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 00.01 Program operation .....                               | 49          | 36        | 47        |
| 00.02 External research .....                               | 1           |           | 1         |
| 10.00 Total obligations .....                               | 50          | 36        | 48        |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 50          | 36        | 48        |
| 23.95 New obligations .....                                 | -50         | -36       | -48       |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 50          | 36        | 48        |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 19          | 25        | 18        |
| 73.10 New obligations .....                                 | 50          | 36        | 48        |
| 73.20 Total outlays (gross) .....                           | -44         | -43       | -45       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 25          | 18        | 21        |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 34          | 27        | 36        |
| 86.93 Outlays from current balances .....                   | 10          | 16        | 9         |
| 87.00 Total outlays (gross) .....                           | 44          | 43        | 45        |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 50          | 36        | 48        |
| 90.00 Outlays .....                                         | 44          | 43        | 45        |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

|                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                               |             |           |           |
| Budget Authority .....                           | 50          | 36        | 48        |
| Outlays .....                                    | 44          | 43        | 45        |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 10        |           |
| Outlays .....                                    |             | 8         | 2         |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 50          | 46        | 48        |
| Outlays .....                                    | 44          | 51        | 47        |

The Arms Control and Disarmament Agency (ACDA) advises the President and the Secretary of State on arms control, nonproliferation, and disarmament activities and participates in negotiations with other countries seeking international agreements to control, reduce, or eliminate arms. Among the activities to which ACDA resources will be devoted are: the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations; research on arms control; verification and compliance; arms transfer reviews; and the preparation of reports on arms control matters.

In addition, the 1997 budget includes funds for activities of the preparatory commission of the Comprehensive Test Ban Treaty and for the U.S. share of costs of the Biological Weapons Convention review conference.

Object Classification (in millions of dollars)

| Identification code 94-0100-0-1-153                                   | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                            |             |           |           |
| Personnel compensation:                                               |             |           |           |
| 11.1 Full-time permanent .....                                        | 12          | 13        | 14        |
| 11.3 Other than full-time permanent .....                             | 1           | 1         | 1         |
| 11.8 Special personal services payments .....                         | 6           | 4         | 7         |
| 11.9 Total personnel compensation .....                               | 19          | 18        | 22        |
| 12.1 Civilian personnel benefits .....                                | 3           | 3         | 4         |
| 21.0 Travel and transportation of persons .....                       | 3           | 3         | 3         |
| 23.1 Rental payments to GSA .....                                     | 2           | 2         | 2         |
| 25.2 Other services .....                                             | 18          | 7         | 9         |
| 31.0 Equipment .....                                                  | 2           |           | 1         |
| 41.0 Grants, subsidies, and contributions .....                       |             |           | 4         |
| 99.0 Subtotal, direct obligations .....                               | 47          | 33        | 45        |
| Allocation Account—Direct Obligations:                                |             |           |           |
| 11.8 Personnel compensation: Special personal services payments ..... | 1           | 1         | 1         |
| 25.2 Other services .....                                             | 2           | 2         | 2         |
| 99.0 Subtotal, allocation account—direct obligations .....            | 3           | 3         | 3         |
| 99.9 Total obligations .....                                          | 50          | 36        | 48        |

Personnel Summary

| Identification code 94-0100-0-1-153                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 231         | 220       | 255       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 4           | 4         | 4         |

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION**

*Trust Funds*

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION FUND

Unavailable Collections (in millions of dollars)

| Identification code 95-8281-0-7-502                                                                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                                                                 |             |           |           |
| 01.99 Balance, start of year .....                                                                      |             |           |           |
| Receipts:                                                                                               |             |           |           |
| 02.01 Interest on investments, Barry Goldwater Scholarship and Excellence in Education Foundation ..... | 4           | 4         | 4         |
| Appropriation:                                                                                          |             |           |           |
| 05.01 Barry Goldwater Scholarship and Excellence in Education Foundation .....                          | -4          | -4        | -4        |
| 07.99 Total balance, end of year .....                                                                  |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 95-8281-0-7-502                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                     |             |           |           |
| 00.01 Scholarships .....                                                             | 2           | 2         | 2         |
| 00.02 Administration .....                                                           | 1           | 1         | 1         |
| 10.00 Total obligations .....                                                        | 3           | 3         | 3         |
| Budgetary resources available for obligation:                                        |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 55          | 57        | 58        |
| 22.00 New budget authority (gross) .....                                             | 4           | 4         | 4         |
| 23.90 Total budgetary resources available for obligation .....                       | 59          | 61        | 62        |
| 23.95 New obligations .....                                                          | -3          | -3        | -3        |
| 24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....   | 57          | 58        | 59        |
| New budget authority (gross), detail:                                                |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....                                   | 4           | 4         | 4         |
| Change in unpaid obligations:                                                        |             |           |           |
| 73.10 New obligations .....                                                          | 3           | 3         | 3         |
| 73.20 Total outlays (gross) .....                                                    | -3          | -3        | -3        |

Outlays (gross), detail:

|                                                  |   |   |   |
|--------------------------------------------------|---|---|---|
| 86.97 Outlays from new permanent authority ..... | 3 | 3 | 3 |
| 87.00 Total outlays (gross) .....                | 3 | 3 | 3 |

Net budget authority and outlays:

|                              |   |   |   |
|------------------------------|---|---|---|
| 89.00 Budget authority ..... | 4 | 4 | 4 |
| 90.00 Outlays .....          | 3 | 3 | 3 |

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering.

*Scholarship awards.*—This activity is comprised of scholarships awarded to cover eligible educational expenses.

*Program administration.*—This activity covers the costs of operating the program.

Object Classification (in millions of dollars)

| Identification code 95-8281-0-7-502                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 2           | 2         | 2         |
| 99.5 Below reporting threshold .....                                | 1           | 1         | 1         |
| 99.9 Total obligations .....                                        | 3           | 3         | 3         |

Personnel Summary

| Identification code 95-8281-0-7-502                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 2           | 2         | 2         |

**BOARD FOR INTERNATIONAL BROADCASTING**

*Federal Funds*

General and special funds:

GRANTS AND EXPENSES

Program and Financing (in millions of dollars)

| Identification code 95-1145-0-1-154                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                               |             |           |           |
| 00.01 Administrative expenses .....                            | 2           |           |           |
| 00.02 Grants for private broadcasting activities, RFE/RL ..... | 232         |           |           |
| 10.00 Total obligations .....                                  | 234         |           |           |

Budgetary resources available for obligation:

|                                                                              |      |  |  |
|------------------------------------------------------------------------------|------|--|--|
| 21.40 Unobligated balance available, start of year: Uninvested balance ..... | 4    |  |  |
| 22.00 New budget authority (gross) .....                                     | 230  |  |  |
| 23.90 Total budgetary resources available for obligation .....               | 234  |  |  |
| 23.95 New obligations .....                                                  | -234 |  |  |

New budget authority (gross), detail:

|                                             |     |  |  |
|---------------------------------------------|-----|--|--|
| 42.00 Transferred from other accounts ..... | 230 |  |  |
|---------------------------------------------|-----|--|--|

Change in unpaid obligations:

|                                                                                 |      |  |  |
|---------------------------------------------------------------------------------|------|--|--|
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation ..... | 6    |  |  |
| 73.10 New obligations .....                                                     | 234  |  |  |
| 73.20 Total outlays (gross) .....                                               | -239 |  |  |

Outlays (gross), detail:

|                                                |     |  |  |
|------------------------------------------------|-----|--|--|
| 86.90 Outlays from new current authority ..... | 229 |  |  |
| 86.93 Outlays from current balances .....      | 10  |  |  |
| 87.00 Total outlays (gross) .....              | 239 |  |  |

Net budget authority and outlays:

|                              |     |  |  |
|------------------------------|-----|--|--|
| 89.00 Budget authority ..... | 230 |  |  |
|------------------------------|-----|--|--|

**General and special funds—Continued**

**GRANTS AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 95-1145-0-1-154 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| 90.00 Outlays .....                 | 239         |           |           |

The former Board for International Broadcasting was established in 1973 to provide grants and oversight to Radio Free Europe/Radio Liberty (RFE/RL). RFE/RL broadcasts to Eastern Europe and the former Soviet Union. Pursuant to the International Broadcasting Act of 1994 (the Act), the Board for International Broadcasting Act of 1973 (P.L. 93-129) was repealed on August 11, 1995 when the members of the new Board of Broadcasting Governors (established by the 1994 Act) were confirmed. The BIB was also dissolved on that date, with its responsibilities and duties being transferred to the new Broadcasting Board of Governors (BBG).

In 1995, USIA transferred funds from the International Broadcasting Operations account to the former BIB for the RFE/RL grant. In 1996 and 1997, the BBG will make the grants to RFE/RL directly from the International Broadcasting Operations account.

Object Classification (in millions of dollars)

| Identification code 95-1145-0-1-154                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 1           |           |           |
| 41.0 Grants, subsidies, and contributions .....        | 232         |           |           |
| 99.5 Below reporting threshold .....                   | 1           |           |           |
| 99.9 Total obligations .....                           | 234         |           |           |

**Personnel Summary**

| Identification code 95-1145-0-1-154                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 11          |           |           |

**ISRAEL RELAY STATION**

Program and Financing (in millions of dollars)

| Identification code 95-1146-0-1-154                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                  |             |           |           |
| 21.40 Unobligated balance available, start of year:            |             |           |           |
| Uninvested balance .....                                       | 2           |           |           |
| 22.00 New budget authority (gross) .....                       | -2          |           |           |
| 23.90 Total budgetary resources available for obligation ..... |             |           |           |
| 23.95 New obligations .....                                    |             |           |           |
| New budget authority (gross), detail:                          |             |           |           |
| 40.00 Appropriation .....                                      | -2          |           |           |
| Change in unpaid obligations:                                  |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            | 1           |           |           |
| 73.10 New obligations .....                                    |             |           |           |
| Outlays (gross), detail:                                       |             |           |           |
| 87.00 Total outlays (gross) .....                              |             |           |           |
| Net budget authority and outlays:                              |             |           |           |
| 89.00 Budget authority .....                                   | -2          |           |           |
| 90.00 Outlays .....                                            |             |           |           |

In the past, this account provided funds for the construction of a new radio relay station in Israel. The Administration has canceled this project.

**CENTRAL INTELLIGENCE AGENCY**

**Federal Funds**

**General and special funds:**

**CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND**

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System; **[\$213,900,000] \$196,400,000.** (Department of Defense Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

| Identification code 56-3400-0-1-054            | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:               |             |           |           |
| 10.00 Total obligations .....                  | 198         | 214       | 196       |
| Budgetary resources available for obligation:  |             |           |           |
| 22.00 New budget authority (gross) .....       | 198         | 214       | 196       |
| 23.95 New obligations .....                    | -198        | -214      | -196      |
| New budget authority (gross), detail:          |             |           |           |
| 40.00 Appropriation .....                      | 198         | 214       | 196       |
| Change in unpaid obligations:                  |             |           |           |
| 73.10 New obligations .....                    | 198         | 214       | 196       |
| 73.20 Total outlays (gross) .....              | -198        | -214      | -196      |
| Outlays (gross), detail:                       |             |           |           |
| 86.90 Outlays from new current authority ..... | 198         | 214       | 196       |
| 87.00 Total outlays (gross) .....              | 198         | 214       | 196       |
| Net budget authority and outlays:              |             |           |           |
| 89.00 Budget authority .....                   | 198         | 214       | 196       |
| 90.00 Outlays .....                            | 198         | 214       | 196       |

This appropriation provides for payment to the Fund: (a) for interest on the unfunded liability; (b) for the cost of annuity disbursements attributable to military service; (c) for the amount of normal costs not met by employee and employer contributions; and (d) for financing, in 30 equal installments, the unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The request for 1997 includes the twentieth installment for the unfunded liability created by the liberalized benefits authorized by Public Law 94-522, and the appropriate annual installments for salary increases authorized in prior years.

Object Classification (in millions of dollars)

| Identification code 56-3400-0-1-054      | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------|-------------|-----------|-----------|
| 12.1 Civilian personnel benefits .....   | 85          | 89        | 85        |
| 13.0 Benefits for former personnel ..... | 113         | 125       | 111       |
| 99.9 Total obligations .....             | 198         | 214       | 196       |

**CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION**

**Trust Funds**

**CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION**

Program and Financing (in millions of dollars)

| Identification code 76-8187-0-7-502                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                                        |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 8           | 8         | 8         |
| 22.00 New budget authority (gross) .....                                             |             |           |           |
| 23.90 Total budgetary resources available for obligation .....                       | 8           | 8         | 8         |

|                                       |                                                                              |   |   |   |
|---------------------------------------|------------------------------------------------------------------------------|---|---|---|
| 24.41                                 | Unobligated balance available, end of year: U.S. Securities: Par value ..... | 8 | 8 | 8 |
| New budget authority (gross), detail: |                                                                              |   |   |   |
| 70.00                                 | Total new budget authority (gross) .....                                     |   |   |   |
| Change in unpaid obligations:         |                                                                              |   |   |   |
| 73.10                                 | New obligations .....                                                        |   |   |   |
| Outlays (gross), detail:              |                                                                              |   |   |   |
| 87.00                                 | Total outlays (gross) .....                                                  |   |   |   |
| Net budget authority and outlays:     |                                                                              |   |   |   |
| 89.00                                 | Budget authority .....                                                       |   |   |   |
| 90.00                                 | Outlays .....                                                                |   |   |   |

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from Christopher Columbus Quincentenary coins are placed in the Foundation's trust fund. Interest from the trust fund will be used to operate the Foundation's program.

The Foundation's Board of Trustees will implement portions of the Fellowship program in 1996.

Personnel Summary

|                                                                         |             |           |           |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 76-8187-0-7-502                                     | 1995 actual | 1996 est. | 1997 est. |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 1           | 1         | 1         |

COMMISSION OF FINE ARTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary of the Commission of Fine Arts (40 U.S.C. 104), \$867,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

|                                                   |             |           |           |
|---------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2600-0-1-451               | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 99.5) ..... | 1           | 1         | 1         |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 1           | 1         | 1         |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         | 1           | 1         | 1         |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       | 1           | 1         | 1         |
| 73.20 Total outlays (gross) .....                 | -1          | -1        | -1        |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    | 1           | 1         | 1         |
| 87.00 Total outlays (gross) .....                 | 1           | 1         | 1         |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      | 1           | 1         | 1         |
| 90.00 Outlays .....                               | 1           | 1         | 1         |

The Commission advises the President, Congress, and Department heads on matters of architecture, sculpture, painting, and other fine arts. The primary function is to preserve and enhance the appearance of the National Capital.

Personnel Summary

|                                                                         |             |           |           |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2600-0-1-451                                     | 1995 actual | 1996 est. | 1997 est. |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 7           | 7         | 7         |

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190, as amended, \$6,733,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

|                                                   |             |           |           |
|---------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2602-0-1-503               | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 41.0) ..... | 8           | 6         | 7         |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 8           | 6         | 7         |
| 23.95 New obligations .....                       | -8          | -6        | -7        |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         | 8           | 6         | 7         |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       | 8           | 6         | 7         |
| 73.20 Total outlays (gross) .....                 | -8          | -6        | -7        |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    | 8           | 6         | 7         |
| 87.00 Total outlays (gross) .....                 | 8           | 6         | 7         |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      | 8           | 6         | 7         |
| 90.00 Outlays .....                               | 8           | 6         | 7         |

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

COMMISSION ON CIVIL RIGHTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, \$9,300,000: Provided, That not to exceed \$50,000 may be used to employ consultants: Provided further, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the Chairperson who is permitted 125 billable days.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

|                                               |             |           |           |
|-----------------------------------------------|-------------|-----------|-----------|
| Identification code 95-1900-0-1-751           | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:              |             |           |           |
| 10.00 Total obligations .....                 | 9           | 9         | 9         |
| Budgetary resources available for obligation: |             |           |           |
| 22.00 New budget authority (gross) .....      | 9           | 9         | 9         |
| 23.95 New obligations .....                   | -9          | -9        | -9        |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 95-1900-0-1-751                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 9           | 9         | 9         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           | 1         | 2         |
| 73.10 New obligations .....                                 | 9           | 9         | 9         |
| 73.20 Total outlays (gross) .....                           | -9          | -9        | -9        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 1           | 2         | 1         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 8           | 8         | 8         |
| 86.93 Outlays from current balances .....                   | 1           | 1         | 2         |
| 87.00 Total outlays (gross) .....                           | 9           | 9         | 9         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 9           | 9         | 9         |
| 90.00 Outlays .....                                         | 8           | 9         | 9         |

The Commission plays a crucial role by identifying the underlying causes of discrimination in our country and recommending solutions. Factfinding, analysis, and recommendations are helpful to all citizens and political leaders as they evaluate the status of existing civil rights protections. The Administration pledges continued support for the Commission's vital role in the civil rights arena. It's our preference, therefore, that the Commission receive a FY 1997 appropriation of \$11.4 million in budget authority. While the table above reflects a \$9.3 million request in budget authority—the result of budgetary constraints on limited Federal resources—the Administration's preferred funding level is believed necessary to adequately support the Commission's work.

Proposed Level (in millions of dollars)

|                                       | FY 1995 estimate | FY 1996 request | FY 1996 cont. res. | FY 1997 proposed |
|---------------------------------------|------------------|-----------------|--------------------|------------------|
| Budget Authority .....                | \$9.0            | \$11.4          | \$8.75             | \$11.4           |
| Outlays .....                         | \$8.8            | \$11.1          | \$8.60             | \$11.1           |
| Full-time equivalent employment ..... | 95               | 125             | 95                 | 125              |

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises and reports on Federal agencies enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information to Government officials, organizations, and the public. This is accomplished through the issuance of Commission publications. In accordance with the 1994 legislation reauthorizing the Commission, the Commission issues public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

Object Classification (in millions of dollars)

| Identification code 95-1900-0-1-751       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                   |             |           |           |
| 11.1 Full-time permanent .....            | 4           | 5         | 5         |
| 11.3 Other than full-time permanent ..... | 1           |           | 1         |
| 11.9 Total personnel compensation .....   | 5           | 5         | 6         |
| 12.1 Civilian personnel benefits .....    | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....         | 1           | 1         | 1         |
| 25.2 Other services .....                 | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....      | 1           | 1         |           |
| 99.9 Total obligations .....              | 9           | 9         | 9         |

Personnel Summary

| Identification code 95-1900-0-1-751                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 95          | 95        | 105       |

**COMMISSION ON NATIONAL AND COMMUNITY SERVICE**

*Federal Funds*

**General and special funds:**

**SALARIES AND EXPENSES**

Program and Financing (in millions of dollars)

| Identification code 95-2150-0-1-808                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| New budget authority (gross), detail:                       |             |           |           |
| 70.00 Total new budget authority (gross) .....              |             |           |           |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 46          | 18        |           |
| 73.10 New obligations .....                                 |             |           |           |
| 73.20 Total outlays (gross) .....                           | -28         |           |           |
| 73.40 Adjustments in expired accounts .....                 |             | -18       |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 18          |           |           |
| Outlays (gross), detail:                                    |             |           |           |
| 86.93 Outlays from current balances .....                   | 28          |           |           |
| 87.00 Total outlays (gross) .....                           | 28          |           |           |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                |             |           |           |
| 90.00 Outlays .....                                         | 28          |           |           |

The Commission has been merged into the Corporation for National and Community Service according to the provisions of The National and Community Service Trust Act of 1993 (P.L. 103-82). Funds to carry out the programs previously administered by the Commission under the National and Community Service Act of 1990, as amended, are reflected in the request of the Corporation for National and Community Service.

**COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED**

*Federal Funds*

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by the Act of June 23, 1971, Public Law 92-28; \$1,800,000. (*Independent Agencies Appropriations Act, 1996.*)

| Program and Financing (in millions of dollars) |             |           |           |
|------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2000-0-1-505            | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:               |             |           |           |
| 10.00 Total obligations                        | 2           | 2         | 2         |
| Budgetary resources available for obligation:  |             |           |           |
| 22.00 New budget authority (gross)             | 2           | 2         | 2         |
| 23.95 New obligations                          | -2          | -2        | -2        |
| New budget authority (gross), detail:          |             |           |           |
| 40.00 Appropriation                            | 2           | 2         | 2         |
| Change in unpaid obligations:                  |             |           |           |
| 73.10 New obligations                          | 2           | 2         | 2         |
| 73.20 Total outlays (gross)                    | -2          | -2        | -2        |
| Outlays (gross), detail:                       |             |           |           |
| 86.90 Outlays from new current authority       | 2           | 2         | 2         |
| 87.00 Total outlays (gross)                    | 2           | 2         | 2         |
| Net budget authority and outlays:              |             |           |           |
| 89.00 Budget authority                         | 2           | 2         | 2         |
| 90.00 Outlays                                  | 2           | 2         | 2         |

The Committee for Purchase From People Who Are Blind or Severely Disabled was established by the Wagner-O'Day Act of 1938, as amended. Its primary objective is to increase the employment opportunities for people who are blind or have other severe disabilities and, whenever possible, to prepare them to engage in competitive employment. In 1997, approximately 29,000 people who are blind or have other severe disabilities are projected to be employed in over 600 producing nonprofit agencies. The Committee's duties include promoting the program; determining which commodities and services are suitable for Government procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such commodities and services; determining the fair market price for commodities and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 1997 the Committee expects to have nearly 5,000 items on its Procurement List and sales of \$695 million.

The Committee staff's responsibilities include promoting and assessing the overall program; supervising the selection and assignment of new commodities and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance.

| Object Classification (in millions of dollars)                       |             |           |           |
|----------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2000-0-1-505                                  | 1995 actual | 1996 est. | 1997 est. |
| 11.1 Direct obligations: Personnel compensation: Full-time permanent | 1           | 1         | 1         |
| 99.5 Below reporting threshold                                       | 1           | 1         | 1         |
| 99.9 Total obligations                                               | 2           | 2         | 2         |

Personnel Summary

| Identification code 95-2000-0-1-505                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 18          | 18        | 19        |

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

General and special funds:

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act, as amended (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed \$25,000 for employment under 5 U.S.C. 3109; [\$53,601,000] \$56,601,000, including not to exceed \$1,000 for official reception and representation expenses: *Provided*, That the Commission is authorized to charge reasonable fees to attendees of Commission sponsored educational events and symposia to cover the Commission's costs of providing those events and symposia, and notwithstanding 31 U.S.C. 3302, said fees shall be credited to this account, to be available without further appropriation. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1996.*)

| Program and Financing (in millions of dollars)                                                                           |             |           |           |
|--------------------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-1400-0-1-376                                                                                      | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:                                                                                         |             |           |           |
| Direct program:                                                                                                          |             |           |           |
| 00.01 Market surveillance, analysis, and research                                                                        | 11          | 11        | 12        |
| 00.02 Enforcement                                                                                                        | 19          | 22        | 24        |
| 00.03 Contract markets and registered futures associations, regulatory development and registration and audit and review | 16          | 18        | 18        |
| 00.04 Proceedings                                                                                                        | 3           | 3         | 3         |
| 10.00 Total obligations                                                                                                  | 49          | 54        | 57        |
| Budgetary resources available for obligation:                                                                            |             |           |           |
| 22.00 New budget authority (gross)                                                                                       | 49          | 54        | 57        |
| 23.95 New obligations                                                                                                    | -49         | -54       | -57       |
| New budget authority (gross), detail:                                                                                    |             |           |           |
| 40.00 Appropriation                                                                                                      | 49          | 54        | 57        |
| Change in unpaid obligations:                                                                                            |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                                                              |             |           |           |
| Appropriation                                                                                                            | 7           | 5         | 6         |
| 73.10 New obligations                                                                                                    | 49          | 54        | 57        |
| 73.20 Total outlays (gross)                                                                                              | -50         | -53       | -56       |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                                                |             |           |           |
| Appropriation                                                                                                            | 5           | 6         | 6         |
| Outlays (gross), detail:                                                                                                 |             |           |           |
| 86.90 Outlays from new current authority                                                                                 | 45          | 48        | 51        |
| 86.93 Outlays from current balances                                                                                      | 5           | 5         | 6         |
| 87.00 Total outlays (gross)                                                                                              | 50          | 53        | 56        |
| Net budget authority and outlays:                                                                                        |             |           |           |
| 89.00 Budget authority                                                                                                   | 49          | 54        | 57        |
| 90.00 Outlays                                                                                                            | 50          | 53        | 56        |

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

**General and special funds—Continued**

COMMODITY FUTURES TRADING COMMISSION—Continued

The Administration proposes additional resources above the fiscal year 1996 level for the Commission. These increases would enhance the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, such increases would provide the Commission with the enforcement and surveillance resources necessary to respond to the continued growth and use of complex trading and derivative instruments.

*Market surveillance, analysis and research.*—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

|                                                               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Trader and broker reports analyzed (thousands) .....          | 904         | 964       | 1,319     |
| Weekly surveillance sheets analyzed .....                     | 2,890       | 3,000     | 3,200     |
| Economic review of futures contract rule changes completed .. | 63          | 64        | 65        |
| Economic review of new futures contracts completed .....      | 14          | 16        | 19        |
| Economic review of option rule changes completed .....        | 5           | 6         | 7         |
| New options contract reviews completed .....                  | 24          | 26        | 29        |

*Enforcement.*—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding customers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

|                 | 1995 actual | 1996 est. | 1997 est. |
|-----------------|-------------|-----------|-----------|
| Investigations: |             |           |           |
| Opened .....    | 83          | 90        | 95        |
| Closed .....    | 88          | 90        | 95        |
| Cases:          |             |           |           |
| Opened .....    | 55          | 50        | 60        |
| Closed .....    | 49          | 49        | 55        |

*Contract markets and registered futures associations, regulatory development and registration and audit and review program.*—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates with other domestic and international regulators relative to cross border financial services affecting futures and options products.

|                                                                        | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Oversight audits of futures commission merchants .....                 | 25          | 20        | 25        |
| Oversight audits of commodity pool operators .....                     | 23          | 20        | 25        |
| Contract market rule reviews .....                                     | 822         | 1,100     | 1,170     |
| Contract market rule enforcement reviews completed .....               | 4           | 5         | 6         |
| Trade practice investigations completed .....                          | 85          | 95        | 105       |
| Self-Regulatory Organization: Financial rule enforcement reviews ..... | 3           | 2         | 3         |

*Proceedings.*—The proceedings program provides a forum for resolution of customer complaints against persons or firms registered under the Commodity Exchange Act.

|                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------|-------------|-----------|-----------|
| Reparations:                |             |           |           |
| Received and docketed ..... | 155         | 225       | 275       |
| Dismissed .....             | 19          | 30        | 40        |
| Referred for hearing .....  | 158         | 175       | 200       |
| Pending .....               | 92          | 122       | 147       |

Object Classification (in millions of dollars)

| Identification code 95-1400-0-1-376                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 28          | 30        | 33        |
| 11.3 Other than full-time permanent .....                       | 2           | 2         | 2         |
| 11.5 Other personnel compensation .....                         | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                         | 31          | 33        | 36        |
| 12.1 Civilian personnel benefits .....                          | 6           | 7         | 8         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         | 1         |
| 23.2 Rental payments to others .....                            | 6           | 6         | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2         | 2         |
| 25.2 Other services .....                                       | 1           | 4         | 2         |
| 26.0 Supplies and materials .....                               | 1           | 1         | 1         |
| 31.0 Equipment .....                                            | 1           |           | 1         |
| 99.9 Total obligations .....                                    | 49          | 54        | 57        |

Personnel Summary

| Identification code 95-1400-0-1-376                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 542         | 565       | 600       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 3           | 3         | 3         |

**COMMUNITY EMPOWERMENT BOARD**

*Federal Funds*

**General and special funds:**

LOCAL EMPOWERMENT FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 48-2600-2-1-451               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 11.1) ..... |             |           | 1         |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          |             |           | 1         |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         |             |           | 1         |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       |             |           | 1         |
| 73.20 Total outlays (gross) .....                 |             |           | -1        |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    |             |           | 1         |
| 87.00 Total outlays (gross) .....                 |             |           | 1         |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      |             |           | 1         |
| 90.00 Outlays .....                               |             |           | 1         |

The Administration proposes to provide limited funding for the Community Empowerment Board (CEB), if authorized under the proposed Local Empowerment and Flexibility Act. Under the proposed legislation, CEB activities would include review and approval of comprehensive plans submitted by state, local, or tribal governments, and other activities authorized to carry out the purposes of the Act.

The appropriation request of \$1 million in 1997 for the Local Empowerment Fund will be used for CEB staff and related expenses. Additional staff support may be provided on a non-reimbursable basis from member agencies on the CEB.

Personnel Summary

| Identification code 48-2600-2-1-451                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... |             |           | 8         |

**CONSUMER PRODUCT SAFETY COMMISSION**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

*For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for GS-18, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$500 for official reception and representation expenses, \$42,500,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 61-0100-0-1-554                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                                   |             |           |           |
| <b>Direct program:</b>                                                                    |             |           |           |
| 00.01 Hazard identification and analysis .....                                            | 6           | 6         | 7         |
| 00.02 Hazard assessment and reduction .....                                               | 8           | 7         | 7         |
| 00.03 Compliance and enforcement .....                                                    | 15          | 14        | 16        |
| 00.04 Consumer information .....                                                          | 5           | 5         | 5         |
| 00.05 Agency management .....                                                             | 8           | 8         | 8         |
| 00.06 Headquarters relocation fund .....                                                  | 1           |           |           |
| 00.91 Total direct program .....                                                          | 43          | 40        | 43        |
| 01.01 Reimbursable program .....                                                          | 1           | 1         | 1         |
| 10.00 Total obligations .....                                                             | 44          | 41        | 44        |
| <b>Budgetary resources available for obligation:</b>                                      |             |           |           |
| 21.40 Unobligated balance available, start of year:                                       |             |           |           |
| Uninvested balance .....                                                                  | 1           |           |           |
| 22.00 New budget authority (gross) .....                                                  | 43          | 41        | 44        |
| 23.90 Total budgetary resources available for obligation .....                            | 44          | 41        | 44        |
| 23.95 New obligations .....                                                               | -44         | -41       | -44       |
| <b>New budget authority (gross), detail:</b>                                              |             |           |           |
| <b>Current:</b>                                                                           |             |           |           |
| 40.00 Appropriation .....                                                                 | 42          | 40        | 43        |
| <b>Permanent:</b>                                                                         |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 1           | 1         | 1         |
| 70.00 Total new budget authority (gross) .....                                            | 43          | 41        | 44        |
| <b>Change in unpaid obligations:</b>                                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Appropriation .....                                                                       | 7           | 8         | 8         |
| 73.10 New obligations .....                                                               | 44          | 41        | 44        |
| 73.20 Total outlays (gross) .....                                                         | -43         | -41       | -43       |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Appropriation .....                                                                       | 8           | 8         | 8         |
| <b>Outlays (gross), detail:</b>                                                           |             |           |           |
| 86.90 Outlays from new current authority .....                                            | 36          | 34        | 37        |
| 86.93 Outlays from current balances .....                                                 | 6           | 6         | 6         |
| 86.97 Outlays from new permanent authority .....                                          | 1           | 1         | 1         |
| 87.00 Total outlays (gross) .....                                                         | 43          | 41        | 43        |
| <b>Offsets:</b>                                                                           |             |           |           |
| <b>Against gross budget authority and outlays:</b>                                        |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources .....                           | -1          | -1        | -1        |
| <b>Net budget authority and outlays:</b>                                                  |             |           |           |
| 89.00 Budget authority .....                                                              | 42          | 40        | 43        |
| 90.00 Outlays .....                                                                       | 42          | 40        | 42        |

*Product safety and enforcement.*—The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

Object Classification (in millions of dollars)

| Identification code 61-0100-0-1-554                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                          |             |           |           |
| <b>Personnel compensation:</b>                                      |             |           |           |
| 11.1 Full-time permanent .....                                      | 25          | 26        | 27        |
| 11.3 Other than full-time permanent .....                           | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                             | 26          | 27        | 28        |
| 12.1 Civilian personnel benefits .....                              | 4           | 5         | 5         |
| 21.0 Travel and transportation of persons .....                     | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                                   | 3           | 3         | 3         |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 1           | 1         | 1         |
| 25.2 Other services .....                                           | 3           | 2         | 3         |
| 25.3 Purchases of goods and services from Government accounts ..... | 1           | 1         | 1         |
| 26.0 Supplies and materials .....                                   | 1           |           |           |
| 31.0 Equipment .....                                                | 2           |           |           |
| 99.0 Subtotal, direct obligations .....                             | 42          | 40        | 42        |
| 99.0 Reimbursable obligations .....                                 | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                                | 1           |           | 1         |
| 99.9 Total obligations .....                                        | 44          | 41        | 44        |

Personnel Summary

| Identification code 61-0100-0-1-554                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 1001 Full-time equivalent employment .....                    | 474         | 487       | 487       |
| 1005 Full-time equivalent of overtime and holiday hours ..... |             | 1         | 1         |

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**

**Federal Funds**

**General and special funds:**

NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES

*For necessary expenses for the Corporation for National and Community Service in carrying out the programs, activities, and initiatives under the National and Community Service Act of 1990, as amended (Public Law 103-82), \$543,549,000, to remain available until September 30, 1998, of which not to exceed \$28,446,000 is for administrative expenses under section 501(a)(4), not to exceed \$2,500 is for official reception and representation expenses, and not to exceed \$129,096,000, to remain available without fiscal year limitation, shall be transferred to the National Service Trust Fund for educational awards as authorized under subtitle D of title I of the Act.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2720-0-1-506                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                |             |           |           |
| <b>Direct program:</b>                                 |             |           |           |
| 00.01 National Service Trust .....                     | 93          | 94        | 129       |
| 00.02 AmeriCorps grants .....                          | 81          | 381       | 261       |
| 00.03 Innovation assistance and other activities ..... | 27          | 55        | 37        |
| 00.04 Evaluation .....                                 | 6           | 6         | 7         |
| 00.05 National Civilian Community Corps .....          | 25          | 21        | 22        |
| 00.06 Learn and Serve America .....                    | 30          | 74        | 53        |
| 00.07 NCSA program administration .....                | 27          | 25        | 29        |
| 00.08 Points of Light Foundation .....                 | 6           | 5         | 6         |
| 10.00 Total obligations .....                          | 295         | 661       | 544       |

**General and special funds—Continued**

**NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 95-2720-0-1-506                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:               |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance .....                                    | 79          | 251       |           |
| 22.00 New budget authority (gross) .....                    | 468         | 410       | 544       |
| 22.30 Unobligated balance expiring .....                    | -1          |           |           |
| 23.90 Total budgetary resources available for obligation    | 546         | 661       | 544       |
| 23.95 New obligations .....                                 | -295        | -661      | -544      |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance .....                                    | 251         |           |           |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 468         | 410       | 544       |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 162         | 167       | 402       |
| 73.10 New obligations .....                                 | 295         | 661       | 544       |
| 73.20 Total outlays (gross) .....                           | -290        | -426      | -492      |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 167         | 402       | 454       |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 94          | 151       | 197       |
| 86.93 Outlays from current balances .....                   | 196         | 275       | 295       |
| 87.00 Total outlays (gross) .....                           | 290         | 426       | 492       |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 468         | 410       | 544       |
| 90.00 Outlays .....                                         | 290         | 426       | 492       |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

|                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                               |             |           |           |
| Budget Authority .....                           | 468         | 410       | 544       |
| Outlays .....                                    | 290         | 426       | 492       |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 58        |           |
| Outlays .....                                    |             | 27        | 24        |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 468         | 468       | 544       |
| Outlays .....                                    | 290         | 453       | 516       |

The Corporation for National and Community Service engages Americans of all ages and backgrounds in community-based service which addresses the nation's educational, human, public safety, and environmental needs to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

*National Service Trust.* The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

*AmeriCorps grants.* With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address problems they identify by using the skills of individuals serving in National Service positions.

*Innovation, assistance, and other activities.* This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding.

*Evaluation.* This activity will determine the impact and effectiveness of Corporation programs.

*National Civilian Community Corps.* This residential National Service program provides unique service opportunities for members and communities.

*Learn and Serve America.* Through grants to State educational agencies, colleges and consortia of colleges and nonprofit organizations, and other means, curriculum will be improved and opportunities provided to students to participate in service learning activities.

*NCSA program administration.* Up to fifty percent of these funds will be provided to State Commissions to develop National Service plans and manage these activities within their States. The remaining fifty percent of these funds will be used by the Corporation to administer these activities.

*Points of Light Foundation.* A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

**Object Classification (in millions of dollars)**

| Identification code 95-2720-0-1-506                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                   |             |           |           |
| 11.1 Full-time permanent .....                            | 4           | 3         | 3         |
| 11.3 Other than full-time permanent .....                 | 7           | 8         | 8         |
| 11.5 Other personnel compensation .....                   | 1           |           |           |
| 11.8 Special personal services payments .....             | 7           | 7         | 7         |
| 11.9 Total personnel compensation .....                   | 19          | 18        | 18        |
| 12.1 Civilian personnel benefits .....                    | 3           | 3         | 3         |
| 21.0 Travel and transportation of persons .....           | 3           | 3         | 3         |
| 23.3 Communications, utilities, and miscellaneous charges | 1           | 1         | 1         |
| 24.0 Printing and reproduction .....                      | 1           | 1         | 1         |
| 25.1 Advisory and assistance services .....               |             | 5         | 6         |
| 25.2 Other services .....                                 | 22          | 34        | 31        |
| 26.0 Supplies and materials .....                         | 3           | 3         | 3         |
| 31.0 Equipment .....                                      | 1           |           | 1         |
| 41.0 Grants, subsidies, and contributions .....           | 149         | 500       | 349       |
| 92.0 Undistributed .....                                  | 93          | 94        | 129       |
| 99.5 Below reporting threshold .....                      |             | -1        | -1        |
| 99.9 Total obligations .....                              | 295         | 661       | 544       |

**Personnel Summary**

| Identification code 95-2720-0-1-506                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                            |             |           |           |
| 1001 Full-time equivalent employment .....              | 188         | 195       | 195       |
| 1005 Full-time equivalent of overtime and holiday hours | 1           | 1         | 1         |

**DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES**

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, \$226,109,000, to remain available until September 30, 1998.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

**Program and Financing (in millions of dollars)**

| Identification code 95-0103-0-1-506           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |             |           |           |
| Direct program:                               |             |           |           |
| 00.01 Volunteers in Service to America .....  | 48          | 26        | 51        |
| 00.03 National Senior Service Corps .....     | 135         | 128       | 145       |
| 00.05 Program support .....                   | 30          | 29        | 30        |
| 00.91 Total direct program .....              | 213         | 183       | 226       |
| 01.01 Reimbursable program .....              | 4           | 4         | 4         |
| 10.00 Total obligations .....                 | 217         | 187       | 230       |
| Budgetary resources available for obligation: |             |           |           |
| 22.00 New budget authority (gross) .....      | 219         | 187       | 230       |
| 22.30 Unobligated balance expiring .....      | -2          |           |           |

|                                             |                                                                               |      |      |      |
|---------------------------------------------|-------------------------------------------------------------------------------|------|------|------|
| 23.90                                       | Total budgetary resources available for obligation                            | 217  | 187  | 230  |
| 23.95                                       | New obligations                                                               | -217 | -187 | -230 |
| New budget authority (gross), detail:       |                                                                               |      |      |      |
| Current:                                    |                                                                               |      |      |      |
| 40.00                                       | Appropriation                                                                 | 215  | 183  | 226  |
| Permanent:                                  |                                                                               |      |      |      |
| 68.00                                       | Spending authority from offsetting collections: Offsetting collections (cash) | 4    | 4    | 4    |
| 70.00                                       | Total new budget authority (gross)                                            | 219  | 187  | 230  |
| Change in unpaid obligations:               |                                                                               |      |      |      |
| 72.40                                       | Unpaid obligations, start of year: Obligated balance:                         |      |      |      |
|                                             | Appropriation                                                                 | 105  | 92   | 84   |
| 73.10                                       | New obligations                                                               | 217  | 187  | 230  |
| 73.20                                       | Total outlays (gross)                                                         | -226 | -195 | -218 |
| 73.40                                       | Adjustments in expired accounts                                               | -4   |      |      |
| 74.40                                       | Unpaid obligations, end of year: Obligated balance:                           |      |      |      |
|                                             | Appropriation                                                                 | 92   | 84   | 96   |
| Outlays (gross), detail:                    |                                                                               |      |      |      |
| 86.90                                       | Outlays from new current authority                                            | 131  | 100  | 130  |
| 86.93                                       | Outlays from current balances                                                 | 91   | 91   | 84   |
| 86.97                                       | Outlays from new permanent authority                                          | 4    | 4    | 4    |
| 87.00                                       | Total outlays (gross)                                                         | 226  | 195  | 218  |
| Offsets:                                    |                                                                               |      |      |      |
| Against gross budget authority and outlays: |                                                                               |      |      |      |
| Offsetting collections (cash) from:         |                                                                               |      |      |      |
| 88.00                                       | Federal sources                                                               | -1   | -1   | -1   |
| 88.40                                       | Non-Federal sources                                                           | -3   | -3   | -3   |
| 88.90                                       | Total, offsetting collections (cash)                                          | -4   | -4   | -4   |
| Net budget authority and outlays:           |                                                                               |      |      |      |
| 89.00                                       | Budget authority                                                              | 215  | 183  | 226  |
| 90.00                                       | Outlays                                                                       | 222  | 191  | 214  |

Summary of Budget Authority and Outlays

[In millions of dollars]

| Enacted/requested:                               | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Budget Authority                                 | 215         | 183       | 226       |
| Outlays                                          | 222         | 191       | 214       |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority                                 |             | 9         |           |
| Outlays                                          |             | 8         | 1         |
| Total:                                           |             |           |           |
| Budget Authority                                 | 215         | 192       | 226       |
| Outlays                                          | 222         | 199       | 215       |

**Volunteers in Service to America.**—The AmeriCorps\*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

**National Senior Service Corps.**—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

**Program support.**—Costs of program direction and administration are financed by this activity.

Object Classification (in millions of dollars)

| Identification code 95-0103-0-1-506 | 1995 actual                          | 1996 est. | 1997 est. |    |
|-------------------------------------|--------------------------------------|-----------|-----------|----|
| Direct obligations:                 |                                      |           |           |    |
| Personnel compensation:             |                                      |           |           |    |
| 11.1                                | Full-time permanent                  | 14        | 12        | 12 |
| 11.3                                | Other than full-time permanent       | 3         | 5         | 5  |
| 11.8                                | Special personal services payments   | 33        | 21        | 35 |
| 11.9                                | Total personnel compensation         | 50        | 38        | 52 |
| 12.1                                | Civilian personnel benefits          | 3         | 4         | 5  |
| 13.0                                | Benefits for former personnel        | 1         |           |    |
| 21.0                                | Travel and transportation of persons | 4         | 2         | 4  |
| 22.0                                | Transportation of things             |           |           | 1  |

|      |                                                      |     |     |     |
|------|------------------------------------------------------|-----|-----|-----|
| 23.1 | Rental payments to GSA                               | 4   | 4   | 5   |
| 23.3 | Communications, utilities, and miscellaneous charges | 1   | 2   | 2   |
| 24.0 | Printing and reproduction                            |     |     | 1   |
| 25.1 | Advisory and assistance services                     |     |     | 1   |
| 25.2 | Other services                                       | 8   | 2   | 8   |
| 41.0 | Grants, subsidies, and contributions                 | 141 | 129 | 148 |
| 99.0 | Subtotal, direct obligations                         | 212 | 181 | 227 |
| 99.0 | Reimbursable obligations                             | 4   | 4   | 4   |
| 99.5 | Below reporting threshold                            | 1   | 2   | -1  |
| 99.9 | Total obligations                                    | 217 | 187 | 230 |

Personnel Summary

| Identification code 95-0103-0-1-506 | 1995 actual                                                  | 1996 est. | 1997 est. |     |
|-------------------------------------|--------------------------------------------------------------|-----------|-----------|-----|
| Direct:                             |                                                              |           |           |     |
| Total compensable workyears:        |                                                              |           |           |     |
| 1001                                | Full-time equivalent employment                              | 350       | 361       | 361 |
| 1005                                | Full-time equivalent of overtime and holiday hours           |           | 1         | 1   |
| Reimbursable:                       |                                                              |           |           |     |
| 2001                                | Total compensable workyears: Full-time equivalent employment | 1         | 1         | 1   |

OFFICE OF THE INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$2,125,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2721-0-1-506           | 1995 actual                                         | 1996 est. | 1997 est. |    |
|-----------------------------------------------|-----------------------------------------------------|-----------|-----------|----|
| Obligations by program activity:              |                                                     |           |           |    |
| 10.00                                         | Total obligations                                   | 2         | 2         | 2  |
| Budgetary resources available for obligation: |                                                     |           |           |    |
| 22.00                                         | New budget authority (gross)                        | 2         | 2         | 2  |
| 23.95                                         | New obligations                                     | -2        | -2        | -2 |
| New budget authority (gross), detail:         |                                                     |           |           |    |
| 40.00                                         | Appropriation                                       | 2         | 2         | 2  |
| Change in unpaid obligations:                 |                                                     |           |           |    |
| 73.10                                         | New obligations                                     | 2         | 2         | 2  |
| 73.20                                         | Total outlays (gross)                               | -2        | -2        | -2 |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance: |           |           |    |
|                                               | Appropriation                                       | 1         |           |    |
| Outlays (gross), detail:                      |                                                     |           |           |    |
| 86.90                                         | Outlays from new current authority                  | 1         | 2         | 2  |
| 87.00                                         | Total outlays (gross)                               | 2         | 2         | 2  |
| Net budget authority and outlays:             |                                                     |           |           |    |
| 89.00                                         | Budget authority                                    | 2         | 2         | 2  |
| 90.00                                         | Outlays                                             | 1         | 2         | 2  |

Summary of Budget Authority and Outlays

[In millions of dollars]

| Enacted/requested:                               | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Budget Authority                                 | 2           | 2         | 2         |
| Outlays                                          | 1           | 2         | 2         |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority                                 |             | 1         |           |
| Outlays                                          |             |           |           |
| Total:                                           |             |           |           |
| Budget Authority                                 | 2           | 3         | 2         |
| Outlays                                          | 1           | 2         | 2         |

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

**General and special funds—Continued**

**OFFICE OF THE INSPECTOR GENERAL—Continued**

Object Classification (in millions of dollars)

| Identification code 95-2721-0-1-506                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                                       | 1           | 1         | 1         |
| 99.9 Total obligations .....                                               | 2           | 2         | 2         |

**Personnel Summary**

| Identification code 95-2721-0-1-506                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 13          | 15        | 15        |

**Trust Funds**

**GIFTS AND CONTRIBUTIONS**

Unavailable Collections (in millions of dollars)

| Identification code 95-9972-0-7-506                                                 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                                             |             |           |           |
| 01.99 Balance, start of year .....                                                  |             |           |           |
| Receipts:                                                                           |             |           |           |
| 02.01 Gifts and contributions .....                                                 | 1           |           |           |
| 02.02 Interest on investment .....                                                  | 10          | 16        | 20        |
| 02.03 Payment from the general fund .....                                           | 93          | 94        | 129       |
| 02.04 Adjustment to 1996 Continuing Resolution levels .....                         |             | 21        |           |
| 02.99 Total receipts .....                                                          | 104         | 131       | 149       |
| Appropriation:                                                                      |             |           |           |
| 05.01 Gifts and contributions .....                                                 | -104        | -110      | -149      |
| 05.02 Gifts and contributions, adjustment to 1996 Continuing Resolution level ..... |             | -21       |           |
| 05.99 Subtotal appropriation .....                                                  | -104        | -131      | -149      |
| 07.99 Total balance, end of year .....                                              |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 95-9972-0-7-506                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                               |             |           |           |
| 10.00 Total obligations .....                                  | 6           | 33        | 75        |
| Budgetary resources available for obligation:                  |             |           |           |
| Unobligated balance available, start of year:                  |             |           |           |
| 21.40 Uninvested balance .....                                 | 6           | 2         |           |
| U.S. Securities:                                               |             |           |           |
| 21.41 Par value .....                                          | 98          | 202       | 284       |
| 21.42 Unrealized discounts .....                               |             | -3        | -4        |
| 21.99 Total unobligated balance, start of year .....           | 104         | 201       | 280       |
| 22.00 New budget authority (gross) .....                       | 104         | 110       | 149       |
| 23.90 Total budgetary resources available for obligation ..... | 208         | 311       | 429       |
| 23.95 New obligations .....                                    | -6          | -33       | -75       |
| Unobligated balance available, end of year:                    |             |           |           |
| 24.40 Uninvested balance .....                                 | 2           |           |           |
| U.S. Securities:                                               |             |           |           |
| 24.41 Par value .....                                          | 202         | 284       | 359       |
| 24.42 Unrealized discounts .....                               | -3          | -4        | -5        |
| 24.99 Total unobligated balance, end of year .....             | 201         | 280       | 354       |
| New budget authority (gross), detail:                          |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....             | 104         | 110       | 149       |
| Change in unpaid obligations:                                  |             |           |           |
| 73.10 New obligations .....                                    | 6           | 33        | 75        |
| 73.20 Total outlays (gross) .....                              | -6          | -33       | -75       |
| Outlays (gross), detail:                                       |             |           |           |
| 86.98 Outlays from permanent balances .....                    | 6           | 33        | 75        |
| 87.00 Total outlays (gross) .....                              | 6           | 33        | 75        |

| Net budget authority and outlays: |  | 104 | 110 | 149 |
|-----------------------------------|--|-----|-----|-----|
| 89.00 Budget authority .....      |  | 104 | 110 | 149 |
| 90.00 Outlays .....               |  | 6   | 33  | 75  |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

| Enacted/requested:                               | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Budget Authority .....                           | 104         | 110       | 149       |
| Outlays .....                                    | 6           | 33        | 75        |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 21        |           |
| Outlays .....                                    |             |           | 1         |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 104         | 131       | 149       |
| Outlays .....                                    | 6           | 33        | 76        |

The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

**Personnel Summary**

| Identification code 95-9972-0-7-506                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 2           | 2         | 2         |

**CORPORATION FOR PUBLIC BROADCASTING**

**Federal Funds**

**General and special funds:**

**CORPORATION FOR PUBLIC BROADCASTING**

For payment to the Corporation for Public Broadcasting, as authorized by the Communications Act of 1934, without regard to section 396(k)(3)(B)(iii), an amount which shall be available within limitations specified by that Act, for the fiscal year 1999, \$275,000,000: Provided, That no funds made available to the Corporation by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: Provided further, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex.

Program and Financing (in millions of dollars)

| Identification code 20-0151-0-1-503               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 41.0) ..... | 286         | 275       | 260       |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 286         | 275       | 260       |
| 23.95 New obligations .....                       | -286        | -275      | -260      |
| New budget authority (gross), detail:             |             |           |           |
| 65.00 Advance appropriation (definite) .....      | 286         | 275       | 260       |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       | 286         | 275       | 260       |
| 73.20 Total outlays (gross) .....                 | -286        | -275      | -260      |
| Outlays (gross), detail:                          |             |           |           |
| 86.97 Outlays from new permanent authority .....  | 286         | 275       | 260       |
| 87.00 Total outlays (gross) .....                 | 286         | 275       | 260       |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      | 286         | 275       | 260       |
| 90.00 Outlays .....                               | 286         | 275       | 260       |

The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related primarily to program production or acquisition. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance. The appropriation for the Corporation is enacted two years in advance. For 1997, an appropriation of \$315 million, later reduced by rescission to \$260 million, was enacted in 1995.

For 1999, the Administration is requesting \$275 million for general programming and system support. Public broadcasting plays a vital role in the educational and cultural development of our Nation. The proposed funding level will allow the Corporation to maintain quality public service programming and to meet the needs of American public telecommunications. The table below illustrates the 1996–1999 funding levels.

Summary of Funding Levels, 1996–1999 (in thousands of dollars)

|                                           | 1996 enacted | 1997 enacted | 1998 est. | 1999 est. |
|-------------------------------------------|--------------|--------------|-----------|-----------|
| Corporation for Public Broadcasting ..... | 275,000      | 260,000      | 240,000   | 275,000   |

## COURT OF VETERANS APPEALS

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Veterans Appeals as authorized by 38 U.S.C. sections 7251–7292, \$8,795,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104–91, P.L. 104–92, and P.L. 104–99.

Program and Financing (in millions of dollars)

| Identification code 95–0300–0–1–705                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations .....                               | 9           | 9         | 9         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 9           | 9         | 9         |
| 23.95 New obligations .....                                 | –9          | –9        | –9        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 9           | 9         | 9         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           | 1         | 1         |
| 73.10 New obligations .....                                 | 9           | 9         | 9         |
| 73.20 Total outlays (gross) .....                           | –9          | –9        | –9        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 1           | 1         | 1         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 8           | 9         | 9         |
| 86.93 Outlays from current balances .....                   | 1           |           |           |
| 87.00 Total outlays (gross) .....                           | 9           | 9         | 9         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 9           | 9         | 9         |
| 90.00 Outlays .....                                         | 9           | 9         | 9         |

The Veterans Judicial Review Act, 38 U.S.C. §§ 7251–7292 (1988) established the United States Court of Veterans Ap-

peals under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§ 551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. § 1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

**Practice Registration Fees.**—This fund is established under 38 U.S.C. § 7285. The fund, which receives no appropriations, will be used by the U.S. Court of Veterans Appeals to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

Object Classification (in millions of dollars)

| Identification code 95–0300–0–1–705                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 11.3 Personnel compensation: Other than full-time permanent ..... | 4           | 4         | 4         |
| 12.1 Civilian personnel benefits .....                            | 1           | 1         | 2         |
| 23.1 Rental payments to GSA .....                                 | 2           | 2         | 2         |
| 41.0 Grants, subsidies, and contributions .....                   | 1           | 1         |           |
| 99.5 Below reporting threshold .....                              | 1           | 1         | 1         |
| 99.9 Total obligations .....                                      | 9           | 9         | 9         |

### Personnel Summary

| Identification code 95–0300–0–1–705                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 83          | 82        | 81        |

### Trust Funds

#### COURT OF VETERANS APPEALS RETIREMENT FUND

Unavailable Collections (in millions of dollars)

| Identification code 95–8290–0–7–705    | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                |             |           |           |
| 01.99 Balance, start of year .....     | 2           | 2         | 2         |
| 07.99 Total balance, end of year ..... | 2           | 2         | 2         |

This fund, established under 38 U.S.C. § 7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 3.5 percent of their salaries for survivor

COURT OF VETERANS APPEALS RETIREMENT FUND—Continued

annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Veterans Appeals.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, \$17,000,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 95-3900-0-1-053                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations                                     | 18          | 18        | 18        |
| Budgetary resources available for obligation:               |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance                                          | 2           | 2         | 1         |
| 22.00 New budget authority (gross)                          | 18          | 17        | 17        |
| 23.90 Total budgetary resources available for obligation    | 20          | 19        | 18        |
| 23.95 New obligations                                       | -18         | -18       | -18       |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance                                          | 2           | 1         |           |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation                                         | 18          | 17        | 17        |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 5           | 6         | 6         |
| 73.10 New obligations                                       | 18          | 18        | 18        |
| 73.20 Total outlays (gross)                                 | -16         | -18       | -18       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 6           | 6         | 6         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority                    | 9           | 10        | 10        |
| 86.93 Outlays from current balances                         | 5           | 8         | 7         |
| 87.00 Total outlays (gross)                                 | 16          | 18        | 18        |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority                                      | 18          | 17        | 17        |
| 90.00 Outlays                                               | 16          | 18        | 17        |

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100-456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (as defined in Public Law 100-456). In addition, the National Defense Authorization Act for fiscal years 1992 and 1993 (Public Law 102-190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons, and to approve any DOE plans to resume plutonium operations at the Rocky Flats Plant, Golden, Colorado. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board shall make specific recommendations to the Secretary of Energy on measures that should be adopted which will ensure that public and worker health and safety are adequately protected.

Object Classification (in millions of dollars)

| Identification code 95-3900-0-1-053              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 8           | 9         | 9         |
| 12.1 Civilian personnel benefits                 | 2           | 2         | 2         |
| 21.0 Travel and transportation of persons        | 1           | 1         | 1         |
| 23.1 Rental payments to GSA                      | 2           | 2         | 2         |
| 25.1 Advisory and assistance services            | 3           | 2         | 2         |
| 25.2 Other services                              | 1           | 1         | 1         |
| 99.5 Below reporting threshold                   | 1           | 1         | 1         |
| 99.9 Total obligations                           | 18          | 18        | 18        |

Personnel Summary

| Identification code 95-3900-0-1-053                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 105         | 149       | 146       |

**DISTRICT OF COLUMBIA**

**Federal Funds**

**General and special funds:**

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA

For payment to the District of Columbia for the fiscal year ending September 30, 1997, \$660,000,000, as authorized by section 502(a) of the District of Columbia Self-Government and Governmental Reorganization Act, Public Law 93-198, as amended (D.C. Code, sec. 47-3406.1).

FEDERAL CONTRIBUTION TO RETIREMENT FUNDS

For the Federal contribution to the Police Officers and Fire Fighters' Teachers' and Judges' Retirement Funds, as authorized by the District of Columbia Retirement Reform Act, approved November 17, 1979, \$104,140,000 (93 Stat. 866; Public Law 96-122).

PRESIDENTIAL INAUGURATION

For payment to the District of Columbia in lieu of reimbursements for expenses incurred in connection with Presidential inauguration activities, \$5,702,000, as authorized by section 737(b) of the District of Columbia Self-Government and Governmental Reorganization Act, Public Law 93-198, as amended (D.C. Code, sec. 1-1803), which shall be appropriated by the CFO within the various appropriation headings in this Act.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in the Sixth Continuing Resolution, P.L. 104-92.

Program and Financing (in millions of dollars)

| Identification code 20-1700-0-1-806                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                         |             |           |           |
| 00.01 Payment to the District of Columbia general fund   | 660         | 660       | 660       |
| 00.03 Retirement funds contribution                      | 54          | 52        | 104       |
| 00.04 Presidential inauguration payment                  |             |           | 6         |
| 10.00 Total obligations (object class 41.0)              | 714         | 712       | 770       |
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance                                       | 2           |           |           |
| 22.00 New budget authority (gross)                       | 712         | 712       | 770       |
| 23.90 Total budgetary resources available for obligation | 714         | 712       | 770       |
| 23.95 New obligations                                    | -714        | -712      | -770      |
| New budget authority (gross), detail:                    |             |           |           |
| 40.00 Appropriation                                      | 712         | 712       | 770       |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations                                    | 714         | 712       | 770       |
| 73.20 Total outlays (gross)                              | -714        | -712      | -770      |
| Outlays (gross), detail:                                 |             |           |           |
| 86.90 Outlays from new current authority                 | 712         | 712       | 770       |
| 86.93 Outlays from current balances                      | 2           |           |           |

|                                   |                             |     |     |     |
|-----------------------------------|-----------------------------|-----|-----|-----|
| 87.00                             | Total outlays (gross) ..... | 714 | 712 | 770 |
| Net budget authority and outlays: |                             |     |     |     |
| 89.00                             | Budget authority .....      | 712 | 712 | 770 |
| 90.00                             | Outlays .....               | 714 | 712 | 770 |

The annual Federal payment to the Government of the District of Columbia compensates the District for the net costs imposed by the presence of the Federal Government in Washington, D.C. While the Federal presence may actually add more to District revenues than it does to operating costs (because local income, property and sales tax receipts are highly dependent on Federal employment in the city), a lump-sum, unrestricted Federal payment is provided to the District Government each year to help fund local budgetary expenditures. A \$660 million Federal payment is proposed for 1997.

This appropriation also includes a contribution of \$104 million which represents an increase of \$52 million from the 1995 actual level for the Federal share of payments to District of Columbia retirement funds for police officers, fire fighters, teachers and judges.

LOANS TO THE DISTRICT OF COLUMBIA FOR CAPITAL PROJECTS

Status of Direct Loans (in millions of dollars)

| Identification code 20-0137-0-1-806               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding:   |             |           |           |
| 1210 Outstanding, start of year .....             | 87          | 75        | 62        |
| 1251 Repayments: Repayments and prepayments ..... | -12         | -12       | -12       |
| 1290 Outstanding, end of year .....               | 75          | 62        | 50        |

The District has borrowed funds from the U.S. Treasury to finance capital projects. While the authority to borrow for capital projects was terminated in 1983, the District had outstanding debt issued under this authority with a remaining balance of \$62 million in FY 1996.

LOANS—CUMULATIVE

[In millions of dollars]

|                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Appropriations:                     |             |           |           |
| District projects .....             | 3,852       | 3,902     | 3,952     |
| Rapid rail transit .....            | 358         | 408       | 458       |
| Total appropriations .....          | 4,210       | 4,310     | 4,410     |
| Funds withdrawn:                    |             |           |           |
| District projects .....             | 2,369       | 2,419     | 2,469     |
| Rapid rail transit .....            | 358         | 408       | 458       |
| Total borrowing .....               | 2,727       | 2,827     | 2,927     |
| Less:                               |             |           |           |
| Principal repaid <sup>1</sup> ..... | 1,539       | 1,648     | 1,858     |
| MASSWF adjustment .....             | 9           | 9         | 10        |
| Total reduction .....               | 1,548       | 1,657     | 1,868     |
| Outstanding principal debt .....    | 1,179       | 1,170     | 1,059     |

<sup>1</sup> The District is required to repay only 50% of the loans advanced to the Metropolitan area sanitary sewage works fund before July 1, 1971, in accordance with sec. 502 of the Act of December 15, 1971 (Public Law 92-196, 85 Stat. 654).

The Self-Government Act authorized the District of Columbia to issue tax-exempt general obligation bonds to finance capital improvements projects. Since 1985, the District has sold \$5,634 million in general obligation bonds of which \$2,011 million has been for this purpose. Of the \$2,011 million for capital improvement projects, \$2,852 million is provided to finance the general fund projects and \$158 million to fund Water and Sewer fund projects.

The preceding table shows the status of general fund capital projects loan authorizations on a cumulative basis.

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

| Identification code 20-0155-0-1-806                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                          |             |           |           |
| 10.00 Total obligations (object class 23.3) .....                                         | 33          | 33        | 22        |
| Budgetary resources available for obligation:                                             |             |           |           |
| 22.00 New budget authority (gross) .....                                                  | 33          | 33        | 22        |
| 23.95 New obligations .....                                                               | -33         | -33       | -22       |
| New budget authority (gross), detail:                                                     |             |           |           |
| 60.05 Appropriation (indefinite) .....                                                    | 12          |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 21          | 33        | 22        |
| 70.00 Total new budget authority (gross) .....                                            | 33          | 33        | 22        |
| Change in unpaid obligations:                                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Appropriation .....                                                                       | 5           | 9         | 9         |
| 73.10 New obligations .....                                                               | 33          | 33        | 22        |
| 73.20 Total outlays (gross) .....                                                         | -28         | -33       | -22       |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Appropriation .....                                                                       | 9           | 9         | 9         |
| Outlays (gross), detail:                                                                  |             |           |           |
| 86.97 Outlays from new permanent authority .....                                          | 28          | 33        | 22        |
| 87.00 Total outlays (gross) .....                                                         | 28          | 33        | 22        |
| Offsets:                                                                                  |             |           |           |
| Against gross budget authority and outlays:                                               |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources .....                           | -21         | -33       | -22       |
| Net budget authority and outlays:                                                         |             |           |           |
| 89.00 Budget authority .....                                                              | 12          |           |           |
| 90.00 Outlays .....                                                                       | 7           |           |           |

Federal agencies make payments to this account for the water and sewer services provided by the District.

REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 20-0144-0-1-806                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |           |           |
| 1150 Direct loan levels .....                               | 147         | 379       |           |
| Direct loan subsidy (in percent):                           |             |           |           |
| 1320 Subsidy rate .....                                     | 0.00        | 0.00      |           |
| Direct loan subsidy budget authority:                       |             |           |           |
| 1330 Subsidy budget authority .....                         |             |           |           |
| Direct loan subsidy outlays:                                |             |           |           |
| 1340 Subsidy outlays .....                                  |             |           |           |

REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 20-4561-0-3-806                        | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                           |             |           |           |
| 00.01 Repayable advances to the District of Columbia ..... | 147         | 379       |           |
| 00.02 Interest to Treasury .....                           |             | 2         | 17        |
| 10.00 Total obligations .....                              | 147         | 381       | 17        |
| Budgetary resources available for obligation:              |             |           |           |
| 22.00 New financing authority (gross) .....                | 147         | 381       | 17        |
| 23.95 New obligations .....                                | -147        | -381      | -17       |
| New financing authority (gross), detail:                   |             |           |           |
| 67.10 Authority to borrow .....                            | 147         | 379       |           |

**General and special funds—Continued**

**REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA DIRECT LOAN FINANCING ACCOUNT—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 20-4561-0-3-806                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Spending authority from offsetting collections:                     |             |           |           |
| 68.00 Offsetting collections (cash) .....                           |             | 149       | 396       |
| 68.47 Portion applied to debt reduction .....                       |             | -147      | -379      |
| 68.90 Spending authority from offsetting collections (total) .....  |             | 2         | 17        |
| 70.00 Total new financing authority (gross) .....                   | 147         | 381       | 17        |
| Change in unpaid obligations:                                       |             |           |           |
| 73.10 New obligations .....                                         | 147         | 381       | 17        |
| 73.20 Total financing disbursements (gross) .....                   | -147        | -381      | -17       |
| Outlays (gross), detail:                                            |             |           |           |
| 87.00 Total financing disbursements (gross) .....                   | 147         | 381       | 17        |
| Offsets:                                                            |             |           |           |
| Against gross financing authority and financing disbursements:      |             |           |           |
| 88.40 Offsetting collections (cash) from: Non-Federal sources ..... |             | -149      | -396      |
| Net financing authority and financing disbursements:                |             |           |           |
| 89.00 Financing authority .....                                     | 147         | 232       | -379      |
| 90.00 Financing disbursements .....                                 | 147         | 232       | -379      |

Status of Direct Loans (in millions of dollars)

| Identification code 20-4561-0-3-806                                    | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |           |           |
| 1111 Limitation on direct loans .....                                  |             |           |           |
| 1131 Direct loan obligations exempt from limitation .....              | 147         | 379       |           |
| 1150 Total direct loan obligations .....                               | 147         | 379       |           |
| Cumulative balance of direct loans outstanding:                        |             |           |           |
| 1210 Outstanding, start of year .....                                  |             | 147       | 379       |
| 1231 Disbursements: Direct loan disbursements .....                    | 147         | 379       |           |
| 1251 Repayments: Repayments and prepayments .....                      |             | -147      | -379      |
| 1290 Outstanding, end of year .....                                    | 147         | 379       |           |

Temporary advances are made by the U.S. Treasury to the District of Columbia to meet short-term cash requirements, resulting from variations in the rate of disbursements and tax collections during the year (Sec. 47-3401, D.C. Code, as amended). Such advances are required to be repaid with the Federal payment for the following fiscal year. The schedule above details the status of these advances on September 30, 1996.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|                                                                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------------------|-------------|-----------|-----------|
| Offsetting receipts from the public:                                        |             |           |           |
| 20-295000 Repayment of loans and advances to the District of Columbia ..... | 12          | 12        | 12        |
| General Fund Offsetting receipts from the public .....                      | 12          | 12        | 12        |

**GENERAL PROVISIONS<sup>1</sup>**

*SEC. 101. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection,*

*except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.*

*SEC. 102. Except as otherwise provided in this Act, all vouchers covering expenditures of appropriations contained in this Act shall be audited before payment by the designated certifying official and the vouchers as approved shall be paid by checks issued by the designated disbursing official.*

*SEC. 103. Whenever in this Act, an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.*

*SEC. 104. Appropriations in this Act shall be available, when authorized by the Mayor, for allowances for privately-owned automobiles and motorcycles used for the performance of official duties at rates established by the Mayor: Provided, That such rates shall not exceed the maximum prevailing rates for such vehicles as prescribed in the Federal Property Management Regulations 101-7 (Federal Travel Regulations).*

*SEC. 105. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned with the work of the District of Columbia government, when authorized by the Mayor: Provided, That the Council of the District of Columbia and the District of Columbia Courts may expend such funds without authorization by the Mayor.*

*SEC. 106. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of judgments that have been entered against the District of Columbia government: Provided, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947 (D.C. Code, sec. 47-1812.11(c)(3)).*

*SEC. 107. Appropriations in this Act shall be available for the payment of public assistance without reference to the requirement of section 544 of the District of Columbia Public Assistance Act of 1982, effective April 6, 1982 (D.C. Law 4-101; D.C. Code, sec. 3-205.44), and for the non-Federal share of funds necessary to qualify for Federal assistance under the Juvenile Delinquency Prevention and Control Act of 1968, approved July 31, 1968 (42 U.S.C. 3801 et seq.).*

*SEC. 108. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.*

*SEC. 109. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.*

*SEC. 110. The annual budget for the District of Columbia government for the fiscal year ending September 30, 1998, shall be transmitted to the Congress no later than April 15, 1997.*

*SEC. 111. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, salary, past work experience, and salary history are not available for inspection by the House and Senate Committees on Appropriations, the Subcommittee on the District of Columbia of the House Committee on Government Reform and Oversight, the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative: Provided, That none of the funds contained in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name and salary are not available for public inspection.*

*SEC. 112. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making payments authorized by the District of Columbia Revenue Recovery Act of 1977, effective September 23, 1977 (D.C. Law 2-20; D.C. Code, sec. 47-421 et seq.).*

*SEC. 113. No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.*

*SEC. 114. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: Provided, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Colum-*

bia and the Congress the actual borrowings and spending progress compared with projections.

SEC. 115. The Mayor shall not borrow any funds for capital projects unless the Mayor has obtained prior approval from the Council of the District of Columbia, by resolution, identifying the projects and amounts to be financed with such borrowings.

SEC. 116. The Mayor shall not expend any moneys borrowed for capital projects for the operating expenses of the District of Columbia government.

SEC. 117. None of the funds appropriated by this Act may be obligated or expended by reprogramming except pursuant to advance notice of the reprogramming transmitted to the House and Senate Committees on Appropriations, and in accordance with the Reprogramming Policy Act of 1980, effective September 16, 1980 (D.C. Law 3-100; D.C. Code, sec. 47-361 et seq.).

SEC. 118. None of the Federal funds provided in this Act shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of the District of Columbia.

SEC. 119. None of the Federal funds provided in this Act shall be obligated or expended to procure passenger automobiles as defined in the Automobile Fuel Efficiency Act of 1980 (15 U.S.C. 2001(2)), with an Environmental Protection Agency estimated miles per gallon average of less than 22 miles per gallon: Provided, That this section shall not apply to security, emergency rescue, or armored vehicles.

SEC. 120. (a) Notwithstanding section 422(7) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 1-242(7)), the City Administrator shall be paid, during any fiscal year, a salary at a rate established by the Mayor, not to exceed the rate established for level IV of the Executive Schedule under 5 U.S.C. 5315.

(b) For purposes of applying any provision of law limiting the availability of funds for payment of salary or pay in any fiscal year, the highest rate of pay established by the Mayor under subsection (a) of this section for any position for any period during the last quarter of calendar year 1996 shall be deemed to be the rate of pay payable for that position for September 30, 1996.

(c) Notwithstanding section 4(a) of the District of Columbia Redevelopment Act of 1945 (D.C. Code, sec. 5-803(a)), the Board of Directors of the District of Columbia Redevelopment Land Agency shall be paid, during any fiscal year, per diem compensation at a rate established by the Mayor.

SEC. 121. Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Code, sec. 1-601.1 et seq.), enacted pursuant to section 422(3) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 1-242(3)), shall apply with respect to the compensation of District of Columbia employees: Provided, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5 of the United States Code.

SEC. 122. The Director of the Department of Administrative Services may pay rentals and repair, alter, and improve rented premises, without regard to the provisions of section 322 of the Economy Act of 1932 (40 U.S.C. 278a), upon a determination by the Director, that by reason of circumstances set forth in such determination, the payment of these rents and the execution of this work, without reference to the limitations of section 322, is advantageous to the District in terms of economy, efficiency, and the District's best interest.

SEC. 123. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, 1997, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year 1997 revenue estimates as of the end of the first quarter of fiscal year 1997. These estimates shall be used in the budget request for the fiscal year ending September 30, 1998. The officially revised estimates at midyear shall be used for the midyear report.

SEC. 124. Section 466(b) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 47-326), as amended, is amended by striking "sold before October 1, 1996" and inserting "sold before October 1, 1997".

SEC. 125. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985 (D.C. Code, sec. 1-1183.3), except that the District of Columbia

Public Schools may renew or extend sole source contracts for which competition is not feasible or practical, provided that the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated Board of Education rules and procedures.

SEC. 126. (a) For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177), as amended, the term "program, project, and activity" shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to the aggregate total of those accounts: Provided, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by such Act.

(b) In the event a sequestration order is issued pursuant to such Act after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: Provided, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act are not specifically exempted from sequestration by such Act.

SEC. 127. For the fiscal year ending September 30, 1997, the District of Columbia shall pay interest on its quarterly payments to the United States that are made more than 60 days from the date of receipt of an itemized statement from the Federal Bureau of Prisons of amounts due for housing District of Columbia convicts in Federal penitentiaries for the preceding quarter.

SEC. 128. Nothing in this Act shall be construed to authorize any office, agency or entity to expend funds for programs or functions for which a reorganization plan is required but has not been approved by the Council pursuant to section 422(12) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973 (D.C. Code, sec. 1-242(12)) and the Governmental Reorganization Procedures Act of 1981 (D.C. Code, secs. 1-299.1 to 1-299.7). Appropriations made by this Act for such programs or functions are conditioned on the approval by the Council, prior to October 1, 1996, of the required reorganization plans.

SEC. 129. (a) An entity of the District of Columbia government may accept and use a gift or donation during fiscal year 1997 if—

(1) the Mayor approves the acceptance and use of the gift or donation: Provided, That the Council of the District of Columbia may accept and use gifts without prior approval by the Mayor; and

(2) the entity uses the gift or donation to carry out its authorized functions or duties.

(b) Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection (a) of this section, and shall make such records available for audit and public inspection.

(c) For the purposes of this section, the term "entity of the District of Columbia government" includes an independent agency of the District of Columbia.

(d) This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. 130. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representatives under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Code, sec. 1-113(d)).

SEC. 131. SENSE OF CONGRESS.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

<sup>1</sup> Although a full-year 1996 District of Columbia appropriations bill has not been enacted, certain provisions affecting the District of Columbia were enacted as part of various continuing resolutions. Section 407 of P.L. 104-92 pertains to the use of funds for abortions. The Administration proposes to delete this provision and will work with the Congress to address the issue of abortion funding.

**EQUAL EMPLOYMENT OPPORTUNITY  
COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Equal Employment Opportunity Commission as authorized by Title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621-634), the Americans with Disabilities Act, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; not to exceed \$27,500,000 for payments to State and Local enforcement agencies for services to the Commission pursuant to Title VII of the Civil Rights Act, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991, \$268,000,000: Provided, That unobligated and unexpended balances in the Equal Employment Opportunity Commission, "Salaries and Expenses" account at the end of fiscal year 1996, shall be merged and made a part of the fiscal year 1997 Equal Employment Opportunity Commission "Salaries and Expenses" appropriation, and shall remain available for obligation until September 30, 1998: Provided further, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$3,000 from available funds.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 45-0100-0-1-751                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 00.01 Executive direction and program support .....         | 20          | 19        | 23        |
| 00.02 Enforcement .....                                     | 187         | 187       | 217       |
| 00.03 State and local grants .....                          | 26          | 27        | 28        |
| 10.00 Total obligations .....                               | 233         | 233       | 268       |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 233         | 233       | 268       |
| 23.95 New obligations .....                                 | -233        | -233      | -268      |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 233         | 233       | 268       |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 74.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 32          | 31        | 32        |
| 73.10 New obligations .....                                 | 233         | 233       | 268       |
| 73.20 Total outlays (gross) .....                           | -234        | -232      | -267      |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 31          | 32        | 33        |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 209         | 205       | 236       |
| 86.93 Outlays from current balances .....                   | 25          | 27        | 31        |
| 87.00 Total outlays (gross) .....                           | 234         | 232       | 267       |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 233         | 233       | 268       |
| 90.00 Outlays .....                                         | 234         | 232       | 267       |

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or handicap status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

WORKFLOW ANALYSIS

|                                                                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Title VII:                                                                |             |           |           |
| Charges filed .....                                                       | 51,867      | 51,831    | 51,831    |
| Charges resolved .....                                                    | 54,464      | 42,060    | 47,170    |
| Age Discrimination in Employment Act: Includes Title VII/ADEA Concurrent: |             |           |           |
| Charges filed .....                                                       | 14,649      | 13,613    | 13,613    |
| Charges resolved .....                                                    | 17,033      | 12,837    | 14,396    |
| Equal Pay Act: Includes Title VII/EPA                                     |             |           |           |
| Charges filed .....                                                       | 1,101       | 1,051     | 1,051     |
| Charges resolved .....                                                    | 1,249       | 870       | 976       |
| Other combinations of the three statutes:                                 |             |           |           |
| Charges filed .....                                                       | 114         | 126       | 126       |
| Charges resolved .....                                                    | 128         | 138       | 155       |
| Americans with Disabilities Act:                                          |             |           |           |
| Charges filed .....                                                       | 19,798      | 20,908    | 20,908    |
| Charges resolved .....                                                    | 18,900      | 15,305    | 17,389    |

The budget for the agency supports three activities:

**Executive direction and program support.**—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency.

**Enforcement.**—This activity resolves charges of employment discrimination filed with the Commission and litigates to enforce compliance with title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991.

**State and local grants.**—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints.

Object Classification (in millions of dollars)

| Identification code 45-0100-0-1-751                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 131         | 126       | 141       |
| 11.3 Other than full-time permanent .....                       | 2           | 2         | 2         |
| 11.5 Other personnel compensation .....                         | 1           | 7         | 8         |
| 11.9 Total personnel compensation .....                         | 134         | 135       | 151       |
| 12.1 Civilian personnel benefits .....                          | 27          | 27        | 30        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2         | 4         |
| 23.1 Rental payments to GSA .....                               | 22          | 23        | 23        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 5         | 5         |
| 25.2 Other services .....                                       | 10          | 10        | 17        |
| 26.0 Supplies and materials .....                               | 3           | 2         | 3         |
| 31.0 Equipment .....                                            | 4           | 2         | 7         |
| 41.0 Grants, subsidies, and contributions .....                 | 26          | 27        | 28        |
| 99.9 Total obligations .....                                    | 233         | 233       | 268       |

Personnel Summary

| Identification code 45-0100-0-1-751                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 1001 Full-time equivalent employment .....                    | 2,813       | 2,813     | 3,022     |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 4           | 4         | 4         |

**Public enterprise funds:**

**EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING  
REVOLVING FUND**

Program and Financing (in millions of dollars)

| Identification code 45-4019-0-4-751                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                        |             |           |           |
| 10.00 Total obligations (object class 99.5) .....              | 1           | 1         | 1         |
| <b>Budgetary resources available for obligation:</b>           |             |           |           |
| 21.40 Unobligated balance available, start of year:            |             |           |           |
| Uninvested balance .....                                       | 2           | 2         | 2         |
| 22.00 New budget authority (gross) .....                       |             |           |           |
| 23.90 Total budgetary resources available for obligation ..... | 2           | 2         | 2         |
| 23.95 New obligations .....                                    | -1          | -1        | -1        |
| 24.40 Unobligated balance available, end of year:              |             |           |           |
| Uninvested balance .....                                       | 2           | 2         | 2         |

|                                             |                                                               |    |    |
|---------------------------------------------|---------------------------------------------------------------|----|----|
| New budget authority (gross), detail:       |                                                               |    |    |
| 68.00                                       | Spending authority from offsetting collections (gross):       |    |    |
|                                             | Offsetting collections (cash) .....                           | 1  | 1  |
| <hr/>                                       |                                                               |    |    |
| Change in unpaid obligations:               |                                                               |    |    |
| 73.10                                       | New obligations .....                                         | 1  | 1  |
| 73.20                                       | Total outlays (gross) .....                                   |    |    |
| <hr/>                                       |                                                               |    |    |
| Outlays (gross), detail:                    |                                                               |    |    |
| 86.97                                       | Outlays from new permanent authority .....                    | 1  | 1  |
| 87.00                                       | Total outlays (gross) .....                                   |    |    |
| <hr/>                                       |                                                               |    |    |
| Offsets:                                    |                                                               |    |    |
| Against gross budget authority and outlays: |                                                               |    |    |
| 88.40                                       | Offsetting collections (cash) from: Non-Federal sources ..... | -1 | -1 |
| <hr/>                                       |                                                               |    |    |
| Net budget authority and outlays:           |                                                               |    |    |
| 89.00                                       | Budget authority .....                                        | -1 | -1 |
| 90.00                                       | Outlays .....                                                 | -1 | -1 |

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

**EXPORT-IMPORT BANK OF THE UNITED STATES**

**Federal Funds**

**Credit accounts:**

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country other than a nuclear-weapon State as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act that has detonated a nuclear explosive after the date of enactment of this Act.

SUBSIDY APPROPRIATION

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, [\$786,551,000] \$736,551,000 to remain available until September 30, [1997] 1998: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such sums shall remain available until [2010] 2012 for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years [1996] 1997 and [1997] 1998: *Provided further*, That up to [\$100,000,000] \$50,000,000 of funds appropriated by this paragraph shall remain available until expended and may be used for tied-aid grant purposes: *Provided further*, That none of the funds appropriated by this paragraph may be used for tied-aid credits or grants except through the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export-Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State, or any agency or national thereof.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs (to be computed on an accrual basis), including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$20,000 for official reception and representation expenses for members of the Board of Directors, [\$45,614,000] \$47,614,000: *Provided*, That necessary expenses (in-

cluding special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading: *Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, [1996] 1997. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1996.*)

Unavailable Collections (in millions of dollars)

| Identification code 83-0100-0-1-155 | 1995 actual                                                              | 1996 est. | 1997 est. |
|-------------------------------------|--------------------------------------------------------------------------|-----------|-----------|
| Balance, start of year:             |                                                                          |           |           |
| 01.99                               | Balance, start of year .....                                             | 139       | 253       |
| Receipts:                           |                                                                          |           |           |
| 02.01                               | Export-Import Bank direct loans, negative subsidies .....                | 39        | 59        |
| 02.02                               | Export-Import Bank direct loans, downward reestimates of subsidies ..... | 164       | 193       |
| 02.99                               | Total receipts .....                                                     | 203       | 252       |
| 04.00                               | Total: Balances and collections .....                                    | 342       | 505       |
| Appropriation:                      |                                                                          |           |           |
| 05.01                               | Export-Import Bank direct loans program account .....                    | -89       | -244      |
| 07.99                               | Total balance, end of year .....                                         | 253       | 261       |

Program and Financing (in millions of dollars)

| Identification code 83-0100-0-1-155           | 1995 actual                                                         | 1996 est. | 1997 est. |
|-----------------------------------------------|---------------------------------------------------------------------|-----------|-----------|
| Obligations by program activity:              |                                                                     |           |           |
| 00.01                                         | Direct loan subsidy .....                                           | 90        | 48        |
| 00.02                                         | Guaranteed loan subsidy .....                                       | 537       | 779       |
| 00.06                                         | Direct grants .....                                                 | 7         | 100       |
| 00.07                                         | Upward reestimates of subsidy .....                                 | 89        | 244       |
| 00.08                                         | Loan modifications .....                                            | 41        | 10        |
| 00.09                                         | Administrative expenses .....                                       | 45        | 46        |
| 10.00                                         | Total obligations .....                                             | 809       | 1,227     |
| Budgetary resources available for obligation: |                                                                     |           |           |
| 21.40                                         | Unobligated balance available, start of year:                       |           |           |
|                                               | Uninvested balance .....                                            | 172       | 415       |
| 22.00                                         | New budget authority (gross) .....                                  | 921       | 1,076     |
| 22.10                                         | Resources available from recoveries of prior year obligations ..... | 131       |           |
| 23.90                                         | Total budgetary resources available for obligation .....            | 1,224     | 1,491     |
| 23.95                                         | New obligations .....                                               | -809      | -1,227    |
| 24.40                                         | Unobligated balance available, end of year:                         |           |           |
|                                               | Uninvested balance .....                                            | 415       | 264       |
| New budget authority (gross), detail:         |                                                                     |           |           |
| Current:                                      |                                                                     |           |           |
| 40.00                                         | Appropriation .....                                                 | 832       | 832       |
| Permanent:                                    |                                                                     |           |           |
| 60.25                                         | Appropriation (special fund, indefinite) .....                      | 89        | 244       |
| 70.00                                         | Total new budget authority (gross) .....                            | 921       | 1,076     |
| Change in unpaid obligations:                 |                                                                     |           |           |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance:               |           |           |
|                                               | Appropriation .....                                                 | 1,620     | 1,944     |
| 73.10                                         | New obligations .....                                               | 809       | 1,227     |
| 73.20                                         | Total outlays (gross) .....                                         | -354      | -705      |
| 73.45                                         | Adjustments in unexpired accounts .....                             | -131      |           |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:                 |           |           |
|                                               | Appropriation .....                                                 | 1,944     | 2,466     |
| Outlays (gross), detail:                      |                                                                     |           |           |
| 86.90                                         | Outlays from new current authority .....                            | 76        | 145       |
| 86.93                                         | Outlays from current balances .....                                 | 189       | 316       |
| 86.97                                         | Outlays from new permanent authority .....                          | 89        | 244       |
| 87.00                                         | Total outlays (gross) .....                                         | 354       | 705       |
| Net budget authority and outlays:             |                                                                     |           |           |
| 89.00                                         | Budget authority .....                                              | 921       | 1,076     |

**Credit accounts—Continued****EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued****ADMINISTRATIVE EXPENSES—Continued**

## Program and Financing (in millions of dollars)—Continued

| Identification code 83-0100-0-1-155                                                              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| 90.00 Outlays .....                                                                              | 354         | 705       | 556       |
| Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars) |             |           |           |
| Identification code 83-0100-0-1-155                                                              | 1995 actual | 1996 est. | 1997 est. |
| Direct loan levels supportable by subsidy budget authority:                                      |             |           |           |
| 1150 Direct loans .....                                                                          | 1,591       | 2,855     | 3,311     |
| 1150 Direct grants .....                                                                         | 7           | 100       | 85        |
| 1159 Total direct loan levels .....                                                              | 1,598       | 2,955     | 3,396     |
| Direct loan subsidy (in percent):                                                                |             |           |           |
| 1320 Direct loans .....                                                                          | 5.66        | 1.68      | 1.54      |
| 1320 Direct grants .....                                                                         | 100.00      | 100.00    | 100.00    |
| 1329 Weighted average subsidy rate .....                                                         | 6.07        | 5.01      | 4.00      |
| Direct loan subsidy budget authority:                                                            |             |           |           |
| 1330 Direct loans .....                                                                          | 90          | 48        | 51        |
| 1330 Direct grants .....                                                                         | 7           | 100       | 85        |
| 1339 Total subsidy budget authority .....                                                        | 97          | 148       | 136       |
| Direct loan subsidy outlays:                                                                     |             |           |           |
| 1340 Direct loans .....                                                                          | 45          | 46        | 47        |
| 1340 Direct grants .....                                                                         | 4           | 11        | 25        |
| 1349 Total subsidy outlays .....                                                                 | 49          | 57        | 72        |
| Guaranteed loan levels supportable by subsidy budget authority:                                  |             |           |           |
| 2150 Loan guarantees .....                                                                       | 10,267      | 13,781    | 14,294    |
| 2159 Total loan guarantee levels .....                                                           | 10,267      | 13,781    | 14,294    |
| Guaranteed loan subsidy (in percent):                                                            |             |           |           |
| 2320 Guaranteed Loans .....                                                                      | 5.62        | 5.73      | 4.45      |
| 2329 Weighted average subsidy rate .....                                                         | 5.62        | 5.73      | 4.45      |
| Guaranteed loan subsidy budget authority:                                                        |             |           |           |
| 2330 Subsidy budget authority .....                                                              | 578         | 789       | 636       |
| 2339 Total subsidy budget authority .....                                                        | 578         | 789       | 636       |
| Guaranteed loan subsidy outlays:                                                                 |             |           |           |
| 2340 Subsidy outlays .....                                                                       | 260         | 358       | 436       |
| 2349 Total subsidy outlays .....                                                                 | 260         | 358       | 436       |
| Administrative expense data:                                                                     |             |           |           |
| 3510 Budget authority .....                                                                      | 45          | 46        | 48        |
| 3590 Outlays .....                                                                               | 45          | 46        | 48        |

The purpose of the Export-Import Bank (Eximbank) is to aid in financing and promoting U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet foreign officially sponsored export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on an accrual basis.

## Object Classification (in millions of dollars)

| Identification code 83-0100-0-1-155                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent .....          | 26          | 27        | 29        |
| 12.1 Civilian personnel benefits .....                          | 5           | 6         | 6         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                               | 3           | 3         | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 1         | 1         |
| 25.2 Other services .....                                       | 5           | 6         | 4         |
| 26.0 Supplies and materials .....                               | 1           | 1         | 1         |
| 31.0 Equipment .....                                            | 1           | 1         | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 764         | 1,181     | 771       |
| 99.5 Below reporting threshold .....                            | 1           |           | 1         |
| 99.9 Total obligations .....                                    | 809         | 1,227     | 819       |

## Personnel Summary

| Identification code 83-0100-0-1-155                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 448         | 436       | 436       |

**DEBT REDUCTION FINANCING ACCOUNT**

## Program and Financing (in millions of dollars)

| Identification code 83-4028-0-3-155                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                          |             |           |           |
| 00.01 Payment to liquidating account .....                                                |             | 64        | 30        |
| 00.02 Interest on Treasury borrowing .....                                                |             | 3         | 4         |
| 10.00 Total obligations .....                                                             |             | 67        | 34        |
| Budgetary resources available for obligation:                                             |             |           |           |
| 22.00 New financing authority (gross) .....                                               |             | 67        | 34        |
| 23.95 New obligations .....                                                               |             | -67       | -34       |
| New financing authority (gross), detail:                                                  |             |           |           |
| 67.15 Authority to borrow (indefinite) .....                                              |             | 54        | 18        |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... |             | 14        | 16        |
| 70.00 Total new financing authority (gross) .....                                         |             | 67        | 34        |
| Change in unpaid obligations:                                                             |             |           |           |
| 73.10 New obligations .....                                                               |             | 67        | 34        |
| 73.20 Total financing disbursements (gross) .....                                         |             | -67       | -34       |
| Outlays (gross), detail:                                                                  |             |           |           |
| 87.00 Total financing disbursements (gross) .....                                         |             | 67        | 34        |
| Offsets:                                                                                  |             |           |           |
| Against gross financing authority and financing disbursements:                            |             |           |           |
| Offsetting collections (cash) from:                                                       |             |           |           |
| 88.00 Federal sources .....                                                               |             | -11       | -13       |
| 88.40 Non-Federal sources .....                                                           |             | -3        | -3        |
| 88.90 Total, offsetting collections (cash) .....                                          |             | -14       | -16       |
| Net financing authority and financing disbursements:                                      |             |           |           |
| 89.00 Financing authority .....                                                           |             | 53        | 18        |
| 90.00 Financing disbursements .....                                                       |             | 53        | 18        |

## Status of Direct Loans (in millions of dollars)

| Identification code 83-4028-0-3-155                                           | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding:                               |             |           |           |
| 1210 Outstanding, start of year .....                                         |             |           | 64        |
| 1233 Disbursements: Purchase of loans assets from a liquidating account ..... |             | 64        | 30        |
| 1290 Outstanding, end of year .....                                           |             | 64        | 94        |

## Balance Sheet (in millions of dollars)

| Identification code 83-4028-0-3-155                               | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                    |             |             |           |           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |           |           |
| 1401 Direct loans receivable, gross .....                         |             |             | 64        | 94        |
| 1405 Allowance for subsidy cost (-) .....                         |             |             | -10       | -23       |
| 1499 Net present value of assets related to direct loans .....    |             |             | 54        | 71        |
| 1999 Total assets .....                                           |             |             | 54        | 71        |
| <b>LIABILITIES:</b>                                               |             |             |           |           |
| 2103 Federal liabilities: Debt .....                              |             |             | 54        | 72        |
| 2999 Total liabilities .....                                      |             |             | 54        | 72        |
| 4999 Total liabilities and net position .....                     |             |             | 54        | 72        |

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

## Object Classification (in millions of dollars)

| Identification code 83-4028-0-3-155             | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| 41.0 Grants, subsidies, and contributions ..... |             | 64        | 30        |
| 43.0 Interest and dividends .....               |             | 3         | 4         |
| 99.9 Total obligations .....                    |             | 67        | 34        |

## EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 83-4161-0-3-155                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                   |             |           |           |
| 00.01 Direct loans .....                                                  | 1,598       | 2,955     | 3,396     |
| 00.02 Interest on Treasury borrowing .....                                | 183         | 163       | 174       |
| 00.05 Payment to negative subsidy receipt account .....                   | 18          | 35        | 35        |
| 00.06 Payment to downward reestimate receipt account .....                | 139         | 31        |           |
| 10.00 Total obligations .....                                             | 1,938       | 3,184     | 3,605     |
| <b>Budgetary resources available for obligation:</b>                      |             |           |           |
| 22.00 New financing authority (gross) .....                               | 1,632       | 3,007     | 3,401     |
| 22.10 Resources available from recoveries of prior year obligations ..... | 306         | 177       | 204       |
| 23.90 Total budgetary resources available for obligation .....            | 1,938       | 3,184     | 3,605     |
| 23.95 New obligations .....                                               | -1,938      | -3,184    | -3,605    |
| <b>New financing authority (gross), detail:</b>                           |             |           |           |
| 67.15 Authority to borrow (indefinite) .....                              | 1,296       | 2,126     | 2,424     |
| <b>Spending authority from offsetting collections:</b>                    |             |           |           |
| 68.00 Offsetting collections (cash) .....                                 | 276         | 732       | 841       |
| 68.10 Change in receivables from program account .....                    | 60          | 149       | 136       |
| 68.90 Spending authority from offsetting collections (total) .....        | 336         | 881       | 977       |
| 70.00 Total new financing authority (gross) .....                         | 1,632       | 3,007     | 3,401     |
| <b>Change in unpaid obligations:</b>                                      |             |           |           |
| <b>Unpaid obligations, start of year:</b>                                 |             |           |           |
| 72.90 Obligated balance: Fund balance .....                               | 4,313       | 5,212     | 6,681     |
| 72.95 Receivables from program account .....                              | 387         | 447       | 596       |
| 72.99 Total unpaid obligations, start of year .....                       | 4,700       | 5,659     | 7,277     |
| 73.10 New obligations .....                                               | 1,938       | 3,184     | 3,605     |
| 73.20 Total financing disbursements (gross) .....                         | -673        | -1,388    | -1,573    |
| 73.45 Adjustments in unexpired accounts .....                             | -306        | -177      | -204      |
| <b>Unpaid obligations, end of year:</b>                                   |             |           |           |
| 74.90 Obligated balance: Fund balance: Uninvested balance .....           | 5,212       | 6,681     | 8,373     |
| 74.95 Orders on hand from Federal sources .....                           | 447         | 596       | 732       |
| 74.99 Total unpaid obligations, end of year .....                         | 5,659       | 7,277     | 9,105     |

|                                                                       |       |       |       |
|-----------------------------------------------------------------------|-------|-------|-------|
| <b>Outlays (gross), detail:</b>                                       |       |       |       |
| 87.00 Total financing disbursements (gross) .....                     | 673   | 1,388 | 1,573 |
| <b>Offsets:</b>                                                       |       |       |       |
| <b>Against gross financing authority and financing disbursements:</b> |       |       |       |
| <b>Offsetting collections (cash) from:</b>                            |       |       |       |
| 88.00 Federal sources: payment from program account .....             | -96   | -148  | -136  |
| <b>Non-Federal sources:</b>                                           |       |       |       |
| 88.40 Repayments and prepayments .....                                | -93   | -332  | -351  |
| 88.40 Fees and interest on loans .....                                | -87   | -252  | -354  |
| 88.90 Total, offsetting collections (cash) .....                      | -276  | -732  | -841  |
| 88.95 Change in receivables from program accounts .....               | -60   | -149  | -136  |
| <b>Net financing authority and financing disbursements:</b>           |       |       |       |
| 89.00 Financing authority .....                                       | 1,296 | 2,126 | 2,424 |
| 90.00 Financing disbursements .....                                   | 397   | 656   | 732   |

## Status of Direct Loans (in millions of dollars)

| Identification code 83-4161-0-3-155                                           | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Position with respect to appropriations act limitation on obligations:</b> |             |           |           |
| 1111 Limitation on direct loans .....                                         |             |           |           |
| 1131 Direct loan obligations exempt from limitation .....                     | 1,598       | 2,955     | 3,396     |
| 1150 Total direct loan obligations .....                                      | 1,598       | 2,955     | 3,396     |
| <b>Cumulative balance of direct loans outstanding:</b>                        |             |           |           |
| 1210 Outstanding, start of year .....                                         | 827         | 1,407     | 2,463     |
| 1231 Disbursements: Direct loan disbursements .....                           | 673         | 1,388     | 1,573     |
| 1251 Repayments: Repayments and prepayments .....                             | -93         | -332      | -351      |
| 1290 Outstanding, end of year .....                                           | 1,407       | 2,463     | 3,685     |

Note.—The appropriations language for this program specifies a limitation that applies to direct and guaranteed loans in total.

## Balance Sheet (in millions of dollars)

| Identification code 83-4161-0-3-155                                                                                        | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                                                                             |             |             |           |           |
| <b>Federal assets:</b>                                                                                                     |             |             |           |           |
| 1101 Fund balances with Treasury .....                                                                                     | 628         |             |           |           |
| <b>Investments in US securities:</b>                                                                                       |             |             |           |           |
| 1102 Treasury securities, par .....                                                                                        | 395         | 1,401       | 1,429     | 1,458     |
| 1206 Non-Federal assets: Receivables, net .....                                                                            | 43          | 7           | 7         | 7         |
| <b>Net value of assets related to post-1991 direct loans receivable:</b>                                                   |             |             |           |           |
| 1401 Direct loans receivable, gross .....                                                                                  | 827         | 1,407       | 1,435     | 1,464     |
| 1402 Interest receivable .....                                                                                             | 14          | 28          | 29        | 30        |
| 1499 Net present value of assets related to direct loans .....                                                             | 841         | 1,435       | 1,464     | 1,494     |
| <b>Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:</b> |             |             |           |           |
| 1701 Defaulted guaranteed loans, gross .....                                                                               |             | 163         | 166       | 169       |
| 1703 Allowance for estimated uncollectible loans and interest (-) .....                                                    | -62         | -88         | -90       | -92       |
| 1799 Value of assets related to loan guarantees .....                                                                      | -62         | 75          | 76        | 77        |
| 1803 Other Federal assets: Property, plant and equipment, net .....                                                        | 10          | 7           | 7         | 7         |
| 1999 Total assets .....                                                                                                    | 1,855       | 2,925       | 2,983     | 3,043     |
| <b>LIABILITIES:</b>                                                                                                        |             |             |           |           |
| <b>Federal liabilities:</b>                                                                                                |             |             |           |           |
| 2102 Interest payable .....                                                                                                | 72          | 183         | 187       | 191       |
| 2103 Debt .....                                                                                                            | 1,153       | 2,665       | 2,718     | 2,772     |
| <b>Non-Federal liabilities:</b>                                                                                            |             |             |           |           |
| 2201 Accounts payable .....                                                                                                | 10          | 4           | 4         | 4         |
| 2207 Other .....                                                                                                           | 301         | 200         | 204       | 208       |
| 2999 Total liabilities .....                                                                                               | 1,536       | 3,052       | 3,113     | 3,175     |
| <b>NET POSITION:</b>                                                                                                       |             |             |           |           |
| 3100 Appropriated capital .....                                                                                            | 619         |             |           |           |
| 3300 Cumulative results of operations .....                                                                                | -300        | -127        | -130      | -132      |
| 3999 Total net position .....                                                                                              | 319         | -127        | -130      | -132      |
| 4999 Total liabilities and net position .....                                                                              | 1,855       | 2,925       | 2,983     | 3,043     |

**Credit accounts—Continued**

**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT—  
Continued**

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 1997.

**EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

| Identification code 83-4162-0-3-155                                                            | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                                        |             |           |           |
| 00.01 Guarantee claims                                                                         | 353         | 16        | 78        |
| 00.05 Payment to negative subsidy receipt account                                              | 21          | 23        | 24        |
| 00.06 Payment of downward reestimate to receipt account                                        | 25          | 160       |           |
| 10.00 Total obligations                                                                        | 399         | 199       | 102       |
| <b>Budgetary resources available for obligation:</b>                                           |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance                               | 1,233       | 1,444     | 1,966     |
| 22.00 New financing authority (gross)                                                          | 610         | 721       | 850       |
| 23.90 Total budgetary resources available for obligation                                       | 1,843       | 2,165     | 2,816     |
| 23.95 New obligations                                                                          | -399        | -199      | -102      |
| 24.90 Unobligated balance available, end of year: Fund balance                                 | 1,444       | 1,966     | 2,714     |
| <b>New financing authority (gross), detail:</b>                                                |             |           |           |
| 68.00 Spending authority from offsetting collections (gross):<br>Offsetting collections (cash) | 610         | 721       | 850       |
| <b>Change in unpaid obligations:</b>                                                           |             |           |           |
| 73.10 New obligations                                                                          | 399         | 199       | 102       |
| 73.20 Total financing disbursements (gross)                                                    | -399        | -199      | -102      |
| <b>Outlays (gross), detail:</b>                                                                |             |           |           |
| 87.00 Total financing disbursements (gross)                                                    | 399         | 199       | 102       |
| <b>Offsets:</b>                                                                                |             |           |           |
| Against gross financing authority and financing disbursements:                                 |             |           |           |
| Offsetting collections (cash) from:                                                            |             |           |           |
| 88.00 Payments from program account                                                            | -244        | -362      | -415      |
| 88.25 Interest on uninvested funds                                                             | -184        | -111      | -147      |
| 88.40 Fees and premiums                                                                        | -182        | -248      | -288      |
| 88.90 Total, offsetting collections (cash)                                                     | -610        | -721      | -850      |
| <b>Net financing authority and financing disbursements:</b>                                    |             |           |           |
| 89.00 Financing authority                                                                      |             |           |           |
| 90.00 Financing disbursements                                                                  | -211        | -522      | -748      |
| <b>Status of Guaranteed Loans (in millions of dollars)</b>                                     |             |           |           |
| Identification code 83-4162-0-3-155                                                            | 1995 actual | 1996 est. | 1997 est. |
| <b>Position with respect to appropriations act limitation on commitments:</b>                  |             |           |           |
| 2111 Limitation on guaranteed loans made by private lenders                                    |             |           |           |
| 2131 Guaranteed loan commitments exempt from limitation                                        | 10,267      | 13,781    | 14,294    |
| 2150 Total guaranteed loan commitments                                                         | 10,267      | 13,781    | 14,294    |
| <b>Cumulative balance of guaranteed loans outstanding:</b>                                     |             |           |           |
| 2210 Outstanding, start of year                                                                | 11,746      | 13,736    | 14,159    |
| 2231 Disbursements of new guaranteed loans                                                     | 7,854       | 8,455     | 9,618     |
| 2251 Repayments and prepayments                                                                | -5,511      | -8,016    | -9,217    |
| 2263 Adjustments: Terminations for default that result in claim payments                       | -353        | -16       | -78       |
| 2290 Outstanding, end of year                                                                  | 13,736      | 14,159    | 14,482    |

Memorandum:

|                                                                     |        |        |        |
|---------------------------------------------------------------------|--------|--------|--------|
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year | 13,736 | 14,159 | 14,482 |
|---------------------------------------------------------------------|--------|--------|--------|

Note.—The appropriations language for this program specifies a limitation that applies to direct and guaranteed loans in total.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 1997.

Balance Sheet (in millions of dollars)

| Identification code 83-4162-0-3-155       | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                            |             |             |           |           |
| Federal assets:                           |             |             |           |           |
| 1101 Fund balances with Treasury          | 1,183       |             |           |           |
| Investments in US securities:             |             |             |           |           |
| 1102 Treasury securities, par             | 866         | 1,202       | 1,226     | 1,251     |
| 1206 Non-Federal assets: Receivables, net | 167         | 606         | 618       | 630       |
| 1999 Total assets                         | 2,216       | 1,808       | 1,844     | 1,881     |
| <b>LIABILITIES:</b>                       |             |             |           |           |
| Non-Federal liabilities:                  |             |             |           |           |
| 2201 Accounts payable                     | 44          | 78          | 80        | 82        |
| 2204 Liabilities for loan guarantees      | 84          | 288         | 294       | 300       |
| 2207 Other                                | 977         | 1,094       | 1,116     | 1,138     |
| 2999 Total liabilities                    | 1,105       | 1,460       | 1,490     | 1,520     |
| <b>NET POSITION:</b>                      |             |             |           |           |
| 3100 Appropriated capital                 | 1,699       |             |           |           |
| 3300 Cumulative results of operations     | -589        | 348         | 354       | 361       |
| 3999 Total net position                   | 1,110       | 348         | 354       | 361       |
| 4999 Total liabilities and net position   | 2,215       | 1,808       | 1,844     | 1,881     |

**Public enterprise funds:**

**EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING  
ACCOUNT**

Program and Financing (in millions of dollars)

| Identification code 83-4027-0-3-155                                                 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                             |             |           |           |
| 00.01 Interest expense-Federal Financing Bank                                       | 285         | 179       | 130       |
| 00.02 Interest on advances under letters of credit and other expenses               | 27          | 12        | 9         |
| 00.06 Claim payments, gross                                                         | 629         | 259       | 226       |
| 00.08 Claim recoveries                                                              | -298        |           |           |
| 10.00 Total obligations                                                             | 643         | 450       | 365       |
| <b>Budgetary resources available for obligation:</b>                                |             |           |           |
| 21.47 Unobligated balance available, start of year: Authority to borrow             | 963         | 2,091     | 2,543     |
| 22.00 New budget authority (gross)                                                  | 1,312       | 1,602     | 1,009     |
| 22.10 Resources available from recoveries of prior year obligations                 | 460         | 50        |           |
| 22.60 Redemption of debt                                                            |             | -750      | -550      |
| 23.90 Total budgetary resources available for obligation                            | 2,735       | 2,993     | 3,002     |
| 23.95 New obligations                                                               | -643        | -450      | -365      |
| 24.47 Unobligated balance available, end of year: Authority to borrow               | 2,091       | 2,543     | 2,637     |
| <b>New budget authority (gross), detail:</b>                                        |             |           |           |
| 60.05 Appropriation (indefinite)                                                    | 1,273       |           |           |
| 60.47 Portion applied to debt reduction                                             | -1,001      |           |           |
| 60.48 Portion applied to liquidate deficiencies                                     | -272        |           |           |
| 63.00 Appropriation (total)                                                         |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 1,312       | 1,602     | 1,009     |
| 70.00 Total new budget authority (gross)                                            | 1,312       | 1,602     | 1,009     |

| Change in unpaid obligations:               |                                               |        |        |
|---------------------------------------------|-----------------------------------------------|--------|--------|
| Unpaid obligations, start of year:          |                                               |        |        |
| Obligated balance:                          |                                               |        |        |
| 72.47                                       | Authority to borrow .....                     | 385    | 571    |
| 72.90                                       | Treasury balance .....                        | 1,262  | 73     |
| 72.91                                       | U.S. Securities: Par value .....              | 57     | 135    |
|                                             |                                               |        | 544    |
| 72.99                                       | Total unpaid obligations, start of year ..... | 1,704  | 779    |
| 73.10                                       | New obligations .....                         | 643    | 450    |
| 73.20                                       | Total outlays (gross) .....                   | -1,108 | -590   |
| 73.45                                       | Adjustments in unexpired accounts .....       | -460   | -50    |
| Unpaid obligations, end of year:            |                                               |        |        |
| Obligated balance:                          |                                               |        |        |
| 74.47                                       | Authority to borrow .....                     | 571    |        |
| Fund balance:                               |                                               |        |        |
| 74.90                                       | Treasury balance .....                        | 73     | 45     |
| 74.91                                       | U.S. Securities: Par value .....              | 135    | 544    |
| 74.99                                       | Total unpaid obligations, end of year .....   | 779    | 589    |
|                                             |                                               |        | 454    |
| Outlays (gross), detail:                    |                                               |        |        |
| 86.98                                       | Outlays from permanent balances .....         | 1,108  | 590    |
| 87.00                                       | Total outlays (gross) .....                   | 1,108  | 590    |
|                                             |                                               |        | 500    |
| Offsets:                                    |                                               |        |        |
| Against gross budget authority and outlays: |                                               |        |        |
| Offsetting collections (cash) from:         |                                               |        |        |
| 88.00                                       | Federal sources .....                         | -64    | -30    |
| 88.20                                       | Interest on U.S. securities .....             | -6     | -9     |
| Non-Federal sources:                        |                                               |        |        |
| 88.40                                       | Loans repaid .....                            | -727   | -1,197 |
| 88.40                                       | Interest and fee revenue from loans .....     | -536   | -299   |
| 88.40                                       | Guarantee fees .....                          | -36    | -28    |
| 88.40                                       | Insurance premiums .....                      | -7     | -5     |
| 88.90                                       | Total, offsetting collections (cash) .....    | -1,312 | -1,602 |
|                                             |                                               |        | -1,009 |
| Net budget authority and outlays:           |                                               |        |        |
| 89.00                                       | Budget authority .....                        |        |        |
| 90.00                                       | Outlays .....                                 | -204   | -1,012 |

## Status of Direct Loans (in millions of dollars)

| Identification code 83-4027-0-3-155             | 1995 actual                                    | 1996 est. | 1997 est. |
|-------------------------------------------------|------------------------------------------------|-----------|-----------|
| Cumulative balance of direct loans outstanding: |                                                |           |           |
| 1210                                            | Outstanding, start of year .....               | 6,658     | 6,138     |
| 1231                                            | Disbursements: Direct loan disbursements ..... | 193       | 140       |
| 1251                                            | Repayments: Repayments and prepayments .....   | -668      | -1,261    |
|                                                 | Write-offs for default:                        |           |           |
| 1263                                            | Direct loans .....                             | -45       |           |
| 1264                                            | Other adjustments, net .....                   |           | -417      |
| 1290                                            | Outstanding, end of year .....                 | 6,138     | 4,600     |
|                                                 |                                                |           | 3,771     |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 83-4027-0-3-155                 | 1995 actual                                                          | 1996 est. | 1997 est. |
|-----------------------------------------------------|----------------------------------------------------------------------|-----------|-----------|
| Cumulative balance of guaranteed loans outstanding: |                                                                      |           |           |
| 2210                                                | Outstanding, start of year .....                                     | 5,020     | 4,010     |
| 2231                                                | Disbursements of new guaranteed loans .....                          | 288       | 300       |
| 2251                                                | Repayments and prepayments .....                                     | -1,298    | -1,493    |
| 2290                                                | Outstanding, end of year .....                                       | 4,010     | 2,817     |
|                                                     |                                                                      |           | 1,904     |
| Memorandum:                                         |                                                                      |           |           |
| 2299                                                | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 4,010     | 2,817     |
|                                                     |                                                                      |           | 1,904     |

## DATA ON DIRECT LOANS

[In millions of dollars]

|                                                    | 1995 actual | 1996 estimate | 1997 estimate |
|----------------------------------------------------|-------------|---------------|---------------|
| Undisbursed loan authorizations, end of year ..... | 5,041       | 6,690         | 8,593         |
| Credit authorizations .....                        | 1,598       | 2,855         | 3,312         |
| Credit cancellations .....                         | 464         | 492           | 654           |
| Loan disbursements .....                           | 838         | 713           | 756           |
| Capitalized interest .....                         | 58          | 59            | 60            |
| Loan principal repayments .....                    | 613         | 625           | 636           |
| Loan write-offs .....                              | 45          | 11            |               |
| Loans outstanding, end of year .....               | 7,627       | 7,764         | 7,945         |

## DATA ON GUARANTEES

[In millions of dollars]

|                                        | 1995 actual | 1996 estimate | 1997 estimate |
|----------------------------------------|-------------|---------------|---------------|
| Undisbursed balance, end of year ..... | 12,017      | 14,457        | 16,578        |
| Authorizations .....                   | 5,712       | 8,661         | 8,886         |
| Cancellations .....                    | 1,959       | 2,403         | 2,891         |
| Shipments .....                        | 4,069       | 3,817         | 3,874         |
| Principal repayments .....             | 3,290       | 3,564         | 3,616         |
| Outstanding balance, end of year ..... | 17,150      | 17,404        | 17,662        |

## DATA ON INSURANCE

[In millions of dollars]

|                                        | 1995 actual | 1996 estimate | 1997 estimate |
|----------------------------------------|-------------|---------------|---------------|
| Undisbursed balance, end of year ..... | 6,213       | 5,691         | 6,160         |
| Authorizations .....                   | 4,555       | 4,970         | 5,500         |
| Cancellations .....                    | 1,613       | 1,864         | 1,707         |
| Shipments .....                        | 4,073       | 3,629         | 3,323         |
| Principal repayments .....             | 3,872       | 3,679         | 3,404         |
| Outstanding balance, end of year ..... | 1,134       | 1,084         | 1,003         |

## DATA ON GRANT PORTION OF TIED-AID CREDIT

[In millions of dollars]

|                                        | 1995 actual | 1996 estimate | 1997 estimate |
|----------------------------------------|-------------|---------------|---------------|
| Grant portion of tied-aid credit ..... | 7           | 100           | 85            |
| Estimated outlays .....                | 4           | 11            | 25            |

Note.—The Export Enhancement Act of 1992 eliminated the fractional reserve.

## POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY

[In millions of dollars]

|                                          | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------|-------------|-------------|-----------|-----------|
| Statutory authority .....                | 75,000      | 75,000      | 75,000    | 75,000    |
| Charges against authority:               |             |             |           |           |
| Loan program:                            |             |             |           |           |
| Loans outstanding .....                  | 7,485       | 7,627       | 7,764     | 7,945     |
| Loans undisbursed .....                  | 4,754       | 5,041       | 6,690     | 8,593     |
| Rescheduled claims .....                 | 1,875       | 1,939       | 2,006     | 2,074     |
| Subtotal .....                           | 14,114      | 14,607      | 16,460    | 18,612    |
| Export guarantees and insurance program: |             |             |           |           |
| Export Credit Insurance .....            | 12,610      | 7,347       | 6,774     | 7,163     |
| Export Credit Guarantees .....           | 29,167      | 29,168      | 31,862    | 34,240    |
| Subtotal .....                           | 41,777      | 36,515      | 38,636    | 41,402    |
| Total charges against authority .....    | 55,891      | 51,122      | 55,096    | 60,015    |
| Unused authority .....                   | 19,109      | 23,878      | 19,904    | 14,985    |

*Operating results and financial condition.*—The Bank is a wholly owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury. In addition, the Bank is authorized to borrow from the Treasury up to \$6 billion. The Bank pays interest on such borrowings.

The Bank has a Reserve for Possible Credit Losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The Provision for Possible Credit Losses is based on the Bank's evaluation of the adequacy of the Reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the Reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Bank's net operating loss is estimated to be \$295 million in 1996, compared with a loss of \$1,318 million in 1995 and an operating loss of \$806 million in 1994. Total Govern-

**Public enterprise funds—Continued**

**EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING  
ACCOUNT—Continued**

ment equity in the corporation is estimated to be negative \$255 million on September 30, 1996.

Statement of Operations (in millions of dollars)

| Identification code 83-4027-0-3-155 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 489         | 586         | 700       | 850       |
| 0102 Expense .....                  | -1,051      | -1,924      | -1,500    | -1,200    |
| 0109 Net income .....               | -562        | -1,338      | -800      | -350      |

Balance Sheet (in millions of dollars)

| Identification code 83-4027-0-3-155                                                                                 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                                                                      |             |             |           |           |
| Federal assets:                                                                                                     |             |             |           |           |
| 1101 Fund balances with Treasury .....                                                                              | 119         | 94          | 73        | 50        |
| Investments in US securities:                                                                                       |             |             |           |           |
| 1102 Treasury securities, par .....                                                                                 | 57          | 135         | 150       | 150       |
| 1206 Non-Federal assets: Receivables, net .....                                                                     | 27          | 15          | 15        | 10        |
| Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |           |           |
| 1601 Direct loans, gross .....                                                                                      | 6,658       | 6,138       | 4,600     | 3,771     |
| 1602 Interest receivable .....                                                                                      | 40          | 42          | 35        | 30        |
| 1603 Allowance for estimated uncollectible loans and interest (-) .....                                             | -2,429      | -1,586      | -1,300    | -1,000    |
| 1699 Value of assets related to direct loans .....                                                                  | 4,269       | 4,594       | 3,335     | 2,801     |
| 1701 Defaulted guaranteed loans, gross .....                                                                        | 2,302       | 2,526       | 2,500     | 2,500     |
| 1702 Interest receivable .....                                                                                      | 20          | 17          | 20        | 20        |
| 1703 Allowance for estimated uncollectible loans and interest (-) .....                                             | -3,709      | -3,625      | -3,500    | -3,400    |
| 1704 Defaulted guaranteed loans and interest receivable, net .....                                                  | -1,387      | -1,082      | -980      | -880      |
| 1799 Value of assets related to loan guarantees .....                                                               | -1,387      | -1,082      | -980      | -880      |
| 1999 Total assets .....                                                                                             | 3,085       | 3,756       | 2,593     | 2,131     |
| <b>LIABILITIES:</b>                                                                                                 |             |             |           |           |
| Federal liabilities:                                                                                                |             |             |           |           |
| 2102 Interest payable .....                                                                                         | 32          | 20          | 15        | 10        |
| 2103 Debt .....                                                                                                     | 3,926       | 2,506       | 1,800     | 1,600     |
| Non-Federal liabilities:                                                                                            |             |             |           |           |
| 2201 Accounts payable .....                                                                                         |             |             | 1         | 1         |
| 2202 Interest payable .....                                                                                         | 1           | 7           | 1         | 1         |
| 2203 Debt .....                                                                                                     | 45          | 280         | 200       | 150       |
| 2204 Liabilities for loan guarantees .....                                                                          | 290         | 204         | 150       | 110       |
| 2207 Other .....                                                                                                    | 1,038       | 3,353       | 3,000     | 2,500     |
| 2999 Total liabilities .....                                                                                        | 5,332       | 6,370       | 5,167     | 4,372     |
| <b>NET POSITION:</b>                                                                                                |             |             |           |           |
| 3100 Appropriated capital .....                                                                                     | 119         | 282         | 200       | 150       |
| 3200 Invested capital .....                                                                                         | 1,000       | 1,000       | 1,000     | 1,000     |
| 3300 Cumulative results of operations .....                                                                         | -3,367      | -3,896      | -3,774    | -3,391    |
| 3999 Total net position .....                                                                                       | -2,248      | -2,614      | -2,574    | -2,241    |
| 4999 Total liabilities and net position .....                                                                       | 3,084       | 3,756       | 2,593     | 2,131     |

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Object Classification (in millions of dollars)

| Identification code 83-4027-0-3-155 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| 33.0 Investments and loans .....    | 331         | 259       | 226       |
| 43.0 Interest and dividends .....   | 312         | 191       | 139       |
| 99.9 Total obligations .....        | 643         | 450       | 365       |

**FARM CREDIT ADMINISTRATION**

**Federal Funds**

**Public enterprise funds:**

**[ADMINISTRATIVE PROVISION]**

**[SEC. 601. (a)** For purposes of the administration of chapter 89 of title 5, United States Code, any period of enrollment under a health benefits plan administered by the Farm Credit Administration prior to the effective date of this Act shall be deemed to be a period of enrollment in a health benefits plan under chapter 89 of such title.]

**[(b)(1)** An individual who, on September 30, 1995, is covered by a health benefits plan administered by the Farm Credit Administration may enroll in an approved health benefits plan described under section 8903 or 8903a of title 5, United States Code—

(A) either as an individual or for self and family, if such individual is an employee, annuitant, or former spouse as defined under section 8901 of such title; and

(B) for coverage effective on and after September 30, 1995.

**(2)** An individual who, on September 30, 1995, is entitled to continued coverage under a health benefits plan administered by the Farm Credit Administration—

(A) shall be deemed to be entitled to continued coverage under section 8905a of title 5, United States Code, for the same period that would have been permitted under the plan administered by the Farm Credit Administration; and

(B) may enroll in an approved health benefits plan described under sections 8903 or 8903a of such title in accordance with section 8905A of such title for coverage effective on and after September 30, 1995.

**(3)** An individual who, on September 30, 1995, is covered as an unmarried dependent child under a health benefits plan administered by the Farm Credit Administration and who is not a member of family as defined under section 8901(5) of title 5, United States Code—

(A) shall be deemed to be entitled to continued coverage under section 8905a of such title as though the individual had, on September 30, 1995, ceased to meet the requirements for being considered an unmarried dependent child under chapter 89 of such title; and

(B) may enroll in an approved health benefits plan described under section 8903 or 8903a of such title in accordance with section 8905a for continued coverage on and after September 30, 1995.]

**[(c)** The Farm Credit Administration shall transfer to the Federal Employees Health Benefits Fund established under section 8909 of title 5, United States Code, amounts determined by the Director of the Office of Personnel Management, after consultation with the Farm Credit Administration, to be necessary to reimburse the Fund for the cost of providing benefits under this section not otherwise paid for by the individuals covered by this section. The amount so transferred shall be held in the Fund and used by the Office in addition to the amounts available under section 8906(g)(1) of such title.]

**[(d)** The Office of Personnel Management—

(1) shall administer the provisions of this section to provide for—  
(A) a period of notice and open enrollment for individuals affected by this section; and

(B) no lapse of health coverage for individuals who enroll in a health benefits plan under chapter 89 of title 5, United States Code, in accordance with this section; and

(2) may prescribe regulations to implement this section.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1996.*)

**ADMINISTRATIVE EXPENSES**

**Program and Financing (in millions of dollars)**

| Identification code 78-4131-0-3-351           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |             |           |           |
| 00.01 Direct program .....                    | 39          | 38        | 38        |
| 00.03 Refunds to Clients .....                | 3           |           |           |
| 10.00 Total obligations .....                 | 42          | 38        | 38        |
| Budgetary resources available for obligation: |             |           |           |
| Unobligated balance available, start of year: |             |           |           |
| 21.90 Fund balance .....                      | 1           |           |           |
| 21.91 U.S. Securities: Par value .....        | 4           | 4         | 4         |

|                                             |                                                                              |     |     |     |
|---------------------------------------------|------------------------------------------------------------------------------|-----|-----|-----|
| 21.99                                       | Total unobligated balance, start of year .....                               | 5   | 4   | 4   |
| 22.00                                       | New budget authority (gross) .....                                           | 41  | 38  | 38  |
| 23.90                                       | Total budgetary resources available for obligation .....                     | 46  | 42  | 42  |
| 23.95                                       | New obligations .....                                                        | -42 | -38 | -38 |
| 24.91                                       | Unobligated balance available, end of year: U.S. Securities: Par value ..... | 4   | 4   | 4   |
| New budget authority (gross), detail:       |                                                                              |     |     |     |
| 68.00                                       | Spending authority from offsetting collections (gross):                      |     |     |     |
|                                             | Offsetting collections (cash) .....                                          | 41  | 38  | 38  |
| Change in unpaid obligations:               |                                                                              |     |     |     |
| Unpaid obligations, start of year:          |                                                                              |     |     |     |
| Obligated balance:                          |                                                                              |     |     |     |
| 72.40                                       | Appropriation .....                                                          | 1   | 2   | 2   |
| 72.91                                       | U.S. Securities: Par value .....                                             | 9   | 9   | 9   |
| 72.99                                       | Total unpaid obligations, start of year .....                                | 10  | 11  | 11  |
| 73.10                                       | New obligations .....                                                        | 42  | 38  | 38  |
| 73.20                                       | Total outlays (gross) .....                                                  | -40 | -38 | -38 |
| Unpaid obligations, end of year:            |                                                                              |     |     |     |
| Obligated balance:                          |                                                                              |     |     |     |
| 74.40                                       | Appropriation .....                                                          | 2   | 2   | 2   |
| 74.91                                       | U.S. Securities: Par value .....                                             | 9   | 9   | 9   |
| 74.99                                       | Total unpaid obligations, end of year .....                                  | 11  | 11  | 11  |
| Outlays (gross), detail:                    |                                                                              |     |     |     |
| 86.97                                       | Outlays from new permanent authority .....                                   | 40  | 38  | 38  |
| 87.00                                       | Total outlays (gross) .....                                                  | 40  | 38  | 38  |
| Offsets:                                    |                                                                              |     |     |     |
| Against gross budget authority and outlays: |                                                                              |     |     |     |
| Offsetting collections (cash) from:         |                                                                              |     |     |     |
| 88.40                                       | Non-Federal sources .....                                                    | -41 | -38 | -38 |
| 88.90                                       | Total, offsetting collections (cash) .....                                   | -41 | -38 | -38 |
| Net budget authority and outlays:           |                                                                              |     |     |     |
| 89.00                                       | Budget authority .....                                                       |     |     |     |
| 90.00                                       | Outlays .....                                                                | -1  |     |     |

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System for safety and soundness. The Farm Credit System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Beginning in 1990, the FCA also performs annual examinations of the Federal Agriculture Mortgage Corporation.

As of August 1, 1995, the Farm Credit System is comprised of six Farm Credit Banks, one Agricultural Credit Bank, one regional bank for cooperatives, 230 associations, five service corporations, and three institutions, including the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank and bank for cooperatives lend to eligible borrowers nationwide.

Assessments based upon estimated administrative expenses are collected from institutions in the Farm Credit System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

Balance Sheet (in millions of dollars)

| Identification code 78-4131-0-3-351 | 1994 actual                           | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|---------------------------------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                      |                                       |             |           |           |
| Federal assets:                     |                                       |             |           |           |
| 1101                                | Fund balances with Treasury .....     | 1           | 2         | 2         |
| 1102                                | Investments in US securities:         |             |           |           |
|                                     | Treasury securities, par .....        | 13          | 13        | 10        |
| 1207                                | Non-Federal assets: Advances and pre- |             |           |           |
|                                     | payments .....                        | 1           | 1         | 1         |
| 1803                                | Other Federal assets: Property, plant |             |           |           |
|                                     | and equipment, net .....              | 1           |           |           |
| 1999                                | Total assets .....                    | 16          | 16        | 13        |

|                      |                                                 |    |    |    |
|----------------------|-------------------------------------------------|----|----|----|
| <b>LIABILITIES:</b>  |                                                 |    |    |    |
| 2101                 | Federal liabilities: Accounts payable .....     | 3  |    |    |
| 2201                 | Non-Federal liabilities: Accounts payable ..... | 9  | 12 | 12 |
| 2999                 | Total liabilities .....                         | 9  | 15 | 12 |
| <b>NET POSITION:</b> |                                                 |    |    |    |
| 3100                 | Appropriated capital .....                      | 7  | 1  | 1  |
| 3999                 | Total net position .....                        | 7  | 1  | 1  |
| 4999                 | Total liabilities and net position .....        | 16 | 16 | 13 |

Object Classification (in millions of dollars)

| Identification code 78-4131-0-3-351 | 1995 actual                                                | 1996 est. | 1997 est. |    |
|-------------------------------------|------------------------------------------------------------|-----------|-----------|----|
| Personnel compensation:             |                                                            |           |           |    |
| 11.1                                | Full-time permanent .....                                  | 22        | 24        | 23 |
| 11.3                                | Other than full-time permanent .....                       | 1         |           |    |
| 11.5                                | Other personnel compensation .....                         | 2         | 2         | 2  |
| 11.9                                | Total personnel compensation .....                         | 25        | 26        | 25 |
| 12.1                                | Civilian personnel benefits .....                          | 6         | 5         | 5  |
| 13.0                                | Benefits for former personnel .....                        | 3         |           | 1  |
| 21.0                                | Travel and transportation of persons .....                 | 2         | 2         | 2  |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... |           | 1         | 1  |
| 25.2                                | Other services .....                                       | 1         | 2         | 2  |
| 26.0                                | Supplies and materials .....                               | 1         | 1         |    |
| 31.0                                | Equipment .....                                            | 1         |           | 1  |
| 44.0                                | Refunds .....                                              | 3         |           |    |
| 99.5                                | Below reporting threshold .....                            |           | 1         | 1  |
| 99.9                                | Total obligations .....                                    | 42        | 38        | 38 |

Personnel Summary

| Identification code 78-4131-0-3-351 | 1995 actual                                              | 1996 est. | 1997 est. |     |
|-------------------------------------|----------------------------------------------------------|-----------|-----------|-----|
| Total compensable workyears:        |                                                          |           |           |     |
| 1001                                | Full-time equivalent employment .....                    | 392       | 383       | 356 |
| 1005                                | Full-time equivalent of overtime and holiday hours ..... | 1         | 1         | 1   |

**FARM CREDIT SYSTEM FINANCIAL ASSISTANCE CORPORATION**

FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND

Program and Financing (in millions of dollars)

| Identification code 78-4134-0-3-351           | 1995 actual                                              | 1996 est. | 1997 est. |      |
|-----------------------------------------------|----------------------------------------------------------|-----------|-----------|------|
| Obligations by program activity:              |                                                          |           |           |      |
| 00.02                                         | Interest expenses .....                                  | 117       | 117       | 117  |
| 10.00                                         | Total obligations (object class 43.0) .....              | 117       | 117       | 117  |
| Budgetary resources available for obligation: |                                                          |           |           |      |
| Unobligated balance available, start of year: |                                                          |           |           |      |
| 21.90                                         | Fund balance .....                                       |           |           |      |
| 21.91                                         | U.S. Securities: Par value .....                         | 320       | 376       | 459  |
| 21.99                                         | Total unobligated balance, start of year .....           | 320       | 376       | 459  |
| 22.00                                         | New budget authority (gross) .....                       | 173       | 200       | 194  |
| 23.90                                         | Total budgetary resources available for obligation ..... | 493       | 576       | 653  |
| 23.95                                         | New obligations .....                                    | -117      | -117      | -117 |
| Unobligated balance available, end of year:   |                                                          |           |           |      |
| 24.90                                         | Fund balance .....                                       |           |           |      |
| 24.91                                         | U.S. Securities: Par value .....                         | 376       | 459       | 536  |
| 24.99                                         | Total unobligated balance, end of year .....             | 376       | 459       | 536  |
| New budget authority (gross), detail:         |                                                          |           |           |      |
| 68.00                                         | Spending authority from offsetting collections (gross):  |           |           |      |
|                                               | Offsetting collections (cash) .....                      | 173       | 200       | 194  |
| Change in unpaid obligations:                 |                                                          |           |           |      |
| 73.10                                         | New obligations .....                                    | 117       | 117       | 117  |
| 73.20                                         | Total outlays (gross) .....                              | -117      | -117      | -117 |
| Outlays (gross), detail:                      |                                                          |           |           |      |
| 86.97                                         | Outlays from new permanent authority .....               | 117       | 117       | 117  |
| 87.00                                         | Total outlays (gross) .....                              | 117       | 117       | 117  |

FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 78-4134-0-3-351              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| <b>Offsets:</b>                                  |             |           |           |
| Against gross budget authority and outlays:      |             |           |           |
| Offsetting collections (cash) from:              |             |           |           |
| 88.00 Federal sources .....                      | -71         | -46       | -45       |
| 88.40 Non-Federal sources .....                  | -102        | -154      | -149      |
| 88.90 Total, offsetting collections (cash) ..... | -173        | -200      | -194      |
| <b>Net budget authority and outlays:</b>         |             |           |           |
| 89.00 Budget authority .....                     |             |           |           |
| 90.00 Outlays .....                              | -56         | -83       | -77       |

Status of Direct Loans (in millions of dollars)

| Identification code 78-4134-0-3-351                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| <b>Cumulative balance of direct loans outstanding:</b> |             |           |           |
| 1210 Outstanding, start of year .....                  | 1,058       | 1,010     | 969       |
| 1251 Repayments: Repayments and prepayments .....      | -48         | -41       | -42       |
| 1290 Outstanding, end of year .....                    | 1,010       | 969       | 927       |

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to Farm Credit System institutions experiencing financial difficulties. The FAC was re-classified from a Government-sponsored enterprise to a federal entity beginning in 1993, when most of the private capital in FAC, provided by the Farm Credit System, was rebated from the FAC Trust Fund, pursuant to the 1989 Reconciliation and Agriculture Appropriations Acts. Authority for FAC to issue obligations and provide assistance expired in 1992, after \$1.26 billion in FAC debt had been issued.

Except for debt issued for Capital Preservation cash-outs, the U.S. Treasury pays all the interest on 15-year, uncollateralized FAC obligations in the first five years, and up to half the interest in the second five years. The system is responsible for a greater share of the interest payment in the second five years if retained earnings exceed five percent of assets. FAC estimates that the system will pay 80 percent of the fiscal year 1996 expense and 87 percent of the fiscal year 1997 expense. The Farm Credit System is required to eventually reimburse Treasury for these payments, and will redeem FAC debt upon maturity or call.

Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC Trust Fund holds and rebates the private capital contributed by the Farm Credit System. Remaining amounts in the Trust Fund are available to cover System defaults on FAC principal and interest payments.

**Trust Funds**

FINANCIAL ASSISTANCE CORPORATION TRUST FUND

Unavailable Collections (in millions of dollars)

| Identification code 78-8202-0-7-351                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| <b>Balance, start of year:</b>                          |             |           |           |
| 01.99 Balance, start of year .....                      |             |           |           |
| <b>Receipts:</b>                                        |             |           |           |
| 02.01 Financial Assistance Corporation Trust Fund ..... | 5           | 5         | 6         |
| <b>Appropriation:</b>                                   |             |           |           |
| 05.01 Financial assistance corporation trust fund ..... | -5          | -5        | -6        |
| 07.99 Total balance, end of year .....                  |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 78-8202-0-7-351                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Budgetary resources available for obligation:</b>                                 |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 77          | 82        | 87        |
| 22.00 New budget authority (gross) .....                                             | 5           | 5         | 6         |
| 23.90 Total budgetary resources available for obligation .....                       | 82          | 87        | 93        |
| 23.95 New obligations .....                                                          |             |           |           |
| 24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....   | 82          | 87        | 93        |
| <b>New budget authority (gross), detail:</b>                                         |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....                                   | 5           | 5         | 6         |
| <b>Change in unpaid obligations:</b>                                                 |             |           |           |
| 73.10 New obligations .....                                                          |             |           |           |
| <b>Outlays (gross), detail:</b>                                                      |             |           |           |
| 87.00 Total outlays (gross) .....                                                    |             |           |           |
| <b>Net budget authority and outlays:</b>                                             |             |           |           |
| 89.00 Budget authority .....                                                         | 5           | 5         | 6         |
| 90.00 Outlays .....                                                                  |             |           |           |

**FARM CREDIT SYSTEM INSURANCE CORPORATION**

*Federal Funds*

**Public enterprise funds:**

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

| Identification code 78-4171-0-3-351                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                        |             |           |           |
| 00.01 Administrative operating expense .....                   | 1           | 1         | 2         |
| 10.00 Total obligations .....                                  | 1           | 1         | 2         |
| <b>Budgetary resources available for obligation:</b>           |             |           |           |
| Unobligated balance available, start of year:                  |             |           |           |
| U.S. Securities:                                               |             |           |           |
| 21.91 Par value .....                                          | 759         | 884       | 1,016     |
| 21.92 Unrealized discounts .....                               | -3          | -5        | -5        |
| 21.99 Total unobligated balance, start of year .....           | 756         | 879       | 1,011     |
| 22.00 New budget authority (gross) .....                       | 124         | 133       | 138       |
| 23.90 Total budgetary resources available for obligation ..... | 880         | 1,012     | 1,149     |
| 23.95 New obligations .....                                    | -1          | -1        | -2        |
| Unobligated balance available, end of year:                    |             |           |           |
| U.S. Securities:                                               |             |           |           |
| 24.91 Par value .....                                          | 884         | 1,016     | 1,152     |
| 24.92 Unrealized discounts .....                               | -5          | -5        | -5        |
| 24.99 Total unobligated balance, end of year .....             | 879         | 1,011     | 1,147     |
| <b>New budget authority (gross), detail:</b>                   |             |           |           |
| 68.00 Spending authority from offsetting collections (gross):  |             |           |           |
| Offsetting collections (cash) .....                            | 124         | 133       | 138       |
| <b>Change in unpaid obligations:</b>                           |             |           |           |
| 73.10 New obligations .....                                    | 1           | 1         | 2         |
| 73.20 Total outlays (gross) .....                              | -1          | -1        | -2        |
| <b>Outlays (gross), detail:</b>                                |             |           |           |
| 86.97 Outlays from new permanent authority .....               | 1           | 1         | 2         |
| 87.00 Total outlays (gross) .....                              | 1           | 1         | 2         |
| <b>Offsets:</b>                                                |             |           |           |
| Against gross budget authority and outlays:                    |             |           |           |
| Offsetting collections (cash) from:                            |             |           |           |
| 88.00 Federal sources .....                                    | -46         | -56       | -61       |
| 88.40 Non-Federal sources .....                                | -78         | -77       | -77       |
| 88.90 Total, offsetting collections (cash) .....               | -124        | -133      | -138      |

|                                   |                  |      |           |
|-----------------------------------|------------------|------|-----------|
| Net budget authority and outlays: |                  |      |           |
| 89.00                             | Budget authority |      |           |
| 90.00                             | Outlays          | -123 | -132 -136 |

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on Farm Credit System (System) debt obligations purchased by investors. The Corporation collects insurance premiums from insured System banks based on the level of accruing and non-accruing loans outstanding in each bank's loan portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Director to be actuarially sound to maintain the Insurance Fund.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation and satisfy defaults by system institutions on obligations issued by the Farm Credit System Financial Assistance Corporation after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Farm Credit System Reform Act of 1996 removed the legislative requirement for a full-time separate Board for the Insurance Corporation. Under this Act, members of the Farm Credit Administration Board will continue to also constitute the Board for the Insurance Corporation. The Administration supports a separate Board for the Insurance Corporation, economically structured and staffed, to provide the maximum level of independent decision-making to assure the safety and soundness of the insurance fund.

Statement of Operations (in millions of dollars)

| Identification code 78-4171-0-3-351 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue                        | 119         | 131         | 135       | 145       |
| 0102 Expense                        | 40          | 12          | -12       | -13       |
| 0109 Net income or loss (-)         | 159         | 143         | 123       | 132       |

Balance Sheet (in millions of dollars)

| Identification code 78-4171-0-3-351                     | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                          |             |             |           |           |
| Investments in US securities:                           |             |             |           |           |
| 1102 Federal assets: Treasury securities, par           | 790         | 915         | 1,046     | 1,185     |
| Non-Federal assets:                                     |             |             |           |           |
| Receivables, net:                                       |             |             |           |           |
| 1206 Accrued interest receivable                        | 12          | 16          | 16        | 18        |
| 1206 Premium receivable                                 | 56          | 57          | 57        | 57        |
| 1999 Total assets                                       | 858         | 988         | 1,119     | 1,260     |
| <b>LIABILITIES:</b>                                     |             |             |           |           |
| 2104 Federal liabilities: Resources payable to Treasury | 134         | 120         | 128       | 137       |
| 2999 Total liabilities                                  | 134         | 120         | 128       | 137       |
| <b>NET POSITION:</b>                                    |             |             |           |           |
| 3100 Appropriated capital                               | 724         | 868         | 991       | 1,123     |
| 3999 Total net position                                 | 724         | 868         | 991       | 1,123     |
| 4999 Total liabilities and net position                 | 858         | 988         | 1,119     | 1,260     |

Object Classification (in millions of dollars)

| Identification code 78-4171-0-3-351              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 1           | 1         | 1         |
| 25.2 Other services                              |             |           | 1         |
| 99.0 Subtotal, reimbursable obligations          | 1           | 1         | 2         |
| 99.9 Total obligations                           | 1           | 1         | 2         |

Personnel Summary

| Identification code 78-4171-0-3-351                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 2001 Total compensable workyears: Full-time equivalent employment | 9           | 10        | 10        |

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-02; not to exceed \$600,000 for land and structures; not to exceed \$500,000 for improvement and care of grounds and repair to buildings; not to exceed \$4,000 for official reception and representation expenses; purchase (not to exceed sixteen) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109; \$222,538,000, of which not to exceed \$300,000 shall remain available until September 30, 1998, for research and policy studies: Provided, That \$153,123,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall remain available until expended for necessary expenses in this appropriation: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 1997 so as to result in a final fiscal year 1997 appropriation from the General Fund estimated at \$70,015,000: Provided further, That any offsetting collections received in excess of \$153,123,000 in fiscal year 1997 shall remain available until expended, but shall not be available for obligation until October 1, 1997.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 27-0100-0-1-376                                         | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                     |             |           |           |
| Direct program:                                                             |             |           |           |
| 00.01 Authorization of service                                              | 46          | 41        | 45        |
| 00.02 Policy and rulemaking                                                 | 7           | 6         | 11        |
| 00.03 Enforcement                                                           | 11          | 8         | 10        |
| 00.04 Public information services                                           | 5           | 4         | 4         |
| 00.91 Total direct program                                                  | 69          | 59        | 70        |
| 01.01 Reimbursable program                                                  | 141         | 145       | 153       |
| 10.00 Total obligations                                                     | 210         | 204       | 223       |
| <b>Budgetary resources available for obligation:</b>                        |             |           |           |
| 21.40 Unobligated balance available, start of year:                         |             |           |           |
| Uninvested balance                                                          | 1           |           |           |
| 22.00 New budget authority (gross)                                          | 210         | 204       | 223       |
| 23.90 Total budgetary resources available for obligation                    | 211         | 204       | 223       |
| 23.95 New obligations                                                       | -210        | -204      | -223      |
| <b>New budget authority (gross), detail:</b>                                |             |           |           |
| Current:                                                                    |             |           |           |
| 40.00 Appropriation                                                         | 69          | 59        | 70        |
| Permanent:                                                                  |             |           |           |
| Spending authority from offsetting collections:                             |             |           |           |
| Offsetting collections (cash):                                              |             |           |           |
| 68.00 Spending authority from offsetting collections (reimbursable Federal) | 1           | 1         | 1         |
| 68.00 Spending authority from offsetting collections (regulatory fees)      | 140         | 144       | 152       |
| 68.90 Spending authority from offsetting collections (total)                | 141         | 145       | 153       |
| 70.00 Total new budget authority (gross)                                    | 210         | 204       | 223       |
| <b>Change in unpaid obligations:</b>                                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                 |             |           |           |
| Appropriation                                                               | 29          | 38        | 38        |
| 73.10 New obligations                                                       | 210         | 204       | 223       |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 27-0100-0-1-376                                              | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------------|-------------|-----------|-----------|
| 73.20 Total outlays (gross) .....                                                | -198        | -204      | -221      |
| 73.40 Adjustments in expired accounts .....                                      | -3          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:<br>Appropriation ..... | 38          | 38        | 41        |
| Outlays (gross), detail:                                                         |             |           |           |
| 86.90 Outlays from new current authority .....                                   | 54          | 55        | 66        |
| 86.93 Outlays from current balances .....                                        | 3           | 4         | 4         |
| 86.97 Outlays from new permanent authority .....                                 | 141         | 145       | 153       |
| 87.00 Total outlays (gross) .....                                                | 198         | 204       | 221       |
| Offsets:                                                                         |             |           |           |
| Against gross budget authority and outlays:                                      |             |           |           |
| Offsetting collections (cash) from:                                              |             |           |           |
| 88.00 Federal sources .....                                                      | -1          | -1        | -1        |
| 88.40 Non-Federal sources .....                                                  | -140        | -144      | -152      |
| 88.90 Total, offsetting collections (cash) .....                                 | -141        | -145      | -153      |
| Net budget authority and outlays:                                                |             |           |           |
| 89.00 Budget authority .....                                                     | 69          | 59        | 70        |
| 90.00 Outlays .....                                                              | 57          | 59        | 68        |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

|                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                               |             |           |           |
| Budget Authority .....                           | 69          | 59        | 70        |
| Outlays .....                                    | 57          | 59        | 70        |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 10        |           |
| Outlays .....                                    |             | 9         | 1         |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 69          | 69        | 70        |
| Outlays .....                                    | 57          | 68        | 71        |

**Authorization of Service.**—This activity includes the authorization or licensing of radio stations, telecommunications equipment and radio operators, as well as the authorization of common carrier and other services and facilities. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with authorization activities.

**Policy and Rule Making.**—This activity includes: formal inquiries, rule making proceedings to establish or amend the Federal Communications Commission's (FCC or Commission) rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers; economic studies and analyses; spectrum planning, modeling, propagation-interference analyses and allocation; and development of equipment standards. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with policy and rule making activities.

**Enforcement.**—This activity includes enforcement of the Commission's rules, regulations and authorizations, including investigations, inspections, compliance monitoring and sanctions of all types. Also included are the receipt and disposition of formal and informal complaints regarding common carrier rates and services, the review and acceptance/rejection of carrier tariffs, and the review, prescription and audit of carrier accounting practices. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

**Public Information Services.**—This activity includes: the publication and dissemination of Commission decisions and actions, and related activities; public reference and library services; the duplication and dissemination of Commission

records and databases; the receipt and disposition of public inquiries; consumer, small business and public assistance; and public affairs and media relations. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with public information activities.

**Object Classification (in millions of dollars)**

| Identification code 27-0100-0-1-376                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations:                                                 |             |           |           |
| Personnel compensation:                                             |             |           |           |
| 11.1 Full-time permanent .....                                      | 37          | 33        | 24        |
| 11.3 Other than full-time permanent .....                           | 2           | 2         | 1         |
| 11.5 Other personnel compensation .....                             | 4           | 3         | 2         |
| 11.9 Total personnel compensation .....                             | 43          | 38        | 27        |
| 12.1 Civilian personnel benefits .....                              | 8           | 7         | 5         |
| 21.0 Travel and transportation of persons .....                     | 1           | 1         |           |
| 23.1 Rental payments to GSA .....                                   | 6           | 5         | 6         |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 2           | 2         | 2         |
| 24.0 Printing and reproduction .....                                | 1           |           |           |
| 25.2 Other services .....                                           | 3           | 1         | 14        |
| 25.3 Purchases of goods and services from Government accounts ..... | 1           | 1         | 1         |
| 25.4 Operation and maintenance of facilities .....                  | 1           |           |           |
| 25.7 Operation and maintenance of equipment .....                   | 2           | 2         | 1         |
| 26.0 Supplies and materials .....                                   | 1           | 1         | 3         |
| 31.0 Equipment .....                                                | 1           | 1         | 11        |
| 99.0 Subtotal, direct obligations .....                             | 70          | 59        | 70        |
| 99.0 Reimbursable obligations .....                                 | 140         | 145       | 153       |
| 99.9 Total obligations .....                                        | 210         | 204       | 223       |

**Personnel Summary**

| Identification code 27-0100-0-1-376                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct:                                                                 |             |           |           |
| Total compensable workyears:                                            |             |           |           |
| 1001 Full-time equivalent employment .....                              | 532         | 510       | 502       |
| 1005 Full-time equivalent of overtime and holiday hours .....           | 18          | 12        | 12        |
| Reimbursable:                                                           |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 1,580       | 1,595     | 1,753     |

**UNIVERSAL SERVICE FUND**

Unavailable Collections (in millions of dollars)

| Identification code 27-5183-0-2-376    | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                |             |           |           |
| 01.99 Balance, start of year .....     |             |           |           |
| Receipts:                              |             |           |           |
| 02.01 Universal service fund .....     | 4,300       | 4,300     | 4,700     |
| Appropriation:                         |             |           |           |
| 05.01 Universal service fund .....     | -4,300      | -4,300    | -4,700    |
| 07.99 Total balance, end of year ..... |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 27-5183-0-2-376                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                     |             |           |           |
| 10.00 Total obligations .....                        | 4,300       | 4,300     | 4,700     |
| Budgetary resources available for obligation:        |             |           |           |
| 22.00 New budget authority (gross) .....             | 4,300       | 4,300     | 4,700     |
| 23.95 New obligations .....                          | -4,300      | -4,300    | -4,700    |
| New budget authority (gross), detail:                |             |           |           |
| 60.25 Appropriation (special fund, indefinite) ..... | 4,300       | 4,300     | 4,700     |
| Change in unpaid obligations:                        |             |           |           |
| 73.10 New obligations .....                          | 4,300       | 4,300     | 4,700     |
| 73.20 Total outlays (gross) .....                    | -4,300      | -4,300    | -4,700    |
| Outlays (gross), detail:                             |             |           |           |
| 86.97 Outlays from new permanent authority .....     | 4,300       | 4,300     | 4,700     |

|                                   |                             |       |       |       |
|-----------------------------------|-----------------------------|-------|-------|-------|
| 87.00                             | Total outlays (gross) ..... | 4,300 | 4,300 | 4,700 |
| Net budget authority and outlays: |                             |       |       |       |
| 89.00                             | Budget authority .....      | 4,300 | 4,300 | 4,700 |
| 90.00                             | Outlays .....               | 4,300 | 4,300 | 4,700 |

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, all interstate telecommunications carriers would be required to contribute funds, as prescribed by the FCC, to the preservation and advancement of universal service. The contributions would be used to provide and upgrade facilities and services, as prescribed by the FCC. Telecommunications carriers would receive credit towards their contribution by providing discount service to schools, libraries, and health care providers in rural areas.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|                                                        | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| Offsetting receipts from the public:                   |             |           |           |
| 27-242900 Fees for services .....                      | 51          | 40        | 40        |
| 27-247400 Auction receipts .....                       | 7,644       | 4,200     | 1,600     |
| Legislative proposal, subject to PAYGO .....           |             | 150       | 2,000     |
| General Fund Offsetting receipts from the public ..... | 7,695       | 4,390     | 3,640     |

## FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Corporation to use the least costly method to resolve failed banks and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.

The deposit insurance ceiling protection has been \$100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assessment rates for insured financial institutions semi-annually to build and maintain the reserves of the BIF and SAIF to 1.25 percent of total insured deposits.

## Federal Funds

## Public enterprise funds:

## BANK INSURANCE FUND

Program and Financing (in millions of dollars)

| Identification code 51-4064-0-3-373              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                 |             |           |           |
| Administrative expenses:                         |             |           |           |
| 00.01 Operating expenses .....                   | 442         | 435       | 373       |
| 00.02 Office of Inspector General Expenses ..... | 21          | 7         | 6         |
| 00.91 Subtotal, administrative expenses .....    | 463         | 442       | 379       |
| Capital investment:                              |             |           |           |
| 01.01 Purchase of assets .....                   | 1,227       | 521       | 338       |

|       |                                          |       |       |       |
|-------|------------------------------------------|-------|-------|-------|
| 01.02 | Case resolution losses .....             | 91    | 169   | 155   |
| 01.03 | Liquidation and insurance expenses ..... | 717   | 680   | 519   |
| 01.91 | Subtotal, capital investment .....       | 2,035 | 1,370 | 1,012 |
| 10.00 | Total obligations .....                  | 2,498 | 1,813 | 1,390 |

## Budgetary resources available for obligation:

|                                               |                                                          |        |        |        |
|-----------------------------------------------|----------------------------------------------------------|--------|--------|--------|
| Unobligated balance available, start of year: |                                                          |        |        |        |
| U.S. Securities:                              |                                                          |        |        |        |
| 21.91                                         | Par value .....                                          | 13,811 | 20,933 | 22,975 |
| 21.92                                         | Unrealized discounts .....                               |        | -246   | -149   |
| 21.99                                         | Total unobligated balance, start of year .....           | 13,811 | 20,687 | 22,826 |
| 22.00                                         | New budget authority (gross) .....                       | 9,375  | 3,951  | 3,093  |
| 23.90                                         | Total budgetary resources available for obligation ..... | 23,186 | 24,638 | 25,919 |
| 23.95                                         | New obligations .....                                    | -2,498 | -1,813 | -1,390 |
| Unobligated balance available, end of year:   |                                                          |        |        |        |
| U.S. Securities:                              |                                                          |        |        |        |
| 24.91                                         | Par value .....                                          | 20,933 | 22,975 | 24,528 |
| 24.92                                         | Unrealized discounts .....                               |        | -246   | -149   |
| 24.99                                         | Total unobligated balance, end of year .....             | 20,687 | 22,826 | 24,528 |

## New budget authority (gross), detail:

|       |                                                         |       |       |       |
|-------|---------------------------------------------------------|-------|-------|-------|
| 68.00 | Spending authority from offsetting collections (gross): |       |       |       |
|       | Offsetting collections (cash) .....                     | 9,375 | 3,951 | 3,093 |

## Change in unpaid obligations:

|       |                                                       |        |        |        |
|-------|-------------------------------------------------------|--------|--------|--------|
| 72.91 | Unpaid obligations, start of year: Obligated balance: |        |        |        |
|       | U.S. Securities: Par value .....                      | 44     | 84     | 84     |
| 73.10 | New obligations .....                                 | 2,498  | 1,813  | 1,390  |
| 73.20 | Total outlays (gross) .....                           | -2,459 | -1,813 | -1,390 |
| 74.91 | Unpaid obligations, end of year: Obligated balance:   |        |        |        |
|       | U.S. Securities: Par value .....                      | 84     | 84     | 84     |

## Outlays (gross), detail:

|       |                                            |       |       |       |
|-------|--------------------------------------------|-------|-------|-------|
| 86.97 | Outlays from new permanent authority ..... | 2,459 | 1,813 | 1,391 |
| 87.00 | Total outlays (gross) .....                | 2,459 | 1,813 | 1,390 |

## Offsets:

|                                             |                                            |        |        |        |
|---------------------------------------------|--------------------------------------------|--------|--------|--------|
| Against gross budget authority and outlays: |                                            |        |        |        |
| Offsetting collections (cash) from:         |                                            |        |        |        |
| 88.20                                       | Interest on U.S. securities .....          | -755   | -1,111 | -1,097 |
| Non-Federal sources:                        |                                            |        |        |        |
| 88.40                                       | Asset recoveries .....                     | -5,690 | -2,840 | -1,996 |
| 88.40                                       | Premium assessments .....                  | -2,915 |        |        |
| 88.40                                       | Other receipts .....                       | -15    |        |        |
| 88.90                                       | Total, offsetting collections (cash) ..... | -9,375 | -3,951 | -3,093 |

## Net budget authority and outlays:

|       |                        |        |        |        |
|-------|------------------------|--------|--------|--------|
| 89.00 | Budget authority ..... |        |        |        |
| 90.00 | Outlays .....          | -6,916 | -2,138 | -1,703 |

## Summary of Budget Authority and Outlays

(In millions of dollars)

| Enacted/requested:                          | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| Budget Authority .....                      |             |           |           |
| Outlays .....                               | -6,916      | -2,138    | -1,702    |
| Legislative proposal, not subject to PAYGO: |             |           |           |
| Budget Authority .....                      |             |           |           |
| Outlays .....                               |             |           | -248      |
| Legislative proposal, subject to PAYGO:     |             |           |           |
| Budget Authority .....                      |             |           |           |
| Outlays .....                               |             | 607       | 22        |
| Total:                                      |             |           |           |
| Budget Authority .....                      |             |           |           |
| Outlays .....                               | -6,916      | -1,531    | -1,928    |

## Status of Direct Loans (in millions of dollars)

| Identification code 51-4064-0-3-373             | 1995 actual                                  | 1996 est. | 1997 est. |     |
|-------------------------------------------------|----------------------------------------------|-----------|-----------|-----|
| Cumulative balance of direct loans outstanding: |                                              |           |           |     |
| 1210                                            | Outstanding, start of year .....             | 137       | 132       | 113 |
| 1251                                            | Repayments: Repayments and prepayments ..... | -5        | -20       |     |
| 1290                                            | Outstanding, end of year .....               | 132       | 113       | 113 |

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by in-

## Public enterprise funds—Continued

## BANK INSURANCE FUND—Continued

sured banks. The fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks and for the payment of administrative and insurance expenses. As of September 1995, BIF's fund balance totaled \$25.6 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to \$30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the \$30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

## Statement of Operations (in millions of dollars)

| Identification code 51-4064-0-3-373                            | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-------------|-----------|-----------|
| Revenue:                                                       |             |             |           |           |
| 0101 Interest on Treasury securities .....                     | 396         | 964         | 1,111     | 1,097     |
| 0101 Premium assessments .....                                 | 5,594       | 4,017       |           |           |
| 0101 Other .....                                               | 484         | 123         |           |           |
| Expense:                                                       |             |             |           |           |
| 0102 Administrative and operating expenses .....               | -402        | -443        | -442      | -379      |
| 0102 Interest and insurance expenses .....                     | -223        | -69         |           |           |
| 0102 Expenses incurred in protecting depositors in banks ..... | 394         | 322         | -169      | -155      |
| 0102 Other .....                                               | -3          | -23         | -11       |           |
| 0109 Net income or loss (-) .....                              | 6,240       | 4,891       | 489       | 563       |
| 0191 Total revenues .....                                      | 6,474       | 5,104       | 1,111     | 1,097     |
| 0192 Total expenses .....                                      | -234        | -213        | -622      | -534      |
| 0199 Net income or loss .....                                  | 6,240       | 4,891       | 489       | 563       |

## Balance Sheet (in millions of dollars)

| Identification code 51-4064-0-3-373                | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------|-------------|-------------|-----------|-----------|
| ASSETS:                                            |             |             |           |           |
| Federal assets:                                    |             |             |           |           |
| Investments in US securities:                      |             |             |           |           |
| 1102 Treasury securities, par .....                | 14,040      | 20,991      | 23,059    | 24,598    |
| 1106 Receivables, net .....                        | 233         | 375         | 249       | 249       |
| 1206 Non-Federal assets: Receivables, net .....    | 20          | 45          | 45        | 45        |
| Other Federal assets:                              |             |             |           |           |
| 1801 Cash and other monetary assets .....          | 14          | 8           | 8         | 8         |
| 1803 Property, plant and equipment, net .....      | 156         | 153         | 152       | 152       |
| 1901 Other assets .....                            | 8,499       | 5,506       | 3,589     | 2,613     |
| 1999 Total assets .....                            | 22,962      | 27,078      | 27,102    | 27,665    |
| LIABILITIES:                                       |             |             |           |           |
| Federal liabilities:                               |             |             |           |           |
| 2101 Accounts payable .....                        | 23          | 48          | 47        | 47        |
| 2104 Liabilities incurred in failed banks .....    | 316         | 124         | 246       | 246       |
| Non-Federal liabilities:                           |             |             |           |           |
| 2201 Accounts payable .....                        | 60          | 78          | 78        | 78        |
| 2206 Pension and other actuarial liabilities ..... | 354         | 375         | 375       | 375       |
| Other:                                             |             |             |           |           |
| 2207 Unearned revenue .....                        | 1,503       | 852         | 267       | 267       |
| 2207 Litigation losses .....                       | 13          | 19          | 19        | 19        |
| 2207 Other .....                                   | 1           |             |           |           |
| 2999 Total liabilities .....                       | 2,270       | 1,496       | 1,032     | 1,032     |
| NET POSITION: <sup>1</sup>                         |             |             |           |           |
| 3300 Cumulative results of operations .....        | 20,691      | 25,581      | 26,070    | 26,633    |
| 3999 Total net position .....                      | 20,691      | 25,581      | 26,070    | 26,633    |
| 4999 Total liabilities and net position .....      | 22,961      | 27,077      | 27,102    | 27,665    |

<sup>1</sup>Total net position does not include reserves for future bank resolution costs. The FDIC estimates reserves of \$479 million in 1995, \$349 million in 1996, and \$435 million in 1997.

## Object Classification (in millions of dollars)

| Identification code 51-4064-0-3-373                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent .....          | 455         | 406       | 348       |
| 12.1 Civilian personnel benefits .....                          | 199         | 128       | 108       |
| 13.0 Benefits for former personnel .....                        |             | 8         | 7         |
| 21.0 Travel and transportation of persons .....                 | 44          | 26        | 22        |
| 23.2 Rental payments to others .....                            | 19          | 30        | 26        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 10          |           |           |
| 24.0 Printing and reproduction .....                            | 1           |           |           |
| 25.2 Other services .....                                       | 18          | 84        | 72        |
| 26.0 Supplies and materials .....                               | 5           | 3         | 3         |
| 31.0 Equipment .....                                            | 13          | 13        | 11        |
| 32.0 Land and structures .....                                  | 6           | 4         | 3         |
| Undistributed:                                                  |             |           |           |
| 92.0 Miscellaneous and liquidation expenses .....               | 388         | 413       | 291       |
| 92.0 Undistributed resolution outlays .....                     | 1,318       | 691       | 492       |
| 92.0 Undistributed .....                                        | 21          | 7         | 6         |
| 99.5 Below reporting threshold .....                            | 1           |           | 1         |
| 99.9 Total obligations .....                                    | 2,498       | 1,813     | 1,390     |

## Personnel Summary

| Identification code 51-4064-0-3-373                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 9,486       | 8,161     | 6,398     |

## BANK INSURANCE FUND

## (Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 51-4064-2-3-373                                                | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                                      |             |           |           |
| 22.00 New budget authority (gross) .....                                           |             |           | 248       |
| 23.95 New obligations .....                                                        |             |           |           |
| 24.91 Unobligated balance available, end of year: U.S. Securities: Par value ..... |             |           | 248       |

## New budget authority (gross), detail:

|                                                               |  |  |     |
|---------------------------------------------------------------|--|--|-----|
| 68.00 Spending authority from offsetting collections (gross): |  |  |     |
| Offsetting collections (cash) .....                           |  |  | 248 |

## Change in unpaid obligations:

|                                   |  |  |  |
|-----------------------------------|--|--|--|
| 73.10 New obligations .....       |  |  |  |
| 73.20 Total outlays (gross) ..... |  |  |  |

## Outlays (gross), detail:

|                                   |  |  |  |
|-----------------------------------|--|--|--|
| 87.00 Total outlays (gross) ..... |  |  |  |
|-----------------------------------|--|--|--|

## Offsets:

|                                                                     |  |  |      |
|---------------------------------------------------------------------|--|--|------|
| Against gross budget authority and outlays:                         |  |  |      |
| 88.40 Offsetting collections (cash) from: Premium assessments ..... |  |  | -248 |

## Net budget authority and outlays:

|                              |  |  |      |
|------------------------------|--|--|------|
| 89.00 Budget authority ..... |  |  |      |
| 90.00 Outlays .....          |  |  | -248 |

The Administration has proposed that a one-time special assessment be imposed on SAIF-insured deposits to bring SAIF up to its statutory 1.25 percent reserve ratio. In addition, the Administration's proposal would spread responsibility for making interest payments on the Financing Corporation bonds across all FDIC-insured banks and thrifts. The proposal would also shift some deposits that are currently insured by SAIF to BIF, and require that FDIC rebate excess premium income if the BIF reserve ratio exceeds 1.25 percent. The proposal would merge SAIF with BIF in 1998, provided that additional legislative action to eliminate the Federal thrift charter has occurred. This proposal also has effects that are subject to PAYGO and included in the schedules below.

Statement of Operations (in millions of dollars)

| Identification code 51-4064-2-3-373        | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Premium assessments                   |             |             |           | 248       |
| 0102 Administrative and operating expenses |             |             |           |           |
| 0109 Net income or loss (-)                |             |             |           | 248       |
| 0191 Total revenues                        |             |             |           | 248       |
| 0192 Total expenses                        |             |             |           |           |
| 0199 Net income or loss                    |             |             |           | 248       |

Balance Sheet (in millions of dollars)

| Identification code 51-4064-2-3-373     | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                          |             |             |           |           |
| Federal assets:                         |             |             |           |           |
| Investments in US securities:           |             |             |           |           |
| 1102 Treasury securities, par           |             |             |           | 248       |
| 1106 Receivables, net                   |             |             |           |           |
| 1999 Total assets                       |             |             |           | 248       |
| <b>NET POSITION:</b>                    |             |             |           |           |
| 3300 Cumulative results of operations   |             |             |           | 248       |
| 3999 Total net position                 |             |             |           | 248       |
| 4999 Total liabilities and net position |             |             |           | 248       |

**BANK INSURANCE FUND**  
**(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

| Identification code 51-4064-4-3-373                                            | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                        |             |           |           |
| Administrative expenses:                                                       |             |           |           |
| 00.01 Insurance premium rebate                                                 |             | 592       |           |
| 10.00 Total obligations                                                        |             | 592       |           |
| <b>Budgetary resources available for obligation:</b>                           |             |           |           |
| 21.91 Unobligated balance available, start of year: U.S. Securities: Par value |             |           | -607      |
| 22.00 New budget authority (gross)                                             |             | -15       | -22       |
| 23.90 Total budgetary resources available for obligation                       |             | -15       | -629      |
| 23.95 New obligations                                                          |             | -592      |           |
| 24.91 Unobligated balance available, end of year: U.S. Securities: Par value   |             | -607      | -629      |
| <b>New budget authority (gross), detail:</b>                                   |             |           |           |
| Spending authority from offsetting collections:                                |             |           |           |
| Offsetting collections (cash):                                                 |             |           |           |
| 68.00 Interest on U.S. securities                                              |             | -15       | -22       |
| 68.00 Premium assessments                                                      |             |           | -75       |
| 68.00 Exam fees                                                                |             |           | 75        |
| 68.90 Spending authority from offsetting collections (total)                   |             | -15       | -22       |
| 70.00 Total new budget authority (gross)                                       |             | -15       | -22       |
| <b>Change in unpaid obligations:</b>                                           |             |           |           |
| 73.10 New obligations                                                          |             | 592       |           |
| 73.20 Total outlays (gross)                                                    |             | -592      |           |
| <b>Outlays (gross), detail:</b>                                                |             |           |           |
| 86.97 Outlays from new permanent authority                                     |             | 592       |           |
| 87.00 Total outlays (gross)                                                    |             | 592       |           |
| <b>Offsets:</b>                                                                |             |           |           |
| Against gross budget authority and outlays:                                    |             |           |           |
| Offsetting collections (cash) from:                                            |             |           |           |
| 88.20 Interest on U.S. securities                                              |             | 15        | 22        |
| Non-Federal sources:                                                           |             |           |           |
| 88.40 Premium assessments                                                      |             |           | 75        |
| 88.40 Exam fees                                                                |             |           | -75       |
| 88.90 Total, offsetting collections (cash)                                     |             | 15        | 22        |

Net budget authority and outlays:

|                        |  |     |  |    |
|------------------------|--|-----|--|----|
| 89.00 Budget authority |  |     |  |    |
| 90.00 Outlays          |  | 607 |  | 22 |

The Administration has also proposed that all bank-holding companies and FDIC-insured banks be required to pay fees to the appropriate Federal banking agency in amounts sufficient to defray the agency's cost of supervising such institutions. The appropriate Federal banking agency, in establishing fees for State banks, shall take into account the extent to which State bank supervision reduces the need for Federal supervision. Fees would not apply to State banks with assets of less than \$100 million. Currently, some financial institutions are not required to pay Federal fees for examinations. This proposal is intended to reduce the inequity among FDIC-insured banks. The schedules below also include the BIF/SAIF proposal effects, from above, that are subject to PAYGO.

Statement of Operations (in millions of dollars)

| Identification code 51-4064-4-3-373  | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-------------|-----------|-----------|
| <b>Revenue:</b>                      |             |             |           |           |
| 0101 Interest on Treasury securities |             |             | -15       | -22       |
| 0101 Premium assessments             |             |             | -592      | -75       |
| 0101 Exam fees                       |             |             |           | 75        |
| 0109 Net income or loss (-)          |             |             | -607      | -22       |
| 0191 Total revenues                  |             |             | -607      | -22       |
| 0192 Total expenses                  |             |             |           |           |
| 0199 Net income or loss              |             |             | -607      | -22       |

Balance Sheet (in millions of dollars)

| Identification code 51-4064-4-3-373     | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                          |             |             |           |           |
| Federal assets:                         |             |             |           |           |
| Investments in US securities:           |             |             |           |           |
| 1102 Treasury securities, par           |             |             | -592      |           |
| 1106 Receivables, net                   |             |             | -15       | -22       |
| 1999 Total assets                       |             |             | -607      | -22       |
| <b>NET POSITION:</b>                    |             |             |           |           |
| 3300 Cumulative results of operations   |             |             | -607      | -22       |
| 3999 Total net position                 |             |             | -607      | -22       |
| 4999 Total liabilities and net position |             |             | -607      | -22       |

**SAVINGS ASSOCIATION INSURANCE FUND**

Program and Financing (in millions of dollars)

| Identification code 51-4066-0-3-373                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| Administrative expenses:                             |             |           |           |
| 00.02 Operating expense                              | 18          | 101       | 101       |
| 00.03 OIG operating expense                          |             |           | 1         |
| 00.91 Subtotal, administrative expenses              | 18          | 101       | 102       |
| Capital investment:                                  |             |           |           |
| 01.02 Working capital disbursements                  |             | 196       | 392       |
| 01.03 Net case resolution expenses                   |             | 70        | 140       |
| 01.04 Liquidation expense and misc. disbursements    | 1           |           |           |
| 01.91 Subtotal, capital investment                   | 1           | 266       | 532       |
| 10.00 Total obligations                              | 19          | 367       | 634       |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| Unobligated balance available, start of year:        |             |           |           |
| U.S. Securities:                                     |             |           |           |
| 21.91 Par value                                      |             | 3,592     | 4,367     |
| 21.92 Unrealized discounts                           |             | -13       |           |
| 21.99 Total unobligated balance, start of year       |             | 2,263     | 3,574     |
| 22.00 New budget authority (gross)                   | 1,330       | 1,160     | 1,239     |

**Public enterprise funds—Continued**

**SAVINGS ASSOCIATION INSURANCE FUND—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 51-4066-0-3-373                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| 23.90 Total budgetary resources available for obligation      | 3,593       | 4,734     | 5,606     |
| 23.95 New obligations                                         | -19         | -367      | -634      |
| Unobligated balance available, end of year:                   |             |           |           |
| U.S. Securities:                                              |             |           |           |
| 24.91 Par value                                               | 3,592       | 4,367     | 4,973     |
| 24.92 Unrealized discounts                                    | -18         |           |           |
| 24.99 Total unobligated balance, end of year                  | 3,574       | 4,367     | 4,973     |
| New budget authority (gross), detail:                         |             |           |           |
| 68.00 Spending authority from offsetting collections (gross): |             |           |           |
| Offsetting collections (cash)                                 | 1,330       | 1,160     | 1,239     |
| Change in unpaid obligations:                                 |             |           |           |
| 72.91 Unpaid obligations, start of year: Obligated balance:   |             |           |           |
| U.S. Securities: Par value                                    | 217         | 7         | 7         |
| 73.10 New obligations                                         | 19          | 367       | 634       |
| 73.20 Total outlays (gross)                                   | -229        | -367      | -634      |
| 74.91 Unpaid obligations, end of year: Obligated balance:     |             |           |           |
| U.S. Securities: Par value                                    | 7           | 7         | 7         |
| Outlays (gross), detail:                                      |             |           |           |
| 86.98 Outlays from permanent balances                         | 229         | 367       | 634       |
| 87.00 Total outlays (gross)                                   | 229         | 367       | 634       |
| Offsets:                                                      |             |           |           |
| Against gross budget authority and outlays:                   |             |           |           |
| Offsetting collections (cash) from:                           |             |           |           |
| 88.20 Interest on U.S. securities                             | -131        | -196      | -210      |
| Non-Federal sources:                                          |             |           |           |
| 88.40 Asset recoveries                                        | -1          | -56       | -226      |
| 88.40 Premium assessments                                     | -958        | -909      | -804      |
| 88.40 Exit/entrance fees                                      | -23         |           |           |
| 88.40 Other collections                                       | -217        |           |           |
| 88.90 Total, offsetting collections (cash)                    | -1,330      | -1,160    | -1,239    |
| Net budget authority and outlays:                             |             |           |           |
| 89.00 Budget authority                                        |             |           |           |
| 90.00 Outlays                                                 | -1,101      | -793      | -606      |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

| Enacted/requested:                          | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| Budget Authority                            |             |           |           |
| Outlays                                     | -1,101      | -793      | -605      |
| Legislative proposal, not subject to PAYGO: |             |           |           |
| Budget Authority                            |             |           |           |
| Outlays                                     |             | -5,093    | 499       |
| Total:                                      |             |           |           |
| Budget Authority                            |             |           |           |
| Outlays                                     | -1,101      | -5,886    | -106      |

The SAIF insures depository institutions formerly insured by the FSLIC. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The RTC Completion Act of 1993 authorizes the Secretary of the Treasury to transfer excess RTC funds to SAIF to cover thrift insurance losses for a period of two years after termination of the RTC if the Chairperson of the FDIC certifies that losses cannot be paid through higher insurance premiums. In addition, the RTC Completion Act authorized the appropriation of up to \$8 billion to cover SAIF losses.

Statement of Operations (in millions of dollars)

| Identification code 51-4066-0-3-373 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| Revenue:                            |             |             |           |           |
| 0101 Income from U.S. Securities    | 66          | 148         | 196       | 209       |

|                                            |       |       |       |       |
|--------------------------------------------|-------|-------|-------|-------|
| 0101 Insurance Assessments                 | 1,089 | 1,132 | 909   | 804   |
| Expense:                                   |       |       |       |       |
| 0102 Insurance losses                      |       |       | -70   | -140  |
| 0102 Administrative and operating expenses | -12   | -18   | -101  | -102  |
| 0109 Net income or loss (-)                | 1,143 | 1,262 | 934   | 771   |
| 0191 Total revenues                        | 1,155 | 1,280 | 1,105 | 1,013 |
| 0192 Total expenses                        | -12   | -18   | -171  | -242  |
| 0199 Net income or loss                    | 1,143 | 1,262 | 934   | 771   |

Balance Sheet (in millions of dollars)

| Identification code 51-4066-0-3-373        | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------|-------------|-------------|-----------|-----------|
| ASSETS:                                    |             |             |           |           |
| Federal assets:                            |             |             |           |           |
| Investments in US securities:              |             |             |           |           |
| 1102 Treasury securities, par              | 2,484       | 3,598       | 4,375     | 4,981     |
| 1106 Receivables, net                      | 31          | 70          | 61        | 61        |
| 1206 Non-Federal assets: Receivables, net  | 45          | 16          | 16        | 16        |
| 1901 Other Federal assets: Other assets    | 7           | 15          | 148       | 314       |
| 1999 Total assets                          | 2,567       | 3,699       | 4,600     | 5,372     |
| LIABILITIES:                               |             |             |           |           |
| 2101 Federal liabilities: Accounts payable | 9           | 7           | 7         | 7         |
| Non-Federal liabilities:                   |             |             |           |           |
| 2201 Accounts payable                      |             | 1           | 1         | 1         |
| Other:                                     |             |             |           |           |
| 2207 Unearned revenue                      | 331         | 154         | 154       | 154       |
| 2207 Funds held in trust                   | 199         | 213         | 213       | 213       |
| 2207 Deferred revenue/other liabilities    |             | 34          | 1         | 1         |
| 2999 Total liabilities                     | 539         | 409         | 376       | 376       |
| NET POSITION: <sup>1</sup>                 |             |             |           |           |
| 3300 Cumulative results of operations      | 2,028       | 3,290       | 4,224     | 4,995     |
| 3999 Total net position                    | 2,028       | 3,290       | 4,224     | 4,995     |
| 4999 Total liabilities and net position    | 2,567       | 3,699       | 4,600     | 5,372     |

<sup>1</sup>Total net position does not include reserves for future thrift resolution costs. The FDIC estimates reserves of \$206 million in 1995, \$293 million in 1996, and \$374 million in 1997.

Object Classification (in millions of dollars)

| Identification code 51-4066-0-3-373              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 9           | 44        | 44        |
| 12.1 Civilian personnel benefits                 | 4           | 14        | 14        |
| 21.0 Travel and transportation of persons        | 1           | 4         | 4         |
| 23.2 Rental payments to others                   | 1           | 10        | 10        |
| 25.2 Other services                              | 2           | 17        | 17        |
| 26.0 Supplies and materials                      |             | 1         | 1         |
| 31.0 Equipment                                   |             | 11        | 11        |
| 92.0 Undistributed                               | 1           | 266       | 533       |
| 99.5 Below reporting threshold                   | 1           |           |           |
| 99.9 Total obligations                           | 19          | 367       | 634       |

Personnel Summary

| Identification code 51-4066-0-3-373                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 191         | 694       | 544       |

**SAVINGS ASSOCIATION INSURANCE FUND  
(Legislative proposal, not subject to PAYGO)**

Program and Financing (in millions of dollars)

| Identification code 51-4066-2-3-373                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                         |             |           |           |
| 10.00 Total obligations                                  |             |           |           |
| Budgetary resources available for obligation:            |             |           |           |
| Unobligated balance available, start of year:            |             |           |           |
| U.S. Securities:                                         |             |           |           |
| 21.91 Par value                                          |             |           | 5,093     |
| 21.99 Total unobligated balance, start of year           |             |           | 5,093     |
| 22.00 New budget authority (gross)                       |             | 5,093     | -499      |
| 23.90 Total budgetary resources available for obligation |             | 5,093     | 4,594     |

|                                             |                                                         |        |  |       |
|---------------------------------------------|---------------------------------------------------------|--------|--|-------|
| 23.95                                       | New obligations                                         |        |  |       |
|                                             | Unobligated balance available, end of year:             |        |  |       |
|                                             | U.S. Securities:                                        |        |  |       |
| 24.91                                       | Par value                                               | 5,093  |  | 4,593 |
| 24.99                                       | Total unobligated balance, end of year                  | 5,093  |  | 4,593 |
| New budget authority (gross), detail:       |                                                         |        |  |       |
| 68.00                                       | Spending authority from offsetting collections (gross): |        |  |       |
|                                             | Offsetting collections (cash)                           | 5,093  |  | -499  |
| Change in unpaid obligations:               |                                                         |        |  |       |
| 73.10                                       | New obligations                                         |        |  |       |
| Outlays (gross), detail:                    |                                                         |        |  |       |
| 87.00                                       | Total outlays (gross)                                   |        |  |       |
| Offsets:                                    |                                                         |        |  |       |
| Against gross budget authority and outlays: |                                                         |        |  |       |
| Offsetting collections (cash) from:         |                                                         |        |  |       |
| 88.20                                       | Interest on U.S. securities                             | -119   |  | -217  |
| 88.40                                       | Premium assessments                                     | -4,974 |  | 716   |
| 88.90                                       | Total, offsetting collections (cash)                    | -5,093 |  | 499   |
| Net budget authority and outlays:           |                                                         |        |  |       |
| 89.00                                       | Budget authority                                        |        |  |       |
| 90.00                                       | Outlays                                                 | -5,093 |  | 499   |

The Administration has proposed that a one-time special assessment be imposed on SAIF-insured deposits to bring SAIF up to its statutory 1.25 percent reserve ratio. In addition, the Administration's proposal would spread responsibility for making interest payments on the Financing Corporation bonds across all FDIC-insured banks and thrifts. The proposal would also shift some deposits that are currently insured by SAIF to BIF, and require that FDIC rebate excess premium income if the BIF reserve rations exceeds 1.25 percent. The proposal would merge SAIF with BIF in 1998, provided that additional legislative action to eliminate the Federal thrift charter has occurred.

Statement of Operations (in millions of dollars)

|                                     |                                       |             |           |           |
|-------------------------------------|---------------------------------------|-------------|-----------|-----------|
| Identification code 51-4066-2-3-373 | 1994 actual                           | 1995 actual | 1996 est. | 1997 est. |
| Revenue:                            |                                       |             |           |           |
| 0101                                | Income from U.S. Securities           |             | 119       | 217       |
| 0101                                | Insurance Assessments                 |             | 4,974     | -716      |
| Expense:                            |                                       |             |           |           |
| 0102                                | Insurance losses                      |             |           |           |
| 0102                                | Administrative and operating expenses |             |           |           |
| 0109                                | Net income or loss (-)                |             | 5,093     | -499      |
| 0191                                | Total revenues                        |             | 5,093     | -499      |
| 0192                                | Total expenses                        |             |           |           |
| 0199                                | Net income or loss                    |             | 5,093     | -499      |

Balance Sheet (in millions of dollars)

|                                     |                                          |             |           |           |
|-------------------------------------|------------------------------------------|-------------|-----------|-----------|
| Identification code 51-4066-2-3-373 | 1994 actual                              | 1995 actual | 1996 est. | 1997 est. |
| ASSETS:                             |                                          |             |           |           |
| Investments in US securities:       |                                          |             |           |           |
| 1102                                | Federal assets: Treasury securities, par |             | 5,093     | 4,593     |
| 1999                                | Total assets                             |             | 5,093     | 4,593     |
| LIABILITIES:                        |                                          |             |           |           |
| 2999                                | Total liabilities                        |             |           |           |
| NET POSITION:                       |                                          |             |           |           |
| 3300                                | Cumulative results of operations         |             | 5,093     | 4,593     |
| 3999                                | Total net position                       |             | 5,093     | 4,593     |
| 4999                                | Total liabilities and net position       |             | 5,093     | 4,593     |

FSLIC RESOLUTION FUND

Program and Financing (in millions of dollars)

|                                               |                                                                               |           |           |        |
|-----------------------------------------------|-------------------------------------------------------------------------------|-----------|-----------|--------|
| Identification code 51-4065-0-3-373           | 1995 actual                                                                   | 1996 est. | 1997 est. |        |
| Obligations by program activity:              |                                                                               |           |           |        |
| 00.01                                         | Operating expenses                                                            | 11        | 143       | 141    |
| 00.02                                         | OIG operating expense                                                         | 2         | 8         | 5      |
| 00.03                                         | RTC Affordable Housing Program                                                |           | 6         | 6      |
| 00.91                                         | Subtotal, administrative expenses                                             | 13        | 157       | 152    |
| 01.01                                         | Assistance agreement payments                                                 | 1,887     | 796       |        |
| 01.02                                         | Net case resolution expenses                                                  | 73        | 354       | 335    |
| 01.03                                         | Interest expense                                                              | 12        | 6         | 5      |
| 01.04                                         | Interest expense—RTC debt                                                     |           | 340       | 282    |
| 01.05                                         | Acquisition of assets from receiverships                                      | 335       |           |        |
| 01.06                                         | Interfund transactions and misc. disbursements                                | 1         | 72        | 72     |
| 01.91                                         | Subtotal, capital investment                                                  | 2,308     | 1,568     | 694    |
| 10.00                                         | Total obligations                                                             | 2,321     | 1,725     | 846    |
| Budgetary resources available for obligation: |                                                                               |           |           |        |
| Unobligated balance available, start of year: |                                                                               |           |           |        |
| 21.90                                         | Fund balance                                                                  |           | 827       | 962    |
| 21.91                                         | U.S. Securities: Par value                                                    | 1,556     | 525       | 519    |
| 21.99                                         | Total unobligated balance, start of year                                      | 1,556     | 1,352     | 1,481  |
| 22.00                                         | New budget authority (gross)                                                  | 2,149     | 5,196     | 2,961  |
| 22.20                                         | Unobligated balance transferred                                               |           | 1,021     |        |
| 22.30                                         | Unobligated balance expiring                                                  |           | -3        |        |
| Redemption of debt:                           |                                                                               |           |           |        |
| 22.60                                         | Redemption of debt                                                            | -32       | -32       | -32    |
| 22.60                                         | Redemption of debt—RTC                                                        |           | -4,328    | -1,885 |
| 23.90                                         | Total budgetary resources available for obligation                            | 3,673     | 3,206     | 2,525  |
| 23.95                                         | New obligations                                                               | -2,321    | -1,725    | -846   |
| Unobligated balance available, end of year:   |                                                                               |           |           |        |
| 24.90                                         | Fund balance                                                                  | 827       | 962       | 962    |
| 24.91                                         | U.S. Securities: Par value                                                    | 525       | 519       | 717    |
| 24.99                                         | Total unobligated balance, end of year                                        | 1,352     | 1,481     | 1,679  |
| New budget authority (gross), detail:         |                                                                               |           |           |        |
| 60.00                                         | Appropriation                                                                 | 827       |           |        |
| 68.00                                         | Spending authority from offsetting collections: Offsetting collections (cash) | 1,322     | 5,196     | 2,961  |
| 70.00                                         | Total new budget authority (gross)                                            | 2,149     | 5,196     | 2,961  |
| Change in unpaid obligations:                 |                                                                               |           |           |        |
| Unpaid obligations, start of year:            |                                                                               |           |           |        |
| Obligated balance:                            |                                                                               |           |           |        |
| 72.90                                         | Fund balance                                                                  |           |           | 155    |
| 72.91                                         | U.S. Securities: Par value                                                    | 94        | 3         |        |
| 72.99                                         | Total unpaid obligations, start of year                                       | 94        | 3         | 155    |
| 73.10                                         | New obligations                                                               | 2,321     | 1,725     | 846    |
| 73.20                                         | Total outlays (gross)                                                         | -2,412    | -1,733    | -850   |
| 73.30                                         | Obligated balance transferred, net                                            |           | 160       |        |
| Unpaid obligations, end of year:              |                                                                               |           |           |        |
| Obligated balance:                            |                                                                               |           |           |        |
| 74.90                                         | Fund balance                                                                  |           | 155       | 152    |
| 74.91                                         | U.S. Securities: Par value                                                    | 3         |           |        |
| 74.99                                         | Total unpaid obligations, end of year                                         | 3         | 155       | 152    |
| Outlays (gross), detail:                      |                                                                               |           |           |        |
| 86.97                                         | Outlays from new permanent authority                                          | 763       |           |        |
| 86.98                                         | Outlays from permanent balances                                               | 1,649     | 1,733     | 850    |
| 87.00                                         | Total outlays (gross)                                                         | 2,412     | 1,733     | 850    |
| Offsets:                                      |                                                                               |           |           |        |
| Against gross budget authority and outlays:   |                                                                               |           |           |        |
| Offsetting collections (cash) from:           |                                                                               |           |           |        |
| 88.20                                         | Interest on U.S. securities                                                   | -63       | -33       | -36    |
| Non-Federal sources:                          |                                                                               |           |           |        |
| 88.40                                         | Liquidation collections, FRF receivership assets                              | -889      | -577      | -203   |
| 88.40                                         | Liquidation collections, RTC assets                                           |           | -4,467    | -2,673 |
| 88.40                                         | Collections from FRF-owned assets                                             | -248      | -85       | -14    |
| 88.40                                         | Liquidity assistance note and other collections                               | -122      | -34       | -35    |
| 88.90                                         | Total, offsetting collections (cash)                                          | -1,322    | -5,196    | -2,961 |

**Public enterprise funds—Continued**

**FSLIC RESOLUTION FUND—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 51-4065-0-3-373 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Net budget authority and outlays:   |             |           |           |
| 89.00 Budget authority .....        | 827         |           |           |
| 90.00 Outlays .....                 | 1,090       | -3,463    | -2,111    |

Status of Direct Loans (in millions of dollars)

| Identification code 51-4065-0-3-373               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding:   |             |           |           |
| 1210 Outstanding, start of year .....             | 126         | 95        | 63        |
| 1251 Repayments: Repayments and prepayments ..... | -31         | -32       | -31       |
| 1290 Outstanding, end of year .....               | 95          | 63        | 32        |

Status of Guaranteed Loans (in millions of dollars)

| Identification code 51-4065-0-3-373                 | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of guaranteed loans outstanding: |             |           |           |
| 2210 Outstanding, start of year .....               | 360         |           |           |
| 2251 Repayments and prepayments .....               | -360        |           |           |
| 2290 Outstanding, end of year .....                 |             |           |           |

The FRF is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases.

On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF. The 1996 budget for FRF reflects the transfer and shows three calendar quarters of combined operations.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships established prior to January 1, 1989; the proceeds of the sale of bonds by the Financing Corporation; and a portion of insurance premiums paid by SAIF members prior to 1993. The Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury.

In accordance with the RTC Completion Act of 1993, the FDIC and RTC affordable housing programs were fully merged on August 7, 1995. While both programs are carried out by the FDIC Affordable Housing Program Office, separate accounting is maintained for FDIC and RTC assets. The affordable housing program for former RTC assets is funded out of FRF. The table below shows estimates for the affordable housing program in 1996 and 1997, assuming all remaining RTC affordable housing properties will be sold in 1996.

| RTC Affordable Housing Program:                 | 1996 estimate | 1997 estimate |
|-------------------------------------------------|---------------|---------------|
| Number of Properties In Inventory:              |               |               |
| Single Family .....                             | 433           | 0             |
| Multifamily .....                               | 42            | 0             |
| Number of Properties Sold:                      |               |               |
| Single Family .....                             | 866           | 0             |
| Multifamily .....                               | 79            | 0             |
| Appraised Value in Inventory (in millions):     |               |               |
| Single Family .....                             | 30            | 0             |
| Multifamily .....                               | 75            | 0             |
| Appraised Value of Property Sold (in millions): |               |               |
| Single Family .....                             | 30            | 0             |
| Multifamily .....                               | 75            | 0             |
| Gross Sales Receipts (in millions):             |               |               |
| Single Family .....                             | 22            | 0             |
| Multifamily .....                               | 53            | 0             |

Statement of Operations (in millions of dollars)

| Identification code 51-4065-0-3-373    | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------|-------------|-------------|-----------|-----------|
| Revenue:                               |             |             |           |           |
| 0101 Income from U.S. securities ..... | 65          | 63          | 30        | 34        |

|                                                                  |      |     |      |      |
|------------------------------------------------------------------|------|-----|------|------|
| 0101 Other revenue .....                                         | 360  | 427 | 957  | 519  |
| Expense:                                                         |      |     |      |      |
| 0102 Administrative and operating expenses                       | -18  | -13 | -157 | -152 |
| 0102 Expenses incurred in protecting depositors in thrifts ..... | 345  | 207 | 79   | 77   |
| 0102 Interest and other expenses .....                           | -211 | -80 | -405 | -334 |
| 0109 Net income or loss (-) .....                                | 541  | 604 | 504  | 144  |
| 0191 Total revenues .....                                        | 425  | 490 | 987  | 553  |
| 0192 Total expenses .....                                        | 116  | 114 | -483 | -409 |
| 0199 Net income or loss .....                                    | 541  | 604 | 504  | 144  |

Balance Sheet (in millions of dollars)

| Identification code 51-4065-0-3-373                                                                                                                | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                                                                                                     |             |             |           |           |
| Federal assets:                                                                                                                                    |             |             |           |           |
| 1101 Fund balances with Treasury .....                                                                                                             |             | 827         | 1,034     | 1,034     |
| Investments in US securities:                                                                                                                      |             |             |           |           |
| 1102 Treasury securities, par .....                                                                                                                | 1,649       | 528         | 519       | 717       |
| 1206 Non-Federal assets: Receivables, net .....                                                                                                    |             | 13          | 146       | 115       |
| 1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross ..... | 708         | 165         |           |           |
| Other Federal assets:                                                                                                                              |             |             |           |           |
| 1801 Cash and other monetary assets .....                                                                                                          | 30          | 5           | 5         | 5         |
| Other assets:                                                                                                                                      |             |             |           |           |
| 1901 Claims against receivers (former RTC) .....                                                                                                   |             |             | 8,615     | 6,747     |
| 1901 Other assets .....                                                                                                                            | 753         | 678         | 524       | 384       |
| 1999 Total assets .....                                                                                                                            | 3,140       | 2,216       | 10,843    | 9,002     |
| <b>LIABILITIES:</b>                                                                                                                                |             |             |           |           |
| Federal liabilities: Accounts payable .....                                                                                                        |             |             |           |           |
| 2101 .....                                                                                                                                         | 9           | 2           | 1         | 1         |
| Non-Federal liabilities:                                                                                                                           |             |             |           |           |
| 2201 Accounts payable .....                                                                                                                        | 88          | 4           | 5         | 5         |
| Other:                                                                                                                                             |             |             |           |           |
| 2207 Debt to the FFB (former RTC) .....                                                                                                            |             |             | 6,143     | 4,258     |
| 2207 Notes issued after FY 1986 .....                                                                                                              | 189         | 158         | 126       | 95        |
| 2207 Estimated liability for assistance agreements .....                                                                                           | 468         | 142         | 35        | 38        |
| 2207 Liabilities incurred from thrift resolutions .....                                                                                            | 2,391       | 483         |           |           |
| 2207 Other liabilities .....                                                                                                                       |             | 1           | 295       | 223       |
| 2999 Total liabilities .....                                                                                                                       | 3,145       | 790         | 6,605     | 4,620     |
| <b>NET POSITION:</b>                                                                                                                               |             |             |           |           |
| 3100 Appropriated capital .....                                                                                                                    |             | 827         | 662       | 662       |
| 3300 Invested capital and losses .....                                                                                                             | -5          | 599         | 3,576     | 3,720     |
| 3999 Total net position .....                                                                                                                      | -5          | 1,426       | 4,238     | 4,382     |
| 4999 Total liabilities and net position .....                                                                                                      | 3,140       | 2,216       | 10,843    | 9,002     |

Object Classification (in millions of dollars)

| Identification code 51-4065-0-3-373                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 29          | 163       | 124       |
| 12.1 Civilian personnel benefits .....                 | 13          | 52        | 40        |
| 21.0 Travel and transportation of persons .....        | 1           | 13        | 10        |
| 23.2 Rental payments to others .....                   | 7           | 57        | 43        |
| 24.0 Printing and reproduction .....                   |             | 1         | 1         |
| 25.2 Other services .....                              | 9           | 341       | 257       |
| 26.0 Supplies and materials .....                      |             | 3         | 2         |
| 31.0 Equipment .....                                   |             | 15        | 11        |
| 32.0 Land and structures .....                         |             | 3         | 2         |
| 92.0 Undistributed .....                               | 2,262       | 1,077     | 356       |
| 99.9 Total obligations .....                           | 2,321       | 1,725     | 846       |

Personnel Summary

| Identification code 51-4065-0-3-373                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 636         | 2,572     | 2,016     |

FDIC—OFFICE OF INSPECTOR GENERAL

Program and Financing (in millions of dollars)

| Identification code 51-4595-0-4-373                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                       |             |           |           |
| 10.00 Total obligations                                       | 23          | 15        | 12        |
| <b>Budgetary resources available for obligation:</b>          |             |           |           |
| 22.00 New budget authority (gross)                            | 23          | 15        | 12        |
| 23.95 New obligations                                         | -23         | -15       | -12       |
| <b>New budget authority (gross), detail:</b>                  |             |           |           |
| 68.00 Spending authority from offsetting collections (gross): |             |           |           |
| Offsetting collections (cash)                                 | 23          | 15        | 12        |
| <b>Change in unpaid obligations:</b>                          |             |           |           |
| 73.10 New obligations                                         | 23          | 15        | 12        |
| 73.20 Total outlays (gross)                                   | -23         | -15       | -12       |
| <b>Outlays (gross), detail:</b>                               |             |           |           |
| 86.97 Outlays from new permanent authority                    | 23          | 15        | 12        |
| 87.00 Total outlays (gross)                                   | 23          | 15        | 12        |
| <b>Offsets:</b>                                               |             |           |           |
| 88.00 Against gross budget authority and outlays:             |             |           |           |
| Offsetting collections (cash) from: Federal sources           | -23         | -15       | -12       |
| <b>Net budget authority and outlays:</b>                      |             |           |           |
| 89.00 Budget authority                                        |             |           |           |
| 90.00 Outlays                                                 |             |           |           |

Office of the Inspector General (OIG) activities include audits and investigations, and the prevention and detection of fraud, waste, and mismanagement in the disposition of failed banking institutions' assets by the FDIC. The Resolution Trust Corporation Completion Act of 1993 requires a Presidentially appointed Inspector General. Separately, the OIG Act of 1978 requires each agency with a Presidentially appointed Inspector General to prepare a separate budget statement. Prior to the RTC Completion Act, the FDIC's Inspector General was an administrative position established by the FDIC Board. In accordance with the RTC Completion Act, the FDIC OIG was merged with the RTC OIG on December 31, 1995. The remaining obligations and appropriated funds of the RTC OIG were transferred to the FRF with all other RTC assets and liabilities.

Object Classification (in millions of dollars)

| Identification code 51-4595-0-4-373              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 14          | 8         | 6         |
| 12.1 Civilian personnel benefits                 | 4           | 3         | 2         |
| 21.0 Travel and transportation of persons        | 1           |           | 1         |
| 25.2 Other services                              | 4           | 4         | 3         |
| 99.0 Subtotal, reimbursable obligations          | 23          | 15        | 12        |
| 99.9 Total obligations                           | 23          | 15        | 12        |

Personnel Summary

| Identification code 51-4595-0-4-373                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 2001 Total compensable workyears: Full-time equivalent employment | 176         | 320       | 286       |

FDIC-AFFORDABLE HOUSING PROGRAM

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 51-1500-0-1-604                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 00.01 Operating expenses                                    | 2           |           |           |
| 01.01 Subsidy expenses                                      | 2           | 1         |           |
| 10.00 Total obligations                                     | 4           | 1         |           |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross)                          | 4           | 1         |           |
| 23.95 New obligations                                       | -4          | -1        |           |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 4           | 1         |           |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 5           | 3         | 2         |
| 73.10 New obligations                                       | 4           | 1         |           |
| 73.20 Total outlays (gross)                                 | -5          | -1        |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 3           | 2         |           |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 2           |           |           |
| 86.93 Outlays from current balances                         | 3           |           | 1         |
| 87.00 Total outlays (gross)                                 | 5           | 1         |           |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 4           | 1         |           |
| 90.00 Outlays                                               | 5           | 1         |           |

Section 40 of the Federal Deposit Insurance Act authorizes the FDIC to carry out an affordable housing program. Under the program, single and multifamily properties in FDIC's inventory that are appraised below a certain threshold are held off the general market for a period of 180 days. During that period, only low-income families and individuals, and public agencies and nonprofit organizations that agree to low-income rent restrictions are allowed to bid on the properties. In 1995, the FDIC sold 781 single family properties for a total of \$42 million and 182 multifamily properties for a total of \$88 million. In accordance with the Resolution Trust Corporation Completion Act, the FDIC and RTC affordable housing programs were fully merged on August 7, 1995. While both programs are carried out by the FDIC Affordable Housing Program Office, separate accounting is maintained for FDIC and RTC assets.

| Affordable Housing Program:                              | 1995 actual | 1996 est. |
|----------------------------------------------------------|-------------|-----------|
| <b>Number of Properties In Inventory (Average):</b>      |             |           |
| Single Family                                            | 755         | 608       |
| Multifamily                                              | 183         | 161       |
| <b>Number of Properties Sold:</b>                        |             |           |
| Single Family                                            | 781         | 6         |
| Multifamily                                              | 182         | 4         |
| <b>Appraised Value In Inventory (in millions):</b>       |             |           |
| Single Family                                            | 37          | 29        |
| Multifamily                                              | 108         | 83        |
| <b>Appraised Value of properties Sold (in millions):</b> |             |           |
| Single Family                                            | 42          | 48        |
| Multifamily                                              | 88          | 150       |
| <b>Gross Sales Receipts (in millions):</b>               |             |           |
| Single Family                                            | 33          | 35        |
| Multifamily                                              | 90          | 105       |

Object Classification (in millions of dollars)

| Identification code 51-1500-0-1-604              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 1           |           |           |
| 25.2 Other services                              | 1           |           |           |
| 41.0 Grants, subsidies, and contributions        | 2           |           |           |
| 99.5 Below reporting threshold                   |             | 1         |           |
| 99.9 Total obligations                           | 4           | 1         |           |

**Public enterprise funds—Continued**

**FDIC-AFFORDABLE HOUSING PROGRAM—Continued**

Personnel Summary

| Identification code 51-1500-0-1-604                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 13          | 1         |           |

**FEDERAL ELECTION COMMISSION**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended; [**\$26,521,000**] \$29,371,000 of which [no less than \$1,500,000 shall be available for internal automated data processing systems, of which] not to exceed \$5,000 shall be available for reception and representation expenses]: *Provided*, That none of the funds appropriated for automated data processing systems may be obligated until the Chairman of the Federal Election Commission provides to the House Committee on Appropriations a systems requirements analysis on the development of such a system]. (*Independent Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 95-1600-0-1-808                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations .....                               | 26          | 27        | 29        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 26          | 27        | 29        |
| 23.95 New obligations .....                                 | -26         | -27       | -29       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 26          | 27        | 29        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 3           | 4         | 5         |
| 73.10 New obligations .....                                 | 26          | 27        | 29        |
| 73.20 Total outlays (gross) .....                           | -25         | -26       | -29       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 4           | 5         | 5         |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 23          | 24        | 26        |
| 86.93 Outlays from current balances .....                   | 2           | 2         | 3         |
| 87.00 Total outlays (gross) .....                           | 25          | 26        | 29        |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 26          | 27        | 29        |
| 90.00 Outlays .....                                         | 25          | 26        | 29        |

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

Object Classification (in millions of dollars)

| Identification code 95-1600-0-1-808                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent .....          | 15          | 14        | 15        |
| 12.1 Civilian personnel benefits .....                          | 3           | 4         | 4         |
| 23.1 Rental payments to GSA .....                               | 2           | 3         | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1         | 1         |
| 25.2 Other services .....                                       | 1           | 1         | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1         | 1         |
| 31.0 Equipment .....                                            | 1           | 1         | 2         |

|                                      |    |    |    |
|--------------------------------------|----|----|----|
| 99.5 Below reporting threshold ..... | 2  | 2  | 2  |
| 99.9 Total obligations .....         | 26 | 27 | 29 |

Personnel Summary

| Identification code 95-1600-0-1-808                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 315         | 314       | 314       |

**FEDERAL EMERGENCY MANAGEMENT AGENCY**

*Federal Funds*

**General and special funds:**

DISASTER RELIEF

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), \$320,000,000, to become available on September 30, 1997, and remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 58-0104-0-1-453                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                   |             |           |           |
| 10.00 Total obligations .....                                             | 2,685       | 3,844     | 2,797     |
| <b>Budgetary resources available for obligation:</b>                      |             |           |           |
| 21.40 Unobligated balance available, start of year:                       |             |           |           |
| Uninvested balance .....                                                  | 3,133       | 4,285     | 3,938     |
| 22.00 New budget authority (gross) .....                                  | 3,593       | 3,497     | 320       |
| 22.10 Resources available from recoveries of prior year obligations ..... | 244         |           |           |
| 23.90 Total budgetary resources available for obligation .....            | 6,970       | 7,782     | 4,258     |
| 23.95 New obligations .....                                               | -2,685      | -3,844    | -2,797    |
| 24.40 Unobligated balance available, end of year:                         |             |           |           |
| Uninvested balance .....                                                  | 4,285       | 3,938     | 1,461     |
| <b>New budget authority (gross), detail:</b>                              |             |           |           |
| 40.00 Appropriation .....                                                 | 3,595       | 3,497     | 320       |
| 40.75 Reduction pursuant to P.L. 104-19 .....                             | -2          |           |           |
| 43.00 Appropriation (total) .....                                         | 3,593       | 3,497     | 320       |
| 70.00 Total new budget authority (gross) .....                            | 3,593       | 3,497     | 320       |
| <b>Change in unpaid obligations:</b>                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:               |             |           |           |
| Appropriation .....                                                       | 2,186       | 2,511     | 2,427     |
| 73.10 New obligations .....                                               | 2,685       | 3,844     | 2,797     |
| 73.20 Total outlays (gross) .....                                         | -2,116      | -3,928    | -3,419    |
| 73.45 Adjustments in unexpired accounts .....                             | -244        |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:                 |             |           |           |
| Appropriation .....                                                       | 2,511       | 2,427     | 1,805     |
| <b>Outlays (gross), detail:</b>                                           |             |           |           |
| 86.90 Outlays from new current authority .....                            |             | 1,399     |           |
| 86.93 Outlays from current balances .....                                 | 2,116       | 2,529     | 3,419     |
| 87.00 Total outlays (gross) .....                                         | 2,116       | 3,928     | 3,419     |
| <b>Net budget authority and outlays:</b>                                  |             |           |           |
| 89.00 Budget authority .....                                              | 3,593       | 3,497     | 320       |
| 90.00 Outlays .....                                                       | 2,116       | 3,928     | 3,419     |

Federal disaster assistance is a nationwide program operated pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100-707). Supplementary assistance is provided to individuals, and State and local governments in the event of a Presidentially declared emergency or major disaster. Funds may be made available directly to a State or local government, or to other Federal

agencies as reimbursement of expenditures in disaster relief work performed under this authority. In addition, a variety of other Federal agency assistance is coordinated under this program.

The Administration is requesting an appropriation of \$320 million in accordance with the Dire Emergency Supplemental Appropriations Act of 1992.

Object Classification (in millions of dollars)

| Identification code 58-0104-0-1-453                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                             |             |           |           |
| 11.3 Other than full-time permanent .....                           | 59          | 62        | 65        |
| 11.5 Other personnel compensation .....                             | 19          | 20        | 20        |
| 11.9 Total personnel compensation .....                             | 78          | 82        | 85        |
| 12.1 Civilian personnel benefits .....                              | 8           | 9         | 10        |
| 21.0 Travel and transportation of persons .....                     | 49          | 52        | 52        |
| 22.0 Transportation of things .....                                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                                   | 4           | 3         | 3         |
| 23.2 Rental payments to others .....                                | 8           | 7         | 7         |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 20          | 22        | 22        |
| 24.0 Printing and reproduction .....                                | 1           | 2         | 2         |
| 25.1 Advisory and assistance services .....                         | 2           | 2         | 2         |
| 25.2 Other services .....                                           | 299         | 175       | 175       |
| 25.3 Purchases of goods and services from Government accounts ..... | 27          | 50        | 50        |
| 25.4 Operation and maintenance of facilities .....                  | 3           | 3         | 3         |
| 25.5 Research and development contracts .....                       | 5           | 3         | 3         |
| 26.0 Supplies and materials .....                                   | 19          | 15        | 15        |
| 31.0 Equipment .....                                                | 20          | 40        | 40        |
| 41.0 Grants, subsidies, and contributions .....                     | 2,140       | 3,377     | 2,327     |
| 99.5 Below reporting threshold .....                                | 1           | 1         | .....     |
| 99.9 Total obligations .....                                        | 2,685       | 3,844     | 2,797     |

Personnel Summary

| Identification code 58-0104-0-1-453                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 2,115       | 1,440     | 1,405     |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 452         | 450       | 450       |

SALARIES AND EXPENSES

For necessary expenses, including hire and purchase of motor vehicles (31 U.S.C. 1343); uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for GS-18; expenses of attendance of cooperating officials and individuals at meetings concerned with the work of emergency preparedness; transportation in connection with the continuity of Government programs to the same extent and in the same manner as permitted the Secretary of a Military Department under 10 U.S.C. 2632; and not to exceed \$2,500 for official reception and representation expenses; \$166,733,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 58-0100-0-1-999              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                 |             |           |           |
| Direct program:                                  |             |           |           |
| 00.01 Response and Recovery .....                | 41          | 43        | 43        |
| 00.02 Preparedness, training and exercises ..... | 35          | 36        | 36        |
| 00.03 Fire prevention and training .....         | 6           | 7         | 7         |
| 00.04 Operations support .....                   | 28          | 25        | 22        |
| 00.05 Information Technology Services .....      | 21          | 21        | 21        |
| 00.06 Mitigation Programs .....                  | 6           | 7         | 6         |
| 00.07 Policy and Regional Operations .....       | 8           | 10        | 11        |
| 00.08 Executive Direction .....                  | 22          | 24        | 24        |
| 00.91 Total direct program .....                 | 167         | 173       | 170       |
| 01.01 Reimbursable program .....                 | 4           | 5         | 5         |
| 10.00 Total obligations .....                    | 171         | 178       | 175       |

|                                                                |      |       |       |
|----------------------------------------------------------------|------|-------|-------|
| Budgetary resources available for obligation:                  |      |       |       |
| 21.40 Unobligated balance available, start of year:            |      |       |       |
| Uninvested balance .....                                       | 13   | 11    | 7     |
| 22.00 New budget authority (gross) .....                       | 170  | 174   | 172   |
| 22.30 Unobligated balance expiring .....                       | 1    | ..... | ..... |
| 23.90 Total budgetary resources available for obligation ..... | 184  | 185   | 179   |
| 23.95 New obligations .....                                    | -171 | -178  | -175  |
| 24.40 Unobligated balance available, end of year:              |      |       |       |
| Uninvested balance .....                                       | 11   | 7     | 3     |

New budget authority (gross), detail:

|                                                                                  |     |     |     |
|----------------------------------------------------------------------------------|-----|-----|-----|
| Current:                                                                         |     |     |     |
| 40.00 Appropriation .....                                                        | 166 | 169 | 167 |
| Permanent:                                                                       |     |     |     |
| Spending authority from offsetting collections:                                  |     |     |     |
| Offsetting collections (cash):                                                   |     |     |     |
| 68.00 Spending authority from offsetting collections, defense programs .....     | 3   | 4   | 4   |
| 68.00 Spending authority from offsetting collections, non-defense programs ..... | 1   | 1   | 1   |
| 68.90 Spending authority from offsetting collections (total) .....               | 4   | 5   | 5   |
| 70.00 Total new budget authority (gross) .....                                   | 170 | 174 | 172 |

Change in unpaid obligations:

|                                                             |      |       |       |
|-------------------------------------------------------------|------|-------|-------|
| 72.40 Unpaid obligations, start of year: Obligated balance: |      |       |       |
| Appropriation .....                                         | 61   | 66    | 67    |
| 73.10 New obligations .....                                 | 171  | 178   | 175   |
| 73.20 Total outlays (gross) .....                           | -164 | -177  | -176  |
| 73.40 Adjustments in expired accounts .....                 | -2   | ..... | ..... |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |      |       |       |
| Appropriation .....                                         | 66   | 67    | 66    |

Outlays (gross), detail:

|                                                  |     |     |     |
|--------------------------------------------------|-----|-----|-----|
| 86.90 Outlays from new current authority .....   | 149 | 143 | 142 |
| 86.93 Outlays from current balances .....        | 11  | 29  | 29  |
| 86.97 Outlays from new permanent authority ..... | 4   | 5   | 5   |
| 87.00 Total outlays (gross) .....                | 164 | 177 | 176 |

Offsets:

|                                                                 |    |    |    |
|-----------------------------------------------------------------|----|----|----|
| Against gross budget authority and outlays:                     |    |    |    |
| 88.00 Offsetting collections (cash) from: Federal sources ..... | -4 | -5 | -5 |

Net budget authority and outlays:

|                              |     |     |     |
|------------------------------|-----|-----|-----|
| 89.00 Budget authority ..... | 166 | 169 | 167 |
| 90.00 Outlays .....          | 160 | 172 | 171 |

**Program support.**—This activity provides the necessary resources to administer the Federal Emergency Management Agency's (the Agency) various programs in headquarters and the regions.

**Executive direction.**—This activity provides for the general management and administration of the Agency in legal affairs, Congressional and governmental affairs, public affairs, financial management, and personnel, as well as the management of the Agency's national security program.

Object Classification (in millions of dollars)

| Identification code 58-0100-0-1-999                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations:                                                 |             |           |           |
| Personnel compensation:                                             |             |           |           |
| 11.1 Full-time permanent .....                                      | 103         | 108       | 113       |
| 11.3 Other than full-time permanent .....                           | 2           | 2         | 2         |
| 11.5 Other personnel compensation .....                             | 4           | 3         | 2         |
| 11.9 Total personnel compensation .....                             | 109         | 113       | 117       |
| 12.1 Civilian personnel benefits .....                              | 22          | 23        | 23        |
| 21.0 Travel and transportation of persons .....                     | 3           | 6         | 4         |
| 23.1 Rental payments to GSA .....                                   | 12          | 11        | 9         |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 3           | 3         | 3         |
| 25.2 Other services .....                                           | 7           | 10        | 7         |
| 25.3 Purchases of goods and services from Government accounts ..... | 2           | 2         | 2         |
| 26.0 Supplies and materials .....                                   | 2           | 2         | 2         |
| 31.0 Equipment .....                                                | 6           | 3         | 3         |
| 99.0 Subtotal, direct obligations .....                             | 164         | 173       | 170       |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Object Classification (in millions of dollars)—Continued

| Identification code 58-0100-0-1-999  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| 99.0 Reimbursable obligations .....  | 3           | 5         | 5         |
| 99.5 Below reporting threshold ..... | 2           |           |           |
| 99.9 Total obligations .....         | 171         | 178       | 175       |

**Personnel Summary**

| Identification code 58-0100-0-1-999                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                          |             |           |           |
| Total compensable workyears:                                            |             |           |           |
| 1001 Full-time equivalent employment .....                              | 2,197       | 2,097     | 1,966     |
| 1005 Full-time equivalent of overtime and holiday hours .....           | 42          | 50        | 50        |
| <b>Reimbursable:</b>                                                    |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 52          | 70        | 70        |

**EMERGENCY MANAGEMENT PLANNING AND ASSISTANCE**

For necessary expenses to carry out activities under the National Flood Insurance Act of 1968, as amended, and the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977, as amended (42 U.S.C. 7701 et seq.), the Federal Fire Prevention and Control Act of 1974, as amended (15 U.S.C. 2201 et seq.), the Defense Production Act of 1950, as amended (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947, as amended (50 U.S.C. 404-405), and Reorganization Plan No. 3 of 1978, \$199,101,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 58-0101-0-1-999              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>          |             |           |           |
| <b>Direct program:</b>                           |             |           |           |
| 00.01 Response and recovery .....                | 8           | 8         | 7         |
| 00.02 Preparedness, training and exercises ..... | 133         | 131       | 126       |
| 00.03 Fire prevention and training .....         | 27          | 22        | 21        |
| 00.04 Operations support .....                   | 1           | 1         | 1         |
| 00.05 Information Technology Services .....      | 21          | 16        | 15        |
| 00.06 Mitigation Programs .....                  | 29          | 19        | 24        |
| 00.07 Executive Direction .....                  | 7           | 6         | 5         |
| 00.91 Total direct program .....                 | 226         | 203       | 199       |
| 01.01 Reimbursable program .....                 | 52          | 49        | 46        |
| 10.00 Total obligations .....                    | 278         | 252       | 245       |

|                                                                |      |      |      |
|----------------------------------------------------------------|------|------|------|
| <b>Budgetary resources available for obligation:</b>           |      |      |      |
| 21.40 Unobligated balance available, start of year:            |      |      |      |
| Uninvested balance .....                                       | 2    |      |      |
| 22.00 New budget authority (gross) .....                       | 277  | 252  | 245  |
| 23.90 Total budgetary resources available for obligation ..... | 279  | 252  | 245  |
| 23.95 New obligations .....                                    | -278 | -252 | -245 |

|                                                                                 |     |     |     |
|---------------------------------------------------------------------------------|-----|-----|-----|
| <b>New budget authority (gross), detail:</b>                                    |     |     |     |
| <b>Current:</b>                                                                 |     |     |     |
| 40.00 Appropriation .....                                                       | 220 | 203 | 199 |
| 40.75 Reduction pursuant to P.L. 104-50 .....                                   | -1  |     |     |
| 42.00 Transferred from other accounts .....                                     | 6   |     |     |
| 43.00 Appropriation (total) .....                                               | 225 | 203 | 199 |
| <b>Permanent:</b>                                                               |     |     |     |
| Spending authority from offsetting collections:                                 |     |     |     |
| Offsetting collections (cash):                                                  |     |     |     |
| 68.00 Spending authority from offsetting collections, defense program .....     | 49  | 46  | 43  |
| 68.00 Spending authority from offsetting collections, non-defense program ..... | 3   | 3   | 3   |

|                                                                    |      |      |      |
|--------------------------------------------------------------------|------|------|------|
| 68.90 Spending authority from offsetting collections (total) ..... | 52   | 49   | 46   |
| 70.00 Total new budget authority (gross) .....                     | 277  | 252  | 245  |
| <b>Change in unpaid obligations:</b>                               |      |      |      |
| 72.40 Unpaid obligations, start of year: Obligated balance:        |      |      |      |
| Appropriation .....                                                | 238  | 168  | 156  |
| 73.10 New obligations .....                                        | 278  | 252  | 245  |
| 73.20 Total outlays (gross) .....                                  | -336 | -264 | -250 |
| 73.40 Adjustments in expired accounts .....                        | -12  |      |      |
| 74.40 Unpaid obligations, end of year: Obligated balance:          |      |      |      |
| Appropriation .....                                                | 168  | 156  | 151  |
| <b>Outlays (gross), detail:</b>                                    |      |      |      |
| 86.90 Outlays from new current authority .....                     | 122  | 92   | 90   |
| 86.93 Outlays from current balances .....                          | 162  | 123  | 114  |
| 86.97 Outlays from new permanent authority .....                   | 52   | 49   | 46   |
| 87.00 Total outlays (gross) .....                                  | 336  | 264  | 250  |
| <b>Offsets:</b>                                                    |      |      |      |
| Against gross budget authority and outlays:                        |      |      |      |
| 88.00 Offsetting collections (cash) from: Federal sources .....    | -52  | -49  | -46  |
| <b>Net budget authority and outlays:</b>                           |      |      |      |
| 89.00 Budget authority .....                                       | 225  | 203  | 199  |
| 90.00 Outlays .....                                                | 284  | 215  | 204  |

The Federal Emergency Management Agency is committed to strategic planning and clear articulation of what the Agency wants to accomplish. The 1997 budget integrates performance goals and objectives for key program activities that are precise and measurable, and that are being communicated to the entire organization and understood by all stakeholders, such as our State and local partners in emergency management. These goals and objectives for the activities below include: engendering fundamental changes in perception so that the public demands safer communities in which to live and work, and to reduce by a measurable degree the loss of life, injuries, economic costs, and destruction of natural and cultural resources resulting from natural hazards; reducing by a measurable degree the number of injuries/deaths due to fire and related emergencies through FEMA's public awareness, educational materials, and anti-arson strategies; and ensuring that the nation's key emergency management personnel have the requisite skills to perform their jobs effectively.

**Response and recovery.**—This activity provides for the development and maintenance of an integrated operational capability to respond to and recover from the consequences of a disaster, regardless of its cause, in partnership with other Federal agencies, State and local governments, volunteer organizations, and the private sector.

**Preparedness, training and exercises.**—This activity provides policy guidance, financial and technical assistance, training, and exercise support required to establish or enhance the emergency management capabilities of Federal, State, and local governments.

**Fire prevention and training.**—This activity prepares Federal, State and local officials, their staffs, emergency first responders, volunteer groups, and the public to meet the responsibilities of domestic emergencies through planning, mitigation, preparedness, response, and recovery. The United States Fire Administration has responsibility for all fire and emergency medical service programs and training activities. Educational programs are provided through the National Fire Academy, at the National Emergency Training Center, and through the field fire training delivery systems.

**Operations support.**—This activity provides agency-wide program support services, such as logistics management and security.

**Information technology services.**—This activity provides leadership and direction for management of information technology resources, automated data processing, telecommuni-

cations, and information services and systems necessary to accomplish the agency's mission.

**Mitigation programs.**—This activity provides for the development, coordination, and implementation of policies, plans, and programs to eliminate or reduce the long-term risk to life and property from natural and technological hazards, such as earthquakes and hurricanes. A goal of this activity is to encourage and foster mitigation strategies at the State and local levels.

**Executive direction.**—This activity develops strategies to address public information issues; provides support for enhancements to the financial management system; and supports the Agency's national security program.

Object Classification (in millions of dollars)

| Identification code 58-0101-0-1-999                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                          |             |           |           |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 16          | 12        | 10        |
| 24.0 Printing and reproduction .....                                | 2           | 3         | 3         |
| 25.1 Advisory and assistance services .....                         | 2           | 1         | 1         |
| 25.2 Other services .....                                           | 37          | 49        | 43        |
| 25.3 Purchases of goods and services from Government accounts ..... | 23          | 4         | 4         |
| 25.4 Operation and maintenance of facilities .....                  | 4           | 7         | 7         |
| 25.5 Research and development contracts .....                       | 2           | 1         | .....     |
| 25.7 Operation and maintenance of equipment .....                   | 1           | 1         | 1         |
| 26.0 Supplies and materials .....                                   | 4           | 3         | 3         |
| 31.0 Equipment .....                                                | 5           | 1         | 1         |
| 32.0 Land and structures .....                                      | 6           | .....     | .....     |
| 41.0 Grants, subsidies, and contributions .....                     | 124         | 121       | 126       |
| 99.0 Subtotal, direct obligations .....                             | 226         | 203       | 199       |
| 99.0 Reimbursable obligations .....                                 | 51          | 49        | 46        |
| 99.5 Below reporting threshold .....                                | 1           | .....     | .....     |
| 99.9 Total obligations .....                                        | 278         | 252       | 245       |

OFFICE OF THE INSPECTOR GENERAL

*For necessary expenses of the Office of the Inspector General in carrying out the Inspector General Act of 1978, as amended, \$4,533,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 58-0300-0-1-453                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                           |             |           |           |
| 00.01 Direct program .....                                        | 4           | 5         | 5         |
| 10.00 Total obligations .....                                     | 4           | 5         | 5         |
| <b>Budgetary resources available for obligation:</b>              |             |           |           |
| 22.00 New budget authority (gross) .....                          | 4           | 5         | 5         |
| 23.95 New obligations .....                                       | -4          | -5        | -5        |
| <b>New budget authority (gross), detail:</b>                      |             |           |           |
| 40.00 Appropriation .....                                         | 4           | 5         | 5         |
| <b>Change in unpaid obligations:</b>                              |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: ..... |             |           |           |
| Appropriation .....                                               | 1           | 2         | 1         |
| 73.10 New obligations .....                                       | 4           | 5         | 5         |
| 73.20 Total outlays (gross) .....                                 | -3          | -6        | -5        |
| 73.40 Adjustments in expired accounts .....                       | .....       | .....     | .....     |
| 74.40 Unpaid obligations, end of year: Obligated balance: .....   |             |           |           |
| Appropriation .....                                               | 2           | 1         | 1         |
| <b>Outlays (gross), detail:</b>                                   |             |           |           |
| 86.90 Outlays from new current authority .....                    | 2           | 4         | 4         |
| 86.93 Outlays from current balances .....                         | 1           | 2         | 1         |
| 86.97 Outlays from new permanent authority .....                  | .....       | .....     | .....     |
| 87.00 Total outlays (gross) .....                                 | 3           | 6         | 5         |

| Net budget authority and outlays: |   |   |   |
|-----------------------------------|---|---|---|
| 89.00 Budget authority .....      | 4 | 5 | 5 |
| 90.00 Outlays .....               | 3 | 6 | 5 |

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function provides internal audit, contract audit, and inspections services. Contract audits provide professional advice to agency contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of agency operations.

Object Classification (in millions of dollars)

| Identification code 58-0300-0-1-453                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 4         | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1         | 1         |
| 99.9 Total obligations .....                           | 4           | 5         | 5         |

Personnel Summary

| Identification code 58-0300-0-1-453                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 1001 Full-time equivalent employment .....                    | 56          | 60        | 60        |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           | .....     | .....     |

EMERGENCY FOOD AND SHELTER PROGRAM

*To carry out an emergency food and shelter program pursuant to title III of Public Law 100-77, as amended, \$100,000,000: Provided, That total administrative costs shall not exceed three and one-half percent of the total appropriation.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amount included in this budget is based on the level provided in P.L. 104-91.

Program and Financing (in millions of dollars)

| Identification code 58-0103-0-1-605                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 01.01 Direct Program .....                           | 130         | 46        | 100       |
| 10.00 Total obligations (object class 41.0) .....    | 130         | 46        | 100       |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 130         | 46        | 100       |
| 23.95 New obligations .....                          | -130        | -46       | -100      |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation .....                            | 130         | 46        | 100       |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 130         | 46        | 100       |
| 73.20 Total outlays (gross) .....                    | -130        | -46       | -100      |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.90 Outlays from new current authority .....       | 130         | 46        | 100       |
| 87.00 Total outlays (gross) .....                    | 130         | 46        | 100       |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority .....                         | 130         | 46        | 100       |
| 90.00 Outlays .....                                  | 130         | 46        | 100       |

Summary of Budget Authority and Outlays

[In millions of dollars]

|                                                         | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| <b>Enacted/requested:</b>                               |             |           |           |
| Budget Authority .....                                  | 130         | 46        | 100       |
| Outlays .....                                           | 130         | 46        | 100       |
| <b>Adjustment to 1996 continuing resolution levels:</b> |             |           |           |
| Budget Authority .....                                  | .....       | 54        | .....     |
| Outlays .....                                           | .....       | 54        | .....     |

**General and special funds—Continued**

**EMERGENCY FOOD AND SHELTER PROGRAM—Continued**

Summary of Budget Authority and Outlays—Continued

(In millions of dollars)

| Total:                 | 1995 actual | 1996 est. | 1997 est. |
|------------------------|-------------|-----------|-----------|
| Budget Authority ..... | 130         | 100       | 100       |
| Outlays .....          | 130         | 100       | 100       |

This program provides grants to voluntary organizations at the local level to supplement their programs for emergency food and shelter.

**Public enterprise funds:**

*WORKING CAPITAL FUND*

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 58-4188-2-4-803                                          | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                             |             |           |           |
| 00.01 Reimbursements from offsetting collections (other FEMA accounts) ..... |             |           | 16        |
| 00.02 Reimbursements from other Federal agencies .....                       |             |           | 1         |
| 10.00 Total obligations .....                                                |             |           | 17        |
| Budgetary resources available for obligation:                                |             |           |           |
| 22.00 New budget authority (gross) .....                                     |             |           | 17        |
| 23.95 New obligations .....                                                  |             |           | -17       |
| New budget authority (gross), detail:                                        |             |           |           |
| Spending authority from offsetting collections:                              |             |           |           |
| Offsetting collections (cash):                                               |             |           |           |
| 68.00 Offsetting collections (cash) .....                                    |             |           | 16        |
| 68.00 Offsetting collections (cash) .....                                    |             |           | 1         |
| 68.90 Spending authority from offsetting collections (total) .....           |             |           | 17        |
| 70.00 Total new budget authority (gross) .....                               |             |           | 17        |
| Change in unpaid obligations:                                                |             |           |           |
| 72.90 Unpaid obligations, start of year: Obligated balance:                  |             |           |           |
| Fund balance .....                                                           |             |           |           |
| 73.10 New obligations .....                                                  |             |           | 17        |
| 73.20 Total outlays (gross) .....                                            |             |           | -14       |
| 74.90 Unpaid obligations, end of year: Obligated balance:                    |             |           |           |
| Fund balance .....                                                           |             |           |           |
|                                                                              |             |           | 3         |
| Outlays (gross), detail:                                                     |             |           |           |
| 86.97 Outlays from new permanent authority .....                             |             |           | 14        |
| 87.00 Total outlays (gross) .....                                            |             |           | 14        |
| Offsets:                                                                     |             |           |           |
| Against gross budget authority and outlays:                                  |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources .....              |             |           | -17       |
| Net budget authority and outlays:                                            |             |           |           |
| 89.00 Budget authority .....                                                 |             |           |           |
| 90.00 Outlays .....                                                          |             |           | -3        |

This fund finances, on a reimbursable basis, certain support functions provided at the Mt. Weather Emergency Assistance Center by FEMA. Services such as office operations, conferencing, and billeting are available to all organizations and elements of the Agency, as well as other Federal agencies on a reimbursable basis.

Object Classification (in millions of dollars)

| Identification code 58-4188-2-4-803       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                   |             |           |           |
| 11.1 Full-time permanent .....            |             |           | 6         |
| 11.3 Other than full-time permanent ..... |             |           | 1         |

|                                                                 |  |  |    |
|-----------------------------------------------------------------|--|--|----|
| 11.9 Total personnel compensation .....                         |  |  | 7  |
| 12.1 Civilian personnel benefits .....                          |  |  | 2  |
| 23.3 Communications, utilities, and miscellaneous charges ..... |  |  | 1  |
| 25.2 Other services .....                                       |  |  | 2  |
| 25.7 Operation and maintenance of equipment .....               |  |  | 1  |
| 26.0 Supplies and materials .....                               |  |  | 2  |
| 31.0 Equipment .....                                            |  |  | 1  |
| 32.0 Land and structures .....                                  |  |  | 1  |
| 99.0 Subtotal, reimbursable obligations .....                   |  |  | 17 |
| 99.9 Total obligations .....                                    |  |  | 17 |

Personnel Summary

| Identification code 58-4188-2-4-803                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 2001 Total compensable workyears: Full-time equivalent employment ..... |             |           | 186       |

**NATIONAL INSURANCE DEVELOPMENT FUND**

Program and Financing (in millions of dollars)

| Identification code 58-4235-0-3-451                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                          |             |           |           |
| 00.01 Insurance claims .....                                                              | 4           | 2         | 1         |
| 00.02 Operating expenses .....                                                            | 2           | 2         | 1         |
| 10.00 Total obligations .....                                                             | 6           | 4         | 2         |
| Budgetary resources available for obligation:                                             |             |           |           |
| 22.00 New budget authority (gross) .....                                                  | 6           | 4         | 2         |
| 23.95 New obligations .....                                                               | -6          | -4        | -2        |
| New budget authority (gross), detail:                                                     |             |           |           |
| 67.15 Authority to borrow (indefinite) .....                                              | 2           | 4         | 2         |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 4           |           |           |
| 70.00 Total new budget authority (gross) .....                                            | 6           | 4         | 2         |
| Change in unpaid obligations:                                                             |             |           |           |
| 72.47 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Authority to borrow .....                                                                 |             |           |           |
|                                                                                           | 6           | 9         | 5         |
| 73.10 New obligations .....                                                               | 6           | 4         | 2         |
| 73.20 Total outlays (gross) .....                                                         | -3          | -8        | -7        |
| 74.47 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Authority to borrow .....                                                                 |             |           |           |
|                                                                                           | 9           | 5         |           |
| Outlays (gross), detail:                                                                  |             |           |           |
| 86.97 Outlays from new permanent authority .....                                          | 2           | 3         | 2         |
| 86.98 Outlays from permanent balances .....                                               | 1           | 5         | 5         |
| 87.00 Total outlays (gross) .....                                                         | 3           | 8         | 7         |
| Offsets:                                                                                  |             |           |           |
| Against gross budget authority and outlays:                                               |             |           |           |
| 88.40 Offsetting collections (cash) from: Non-Federal sources .....                       | -4          |           |           |
| Net budget authority and outlays:                                                         |             |           |           |
| 89.00 Budget authority .....                                                              | 2           | 4         | 2         |
| 90.00 Outlays .....                                                                       | -1          | 8         | 7         |

The National Insurance Development Fund provided the funding source for two programs authorized by the Urban Property Protection and Reinsurance Act of 1968, as amended: The Fair Access to Insurance Requirements Plan/Riot Reinsurance Program; and the Federal Crime Insurance Program. The Supplemental Appropriations Act, 1984 (Public Law 98-181) did not extend authority for the Riot Reinsurance Program beyond November 30, 1983. Authority for the Federal Crime Insurance Program expired September 30, 1995.

*Insurance claims.*—Claims reflect insurance payments in the program.

*Operating expenses.*—Expenses are incurred by fiscal agents in issuing policies, settling claims, and maintaining accounting and statistical records.

**Administrative expenses.**—This represents the administrative cost of operating the programs.

**Interest expense.**—This represents interest on Treasury borrowings needed to defray the underwriting and loss expenses of the crime insurance program.

**Financing.**—Claims and expenses are estimated to be paid from premium income and Treasury borrowings.

**Operating results.**—Effective October 1, 1991, Public Law 102-139 forgave all prior borrowings of the program which amounted to \$152,239,000.

Statement of Operations (in millions of dollars)

| Identification code 58-4235-0-3-451 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 5           | 4           |           |           |
| 0102 Expense .....                  | -3          | -6          | -4        | -2        |
| 0109 Net income or loss (-) .....   | 2           | -2          | -4        | -2        |

Balance Sheet (in millions of dollars)

| Identification code 58-4235-0-3-451                           | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                |             |             |           |           |
| 1101 Federal assets: Fund balances with Treasury .....        | 2           | 2           | 2         |           |
| 1206 Non-Federal assets: Receivables, net .....               | 1           | 1           | 1         |           |
| 1999 Total assets .....                                       | 3           | 3           | 3         |           |
| <b>LIABILITIES:</b>                                           |             |             |           |           |
| 2104 Federal liabilities: Resources payable to Treasury ..... | 3           | 3           | 3         | 3         |
| Non-Federal liabilities:                                      |             |             |           |           |
| 2201 Accounts payable .....                                   |             |             |           |           |
| 2207 Other .....                                              | 5           | 5           | 4         | 1         |
| 2999 Total liabilities .....                                  | 8           | 8           | 7         | 4         |
| <b>NET POSITION:</b>                                          |             |             |           |           |
| 3200 Invested capital .....                                   | -5          | -5          | -4        | -4        |
| 3999 Total net position .....                                 | -5          | -5          | -4        | -4        |
| 4999 Total liabilities and net position .....                 | 3           | 3           | 3         |           |

Note.—This statement excludes unfunded contingent liabilities under the crime insurance program as follows: 1994, \$150 million; 1995, \$164 million; and 1996, \$84 million.

Object Classification (in millions of dollars)

| Identification code 58-4235-0-3-451         | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| 25.2 Other services .....                   | 2           | 2         | 1         |
| 42.0 Insurance claims and indemnities ..... | 4           | 2         | 1         |
| 99.9 Total obligations .....                | 6           | 4         | 2         |

Personnel Summary

| Identification code 58-4235-0-3-451                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 6           | 4         | 2         |

NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968, the Flood Disaster Protection Act of 1973, and the National Flood Insurance Reform Act of 1994, not to exceed \$20,981,000 for salaries and expenses associated with flood mitigation and flood insurance operations, and not to exceed \$78,464,000 for flood mitigation, including up to \$20,000,000 for expenses under section 1366 of the National Flood Insurance Act, which amount shall be available until September 30, 1998. In fiscal year 1997, no funds in excess of (1) \$47,000,000 for operating expenses, (2) \$335,680,000 for agents' commissions and taxes, and (3) \$35,000,000 for interest on Treasury borrowings shall be available from the National Flood Insurance Fund without prior notice to the Committees on Appropriations.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 58-4236-0-3-453                                | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                            |             |           |           |
| 00.01 Insurance underwriting expense .....                         | 273         | 349       | 383       |
| 00.02 Loss and adjustment expense .....                            | 1,122       | 888       | 591       |
| 00.03 Interest Expense .....                                       |             | 26        | 33        |
| 00.04 Flood insurance and mitigation program expenses .....        | 61          | 91        | 99        |
| 10.00 Total obligations .....                                      | 1,456       | 1,354     | 1,106     |
| <b>Budgetary resources available for obligation:</b>               |             |           |           |
| Unobligated balance available, start of year:                      |             |           |           |
| 21.90 Fund balance .....                                           | -93         |           |           |
| 21.91 U.S. Securities: Par value .....                             | 200         |           |           |
| 21.99 Total unobligated balance, start of year .....               | 107         |           |           |
| 22.00 New budget authority (gross) .....                           | 1,349       | 1,354     | 1,107     |
| 23.90 Total budgetary resources available for obligation .....     | 1,456       | 1,354     | 1,107     |
| 23.95 New obligations .....                                        | -1,456      | -1,354    | -1,106    |
| <b>New budget authority (gross), detail:</b>                       |             |           |           |
| 67.15 Authority to borrow (indefinite) .....                       | 469         | 292       |           |
| <b>Spending authority from offsetting collections:</b>             |             |           |           |
| Offsetting collections (cash):                                     |             |           |           |
| 68.00 Premium and other collections .....                          | 814         | 967       | 1,075     |
| 68.00 Collection of program expenses .....                         | 66          | 95        | 104       |
| 68.47 Portion applied to debt reduction .....                      |             |           | -72       |
| 68.90 Spending authority from offsetting collections (total) ..... | 880         | 1,062     | 1,107     |
| 70.00 Total new budget authority (gross) .....                     | 1,349       | 1,354     | 1,107     |
| <b>Change in unpaid obligations:</b>                               |             |           |           |
| Unpaid obligations, start of year: Obligated balance:              |             |           |           |
| 72.90 Fund balance .....                                           | 93          | 209       | 250       |
| 73.10 New obligations .....                                        | 1,456       | 1,354     | 1,106     |
| 73.20 Total outlays (gross) .....                                  | -1,340      | -1,313    | -1,074    |
| Unpaid obligations, end of year: Obligated balance:                |             |           |           |
| 74.90 Fund balance .....                                           | 209         | 250       | 283       |
| <b>Outlays (gross), detail:</b>                                    |             |           |           |
| 86.97 Outlays from new permanent authority .....                   | 1,147       | 1,151     | 1,003     |
| 86.98 Outlays from permanent balances .....                        | 193         | 162       | 71        |
| 87.00 Total outlays (gross) .....                                  | 1,340       | 1,313     | 1,074     |
| <b>Offsets:</b>                                                    |             |           |           |
| Against gross budget authority and outlays:                        |             |           |           |
| Offsetting collections (cash) from:                                |             |           |           |
| 88.00 Premium and other collections .....                          | -814        | -967      | -1,075    |
| 88.40 Collection of program expenses .....                         | -66         | -95       | -104      |
| 88.90 Total, offsetting collections (cash) .....                   | -880        | -1,062    | -1,179    |
| <b>Net budget authority and outlays:</b>                           |             |           |           |
| 89.00 Budget authority .....                                       | 469         | 292       | -72       |
| 90.00 Outlays .....                                                | 459         | 251       | -106      |

The National Flood Insurance Act of 1968, as amended, authorizes the Federal Government to provide flood insurance on a national basis. Flood insurance may be sold or continued in force only in communities which enact and enforce appropriate flood plain management measures. Communities must participate in the program within one year of the time they are identified as flood prone in order to be eligible for flood insurance and some forms of Federal financial assistance for acquisition or construction purposes. In 1997, the budget assumes collection of all of the administrative and program costs associated with flood insurance activities from policy holders.

Under the emergency program, structures in identified flood-prone areas are eligible for limited amounts of coverage at subsidized insurance rates. Under the regular program, studies must be made of different flood risks in flood-prone areas to establish actuarial premium rates. These rates are charged for insurance on new construction. Coverage is available on virtually all types of buildings and their contents

**Public enterprise funds—Continued**

**NATIONAL FLOOD INSURANCE FUND—Continued**

in amounts up to \$350 thousand for residential and \$1 million for other types.

*Budget program—Insurance underwriting expense.*—Cost of initiating and maintaining flood insurance policies is estimated at \$383 million in 1997.

*Loss and adjustment expense.*—Insured flood losses and associated loss adjustment expense is estimated at \$591 million in 1997.

*Interest expense.*—Interest expenses for Treasury borrowings are projected; a ceiling of \$35 million is requested to cover charges for purchasing Treasury securities and possible unanticipated interest costs.

The budget proposes to recover the cost of the following activities from policyholders and to reimburse other appropriations in FEMA's budget:

*Flood studies and surveys.*—These studies are estimated at \$52 million in 1997.

*Flood hazard reduction.*—This activity, which includes grants to States, is estimated at \$7 million in 1997.

*Mitigation assistance.*—This activity is estimated at \$20 million for 1997.

*Salaries and expenses.*—This activity provides for salaries and related expenses of all Federal staff administering the National Flood Insurance Program and is estimated at \$21 million in 1997.

*Financing.*—The Administrator is authorized to borrow up to \$1 billion to carry out the program. The program is financed through premium income and appropriations to repay borrowing.

*Operating results.*—The program achieved its goal of becoming self-supporting for the average loss year. Flood insurance rates will be closely monitored and adjusted as necessary so that the program maintains its self-supporting status and continues to cover the cost of salaries and expenses and program activities for flood insurance and mitigation.

Statement of Operations (in millions of dollars)

| Identification code 58-4236-0-3-453 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 793         | 880         | 1,062     | 1,179     |
| 0102 Expense .....                  | -576        | -1,456      | -1,354    | -1,107    |
| 0109 Net income or loss (-) .....   | 217         | -576        | -292      | 72        |

Balance Sheet (in millions of dollars)

| Identification code 58-4236-0-3-453           | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                |             |             |           |           |
| Federal assets:                               |             |             |           |           |
| 1101 Fund balances with Treasury .....        |             | 6           | 10        | 10        |
| Investments in US securities:                 |             |             |           |           |
| 1102 Treasury securities, par .....           | 200         |             |           |           |
| Non-Federal assets:                           |             |             |           |           |
| 1206 Receivables, net .....                   | 8           | 12          | 14        | 16        |
| 1207 Advances and prepayments .....           | 151         | 174         | 200       | 230       |
| Other Federal assets:                         |             |             |           |           |
| 1801 Cash and other monetary assets .....     | 12          | 7           | 8         | 9         |
| 1802 Inventories and related properties ..... | 8           | 6           | 6         | 7         |
| 1803 Property, plant and equipment, net ..... | 1           | 1           | 2         | 2         |
| 1999 Total assets .....                       | 380         | 206         | 240       | 274       |
| <b>LIABILITIES:</b>                           |             |             |           |           |
| Federal liabilities:                          |             |             |           |           |
| 2101 Accounts payable .....                   | 17          | 3           | 2         | 2         |
| 2102 Interest payable .....                   |             |             | 13        | 17        |
| 2103 Debt .....                               |             | 265         | 603       | 531       |
| 2104 Resources payable to Treasury .....      | 1           | 1           | 1         | 1         |
| Non-Federal liabilities:                      |             |             |           |           |
| 2201 Accounts payable .....                   | 10          | 14          | 10        | 8         |
| 2207 Other .....                              | 600         | 790         | 531       | 590       |
| 2999 Total liabilities .....                  | 628         | 1,073       | 1,160     | 1,147     |
| <b>NET POSITION:</b>                          |             |             |           |           |
| 3200 Invested capital .....                   | -248        | -867        | -920      | -873      |

|                                               |      |      |      |      |
|-----------------------------------------------|------|------|------|------|
| 3999 Total net position .....                 | -248 | -867 | -920 | -873 |
| 4999 Total liabilities and net position ..... | 380  | 206  | 240  | 274  |

Note.—This statement excludes unfunded contingent liabilities under the insurance program as follows: 1995, \$325 billion; 1996, \$365 billion; and 1997, \$389 billion.

Object Classification (in millions of dollars)

| Identification code 58-4236-0-3-453                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                             |             |           |           |
| 11.1 Full-time permanent .....                                      | 10          | 13        | 14        |
| 11.3 Other than full-time permanent .....                           | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                             | 11          | 14        | 15        |
| 12.1 Civilian personnel benefits .....                              | 2           | 3         | 3         |
| 21.0 Travel and transportation of persons .....                     | 1           | 1         | 1         |
| 24.0 Printing and reproduction .....                                | 1           | 1         | 2         |
| 25.1 Advisory and assistance services .....                         | 29          | 25        | 25        |
| 25.2 Other services .....                                           | 280         | 368       | 399       |
| 25.3 Purchases of goods and services from Government accounts ..... | 5           | 11        | 11        |
| 41.0 Grants, subsidies, and contributions .....                     | 4           | 16        | 24        |
| 42.0 Insurance claims and indemnities .....                         | 1,122       | 888       | 591       |
| 43.0 Interest and dividends .....                                   |             | 26        | 33        |
| 99.5 Below reporting threshold .....                                | 1           | 1         | 2         |
| 99.9 Total obligations .....                                        | 1,456       | 1,354     | 1,106     |

Personnel Summary

| Identification code 58-4236-0-3-453                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 193         | 258       | 258       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           |           |           |

**Credit accounts:**

**DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT**

For the cost of direct loans, \$1,385,000, as authorized by section 319 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$25,000,000.

In addition, for administrative expenses to carry out the direct loan program, \$548,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 58-0105-0-1-453                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                               |             |           |           |
| 00.01 States share program .....                               | 15          | 2         | 2         |
| 00.02 Community Disaster Loan .....                            |             | 1         |           |
| 10.00 Total obligations (object class 33.0) .....              | 15          | 3         | 2         |
| Budgetary resources available for obligation:                  |             |           |           |
| 22.00 New budget authority (gross) .....                       | 17          | 3         | 2         |
| 22.30 Unobligated balance expiring .....                       | -2          |           |           |
| 23.90 Total budgetary resources available for obligation ..... | 15          | 3         | 2         |
| 23.95 New obligations .....                                    | -15         | -3        | -2        |
| New budget authority (gross), detail:                          |             |           |           |
| 40.00 Appropriation .....                                      | 2           | 3         | 2         |
| 42.00 Transferred from .....                                   | 15          |           |           |
| 43.00 Appropriation (total) .....                              | 17          | 3         | 2         |
| 70.00 Total new budget authority (gross) .....                 | 17          | 3         | 2         |
| Change in unpaid obligations:                                  |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            | 2           | 12        | 2         |
| 73.10 New obligations .....                                    | 15          | 3         | 2         |

|                                   |                                                                            |    |     |    |
|-----------------------------------|----------------------------------------------------------------------------|----|-----|----|
| 73.20                             | Total outlays (gross) .....                                                | -4 | -13 | -2 |
| 74.40                             | Unpaid obligations, end of year: Obligated balance:<br>Appropriation ..... | 12 | 2   | 2  |
| Outlays (gross), detail:          |                                                                            |    |     |    |
| 86.90                             | Outlays from new current authority .....                                   |    | 3   | 2  |
| 86.93                             | Outlays from current balances .....                                        | 2  | 10  |    |
| 87.00                             | Total outlays (gross) .....                                                | 4  | 13  | 2  |
| Net budget authority and outlays: |                                                                            |    |     |    |
| 89.00                             | Budget authority .....                                                     | 17 | 3   | 2  |
| 90.00                             | Outlays .....                                                              | 4  | 15  | 2  |

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100-707) are loans to States of the non-Federal portion of cost-sharing funds, and community disaster loans to local governments incurring substantial loss of tax and other revenues as a result of a major disaster. The funds requested for this program include direct loans and a subsidy based on criteria including loan amount and interest charged.

As required by the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 58-0105-0-1-453                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |           |           |
| 1150 States share program .....                             | 175         | 35        | 25        |
| 1150 Community Disaster Loan .....                          |             | 1         |           |
| 1159 Total direct loan levels .....                         | 175         | 36        | 25        |
| Direct loan subsidy (in percent):                           |             |           |           |
| 1320 States share program .....                             | 9.67        | 8.62      | 5.54      |
| 1320 Community Disaster Loan .....                          | 0.00        | 87.26     | 96.78     |
| 1329 Weighted average subsidy rate .....                    | 0.00        | 0.00      | 0.00      |
| Direct loan subsidy budget authority:                       |             |           |           |
| 1330 States share program .....                             | 17          | 2         | 2         |
| 1330 Community Disaster Loan .....                          |             | 1         |           |
| 1339 Total subsidy budget authority .....                   | 17          | 3         | 2         |
| Direct loan subsidy outlays:                                |             |           |           |
| 1340 States share program .....                             | 2           | 12        | 2         |
| 1340 Community disaster loans .....                         |             | 1         |           |
| 1349 Total subsidy outlays .....                            | 2           | 13        | 2         |

Personnel Summary

| Identification code 58-0105-0-1-453                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 1           | 1         | 4         |

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 58-4234-0-3-453                                    | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                       |             |           |           |
| 00.01 Direct loans .....                                               | 140         | 36        | 25        |
| 00.02 Interest on Treasury borrowing .....                             | 8           | 7         | 8         |
| 10.00 Total obligations .....                                          | 148         | 43        | 33        |
| Budgetary resources available for obligation:                          |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance ..... |             | 37        | 30        |
| 22.00 New financing authority (gross) .....                            | 185         | 36        | 25        |

|                                                                |                                                                             |      |      |     |
|----------------------------------------------------------------|-----------------------------------------------------------------------------|------|------|-----|
| 23.90                                                          | Total budgetary resources available for obligation                          | 185  | 73   | 55  |
| 23.95                                                          | New obligations .....                                                       | -148 | -43  | -33 |
| 24.90                                                          | Unobligated balance available, end of year: Fund balance .....              | 37   | 30   | 22  |
| New financing authority (gross), detail:                       |                                                                             |      |      |     |
| 67.15                                                          | Authority to borrow (indefinite) .....                                      | 158  | 23   | 23  |
| Spending authority from offsetting collections:                |                                                                             |      |      |     |
| 68.00                                                          | Offsetting collections (cash) .....                                         | 47   | 45   | 87  |
| 68.47                                                          | Portion applied to debt reduction .....                                     | -20  | -32  | -85 |
| 68.90                                                          | Spending authority from offsetting collections (total) .....                | 27   | 13   | 2   |
| 70.00                                                          | Total new financing authority (gross) .....                                 | 185  | 36   | 25  |
| Change in unpaid obligations:                                  |                                                                             |      |      |     |
| 72.90                                                          | Unpaid obligations, start of year: Obligated balance:<br>Fund balance ..... | 21   | 77   | 1   |
| 73.10                                                          | New obligations .....                                                       | 148  | 43   | 33  |
| 73.20                                                          | Total financing disbursements (gross) .....                                 | -92  | -119 | -33 |
| 74.90                                                          | Unpaid obligations, end of year: Obligated balance:<br>Fund balance .....   | 77   | 1    | 1   |
| Outlays (gross), detail:                                       |                                                                             |      |      |     |
| 87.00                                                          | Total financing disbursements (gross) .....                                 | 92   | 119  | 33  |
| Offsets:                                                       |                                                                             |      |      |     |
| Against gross financing authority and financing disbursements: |                                                                             |      |      |     |
| Offsetting collections (cash) from:                            |                                                                             |      |      |     |
| 88.00                                                          | Federal funds (payments from program account) .....                         | -4   | -13  | -2  |
| 88.20                                                          | Interest on U.S. securities .....                                           | -9   |      |     |
| Non-Federal sources:                                           |                                                                             |      |      |     |
| 88.40                                                          | Repayments of principal .....                                               | -30  | -23  | -73 |
| 88.40                                                          | Interest received on loans .....                                            | -4   | -9   | -12 |
| 88.90                                                          | Total, offsetting collections (cash) .....                                  | -47  | -45  | -87 |
| Net financing authority and financing disbursements:           |                                                                             |      |      |     |
| 89.00                                                          | Financing authority .....                                                   | 138  | -9   | -62 |
| 90.00                                                          | Financing disbursements .....                                               | 45   | 74   | -54 |

Status of Direct Loans (in millions of dollars)

| Identification code 58-4234-0-3-453                                    | 1995 actual                                    | 1996 est. | 1997 est. |     |
|------------------------------------------------------------------------|------------------------------------------------|-----------|-----------|-----|
| Position with respect to appropriations act limitation on obligations: |                                                |           |           |     |
| 1111                                                                   | Limitation on direct loans .....               | 175       | 36        | 25  |
| 1112                                                                   | Unobligated direct loan limitation .....       | -35       |           |     |
| 1150                                                                   | Total direct loan obligations .....            | 140       | 36        | 25  |
| Cumulative balance of direct loans outstanding:                        |                                                |           |           |     |
| 1210                                                                   | Outstanding, start of year .....               | 76        | 90        | 179 |
| 1231                                                                   | Disbursements: Direct loan disbursements ..... | 44        | 112       | 25  |
| 1251                                                                   | Repayments: Repayments and prepayments .....   | -30       | -23       | -73 |
| 1290                                                                   | Outstanding, end of year .....                 | 90        | 179       | 131 |

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records, for this program, all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

| Identification code 58-4234-0-3-453                               | 1994 actual                                                | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|------------------------------------------------------------|-------------|-----------|-----------|
| ASSETS:                                                           |                                                            |             |           |           |
| Net value of assets related to post-1991 direct loans receivable: |                                                            |             |           |           |
| 1401                                                              | Direct loans receivable, gross .....                       | 76          | 90        | 179       |
| 1402                                                              | Interest receivable .....                                  | 4           | 6         | 12        |
| 1405                                                              | Allowance for subsidy cost (-) .....                       | -13         | -17       | -3        |
| 1499                                                              | Net present value of assets related to direct loans .....  | 67          | 79        | 188       |
| 1801                                                              | Other Federal assets: Cash and other monetary assets ..... | 11          | 143       | 31        |
| 1999                                                              | Total assets .....                                         | 78          | 222       | 219       |

**Credit accounts—Continued**

**DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT—  
Continued**

Balance Sheet (in millions of dollars)—Continued

| Identification code 58-4234-0-3-453           | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-------------|-----------|-----------|
| <b>LIABILITIES:</b>                           |             |             |           |           |
| 2103 Federal liabilities: Debt .....          | 78          | 222         | 219       | 165       |
| 2999 Total liabilities .....                  | 78          | 222         | 219       | 165       |
| <b>NET POSITION:</b>                          |             |             |           |           |
| 3300 Cumulative results of operations .....   |             |             |           |           |
| 3999 Total net position .....                 |             |             |           |           |
| 4999 Total liabilities and net position ..... | 78          | 222         | 219       | 165       |

Object Classification (in millions of dollars)

| Identification code 58-4234-0-3-453 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| 33.0 Investments and loans .....    | 140         | 36        | 25        |
| 43.0 Interest and dividends .....   | 8           | 7         | 8         |
| 99.9 Total obligations .....        | 148         | 43        | 33        |

**DISASTER ASSISTANCE DIRECT LOAN LIQUIDATING ACCOUNT**

Program and Financing (in millions of dollars)

| Identification code 58-4232-0-3-453                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                       |             |           |           |
| 23.95 New obligations .....                                         |             |           |           |
| 24.40 Unobligated balance available, end of year:                   |             |           |           |
| Uninvested balance .....                                            |             |           |           |
| New budget authority (gross), detail:                               |             |           |           |
| Spending authority from offsetting collections:                     |             |           |           |
| 68.00 Offsetting collections (cash) .....                           |             | 70        | 2         |
| 68.47 Portion applied to debt reduction .....                       |             | -70       | -2        |
| 68.90 Spending authority from offsetting collections (total) .....  |             |           |           |
| 70.00 Total new budget authority (gross) .....                      |             |           |           |
| Change in unpaid obligations:                                       |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:         |             |           |           |
| Appropriation .....                                                 | 46          |           |           |
| 73.10 New obligations .....                                         |             |           |           |
| 73.20 Total outlays (gross) .....                                   |             |           |           |
| 73.40 Adjustments in expired accounts .....                         | -46         |           |           |
| Outlays (gross), detail:                                            |             |           |           |
| 87.00 Total outlays (gross) .....                                   |             |           |           |
| Offsets:                                                            |             |           |           |
| Against gross budget authority and outlays:                         |             |           |           |
| 88.40 Offsetting collections (cash) from: Non-Federal sources ..... |             | -70       | -2        |
| Net budget authority and outlays:                                   |             |           |           |
| 89.00 Budget authority .....                                        |             | -70       | -2        |
| 90.00 Outlays .....                                                 |             | -70       | -2        |

Status of Direct Loans (in millions of dollars)

| Identification code 58-4232-0-3-453               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding:   |             |           |           |
| 1210 Outstanding, start of year .....             | 59          | 59        | 15        |
| 1251 Repayments: Repayments and prepayments ..... |             | -44       |           |
| 1290 Outstanding, end of year .....               | 59          | 15        | 15        |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All

new activity in this program in 1992 and beyond (including modifications of direct loans) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

| Identification code 58-4232-0-3-453                                                                                 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                                                                      |             |             |           |           |
| Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |           |           |
| 1601 Direct loans, gross .....                                                                                      | 59          | 59          | 15        | 15        |
| 1602 Interest receivable .....                                                                                      | 26          | 32          | 4         | 2         |
| 1604 Direct loans and interest receivable, net .....                                                                | 85          | 91          | 19        | 17        |
| 1699 Value of assets related to direct loans .....                                                                  | 85          | 91          | 19        | 17        |
| 1801 Other Federal assets: Cash and other monetary assets .....                                                     | 46          |             |           |           |
| 1999 Total assets .....                                                                                             | 131         | 91          | 19        | 17        |
| <b>NET POSITION:</b>                                                                                                |             |             |           |           |
| 3300 Cumulative results of operations .....                                                                         | 131         | 91          | 19        | 17        |
| 3999 Total net position .....                                                                                       | 131         | 91          | 19        | 17        |

**Trust Funds**

**BEQUESTS AND GIFTS**

Program and Financing (in millions of dollars)

| Identification code 11-8244-0-7-453                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                                        |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 1           | 1         | 1         |
| 22.00 New budget authority (gross) .....                                             |             |           |           |
| 23.90 Total budgetary resources available for obligation .....                       | 1           | 1         | 1         |
| 23.95 New obligations .....                                                          |             |           |           |
| 24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....   | 1           | 1         | 1         |
| Outlays (gross), detail:                                                             |             |           |           |
| 87.00 Total outlays (gross) .....                                                    |             |           |           |
| Net budget authority and outlays:                                                    |             |           |           |
| 89.00 Budget authority .....                                                         |             |           |           |
| 90.00 Outlays .....                                                                  |             |           |           |

This fund represents contributions from the estate of Cora Brown to support the activities of the Disaster Relief Fund.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|                                                        | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| Offsetting receipts from the public:                   |             |           |           |
| 58-089700 Radiological emergency preparedness .....    | 18          | 11        |           |
| Legislative proposal, subject to PAYGO .....           |             |           | 12        |
| General Fund Offsetting receipts from the public ..... | 18          | 11        | 12        |

**FEDERAL FINANCIAL INSTITUTIONS  
EXAMINATION COUNCIL APPRAISAL  
SUBCOMMITTEE**

*Federal Funds*

**General and special funds:**

REGISTRY FEES

Unavailable Collections (in millions of dollars)

| Identification code 95-5026-0-2-376               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                           |             |           |           |
| 01.99 Balance, start of year .....                |             |           |           |
| Receipts:                                         |             |           |           |
| 02.01 Registry fees, Appraisal subcommittee ..... | 4           | 2         | 2         |
| Appropriation:                                    |             |           |           |
| 05.01 Registry fees .....                         | -4          | -2        | -2        |
| 07.99 Total balance, end of year .....            |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 95-5026-0-2-376                                    | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                       |             |           |           |
| 00.01 Administrative expenses .....                                    | 1           | 1         | 1         |
| 00.02 Grants, subsidies and contributions .....                        | 1           | 1         | 1         |
| 10.00 Total obligations .....                                          | 2           | 2         | 2         |
| Budgetary resources available for obligation:                          |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance ..... | 4           | 4         | 3         |
| 22.00 New budget authority (gross) .....                               | 4           | 2         | 2         |
| 22.40 Capital transfer to general fund .....                           | -2          | -1        | -1        |
| 23.90 Total budgetary resources available for obligation .....         | 6           | 5         | 4         |
| 23.95 New obligations .....                                            | -2          | -2        | -2        |
| 24.90 Unobligated balance available, end of year: Fund balance .....   | 4           | 3         | 2         |
| New budget authority (gross), detail:                                  |             |           |           |
| 60.25 Appropriation (special fund, indefinite) .....                   | 4           | 2         | 2         |
| Change in unpaid obligations:                                          |             |           |           |
| 73.10 New obligations .....                                            | 2           | 2         | 2         |
| 73.20 Total outlays (gross) .....                                      | -2          | -2        | -2        |
| Outlays (gross), detail:                                               |             |           |           |
| 86.98 Outlays from permanent balances .....                            | 2           | 2         | 2         |
| 87.00 Total outlays (gross) .....                                      | 2           | 2         | 2         |
| Net budget authority and outlays:                                      |             |           |           |
| 89.00 Budget authority .....                                           | 4           | 2         | 2         |
| 90.00 Outlays .....                                                    | 2           | 2         | 2         |

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101-73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time

appropriation of \$5 million. The Subcommittee is now operating on fee income from state-licensed and certified real estate appraisers in the national registry, and projects full repayment of the Treasury advance by 1998. The Treasury has already been repaid \$2 million.

Object Classification (in millions of dollars)

| Identification code 95-5026-0-2-376                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1         | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 1           | 1         | 1         |
| 99.9 Total obligations .....                           | 2           | 2         | 2         |

Personnel Summary

| Identification code 95-5026-0-2-376                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 9           | 7         | 7         |

**FEDERAL HOUSING FINANCE BOARD**

*Federal Funds*

**Public enterprise funds:**

FEDERAL HOUSING FINANCE BOARD

Program and Financing (in millions of dollars)

| Identification code 95-4039-0-3-371                                                                                | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                                                   |             |           |           |
| 00.02 Operating expenses .....                                                                                     | 13          | 16        | 17        |
| 10.00 Total obligations .....                                                                                      | 13          | 16        | 17        |
| Budgetary resources available for obligation:                                                                      |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance .....                                             | 4           | 2         | 1         |
| 22.00 New budget authority (gross) .....                                                                           | 12          | 15        | 16        |
| 23.90 Total budgetary resources available for obligation .....                                                     | 15          | 17        | 17        |
| 23.95 New obligations .....                                                                                        | -13         | -16       | -17       |
| 24.90 Unobligated balance available, end of year: Fund balance .....                                               | 2           | 1         |           |
| New budget authority (gross), detail:                                                                              |             |           |           |
| 68.00 Spending authority from offsetting collections (gross):<br>Offsetting collections (cash) .....               | 12          | 15        | 16        |
| Change in unpaid obligations:                                                                                      |             |           |           |
| 72.90 Unpaid obligations, start of year: Obligated balance:<br>Fund balance .....                                  | 2           | 2         | 2         |
| 73.10 New obligations .....                                                                                        | 13          | 16        | 17        |
| 73.20 Total outlays (gross) .....                                                                                  | -13         | -16       | -17       |
| 74.90 Unpaid obligations, end of year: Obligated balance:<br>Fund balance .....                                    | 2           | 2         | 2         |
| Outlays (gross), detail:                                                                                           |             |           |           |
| 86.97 Outlays from new permanent authority .....                                                                   | 7           | 12        | 14        |
| 86.98 Outlays from permanent balances .....                                                                        | 6           | 4         | 3         |
| 87.00 Total outlays (gross) .....                                                                                  | 13          | 16        | 17        |
| Offsets:                                                                                                           |             |           |           |
| 88.40 Against gross budget authority and outlays:<br>Offsetting collections (cash) from: Non-Federal sources ..... | -12         | -15       | -16       |
| Net budget authority and outlays:                                                                                  |             |           |           |
| 89.00 Budget authority .....                                                                                       | 2           | 1         | 1         |
| 90.00 Outlays .....                                                                                                | 2           | 1         | 1         |

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The duties of the Finance Board are: (1) to ensure that the twelve

**Public enterprise funds—Continued**

FEDERAL HOUSING FINANCE BOARD—Continued

Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise all lending and related operations of the Banks; (3) to ensure that the Banks fulfill their mission to the housing finance industry; (4) to ensure that the Banks remain adequately capitalized; and (5) to ensure that the Banks are able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member Board of Directors. The Directors are the Secretary of Housing and Urban Development and four other individuals appointed by the President, with the advice and consent of the Senate. The President designates one of the appointed Directors as the Chairperson of the Board of Directors. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and (4) use the United States mails in the same manner and under the same conditions as a department or agency of the United States.

Statement of Operations (in millions of dollars)

| Identification code 95-4039-0-3-371 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 16          | 12          | 15        | 16        |
| 0102 Expense .....                  | -14         | -13         | -16       | -17       |
| 0109 Net income .....               | 2           | -1          | -1        | -1        |

Balance Sheet (in millions of dollars)

| Identification code 95-4039-0-3-371                    | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                         |             |             |           |           |
| 1101 Federal assets: Fund balances with Treasury ..... | 6           | 4           | 4         | 4         |
| 1999 Total assets .....                                | 6           | 4           | 4         | 4         |
| <b>LIABILITIES:</b>                                    |             |             |           |           |
| 2101 Federal liabilities: Accounts payable .....       | 1           | 1           | 1         | 2         |
| 2999 Total liabilities .....                           | 1           | 1           | 1         | 2         |
| <b>NET POSITION:</b>                                   |             |             |           |           |
| 3300 Cumulative results of operations .....            | 5           | 3           | 3         | 2         |
| 3999 Total net position .....                          | 5           | 3           | 3         | 2         |
| 4999 Total liabilities and net position .....          | 6           | 4           | 4         | 4         |

Object Classification (in millions of dollars)

| Identification code 95-4039-0-3-371                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 6           | 8         | 8         |
| 11.3 Other than full-time permanent .....                       | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                         | 7           | 9         | 9         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2         | 2         |
| 23.2 Rental payments to others .....                            | 2           | 2         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             |           | 1         |
| 25.2 Other services .....                                       | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                            | 1           | 2         | 2         |
| 99.9 Total obligations .....                                    | 13          | 16        | 17        |

Personnel Summary

| Identification code 95-4039-0-3-371                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 1001 Full-time equivalent employment .....                    | 105         | 110       | 117       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           |           | 1         |

**FEDERAL LABOR RELATIONS AUTHORITY**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, rental of conference rooms in the District of Columbia and elsewhere; **[\$20,542,000]** \$21,988,000: *Provided*, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Independent Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 54-0100-0-1-805                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| <b>Direct program:</b>                                      |             |           |           |
| 00.01 Federal Labor Relations Authority .....               | 11          | 11        | 11        |
| 00.02 Office of the General Counsel .....                   | 9           | 9         | 10        |
| 00.03 Federal Service Impasses Panel .....                  | 1           | 1         | 1         |
| 10.00 Total obligations .....                               | 21          | 21        | 22        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 21          | 21        | 22        |
| 23.95 New obligations .....                                 | -21         | -21       | -22       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 21          | 21        | 22        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 2           | 2         | 2         |
| 73.10 New obligations .....                                 | 21          | 21        | 22        |
| 73.20 Total outlays (gross) .....                           | -21         | -21       | -22       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 2           | 2         | 2         |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 20          | 19        | 20        |
| 86.93 Outlays from current balances .....                   | 2           | 2         | 1         |
| 87.00 Total outlays (gross) .....                           | 21          | 21        | 22        |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 21          | 21        | 22        |
| 90.00 Outlays .....                                         | 21          | 21        | 22        |

The Federal Labor Relations Authority (FLRA) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute, decides major policy issues, prescribes regulations, and disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in training and facilitation in labor-management partnerships and in resolving disputes in its unified Collaboration and Alternative Dispute Resolution Program. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; facilitates the creation of partnerships as called for in Executive Order 12871; and trains the parties on rights and responsibil-

ities under the Federal Labor Relations Management Statute. In FY 1995, the FLRA conducted over 490 programs involving over 20,000 employees, union representatives, arbitrators, and other practitioners.

*Components.*—The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

*Authority.*—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.

Within the Authority, Administrative Law Judges hold hearings on unfair labor practice complaints, issuing reports, and making recommendations to the Authority on each case to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Workloads are reflected in the following table:

CASE DISPOSITIONS

|                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Arbitration appeals .....                        | 80          | 111       | 116       |
| Negotiability appeals .....                      | 27          | 84        | 65        |
| Representation appeals/requests for review ..... | 14          | 24        | 30        |
| Unfair labor practice appeals .....              | 75          | 119       | 128       |

*Office of the General Counsel.*—The functions of this Office include: the investigation of all allegations of unfair labor practices filed and the processing of all representation petitions received; the exercise of final authority over the issuance and prosecution of all complaints; the supervision and conducting of elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; the conducting of all hearings to resolve disputed issues in representation cases; preparing final Decisions and Orders in these cases; and the direction and supervision of all employees of the Regional Offices. Workloads are reflected in the following table:

CASE DISPOSITIONS

|                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| Unfair labor practice cases:         |             |           |           |
| Investigations .....                 | 6,438       | 6,500     | 6,850     |
| Complaints prosecuted .....          | 50          | 45        | 45        |
| Complaints voluntarily settled ..... | 400         | 425       | 425       |
| Appeals .....                        | 575         | 575       | 575       |
| Representation cases:                |             |           |           |
| Investigations .....                 | 458         | 475       | 475       |
| Elections/hearings .....             | 218         | 250       | 270       |

*Federal Service Impasses Panel.*—The functions of the Panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978, the Panama Canal Act of 1979, and other statutes. The Panel uses a variety of procedures including factfinding and arbitration.

|                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------|-------------|-----------|-----------|
| Impasse resolutions ..... | 177         | 200       | 200       |

Object Classification (in millions of dollars)

| Identification code 54-0100-0-1-805             | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                         |             |           |           |
| 11.1 Full-time permanent .....                  | 13          | 14        | 14        |
| 11.3 Other than full-time permanent .....       | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....         | 14          | 15        | 15        |
| 12.1 Civilian personnel benefits .....          | 2           | 2         | 2         |
| 21.0 Travel and transportation of persons ..... | 1           | 1         | 1         |

|                                      |    |       |    |
|--------------------------------------|----|-------|----|
| 23.1 Rental payments to GSA .....    | 2  | 2     | 2  |
| 25.2 Other services .....            | 1  | 1     | 1  |
| 99.5 Below reporting threshold ..... | 1  | ..... | 1  |
| 99.9 Total obligations .....         | 21 | 21    | 22 |

Personnel Summary

| Identification code 54-0100-0-1-805                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 219         | 211       | 216       |

FEDERAL MARITIME COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

*For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act of 1936, as amended (46 App. U.S.C. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-02; 15,000,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

| Identification code 65-0100-0-1-403                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                         |             |           |           |
| 01.99 Balance, start of year .....                              | 1           | .....     | .....     |
| Appropriation:                                                  |             |           |           |
| 05.01 Automated tariff filing and information system fund ..... | -1          | .....     | .....     |
| 07.99 Total balance, end of year .....                          | .....       | .....     | .....     |

Program and Financing (in millions of dollars)

| Identification code 65-0100-0-1-403              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                 |             |           |           |
| 00.01 Formal proceedings .....                   | 4           | 4         | 4         |
| 00.04 Operational and administrative .....       | 2           | 2         | 2         |
| 00.06 Economics and Agreement Analysis .....     | 2           | 2         | 2         |
| 00.07 Tariffs, Certification and Licensing ..... | 3           | 3         | 3         |
| 00.08 Enforcement .....                          | 4           | 3         | 2         |
| 00.10 Administration .....                       | 2           | 2         | 2         |
| 00.11 Other Activities below threshold .....     | 2           | .....     | .....     |
| 10.00 Total obligations .....                    | 19          | 15        | 15        |

Budgetary resources available for obligation:

|                                                                |       |     |     |
|----------------------------------------------------------------|-------|-----|-----|
| 21.40 Unobligated balance available, start of year:            |       |     |     |
| Uninvested balance .....                                       | ..... | 1   | 1   |
| 22.00 New budget authority (gross) .....                       | 19    | 15  | 15  |
| 23.90 Total budgetary resources available for obligation ..... | 19    | 16  | 16  |
| 23.95 New obligations .....                                    | -19   | -15 | -15 |
| 24.40 Unobligated balance available, end of year:              |       |     |     |
| Uninvested balance .....                                       | 1     | 1   | 1   |

New budget authority (gross), detail:

|                                                      |    |       |       |
|------------------------------------------------------|----|-------|-------|
| Current:                                             |    |       |       |
| 40.00 Appropriation .....                            | 19 | 15    | 15    |
| Permanent:                                           |    |       |       |
| 60.25 Appropriation (special fund, indefinite) ..... | 1  | ..... | ..... |
| 70.00 Total new budget authority (gross) .....       | 19 | 15    | 15    |

Change in unpaid obligations:

|                                   |     |     |     |
|-----------------------------------|-----|-----|-----|
| 73.10 New obligations .....       | 19  | 15  | 15  |
| 73.20 Total outlays (gross) ..... | -19 | -15 | -15 |

Outlays (gross), detail:

|                                                |       |       |    |
|------------------------------------------------|-------|-------|----|
| 86.90 Outlays from new current authority ..... | 19    | 14    | 14 |
| 86.93 Outlays from current balances .....      | ..... | ..... | 1  |
| 87.00 Total outlays (gross) .....              | 19    | 15    | 15 |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 65-0100-0-1-403 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Net budget authority and outlays:   |             |           |           |
| 89.00 Budget authority .....        | 19          | 15        | 15        |
| 90.00 Outlays .....                 | 19          | 15        | 15        |

The Federal Maritime Commission (the Commission) regulates the domestic offshore and international waterborne commerce of the United States. In addition, the Commission has responsibility for the licensing of ocean freight forwarders, ensuring that non-vessel-operating common carriers are tariffed and bonded, ensuring that vessel owners or operators establish financial responsibility for death or injury to passengers or other persons on voyages to and from U.S. ports, and indemnification of passengers for the nonperformance of transportation. Major program areas for 1997 will concentrate on: carrying out investigations of foreign trade practices under the Foreign Shipping Practices Act; implementing and operating a system to computerize the filing of tariffs; pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes; and completing various stages of automation. Legislation will be proposed in 1997 to fund the Commission through user fees starting in 1998.

Object Classification (in millions of dollars)

| Identification code 65-0100-0-1-403                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 11          | 10        | 9         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2         | 2         |
| 23.1 Rental payments to GSA .....                      | 2           | 2         | 2         |
| 25.2 Other services .....                              | 2           | 1         | 1         |
| 99.5 Below reporting threshold .....                   | 2           |           | 1         |
| 99.9 Total obligations .....                           | 19          | 15        | 15        |

Personnel Summary

| Identification code 65-0100-0-1-403                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 196         | 180       | 180       |

**FEDERAL MEDIATION AND CONCILIATION SERVICE**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Mediation and Conciliation Service, as authorized by the Labor Management Relations Act of 1947 (29 U.S.C. 171-80, 182-83), including hire of passenger motor vehicles, and the Civil Service Reform Act (5 U.S.C. chapter 71), \$32,579,000; including \$1,500,000, to remain available through September 30, 1998, for activities authorized by the Labor Management Cooperation Act of 1978 (29 U.S.C. 175a); Provided, that notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and for arbitration services shall be credited to and merged with this account, and remain available until expended: Provided further, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 93-0100-0-1-505                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                           |             |           |           |
| Direct program:                                                            |             |           |           |
| 00.01 Dispute mediation and preventive mediation, public information ..... | 21          | 21        | 22        |
| 00.02 Arbitration services .....                                           | 1           | 1         | 1         |
| 00.03 Management and administrative support .....                          | 7           | 7         | 8         |
| 00.04 Labor-management cooperation project .....                           | 2           | 2         | 2         |
| 00.91 Total direct program .....                                           | 31          | 31        | 33        |
| 01.01 Reimbursable program .....                                           | 1           | 1         | 2         |
| 10.00 Total obligations .....                                              | 32          | 32        | 35        |
| Budgetary resources available for obligation:                              |             |           |           |
| 22.00 New budget authority (gross) .....                                   | 32          | 32        | 35        |
| 23.95 New obligations .....                                                | -32         | -32       | -35       |
| New budget authority (gross), detail:                                      |             |           |           |
| Current:                                                                   |             |           |           |
| 40.00 Appropriation .....                                                  | 31          | 31        | 33        |
| Permanent:                                                                 |             |           |           |
| Spending authority from offsetting collections:                            |             |           |           |
| Offsetting collections (cash):                                             |             |           |           |
| 68.00 Offsetting collections .....                                         | 1           | 1         | 1         |
| 68.00 Offsetting collections .....                                         |             |           | 1         |
| 68.90 Spending authority from offsetting collections (total) .....         | 1           | 1         | 2         |
| 70.00 Total new budget authority (gross) .....                             | 32          | 32        | 35        |
| Change in unpaid obligations:                                              |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                |             |           |           |
| Appropriation .....                                                        | 3           | 4         | 5         |
| 73.10 New obligations .....                                                | 32          | 32        | 35        |
| 73.20 Total outlays (gross) .....                                          | -31         | -31       | -35       |
| 74.40 Unpaid obligations, end of year: Obligated balance:                  |             |           |           |
| Appropriation .....                                                        | 4           | 5         | 5         |
| Outlays (gross), detail:                                                   |             |           |           |
| 86.90 Outlays from new current authority .....                             | 28          | 28        | 30        |
| 86.93 Outlays from current balances .....                                  | 3           | 2         | 2         |
| 86.97 Outlays from new permanent authority .....                           |             | 1         | 2         |
| 87.00 Total outlays (gross) .....                                          | 31          | 31        | 35        |
| Offsets:                                                                   |             |           |           |
| Against gross budget authority and outlays:                                |             |           |           |
| Offsetting collections (cash) from:                                        |             |           |           |
| 88.40 Non-Federal sources .....                                            |             |           | -1        |
| 88.45 Offsetting governmental collections .....                            | -1          | -1        | -1        |
| 88.90 Total, offsetting collections (cash) .....                           | -1          | -1        | -2        |
| Net budget authority and outlays:                                          |             |           |           |
| 89.00 Budget authority .....                                               | 31          | 31        | 33        |
| 90.00 Outlays .....                                                        | 31          | 30        | 33        |

The Federal Mediation and Consolidation Service (FMCS or the Service) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

*Dispute mediation.*—The Service assists labor and management in mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

MEDIATION WORKLOAD DATA

|                                       | 1993<br>actual | 1994<br>actual | 1995<br>actual | 1996<br>estimate | 1997<br>estimate |
|---------------------------------------|----------------|----------------|----------------|------------------|------------------|
| Cases in process at beginning of year | 7,547          | 7,276          | 7,025          | 6,956            | 7,000            |
| Mediation assignments                 | 24,536         | 22,184         | 20,195         | 20,294           | 20,250           |
| Mediation assignments closed          | 24,807         | 22,435         | 20,268         | 20,250           | 20,250           |
| Cases in process at end of year       | 7,276          | 7,025          | 6,956          | 7,000            | 7,000            |
| Total mediation conferences conducted | 20,468         | 19,880         | 16,648         | 16,600           | 16,600           |

**Preventive mediation, public information, and educational activities.**—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in public information and educational activities such as lectures, seminars, and conferences.

**Arbitration services.**—The Service assists parties in disputes in utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

|                                 | 1993<br>actual | 1994<br>actual | 1995<br>actual | 1996<br>estimate | 1997<br>estimate |
|---------------------------------|----------------|----------------|----------------|------------------|------------------|
| Number of panels issued         | 32,259         | 31,610         | 30,697         | 30,700           | 30,700           |
| Number of arbitrators appointed | 12,231         | 11,640         | 11,593         | 11,600           | 11,600           |

**Management and administrative support.**—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

**Labor-management cooperation project.**—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

**Alternative Dispute Resolution (ADR) Projects.**—FMCS assists other federal agencies providing mediation and technical assistance in the area of ADR. The ADR projects reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

|                        | 1993<br>actual | 1994<br>actual | 1995<br>actual | 1996<br>estimate | 1997<br>estimate |
|------------------------|----------------|----------------|----------------|------------------|------------------|
| Number of ADR Projects | 25             | 50             | 92             | 100              | 100              |

Object Classification (in millions of dollars)

| Identification code 93-0100-0-1-505                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                   |             |           |           |
| 11.1 Full-time permanent                                  | 18          | 18        | 18        |
| 11.9 Total personnel compensation                         | 18          | 18        | 18        |
| 12.1 Civilian personnel benefits                          | 4           | 4         | 4         |
| 21.0 Travel and transportation of persons                 | 2           | 2         | 2         |
| 23.1 Rental payments to GSA                               | 3           | 3         | 4         |
| 23.3 Communications, utilities, and miscellaneous charges | 1           | 1         | 1         |
| 25.2 Other services                                       | 1           | 1         | 1         |
| 31.0 Equipment                                            |             |           | 1         |
| 41.0 Grants, subsidies, and contributions                 | 2           | 2         | 2         |
| 99.5 Below reporting threshold                            | 1           | 1         | 2         |
| 99.9 Total obligations                                    | 32          | 32        | 35        |

Personnel Summary

| Identification code 93-0100-0-1-505                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 294         | 296       | 295       |

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Mine Safety and Health Review Commission (30 U.S.C. 801, et seq.), \$6,332,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2800-0-1-554                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 00.01 Commission review                                     | 3           | 3         | 3         |
| 00.02 Administrative law judge determinations               | 3           | 3         | 3         |
| 10.00 Total obligations                                     | 6           | 6         | 6         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross)                          | 6           | 6         | 6         |
| 23.95 New obligations                                       | -6          | -6        | -6        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation                                         | 6           | 6         | 6         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 1           | 1         | 1         |
| 73.10 New obligations                                       | 6           | 6         | 6         |
| 73.20 Total outlays (gross)                                 | -6          | -6        | -6        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 1           | 1         | 1         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority                    | 5           | 5         | 5         |
| 86.93 Outlays from current balances                         |             | 1         | 1         |
| 87.00 Total outlays (gross)                                 | 6           | 6         | 6         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority                                      | 6           | 6         | 6         |
| 90.00 Outlays                                               | 6           | 6         | 6         |

The Federal Mine Safety and Health Review Commission (or the Commission) reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

|                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| Commission review activities:        |             |           |           |
| Cases pending beginning of year      | 58          | 71        | 79        |
| Cases called for review              | 82          | 75        | 85        |
| Cases decided                        | 69          | 67        | 82        |
| Administrative law judge activities: |             |           |           |
| Cases pending beginning of year      | 8,038       | 6,783     | 6,533     |
| New cases received                   | 3,582       | 3,000     | 3,600     |
| Cases decided                        | 4,837       | 3,250     | 3,800     |

Object Classification (in millions of dollars)

| Identification code 95-2800-0-1-554              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 4           | 4         | 4         |
| 12.1 Civilian personnel benefits                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA                      | 1           | 1         | 1         |
| 99.9 Total obligations                           | 6           | 6         | 6         |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Personnel Summary

| Identification code 95-2800-0-1-554                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 55          | 59        | 59        |

**FEDERAL RETIREMENT THRIFT INVESTMENT BOARD**

**Federal Funds**

**General and special funds:**

**PROGRAM EXPENSES**

Unavailable Collections (in millions of dollars)

| Identification code 26-5290-0-2-803            | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                        |             |           |           |
| 01.99 Balance, start of year .....             | 8           | 8         | 8         |
| Receipts:                                      |             |           |           |
| 02.01 Reimbursement for program expenses ..... | 34          | 46        | 45        |
| 04.00 Total: Balances and collections .....    | 42          | 54        | 53        |
| Appropriation:                                 |             |           |           |
| 05.01 Program expenses .....                   | -34         | -46       | -45       |
| 07.99 Total balance, end of year .....         | 8           | 8         | 8         |

Program and Financing (in millions of dollars)

| Identification code 26-5290-0-2-803                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 00.01 Administrative expenses .....                         | 34          | 46        | 45        |
| 10.00 Total obligations .....                               | 34          | 46        | 45        |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 34          | 46        | 45        |
| 23.95 New obligations .....                                 | -34         | -46       | -45       |
| New budget authority (gross), detail:                       |             |           |           |
| 60.25 Appropriation (special fund, indefinite) .....        | 34          | 46        | 45        |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 8           | 5         | 5         |
| 73.10 New obligations .....                                 | 34          | 46        | 45        |
| 73.20 Total outlays (gross) .....                           | -34         | -46       | -45       |
| 73.40 Adjustments in expired accounts .....                 | -3          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 5           | 5         | 5         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.97 Outlays from new permanent authority .....            | 29          | 41        | 40        |
| 86.98 Outlays from permanent balances .....                 | 5           | 5         | 5         |
| 87.00 Total outlays (gross) .....                           | 34          | 46        | 45        |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 34          | 46        | 45        |
| 90.00 Outlays .....                                         | 34          | 46        | 45        |

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Thrift Savings Fund, the Fund is not included in the totals of the Federal budget. Information on the financial status and activities of the Thrift Savings Fund follows this account.

Program administration for the Thrift Savings Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who, subsequently, separate from the

**Federal government prior to vesting and from earnings on all participant and agency contributions to the Fund.**

Object Classification (in millions of dollars)

| Identification code 26-5290-0-2-803                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                             |             |           |           |
| 11.1 Full-time permanent .....                                      | 5           | 6         | 6         |
| 11.9 Total personnel compensation .....                             | 6           | 6         | 6         |
| 12.1 Civilian personnel benefits .....                              | 1           | 1         | 1         |
| 23.2 Rental payments to others .....                                | 1           | 2         | 2         |
| 24.0 Printing and reproduction .....                                | 3           | 3         | 2         |
| 25.2 Other services .....                                           | 2           | 5         | 5         |
| 25.3 Purchases of goods and services from Government accounts ..... | 21          | 26        | 28        |
| 26.0 Supplies and materials .....                                   |             | 1         |           |
| 31.0 Equipment .....                                                |             | 2         |           |
| 99.5 Below reporting threshold .....                                | 1           |           | 1         |
| 99.9 Total obligations .....                                        | 34          | 46        | 45        |

Personnel Summary

| Identification code 26-5290-0-2-803                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 101         | 113       | 115       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           | 1         | 1         |

INFORMATION SCHEDULES ON THE THRIFT SAVINGS FUND

The following schedules reflect those for the Thrift Savings Fund which is not in the Federal budget.

The Thrift Savings Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal employees are eligible to contribute to the Fund. However, only those employees covered by the Federal Employees' Retirement System will have their contributions matched by employing agencies in accordance with the formulas prescribed by law. Employees are entitled to select how contributions are distributed among three investment funds: a Government Securities Investment Fund; a Fixed Income Index Investment Fund; and a Common Stock Index Investment Fund.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the three separate funds is shown below:

STATUS OF THRIFT SAVINGS FUND

[In millions of dollars]

|                                                          | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Unexpended balance, start of year:                       |             |           |           |
| Government Securities Investment Fund .....              | 17,589      | 21,209    | 24,983    |
| Common Stock Index Investment Fund .....                 | 5,785       | 9,864     | 12,894    |
| Fixed Income Index Investment Fund .....                 | 1,582       | 2,009     | 2,707     |
| Balance of Thrift Savings Fund, start of year .....      | 24,956      | 33,082    | 40,584    |
| Cash income for the year:                                |             |           |           |
| Employee contributions .....                             | 3,756       | 4,084     | 4,406     |
| Earnings <sup>1</sup> .....                              | 3,711       | 2,689     | 3,098     |
| Contributions on behalf of employees .....               | 1,754       | 1,958     | 2,149     |
| Total net income .....                                   | 9,221       | 8,731     | 9,653     |
| Cash outgo during year:                                  |             |           |           |
| Withdrawals .....                                        | 883         | 993       | 983       |
| Loans to employees .....                                 | 178         | 251       | 360       |
| Administrative expenses .....                            | 34          | 46        | 45        |
| Total outgo .....                                        | 1,095       | 1,230     | 1,388     |
| Unexpended balance, end of year:                         |             |           |           |
| Government Securities Investment Fund <sup>2</sup> ..... | 21,209      | 24,983    | 29,075    |
| Common Stock Index Investment Fund .....                 | 9,864       | 12,894    | 16,290    |

|                                                   |        |        |        |
|---------------------------------------------------|--------|--------|--------|
| Fixed Income Index Investment Fund .....          | 2,009  | 2,707  | 3,484  |
| Balance of Thrift Savings Fund, end of year ..... | 33,082 | 40,584 | 48,849 |

<sup>1</sup>1995 earnings include: return on investments in Government securities—\$1,415 million; return on investments in non-government instruments—\$2,256 million; earnings on loans—\$39 million; and agency payments under 5 U.S.C. 8432a—\$1 million.  
<sup>2</sup>Includes \$84 million committed to the Common Stock Index Investment Fund and \$10 million committed to the Fixed Income Index Investment Fund.

STATUS OF GOVERNMENT SECURITIES INVESTMENT FUND

[In millions of dollars]

|                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| Balance of fund, start of year ..... | 17,589      | 21,209    | 24,983    |
| Cash income for the year:            |             |           |           |
| New investments .....                | 2,985       | 3,323     | 3,605     |
| Earnings .....                       | 1,437       | 1,337     | 1,466     |
| Total, cash income .....             | 4,422       | 4,660     | 5,071     |
| Cash outgo during the year:          |             |           |           |
| Withdrawals .....                    | 668         | 699       | 734       |
| Loans to employees .....             | 110         | 158       | 218       |
| Administrative expenses .....        | 24          | 29        | 27        |
| Total, cash outgo .....              | 802         | 886       | 979       |
| Balance of fund, end of year .....   | 21,209      | 24,983    | 29,075    |

STATUS OF COMMON STOCK INDEX INVESTMENT FUND

[In millions of dollars]

|                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| Balance of fund, start of year ..... | 5,785       | 9,864     | 12,894    |
| Cash income for the year:            |             |           |           |
| New investments .....                | 2,270       | 2,115     | 2,294     |
| Earnings .....                       | 2,039       | 1,190     | 1,432     |
| Total, cash income .....             | 4,309       | 3,305     | 3,726     |
| Cash outgo during the year:          |             |           |           |
| Withdrawals .....                    | 168         | 184       | 198       |
| Loans to employees .....             | 54          | 77        | 117       |
| Administrative expenses .....        | 8           | 14        | 15        |
| Total, cash outgo .....              | 230         | 275       | 330       |
| Balance of fund, end of year .....   | 9,864       | 12,894    | 16,290    |

STATUS OF FIXED INCOME INDEX INVESTMENT FUND

[In millions of dollars]

|                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| Balance of fund, start of year ..... | 1,582       | 2,009     | 2,707     |
| Cash income for the year:            |             |           |           |
| New investments .....                | 255         | 604       | 656       |
| Earnings .....                       | 235         | 163       | 200       |
| Total, cash income .....             | 490         | 767       | 856       |
| Cash outgo during the year:          |             |           |           |
| Withdrawals .....                    | 47          | 50        | 51        |
| Loans to employees .....             | 14          | 16        | 25        |
| Administrative expenses .....        | 2           | 3         | 3         |
| Total, cash outgo .....              | 63          | 69        | 79        |
| Balance of fund, end of year .....   | 2,009       | 2,707     | 3,484     |

FEDERAL TRADE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor

vehicles; and not to exceed \$2,000 for official reception and representation expenses; \$93,819,000, of which not to exceed \$300,000 shall be available to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: Provided, That not to exceed \$58,905,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18(a)) shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year 1997, so as to result in a final fiscal year 1997 appropriation from the General Fund estimated at not more than \$34,914,000: Provided further, That any fees received in excess of \$58,905,000 in fiscal year 1997 shall remain available until expended, but shall not be available for obligation until October 1, 1997: Provided further, That no funds shall be available for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102-242).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

| Identification code 29-0100-0-1-376         | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                     |             |           |           |
| 01.99 Balance, start of year .....          | 9           | 28        | 39        |
| 03.00 Offsetting Collections .....          | 19          | 11        |           |
| 04.00 Total: Balances and collections ..... | 28          | 39        | 39        |
| 07.99 Total balance, end of year .....      | 28          | 39        | 39        |

Program and Financing (in millions of dollars)

| Identification code 29-0100-0-1-376 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:    |             |           |           |
| Direct program:                     |             |           |           |
| 00.01 Maintaining competition ..... | 2           |           |           |
| 00.02 Consumer protection .....     | 53          | 31        | 35        |
| 00.91 Total direct program .....    | 55          | 31        | 35        |
| 01.01 Reimbursable program .....    | 47          | 71        | 70        |
| 10.00 Total obligations .....       | 102         | 102       | 105       |

Budgetary resources available for obligation:

|                                                          |      |      |      |
|----------------------------------------------------------|------|------|------|
| 21.40 Unobligated balance available, start of year:      |      |      |      |
| Uninvested balance .....                                 | 39   | 33   | 11   |
| 22.00 New budget authority (gross) .....                 | 96   | 80   | 94   |
| 23.90 Total budgetary resources available for obligation | 135  | 113  | 105  |
| 23.95 New obligations .....                              | -102 | -102 | -105 |
| 24.40 Unobligated balance available, end of year:        |      |      |      |
| Uninvested balance .....                                 | 33   | 11   |      |

New budget authority (gross), detail:

|                                                                              |     |     |    |
|------------------------------------------------------------------------------|-----|-----|----|
| 40.00 Current:                                                               |     |     |    |
| Appropriation .....                                                          | 55  | 31  | 35 |
| Permanent:                                                                   |     |     |    |
| Spending authority from offsetting collections:                              |     |     |    |
| 68.00 Offsetting collections (cash) .....                                    | 60  | 60  | 59 |
| 68.45 Portion not available for obligation (limitation on obligations) ..... | -19 | -11 |    |
| 68.90 Spending authority from offsetting collections (total) .....           | 41  | 49  | 59 |
| 70.00 Total new budget authority (gross) .....                               | 96  | 80  | 94 |

Change in unpaid obligations:

|                                                             |     |     |     |
|-------------------------------------------------------------|-----|-----|-----|
| 72.40 Unpaid obligations, start of year: Obligated balance: |     |     |     |
| Appropriation .....                                         | 8   | 19  | 38  |
| 73.10 New obligations .....                                 | 102 | 102 | 105 |
| 73.20 Total outlays (gross) .....                           | -91 | -83 | -94 |
| 73.40 Adjustments in expired accounts .....                 | 1   |     |     |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |     |     |     |
| Appropriation .....                                         | 19  | 38  | 49  |

Outlays (gross), detail:

|                                                |    |    |    |
|------------------------------------------------|----|----|----|
| 86.90 Outlays from new current authority ..... | 43 | 29 | 32 |
|------------------------------------------------|----|----|----|

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 29-0100-0-1-376              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 86.93 Outlays from current balances .....        |             | 11        | 2         |
| 86.97 Outlays from new permanent authority ..... | 41          | 45        | 54        |
| 86.98 Outlays from permanent balances .....      | 7           |           | 4         |
| 87.00 Total outlays (gross) .....                | 91          | 83        | 94        |
| <b>Offsets:</b>                                  |             |           |           |
| Against gross budget authority and outlays:      |             |           |           |
| Offsetting collections (cash) from:              |             |           |           |
| 88.00 Federal sources .....                      | -1          | -1        |           |
| 88.40 Non-Federal sources .....                  | -59         | -59       | -59       |
| 88.90 Total, offsetting collections (cash) ..... | -60         | -60       | -59       |
| <b>Net budget authority and outlays:</b>         |             |           |           |
| 89.00 Budget authority .....                     | 36          | 20        | 35        |
| 90.00 Outlays .....                              | 31          | 23        | 35        |

The Federal Trade Commission (FTC or Commission) is charged by law with ensuring that competition in the marketplace is vigorous, free, and fair. This is accomplished by eliminating threats to fair and honest competition from all sources, both public and private.

*Maintaining competition.*—The Commission's efforts are aimed at fostering and preserving our competitive system with the goal of maximizing consumer welfare. In addition to enforcing the antitrust laws against private sector restraints on competition, the Commission also scrutinizes regulatory policies that unduly restrain competition, and encourages policymakers to harness the benefits of competition when in the development of such policies.

*Consumer protection.*—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to improve market performance so that consumers can make informed choices when exercising their purchasing power. To accomplish this goal, the Commission will: remove harmful private and public restrictions on market performance; encourage business to provide consumers with accurate and useful information; and reinforce market forces that enhance consumer welfare.

The President's budget for 1997 provides a total of 979 workyears. The program level for the Commission would increase from \$100.9 million in 1996 to \$104.5 million in 1997, allowing the Commission to continue to pursue its missions.

The programs administered by the FTC are funded by appropriated funds and fees assessed for premerger notification filings under the Hart-Scott-Rodino Act, as required by section 605 of Public Law 101-162, as amended. The FTC will use \$69.5 million in premerger filings fees to finance its activities, of which \$10.6 million is derived from estimated carryover fee balances.

Object Classification (in millions of dollars)

| Identification code 29-0100-0-1-376                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                      |             |           |           |
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 29          | 18        | 20        |
| 11.3 Other than full-time permanent .....                       | 2           |           |           |
| 11.5 Other personnel compensation .....                         |             | 1         | 1         |
| 11.9 Total personnel compensation .....                         | 31          | 19        | 21        |
| 12.1 Civilian personnel benefits .....                          | 6           | 4         | 4         |
| 21.0 Travel and transportation of persons .....                 | 1           |           |           |
| 23.1 Rental payments to GSA .....                               | 5           | 4         | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1         | 1         |
| 25.1 Advisory and assistance services .....                     | 1           |           | 1         |
| 25.2 Other services .....                                       | 2           | 1         | 1         |

|                                                                     |     |     |     |
|---------------------------------------------------------------------|-----|-----|-----|
| 25.3 Purchases of goods and services from Government accounts ..... | 2   | 1   | 1   |
| 25.4 Operation and maintenance of facilities .....                  | 2   | 1   | 1   |
| 26.0 Supplies and materials .....                                   | 1   |     |     |
| 31.0 Equipment .....                                                | 2   |     |     |
| 99.0 Subtotal, direct obligations .....                             | 54  | 31  | 34  |
| 99.0 Reimbursable obligations .....                                 | 45  | 71  | 70  |
| 99.5 Below reporting threshold .....                                | 3   |     | 1   |
| 99.9 Total obligations .....                                        | 102 | 102 | 105 |

**Personnel Summary**

| Identification code 29-0100-0-1-376                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                          |             |           |           |
| Total compensable workyears:                                            |             |           |           |
| 1001 Full-time equivalent employment .....                              | 506         | 301       | 324       |
| 1005 Full-time equivalent of overtime and holiday hours .....           | 3           | 3         | 3         |
| <b>Reimbursable:</b>                                                    |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 433         | 677       | 652       |

**HARRY S. TRUMAN SCHOLARSHIP FOUNDATION**

**Trust Funds**

HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

| Identification code 95-8296-0-7-502                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Balance, start of year:</b>                              |             |           |           |
| 01.99 Balance, start of year .....                          |             |           |           |
| <b>Receipts:</b>                                            |             |           |           |
| 02.01 Interest on investments .....                         | 3           | 3         | 3         |
| <b>Appropriation:</b>                                       |             |           |           |
| 05.01 Harry S. Truman memorial scholarship trust fund ..... | -3          | -3        | -3        |
| 07.99 Total balance, end of year .....                      |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 95-8296-0-7-502                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                        |             |           |           |
| 00.01 Scholarship awards .....                                 | 4           | 2         | 2         |
| 00.02 Program administration .....                             | 1           | 1         | 1         |
| 10.00 Total obligations .....                                  | 5           | 3         | 3         |
| <b>Budgetary resources available for obligation:</b>           |             |           |           |
| Unobligated balance available, start of year:                  |             |           |           |
| 21.40 Uninvested balance .....                                 |             | -2        |           |
| 21.41 U.S. Securities: Par value .....                         | 54          | 54        | 52        |
| 21.99 Total unobligated balance, start of year .....           | 54          | 52        | 52        |
| 22.00 New budget authority (gross) .....                       | 3           | 3         | 3         |
| 23.90 Total budgetary resources available for obligation ..... | 57          | 55        | 55        |
| 23.95 New obligations .....                                    | -5          | -3        | -3        |
| Unobligated balance available, end of year:                    |             |           |           |
| 24.40 Uninvested balance .....                                 | -2          |           |           |
| 24.41 U.S. Securities: Par value .....                         | 54          | 52        | 52        |
| 24.99 Total unobligated balance, end of year .....             | 52          | 52        | 52        |
| <b>New budget authority (gross), detail:</b>                   |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....             | 3           | 3         | 3         |
| <b>Change in unpaid obligations:</b>                           |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            |             |           |           |
| 73.10 New obligations .....                                    | 5           | 3         | 3         |
| 73.20 Total outlays (gross) .....                              | -3          | -4        | -3        |
| 74.40 Unpaid obligations, end of year: Obligated balance:      |             |           |           |
| Appropriation .....                                            |             |           |           |
| 86.97 Outlays from new permanent authority .....               | 2           | 2         | 2         |
| 86.98 Outlays from permanent balances .....                    | 1           | 2         | 1         |

|                                   |                             |   |   |   |
|-----------------------------------|-----------------------------|---|---|---|
| 87.00                             | Total outlays (gross) ..... | 3 | 4 | 3 |
| Net budget authority and outlays: |                             |   |   |   |
| 89.00                             | Budget authority .....      | 3 | 3 | 3 |
| 90.00                             | Outlays .....               | 3 | 4 | 3 |

Public Law 93-642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its fiscal year 1997 annual competition, the Foundation will select up to 80 new Truman Scholars. The maximum award will be \$30,000 for four years.

*Scholarship awards.*—This activity is comprised of scholarships awarded to cover eligible educational expenses.

*Program administration.*—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

| Identification code 95-8296-0-7-502                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 4           | 2         | 2         |
| 99.5 Below reporting threshold .....                                | 1           | 1         | 1         |
| 99.9 Total obligations .....                                        | 5           | 3         | 3         |

Personnel Summary

| Identification code 95-8296-0-7-502                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 5           | 5         | 5         |

**INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT**

*Federal Funds*

**General and special funds:**

PAYMENT TO THE INSTITUTE

*For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by Public Law 99-498, as amended (20 U.S.C. 56, Part A), \$5,500,000: Provided, That the Institute shall act as its own certifying officer.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2900-0-1-502                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                       |             |           |           |
| 00.01 Payment to the Institute .....                   | 10          | 10        | 6         |
| 00.03 Contribution to the Capital Endowment Fund ..... | 2           | 2         |           |
| 10.00 Total obligations (object class 41.0) .....      | 11          | 11        | 6         |
| Budgetary resources available for obligation:          |             |           |           |
| 22.00 New budget authority (gross) .....               | 11          | 11        | 6         |
| 23.95 New obligations .....                            | -11         | -11       | -6        |

|                                       |                             |     |     |    |
|---------------------------------------|-----------------------------|-----|-----|----|
| New budget authority (gross), detail: |                             |     |     |    |
| 40.00                                 | Appropriation .....         | 11  | 11  | 6  |
| Change in unpaid obligations:         |                             |     |     |    |
| 73.10                                 | New obligations .....       | 11  | 11  | 6  |
| 73.20                                 | Total outlays (gross) ..... | -12 | -11 | -6 |

|                                   |                                          |    |    |   |
|-----------------------------------|------------------------------------------|----|----|---|
| Outlays (gross), detail:          |                                          |    |    |   |
| 86.90                             | Outlays from new current authority ..... | 11 | 11 | 6 |
| 87.00                             | Total outlays (gross) .....              | 12 | 11 | 6 |
| Net budget authority and outlays: |                                          |    |    |   |
| 89.00                             | Budget authority .....                   | 11 | 11 | 6 |
| 90.00                             | Outlays .....                            | 13 | 11 | 6 |

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit corporation administered by a Board of Trustees. The Institute provides Native Americans with an opportunity to obtain a postsecondary education in various fields of Indian art and culture.

*Payment to the Institute.*—This activity supports the operations of the Institute.

*Contribution to Endowment fund.*—This activity provides for Federal matching contributions to the Institute's Endowment fund, pursuant to section 1518 of Public Law 99-498.

**INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT**

*Federal Funds*

**General and special funds:**

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account; **[\$90,683,000]** \$91,739,000. (Department of Defense Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

| Identification code 95-0401-0-1-054           | 1995 actual                                        | 1996 est. | 1997 est. |     |
|-----------------------------------------------|----------------------------------------------------|-----------|-----------|-----|
| Obligations by program activity:              |                                                    |           |           |     |
| 10.00                                         | Total obligations .....                            | 103       | 93        | 93  |
| Budgetary resources available for obligation: |                                                    |           |           |     |
| 21.40                                         | Unobligated balance available, start of year:      |           |           |     |
|                                               | Uninvested balance .....                           | 15        | 6         | 4   |
| 22.00                                         | New budget authority (gross) .....                 | 94        | 91        | 92  |
| 23.90                                         | Total budgetary resources available for obligation | 109       | 97        | 96  |
| 23.95                                         | New obligations .....                              | -103      | -93       | -93 |
| 24.40                                         | Unobligated balance available, end of year:        |           |           |     |
|                                               | Uninvested balance .....                           | 6         | 4         | 3   |

|                                       |                                                       |      |      |      |
|---------------------------------------|-------------------------------------------------------|------|------|------|
| New budget authority (gross), detail: |                                                       |      |      |      |
| 40.00                                 | Appropriation .....                                   | 94   | 91   | 92   |
| Change in unpaid obligations:         |                                                       |      |      |      |
| 72.40                                 | Unpaid obligations, start of year: Obligated balance: |      |      |      |
|                                       | Appropriation .....                                   | 133  | 119  | 76   |
| 73.10                                 | New obligations .....                                 | 103  | 93   | 93   |
| 73.20                                 | Total outlays (gross) .....                           | -117 | -136 | -102 |
| 74.40                                 | Unpaid obligations, end of year: Obligated balance:   |      |      |      |
|                                       | Appropriation .....                                   | 119  | 76   | 67   |

|                                   |                                          |     |     |     |
|-----------------------------------|------------------------------------------|-----|-----|-----|
| Outlays (gross), detail:          |                                          |     |     |     |
| 86.90                             | Outlays from new current authority ..... | 21  | 50  | 51  |
| 86.93                             | Outlays from current balances .....      | 96  | 86  | 52  |
| 87.00                             | Total outlays (gross) .....              | 117 | 136 | 102 |
| Net budget authority and outlays: |                                          |     |     |     |
| 89.00                             | Budget authority .....                   | 94  | 91  | 92  |
| 90.00                             | Outlays .....                            | 117 | 136 | 102 |

Since the establishment of the Community Management Staff (CMS) in 1992, additional programs and responsibilities

**General and special funds—Continued**

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT—Continued

have been added to it for budgetary oversight. To improve oversight, the Intelligence Community Management Account (ICMA) replaces the CMS as the umbrella account to hold those programs that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole. The ICMA now includes the CMS, the Environmental Intelligence and Applications program, the National Intelligence Council, the Center for Security Evaluations, the Information Systems Secretariat, the Controlled Access Program Coordination Office, the Advanced Research and Development program, and the National Counterintelligence Center.

The CMS is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Environmental Intelligence and Applications program evaluates the application of Intelligence Community archived information and current and future imaging capabilities to the study of the environment. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The Controlled Access Program Coordination Office supports the DCI's annual review of Intelligence Special Access programs. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The Information Systems Secretariat will support technical activities and services of common Community concern regarding interoperability between national intelligence systems and consumers. The National Counterintelligence Center was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities.

Object Classification (in millions of dollars)

| Identification code 95-0401-0-1-054                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 11.3 Personnel compensation: Other than full-time permanent ..... | 18          | 18        | 19        |
| 12.1 Civilian personnel benefits .....                            | 3           | 5         | 5         |
| 21.0 Travel and transportation of persons .....                   | 1           | 2         | 2         |
| 25.2 Other services .....                                         | 78          | 64        | 62        |
| 26.0 Supplies and materials .....                                 | 1           | 1         | 1         |
| 31.0 Equipment .....                                              | 2           | 3         | 4         |
| 99.9 Total obligations .....                                      | 103         | 93        | 93        |

Personnel Summary

| Identification code 95-0401-0-1-054                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 236         | 247       | 258       |

**INTELLIGENCE COMMUNITY STAFF**

*Federal Funds*

**General and special funds:**

INTELLIGENCE COMMUNITY STAFF

Program and Financing (in millions of dollars)

| Identification code 95-0400-0-1-054           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation: |             |           |           |
| 22.00 New budget authority (gross) .....      |             |           |           |

|                                   |                                                       |    |    |
|-----------------------------------|-------------------------------------------------------|----|----|
| Change in unpaid obligations:     |                                                       |    |    |
| 72.40                             | Unpaid obligations, start of year: Obligated balance: |    |    |
|                                   | Appropriation .....                                   | 4  | 1  |
| 73.10                             | New obligations .....                                 |    |    |
| 73.20                             | Total outlays (gross) .....                           | -2 | -1 |
| 74.40                             | Unpaid obligations, end of year: Obligated balance:   |    |    |
|                                   | Appropriation .....                                   | 1  |    |
| Outlays (gross), detail:          |                                                       |    |    |
| 86.93                             | Outlays from current balances .....                   | 2  |    |
| 87.00                             | Total outlays (gross) .....                           | 2  | 1  |
| Net budget authority and outlays: |                                                       |    |    |
| 89.00                             | Budget authority .....                                |    |    |
| 90.00                             | Outlays .....                                         | 2  | 1  |

The Intelligence Community Staff provided support and assistance to the Director of Central Intelligence in his capacity as the leader of the intelligence community. In 1992, the Intelligence Community Staff was disbanded. Many of its functions were distributed to agencies of the intelligence community with the remaining activities transferred to the Intelligence Community Management account.

**INTERNATIONAL TRADE COMMISSION**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

*For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, \$41,707,000, to remain available until expended.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 34-0100-0-1-153           | 1995 actual                                                 | 1996 est. | 1997 est. |     |
|-----------------------------------------------|-------------------------------------------------------------|-----------|-----------|-----|
| Obligations by program activity:              |                                                             |           |           |     |
| 00.01                                         | Direct program: Research, investigations, and reports ..... | 44        | 40        | 42  |
| 10.00                                         | Total obligations .....                                     | 44        | 40        | 42  |
| Budgetary resources available for obligation: |                                                             |           |           |     |
| 21.40                                         | Unobligated balance available, start of year:               |           |           |     |
|                                               | Uninvested balance .....                                    | 2         | 1         |     |
| 22.00                                         | New budget authority (gross) .....                          | 42        | 40        | 42  |
| 23.90                                         | Total budgetary resources available for obligation .....    | 45        | 41        | 42  |
| 23.95                                         | New obligations .....                                       | -44       | -40       | -42 |
| 24.40                                         | Unobligated balance available, end of year:                 |           |           |     |
|                                               | Uninvested balance .....                                    | 1         |           |     |
| New budget authority (gross), detail:         |                                                             |           |           |     |
| 40.05                                         | Appropriation (indefinite) .....                            | 42        | 40        | 42  |
| Change in unpaid obligations:                 |                                                             |           |           |     |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance:       |           |           |     |
|                                               | start of year: Appropriation .....                          | 3         | 4         | 4   |
| 73.10                                         | New obligations .....                                       | 44        | 40        | 42  |
| 73.20                                         | Total outlays (gross) .....                                 | -43       | -40       | -42 |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:         |           |           |     |
|                                               | end of year: Appropriation .....                            | 4         | 4         | 4   |
| Outlays (gross), detail:                      |                                                             |           |           |     |
| 86.90                                         | Outlays from new current authority .....                    | 39        | 37        | 39  |
| 86.93                                         | Outlays from current balances .....                         | 3         | 3         | 3   |
| 87.00                                         | Total outlays (gross) .....                                 | 43        | 40        | 42  |
| Net budget authority and outlays:             |                                                             |           |           |     |
| 89.00                                         | Budget authority .....                                      | 42        | 40        | 42  |
| 90.00                                         | Outlays .....                                               | 43        | 40        | 42  |

The U.S. International Trade Commission is an independent agency created by an act of Congress. The Commission's current powers and duties are provided for principally by the Tariff Act of 1930; the Trade Act of 1974; the Agricultural Adjustment Act; section 1911 of the Financial Institutions Regulatory and Interest Rate Control Act of 1978, 12 U.S.C. 635a-2; the Trade and Tariff Act of 1984; and the Omnibus Trade and Competitiveness Act of 1988; the North American Free Trade Implementation Act of 1993; and the Uruguay Round Agreements Act of 1994.

The Commission conducts investigations and makes findings concerning whether: (1) increased imports are a substantial cause of serious injury to an industry; (2) imports of goods that are subsidized or are being sold at less than fair value are materially injuring, threatening with material injury, or materially retarding an industry; (3) unfair import practices have the threat or effect of substantially injuring an industry or restraining or monopolizing trade and commerce in the United States; and (4) imports of agricultural products are materially interfering with certain programs of the U.S. Department of Agriculture.

The Commission advises the President as to the probable economic effect on domestic industry and consumers of modification of duties and other barriers to trade which may be considered for inclusion in any proposed trade agreement with foreign countries. Further, the Commission, at the request of the President, the Congress, or on the Commission's own motion, undertakes comprehensive studies and provides reports on key issues relating to international trade and economic policy matters and upon request provides other information and advice to the Congress and President on tariff and trade matters.

The Commission, in cooperation with the Secretary of the Treasury and the Secretary of Commerce, establishes for statistical purposes an enumeration of articles imported into the United States and exported from the United States, and seeks to establish comparability of such statistics with statistical programs for domestic production.

The Commission also issues a publication containing the U.S. tariff schedule and related matters and considers questions concerning the arrangements of such schedules and the classification of articles.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

| Object Classification (in millions of dollars)                      |             |           |           |  |
|---------------------------------------------------------------------|-------------|-----------|-----------|--|
| Identification code 34-0100-0-1-153                                 | 1995 actual | 1996 est. | 1997 est. |  |
| 11.1 Personnel compensation: Full-time permanent .....              | 25          | 23        | 24        |  |
| 12.1 Civilian personnel benefits .....                              | 5           | 5         | 5         |  |
| 23.1 Rental payments to GSA .....                                   | 7           | 7         | 7         |  |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 1           | 1         | 1         |  |
| 25.2 Other services .....                                           | 2           | 1         | 2         |  |
| 25.3 Purchases of goods and services from Government accounts ..... | 1           | 1         | 1         |  |
| 26.0 Supplies and materials .....                                   | 1           | 1         | 1         |  |
| 31.0 Equipment .....                                                | 1           |           | 1         |  |
| 99.5 Below reporting threshold .....                                | 1           | 1         |           |  |
| 99.9 Total obligations .....                                        | 44          | 40        | 42        |  |

| Personnel Summary                                             |             |           |           |  |
|---------------------------------------------------------------|-------------|-----------|-----------|--|
| Identification code 34-0100-0-1-153                           | 1995 actual | 1996 est. | 1997 est. |  |
| Total compensable workyears:                                  |             |           |           |  |
| 1001 Full-time equivalent employment .....                    | 453         | 383       | 383       |  |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           |           | 1         |  |

**[INTERSTATE COMMERCE COMMISSION]**

**Federal Funds**

**General and special funds:**

**[SALARIES AND EXPENSES]**

[For necessary expenses of the Interstate Commerce Commission, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b), \$13,379,000, of which \$4,984,000 shall be for severance and closing costs: *Provided*, That of the fees collected in fiscal year 1996 by the Interstate Commerce Commission pursuant to 31 U.S.C. 9701, one-twelfth of \$8,300,000 of those fees collected shall be made available for each month the Commission remains in existence during fiscal year 1996.]

**[PAYMENTS FOR DIRECTED RAIL SERVICE]**

**[LIMITATION ON OBLIGATIONS]**

[None of the funds provided in this Act shall be available for the execution of programs the obligations for which can reasonably be expected to exceed \$475,000 for directed rail service authorized under 49 U.S.C. 11125 or any other Act.] (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

| Program and Financing (in millions of dollars)                                            |             |           |           |  |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|--|
| Identification code 30-0100-0-1-401                                                       | 1995 actual | 1996 est. | 1997 est. |  |
| Obligations by program activity:                                                          |             |           |           |  |
| Direct program:                                                                           |             |           |           |  |
| 00.01 Motor program .....                                                                 | 14          | 3         |           |  |
| 00.02 Rail program .....                                                                  | 15          | 3         |           |  |
| 00.03 General management and administration .....                                         | 4           |           |           |  |
| 00.91 Total direct program .....                                                          | 33          | 6         |           |  |
| 01.01 Reimbursable program .....                                                          | 8           | 3         |           |  |
| 10.00 Total obligations .....                                                             | 41          | 9         |           |  |
| Budgetary resources available for obligation:                                             |             |           |           |  |
| 22.00 New budget authority (gross) .....                                                  | 41          | 9         |           |  |
| 23.95 New obligations .....                                                               | -41         | -9        |           |  |
| New budget authority (gross), detail:                                                     |             |           |           |  |
| Current:                                                                                  |             |           |           |  |
| Appropriation:                                                                            |             |           |           |  |
| 40.00 Appropriation .....                                                                 | 30          | 13        |           |  |
| 40.00 Appropriation for severance costs .....                                             | 3           |           |           |  |
| 41.00 Transferred to other accounts .....                                                 |             | -7        |           |  |
| 43.00 Appropriation (total) .....                                                         | 33          | 6         |           |  |
| Permanent:                                                                                |             |           |           |  |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 8           | 3         |           |  |
| 70.00 Total new budget authority (gross) .....                                            | 41          | 9         |           |  |
| Change in unpaid obligations:                                                             |             |           |           |  |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |  |
| Appropriation .....                                                                       | 8           | 4         |           |  |
| 73.10 New obligations .....                                                               | 41          | 9         |           |  |
| 73.20 Total outlays (gross) .....                                                         | -45         | -8        |           |  |
| 73.30 Obligated balance transferred, net .....                                            |             | -4        |           |  |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |  |
| Appropriation .....                                                                       | 4           |           |           |  |
| Outlays (gross), detail:                                                                  |             |           |           |  |
| 86.90 Outlays from new current authority .....                                            | 30          | 6         |           |  |
| 86.93 Outlays from current balances .....                                                 | 7           |           |           |  |
| 86.97 Outlays from new permanent authority .....                                          | 8           | 3         |           |  |
| 87.00 Total outlays (gross) .....                                                         | 45          | 8         |           |  |
| Offsets:                                                                                  |             |           |           |  |
| Against gross budget authority and outlays:                                               |             |           |           |  |
| 88.40 Offsetting collections (cash) from: Non-Federal sources .....                       | -8          | -3        |           |  |
| Net budget authority and outlays:                                                         |             |           |           |  |
| 89.00 Budget authority .....                                                              | 33          | 6         |           |  |
| 90.00 Outlays .....                                                                       | 37          | 5         |           |  |

The Interstate Commerce Commission was sunset on December 31, 1995, by P.L. 104-88, the ICC Termination Act

**General and special funds—Continued**

**[PAYMENTS FOR DIRECTED RAIL SERVICE]—Continued**

**[(LIMITATION ON OBLIGATIONS)]—Continued**

of 1995. Certain remaining surface transportation functions were transferred to the Department of Transportation's Surface Transportation Board and Federal Highway Administration.

Object Classification (in millions of dollars)

| Identification code 30-0100-0-1-401                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                          |             |           |           |
| <b>Personnel compensation:</b>                                      |             |           |           |
| 11.1 Full-time permanent .....                                      | 17          | 3         |           |
| 11.3 Other than full-time permanent .....                           | 1           |           |           |
| 11.9 Total personnel compensation .....                             | 18          | 3         |           |
| 12.1 Civilian personnel benefits .....                              | 3           | 1         |           |
| 13.0 Benefits for former personnel .....                            | 2           |           |           |
| 21.0 Travel and transportation of persons .....                     | 1           |           |           |
| 23.1 Rental payments to GSA .....                                   | 5           | 1         |           |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 1           |           |           |
| 25.2 Other services .....                                           | 1           | 1         |           |
| 25.3 Purchases of goods and services from Government accounts ..... | 1           |           |           |
| 99.0 Subtotal, direct obligations .....                             | 34          | 6         |           |
| 99.0 Reimbursable obligations .....                                 | 8           | 3         |           |
| 99.5 Below reporting threshold .....                                | 1           |           |           |
| 99.9 Total obligations .....                                        | 41          | 9         |           |

**Personnel Summary**

| Identification code 30-0100-0-1-401                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                          |             |           |           |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 295         | 53        |           |
| <b>Reimbursable:</b>                                                    |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 121         | 33        |           |

**JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION**

**Trust Funds**

**JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND**

Unavailable Collections (in millions of dollars)

| Identification code 95-8282-0-7-502                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| <b>Balance, start of year:</b>                           |             |           |           |
| 01.99 Balance, start of year .....                       |             |           |           |
| <b>Receipts:</b>                                         |             |           |           |
| 02.02 Earnings on investments .....                      | 3           | 3         | 3         |
| <b>Appropriation:</b>                                    |             |           |           |
| 05.01 James Madison Memorial Fellowship Trust Fund ..... | -3          | -3        | -3        |
| 07.99 Total balance, end of year .....                   |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 95-8282-0-7-502                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 00.01 Fellowship awards .....                        | 1           | 1         | 1         |
| 00.02 Program administration .....                   | 1           | 1         | 1         |
| 10.00 Total obligations .....                        | 2           | 2         | 2         |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| <b>Unobligated balance available, start of year:</b> |             |           |           |
| 21.40 Uninvested balance .....                       |             | -1        |           |
| 21.41 U.S. Securities: Par value .....               | 35          | 37        | 37        |
| 21.99 Total unobligated balance, start of year ..... | 35          | 36        | 37        |
| 22.00 New budget authority (gross) .....             | 3           | 3         | 3         |

|                                                          |    |    |    |
|----------------------------------------------------------|----|----|----|
| 23.90 Total budgetary resources available for obligation | 38 | 39 | 40 |
| 23.95 New obligations .....                              | -2 | -2 | -2 |
| <b>Unobligated balance available, end of year:</b>       |    |    |    |
| 24.40 Uninvested balance .....                           | -1 |    |    |
| 24.41 U.S. Securities: Par value .....                   | 37 | 37 | 38 |
| 24.99 Total unobligated balance, end of year .....       | 36 | 37 | 38 |

**New budget authority (gross), detail:**

|                                                    |   |   |   |
|----------------------------------------------------|---|---|---|
| 60.27 Appropriation (trust fund, indefinite) ..... | 3 | 3 | 3 |
|----------------------------------------------------|---|---|---|

**Change in unpaid obligations:**

|                                   |    |    |    |
|-----------------------------------|----|----|----|
| 73.10 New obligations .....       | 2  | 2  | 2  |
| 73.20 Total outlays (gross) ..... | -2 | -2 | -2 |

**Outlays (gross), detail:**

|                                                  |   |   |   |
|--------------------------------------------------|---|---|---|
| 86.97 Outlays from new permanent authority ..... | 2 | 2 | 2 |
| 87.00 Total outlays (gross) .....                | 2 | 2 | 2 |

**Net budget authority and outlays:**

|                              |   |   |   |
|------------------------------|---|---|---|
| 89.00 Budget authority ..... | 3 | 3 | 3 |
| 90.00 Outlays .....          | 2 | 2 | 2 |

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in fiscal years 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. High school seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 60 fellowships in FY 1995 and plans to award 60 in both FY 1996 and FY 1997.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

| Identification code 95-8282-0-7-502                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                                | 1           | 1         | 1         |
| 99.9 Total obligations .....                                        | 2           | 2         | 2         |

**Personnel Summary**

| Identification code 95-8282-0-7-502                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 7           | 7         | 7         |

## JAPAN-UNITED STATES FRIENDSHIP COMMISSION

### Trust Funds

#### JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

For expenses of the Japan-United States Friendship Commission as authorized by Public Law 94-118, as amended, from the interest earned on the Japan-United States Trust Fund, \$1,250,000; and an amount of Japanese currency not to exceed the equivalent of \$1,420,000 based on the exchange rates at the time of payment of such amounts as authorized by Public Law 94-118.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

#### Unavailable Collections (in millions of dollars)

| Identification code 95-8025-0-7-154                          | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                      |             |           |           |
| 01.99 Balance, start of year .....                           | 15          | 15        | 15        |
| Receipts:                                                    |             |           |           |
| 02.01 Interest on investment in public debt securities ..... | 1           | 1         | 1         |
| 04.00 Total: Balances and collections .....                  | 16          | 16        | 16        |
| Appropriation:                                               |             |           |           |
| 05.01 Japan-United States friendship trust fund .....        | -1          | -1        | -1        |
| 07.99 Total balance, end of year .....                       | 15          | 15        | 15        |

#### Program and Financing (in millions of dollars)

| Identification code 95-8025-0-7-154                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                     |             |           |           |
| 00.01 grants .....                                                                   | 2           | 2         | 1         |
| 10.00 Total obligations (object class 41.0) .....                                    | 2           | 2         | 1         |
| Budgetary resources available for obligation:                                        |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 2           | 1         |           |
| 22.00 New budget authority (gross) .....                                             | 1           | 1         | 1         |
| 23.90 Total budgetary resources available for obligation .....                       | 3           | 2         | 1         |
| 23.95 New obligations .....                                                          | -2          | -2        | -1        |
| 24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....   | 1           |           |           |
| New budget authority (gross), detail:                                                |             |           |           |
| 40.26 Appropriation (trust fund, definite) .....                                     | 1           | 1         | 1         |
| Change in unpaid obligations:                                                        |             |           |           |
| 73.10 New obligations .....                                                          | 2           | 2         | 1         |
| 73.20 Total outlays (gross) .....                                                    | -2          | -2        | -1        |
| Outlays (gross), detail:                                                             |             |           |           |
| 86.90 Outlays from new current authority .....                                       | 1           | 1         | 1         |
| 86.98 Outlays from permanent balances .....                                          | 1           | 1         |           |
| 87.00 Total outlays (gross) .....                                                    | 2           | 2         | 1         |
| Net budget authority and outlays:                                                    |             |           |           |
| 89.00 Budget authority .....                                                         | 1           | 1         | 1         |
| 90.00 Outlays .....                                                                  | 2           | 2         | 1         |

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants from the Fund for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the appropriated income of the fund and, in an amount not to exceed 5 percent annually of the principal of the fund, to pay the expenses of the Commission and to make grants in support of Japanese studies in American universities, policy-oriented research, faculty and other professional exchange programs, public affairs programs, and other cultural and educational activities, primarily in the United States.

### Personnel Summary

| Identification code 95-8025-0-7-154                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 4           | 6         | 6         |

## JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD

### Federal Funds

#### General and special funds:

#### JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD

For necessary expenses to carry out the John F. Kennedy Assassination Records Collection Act of 1992, \$2,150,000. (*Independent Agencies Appropriations Act, 1996.*)

#### Program and Financing (in millions of dollars)

| Identification code 48-1001-0-1-808                                          | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                             |             |           |           |
| 10.00 Total obligations .....                                                | 2           | 3         | 2         |
| Budgetary resources available for obligation:                                |             |           |           |
| 21.40 Unobligated balance available, start of year: Uninvested balance ..... |             | 1         |           |
| 22.00 New budget authority (gross) .....                                     | 2           | 2         | 2         |
| 23.90 Total budgetary resources available for obligation .....               | 2           | 3         | 2         |
| 23.95 New obligations .....                                                  | -2          | -3        | -2        |
| 24.40 Unobligated balance available, end of year: Uninvested balance .....   | 1           |           |           |
| New budget authority (gross), detail:                                        |             |           |           |
| 40.00 Appropriation .....                                                    | 2           | 2         | 2         |
| Change in unpaid obligations:                                                |             |           |           |
| 73.10 New obligations .....                                                  | 2           | 3         | 2         |
| 73.20 Total outlays (gross) .....                                            | -1          | -3        | -2        |
| Outlays (gross), detail:                                                     |             |           |           |
| 86.90 Outlays from new current authority .....                               | 1           | 2         | 2         |
| 86.93 Outlays from current balances .....                                    |             | 1         |           |
| 87.00 Total outlays (gross) .....                                            | 1           | 3         | 2         |
| Net budget authority and outlays:                                            |             |           |           |
| 89.00 Budget authority .....                                                 | 2           | 2         | 2         |
| 90.00 Outlays .....                                                          | 1           | 3         | 2         |

The John F. Kennedy Assassination Records Review Board was established to oversee an effort of enormous scope within a three year period. The Board is charged with locating and securing all records which relate to the assassination of President Kennedy. These records include those of at least fifteen Federal agencies, previous official investigations, the Presidential libraries, and many smaller governmental and private repositories throughout the country.

The purpose of the Board is to ensure the efficient, timely and full disclosure of these records to the American public. This effort is seen as perhaps the last opportunity to clear up the many lingering doubts and questions surrounding the assassination of President Kennedy.

FY 1997 is the Board's third and final year, and it will issue a final report upon its termination.

#### Object Classification (in millions of dollars)

| Identification code 48-1001-0-1-808                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                                       | 1           | 2         | 1         |
| 99.9 Total obligations .....                                               | 2           | 3         | 2         |

**General and special funds—Continued**

JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD—  
Continued

Personnel Summary

| Identification code 48-1001-0-1-808                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 16          | 31        | 31        |

**LEGAL SERVICES CORPORATION**

**Federal Funds**

**General and special funds:**

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the Legal Services Corporation Act of 1974, as amended, \$340,000,000, of which \$305,800,000 is for basic field programs, \$11,300,000 is for migrant funding and \$7,900,000 is for Native American funding; \$5,500,000 is for grantee financial and compliance audits; and \$9,500,000 to be allocated between management and administration and the Office of the Inspector General.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 20-0501-0-1-752                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations (object class 41.0) .....           | 400         | 278       | 340       |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 400         | 278       | 340       |
| 23.95 New obligations .....                                 | -400        | -278      | -340      |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 400         | 278       | 340       |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 56          | 27        | 52        |
| 73.10 New obligations .....                                 | 400         | 278       | 340       |
| 73.20 Total outlays (gross) .....                           | -429        | -253      | -333      |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 27          | 52        | 59        |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 400         | 230       | 281       |
| 86.93 Outlays from current balances .....                   | 29          | 24        | 52        |
| 87.00 Total outlays (gross) .....                           | 429         | 253       | 333       |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 400         | 278       | 340       |
| 90.00 Outlays .....                                         | 429         | 253       | 333       |

Summary of Budget Authority and Outlays

(In millions of dollars)

|                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                               |             |           |           |
| Budget Authority .....                           | 400         | 278       | 340       |
| Outlays .....                                    | 429         | 254       | 333       |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 31        |           |
| Outlays .....                                    |             | 26        | 5         |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 400         | 309       | 340       |
| Outlays .....                                    | 429         | 280       | 338       |

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corpora-

tion as a private, non-profit entity outside of the Federal government.

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

SEC. 402. None of the funds appropriated under this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by or contrary to any of the provisions of—

(1) section 607 of Public Law 101-515, except that the funding formulas and provisos 8, 13, 15, 17, 18, 20 and 22 shall not apply, and all references to "1991" in such section shall be deemed to be "1997," unless subparagraph (2) applies; and

(2) authorizing legislation for fiscal year 1997 for the Legal Services Corporation that is enacted into law.

**MARINE MAMMAL COMMISSION**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by Title II of Public Law 92-522, as amended, \$1,334,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2200-0-1-302               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 11.1) ..... | 1           | 1         | 1         |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 1           | 1         | 1         |
| 23.95 New obligations .....                       | -1          | -1        | -1        |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         | 1           | 1         | 1         |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       | 1           | 1         | 1         |
| 73.20 Total outlays (gross) .....                 | -1          | -1        | -1        |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    | 1           | 1         | 1         |
| 87.00 Total outlays (gross) .....                 | 1           | 1         | 1         |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      | 1           | 1         | 1         |
| 90.00 Outlays .....                               | 1           | 1         | 1         |

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and, manages a research program.

Personnel Summary

| Identification code 95-2200-0-1-302                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 10          | 12        | 12        |

**MERIT SYSTEMS PROTECTION BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, \$24,549,000, together with not to exceed \$2,430,000 for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Independent Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 41-0100-0-1-805                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                          |             |           |           |
| Direct program:                                                                           |             |           |           |
| 00.01 Adjudication .....                                                                  | 19          | 19        | 20        |
| 00.02 Merit system studies .....                                                          | 1           | 1         | 1         |
| 00.03 Management support .....                                                            | 4           | 4         | 4         |
| 00.91 Total direct program .....                                                          | 24          | 25        | 25        |
| 01.01 Reimbursable program .....                                                          | 2           | 2         | 2         |
| 10.00 Total obligations .....                                                             | 27          | 27        | 27        |
| Budgetary resources available for obligation:                                             |             |           |           |
| 22.00 New budget authority (gross) .....                                                  | 27          | 27        | 27        |
| 23.95 New obligations .....                                                               | -27         | -27       | -27       |
| New budget authority (gross), detail:                                                     |             |           |           |
| Current:                                                                                  |             |           |           |
| 40.00 Appropriation .....                                                                 | 25          | 25        | 25        |
| Permanent:                                                                                |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 2           | 2         | 2         |
| 70.00 Total new budget authority (gross) .....                                            | 27          | 27        | 27        |
| Change in unpaid obligations:                                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Appropriation .....                                                                       | 2           | 3         |           |
| 73.10 New obligations .....                                                               | 27          | 27        | 27        |
| 73.20 Total outlays (gross) .....                                                         | -24         | -26       | -26       |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Appropriation .....                                                                       | 2           | 3         | 3         |
| Outlays (gross), detail:                                                                  |             |           |           |
| 86.90 Outlays from new current authority .....                                            | 22          | 23        | 23        |
| 86.93 Outlays from current balances .....                                                 | 1           | 1         | 1         |
| 86.97 Outlays from new permanent authority .....                                          | 2           | 2         | 2         |
| 87.00 Total outlays (gross) .....                                                         | 24          | 26        | 26        |
| Offsets:                                                                                  |             |           |           |
| Against gross budget authority and outlays:                                               |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources .....                           | -2          | -2        | -2        |
| Net budget authority and outlays:                                                         |             |           |           |
| 89.00 Budget authority .....                                                              | 25          | 25        | 25        |
| 90.00 Outlays .....                                                                       | 22          | 24        | 24        |

The Merit Systems Protection Board performs the adjudicatory functions necessary to maintain the civil service merit system. These include hearing appeals on adverse actions, reduction-in-force actions, and retirement. The Board will report to the President on whether merit systems are sufficiently free from prohibited personnel practices to protect the public interest.

Board workloads are reflected in the following table:

PRODUCTION COUNT

|                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Retirement (legal-disability) ..... | 1,835       | 1,800     | 1,800     |
| Adverse action appeals .....        | 5,250       | 5,200     | 5,200     |
| Reduction-in-force appeals .....    | 1,947       | 1,900     | 1,900     |
| Other .....                         | 3,175       | 3,100     | 3,100     |

Object Classification (in millions of dollars)

| Identification code 41-0100-0-1-805                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 15          | 15        | 15        |
| 11.3 Other than full-time permanent .....                       | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                         | 16          | 16        | 16        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3         | 3         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1         | 1         |
| 25.2 Other services .....                                       | 1           | 1         | 1         |
| 31.0 Equipment .....                                            | 1           | 1         | 1         |
| 99.0 Subtotal, direct obligations .....                         | 24          | 25        | 25        |
| 99.9 Total obligations .....                                    | 27          | 27        | 27        |

Personnel Summary

| Identification code 41-0100-0-1-805                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct:                                                                 |             |           |           |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 244         | 231       | 227       |
| Reimbursable:                                                           |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 33          | 34        | 33        |

**MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION**

**Federal Funds**

**General and special funds:**

**FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION**

Program and Financing (in millions of dollars)

| Identification code 95-0900-0-1-502               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 25.3) ..... | 10          |           |           |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 10          |           |           |
| 23.95 New obligations .....                       | -10         |           |           |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         | 10          |           |           |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       | 10          |           |           |
| 73.20 Total outlays (gross) .....                 | -10         |           |           |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    | 10          |           |           |
| 87.00 Total outlays (gross) .....                 | 10          |           |           |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      | 10          |           |           |
| 90.00 Outlays .....                               | 10          |           |           |

The General Fund payment to the Morris K. Udall Fund is being used to invest in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments will be used to carry out the activities of the Morris K. Udall Foundation. The Foundation will award

**General and special funds—Continued**

FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION—Continued

scholarships, fellowships and grants, and will fund activities of the Udall Center.

**Trust Funds**

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Unavailable Collections (in millions of dollars)

| Identification code 95-8615-0-7-502    | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                |             |           |           |
| 01.99 Balance, start of year           | 10          | 20        | 20        |
| Receipts:                              |             |           |           |
| 02.01 General fund payments            | 10          |           |           |
| 02.02 Interest on investments          | 1           | 1         | 1         |
| 02.99 Total receipts                   | 11          | 1         | 1         |
| 04.00 Total: Balances and collections  | 21          | 21        | 21        |
| Appropriation:                         |             |           |           |
| 05.01 Morris K. Udall Scholarship fund | -1          | -1        | -1        |
| 07.99 Total balance, end of year       | 20          | 20        | 20        |

Program and Financing (in millions of dollars)

| Identification code 95-8615-0-7-502                                            | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                               |             |           |           |
| 10.00 Total obligations (object class 41.0)                                    |             | 1         | 1         |
| Budgetary resources available for obligation:                                  |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value |             | 1         | 1         |
| 22.00 New budget authority (gross)                                             | 1           | 1         | 1         |
| 23.90 Total budgetary resources available for obligation                       | 1           | 2         | 2         |
| 23.95 New obligations                                                          |             | -1        | -1        |
| 24.41 Unobligated balance available, end of year: U.S. Securities: Par value   | 1           | 1         | 1         |
| New budget authority (gross), detail:                                          |             |           |           |
| 60.27 Appropriation (trust fund, indefinite)                                   | 1           | 1         | 1         |
| Change in unpaid obligations:                                                  |             |           |           |
| 73.10 New obligations                                                          |             | 1         | 1         |
| 73.20 Total outlays (gross)                                                    |             | -1        | -1        |
| Outlays (gross), detail:                                                       |             |           |           |
| 86.97 Outlays from new permanent authority                                     |             | 1         | 1         |
| 87.00 Total outlays (gross)                                                    |             | 1         | 1         |
| Net budget authority and outlays:                                              |             |           |           |
| 89.00 Budget authority                                                         | 1           | 1         | 1         |
| 90.00 Outlays                                                                  |             | 1         | 1         |

Public Law 102-259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy. In addition, the Foundation is authorized to fund the Udall Center for Studies in Public Policy at the University of Arizona to carry out and manage programs established by the Foundation relating especially to a program of environmental conflict resolution. During fiscal year 1996, the Foundation will award its first 55 undergraduate scholarships to college juniors and seniors majoring in fields relating to environmental public policy and to Native American upperclassmen majoring in health care and fields related to public policy on Indian reservations. Other major projects in fiscal year 1996 include a conference in conjunction with the Udall Center for Studies in Public Policy and the

Society of Environmental Journalists on the topic of *Environmental Health Issues in the U.S.-Mexico Border Region: Public Perception, the Knowledge Base and Public Policy*, and the first ever Native American Congressional Summer Internship Program.

Personnel Summary

| Identification code 95-8615-0-7-502                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 1           | 2         | 2         |

**NATIONAL ARCHIVES AND RECORDS ADMINISTRATION**

**Federal Funds**

**General and special funds:**

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives (including the Information Security Oversight Office) and records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, [\$199,633,000, of which \$4,500,000 shall be available until expended for cataloging, archiving and digitizing activities] \$196,964,000. Provided, That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to move into the facility. (1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11-13; 4 U.S.C. 141-146; 5 U.S.C. App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98-497, Public Law 93-526, Executive Orders 11440, 10530, 11030, 12829, 12958; Independent Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

| Identification code 88-0300-0-1-804                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                    |             |           |           |
| Direct program:                                                     |             |           |           |
| 00.01 Records centers                                               | 60          | 64        | 63        |
| 00.02 Archives and related services                                 | 105         | 106       | 104       |
| 00.03 Information security oversight office                         |             | 1         | 1         |
| 00.04 Archives II Facility                                          | 27          | 25        | 25        |
| 00.91 Total direct program                                          | 192         | 196       | 193       |
| 01.01 Reimbursable program                                          | 26          | 27        | 27        |
| 10.00 Total obligations                                             | 218         | 223       | 220       |
| Budgetary resources available for obligation:                       |             |           |           |
| Unobligated balance available, start of year:                       |             |           |           |
| 21.40 Uninvested balance                                            |             | 1         |           |
| 21.41 U.S. Securities: Par value                                    | 9           | 11        | 11        |
| 21.99 Total unobligated balance, start of year                      | 9           | 12        | 11        |
| 22.00 New budget authority (gross)                                  | 219         | 223       | 220       |
| 22.10 Resources available from recoveries of prior year obligations | 1           |           |           |
| 22.30 Unobligated balance expiring                                  | -1          |           |           |
| 23.90 Total budgetary resources available for obligation            | 228         | 235       | 231       |
| 23.95 New obligations                                               | -218        | -223      | -220      |
| Unobligated balance available, end of year:                         |             |           |           |
| 24.40 Uninvested balance                                            | 1           |           |           |
| 24.41 U.S. Securities: Par value                                    | 11          | 11        | 11        |
| 24.99 Total unobligated balance, end of year                        | 12          | 11        | 11        |
| New budget authority (gross), detail:                               |             |           |           |
| Current:                                                            |             |           |           |
| 40.00 Appropriation                                                 | 195         | 200       | 197       |
| 40.35 Appropriation rescinded                                       | -1          |           |           |
| 40.47 Portion applied to debt reduction                             | -4          | -4        | -4        |
| 43.00 Appropriation (total)                                         | 190         | 196       | 193       |

|                                             |                                                                                     |      |       |       |
|---------------------------------------------|-------------------------------------------------------------------------------------|------|-------|-------|
| Permanent:                                  |                                                                                     |      |       |       |
| 68.00                                       | Spending authority from offsetting collections: Offsetting collections (cash) ..... | 29   | 27    | 27    |
| 70.00                                       | Total new budget authority (gross) .....                                            | 219  | 223   | 220   |
| Change in unpaid obligations:               |                                                                                     |      |       |       |
| Unpaid obligations, start of year:          |                                                                                     |      |       |       |
| Obligated balance:                          |                                                                                     |      |       |       |
| 72.40                                       | Appropriation .....                                                                 | 30   | 22    | 34    |
| 72.41                                       | U.S. Securities: Par value .....                                                    | 27   | 6     | ..... |
| 72.99                                       | Total unpaid obligations, start of year .....                                       | 57   | 28    | 34    |
| 73.10                                       | New obligations .....                                                               | 218  | 223   | 220   |
| 73.20                                       | Total outlays (gross) .....                                                         | -245 | -217  | -216  |
| 73.40                                       | Adjustments in expired accounts .....                                               | -1   | ..... | ..... |
| 73.45                                       | Adjustments in unexpired accounts .....                                             | -1   | ..... | ..... |
| Unpaid obligations, end of year:            |                                                                                     |      |       |       |
| Obligated balance:                          |                                                                                     |      |       |       |
| 74.40                                       | Appropriation .....                                                                 | 22   | 34    | 38    |
| 74.41                                       | U.S. Securities: Par value .....                                                    | 6    | ..... | ..... |
| 74.99                                       | Total unpaid obligations, end of year .....                                         | 28   | 34    | 38    |
| Outlays (gross), detail:                    |                                                                                     |      |       |       |
| 86.90                                       | Outlays from new current authority .....                                            | 182  | 153   | 151   |
| 86.93                                       | Outlays from current balances .....                                                 | 34   | 37    | 38    |
| 86.97                                       | Outlays from new permanent authority .....                                          | 29   | 27    | 27    |
| 87.00                                       | Total outlays (gross) .....                                                         | 245  | 217   | 216   |
| Offsets:                                    |                                                                                     |      |       |       |
| Against gross budget authority and outlays: |                                                                                     |      |       |       |
| Offsetting collections (cash) from:         |                                                                                     |      |       |       |
| 88.00                                       | Federal sources .....                                                               | -26  | -27   | -27   |
| 88.40                                       | Non-Federal sources .....                                                           | -3   | ..... | ..... |
| 88.90                                       | Total, offsetting collections (cash) .....                                          | -29  | -27   | -27   |
| Net budget authority and outlays:           |                                                                                     |      |       |       |
| 89.00                                       | Budget authority .....                                                              | 190  | 196   | 193   |
| 90.00                                       | Outlays .....                                                                       | 216  | 190   | 189   |

Note.—The Information Security Oversight Office had comparable funding of \$1 million in the Office of Management and Budget appropriation in 1995.

The National Archives and Records Administration provides for basic operations dealing with management of the Government's archives and records, operation of Presidential libraries, and for the review for declassification of classified security information.

**Records centers.**—This activity provides for the accessioning, storage, reference service, and disposal of the semiactive and non-current records of Federal agencies through a nationwide system of 14 records centers. Significant savings result from use of low cost records storage and the efficient and timely disposal of non-permanent records.

**Archives and related services.**—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies, the permanently valuable records of the Federal Government and the historical material in Presidential libraries, related publications and exhibit programs, and the appraisal of all Federal records. It also provides for the publication of the *Federal Register* and *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, Presidential documents and for a program to improve the quality of regulations and the public's access to them.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also includes administrative costs for the National Historical Publications and Records Commission.

**Information security oversight office.**—This activity provides oversight for the information security program established by Executive order 12356 and reports annually to the President on the status of that program. This involves monitoring

the information security programs of approximately 80 executive branch agencies. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829. In the fiscal year 1996 Treasury, Postal, General Government Appropriations Act, Congress transferred this office from the Office of Management and Budget to the National Archives and Records Administration.

**Archives II Facility.**—Provides for construction and related services of a new archival facility. Costs of construction are financed by \$301,702 thousand of federally, guaranteed debt issued in 1989. Since 1994 and continuing in 1997, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

Object Classification (in millions of dollars)

| Identification code 88-0300-0-1-804 | 1995 actual                                                | 1996 est. | 1997 est. |       |
|-------------------------------------|------------------------------------------------------------|-----------|-----------|-------|
| Direct obligations:                 |                                                            |           |           |       |
| Personnel compensation:             |                                                            |           |           |       |
| 11.1                                | Full-time permanent .....                                  | 61        | 63        | 66    |
| 11.3                                | Other than full-time permanent .....                       | 6         | 6         | 5     |
| 11.9                                | Total personnel compensation .....                         | 67        | 69        | 71    |
| 12.1                                | Civilian personnel benefits .....                          | 14        | 14        | 15    |
| 13.0                                | Benefits for former personnel .....                        | 4         | .....     | ..... |
| 21.0                                | Travel and transportation of persons .....                 | 1         | 1         | 1     |
| 23.1                                | Rental payments to GSA .....                               | 31        | 36        | 36    |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 9         | 11        | 11    |
| 24.0                                | Printing and reproduction .....                            | 2         | 2         | 2     |
| 25.2                                | Other services .....                                       | 24        | 25        | 19    |
| 25.4                                | Operation and maintenance of facilities .....              | 5         | 5         | 5     |
| 25.7                                | Operation and maintenance of equipment .....               | 2         | 2         | 2     |
| 26.0                                | Supplies and materials .....                               | 4         | 2         | 2     |
| 31.0                                | Equipment .....                                            | 3         | 4         | 4     |
| 43.0                                | Interest and dividends .....                               | 26        | 25        | 25    |
| 99.0                                | Subtotal, direct obligations .....                         | 192       | 196       | 193   |
| 99.0                                | Reimbursable obligations .....                             | 26        | 27        | 27    |
| 99.9                                | Total obligations .....                                    | 218       | 223       | 220   |

Personnel Summary

| Identification code 88-0300-0-1-804 | 1995 actual                                                        | 1996 est. | 1997 est. |       |
|-------------------------------------|--------------------------------------------------------------------|-----------|-----------|-------|
| Direct:                             |                                                                    |           |           |       |
| 1001                                | Total compensable workyears: Full-time equivalent employment ..... | 1,835     | 1,875     | 1,875 |
| Reimbursable:                       |                                                                    |           |           |       |
| 2001                                | Total compensable workyears: Full-time equivalent employment ..... | 502       | 545       | 526   |

REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities and presidential libraries, **[\$1,500,000]** \$2,750,000 to remain available until expended. (*Independent Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 88-0302-0-1-804                   | 1995 actual                                 | 1996 est. | 1997 est. |
|-------------------------------------------------------|---------------------------------------------|-----------|-----------|
| Obligations by program activity:                      |                                             |           |           |
| 10.00                                                 | Total obligations (object class 25.2) ..... | 2         | 3         |
| Budgetary resources available for obligation:         |                                             |           |           |
| 22.00                                                 | New budget authority (gross) .....          | 2         | 3         |
| 23.95                                                 | New obligations .....                       | -2        | -3        |
| New budget authority (gross), detail:                 |                                             |           |           |
| 40.00                                                 | Appropriation .....                         | 2         | 3         |
| Change in unpaid obligations:                         |                                             |           |           |
| Unpaid obligations, start of year: Obligated balance: |                                             |           |           |
| 72.40                                                 | Appropriation .....                         | .....     | 1         |
| 73.10                                                 | New obligations .....                       | 2         | 3         |
| 73.20                                                 | Total outlays (gross) .....                 | -1        | -1        |

**General and special funds—Continued**

**REPAIRS AND RESTORATION—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 88-0302-0-1-804                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| 74.40 Unpaid obligations, end of year: Obligated balance: |             |           |           |
| Appropriation .....                                       |             | 1         | 3         |
| Outlays (gross), detail:                                  |             |           |           |
| 86.90 Outlays from new current authority .....            |             | 1         | 1         |
| 86.93 Outlays from current balances .....                 |             |           | 1         |
| 87.00 Total outlays (gross) .....                         |             | 1         | 1         |
| Net budget authority and outlays:                         |             |           |           |
| 89.00 Budget authority .....                              | 2           |           | 3         |
| 90.00 Outlays .....                                       | 1           |           | 1         |

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential libraries nationwide. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings.

**NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION**

**GRANTS PROGRAM**

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, **[\$5,000,000]** \$4,000,000. (*Independent Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 88-0301-0-1-804                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations (object class 41.0) .....           | 9           | 5         | 4         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 9           | 5         | 4         |
| 23.95 New obligations .....                                 | -9          | -5        | -4        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 9           | 5         | 4         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         |             | 7         | 7         |
| 73.10 New obligations .....                                 | 9           | 5         | 4         |
| 73.20 Total outlays (gross) .....                           | -2          | -5        | -4        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 7           | 7         | 7         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 2           | 5         | 4         |
| 87.00 Total outlays (gross) .....                           | 2           | 5         | 4         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 9           | 5         | 4         |
| 90.00 Outlays .....                                         | 2           | 5         | 4         |

*National Historical Publications and Records Commission Grants.*—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

**Trust Funds**

**NATIONAL ARCHIVES GIFT FUND**

Program and Financing (in millions of dollars)

| Identification code 88-8127-0-7-804                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                         |             |           |           |
| 10.00 Total obligations .....                            |             |           |           |
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance .....                                 | 2           | 2         | 2         |
| 22.00 New budget authority (gross) .....                 |             |           |           |
| 23.90 Total budgetary resources available for obligation | 2           | 2         | 2         |
| 23.95 New obligations .....                              |             |           |           |
| 24.40 Unobligated balance available, end of year:        |             |           |           |
| Uninvested balance .....                                 | 2           | 2         | 2         |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations .....                              |             |           |           |
| Outlays (gross), detail:                                 |             |           |           |
| 87.00 Total outlays (gross) .....                        |             |           |           |
| Net budget authority and outlays:                        |             |           |           |
| 89.00 Budget authority .....                             |             |           |           |
| 90.00 Outlays .....                                      |             |           |           |

Grants and donations are deposited into this fund to benefit National Archives' collections and services in accordance with terms of the donor (44 U.S.C. 2305).

**NATIONAL ARCHIVES TRUST FUND**

Program and Financing (in millions of dollars)

| Identification code 88-8436-0-8-804                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                              |             |           |           |
| 00.01 Reproduction services .....                             | 7           | 7         | 7         |
| 00.02 Presidential libraries .....                            | 5           | 5         | 5         |
| 10.00 Total obligations .....                                 | 12          | 12        | 12        |
| Budgetary resources available for obligation:                 |             |           |           |
| Unobligated balance available, start of year:                 |             |           |           |
| 21.90 Fund balance .....                                      | 5           | 3         | 13        |
| 21.91 U.S. Securities: Par value .....                        | 7           | 10        |           |
| 21.99 Total unobligated balance, start of year .....          | 12          | 13        | 13        |
| 22.00 New budget authority (gross) .....                      | 13          | 12        | 12        |
| 23.90 Total budgetary resources available for obligation      | 25          | 25        | 25        |
| 23.95 New obligations .....                                   | -12         | -12       | -12       |
| Unobligated balance available, end of year:                   |             |           |           |
| 24.90 Fund balance .....                                      | 3           | 13        | 13        |
| 24.91 Par value .....                                         | 10          |           |           |
| 24.99 Total unobligated balance, end of year .....            | 13          | 13        | 13        |
| New budget authority (gross), detail:                         |             |           |           |
| 68.00 Spending authority from offsetting collections (gross): |             |           |           |
| Offsetting collections (cash) .....                           | 13          | 12        | 12        |
| Change in unpaid obligations:                                 |             |           |           |
| 72.90 Unpaid obligations, start of year: Obligated balance:   |             |           |           |
| Fund balance .....                                            | 5           | 2         | 2         |
| 73.10 New obligations .....                                   | 12          | 12        | 12        |
| 73.20 Total outlays (gross) .....                             | -14         | -12       | -12       |
| 74.90 Unpaid obligations, end of year: Obligated balance:     |             |           |           |
| Fund balance: Uninvested balance .....                        | 2           | 2         | 2         |
| Outlays (gross), detail:                                      |             |           |           |
| 86.97 Outlays from new permanent authority .....              | 13          | 12        | 12        |
| 86.98 Outlays from permanent balances .....                   | 1           |           |           |
| 87.00 Total outlays (gross) .....                             | 14          | 12        | 12        |

|                                             |                                                         |     |     |     |
|---------------------------------------------|---------------------------------------------------------|-----|-----|-----|
| Offsets:                                    |                                                         |     |     |     |
| Against gross budget authority and outlays: |                                                         |     |     |     |
| 88.40                                       | Offsetting collections (cash) from: Non-Federal sources | -13 | -12 | -12 |
| Net budget authority and outlays:           |                                                         |     |     |     |
| 89.00                                       | Budget authority                                        |     |     |     |
| 90.00                                       | Outlays                                                 | 1   |     |     |

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from sale of copies of microfilm publications, reproductions, and other publications, and admission fees to Presidential Library museum rooms are deposited to this fund (44 U.S.C. 2108).

Statement of Operations (in millions of dollars)

| Identification code 88-8436-0-8-804             | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------|-------------|-------------|-----------|-----------|
| 0111 Revenue                                    | 10          | 7           | 7         | 8         |
| 0112 Expense                                    | -11         | -8          | -7        | -8        |
| 0119 Net income or loss, Reproduction services  | -1          | -1          |           |           |
| 0121 Revenue                                    | 5           | 5           | 4         | 4         |
| 0122 Expense                                    | -5          | -4          | -5        | -5        |
| 0129 Net income or loss, Presidential libraries |             | 1           | -1        | -1        |
| 0131 Interest income                            | 1           | 1           | 1         | 1         |
| 0132 Expense                                    |             |             |           |           |
| 0139 Net non-operating income                   | 1           | 1           | 1         | 1         |
| 0191 Total revenues                             | 16          | 13          | 12        | 13        |
| 0192 Total expenses                             | -16         | -12         | -12       | -13       |
| 0199 Net income or loss                         |             | 1           |           |           |

Balance Sheet (in millions of dollars)

| Identification code 88-8436-0-8-804                                 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                      |             |             |           |           |
| Federal assets:                                                     |             |             |           |           |
| 1101 Fund balances with Treasury                                    | 1           | 1           | 1         | 1         |
| Investments in US securities:                                       |             |             |           |           |
| 1102 Treasury securities, par                                       | 7           | 10          | 15        | 15        |
| 1201 Non-Federal assets: Investments in non-Federal securities, net | 9           | 5           |           |           |
| Other Federal assets:                                               |             |             |           |           |
| 1802 Inventories and related properties                             | 3           | 1           | 1         | 1         |
| 1803 Property, plant and equipment, net                             |             | 1           | 1         | 1         |
| 1999 Total assets                                                   | 20          | 18          | 18        | 18        |
| <b>LIABILITIES:</b>                                                 |             |             |           |           |
| 2101 Federal liabilities: Accounts payable                          | 3           |             |           |           |
| Non-Federal liabilities:                                            |             |             |           |           |
| 2201 Accounts payable                                               | 1           | 1           | 1         | 1         |
| 2207 Other                                                          | 1           | 1           | 1         | 1         |
| 2999 Total liabilities                                              | 5           | 2           | 2         | 2         |
| <b>NET POSITION:</b>                                                |             |             |           |           |
| 3300 Cumulative results of operations                               | 15          | 16          | 16        | 16        |
| 3999 Total net position                                             | 15          | 16          | 16        | 16        |
| 4999 Total liabilities and net position                             | 20          | 18          | 18        | 18        |

Object Classification (in millions of dollars)

| Identification code 88-8436-0-8-804                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                   |             |           |           |
| 11.1 Full-time permanent                                  | 2           | 2         | 2         |
| 11.3 Other than full-time permanent                       | 1           | 1         | 1         |
| 11.9 Total personnel compensation                         | 3           | 3         | 3         |
| 12.1 Civilian personnel benefits                          | 1           | 1         | 1         |
| 23.3 Communications, utilities, and miscellaneous charges | 1           |           |           |
| 24.0 Printing and reproduction                            | 1           | 1         | 1         |
| 25.2 Other services                                       | 3           | 4         | 4         |
| 26.0 Supplies and materials                               | 2           | 2         | 2         |
| 31.0 Equipment                                            | 1           |           |           |
| 99.0 Subtotal, reimbursable obligations                   | 12          | 11        | 11        |

|      |                           |    |    |    |
|------|---------------------------|----|----|----|
| 99.5 | Below reporting threshold |    | 1  | 1  |
| 99.9 | Total obligations         | 12 | 12 | 12 |

Personnel Summary

| Identification code 88-8436-0-8-804                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 2001 Total compensable workyears: Full-time equivalent employment | 112         | 113       | 113       |

**NATIONAL BANKRUPTCY REVIEW COMMISSION**

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

| Identification code 48-1090-0-1-752                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                         |             |           |           |
| 10.00 Total obligations                                  |             | 1         |           |
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance                                       |             |           | 1         |
| 22.00 New budget authority (gross)                       | 1           |           |           |
| 23.90 Total budgetary resources available for obligation | 1           | 1         |           |
| 23.95 New obligations                                    |             |           | -1        |
| 24.40 Unobligated balance available, end of year:        |             |           |           |
| Uninvested balance                                       | 1           |           |           |
| New budget authority (gross), detail:                    |             |           |           |
| 62.00 Transferred from other accounts                    | 1           |           |           |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations                                    |             |           | 1         |
| 73.20 Total outlays (gross)                              |             |           | -1        |
| Outlays (gross), detail:                                 |             |           |           |
| 86.98 Outlays from permanent balances                    |             |           | 1         |
| 87.00 Total outlays (gross)                              |             |           | 1         |
| Net budget authority and outlays:                        |             |           |           |
| 89.00 Budget authority                                   | 1           |           |           |
| 90.00 Outlays                                            |             |           | 1         |

**NATIONAL CAPITAL PLANNING COMMISSION**

*Federal Funds*

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 USC 71-71i), including services as authorized by 5 USC 3109, \$5,885,000: Provided, That all appointed members will be compensated at a rate equivalent to the rate for Executive Schedule Level IV.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2500-0-1-451           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |             |           |           |
| 10.00 Total obligations                       | 6           | 6         | 6         |
| Budgetary resources available for obligation: |             |           |           |
| 22.00 New budget authority (gross)            | 6           | 6         | 6         |
| 23.95 New obligations                         | -6          | -6        | -6        |
| New budget authority (gross), detail:         |             |           |           |
| 40.00 Appropriation                           | 6           | 6         | 6         |

**General and special funds—Continued**

*SALARIES AND EXPENSES—Continued*

Program and Financing (in millions of dollars)—Continued

| Identification code 95-2500-0-1-451                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 2           | 2         | 3         |
| 73.10 New obligations .....                                 | 6           | 6         | 6         |
| 73.20 Total outlays (gross) .....                           | -5          | -5        | -5        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 2           | 3         | 4         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 4           | 5         | 5         |
| 86.93 Outlays from current balances .....                   | 1           | 1         | 2         |
| 87.00 Total outlays (gross) .....                           | 5           | 5         | 5         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 6           | 6         | 6         |
| 90.00 Outlays .....                                         | 6           | 5         | 5         |

The National Capital Planning Commission is the central planning agency for the Federal Government in the National Capital Region. During 1997, major emphasis will be placed on the following activities: the maintenance of Federal elements of the Comprehensive Plan for the National Capital, the five-year Federal Capital Improvements program, the review of Federal land acquisition and development proposals in the region, relevant zoning analyses, and continuing Federal interest reviews of the impact of proposed local, State and regional planning efforts on the Federal establishment. In addition, there will be an emphasis on the development of computer-based analytical planning tools. These tools will be used in cooperation with other agencies early in the planning process to allow for resolution of differences before the final review and approval stages.

Object Classification (in millions of dollars)

| Identification code 95-2500-0-1-451         | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                     |             |           |           |
| 11.1 Full-time permanent .....              | 2           | 3         | 3         |
| 11.9 Total personnel compensation .....     | 2           | 3         | 3         |
| 23.1 Rental payments to GSA .....           | 1           | 1         | 1         |
| 25.1 Advisory and assistance services ..... | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....        | 2           | 1         | 1         |
| 99.9 Total obligations .....                | 6           | 6         | 6         |

Personnel Summary

| Identification code 95-2500-0-1-451                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 51          | 54        | 55        |

**NATIONAL COMMISSION ON LIBRARIES AND INFORMATION SCIENCE**

*Federal Funds*

**General and special funds:**

*SALARIES AND EXPENSES*

For necessary expenses of the National Commission on Libraries and Information Science, \$897,000. (Public Law 91-345, as amended.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2700-0-1-503            | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:               |             |           |           |
| 00.01 Direct program .....                     | 1           | 1         | 1         |
| 10.00 Total obligations .....                  | 1           | 1         | 1         |
| Budgetary resources available for obligation:  |             |           |           |
| 22.00 New budget authority (gross) .....       | 1           | 1         | 1         |
| New budget authority (gross), detail:          |             |           |           |
| 40.00 Appropriation .....                      | 1           | 1         | 1         |
| Change in unpaid obligations:                  |             |           |           |
| 73.10 New obligations .....                    | 1           | 1         | 1         |
| 73.20 Total outlays (gross) .....              | -1          | -1        | -1        |
| Outlays (gross), detail:                       |             |           |           |
| 86.90 Outlays from new current authority ..... | 1           | 1         | 1         |
| 87.00 Total outlays (gross) .....              | 1           | 1         | 1         |
| Net budget authority and outlays:              |             |           |           |
| 89.00 Budget authority .....                   | 1           | 1         | 1         |
| 90.00 Outlays .....                            | 1           | 1         | 1         |

The Commission is responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, and for advising the President and the Congress on implementation of national library and information services policies.

Personnel Summary

| Identification code 95-2700-0-1-503                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 9           | 9         | 9         |

**NATIONAL COUNCIL ON DISABILITY**

*Federal Funds*

**General and special funds:**

*SALARIES AND EXPENSES*

For expenses necessary for the National Council on Disability, as authorized by title IV of the Rehabilitation Act of 1973, as amended, \$1,793,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-3500-0-1-506                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations .....                               | 2           | 1         | 2         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 2           | 1         | 2         |
| 23.95 New obligations .....                                 | -2          | -1        | -2        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 2           | 1         | 2         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           |           |           |
| 73.10 New obligations .....                                 | 2           | 1         | 2         |
| 73.20 Total outlays (gross) .....                           | -2          | -1        | -2        |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 2           | 1         | 2         |
| 87.00 Total outlays (gross) .....                           | 2           | 1         | 2         |

|                                   |                        |   |   |   |
|-----------------------------------|------------------------|---|---|---|
| Net budget authority and outlays: |                        |   |   |   |
| 89.00                             | Budget authority ..... | 2 | 1 | 2 |
| 90.00                             | Outlays .....          | 2 | 1 | 2 |

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, NCD is responsible for reviewing laws, programs, and policies of the Federal Government affecting people with disabilities. NCD also makes recommendations on issues affecting 49 million Americans with disabilities and their families to the President, the Congress, the Rehabilitation Services Administration Commissioner, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies, as may be appropriate.

Object Classification (in millions of dollars)

| Identification code 95-3500-0-1-506                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           |           | 1         |
| 99.5 Below reporting threshold .....                                       | 1           | 1         | 1         |
| 99.9 Total obligations .....                                               | 2           | 1         | 2         |

Personnel Summary

| Identification code 95-3500-0-1-506                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 9           | 11        | 11        |

**NATIONAL CREDIT UNION ADMINISTRATION**

**Federal Funds**

**Public enterprise funds:**

**OPERATING FUND**

Program and Financing (in millions of dollars)

| Identification code 25-4056-0-3-373                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                     |             |           |           |
| Operating expenses:                                                                  |             |           |           |
| 00.01 Examination and supervision .....                                              | 63          | 71        | 71        |
| 00.03 Administration .....                                                           | 28          | 27        | 27        |
| 10.00 Total obligations .....                                                        | 91          | 98        | 98        |
| Budgetary resources available for obligation:                                        |             |           |           |
| 21.91 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 15          | 1         | 4         |
| 22.00 New budget authority (gross) .....                                             | 77          | 101       | 95        |
| 23.90 Total budgetary resources available for obligation                             | 92          | 102       | 99        |
| 23.95 New obligations .....                                                          | -91         | -98       | -98       |
| 24.91 Unobligated balance available, end of year: Par value                          | 1           | 4         | 1         |
| New budget authority (gross), detail:                                                |             |           |           |
| 68.00 Spending authority from offsetting collections (gross):                        |             |           |           |
| Offsetting collections (cash) .....                                                  | 77          | 101       | 95        |
| Change in unpaid obligations:                                                        |             |           |           |
| Unpaid obligations, start of year:                                                   |             |           |           |
| Obligated balance:                                                                   |             |           |           |
| 72.90 Fund balance .....                                                             | 21          | 1         | 1         |
| 72.91 U.S. Securities: Par value .....                                               | 10          | 21        | 21        |
| 72.99 Total unpaid obligations, start of year .....                                  | 31          | 22        | 22        |
| 73.10 New obligations .....                                                          | 91          | 98        | 98        |
| 73.20 Total outlays (gross) .....                                                    | -100        | -98       | -98       |
| Unpaid obligations, end of year:                                                     |             |           |           |
| Obligated balance:                                                                   |             |           |           |
| Fund balance:                                                                        |             |           |           |
| 74.90 Uninvested balance .....                                                       | 1           | 1         | 1         |
| 74.91 U.S. Securities: Par value .....                                               | 21          | 21        | 21        |
| 74.99 Total unpaid obligations, end of year .....                                    | 22          | 22        | 22        |

|                                             |                                            |     |      |     |
|---------------------------------------------|--------------------------------------------|-----|------|-----|
| Outlays (gross), detail:                    |                                            |     |      |     |
| 86.97                                       | Outlays from new permanent authority ..... | 77  | 97   | 95  |
| 86.98                                       | Outlays from permanent balances .....      | 23  |      | 3   |
| 87.00                                       | Total outlays (gross) .....                | 100 | 98   | 98  |
| Offsets:                                    |                                            |     |      |     |
| Against gross budget authority and outlays: |                                            |     |      |     |
| Offsetting collections (cash) from:         |                                            |     |      |     |
| 88.00                                       | Federal sources .....                      | -43 | -48  | -49 |
| 88.40                                       | Non-Federal sources .....                  | -34 | -53  | -46 |
| 88.90                                       | Total, offsetting collections (cash) ..... | -77 | -101 | -95 |

|                                   |                        |    |    |   |
|-----------------------------------|------------------------|----|----|---|
| Net budget authority and outlays: |                        |    |    |   |
| 89.00                             | Budget authority ..... |    |    |   |
| 90.00                             | Outlays .....          | 23 | -3 | 3 |

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) chartering new Federal credit unions, (b) supervising established Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 50 percent. Data relating to activities are shown below:

| Item:                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Number of new Federal credit unions chartered .....         | 5           | 4         | 4         |
| Number of operating Federal credit unions .....             | 7,412       | 7,329     | 7,250     |
| Assets of Federal credit unions as of June 30 (in millions) | \$189,507   | \$196,000 | \$205,000 |

Statement of Operations (in millions of dollars)

| Identification code 25-4056-0-3-373 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 44          | 34          | 50        | 49        |
| 0102 Expense .....                  | -43         | -46         | -48       | -49       |
| 0109 Net income .....               | 1           | -12         | 2         |           |

Balance Sheet (in millions of dollars)

| Identification code 25-4056-0-3-373                                 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| ASSETS:                                                             |             |             |           |           |
| Investments in US securities:                                       |             |             |           |           |
| 1102 Federal assets: Treasury securities, par .....                 | 25          | 22          | 25        | 22        |
| 1206 Non-Federal assets: Receivables, net .....                     | 1           | 1           | 1         | 1         |
| 1803 Other Federal assets: Property, plant and equipment, net ..... | 43          | 47          | 45        | 43        |
| 1999 Total assets .....                                             | 69          | 70          | 71        | 66        |
| LIABILITIES:                                                        |             |             |           |           |
| Federal liabilities: Interest payable .....                         |             |             |           |           |
| 2102 Non-Federal liabilities:                                       |             |             |           |           |
| 2201 Accounts payable .....                                         | 4           | 5           | 6         | 4         |
| 2207 Other .....                                                    | 7           | 8           | 9         | 9         |
| 2999 Total liabilities .....                                        | 52          | 52          | 53        | 50        |
| NET POSITION:                                                       |             |             |           |           |
| 3100 Appropriated capital .....                                     | 15          | 16          | 15        | 13        |
| 3200 Invested capital .....                                         | 2           | 2           | 3         | 3         |
| 3999 Total net position .....                                       | 17          | 18          | 18        | 16        |
| 4999 Total liabilities and net position .....                       | 69          | 70          | 71        | 66        |

Object Classification (in millions of dollars)

| Identification code 25-4056-0-3-373       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                   |             |           |           |
| 11.1 Full-time permanent .....            | 51          | 54        | 57        |
| 11.3 Other than full-time permanent ..... | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....   | 52          | 55        | 58        |

**Public enterprise funds—Continued**

**OPERATING FUND—Continued**

Object Classification (in millions of dollars)—Continued

| Identification code 25-4056-0-3-373                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| 12.1 Civilian personnel benefits .....                          | 11          | 13        | 13        |
| 21.0 Travel and transportation of persons .....                 | 9           | 11        | 11        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 4         | 4         |
| 24.0 Printing and reproduction .....                            | 1           | 1         | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 2         | 1         |
| 25.2 Other services .....                                       | 8           | 9         | 9         |
| 26.0 Supplies and materials .....                               | 1           | 1         | 1         |
| 31.0 Equipment .....                                            | 6           | 2         | .....     |
| 99.9 Total obligations .....                                    | 91          | 98        | 98        |

**Personnel Summary**

| Identification code 25-4056-0-3-373                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 905         | 925       | 925       |

**CREDIT UNION SHARE INSURANCE FUND**

Program and Financing (in millions of dollars)

| Identification code 25-4468-0-3-373                                                               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                                           |             |           |           |
| <b>Operating expenses:</b>                                                                        |             |           |           |
| 00.01 Payments to the operating fund for services and facilities .....                            | 43          | 48        | 49        |
| 00.02 Insurance claims expenses .....                                                             | .....       | 20        | 20        |
| 00.03 Other .....                                                                                 | 4           | 1         | 1         |
| 00.91 Total operating expenses .....                                                              | 47          | 69        | 70        |
| 01.01 Insurance Premium Rebate .....                                                              | .....       | 106       | 106       |
| 10.00 Total obligations .....                                                                     | 47          | 175       | 176       |
| <b>Budgetary resources available for obligation:</b>                                              |             |           |           |
| 21.91 Unobligated balance available, start of year: U.S. Securities: Par value .....              | 2,948       | 3,232     | 3,431     |
| 22.00 New budget authority (gross) .....                                                          | 332         | 374       | 376       |
| 23.90 Total budgetary resources available for obligation .....                                    | 3,280       | 3,606     | 3,807     |
| 23.95 New obligations .....                                                                       | -47         | -175      | -176      |
| 24.91 Unobligated balance available, end of year: Par value .....                                 | 3,232       | 3,431     | 3,631     |
| <b>New budget authority (gross), detail:</b>                                                      |             |           |           |
| 68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) ..... | 332         | 374       | 376       |
| <b>Change in unpaid obligations:</b>                                                              |             |           |           |
| <b>Unpaid obligations, start of year:</b>                                                         |             |           |           |
| <b>Obligated balance:</b>                                                                         |             |           |           |
| 72.90 Fund balance .....                                                                          | .....       | 20        | 20        |
| 72.91 U.S. Securities: Par value .....                                                            | 79          | 71        | 49        |
| 72.99 Total unpaid obligations, start of year .....                                               | 79          | 91        | 69        |
| 73.10 New obligations .....                                                                       | 47          | 175       | 176       |
| 73.20 Total outlays (gross) .....                                                                 | -35         | -197      | -176      |
| <b>Unpaid obligations, end of year:</b>                                                           |             |           |           |
| <b>Obligated balance:</b>                                                                         |             |           |           |
| <b>Fund balance:</b>                                                                              |             |           |           |
| 74.90 Uninvested balance .....                                                                    | 20          | 20        | 20        |
| 74.91 U.S. Securities: Par value .....                                                            | 71          | 49        | 49        |
| 74.99 Total unpaid obligations, end of year .....                                                 | 91          | 69        | 69        |
| <b>Outlays (gross), detail:</b>                                                                   |             |           |           |
| 86.97 Outlays from new permanent authority .....                                                  | 35          | 196       | 176       |
| 87.00 Total outlays (gross) .....                                                                 | 35          | 197       | 176       |
| <b>Offsets:</b>                                                                                   |             |           |           |
| <b>Against gross budget authority and outlays:</b>                                                |             |           |           |
| <b>Offsetting collections (cash) from:</b>                                                        |             |           |           |
| 88.20 Interest on U.S. securities .....                                                           | -168        | -186      | -191      |
| <b>Non-Federal sources:</b>                                                                       |             |           |           |
| 88.40 Deposit from members .....                                                                  | -141        | -176      | -180      |

|                                                  |      |      |      |
|--------------------------------------------------|------|------|------|
| 88.40 Recoveries on assets acquired .....        | -19  | -9   | -4   |
| 88.40 Other interest income .....                | -4   | -3   | -1   |
| 88.90 Total, offsetting collections (cash) ..... | -332 | -374 | -376 |

**Net budget authority and outlays:**

|                              |       |       |       |
|------------------------------|-------|-------|-------|
| 89.00 Budget authority ..... | ..... | ..... | ..... |
| 90.00 Outlays .....          | -297  | -177  | -200  |

**Status of Direct Loans (in millions of dollars)**

| Identification code 25-4468-0-3-373                                           | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Position with respect to appropriations act limitation on obligations:</b> |             |           |           |
| 1111 Limitation on direct loans .....                                         | .....       | .....     | .....     |
| 1131 Direct loan obligations exempt from limitation .....                     | .....       | 2         | 2         |
| 1150 Total direct loan obligations .....                                      | .....       | 2         | 2         |

**Cumulative balance of direct loans outstanding:**

|                                                     |       |       |    |
|-----------------------------------------------------|-------|-------|----|
| 1210 Outstanding, start of year .....               | 3     | ..... | 2  |
| 1231 Disbursements: Direct loan disbursements ..... | ..... | 5     | 1  |
| 1251 Repayments: Repayments and prepayments .....   | -3    | -3    | -1 |
| 1290 Outstanding, end of year .....                 | ..... | 2     | 2  |

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91-468, enacted October 19, 1970.

**Budget program.**—The activities consist of: (a) providing member account insurance, (b) formulating standards and requirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at fifty percent.

The extent of the program is estimated as follows:

| Item:                                                                              | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Number of insured credit unions .....                                              | 11,836      | 11,636    | 11,336    |
| Insured shares of member institutions as of June 30 (in millions of dollars) ..... | 265,548     | 275,000   | 285,000   |

It is estimated that approximately 4,500 State-chartered credit unions will be enrolled in the program by the end of 1997.

**Financing.**—For insurance year 1996 the credit union's required annual insurance premium of one-twelfth of 1 percent of its total member share accounts has been waived. As a result of Public Law 98-369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In fiscal year 1995 the income generated from the 1 percent deposit eliminated the need to assess the annual premium. In addition, the fund paid a \$106 million dividend to federally insured credit unions in 1996 due to an excess in the 1.3 percent reserve requirement. The fund has \$100 million in borrowing authority from the Treasury for use in unforeseen emergencies.

**Operating results.**—Anticipated net income of \$142 million will be retained in the fund, raising the balance for unforeseen emergencies to \$3.8 billion by the end of 1997.

**Statement of Operations (in millions of dollars)**

| Identification code 25-4468-0-3-373 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 150         | 171         | 189       | 192       |
| 0102 Expense .....                  | -70         | -47         | -49       | -50       |

|      |                  |    |     |     |     |
|------|------------------|----|-----|-----|-----|
| 0109 | Net income ..... | 80 | 124 | 140 | 142 |
|------|------------------|----|-----|-----|-----|

Balance Sheet (in millions of dollars)

| Identification code 25-4468-0-3-373 | 1994 actual                                                | 1995 actual | 1996 est. | 1997 est. |       |
|-------------------------------------|------------------------------------------------------------|-------------|-----------|-----------|-------|
| <b>ASSETS:</b>                      |                                                            |             |           |           |       |
| Federal assets:                     |                                                            |             |           |           |       |
| Investments in US securities:       |                                                            |             |           |           |       |
| 1102                                | Treasury securities, par .....                             | 3,019       | 3,316     | 3,494     | 3,694 |
| 1106                                | Receivables, net .....                                     | 37          | 21        | 53        | 65    |
| 1107                                | Advances and prepayments .....                             | 3           |           |           |       |
| 1206                                | Non-Federal assets: Receivables, net .....                 | 69          | 60        | 86        | 94    |
| 1801                                | Other Federal assets: Cash and other monetary assets ..... | 41          | 39        | 42        | 45    |
| 1999                                | Total assets .....                                         | 3,169       | 3,436     | 3,675     | 3,898 |
| <b>LIABILITIES:</b>                 |                                                            |             |           |           |       |
| 2201                                | Non-Federal liabilities: Accounts payable .....            | 115         | 118       | 112       | 114   |
| 2999                                | Total liabilities .....                                    | 115         | 118       | 112       | 114   |
| <b>NET POSITION:</b>                |                                                            |             |           |           |       |
| 3100                                | Appropriated capital .....                                 | 3,013       | 3,279     | 3,521     | 3,739 |
| 3200                                | Invested capital .....                                     | 41          | 39        | 42        | 45    |
| 3999                                | Total net position .....                                   | 3,054       | 3,318     | 3,563     | 3,784 |
| 4999                                | Total liabilities and net position .....                   | 3,169       | 3,436     | 3,675     | 3,898 |

Object Classification (in millions of dollars)

| Identification code 25-4468-0-3-373 | 1995 actual                            | 1996 est. | 1997 est. |     |
|-------------------------------------|----------------------------------------|-----------|-----------|-----|
| 25.2                                | Other services .....                   | 47        | 49        | 50  |
| 42.0                                | Insurance claims and indemnities ..... |           | 20        | 20  |
| 44.0                                | Refunds .....                          |           | 106       | 106 |
| 99.9                                | Total obligations .....                | 47        | 175       | 176 |

CENTRAL LIQUIDITY FACILITY

During fiscal year 1997, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by the National Credit Union Central Liquidity Facility Act (12 U.S.C. 1795), shall not exceed \$600,000,000: Provided, That administrative expenses of the Central Liquidity Facility in fiscal year 1997 shall not exceed \$560,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 25-4470-0-3-373                  | 1995 actual                                                                                    | 1996 est. | 1997 est. |      |
|------------------------------------------------------|------------------------------------------------------------------------------------------------|-----------|-----------|------|
| <b>Obligations by program activity:</b>              |                                                                                                |           |           |      |
| Operating expenses:                                  |                                                                                                |           |           |      |
| 00.01                                                | Administrative expenses .....                                                                  | 1         | 1         | 1    |
| 00.03                                                | Dividends on capital stock .....                                                               | 38        | 46        | 58   |
| 00.91                                                | Total operating expenses .....                                                                 | 39        | 47        | 59   |
| Capital investment:                                  |                                                                                                |           |           |      |
| 01.01                                                | Net loans to credit unions, total capital investment, funded .....                             | 48        | 58        | 65   |
| 01.02                                                | Redemption of capital stock .....                                                              | 7         | 4         | 6    |
| 01.03                                                | Withdrawal of member deposits .....                                                            | 2         | 2         | 3    |
| 01.91                                                | Total capital investment .....                                                                 | 57        | 64        | 74   |
| 10.00                                                | Total obligations .....                                                                        | 96        | 111       | 133  |
| <b>Budgetary resources available for obligation:</b> |                                                                                                |           |           |      |
| 21.90                                                | Unobligated balance available, start of year: Fund balance .....                               | 403       | 403       | 403  |
| 22.00                                                | New budget authority (gross) .....                                                             | 96        | 111       | 133  |
| 23.90                                                | Total budgetary resources available for obligation .....                                       | 499       | 514       | 536  |
| 23.95                                                | New obligations .....                                                                          | -96       | -111      | -133 |
| 24.90                                                | Unobligated balance available, end of year: Fund balance .....                                 | 403       | 403       | 403  |
| <b>New budget authority (gross), detail:</b>         |                                                                                                |           |           |      |
| 68.00                                                | Spending authority from offsetting collections (gross):<br>Offsetting collections (cash) ..... | 96        | 111       | 133  |

|                               |                             |     |      |      |
|-------------------------------|-----------------------------|-----|------|------|
| Change in unpaid obligations: |                             |     |      |      |
| 73.10                         | New obligations .....       | 96  | 111  | 133  |
| 73.20                         | Total outlays (gross) ..... | -96 | -111 | -133 |

|                          |                                            |    |     |     |
|--------------------------|--------------------------------------------|----|-----|-----|
| Outlays (gross), detail: |                                            |    |     |     |
| 86.97                    | Outlays from new permanent authority ..... | 96 | 111 | 133 |
| 87.00                    | Total outlays (gross) .....                | 96 | 111 | 133 |

|                                             |                                                               |     |      |      |
|---------------------------------------------|---------------------------------------------------------------|-----|------|------|
| Offsets:                                    |                                                               |     |      |      |
| Against gross budget authority and outlays: |                                                               |     |      |      |
| 88.40                                       | Offsetting collections (cash) from: Non-Federal sources ..... | -96 | -111 | -133 |

|                                   |                        |  |  |  |
|-----------------------------------|------------------------|--|--|--|
| Net budget authority and outlays: |                        |  |  |  |
| 89.00                             | Budget authority ..... |  |  |  |
| 90.00                             | Outlays .....          |  |  |  |

Status of Direct Loans (in millions of dollars)

| Identification code 25-4470-0-3-373                                    | 1995 actual                              | 1996 est. | 1997 est. |      |
|------------------------------------------------------------------------|------------------------------------------|-----------|-----------|------|
| Position with respect to appropriations act limitation on obligations: |                                          |           |           |      |
| 1111                                                                   | Limitation on direct loans .....         | 600       | 600       | 600  |
| 1112                                                                   | Unobligated direct loan limitation ..... | -600      | -600      | -600 |
| 1150                                                                   | Total direct loan obligations .....      |           |           |      |

The National Credit Union Central Liquidity Facility was established under Public Law 95-630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

Statement of Operations (in millions of dollars)

| Identification code 25-4470-0-3-373 | 1994 actual      | 1995 actual | 1996 est. | 1997 est. |     |
|-------------------------------------|------------------|-------------|-----------|-----------|-----|
| 0101                                | Revenue .....    | 25          | 39        | 47        | 59  |
| 0102                                | Expense .....    | -24         | -39       | -47       | -59 |
| 0109                                | Net income ..... | 1           |           |           |     |

Balance Sheet (in millions of dollars)

| Identification code 25-4470-0-3-373 | 1994 actual                                              | 1995 actual | 1996 est. | 1997 est. |     |
|-------------------------------------|----------------------------------------------------------|-------------|-----------|-----------|-----|
| <b>ASSETS:</b>                      |                                                          |             |           |           |     |
| Non-Federal assets:                 |                                                          |             |           |           |     |
| 1201                                | Investments in non-Federal securities, net .....         | 683         | 701       | 719       | 740 |
| 1206                                | Receivables, net .....                                   | 8           | 9         | 10        | 11  |
| 1999                                | Total assets .....                                       | 691         | 710       | 729       | 751 |
| <b>LIABILITIES:</b>                 |                                                          |             |           |           |     |
| 2104                                | Federal liabilities: Resources payable to Treasury ..... | 13          |           |           |     |
| 2201                                | Non-Federal liabilities: Accounts payable .....          |             | 15        | 18        | 20  |
| 2999                                | Total liabilities .....                                  | 13          | 15        | 18        | 20  |
| <b>NET POSITION:</b>                |                                                          |             |           |           |     |
| 3100                                | Appropriated capital .....                               | 691         | 710       | 729       | 751 |
| 3200                                | Invested capital .....                                   | -13         | -15       | -18       | -20 |
| 3999                                | Total net position .....                                 | 678         | 695       | 711       | 731 |
| 4999                                | Total liabilities and net position .....                 | 691         | 710       | 729       | 751 |

Object Classification (in millions of dollars)

| Identification code 25-4470-0-3-373 | 1995 actual                 | 1996 est. | 1997 est. |    |
|-------------------------------------|-----------------------------|-----------|-----------|----|
| 33.0                                | Investments and loans ..... | 48        | 58        | 65 |

**Public enterprise funds—Continued**

*CENTRAL LIQUIDITY FACILITY—Continued*

Object Classification (in millions of dollars)—Continued

| Identification code 25-4470-0-3-373    | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------|-------------|-----------|-----------|
| 43.0 Interest and dividends .....      | 38          | 46        | 58        |
| 44.0 Redemptions and withdrawals ..... | 9           | 6         | 9         |
| 99.5 Below reporting threshold .....   | 1           | 1         | 1         |
| 99.9 Total obligations .....           | 96          | 111       | 133       |

Personnel Summary

| Identification code 25-4470-0-3-373                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 2           | 2         | 2         |

**COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND**

Program and Financing (in millions of dollars)

| Identification code 25-4472-0-3-373               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 33.0) ..... | 1           | 2         | 1         |

| Budgetary resources available for obligation:                          |    |    |    |
|------------------------------------------------------------------------|----|----|----|
| 21.90 Unobligated balance available, start of year: Fund balance ..... | 7  | 7  | 6  |
| 22.00 New budget authority (gross) .....                               | 1  | 1  | 1  |
| 23.90 Total budgetary resources available for obligation .....         | 8  | 8  | 7  |
| 23.95 New obligations .....                                            | -1 | -2 | -1 |
| 24.90 Unobligated balance available, end of year: Fund balance .....   | 7  | 6  | 6  |

| New budget authority (gross), detail:                                                                |   |   |   |
|------------------------------------------------------------------------------------------------------|---|---|---|
| 68.00 Spending authority from offsetting collections (gross):<br>Offsetting collections (cash) ..... | 1 | 1 | 1 |

| Change in unpaid obligations:     |    |    |    |
|-----------------------------------|----|----|----|
| 73.10 New obligations .....       | 1  | 2  | 1  |
| 73.20 Total outlays (gross) ..... | -1 | -1 | -1 |

| Outlays (gross), detail:                         |   |   |   |
|--------------------------------------------------|---|---|---|
| 86.97 Outlays from new permanent authority ..... | 1 | 1 | 1 |
| 87.00 Total outlays (gross) .....                | 1 | 1 | 1 |

| Offsets:                                                            |    |    |    |
|---------------------------------------------------------------------|----|----|----|
| Against gross budget authority and outlays:                         |    |    |    |
| 88.40 Offsetting collections (cash) from: Non-Federal sources ..... | -1 | -1 | -1 |

| Net budget authority and outlays: |    |  |  |
|-----------------------------------|----|--|--|
| 89.00 Budget authority .....      |    |  |  |
| 90.00 Outlays .....               | -1 |  |  |

Status of Direct Loans (in millions of dollars)

| Identification code 25-4472-0-3-373                 | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding:     |             |           |           |
| 1210 Outstanding, start of year .....               | 5           | 5         | 5         |
| 1231 Disbursements: Direct loan disbursements ..... | 2           | 2         | 2         |
| 1251 Repayments: Repayments and prepayments .....   | -2          | -2        | -2        |
| 1290 Outstanding, end of year .....                 | 5           | 5         | 5         |

Public Law 99-609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of \$2 million in 1995 and plans to disburse \$2 million in 1996.

**NATIONAL EDUCATION GOALS PANEL**

*Federal Funds*

**General and special funds:**

*NATIONAL EDUCATION GOALS PANEL*

*For expenses necessary for the National Education Goals Panel, as authorized by title II, part A of the Goals 2000: Educate America Act, \$2,785,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2650-0-1-503 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:    |             |           |           |
| 10.00 Total obligations .....       | 2           | 2         | 3         |

| Budgetary resources available for obligation:                                   |    |    |    |
|---------------------------------------------------------------------------------|----|----|----|
| 21.40 Unobligated balance available, start of year:<br>Uninvested balance ..... | 2  |    |    |
| 22.00 New budget authority (gross) .....                                        |    | 2  | 3  |
| 23.90 Total budgetary resources available for obligation .....                  | 2  | 2  | 3  |
| 23.95 New obligations .....                                                     | -2 | -2 | -3 |

| New budget authority (gross), detail: |  |   |   |
|---------------------------------------|--|---|---|
| 40.00 Appropriation .....             |  | 2 | 3 |

| Change in unpaid obligations:                               |    |    |    |
|-------------------------------------------------------------|----|----|----|
| 72.40 Unpaid obligations, start of year: Obligated balance: |    |    |    |
| Appropriation .....                                         |    | 1  | 1  |
| 73.10 New obligations .....                                 | 2  | 2  | 3  |
| 73.20 Total outlays (gross) .....                           | -1 | -2 | -2 |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |    |    |    |
| Appropriation .....                                         | 1  | 1  | 1  |

| Outlays (gross), detail:                       |   |   |   |
|------------------------------------------------|---|---|---|
| 86.90 Outlays from new current authority ..... |   | 1 | 2 |
| 86.93 Outlays from current balances .....      | 1 | 1 | 1 |
| 87.00 Total outlays (gross) .....              | 1 | 2 | 2 |

| Net budget authority and outlays: |   |   |   |
|-----------------------------------|---|---|---|
| 89.00 Budget authority .....      |   | 2 | 3 |
| 90.00 Outlays .....               | 1 | 2 | 2 |

Object Classification (in millions of dollars)

| Identification code 95-2650-0-1-503                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1         | 1         |
| 25.2 Other services .....                              | 1           | 1         | 2         |
| 99.9 Total obligations .....                           | 2           | 2         | 3         |

Personnel Summary

| Identification code 95-2650-0-1-503                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 11          | 8         | 13        |

The bipartisan National Education Goals Panel is an independent agency responsible for overseeing the development and implementation of a reporting system for the National Education Goals; monitoring and reporting annual progress toward goal achievement at national and State levels; building a national consensus for the reforms necessary to achieve education improvement; reporting on promising and effective actions; and working with States to develop high academic standards.

**NATIONAL EDUCATION STANDARDS AND IMPROVEMENT COUNCIL**

**Federal Funds**

**General and special funds:**

*NATIONAL EDUCATION STANDARDS AND IMPROVEMENT COUNCIL*

Program and Financing (in millions of dollars)

| Identification code 95-2550-0-1-503                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                  |             |           |           |
| 10.00 Total obligations                                  |             |           |           |
| <b>Budgetary resources available for obligation:</b>     |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance                                       | 2           |           |           |
| 22.00 New budget authority (gross)                       |             |           |           |
| 22.20 Unobligated balance transferred                    | -2          |           |           |
| 23.90 Total budgetary resources available for obligation |             |           |           |
| 23.95 New obligations                                    |             |           |           |
| <b>New budget authority (gross), detail:</b>             |             |           |           |
| 70.00 Total new budget authority (gross)                 |             |           |           |
| <b>Change in unpaid obligations:</b>                     |             |           |           |
| 73.10 New obligations                                    |             |           |           |
| <b>Outlays (gross), detail:</b>                          |             |           |           |
| 87.00 Total outlays (gross)                              |             |           |           |
| <b>Net budget authority and outlays:</b>                 |             |           |           |
| 89.00 Budget authority                                   |             |           |           |
| 90.00 Outlays                                            |             |           |           |

The National Education Standards and Improvement Council is not in operation; no new funds are requested.

**NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES**

**Federal Funds**

**General and special funds:**

NATIONAL ENDOWMENT FOR THE ARTS

*GRANTS AND ADMINISTRATION*

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$115,000,000 shall be available to the National Endowment for the Arts for support of projects and productions in the arts through assistance to organizations and individuals pursuant to section 5(c) of the Act, and for administering the functions of the Act, to remain available until September 30, 1998.

*MATCHING GRANTS*

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$21,000,000, to remain available until September 30, 1998, to the National Endowment for the Arts: Provided, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequest, and devises of money, and other property accepted by the Chairman or by grantees of the Endowment under the provisions of section 10(a)(2), subsections 11(a)(2)(A) and 11(a)(3)(A) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

| Identification code 59-0100-0-1-503 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| <b>Balance, start of year:</b>      |             |           |           |
| 01.99 Balance, start of year        |             |           |           |
| <b>Receipts:</b>                    |             |           |           |
| 02.01 Gifts and donations           | 1           | 1         | 1         |

| Appropriation: |                            |    |    |    |
|----------------|----------------------------|----|----|----|
| 05.01          | Gifts fund                 | -1 | -1 | -1 |
| 07.99          | Total balance, end of year |    |    |    |

Program and Financing (in millions of dollars)

| Identification code 59-0100-0-1-503                  | 1995 actual                                                                   | 1996 est. | 1997 est. |      |
|------------------------------------------------------|-------------------------------------------------------------------------------|-----------|-----------|------|
| <b>Obligations by program activity:</b>              |                                                                               |           |           |      |
| <b>Direct program:</b>                               |                                                                               |           |           |      |
| 00.01                                                | Promotion of the arts                                                         | 147       | 111       | 119  |
| 00.02                                                | Administration                                                                | 24        | 19        | 18   |
| 00.91                                                | Total direct program                                                          | 171       | 130       | 137  |
| 01.01                                                | Reimbursable program                                                          | 1         | 1         | 1    |
| 01.02                                                | Permanent Authority                                                           | 1         | 1         | 1    |
| 01.91                                                | Total permanent authority program                                             | 2         | 2         | 2    |
| 10.00                                                | Total obligations                                                             | 173       | 132       | 139  |
| <b>Budgetary resources available for obligation:</b> |                                                                               |           |           |      |
| 21.40                                                | Unobligated balance available, start of year:                                 |           |           |      |
| Uninvested balance                                   |                                                                               | 13        | 5         | 6    |
| 22.00                                                | New budget authority (gross)                                                  | 163       | 133       | 138  |
| 23.90                                                | Total budgetary resources available for obligation                            | 176       | 138       | 144  |
| 23.95                                                | New obligations                                                               | -173      | -132      | -139 |
| 24.40                                                | Unobligated balance available, end of year:                                   |           |           |      |
| Uninvested balance                                   |                                                                               | 5         | 6         | 5    |
| <b>New budget authority (gross), detail:</b>         |                                                                               |           |           |      |
| <b>Current:</b>                                      |                                                                               |           |           |      |
| 40.00                                                | Appropriation                                                                 | 162       | 131       | 136  |
| <b>Permanent:</b>                                    |                                                                               |           |           |      |
| 60.27                                                | Appropriation (trust fund, indefinite)                                        | 1         | 1         | 1    |
| 68.00                                                | Spending authority from offsetting collections: Offsetting collections (cash) | 1         | 1         | 1    |
| 70.00                                                | Total new budget authority (gross)                                            | 163       | 133       | 138  |
| <b>Change in unpaid obligations:</b>                 |                                                                               |           |           |      |
| 72.40                                                | Unpaid obligations, start of year: Obligated balance:                         |           |           |      |
| Appropriation                                        |                                                                               | 159       | 155       | 127  |
| 73.10                                                | New obligations                                                               | 173       | 132       | 139  |
| 73.20                                                | Total outlays (gross)                                                         | -177      | -159      | -140 |
| 74.40                                                | Unpaid obligations, end of year: Obligated balance:                           |           |           |      |
| Appropriation                                        |                                                                               | 155       | 127       | 125  |
| <b>Outlays (gross), detail:</b>                      |                                                                               |           |           |      |
| 86.90                                                | Outlays from new current authority                                            | 52        | 45        | 45   |
| 86.93                                                | Outlays from current balances                                                 | 122       | 113       | 94   |
| 86.97                                                | Outlays from new permanent authority                                          | 2         | 1         | 1    |
| 86.98                                                | Outlays from permanent balances                                               | 1         | 1         |      |
| 87.00                                                | Total outlays (gross)                                                         | 177       | 159       | 140  |
| <b>Offsets:</b>                                      |                                                                               |           |           |      |
| <b>Against gross budget authority and outlays:</b>   |                                                                               |           |           |      |
| 88.00                                                | Offsetting collections (cash) from: Federal sources                           | -1        | -1        | -1   |
| <b>Net budget authority and outlays:</b>             |                                                                               |           |           |      |
| 89.00                                                | Budget authority                                                              | 162       | 131       | 136  |
| 90.00                                                | Outlays                                                                       | 175       | 158       | 139  |

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent, and State or regional organizations engaged in or concerned with the arts. Programs encourage individual and institutional development and education of the arts, preservation of the American artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support arts projects

**General and special funds—Continued**

NATIONAL ENDOWMENT FOR THE ARTS—Continued

MATCHING GRANTS—Continued

and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

Object Classification (in millions of dollars)

| Identification code 59-0100-0-1-503                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                      |             |           |           |
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 12          | 9         | 9         |
| 11.3 Other than full-time permanent .....                       | 2           | 1         | 2         |
| 11.9 Total personnel compensation .....                         | 14          | 10        | 11        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3         | 2         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1         | 1         |
| 25.2 Other services .....                                       | 4           | 2         | 2         |
| 31.0 Equipment .....                                            | 1           | 1         | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 147         | 112       | 119       |
| 99.0 Subtotal, direct obligations .....                         | 172         | 133       | 139       |
| 99.0 Reimbursable obligations .....                             |             |           |           |
| 99.5 Below reporting threshold .....                            | -2          | -1        | -2        |
| 99.9 Total obligations .....                                    | 173         | 132       | 139       |

Personnel Summary

| Identification code 59-0100-0-1-503                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 1001 Full-time equivalent employment .....                    | 264         | 160       | 160       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           | 1         | 1         |

**Federal Funds**

**General and special funds:**

NATIONAL ENDOWMENT FOR THE HUMANITIES

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$118,250,000 shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until September 30, 1998.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$17,750,000, to remain available until September 30, 1998, of which \$11,500,000 shall be available to the National Endowment for the Humanities for the purposes of section 7(h): Provided, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the Chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 59-0200-0-1-503                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                                   |             |           |           |
| <b>Direct program:</b>                                                                    |             |           |           |
| 00.01 Promotion of the humanities .....                                                   | 151         | 113       | 118       |
| 00.02 Administration .....                                                                | 20          | 17        | 18        |
| 00.91 Total direct program .....                                                          | 171         | 130       | 136       |
| 01.01 Reimbursable program .....                                                          | 1           |           |           |
| 10.00 Total obligations .....                                                             | 172         | 130       | 136       |
| <b>Budgetary resources available for obligation:</b>                                      |             |           |           |
| 21.40 Unobligated balance available, start of year:                                       |             |           |           |
| Uninvested balance .....                                                                  |             | 1         |           |
| 22.00 New budget authority (gross) .....                                                  | 173         | 129       | 136       |
| 23.90 Total budgetary resources available for obligation                                  | 173         | 130       | 136       |
| 23.95 New obligations .....                                                               | -172        | -130      | -136      |
| 24.40 Unobligated balance available, end of year:                                         |             |           |           |
| Uninvested balance .....                                                                  | 1           |           |           |
| <b>New budget authority (gross), detail:</b>                                              |             |           |           |
| <b>Current:</b>                                                                           |             |           |           |
| 40.00 Appropriation .....                                                                 | 172         | 129       | 136       |
| <b>Permanent:</b>                                                                         |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 1           |           |           |
| 70.00 Total new budget authority (gross) .....                                            | 173         | 129       | 136       |
| <b>Change in unpaid obligations:</b>                                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Appropriation .....                                                                       | 160         | 150       | 116       |
| 73.10 New obligations .....                                                               | 172         | 130       | 136       |
| 73.20 Total outlays (gross) .....                                                         | -181        | -162      | -145      |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Appropriation .....                                                                       | 150         | 116       | 107       |
| <b>Outlays (gross), detail:</b>                                                           |             |           |           |
| 86.90 Outlays from new current authority .....                                            | 78          | 57        | 60        |
| 86.93 Outlays from current balances .....                                                 | 102         | 105       | 85        |
| 86.97 Outlays from new permanent authority .....                                          | 1           |           |           |
| 87.00 Total outlays (gross) .....                                                         | 181         | 162       | 145       |
| <b>Offsets:</b>                                                                           |             |           |           |
| <b>Against gross budget authority and outlays:</b>                                        |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources .....                           | -1          |           |           |
| <b>Net budget authority and outlays:</b>                                                  |             |           |           |
| 89.00 Budget authority .....                                                              | 172         | 129       | 136       |
| 90.00 Outlays .....                                                                       | 180         | 162       | 145       |

The National Endowment for the Humanities funds activities that are intended to improve the quality of education and teaching in the humanities, to strengthen the scholarly foundation for humanities study and research, to preserve cultural and intellectual resources, and to advance understanding of the humanities among general audiences.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, state humanities councils and individuals.

This presentation includes the Gifts and Donations account which previously had been presented separately. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

| Identification code 59-0200-0-1-503                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                      |             |           |           |
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 11          | 10        | 10        |
| 11.3 Other than full-time permanent .....                       | 1           |           |           |
| 11.9 Total personnel compensation .....                         | 12          | 10        | 10        |
| 12.1 Civilian personnel benefits .....                          | 3           | 2         | 2         |
| 13.0 Benefits for former personnel .....                        |             | 1         |           |
| 23.1 Rental payments to GSA .....                               | 2           | 2         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |           |           |
| 25.2 Other services .....                                       | 2           | 1         | 2         |
| 31.0 Equipment .....                                            |             |           | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 151         | 113       | 118       |
| 99.0 Subtotal, direct obligations .....                         | 171         | 129       | 135       |
| 99.0 Reimbursable obligations .....                             | 1           |           |           |
| 99.5 Below reporting threshold .....                            | 1           | 1         | 1         |
| 99.9 Total obligations .....                                    | 172         | 130       | 136       |

**Personnel Summary**

| Identification code 59-0200-0-1-503                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                          |             |           |           |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 249         | 178       | 173       |
| <b>Reimbursable:</b>                                                    |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 2           | 2         | 2         |

**ADMINISTRATIVE PROVISION**

An administrative provision affecting this agency follows the National Institute of Museum Services.

**INSTITUTE OF MUSEUM SERVICES**

**Federal Funds**

**General and special funds:**

*GRANTS AND ADMINISTRATION*

For carrying out title II of the Arts, Humanities, and Cultural Affairs Act of 1976, as amended, \$23,000,000, to remain available until September 30, 1998.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 59-0300-0-1-503                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 00.01 Assistance for museums .....                          | 27          | 20        | 21        |
| 00.02 Administration .....                                  | 2           | 1         | 2         |
| 10.00 Total obligations .....                               | 29          | 22        | 23        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 29          | 22        | 23        |
| 23.95 New obligations .....                                 | -29         | -22       | -23       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 29          | 22        | 23        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 39          | 32        | 16        |
| 73.10 New obligations .....                                 | 29          | 22        | 23        |
| 73.20 Total outlays (gross) .....                           | -35         | -38       | -22       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 32          | 16        | 17        |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 6           | 6         | 7         |

|                                           |    |    |    |
|-------------------------------------------|----|----|----|
| 86.93 Outlays from current balances ..... | 28 | 32 | 15 |
| 87.00 Total outlays (gross) .....         | 35 | 38 | 22 |
| <b>Net budget authority and outlays:</b>  |    |    |    |
| 89.00 Budget authority .....              | 29 | 22 | 23 |
| 90.00 Outlays .....                       | 35 | 38 | 22 |

The Institute of Museum Services provides competitive grants to a broad range of museums which exhibit both living and non-living collections. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account, which previously had been presented separately.

Object Classification (in millions of dollars)

| Identification code 59-0300-0-1-503                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1         | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 27          | 20        | 21        |
| 99.5 Below reporting threshold .....                   | 1           |           | 1         |
| 99.9 Total obligations .....                           | 29          | 22        | 23        |

**Personnel Summary**

| Identification code 59-0300-0-1-503                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 15          | 19        | 19        |

**ADMINISTRATIVE PROVISIONS**

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses.

**NATIONAL LABOR RELATIONS BOARD**

**Federal Funds**

**General and special funds:**

*SALARIES AND EXPENSES*

For necessary expenses for the National Labor Relations Board \$181,134,000; Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, as amended, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 per centum of the water stored or supplied thereby is used for farming purposes. (29 U.S.C. 152, 141-167, 203.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 63-0100-0-1-505               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>           |             |           |           |
| <b>Direct program:</b>                            |             |           |           |
| 00.01 Field investigation .....                   | 137         | 103       | 141       |
| 00.02 Administrative law judge hearing .....      | 14          | 10        | 14        |
| 00.03 Board adjudication .....                    | 16          | 12        | 17        |
| 00.04 Securing compliance with Board orders ..... | 7           | 6         | 8         |
| 00.05 Internal Review .....                       | 1           | 1         | 1         |

**General and special funds—Continued**

*SALARIES AND EXPENSES—Continued*

Program and Financing (in millions of dollars)—Continued

| Identification code 63-0100-0-1-505                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| 10.00 Total obligations .....                               | 175         | 132       | 181       |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 176         | 132       | 181       |
| 23.95 New obligations .....                                 | -175        | -132      | -181      |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 176         | 132       | 181       |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 13          | 14        | 13        |
| 73.10 New obligations .....                                 | 175         | 132       | 181       |
| 73.20 Total outlays (gross) .....                           | -174        | -135      | -178      |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 14          | 13        | 16        |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 163         | 122       | 168       |
| 86.93 Outlays from current balances .....                   | 11          | 13        | 10        |
| 87.00 Total outlays (gross) .....                           | 174         | 135       | 178       |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 176         | 132       | 181       |
| 90.00 Outlays .....                                         | 174         | 135       | 178       |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

|                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                               |             |           |           |
| Budget Authority .....                           | 176         | 132       | 181       |
| Outlays .....                                    | 174         | 135       | 178       |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 42        |           |
| Outlays .....                                    |             | 39        | 3         |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 176         | 174       | 181       |
| Outlays .....                                    | 174         | 174       | 181       |

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

**Program Statistics**

|                                                   | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Case intake:                                      |             |           |           |
| Unfair labor practice cases .....                 | 34,004      | 34,004    | 34,684    |
| Representation cases .....                        | 5,891       | 5,891     | 6,009     |
| Administrative law judges:                        |             |           |           |
| Hearings closed .....                             | 521         | 521       | 476       |
| Adjustments after hearings closed .....           | 8           | 1         | 1         |
| Decisions issued .....                            | 531         | 513       | 573       |
| Board adjudication:                               |             |           |           |
| Contested Board decisions issued .....            | 657         | 625       | 662       |
| Regional director decisions .....                 | 812         | 776       | 812       |
| Representation election cases:                    |             |           |           |
| Decisions issued .....                            | 278         | 280       | 280       |
| Objection rulings .....                           | 207         | 209       | 208       |
| Board decisions requiring court enforcement ..... | 123         | 111       | 124       |

**Field investigation.**—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Over 92 percent of the unfair labor practice cases and about 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

**Administrative law judge hearing.**—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

**Board adjudication.**—In an unfair labor practice case a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder with exceptions filed require contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

**Securing compliance with Board orders.**—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

**Object Classification (in millions of dollars)**

| Identification code 63-0100-0-1-505                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 110         | 80        | 119       |
| 11.3 Other than full-time permanent .....                       | 4           | 3         | 3         |
| 11.5 Other personnel compensation .....                         | 1           | 1         | 2         |
| 11.9 Total personnel compensation .....                         | 115         | 84        | 124       |
| 12.1 Civilian personnel benefits .....                          | 20          | 14        | 22        |
| 13.0 Benefits for former personnel .....                        | 1           |           |           |
| 21.0 Travel and transportation of persons .....                 | 3           | 2         | 3         |
| 23.1 Rental payments to GSA .....                               | 20          | 21        | 20        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4         | 4         |
| 25.2 Other services .....                                       | 7           | 6         | 5         |
| 26.0 Supplies and materials .....                               | 2           |           | 1         |
| 31.0 Equipment .....                                            | 3           |           | 2         |
| 99.5 Below reporting threshold .....                            |             | 1         |           |
| 99.9 Total obligations .....                                    | 175         | 132       | 181       |

**Personnel Summary**

| Identification code 63-0100-0-1-505                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 2,025       | 1,443     | 2,040     |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 2           | 1         | 2         |

**NATIONAL MEDIATION BOARD**

*Federal Funds*

**General and special funds:**

*SALARIES AND EXPENSES*

*For necessary expenses to carry out the Railway Labor Act, as amended including emergency boards appointed by the President, \$8,300,000. (45 U.S.C. 151-188.)*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

**Program and Financing (in millions of dollars)**

| Identification code 95-2400-0-1-505                                | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                   |             |           |           |
| 00.01 Mediator services .....                                      | 5           | 5         | 5         |
| 00.03 Arbitration services, sections 3 and 7, referees .....       | 2           | 2         | 2         |
| 00.04 Arbitration services, sections 3 and 7, administration ..... | 1           | 1         | 1         |
| 10.00 Total obligations .....                                      | 8           | 8         | 8         |
| Budgetary resources available for obligation:                      |             |           |           |
| 22.00 New budget authority (gross) .....                           | 8           | 8         | 8         |
| 23.95 New obligations .....                                        | -8          | -8        | -8        |
| New budget authority (gross), detail:                              |             |           |           |
| 40.00 Appropriation .....                                          | 8           | 8         | 8         |
| Change in unpaid obligations:                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:        |             |           |           |
| Appropriation .....                                                | 1           | 1         | 1         |

|                                   |                                                     |    |    |    |
|-----------------------------------|-----------------------------------------------------|----|----|----|
| 73.10                             | New obligations .....                               | 8  | 8  | 8  |
| 73.20                             | Total outlays (gross) .....                         | -8 | -8 | -8 |
| 74.40                             | Unpaid obligations, end of year: Obligated balance: |    |    |    |
|                                   | Appropriation .....                                 | 1  | 1  | 1  |
| Outlays (gross), detail:          |                                                     |    |    |    |
| 86.90                             | Outlays from new current authority .....            | 7  | 7  | 7  |
| 86.93                             | Outlays from current balances .....                 | 1  | 1  | 1  |
| 87.00                             | Total outlays (gross) .....                         | 8  | 8  | 8  |
| Net budget authority and outlays: |                                                     |    |    |    |
| 89.00                             | Budget authority .....                              | 8  | 8  | 8  |
| 90.00                             | Outlays .....                                       | 8  | 8  | 8  |

**Mediatory services.**—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries.

|                              |             |           |           |
|------------------------------|-------------|-----------|-----------|
| Mediation cases:             | 1995 actual | 1996 est. | 1997 est. |
| Pending, start of year ..... | 112         | 151       | 171       |
| Received during year .....   | 120         | 100       | 100       |
| Closed during year .....     | 81          | 80        | 80        |
| Pending, end of year .....   | 151         | 171       | 191       |

**Employee Representation.**—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

|                                                           |             |           |           |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Representation cases:                                     | 1995 actual | 1996 est. | 1997 est. |
| Pending, start of year .....                              | 22          | 34        | 34        |
| Received during year .....                                | 80          | 70        | 70        |
| Closed during year .....                                  | 68          | 70        | 70        |
| Pending, end of year .....                                | 34          | 34        | 34        |
| Freedom of Information Act (FOIA) requests received ..... | 535         | 60        | 60        |
| Investigation cases closed .....                          | 25          | 40        | 40        |

**Emergency disputes.**—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which threaten to seriously interrupt service, may appoint emergency boards to investigate and report on the disputes under section 160 of the Railway Labor Act (RLA). Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 amended the Railway Labor Act by adding a new emergency dispute procedure covering disputes between a publicly funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

|                                      |             |           |           |
|--------------------------------------|-------------|-----------|-----------|
| Boards/panels created:               | 1995 actual | 1996 est. | 1997 est. |
| Emergency (sec. 160) .....           |             | 3         | 2         |
| Emergency (sec. 159a) .....          | 2           | 6         | 2         |
| Arbitration Boards .....             | 10          | 1         |           |
| Arbitration Panels (PL 102-29) ..... | 1           |           |           |
| Airline SBA Panels .....             | 143         | 145       | 145       |
| ICC-LPP Panels .....                 | 10          | 16        | 16        |

**Arbitration under sections 3 and 7 of the RLA.**—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are pro-

vided by Federal employees who are compensated by the National Mediation Board.

|                                        |                    |           |           |
|----------------------------------------|--------------------|-----------|-----------|
| Public law boards caseload:            | 1995 actual        | 1996 est. | 1997 est. |
| Pending, start of year .....           | 6,898              | 6,409     | 5,909     |
| Received during year .....             | 2,985              | 2,500     | 2,500     |
| Closed during year .....               | <sup>1</sup> 3,474 | 3,000     | 3,100     |
| Pending, end of year .....             | 6,409              | 5,909     | 5,309     |
| Special boards of adjustment caseload: |                    |           |           |
| Pending, start of year .....           | 1,328              | 1,317     | 1,317     |
| Received during year .....             | 1,503              | 1,200     | 1,100     |
| Closed during year .....               | <sup>2</sup> 1,514 | 1,200     | 1,200     |
| Pending, end of year .....             | 1,317              | 1,317     | 1,217     |
| Number of boards convened:             |                    |           |           |
| Special boards of adjustment .....     | 80                 | 70        | 80        |
| Public law boards .....                | 410                | 400       | 410       |
| NRAB caseload:                         |                    |           |           |
| Pending, start of year .....           | 2,036              | 1,805     | 1,755     |
| Received during year .....             | 856                | 800       | 750       |
| Closed during year .....               | <sup>3</sup> 1,087 | 850       | 850       |
| Pending, end of year .....             | 1,805              | 1,755     | 1,655     |

<sup>1</sup> Includes 589 cases withdrawn or decided by the parties.  
<sup>2</sup> Includes 56 cases withdrawn or decided by the parties.  
<sup>3</sup> Includes 966 awards of referees, 1 award by NRAB members, and 120 cases withdrawn.

Object Classification (in millions of dollars)

|                                                 |             |           |           |
|-------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2400-0-1-505             | 1995 actual | 1996 est. | 1997 est. |
| Personnel compensation:                         |             |           |           |
| 11.1 Full-time permanent .....                  | 3           | 4         | 4         |
| 11.8 Special personal services payments .....   | 2           | 2         | 2         |
| 11.9 Total personnel compensation .....         | 5           | 6         | 6         |
| 12.1 Civilian personnel benefits .....          | 1           | 1         | 1         |
| 21.0 Travel and transportation of persons ..... | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....               | 1           | 1         | 1         |
| 99.9 Total obligations .....                    | 8           | 8         | 8         |

Personnel Summary

|                                                                         |             |           |           |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-2400-0-1-505                                     | 1995 actual | 1996 est. | 1997 est. |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 52          | 52        | 52        |

NATIONAL SCIENCE FOUNDATION

Federal Funds

General and special funds:

RESEARCH AND RELATED ACTIVITIES

For necessary expenses in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875), and the Act to establish a National Medal of Science (42 U.S.C. 1880-1881); services as authorized by 5 U.S.C. 3109; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; \$2,472,000,000, of which not to exceed \$226,000,000 shall remain available until expended for Polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program; the balance to remain available until September 30, 1998: Provided, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation: Provided further, That to the extent that the amount appropriated is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91; P.L. 104-92, and P.L. 104-99.

General and special funds—Continued

RESEARCH AND RELATED ACTIVITIES—Continued

Program and Financing (in millions of dollars)

| Identification code 49-0100-0-1-999                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                                   |             |           |           |
| <b>Direct program:</b>                                                                    |             |           |           |
| 00.01 Biological sciences .....                                                           | 301         | 300       | 326       |
| 00.02 Computer and information science and engineering .....                              | 258         | 255       | 277       |
| 00.03 Engineering .....                                                                   | 323         | 316       | 354       |
| 00.05 Geosciences .....                                                                   | 420         | 418       | 454       |
| 00.06 Mathematical and physical sciences .....                                            | 645         | 651       | 708       |
| 00.07 Social, behavioral and economic sciences .....                                      | 110         | 117       | 124       |
| 00.09 U.S. Polar Research Program .....                                                   | 160         | 154       | 163       |
| 00.10 U.S. Antarctic Logistical Support Activities .....                                  | 63          | 63        | 63        |
| 00.11 Critical Technologies Institute .....                                               | 2           | 3         | 3         |
| 00.91 Subtotal, direct program .....                                                      | 2,282       | 2,277     | 2,472     |
| 01.01 Reimbursable program .....                                                          | 100         | 125       | 125       |
| 10.00 Total obligations .....                                                             | 2,382       | 2,402     | 2,597     |
| <b>Budgetary resources available for obligation:</b>                                      |             |           |           |
| 21.40 Unobligated balance available, start of year:                                       |             |           |           |
| Uninvested balance .....                                                                  | 38          | 3         |           |
| 22.00 New budget authority (gross) .....                                                  | 2,344       | 2,399     | 2,597     |
| 22.10 Resources available from recoveries of prior year obligations .....                 | 3           |           |           |
| 23.90 Total budgetary resources available for obligation .....                            | 2,385       | 2,402     | 2,597     |
| 23.95 New obligations .....                                                               | -2,382      | -2,402    | -2,597    |
| 24.40 Unobligated balance available, end of year:                                         |             |           |           |
| Uninvested balance .....                                                                  | 3           |           |           |
| <b>New budget authority (gross), detail:</b>                                              |             |           |           |
| <b>Current:</b>                                                                           |             |           |           |
| 40.00 Appropriation .....                                                                 | 2,245       | 2,274     | 2,472     |
| 40.36 Unobligated balance rescinded .....                                                 | -1          |           |           |
| 43.00 Appropriation (total) .....                                                         | 2,244       | 2,274     | 2,472     |
| <b>Permanent:</b>                                                                         |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 100         | 125       | 125       |
| 70.00 Total new budget authority (gross) .....                                            | 2,344       | 2,399     | 2,597     |
| <b>Change in unpaid obligations:</b>                                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Appropriation .....                                                                       | 1,837       | 2,134     | 2,258     |
| 73.10 New obligations .....                                                               | 2,382       | 2,402     | 2,597     |
| 73.20 Total outlays (gross) .....                                                         | -2,072      | -2,278    | -2,456    |
| 73.40 Adjustments in expired accounts .....                                               | -9          |           |           |
| 73.45 Adjustments in unexpired accounts .....                                             | -3          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Appropriation .....                                                                       | 2,134       | 2,258     | 2,399     |
| <b>Outlays (gross), detail:</b>                                                           |             |           |           |
| 86.90 Outlays from new current authority .....                                            | 694         | 705       | 767       |
| 86.93 Outlays from current balances .....                                                 | 1,278       | 1,448     | 1,564     |
| 86.97 Outlays from new permanent authority .....                                          | 100         | 125       | 125       |
| 87.00 Total outlays (gross) .....                                                         | 2,072       | 2,278     | 2,456     |
| <b>Offsets:</b>                                                                           |             |           |           |
| <b>Against gross budget authority and outlays:</b>                                        |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources .....                           | -100        | -125      | -125      |
| <b>Net budget authority and outlays:</b>                                                  |             |           |           |
| 89.00 Budget authority .....                                                              | 2,244       | 2,274     | 2,472     |
| 90.00 Outlays .....                                                                       | 1,972       | 2,153     | 2,331     |

Summary of Budget Authority and Outlays

[In millions of dollars]

|                                                         | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| <b>Enacted/requested:</b>                               |             |           |           |
| Budget Authority .....                                  | 2,244       | 2,274     | 2,472     |
| Outlays .....                                           | 1,972       | 2,153     | 2,331     |
| <b>Adjustment to 1996 continuing resolution levels:</b> |             |           |           |
| Budget Authority .....                                  |             | 40        |           |
| Outlays .....                                           |             | 12        | 28        |
| <b>Total:</b>                                           |             |           |           |
| Budget Authority .....                                  | 2,244       | 2,314     | 2,472     |

|               |       |       |       |
|---------------|-------|-------|-------|
| Outlays ..... | 1,972 | 2,165 | 2,359 |
|---------------|-------|-------|-------|

The Research and Related Activities appropriation addresses Foundation goals to enable the United States to uphold world leadership in all aspects of science and engineering, and to promote the discovery, integration, dissemination and employment of new knowledge in service to society. Research activities will contribute to the achievement of these goals through expansion of the knowledge base; integration of research and education; stimulation of knowledge transfer among academia and the public and private sectors; and bringing the perspectives of many disciplines to bear on complex problems important to the nation. NSF is actively developing performance indicators that tie to the key program functions of Research Project Support, Facilities, and Education and Training.

The major research program activities of the Foundation are:

**Biological sciences.**—This activity supports research in cellular and molecular biology, neural sciences, and environmental biology.

**Computer and information sciences and engineering.**—Research is directed at “information processing” in the broadest sense of the term, ranging from fundamental theory to systems engineering.

**Engineering.**—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas which are relevant to national problems and long-term needs. This activity also includes small business innovation research.

**Geosciences.**—This research advances knowledge of the properties and dynamics of the planet Earth.

**Mathematical and physical sciences.**—Research in this activity is directed at increasing understanding of natural laws and phenomena. It includes research in mathematics, astronomy, physics, chemistry, and materials science.

**Social, behavioral and economic sciences.**—This activity supports research in behavioral, social, economic, and cognitive sciences, facilitates international scientific cooperation, and funds science resources studies.

**U.S. Polar Research Programs.**—This activity supports Arctic and Antarctic research and operational science support and other related activities for the United States Polar Research Programs.

**U.S. Antarctic Logistical Support Activities.**—This activity provides necessary expenses for reimbursing Federal agencies for logistical and other related activities for the United States Antarctic Logistical Support Activities.

**Critical Technologies Institute.**—This activity supports a federally-funded research and development center that provides analytical support to the Executive Branch.

Object Classification (in millions of dollars)

| Identification code 49-0100-0-1-999                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                          |             |           |           |
| 21.0 Travel and transportation of persons .....                     | 4           | 4         | 4         |
| 24.0 Printing and reproduction .....                                | 1           | 1         | 1         |
| 25.1 Advisory and assistance services .....                         | 4           | 4         | 4         |
| 25.2 Other services .....                                           | 19          | 19        | 19        |
| 25.3 Purchases of goods and services from Government accounts ..... | 77          | 77        | 77        |
| 25.4 Operation and maintenance of facilities .....                  | 5           | 5         | 5         |
| 25.5 Research and development contracts .....                       | 114         | 114       | 114       |
| 41.0 Grants, subsidies, and contributions .....                     | 2,058       | 2,053     | 2,248     |
| 99.0 Subtotal, direct obligations .....                             | 2,282       | 2,277     | 2,472     |
| 99.0 Reimbursable obligations .....                                 | 100         | 125       | 125       |
| 99.9 Total obligations .....                                        | 2,382       | 2,402     | 2,597     |

ACADEMIC RESEARCH INFRASTRUCTURE

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 49-0150-0-1-251                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations (object class 41.0)                 | 117         | 101       |           |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance                                          |             | 1         |           |
| 22.00 New budget authority (gross)                          | 118         | 100       |           |
| 23.90 Total budgetary resources available for obligation    | 118         | 101       |           |
| 23.95 New obligations                                       | -117        | -101      |           |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance                                          | 1           |           |           |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 118         | 100       |           |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 165         | 221       | 217       |
| 73.10 New obligations                                       | 117         | 101       |           |
| 73.20 Total outlays (gross)                                 | -61         | -105      | -108      |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 221         | 217       | 109       |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 3           | 10        |           |
| 86.93 Outlays from current balances                         | 58          | 95        | 108       |
| 87.00 Total outlays (gross)                                 | 61          | 105       | 108       |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 118         | 101       |           |
| 90.00 Outlays                                               | 61          | 105       | 108       |

The Academic Research Infrastructure facilities activity is terminated in FY 97 and beyond. A program to support the acquisition of major scientific instruments will be funded through the Research and Related Activities Heading in FY 1997.

MAJOR RESEARCH EQUIPMENT

For necessary expenses of major construction projects pursuant to the National Science Foundation Act of 1950, as amended, \$95,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 49-0551-0-1-251                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations                                     | 126         | 70        | 95        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross)                          | 126         | 70        | 95        |
| 23.95 New obligations                                       | -126        | -70       | -95       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 126         | 70        | 95        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 126         | 139       |           |
| 73.10 New obligations                                       | 126         | 70        | 95        |
| 73.20 Total outlays (gross)                                 | -57         | -79       |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 126         | 139       | 155       |

|                                          |    |    |  |
|------------------------------------------|----|----|--|
| <b>Outlays (gross), detail:</b>          |    |    |  |
| 86.90 Outlays from new current authority | 7  | 10 |  |
| 86.93 Outlays from current balances      | 50 | 70 |  |
| 87.00 Total outlays (gross)              | 57 | 79 |  |

|                                          |     |    |    |
|------------------------------------------|-----|----|----|
| <b>Net budget authority and outlays:</b> |     |    |    |
| 89.00 Budget authority                   | 126 | 70 | 95 |
| 90.00 Outlays                            | 57  | 79 |    |

Object Classification (in millions of dollars)

| Identification code 49-0551-0-1-251       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------|-------------|-----------|-----------|
| 25.5 Research and development contracts   |             |           | 25        |
| 41.0 Grants, subsidies, and contributions | 126         | 70        | 70        |
| 99.9 Total obligations                    | 126         | 70        | 95        |

The Major Research Equipment activity supports the construction and procurement of unique national research platforms and major research equipment. Performance is measured against established construction schedules and milestones.

SALARIES AND EXPENSES

For necessary salaries and expenses of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875); services authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$9,000 for official reception and representation expenses; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; rental of conference rooms in the District of Columbia; reimbursement of the General Services Administration for security guard services and headquarters relocation; \$134,310,000, to remain available until September 30, 1998: Provided, That contracts may be entered into under salaries and expenses in fiscal year 1997 for maintenance and operation of facilities, and for other services, to be provided during the next fiscal year.

Note.—The regular 1996 appropriations for these accounts had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 49-0180-0-1-251                                                 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                             |             |           |           |
| 00.01 Administration and Management                                                 | 128         | 132       | 134       |
| 00.02 Relocation                                                                    | 5           | 5         | 5         |
| 10.00 Total obligations                                                             | 133         | 137       | 139       |
| <b>Budgetary resources available for obligation:</b>                                |             |           |           |
| 22.00 New budget authority (gross)                                                  | 133         | 137       | 139       |
| 23.95 New obligations                                                               | -133        | -137      | -139      |
| <b>New budget authority (gross), detail:</b>                                        |             |           |           |
| <b>Current:</b>                                                                     |             |           |           |
| 40.00 Appropriation                                                                 | 129         | 132       | 134       |
| <b>Permanent:</b>                                                                   |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 4           | 5         | 5         |
| 70.00 Total new budget authority (gross)                                            | 133         | 137       | 139       |
| <b>Change in unpaid obligations:</b>                                                |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                         |             |           |           |
| Appropriation                                                                       | 17          | 21        | 20        |
| 73.10 New obligations                                                               | 133         | 137       | 139       |
| 73.20 Total outlays (gross)                                                         | -129        | -138      | -139      |
| 74.40 Unpaid obligations, end of year: Obligated balance:                           |             |           |           |
| Appropriation                                                                       | 21          | 20        | 20        |
| <b>Outlays (gross), detail:</b>                                                     |             |           |           |
| 86.90 Outlays from new current authority                                            | 112         | 119       | 121       |
| 86.93 Outlays from current balances                                                 | 13          | 14        | 13        |
| 86.97 Outlays from new permanent authority                                          | 4           | 5         | 5         |
| 87.00 Total outlays (gross)                                                         | 129         | 138       | 139       |

**General and special funds—Continued**

*SALARIES AND EXPENSES—Continued*

Program and Financing (in millions of dollars)—Continued

| Identification code 49-0180-0-1-251                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Offsets:                                                  |             |           |           |
| Against gross budget authority and outlays:               |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources | -4          | -5        | -5        |
| Net budget authority and outlays:                         |             |           |           |
| 89.00 Budget authority                                    | 129         | 132       | 134       |
| 90.00 Outlays                                             | 125         | 133       | 134       |

This account provides funds to administer and manage NSF programs. NSF continues to develop performance measures for use in the administration and management of NSF programs. These measures are used for program development and performance monitoring. This account also provides for the reimbursement to the General Services Administration (GSA) for expenses incurred by GSA pursuant to the relocation of the National Science Foundation. Beginning in 1997 the Relocation Activity is funded in the Salaries and Expenses account.

Object Classification (in millions of dollars)

| Identification code 49-0180-0-1-251                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations:                                           |             |           |           |
| Personnel compensation:                                       |             |           |           |
| 11.1 Full-time permanent                                      | 63          | 64        | 66        |
| 11.3 Other than full-time permanent                           | 7           | 7         | 7         |
| 11.5 Other personnel compensation                             | 2           | 2         | 2         |
| 11.9 Total personnel compensation                             | 72          | 73        | 75        |
| 12.1 Civilian personnel benefits                              | 13          | 14        | 14        |
| 21.0 Travel and transportation of persons                     | 3           | 4         | 4         |
| 23.1 Rental payments to GSA                                   | 16          | 16        | 16        |
| 23.3 Communications, utilities, and miscellaneous charges     | 3           | 3         | 3         |
| 24.0 Printing and reproduction                                | 1           | 1         | 1         |
| 25.2 Other services                                           | 4           | 4         | 4         |
| 25.3 Purchases of goods and services from Government accounts | 5           | 6         | 6         |
| 25.7 Operation and maintenance of equipment                   | 3           | 4         | 4         |
| 26.0 Supplies and materials                                   | 1           | 1         | 1         |
| 31.0 Equipment                                                | 7           | 7         | 7         |
| 99.0 Subtotal, direct obligations                             | 128         | 133       | 135       |
| 99.0 Reimbursable obligations                                 | 3           | 3         | 3         |
| 99.5 Below reporting threshold                                | 2           | 1         | 1         |
| 99.9 Total obligations                                        | 133         | 137       | 139       |

Personnel Summary

| Identification code 49-0180-0-1-251                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                            |             |           |           |
| 1001 Full-time equivalent employment                    | 1,199       | 1,221     | 1,213     |
| 1005 Full-time equivalent of overtime and holiday hours | 4           | 5         | 5         |

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General authorized by the Inspector General Act of 1978, as amended, \$4,690,000, to remain available until September 30, 1998.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 49-0300-0-1-251 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:    |             |           |           |
| 10.00 Total obligations             | 4           | 4         | 5         |

|                                               |    |    |    |
|-----------------------------------------------|----|----|----|
| Budgetary resources available for obligation: |    |    |    |
| 22.00 New budget authority (gross)            | 4  | 4  | 5  |
| 23.95 New obligations                         | -4 | -4 | -5 |

|                                       |   |   |   |
|---------------------------------------|---|---|---|
| New budget authority (gross), detail: |   |   |   |
| 40.00 Appropriation                   | 4 | 4 | 5 |

|                                                       |    |    |    |
|-------------------------------------------------------|----|----|----|
| Change in unpaid obligations:                         |    |    |    |
| Unpaid obligations, start of year: Obligated balance: |    |    |    |
| 72.40 Appropriation                                   | 1  | 1  | 1  |
| 73.10 New obligations                                 | 4  | 4  | 5  |
| 73.20 Total outlays (gross)                           | -4 | -4 | -5 |
| Unpaid obligations, end of year: Obligated balance:   |    |    |    |
| 74.40 Appropriation                                   | 1  | 1  | 1  |

|                                          |   |   |   |
|------------------------------------------|---|---|---|
| Outlays (gross), detail:                 |   |   |   |
| 86.90 Outlays from new current authority |   |   |   |
| 86.93 Outlays from current balances      | 3 | 3 | 4 |
|                                          | 1 | 1 | 1 |
| 87.00 Total outlays (gross)              | 4 | 4 | 5 |

|                                   |   |   |   |
|-----------------------------------|---|---|---|
| Net budget authority and outlays: |   |   |   |
| 89.00 Budget authority            | 4 | 4 | 5 |
| 90.00 Outlays                     | 4 | 4 | 5 |

This appropriation provides agencywide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, Public Law 95-452, as amended by Public Law 100-504.

Object Classification (in millions of dollars)

| Identification code 49-0300-0-1-251   | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------|-------------|-----------|-----------|
| Personnel compensation:               |             |           |           |
| 11.1 Full-time permanent              | 3           | 3         | 3         |
| 11.9 Total personnel compensation     | 3           | 3         | 3         |
| 12.1 Civilian personnel benefits      | 1           | 1         | 1         |
| 25.1 Advisory and assistance services | 1           | 1         | 1         |
| 99.5 Below reporting threshold        | -1          | -1        |           |
| 99.9 Total obligations                | 4           | 4         | 5         |

Personnel Summary

| Identification code 49-0300-0-1-251                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 45          | 46        | 46        |

EDUCATION AND HUMAN RESOURCES

For necessary expenses in carrying out science and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875), including services as authorized by 5 U.S.C. 3109 and rental of conference rooms in the District of Columbia, \$619,000,000, to remain available until September 30, 1998: Provided, That to the extent that the amount of this appropriation is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 49-0106-0-1-251                 | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                    |             |           |           |
| Direct program:                                     |             |           |           |
| 00.01 Educational system reform                     | 94          | 97        | 102       |
| 00.02 EPSCoR                                        | 36          | 36        | 36        |
| 00.03 Elementary, secondary, and informal education | 199         | 194       | 190       |
| 00.04 Undergraduate education                       | 87          | 83        | 92        |

|                                               |                                                                                          |      |      |      |
|-----------------------------------------------|------------------------------------------------------------------------------------------|------|------|------|
| 00.05                                         | Graduate education .....                                                                 | 67   | 67   | 74   |
| 00.06                                         | Human resource development .....                                                         | 80   | 75   | 74   |
| 00.07                                         | Research, evaluation and communications .....                                            | 49   | 49   | 51   |
| 00.91                                         | Total direct program .....                                                               | 612  | 601  | 619  |
| 01.01                                         | Reimbursable program .....                                                               | 3    | 10   | 10   |
| 10.00                                         | Total obligations .....                                                                  | 615  | 611  | 629  |
| Budgetary resources available for obligation: |                                                                                          |      |      |      |
| 21.40                                         | Unobligated balance available, start of year:<br>Uninvested balance .....                | 8    | 2    |      |
| 22.00                                         | New budget authority (gross) .....                                                       | 609  | 609  | 629  |
| 23.90                                         | Total budgetary resources available for obligation                                       | 617  | 611  | 629  |
| 23.95                                         | New obligations .....                                                                    | -615 | -611 | -629 |
| 24.40                                         | Unobligated balance available, end of year:<br>Uninvested balance .....                  | 2    |      |      |
| New budget authority (gross), detail:         |                                                                                          |      |      |      |
| Current:                                      |                                                                                          |      |      |      |
| 40.00                                         | Appropriation .....                                                                      | 606  | 599  | 619  |
| Permanent:                                    |                                                                                          |      |      |      |
| 68.00                                         | Spending authority from offsetting collections: Off-<br>setting collections (cash) ..... | 3    | 10   | 10   |
| 70.00                                         | Total new budget authority (gross) .....                                                 | 609  | 609  | 629  |
| Change in unpaid obligations:                 |                                                                                          |      |      |      |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance:<br>Appropriation .....             | 805  | 752  | 787  |
| 73.10                                         | New obligations .....                                                                    | 615  | 611  | 629  |
| 73.20                                         | Total outlays (gross) .....                                                              | -664 | -576 | -577 |
| 73.40                                         | Adjustments in expired accounts .....                                                    | -4   |      |      |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:<br>Appropriation .....               | 752  | 787  | 839  |
| Outlays (gross), detail:                      |                                                                                          |      |      |      |
| 86.90                                         | Outlays from new current authority .....                                                 | 124  | 120  | 124  |
| 86.93                                         | Outlays from current balances .....                                                      | 537  | 446  | 443  |
| 86.97                                         | Outlays from new permanent authority .....                                               | 3    | 10   | 10   |
| 87.00                                         | Total outlays (gross) .....                                                              | 664  | 576  | 577  |
| Offsets:                                      |                                                                                          |      |      |      |
| Against gross budget authority and outlays:   |                                                                                          |      |      |      |
| 88.00                                         | Offsetting collections (cash) from: Federal sources                                      | -3   | -10  | -10  |
| Net budget authority and outlays:             |                                                                                          |      |      |      |
| 89.00                                         | Budget authority .....                                                                   | 606  | 599  | 619  |
| 90.00                                         | Outlays .....                                                                            | 661  | 566  | 567  |

Education and Human Resources (EHR) activities provide a comprehensive set of programs across all levels of education in science, mathematics and technology. These programs will further NSF's goal of achieving excellence in U.S. science, mathematics, engineering, and technology education at all levels. These efforts also address the participation of groups underrepresented in science and engineering. At the precollege level, EHR provides for new instructional material and techniques, and enrichment activities for teachers and students. Undergraduate initiatives support curriculum improvement (including laboratory instruction), faculty enhancement, and advanced technological education. (The latter is also supported at the pre-college level.) Graduate level support is directed primarily to research fellowships and traineeships. Human resources activities focus on women, persons with disabilities, minorities, and minority institutions. Emphasis is given to systemic reform through components that address urban, rural, and state-wide efforts in precollege education. The Experimental Program to Stimulate Competitive Research (EPSCoR) seeks to broaden the participation of States and regions in science and engineering. Education research, evaluation and dissemination activities are supported across the science and mathematics education spectrum. NSF is actively developing performance indicators that tie to the key program functions of Education and Training, and Research Project Support.

| Object Classification (in millions of dollars) |                                            |           |           |     |
|------------------------------------------------|--------------------------------------------|-----------|-----------|-----|
| Identification code 49-0106-0-1-251            | 1995 actual                                | 1996 est. | 1997 est. |     |
| Direct obligations:                            |                                            |           |           |     |
| 21.0                                           | Travel and transportation of persons ..... | 2         | 2         | 2   |
| 25.1                                           | Advisory and assistance services .....     | 8         | 8         | 8   |
| 25.2                                           | Other services .....                       | 6         | 6         | 6   |
| 25.5                                           | Research and development contracts .....   | 3         | 3         | 3   |
| 41.0                                           | Grants, subsidies, and contributions ..... | 591       | 582       | 600 |
| 99.0                                           | Subtotal, direct obligations .....         | 610       | 601       | 619 |
| 99.0                                           | Reimbursable obligations .....             |           |           |     |
| 99.5                                           | Below reporting threshold .....            | 2         |           |     |
| 99.9                                           | Total obligations .....                    | 615       | 611       | 629 |

**Trust Funds**

**DONATIONS**

| Unavailable Collections (in millions of dollars) |                                                |           |           |     |
|--------------------------------------------------|------------------------------------------------|-----------|-----------|-----|
| Identification code 49-8960-0-7-251              | 1995 actual                                    | 1996 est. | 1997 est. |     |
| Receipts:                                        |                                                |           |           |     |
| 02.01                                            | Donations to National Science Foundation ..... | 31        | 29        | 37  |
| Appropriation:                                   |                                                |           |           |     |
| 05.01                                            | Donations .....                                | -31       | -29       | -37 |
| 07.99                                            | Total balance, end of year .....               |           |           |     |

| Program and Financing (in millions of dollars) |                                                                              |           |           |     |
|------------------------------------------------|------------------------------------------------------------------------------|-----------|-----------|-----|
| Identification code 49-8960-0-7-251            | 1995 actual                                                                  | 1996 est. | 1997 est. |     |
| Obligations by program activity:               |                                                                              |           |           |     |
| 00.01                                          | Ocean drilling programs .....                                                | 17        | 17        | 17  |
| 00.02                                          | Gifts and Donations .....                                                    | 4         | 13        | 8   |
| 00.06                                          | Gemini Telescope .....                                                       | 6         | 6         | 15  |
| 10.00                                          | Total obligations .....                                                      | 27        | 36        | 40  |
| Budgetary resources available for obligation:  |                                                                              |           |           |     |
| 21.40                                          | Unobligated balance available, start of year:<br>Uninvested balance .....    | 8         | 12        | 5   |
| 22.00                                          | New budget authority (gross) .....                                           | 31        | 29        | 37  |
| 22.10                                          | Resources available from recoveries of prior year obligations .....          | 1         |           |     |
| 23.90                                          | Total budgetary resources available for obligation                           | 40        | 41        | 42  |
| 23.95                                          | New obligations .....                                                        | -27       | -36       | -40 |
| 24.40                                          | Unobligated balance available, end of year:<br>Uninvested balance .....      | 12        | 5         | 2   |
| New budget authority (gross), detail:          |                                                                              |           |           |     |
| 60.27                                          | Appropriation (trust fund, indefinite) .....                                 | 31        | 29        | 37  |
| Change in unpaid obligations:                  |                                                                              |           |           |     |
| 72.40                                          | Unpaid obligations, start of year: Obligated balance:<br>Appropriation ..... | 6         | 11        | 11  |
| 73.10                                          | New obligations .....                                                        | 27        | 36        | 40  |
| 73.20                                          | Total outlays (gross) .....                                                  | -22       | -36       | -40 |
| 73.45                                          | Adjustments in unexpired accounts .....                                      | -1        |           |     |
| 74.40                                          | Unpaid obligations, end of year: Obligated balance:<br>Appropriation .....   | 11        | 11        | 11  |
| Outlays (gross), detail:                       |                                                                              |           |           |     |
| 86.97                                          | Outlays from new permanent authority .....                                   | 16        | 29        | 35  |
| 86.98                                          | Outlays from permanent balances .....                                        | 6         | 7         | 5   |
| 87.00                                          | Total outlays (gross) .....                                                  | 22        | 36        | 40  |
| Net budget authority and outlays:              |                                                                              |           |           |     |
| 89.00                                          | Budget authority .....                                                       | 31        | 29        | 37  |
| 90.00                                          | Outlays .....                                                                | 22        | 36        | 40  |

**Activities funded are:**

U.S. dollars which are advanced from foreign governments as contributions to the cost of the international participation in major projects, including ocean drilling under the National Science Foundation ocean drilling programs.

Gifts and donations are used for costs associated with meetings for visiting foreign scientists and certain special func-

DONATIONS—Continued

tions of the National Science Board. These funds are not otherwise available.

Agreements between the United States and foreign countries are to promote cooperative efforts in basic research between these countries. Principal activities supported include: cooperative research, joint seminars, exchange of senior scientists, and short-term research development visits.

Object Classification (in millions of dollars)

| Identification code 49-8960-0-7-251             | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| 25.2 Other services .....                       | 14          | 14        | 14        |
| 25.5 Research and development contracts .....   | 3           | 3         | 3         |
| 41.0 Grants, subsidies, and contributions ..... | 10          | 19        | 23        |
| 99.9 Total obligations .....                    | 27          | 36        | 40        |

**NATIONAL TRANSPORTATION SAFETY BOARD**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), **[\$38,774,000] \$40,300,000**, of which not to exceed **[\$1,000] \$2,000** may be used for official reception and representation expenses. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 95-0310-0-1-407                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 00.01 Policy and direction .....                            | 5           | 6         | 6         |
| 00.02 Aviation safety .....                                 | 13          | 14        | 14        |
| 00.03 Surface transportation safety .....                   | 10          | 10        | 11        |
| 00.04 Research and engineering .....                        | 5           | 5         | 5         |
| 00.05 Administration .....                                  | 3           | 3         | 3         |
| 00.06 Administrative law judges .....                       | 1           | 1         | 1         |
| 10.00 Total obligations .....                               | 37          | 39        | 40        |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 37          | 39        | 40        |
| 23.95 New obligations .....                                 | -37         | -39       | -40       |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 37          | 39        | 40        |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 4           | 3         | 3         |
| 73.10 New obligations .....                                 | 37          | 39        | 40        |
| 73.20 Total outlays (gross) .....                           | -37         | -39       | -40       |
| 73.40 Adjustments in expired accounts .....                 | 1           |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 3           | 3         | 3         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 34          | 36        | 37        |
| 86.93 Outlays from current balances .....                   | 3           | 3         | 3         |
| 87.00 Total outlays (gross) .....                           | 37          | 39        | 40        |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 37          | 39        | 40        |
| 90.00 Outlays .....                                         | 37          | 39        | 40        |

The National Transportation Safety Board, as an independent nonregulatory agency, is charged with promoting trans-

portation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

SELECTED WORKLOAD DATA

|                                                     | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Major accident investigation reports .....          | 19          | 22        | 22        |
| Other accident investigation reports .....          | 2414        | 2497      | 2497      |
| Safety recommendations .....                        | 291         | 300       | 300       |
| Safety studies and Special investigations .....     | 4           | 5         | 5         |
| Certificate license and civil penalty appeals ..... | 478         | 480       | 480       |

Object Classification (in millions of dollars)

| Identification code 95-0310-0-1-407                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                   |             |           |           |
| 11.1 Full-time permanent .....                            | 20          | 22        | 23        |
| 11.5 Other personnel compensation .....                   | 2           | 1         | 1         |
| 11.9 Total personnel compensation .....                   | 22          | 23        | 24        |
| 12.1 Civilian personnel benefits .....                    | 4           | 5         | 5         |
| 21.0 Travel and transportation of persons .....           | 2           | 2         | 2         |
| 23.1 Rental payments to GSA .....                         | 5           | 5         | 5         |
| 23.3 Communications, utilities, and miscellaneous charges | 1           | 1         | 1         |
| 25.2 Other services .....                                 | 1           | 1         | 1         |
| 26.0 Supplies and materials .....                         | 1           | 1         | 1         |
| 31.0 Equipment .....                                      | 1           |           |           |
| 99.5 Below reporting threshold .....                      |             | 1         | 1         |
| 99.9 Total obligations .....                              | 37          | 39        | 40        |

Personnel Summary

| Identification code 95-0310-0-1-407                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                            |             |           |           |
| 1001 Full-time equivalent employment .....              | 354         | 350       | 350       |
| 1005 Full-time equivalent of overtime and holiday hours | 6           | 6         | 6         |

EMERGENCY FUND

[For necessary expenses of the National Transportation Safety Board for accident investigations, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902); **\$360,802** to remain available until expended.] (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 95-0311-0-1-407                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance .....                                 | 1           |           | 1         |
| 22.00 New budget authority (gross) .....                 |             |           |           |
| 23.90 Total budgetary resources available for obligation | 1           |           | 1         |
| 23.95 New obligations .....                              |             |           |           |
| 24.40 Unobligated balance available, end of year:        |             |           |           |
| Uninvested balance .....                                 |             | 1         | 1         |
| New budget authority (gross), detail:                    |             |           |           |
| 70.00 Total new budget authority (gross) .....           |             |           |           |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations .....                              |             |           |           |
| Outlays (gross), detail:                                 |             |           |           |
| 87.00 Total outlays (gross) .....                        |             |           |           |
| Net budget authority and outlays:                        |             |           |           |
| 89.00 Budget authority .....                             |             |           |           |

90.00 Outlays .....

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations.

**NEIGHBORHOOD REINVESTMENT CORPORATION**

**Federal Funds**

**General and special funds:**

*PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION*

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$55,000,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 82-1300-0-1-451               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 41.0) ..... | 39          | 39        | 55        |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 39          | 39        | 55        |
| 23.95 New obligations .....                       | -39         | -39       | -55       |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         | 39          | 39        | 55        |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       | 39          | 39        | 55        |
| 73.20 Total outlays (gross) .....                 | -39         | -39       | -55       |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    | 39          | 39        | 55        |
| 87.00 Total outlays (gross) .....                 | 39          | 39        | 55        |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      | 39          | 39        | 55        |
| 90.00 Outlays .....                               | 39          | 39        | 55        |

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWO's); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWO's; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For 1997, a program level of \$55,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

BUDGET ACTIVITY

(In millions of dollars)

| Neighborhood Partnership Programs: | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------|-------------|-----------|-----------|
| 1. Creation of new programs .....  | 2           | 2         | 2         |
| 2. Organizational expansion .....  | 9           | 11        | 16        |

|                                                       |    |    |    |
|-------------------------------------------------------|----|----|----|
| 3. Preserving affordable housing/equity capital ..... | 11 | 11 | 18 |
| 4. Program reviews .....                              | 2  | 2  | 2  |
| 5. Training and informing .....                       | 8  | 8  | 9  |
| 6. Secondary market activities .....                  | 4  | 4  | 6  |
| 7. General administration .....                       | 6  | 5  | 5  |
| Total corporate obligations .....                     | 42 | 43 | 58 |

Sources of financing:

|                                               |    |    |    |
|-----------------------------------------------|----|----|----|
| 1. Federal appropriation .....                | 39 | 39 | 55 |
| 2. Reimbursements for services provided ..... | 2  | 2  | 1  |
| 3. Other sources .....                        | 2  | 1  | 2  |
| Unused balance, start of year .....           | 1  |    |    |
| Net obligations incurred .....                | 42 | 43 | 58 |
| Unused balance, end of year .....             | 1  |    |    |
| Obligated balances, start of year .....       | 4  | 4  | 4  |
| Obligated balances, end of year .....         | 4  | 4  | 4  |
| Net corporate outlay .....                    | 42 | 43 | 58 |

Statement of Operations (in millions of dollars)

| Identification code 82-1300-0-1-451 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0111 Revenue .....                  | 34          | 42          | 43        | 58        |
| 0112 Expense .....                  | -33         | -42         | -43       | -58       |
| 0119 Net income or loss (-) .....   | 1           |             |           |           |
| 0199 Net income or loss .....       | 1           |             |           |           |

Balance Sheet (in millions of dollars)

| Identification code 82-1300-0-1-451                                                                                                                | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| ASSETS:                                                                                                                                            |             |             |           |           |
| 1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross ..... | 1           | 1           | 1         | 1         |
| Other Federal assets:                                                                                                                              |             |             |           |           |
| 1801 Cash and other monetary assets .....                                                                                                          | 3           | 4           | 3         | 3         |
| 1803 Property, plant and equipment, net .....                                                                                                      | 1           | 1           | 2         | 2         |
| 1999 Total assets .....                                                                                                                            | 5           | 6           | 6         | 6         |
| LIABILITIES:                                                                                                                                       |             |             |           |           |
| Non-Federal liabilities:                                                                                                                           |             |             |           |           |
| 2201 Accounts payable .....                                                                                                                        | 1           | 2           | 2         | 2         |
| 2207 Other .....                                                                                                                                   | 2           | 2           | 1         | 1         |
| 2999 Total liabilities .....                                                                                                                       | 3           | 4           | 3         | 3         |
| NET POSITION:                                                                                                                                      |             |             |           |           |
| 3300 Cumulative results of operations .....                                                                                                        | 1           | 2           | 3         | 3         |
| 3999 Total net position .....                                                                                                                      | 1           | 2           | 3         | 3         |
| 4999 Total liabilities and net position .....                                                                                                      | 4           | 6           | 6         | 6         |

Object Classification of Corporation Obligations (in millions of dollars)

|                                            | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------|-------------|-----------|-----------|
| Salaries and benefits .....                | 13          | 14        | 16        |
| Occupancy .....                            | 1           | 1         | 2         |
| Professional services .....                | 2           | 2         | 2         |
| Travel and transportation of persons ..... | 2           | 2         | 2         |
| Conferences and workshops .....            | 1           | 1         | 1         |
| Grants and grant commitments .....         | 19          | 20        | 32        |
| Other operating costs .....                | 4           | 3         | 3         |
| Total obligations .....                    | 43          | 43        | 58        |

Personnel Summary

|                                                          | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Non-Federal employees: Total compensable workyears:      |             |           |           |
| Full-time equivalent employment .....                    | 212         | 219       | 228       |
| Full-time equivalent of overtime and holiday hours ..... | 7           | 7         | 7         |

**NUCLEAR REGULATORY COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including the employment of aliens; services authorized by [section 3109 of title 5, United States Code] 5 U.S.C. 3109; publication and dissemination of atomic information; purchase, repair, and cleaning of uniforms, official representation expenses (not to exceed \$20,000); reimbursements to the General Services Administration for security guard services; hire of passenger motor vehicles and aircraft, [\$468,300,000] \$475,300,000, to remain available until expended, of which [\$11,000,000] \$14,000,000 shall be derived from the Nuclear Waste Fund: *Provided*, That from this appropriation, transfer of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: *Provided further*, That moneys received by the Commission for the cooperative nuclear safety research program, services rendered to foreign governments and international organizations, and the material and information access authorization programs, including criminal history checks under section 149 of the Atomic Energy Act [of 1954, as amended], may be retained and used for salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$457,300,000] \$457,800,000 in fiscal year [1996] 1997 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the funds herein appropriated for regulatory reviews and other activities pertaining to waste stored at the Hanford site shall be excluded from license fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [1996] 1997 from licensing fees, inspection services and other services and collections, excluding those moneys received for the cooperative nuclear safety research program, services rendered to foreign governments and international organizations, and the material and information access authorization programs, so as to result in a final fiscal year [1996] 1997 appropriation estimated at not more than [\$11,000,000] \$17,500,000. (*Energy and Water Development Appropriations Act, 1996.*)

Unavailable Collections (in millions of dollars)

| Identification code 31-0200-0-1-276                              | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                          |             |           |           |
| 01.99 Balance, start of year .....                               |             |           |           |
| Receipts:                                                        |             |           |           |
| 02.01 Nuclear facility fees, Nuclear Regulatory Commission ..... | 502         | 462       | 463       |
| Appropriation:                                                   |             |           |           |
| 05.01 Salaries and expenses .....                                | -497        | -457      | -457      |
| 05.02 Office of Inspector General .....                          | -5          | -5        | -5        |
| 05.99 Subtotal appropriation .....                               | -502        | -462      | -462      |
| 07.99 Total balance, end of year .....                           |             |           | 1         |

Program and Financing (in millions of dollars)

| Identification code 31-0200-0-1-276             | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                |             |           |           |
| Direct program:                                 |             |           |           |
| 00.01 Reactor .....                             | 275         | 259       | 237       |
| 00.02 Nuclear Materials and Nuclear Waste ..... | 89          | 86        | 83        |
| 00.03 Management and Support .....              | 173         | 177       | 155       |
| 00.91 Total direct program .....                | 537         | 522       | 475       |
| 01.01 Reimbursable program .....                | 16          | 19        | 10        |
| 10.00 Total obligations .....                   | 553         | 541       | 485       |

|                                                                           |      |      |      |
|---------------------------------------------------------------------------|------|------|------|
| Budgetary resources available for obligation:                             |      |      |      |
| 21.40 Unobligated balance available, start of year:                       |      |      |      |
| Uninvested balance .....                                                  | 64   | 63   |      |
| 22.00 New budget authority (gross) .....                                  | 536  | 478  | 485  |
| 22.10 Resources available from recoveries of prior year obligations ..... |      |      |      |
| .....                                                                     | 16   |      |      |
| 23.90 Total budgetary resources available for obligation .....            | 616  | 541  | 485  |
| 23.95 New obligations .....                                               | -553 | -541 | -485 |
| 24.40 Unobligated balance available, end of year:                         |      |      |      |
| Uninvested balance .....                                                  | 63   |      |      |

|                                                                                           |     |     |     |
|-------------------------------------------------------------------------------------------|-----|-----|-----|
| New budget authority (gross), detail:                                                     |     |     |     |
| Current:                                                                                  |     |     |     |
| 40.00 Appropriation .....                                                                 |     |     | 4   |
| Appropriation (special fund, definite):                                                   |     |     |     |
| 40.20 Appropriation (special fund, definite) .....                                        | 497 | 457 | 457 |
| 40.20 Appropriation (special fund, definite) .....                                        | 22  | 11  | 14  |
| 43.00 Appropriation (total) .....                                                         | 519 | 468 | 475 |
| Permanent:                                                                                |     |     |     |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 17  | 10  | 10  |
| 70.00 Total new budget authority (gross) .....                                            | 536 | 478 | 485 |

|                                                             |      |      |      |
|-------------------------------------------------------------|------|------|------|
| Change in unpaid obligations:                               |      |      |      |
| 72.40 Unpaid obligations, start of year: Obligated balance: |      |      |      |
| Appropriation .....                                         | 181  | 176  | 217  |
| 73.10 New obligations .....                                 | 553  | 541  | 485  |
| 73.20 Total outlays (gross) .....                           | -542 | -500 | -484 |
| 73.45 Adjustments in unexpired accounts .....               | -16  |      |      |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |      |      |      |
| Appropriation .....                                         | 176  | 217  | 218  |

|                                                  |     |     |     |
|--------------------------------------------------|-----|-----|-----|
| Outlays (gross), detail:                         |     |     |     |
| 86.90 Outlays from new current authority .....   | 344 | 351 | 356 |
| 86.93 Outlays from current balances .....        | 181 | 130 | 117 |
| 86.97 Outlays from new permanent authority ..... | 17  | 10  | 10  |
| 86.98 Outlays from permanent balances .....      |     | 9   |     |
| 87.00 Total outlays (gross) .....                | 542 | 500 | 484 |

|                                                                 |     |     |     |
|-----------------------------------------------------------------|-----|-----|-----|
| Offsets:                                                        |     |     |     |
| Against gross budget authority and outlays:                     |     |     |     |
| 88.00 Offsetting collections (cash) from: Federal sources ..... | -17 | -10 | -10 |

|                                   |     |     |     |
|-----------------------------------|-----|-----|-----|
| Net budget authority and outlays: |     |     |     |
| 89.00 Budget authority .....      | 519 | 468 | 475 |
| 90.00 Outlays .....               | 525 | 490 | 474 |

**Reactor Program.**—This program encompasses all NRC inspection, oversight, and licensing of reactor facilities and designs, as required by the Atomic Energy Act of 1954, as amended; all reactor regulatory research as required by the Energy Reorganization Act of 1974 (Section 205 of Public Law 95-209); and all other functions associated with reactors including evaluation of safety concerns, assessment of operational events and experience, technical training for NRC staff, independent review and legal advice to the Commission on safety issues, adjudicatory reviews, investigations of wrongdoing by reactor licensees, reactor enforcement policy, and actions to protect the public health and safety. This program also provides assistance to DOE in assessing and resolving technical and licensing issues to support potential tritium production in commercial light water reactors (CLWRs).

**Nuclear Materials and Nuclear Waste Programs.**—This program encompasses all NRC public health and safety, safeguards, research activities, operational data analysis, technical training, adjudicatory reviews, investigations, enforcement, and independent safety and legal advice related to the licensing, inspection and environmental reviews for fuel cycle facilities, the transportation of nuclear materials, the safe interim storage of spent fuel, nuclear materials users, the safe management and disposal of low-level and high-level radioactive wastes, and uranium recovery and related remedial actions. This program also includes safeguards reviews for all licensing activities involving the export of special nuclear material, the integrated agency effort to oversee decontamina-

tion and decommissioning of facilities and sites associated with NRC-licensed activities, and pre-licensing activities associated with anticipated commercial vitrification of high-level waste in Hanford tanks.

**Management and Support Program.**—This program encompasses NRC central policy direction, resources management, and all administrative and logistical support. In addition, this program administers NRC's responsibilities with State, local government, Indian tribes, foreign countries and international organizations.

Object Classification (in millions of dollars)

| Identification code 31-0200-0-1-276                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                          |             |           |           |
| <b>Personnel compensation:</b>                                      |             |           |           |
| 11.1 Full-time permanent .....                                      | 207         | 221       | 211       |
| 11.3 Other than full-time permanent .....                           | 4           | 5         | 5         |
| 11.5 Other personnel compensation .....                             | 5           | 8         | 7         |
| 11.8 Special personal services payments .....                       | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                             | 217         | 235       | 224       |
| 12.1 Civilian personnel benefits .....                              | 43          | 50        | 49        |
| 21.0 Travel and transportation of persons .....                     | 15          | 15        | 14        |
| 22.0 Transportation of things .....                                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                                   | 19          | 20        | 21        |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 6           | 8         | 7         |
| 24.0 Printing and reproduction .....                                | 2           | 2         | 2         |
| 25.1 Advisory and assistance services .....                         | 2           | 2         | 1         |
| 25.2 Other services .....                                           | 95          | 83        | 64        |
| 25.3 Purchases of goods and services from Government accounts ..... | 115         | 87        | 75        |
| 25.4 Operation and maintenance of facilities .....                  | 3           | 2         | 3         |
| 25.7 Operation and maintenance of equipment .....                   | 1           | 3         | 3         |
| 26.0 Supplies and materials .....                                   | 4           | 4         | 3         |
| 31.0 Equipment .....                                                | 11          | 9         | 7         |
| 41.0 Grants, subsidies, and contributions .....                     | 2           | 1         | 1         |
| 99.0 Subtotal, direct obligations .....                             | 536         | 522       | 475       |
| 99.0 Reimbursable obligations .....                                 | 16          | 19        | 10        |
| 99.5 Below reporting threshold .....                                | 1           |           |           |
| 99.9 Total obligations .....                                        | 553         | 541       | 485       |

Personnel Summary

| Identification code 31-0200-0-1-276                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 3,122       | 3,116     | 3,077     |

OFFICE OF INSPECTOR GENERAL

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, including services authorized by section 3109 of title 5, United States Code, \$5,000,000, to remain available until expended; and in addition, an amount not to exceed 5 percent of this sum may be transferred from Salaries and Expenses, Nuclear Regulatory Commission: *Provided*, That notice of such transfers shall be given to the Committees on Appropriations of the House and Senate: *Provided further*, That from this appropriation, transfers of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [1996] 1997 from licensing fees, inspection services, and other services and collections, so as to result in a final fiscal year [1996] 1997 appropriation estimated at not more than \$0. (*Energy and Water Development Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 31-0300-0-1-276                                             | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                         |             |           |           |
| 00.01 Inspector General .....                                                   | 5           | 6         | 5         |
| 10.00 Total obligations .....                                                   | 5           | 6         | 5         |
| <b>Budgetary resources available for obligation:</b>                            |             |           |           |
| 22.00 New budget authority (gross) .....                                        | 5           | 6         | 5         |
| 23.95 New obligations .....                                                     | -5          | -6        | -5        |
| 24.40 Unobligated balance available, end of year: Uninvested balance .....      | 1           |           |           |
| <b>New budget authority (gross), detail:</b>                                    |             |           |           |
| 40.20 Appropriation (special fund, definite) .....                              | 5           | 5         | 5         |
| <b>Change in unpaid obligations:</b>                                            |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation ..... | 1           | 1         | 2         |
| 73.10 New obligations .....                                                     | 5           | 6         | 5         |
| 73.20 Total outlays (gross) .....                                               | -5          | -5        | -5        |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....   | 1           | 2         | 2         |
| <b>Outlays (gross), detail:</b>                                                 |             |           |           |
| 86.90 Outlays from new current authority .....                                  | 4           | 4         | 4         |
| 86.93 Outlays from current balances .....                                       | 1           | 1         | 1         |
| 87.00 Total outlays (gross) .....                                               | 5           | 5         | 5         |
| <b>Net budget authority and outlays:</b>                                        |             |           |           |
| 89.00 Budget authority .....                                                    | 5           | 6         | 5         |
| 90.00 Outlays .....                                                             | 5           | 5         | 5         |

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

Object Classification (in millions of dollars)

| Identification code 31-0300-0-1-276                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 4         | 3         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1         | 1         |
| 25.2 Other services .....                              | 1           | 1         | 1         |
| 99.9 Total obligations .....                           | 5           | 6         | 5         |

Personnel Summary

| Identification code 31-0300-0-1-276                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 45          | 44        | 43        |

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, [\$2,531,000] \$3,214,000, to be transferred from the Nuclear Waste Fund and to remain available until expended. (*Energy and Water Development Appropriations Act, 1996.*)

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)

| Identification code 48-0500-0-1-271                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                  |             |           |           |
| 10.00 Total obligations                                  | 3           | 3         | 3         |
| <b>Budgetary resources available for obligation:</b>     |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance                                       | 1           | 1         | 1         |
| 22.00 New budget authority (gross)                       | 3           | 3         | 3         |
| 23.90 Total budgetary resources available for obligation | 4           | 4         | 4         |
| 23.95 New obligations                                    | -3          | -3        | -3        |
| 24.40 Unobligated balance available, end of year:        |             |           |           |
| Uninvested balance                                       | 1           | 1         | 1         |
| <b>New budget authority (gross), detail:</b>             |             |           |           |
| 40.20 Appropriation (special fund, definite)             | 3           | 3         | 3         |
| <b>Change in unpaid obligations:</b>                     |             |           |           |
| 73.10 New obligations                                    | 3           | 3         | 3         |
| 73.20 Total outlays (gross)                              | -3          | -3        | -3        |
| <b>Outlays (gross), detail:</b>                          |             |           |           |
| 86.90 Outlays from new current authority                 | 2           | 3         | 3         |
| 86.93 Outlays from current balances                      | 1           |           |           |
| 87.00 Total outlays (gross)                              | 3           | 3         | 3         |
| <b>Net budget authority and outlays:</b>                 |             |           |           |
| 89.00 Budget authority                                   | 3           | 3         | 3         |
| 90.00 Outlays                                            | 3           | 3         | 3         |

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

| Identification code 48-0500-0-1-271                                  | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent | 1           | 1         | 1         |
| 99.5 Below reporting threshold                                       | 2           | 2         | 2         |
| 99.9 Total obligations                                               | 3           | 3         | 3         |

Personnel Summary

| Identification code 48-0500-0-1-271                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 27          | 27        | 25        |

**OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Occupational Safety and Health Review Commission (29 U.S.C. 661), \$7,753,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-2100-0-1-554                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 00.01 Commission review                                     | 2           | 2         | 2         |
| 00.02 Administrative law judge determinations               | 4           | 4         | 4         |
| 00.03 Executive direction                                   | 2           | 2         | 2         |
| 10.00 Total obligations                                     | 8           | 8         | 8         |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross)                          | 8           | 8         | 8         |
| 23.95 New obligations                                       | -8          | -8        | -8        |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 8           | 8         | 8         |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               |             | 1         | 1         |
| 73.10 New obligations                                       | 8           | 8         | 8         |
| 73.20 Total outlays (gross)                                 | -7          | -8        | -8        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 1           | 1         | 1         |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 7           | 7         | 7         |
| 86.93 Outlays from current balances                         |             | 1         | 1         |
| 87.00 Total outlays (gross)                                 | 7           | 8         | 8         |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 8           | 8         | 8         |
| 90.00 Outlays                                               | 7           | 8         | 8         |

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

|                                             | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| <b>Commission review activities:</b>        |             |           |           |
| Cases pending beginning of year             | 80          | 63        | 56        |
| Cases called for review                     | 52          | 63        | 68        |
| Cases decided                               | 69          | 70        | 75        |
| <b>Administrative law judge activities:</b> |             |           |           |
| Cases pending beginning of year             | 1,716       | 875       | 675       |
| New cases received                          | 2,248       | 2,800     | 2,800     |
| <b>Case dispositions:</b>                   |             |           |           |
| After assignment but without hearing        | 2,947       | 2,830     | 2,820     |
| Heard and decided by judge                  | 142         | 170       | 180       |

Object Classification (in millions of dollars)

| Identification code 95-2100-0-1-554              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 5           | 5         | 5         |
| 12.1 Civilian personnel benefits                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA                      | 1           | 1         | 1         |
| 99.5 Below reporting threshold                   | 1           | 1         | 1         |
| 99.9 Total obligations                           | 8           | 8         | 8         |

Personnel Summary

| Identification code 95-2100-0-1-554                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 73          | 74        | 73        |

**OFFICE OF GOVERNMENT ETHICS**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978,

as amended by Public Law 100-598, and the Ethics Reform Act of 1989, Public Law 101-194, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses; **[\$7,776,000.] \$8,078,000.** (*Independent Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 95-1100-0-1-805                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations .....                               | 8           | 8         | 8         |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross) .....                    | 9           | 8         | 8         |
| 22.30 Unobligated balance expiring .....                    | -1          |           |           |
| 23.90 Total budgetary resources available for obligation    | 8           | 8         | 8         |
| 23.95 New obligations .....                                 | -8          | -8        | -8        |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 8           | 8         | 8         |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 2           | 1         |           |
| 73.10 New obligations .....                                 | 8           | 8         | 8         |
| 73.20 Total outlays (gross) .....                           | -8          | -9        | -8        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 1           |           |           |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 7           | 8         | 8         |
| 86.93 Outlays from current balances .....                   | 1           | 1         |           |
| 87.00 Total outlays (gross) .....                           | 8           | 9         | 8         |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 9           | 8         | 8         |
| 90.00 Outlays .....                                         | 8           | 9         | 8         |

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure; and by issuing and amending regulations implementing the procurement integrity provisions relating to negotiating for employment, post employment, and gratuities in the Office of Federal Procurement Policy Act Amendments of 1988, P.L. 100-679.

Object Classification (in millions of dollars)

| Identification code 95-1100-0-1-805                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 5         | 5         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                   | 1           | 1         | 1         |
| 99.9 Total obligations .....                           | 8           | 8         | 8         |

Personnel Summary

| Identification code 95-1100-0-1-805                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 85          | 85        | 87        |

**OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

*For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, \$25,000,000, to remain available until expended: Provided, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: Provided further, That none of the funds contained in this or any other Act may be used by the Office to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: Provided further, That no relocatee will be provided with more than one new or replacement home: Provided further, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 48-1100-0-1-808                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 00.01 Operation of relocation office .....                  | 6           | 6         | 6         |
| 00.02 Assistance payments (bonus) .....                     | 1           | 1         | 1         |
| 00.03 Relocation payments (housing) .....                   | 19          | 16        | 17        |
| 00.04 Discretionary fund payments .....                     | 2           | 2         | 6         |
| 10.00 Total obligations .....                               | 28          | 25        | 30        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance .....                                    | 19          | 17        | 12        |
| 22.00 New budget authority (gross) .....                    | 25          | 20        | 25        |
| 23.90 Total budgetary resources available for obligation    | 44          | 37        | 37        |
| 23.95 New obligations .....                                 | -28         | -25       | -30       |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance .....                                    | 17          | 12        | 7         |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation .....                                   | 25          | 20        | 25        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 10          | 11        | 12        |
| 73.10 New obligations .....                                 | 28          | 25        | 30        |
| 73.20 Total outlays (gross) .....                           | -27         | -24       | -24       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 11          | 12        | 18        |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 25          | 13        | 16        |
| 86.93 Outlays from current balances .....                   | 2           | 11        | 8         |
| 87.00 Total outlays (gross) .....                           | 27          | 24        | 24        |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority .....                                | 25          | 20        | 25        |
| 90.00 Outlays .....                                         | 27          | 24        | 24        |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1982. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

| Identification code 48-1100-0-1-808                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 4         | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1         | 1         |
| 25.2 Other services .....                              | 2           | 1         | 1         |
| 32.0 Land and structures .....                         | 19          | 16        | 18        |
| 41.0 Grants, subsidies, and contributions .....        | 3           | 3         | 6         |
| 99.9 Total obligations .....                           | 28          | 25        | 30        |

Personnel Summary

| Identification code 48-1100-0-1-808                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 86          | 86        | 81        |

**OFFICE OF SPECIAL COUNSEL**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12), Public Law 103-424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103-353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$7,840,000] \$8,311,000. (Independent Agencies Appropriations Act, 1996.)**

Program and Financing (in millions of dollars)

| Identification code 62-0100-0-1-808                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                          |             |           |           |
| 00.01 Investigation and prosecution of reprisals for whistleblowing ..... | 8           | 8         | 8         |
| 10.00 Total obligations .....                                             | 8           | 8         | 8         |
| Budgetary resources available for obligation:                             |             |           |           |
| 22.00 New budget authority (gross) .....                                  | 8           | 8         | 8         |
| 23.95 New obligations .....                                               | -8          | -8        | -8        |
| New budget authority (gross), detail:                                     |             |           |           |
| 40.00 Appropriation .....                                                 | 8           | 8         | 8         |
| Change in unpaid obligations:                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:               |             |           |           |
| Appropriation .....                                                       | 1           | 1         | 1         |
| 73.10 New obligations .....                                               | 8           | 8         | 8         |
| 73.20 Total outlays (gross) .....                                         | -8          | -8        | -8        |
| 74.40 Unpaid obligations, end of year: Obligated balance:                 |             |           |           |
| Appropriation .....                                                       | 1           | 1         | 1         |
| Outlays (gross), detail:                                                  |             |           |           |
| 86.90 Outlays from new current authority .....                            | 7           | 7         | 7         |
| 86.93 Outlays from current balances .....                                 | 1           | 1         | 1         |

|                                   |   |   |   |
|-----------------------------------|---|---|---|
| 87.00 Total outlays (gross) ..... | 8 | 8 | 8 |
| Net budget authority and outlays: |   |   |   |
| 89.00 Budget authority .....      | 8 | 8 | 8 |
| 90.00 Outlays .....               | 8 | 8 | 8 |

The Office of Special Counsel (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board; (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The Office may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

The Act to Reauthorize the Office of Special Counsel and for Other Purposes (P.L. 103-424, October 29, 1994) expanded the Office of Special Counsel's responsibility. The Act extended all protections of the Office to approximately 80,000 medical employees of the Department of Veterans Affairs and whistleblower protections to certain employees of government corporations which employ 82,000 workers.

Many cases investigated by the Office are resolved without recourse to formal proceedings before the Board. In Fiscal Year 1995 the Office of Special Counsel obtained 173 corrective actions or favorable dispositions in 149 matters. Efforts to obtain such negotiated resolutions will continue. In Fiscal Year 1995 the Office also filed 7 enforcement actions before the Merit Systems Protection Board.

The following tables display the anticipated workloads:

ALLEGATIONS RECEIVED

|                                   | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------|-------------|-----------|-----------|
| Reprisal for whistleblowing ..... | 617         | 654       | 693       |
| Other personnel practices .....   | 3,158       | 3,347     | 3,548     |
| Hatch Act .....                   | 97          | 102       | 107       |

ALLEGATIONS CLOSED

|                                   | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------|-------------|-----------|-----------|
| Reprisal for whistleblowing ..... | 706         | 748       | 793       |
| Other personnel practices .....   | 3,532       | 3,744     | 3,969     |
| Hatch Act .....                   | 119         | 125       | 131       |

Object Classification (in millions of dollars)

| Identification code 62-0100-0-1-808                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 6         | 6         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                   | 1           |           |           |
| 99.9 Total obligations .....                           | 8           | 8         | 8         |

Personnel Summary

| Identification code 62-0100-0-1-808                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 89          | 92        | 99        |

**OFFICE OF THE NUCLEAR WASTE  
NEGOTIATOR**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

Program and Financing (in millions of dollars)

| Identification code 48-0070-0-1-271               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 99.5) ..... | 1           |           |           |

|                                               |                                               |    |  |
|-----------------------------------------------|-----------------------------------------------|----|--|
| Budgetary resources available for obligation: |                                               |    |  |
| 21.40                                         | Unobligated balance available, start of year: |    |  |
|                                               | Uninvested balance .....                      | 1  |  |
| 23.95                                         | New obligations .....                         | -1 |  |
| <hr/>                                         |                                               |    |  |
| New budget authority (gross), detail:         |                                               |    |  |
| 70.00                                         | Total new budget authority (gross) .....      |    |  |
| <hr/>                                         |                                               |    |  |
| Change in unpaid obligations:                 |                                               |    |  |
| 73.10                                         | New obligations .....                         | 1  |  |
| 73.20                                         | Total outlays (gross) .....                   | -1 |  |
| <hr/>                                         |                                               |    |  |
| Outlays (gross), detail:                      |                                               |    |  |
| 86.93                                         | Outlays from current balances .....           | 1  |  |
| 87.00                                         | Total outlays (gross) .....                   | 1  |  |
| <hr/>                                         |                                               |    |  |
| Net budget authority and outlays:             |                                               |    |  |
| 89.00                                         | Budget authority .....                        |    |  |
| 90.00                                         | Outlays .....                                 | 1  |  |

No new funding is requested for this office. By law, the office was terminated on January 21, 1995.

Personnel Summary

|                                                                         |             |           |           |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 48-0070-0-1-271                                     | 1995 actual | 1996 est. | 1997 est. |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 6           |           |           |

**OTHER COMMISSIONS AND BOARDS**

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE  
ABROAD

SALARIES AND EXPENSES

For expenses for the Commission for the Preservation of America's Heritage Abroad, \$206,000, as authorized by Public Law 99-83, section 1303.

FRANKLIN DELANO ROOSEVELT MEMORIAL COMMISSION

SALARIES AND EXPENSES

For necessary expenses of the Franklin Delano Roosevelt Memorial Commission, established by the Act of August 11, 1955 (69 Stat. 694), as amended by Public Law 92-332 (86 Stat. 401), \$125,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in the budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

|                                     |                                                                               |           |           |
|-------------------------------------|-------------------------------------------------------------------------------|-----------|-----------|
| Identification code 95-9911-0-1-808 | 1995 actual                                                                   | 1996 est. | 1997 est. |
| Balance, start of year:             |                                                                               |           |           |
| 01.99                               | Balance, start of year .....                                                  | 2         | 4         |
| Receipts:                           |                                                                               |           |           |
| 02.01                               | Miscellaneous deposits, Miscellaneous trust funds, Independent agencies ..... | 1         | 1         |
| 02.02                               | Interest, Miscellaneous trust funds, Independent agencies .....               | 1         | 1         |
| 02.99                               | Total receipts .....                                                          | 2         | 2         |
| 04.00                               | Total: Balances and collections .....                                         | 2         | 4         |
| 07.99                               | Total balance, end of year .....                                              | 2         | 4         |

Program and Financing (in millions of dollars)

|                                               |                                             |           |           |
|-----------------------------------------------|---------------------------------------------|-----------|-----------|
| Identification code 95-9911-0-1-808           | 1995 actual                                 | 1996 est. | 1997 est. |
| Obligations by program activity:              |                                             |           |           |
| 10.00                                         | Total obligations (object class 25.2) ..... | 1         | 1         |
| Budgetary resources available for obligation: |                                             |           |           |
| 22.00                                         | New budget authority (gross) .....          | 1         | 1         |
| 23.95                                         | New obligations .....                       | -1        | -1        |
| New budget authority (gross), detail:         |                                             |           |           |
| 40.00                                         | Appropriation .....                         | 1         | 1         |

|                                   |                                          |    |    |
|-----------------------------------|------------------------------------------|----|----|
| Change in unpaid obligations:     |                                          |    |    |
| 73.10                             | New obligations .....                    | 1  | 1  |
| 73.20                             | Total outlays (gross) .....              | -1 | -1 |
| <hr/>                             |                                          |    |    |
| Outlays (gross), detail:          |                                          |    |    |
| 86.90                             | Outlays from new current authority ..... | 1  | 1  |
| 87.00                             | Total outlays (gross) .....              | 1  | 1  |
| <hr/>                             |                                          |    |    |
| Net budget authority and outlays: |                                          |    |    |
| 89.00                             | Budget authority .....                   | 1  | 1  |
| 90.00                             | Outlays .....                            | 1  | 1  |

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis. Individual commissions, where all transactions fall below the threshold (i.e., transactions do not round to \$1 million), are included. The Commission for the Preservation of America's Heritage Abroad requests \$206 thousand for 1997 to encourage the preservation of cemeteries, monuments, and historic buildings associated with the foreign heritage of the United States. The Franklin Delano Roosevelt Memorial Commission requests \$125 thousand for 1997 for activities related to formulating plans for a memorial to Franklin Delano Roosevelt.

Personnel Summary

|                                                                         |             |           |           |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-9911-0-1-808                                     | 1995 actual | 1996 est. | 1997 est. |
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 2           | 2         |           |

**OUNCE OF PREVENTION COUNCIL**

VIOLENT CRIME REDUCTION PROGRAMS

For activities authorized by sections 30101 and 30102 of Public Law 103-322 (including administrative costs), \$9,000,000, to remain available until expended, which shall be derived from the Violent Crime Reduction Trust Fund, for the Ounce of Prevention Grant Program: Provided, That the Council may accept and use gifts and donations, both real and personal, for the purpose of aiding or facilitating the authorized activities of the Council, of which not to exceed \$5,000 may be used for official reception and representation expenses.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

|                                               |                                                          |           |           |
|-----------------------------------------------|----------------------------------------------------------|-----------|-----------|
| Identification code 95-8261-0-1-754           | 1995 actual                                              | 1996 est. | 1997 est. |
| Obligations by program activity:              |                                                          |           |           |
| 00.01                                         | Administrative Expenses .....                            | 1         | 1         |
| 00.02                                         | Grants .....                                             | 2         | 8         |
| 10.00                                         | Total obligations .....                                  | 3         | 9         |
| Budgetary resources available for obligation: |                                                          |           |           |
| 21.40                                         | Unobligated balance available, start of year:            |           |           |
|                                               | Uninvested balance .....                                 | 2         |           |
| 22.00                                         | New budget authority (gross) .....                       | 2         | 9         |
| 23.90                                         | Total budgetary resources available for obligation ..... | 2         | 3         |
| 23.95                                         | New obligations .....                                    |           | -3        |
| 24.40                                         | Unobligated balance available, end of year:              |           |           |
|                                               | Uninvested balance .....                                 | 2         |           |
| New budget authority (gross), detail:         |                                                          |           |           |
| 42.00                                         | Transferred from other accounts .....                    | 2         | 1         |
| Change in unpaid obligations:                 |                                                          |           |           |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance:    |           |           |
|                                               | Appropriation .....                                      |           | 2         |
| 73.10                                         | New obligations .....                                    | 3         | 9         |
| 73.20                                         | Total outlays (gross) .....                              | -1        | -3        |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:      |           |           |
|                                               | Appropriation .....                                      | 2         | 7         |

**VIOLENT CRIME REDUCTION PROGRAMS—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 95-8261-0-1-754            | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------|-------------|-----------|-----------|
| Outlays (gross), detail:                       |             |           |           |
| 86.90 Outlays from new current authority ..... |             |           | 2         |
| 86.93 Outlays from current balances .....      |             | 1         | 1         |
| 87.00 Total outlays (gross) .....              |             | 1         | 3         |
| Net budget authority and outlays:              |             |           |           |
| 89.00 Budget authority .....                   | 2           | 1         | 9         |
| 90.00 Outlays .....                            |             | 1         | 3         |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

| Enacted/requested:                               | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Budget Authority .....                           | 2           | 1         | 9         |
| Outlays .....                                    |             | 1         | 3         |
| Adjustment to 1996 continuing resolution levels: |             |           |           |
| Budget Authority .....                           |             | 1         |           |
| Outlays .....                                    |             |           |           |
| Total:                                           |             |           |           |
| Budget Authority .....                           | 2           | 2         | 9         |
| Outlays .....                                    |             | 1         | 3         |

Amounts for the Ounce of Prevention Council's portion of Crime Control Programs are derived from transfers from the Violent Crime Reduction Trust Fund (VCRTF), as authorized by the Violent Crime Control and Law Enforcement Act of 1994. These funds are provided to enable the Council to coordinate crime prevention grant programs, as well as, award grants to Indian tribal governments, municipalities, school boards, colleges and universities, private nonprofit entities, or consortia of eligible applicants, for the purpose of supporting, establishing and coordinating crime prevention programs.

The Ounce of Prevention Council is chaired by Vice President Gore and consists of the Attorney General, the Secretary of the Treasury, the Secretaries of Labor, Health and Human Services, Agriculture, Education, Housing and Urban Development, the Interior, and the Director of the Office of National Drug Control Policy.

**Object Classification (in millions of dollars)**

| Identification code 95-8261-0-1-754                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... |             | 2         | 8         |
| 99.5 Below reporting threshold .....                                |             | 1         | 1         |
| 99.9 Total obligations .....                                        |             | 3         | 9         |

**Personnel Summary**

| Identification code 95-8261-0-1-754                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 3           | 7         | 15        |

**PANAMA CANAL COMMISSION**

**Federal Funds**

**Public enterprise funds:**

**[PANAMA CANAL REVOLVING FUND]**

[For administrative expenses of the Panama Canal Commission, including not to exceed \$11,000 for official reception and representation expenses of the Board; not to exceed \$5,000 for official reception and representation expenses of the Secretary; and not to exceed \$30,000 for official reception and representation expenses of the Administrator, \$50,741,000, to be derived from the Panama Canal Revolving Fund: *Provided*, That funds available to the Panama Canal Commission shall be available for the purchase of not to exceed

38 passenger motor vehicles for replacement only (including large heavy-duty vehicles used to transport Commission personnel across the Isthmus of Panama), the purchase price of which shall not exceed \$19,500 per vehicle.] (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 95-4061-0-3-403               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| Operating expenses:                               |             |           |           |
| 00.01 Transit operations .....                    | 392         | 409       | 420       |
| 00.02 Supporting services .....                   | 65          | 70        | 66        |
| 00.03 General Corporate Expenses .....            | 102         | 99        | 101       |
| 00.91 Total operating expenses .....              | 559         | 578       | 587       |
| Capital investment:                               |             |           |           |
| 02.01 Transit operation projects .....            | 24          | 54        | 57        |
| 02.02 General support projects .....              | 4           | 5         | 5         |
| 02.03 Utilities projects .....                    | 2           | 5         | 4         |
| 02.04 Accomplishment of prior year slippage ..... |             | 26        | 12        |
| 02.05 Unanticipated delays/slippage .....         |             | -12       |           |
| 02.91 Total capital investment .....              | 30          | 78        | 78        |
| 10.00 Total obligations .....                     | 589         | 656       | 665       |

**Budgetary resources available for obligation:**

|                                                                        |      |      |      |
|------------------------------------------------------------------------|------|------|------|
| 21.90 Unobligated balance available, start of year: Fund balance ..... |      | 35   | 9    |
| 22.00 New budget authority (gross) .....                               | 622  | 631  | 634  |
| 22.70 Balance of authority to borrow withdrawn .....                   | 2    |      |      |
| 23.90 Total budgetary resources available for obligation .....         | 624  | 666  | 643  |
| 23.95 New obligations .....                                            | -589 | -656 | -665 |
| Unobligated balance available, end of year:                            |      |      |      |
| 24.47 Authority to borrow .....                                        |      |      | -22  |
| 24.90 Fund balance .....                                               | 35   | 9    |      |
| 24.99 Total unobligated balance, end of year .....                     | 35   | 9    | -22  |

**New budget authority (gross), detail:**

|                                                               |     |     |     |
|---------------------------------------------------------------|-----|-----|-----|
| 68.00 Spending authority from offsetting collections (gross): |     |     |     |
| Offsetting collections (cash) .....                           | 622 | 631 | 634 |

**Change in unpaid obligations:**

|                                                     |      |      |      |
|-----------------------------------------------------|------|------|------|
| Unpaid obligations, start of year:                  |      |      |      |
| Obligated balance:                                  |      |      |      |
| 72.47 Authority to borrow .....                     | 2    |      |      |
| 72.90 Fund balance .....                            | 166  | 182  | 217  |
| 72.99 Total unpaid obligations, start of year ..... | 168  | 182  | 217  |
| 73.10 New obligations .....                         | 589  | 656  | 665  |
| 73.20 Total outlays (gross) .....                   | -575 | -621 | -636 |
| Unpaid obligations, end of year:                    |      |      |      |
| Obligated balance:                                  |      |      |      |
| 74.47 Authority to borrow .....                     |      |      | 22   |
| 74.90 Fund balance: Uninvested balance .....        | 182  | 217  | 225  |
| 74.99 Total unpaid obligations, end of year .....   | 182  | 217  | 247  |

**Outlays (gross), detail:**

|                                                  |     |     |     |
|--------------------------------------------------|-----|-----|-----|
| 86.97 Outlays from new permanent authority ..... | 477 | 524 | 526 |
| 86.98 Outlays from permanent balances .....      | 98  | 97  | 110 |
| 87.00 Total outlays (gross) .....                | 575 | 621 | 636 |

**Offsets:**

|                                                                     |      |      |      |
|---------------------------------------------------------------------|------|------|------|
| Against gross budget authority and outlays:                         |      |      |      |
| 88.40 Offsetting collections (cash) from: Non-Federal sources ..... | -622 | -631 | -634 |

**Net budget authority and outlays:**

|                              |     |     |   |
|------------------------------|-----|-----|---|
| 89.00 Budget authority ..... |     |     |   |
| 90.00 Outlays .....          | -47 | -10 | 2 |

**Summary of Budget Authority and Outlays**

[In millions of dollars]

| Enacted/requested:     | 1995 actual | 1996 est. | 1997 est. |
|------------------------|-------------|-----------|-----------|
| Budget Authority ..... |             |           |           |
| Outlays .....          | -47         | -10       | 2         |
| Supplemental proposal: |             |           |           |
| Budget Authority ..... |             |           |           |
| Outlays .....          |             |           |           |

|                        |     |     |   |
|------------------------|-----|-----|---|
| Total:                 |     |     |   |
| Budget Authority ..... |     |     |   |
| Outlays .....          | -47 | -10 | 2 |

Note.—Authority to borrow is available to the Panama Canal Commission on a permanent indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$100 million.

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. The Commission is self-sufficient in its operations and makes payments to the Republic of Panama as specified in the Panama Canal Treaty of 1977. Pursuant to Public Law 104-106, the Commission is a wholly-owned government corporation and is funded by a revolving fund.

**Budget program—Transit operations.**—The services performed by this activity are (in millions of dollars):

|                                                              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------|-------------|-----------|-----------|
| Maintenance of channels and dams .....                       | 36          | 37        | 40        |
| Navigation service and control .....                         | 102         | 109       | 111       |
| Lock operations and maintenance .....                        | 64          | 72        | 73        |
| General repairs, engineering, and maintenance services ..... | 53          | 56        | 57        |
| Fire and facility protection services .....                  | 15          | 15        | 16        |
| Public service payments to Panama .....                      | 20          | 20        | 20        |
| Payments to Panama .....                                     | 80          | 80        | 85        |
| General canal expense .....                                  | 21          | 19        | 19        |
| Net operating expenses .....                                 | 391         | 408       | 421       |

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Payments to Panama include a public service payment, a fixed annuity of \$10 million, and an annuity based on net tonnage of vessels transiting the Canal. These payments are prescribed in paragraph 5 of article III and paragraphs 4(a) and 4(b) of article XIII of the Panama Canal Treaty of 1977.

Vessel traffic volume and other indices of workload are as follows:

|                                                      | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Ship transits (over 300 net Panama Canal tons) ..... | 13,631      | 13,704    | 13,757    |
| Tolls (in millions of dollars) .....                 | 463         | 464       | 467       |

Capital obligations for 1997 include the following major projects: continuation of the Gaillard cut widening/straightening program; replacement of one tugboat; the addition of one tugboat; additional towing locomotives; replacement of locks machinery control system; replacement of switchgear in locks transformer rooms; and other improvements to transit facilities.

**Supporting services.**—The services performed by these support activities are (in millions of dollars):

|                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------|-------------|-----------|-----------|
| Supply and logistical .....     | 19          | 21        | 21        |
| Utilities .....                 | 35          | 38        | 40        |
| Other supporting services ..... | 9           | 9         | 8         |
| Operating expenses .....        | 63          | 68        | 69        |

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Capital obligations for 1997 include several projects for electric power and communication systems improvements, the replacement of overaged motor vehicles, and the procurement of small plant and equipment items.

General corporate expenses provide for the salaries and related expenses for the overall direction and administration of the Commission and other costs not directly associated with a specific function.

**Executive direction.**—Executive direction provides for the management, planning, direction, and control of overall Commission activities and operations. It also provides for Congressional liaison through the Secretary's Office in Washington, and implements policies and directives of the Commission's Supervisory Board.

**Operations direction.**—Operations direction provides for the bureau-level direction and coordination of the operations of the Commission. Included are the costs associated with the director's offices for the Marine Bureau, Engineering and Construction Bureau, and General Services Bureau.

**Financial management.**—The Financial management function is responsible for the development of financial, accounting, and rate making policies; the development of financial systems and procedures; the maintenance of general books of account and the preparation of financial statements and reports; establishment of systems of internal control; collection, custody, and disbursement of funds and related matters; and financial planning, budget management and manpower control.

**Personnel administration.**—This function has the overall agency responsibility for personnel administration and management. In addition this office is responsible for the joint personnel program that provides staffing services for participating Federal agencies in the Panama Canal area on a cost-sharing basis.

**Inspector General.**—This function is responsible for conducting comprehensive audits and investigations of Commission accounts, programs, and operations.

**Other.**—This category provides for the non-administrative expenses of the Commission, which are general in nature and not associated with any specific function. Included in these costs are: the amortization of the expense of the special retirement provisions of the treaty implementation legislation; the interest expense on the investment of the U.S. Government in the Canal which is paid into the miscellaneous receipts of the U.S. Treasury; the health and education services provided to Commission's employees and their dependents at Department of Defense facilities; the compensation benefits for work injuries (FECA); the premiums for the Federal employees health benefits program (FEHBA); certain other statutory costs required by the U.S. Government; and miscellaneous expenses of a general nature.

**Financing.**—The operation of the Canal is conducted on a commercial basis with revenues derived from tolls collected from vessels and other essential supporting services. Revenues collected are deposited in an account in the U.S. Treasury. Operating and capital expenditures are then funded from this account. The Commission may borrow from the U.S. Treasury not more than \$100 million outstanding at any time. No cash withdrawals against these funds are planned. In 1995, the Commission had a break even operation in which revenues were equal to expenses.

The amount set aside from toll receipts for a capital advance in 1997 is \$10 million. No provision is made for working capital in 1997.

Statement of Operations (in millions of dollars)

| Identification code 95-4061-0-3-403 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 503         | 538         | 558       | 574       |
| 0102 Expense .....                  | -362        | -409        | -426      | -441      |
| 0109 Net income or loss (-) .....   | 141         | 129         | 132       | 133       |
| 0111 Revenue .....                  | 45          | 48          | 49        | 50        |
| 0112 Expense .....                  | -82         | -84         | -87       | -87       |
| 0119 Net income or loss (-) .....   | -37         | -36         | -38       | -37       |
| 0121 Revenue .....                  | 1           |             |           |           |
| 0122 Expense .....                  | -102        | -93         | -94       | -96       |
| 0129 Net income or loss (-) .....   | -101        | -93         | -94       | -96       |
| 0191 Total revenues .....           | 549         | 586         | 607       | 624       |
| 0192 Total expenses .....           | -546        | -586        | -607      | -624      |
| 0199 Net income or loss .....       | 3           |             |           |           |

**Public enterprise funds—Continued**

**[PANAMA CANAL REVOLVING FUND]—Continued**

Balance Sheet (in millions of dollars)

| Identification code 95-4061-0-3-403                | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                     |             |             |           |           |
| Federal assets:                                    |             |             |           |           |
| 1101 Fund balances with Treasury .....             | 171         | 217         | 228       | 226       |
| Investments in US securities:                      |             |             |           |           |
| 1106 Receivables, net .....                        | 3           | 4           | 3         | 3         |
| 1206 Non-Federal assets: Receivables, net .....    | 6           | 10          | 7         | 7         |
| Other Federal assets:                              |             |             |           |           |
| 1802 Inventories and related properties .....      | 28          | 31          | 31        | 31        |
| 1803 Property, plant and equipment, net .....      | 503         | 502         | 508       | 514       |
| 1901 Other assets .....                            | 113         | 87          | 62        | 37        |
| 1999 Total assets .....                            | 824         | 851         | 839       | 818       |
| <b>LIABILITIES:</b>                                |             |             |           |           |
| 2101 Federal liabilities: Accounts payable .....   | 2           | 3           | 2         | 2         |
| Non-Federal liabilities:                           |             |             |           |           |
| 2201 Accounts payable .....                        | 25          | 33          | 29        | 30        |
| 2206 Pension and other actuarial liabilities ..... | 130         | 104         | 78        | 52        |
| 2207 Other .....                                   | 111         | 123         | 126       | 128       |
| 2999 Total liabilities .....                       | 268         | 263         | 235       | 212       |
| <b>NET POSITION:</b>                               |             |             |           |           |
| 3200 Invested capital .....                        | 557         | 588         | 604       | 606       |
| 3999 Total net position .....                      | 557         | 588         | 604       | 606       |
| 4999 Total liabilities and net position .....      | 825         | 851         | 839       | 818       |

Object Classification (in millions of dollars)

| Identification code 95-4061-0-3-403                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>                                      |             |           |           |
| 11.1 Full-time permanent .....                                      | 183         | 200       | 237       |
| 11.3 Other than full-time permanent .....                           | 18          | 20        | 19        |
| 11.5 Other personnel compensation .....                             | 43          | 43        | 43        |
| 11.9 Total personnel compensation .....                             | 244         | 263       | 299       |
| 12.1 Civilian personnel benefits .....                              | 45          | 45        | 54        |
| 13.0 Benefits for former personnel .....                            | 15          | 15        | 15        |
| 21.0 Travel and transportation of persons .....                     | 4           | 4         | 4         |
| 22.0 Transportation of things .....                                 | 2           | 2         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 2           | 2         | 5         |
| 25.2 Other services .....                                           | 28          | 37        | 45        |
| 25.3 Purchases of goods and services from Government accounts ..... | -4          | -6        | -5        |
| 25.6 Medical care .....                                             | 2           | 3         | 2         |
| 26.0 Supplies and materials .....                                   | 60          | 61        | 59        |
| 31.0 Equipment .....                                                | 27          | 49        | 45        |
| 32.0 Land and structures .....                                      | 6           | 32        | 36        |
| 41.0 Grants, subsidies, and contributions .....                     | 91          | 90        | 95        |
| 42.0 Insurance claims and indemnities .....                         | 13          | 7         | 8         |
| 43.0 Interest and dividends .....                                   | 4           | 1         | 1         |
| 93.0 Limitation on expenses .....                                   | 50          | 51        | .....     |
| 99.0 Subtotal, reimbursable obligations .....                       | 589         | 656       | 665       |
| 99.9 Total obligations .....                                        | 589         | 656       | 665       |

Personnel Summary

| Identification code 95-4061-0-3-403                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 2001 Full-time equivalent employment .....                    | 8,074       | 8,244     | 9,127     |
| 2005 Full-time equivalent of overtime and holiday hours ..... | 903         | 748       | 715       |

**LIMITATION ON ADMINISTRATIVE EXPENSES**

Program and Financing (in millions of dollars)

|                                   | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------|-------------|-----------|-----------|
| <b>Program by activities:</b>     |             |           |           |
| Administrative and general:       |             |           |           |
| 1. Executive direction .....      | 14          | 14        | .....     |
| 2. Operations direction .....     | 2           | 2         | .....     |
| 3. Financial management .....     | 15          | 15        | .....     |
| 4. Personnel administration ..... | 5           | 5         | .....     |
| 5. Inspector General .....        | 1           | 2         | .....     |

|                                          |       |       |       |
|------------------------------------------|-------|-------|-------|
| 6. Employment costs .....                | 13    | 13    | ..... |
| Total obligations under limitation ..... | 50    | 51    | ..... |
| Balance lapsing .....                    | ..... | ..... | ..... |
| <b>Financing:</b>                        |       |       |       |
| Limitation .....                         | 50    | 51    | ..... |

In 1997 no limitation on administrative expenses is proposed.

The limitation on administrative expenses in 1995 and 1996 provides for salaries and expenses associated with the overall direction and administration of the Commission. In 1997 these activities are explained under General Corporate Expenses.

Object Classification (in millions of dollars)

| Identification code 95-4061-0-3-403                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 26          | 28        | .....     |
| 11.3 Other than full-time permanent .....                       | 1           | 1         | .....     |
| 11.9 Total personnel compensation .....                         | 27          | 29        | .....     |
| 12.1 Civilian personnel benefits .....                          | 11          | 10        | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3         | .....     |
| 25.1 Advisory and assistance services .....                     | 1           | 1         | .....     |
| 25.2 Other services .....                                       | 6           | 7         | .....     |
| 26.0 Supplies and materials .....                               | 1           | 1         | .....     |
| 31.0 Equipment .....                                            | 1           | .....     | .....     |
| 93.0 Limitation on expenses .....                               | -50         | -51       | .....     |
| 99.0 Subtotal, limitation acct—direct obligations .....         | .....       | .....     | .....     |

Personnel Summary

| Identification code 95-4061-0-3-403                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                           |             |           |           |
| 6001 Full-time equivalent employment .....                    | 745         | 788       | .....     |
| 6005 Full-time equivalent of overtime and holiday hours ..... | 3           | 5         | .....     |

**PENNSYLVANIA AVENUE DEVELOPMENT CORPORATION**

*Federal Funds*

**General and special funds:**

**SALARIES AND EXPENSES**

Program and Financing (in millions of dollars)

| Identification code 42-0100-0-1-451                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations .....                        | 3           | 1         | .....     |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 3           | 1         | .....     |
| 23.95 New obligations .....                          | -3          | -1        | .....     |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation .....                            | 3           | 1         | .....     |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 3           | 1         | .....     |
| 73.20 Total outlays (gross) .....                    | -3          | 1         | .....     |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.90 Outlays from new current authority .....       | 3           | 1         | .....     |
| 87.00 Total outlays (gross) .....                    | 3           | -1        | .....     |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority .....                         | 3           | 1         | .....     |
| 90.00 Outlays .....                                  | 3           | 1         | .....     |

No request is proposed for operating and administrative expenses of the Corporation, which should cease operation on April 1, 1996 under the terms contained in H.R. 2880.

**The Administration will propose legislation to ensure appropriate transfer of all Corporation assets and liabilities.**

Object Classification (in millions of dollars)

| Identification code 42-0100-0-1-451                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 1         | .....     |
| 99.5 Below reporting threshold .....                                       | 1           | .....     | .....     |
| 99.9 Total obligations .....                                               | 3           | 1         | .....     |

Personnel Summary

| Identification code 42-0100-0-1-451                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 25          | 13        | .....     |

**PUBLIC DEVELOPMENT**

Program and Financing (in millions of dollars)

| Identification code 42-0102-0-1-451                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                          |             |           |           |
| Capital investment:                                                       |             |           |           |
| 01.01 Public improvements .....                                           | 1           | 2         | .....     |
| 01.03 Subtotal, capital investment .....                                  | 1           | 1         | .....     |
| 10.00 Total obligations .....                                             | 2           | 3         | .....     |
| Budgetary resources available for obligation:                             |             |           |           |
| 21.40 Unobligated balance available, start of year:                       |             |           |           |
| Uninvested balance .....                                                  | 12          | 16        | .....     |
| 22.00 New budget authority (gross) .....                                  | 4           | 1         | .....     |
| 22.10 Resources available from recoveries of prior year obligations ..... | 2           | .....     | .....     |
| 22.20 Unobligated balance transferred .....                               | .....       | -14       | .....     |
| 23.90 Total budgetary resources available for obligation                  | 18          | 3         | .....     |
| 23.95 New obligations .....                                               | -2          | -3        | .....     |
| 24.40 Unobligated balance available, end of year:                         |             |           |           |
| Uninvested balance .....                                                  | 16          | .....     | .....     |
| New budget authority (gross), detail:                                     |             |           |           |
| 40.00 Appropriation .....                                                 | 4           | 1         | .....     |
| Change in unpaid obligations:                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:               |             |           |           |
| Appropriation .....                                                       | 492         | 365       | .....     |
| 73.10 New obligations .....                                               | 2           | 3         | .....     |
| 73.20 Total outlays (gross) .....                                         | -126        | -59       | .....     |
| 73.30 Obligated balance transferred, net .....                            | .....       | -309      | .....     |
| 73.45 Adjustments in unexpired accounts .....                             | -2          | .....     | .....     |
| 74.40 Unpaid obligations, end of year: Obligated balance:                 |             |           |           |
| Appropriation .....                                                       | 365         | .....     | .....     |
| Outlays (gross), detail:                                                  |             |           |           |
| 86.90 Outlays from new current authority .....                            | 1           | 1         | .....     |
| 86.93 Outlays from current balances .....                                 | 2           | 2         | .....     |
| 86.98 Outlays from permanent balances .....                               | 123         | 56        | .....     |
| 87.00 Total outlays (gross) .....                                         | 126         | 59        | .....     |
| Net budget authority and outlays:                                         |             |           |           |
| 89.00 Budget authority .....                                              | 4           | 1         | .....     |
| 90.00 Outlays .....                                                       | 126         | 59        | .....     |

No request is proposed for public development activities and projects of the Corporation, which should cease operations on April 1, 1996.

**Federal Triangle/International Trade Center Building.—**Oversight for completion of the Federal Triangle Building will be transferred to the General Services Administration when the Corporation ceases operation, scheduled for April 1, 1996.

Object Classification (in millions of dollars)

| Identification code 42-0102-0-1-451                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1         | .....     |
| 32.0 Land and structures .....                         | .....       | 2         | .....     |
| 99.5 Below reporting threshold .....                   | 1           | .....     | .....     |
| 99.9 Total obligations .....                           | 2           | 3         | .....     |

Personnel Summary

| Identification code 42-0102-0-1-451                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 8           | 4         | .....     |

**Public enterprise funds:**

**LAND ACQUISITION AND DEVELOPMENT FUND**

Program and Financing (in millions of dollars)

| Identification code 42-4084-0-3-451                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                    |             |           |           |
| 10.00 Total obligations .....                                       | 1           | .....     | .....     |
| Budgetary resources available for obligation:                       |             |           |           |
| Unobligated balance available, start of year:                       |             |           |           |
| 21.47 Authority to borrow .....                                     | 7           | 7         | .....     |
| 21.90 Fund balance .....                                            | .....       | 5         | .....     |
| 21.99 Total unobligated balance, start of year .....                | 7           | 12        | .....     |
| 22.00 New budget authority (gross) .....                            | 6           | .....     | .....     |
| 22.60 Redemption of debt .....                                      | .....       | -5        | .....     |
| 22.70 Balance of authority to borrow withdrawn .....                | .....       | -7        | .....     |
| 23.90 Total budgetary resources available for obligation            | 13          | .....     | .....     |
| 23.95 New obligations .....                                         | -1          | .....     | .....     |
| Unobligated balance available, end of year:                         |             |           |           |
| 24.47 Authority to borrow .....                                     | 7           | .....     | .....     |
| 24.90 Fund balance .....                                            | 5           | .....     | .....     |
| 24.99 Total unobligated balance, end of year .....                  | 12          | .....     | .....     |
| New budget authority (gross), detail:                               |             |           |           |
| 68.00 Spending authority from offsetting collections (gross):       |             |           |           |
| Offsetting collections (cash) .....                                 | 6           | .....     | .....     |
| Change in unpaid obligations:                                       |             |           |           |
| 73.10 New obligations .....                                         | 1           | .....     | .....     |
| 73.20 Total outlays (gross) .....                                   | -1          | .....     | .....     |
| Outlays (gross), detail:                                            |             |           |           |
| 86.97 Outlays from new permanent authority .....                    | 1           | .....     | .....     |
| 87.00 Total outlays (gross) .....                                   | 1           | .....     | .....     |
| Offsets:                                                            |             |           |           |
| Against gross budget authority and outlays:                         |             |           |           |
| 88.40 Offsetting collections (cash) from: Non-Federal sources ..... | -6          | .....     | .....     |
| Net budget authority and outlays:                                   |             |           |           |
| 89.00 Budget authority .....                                        | 1           | .....     | .....     |
| 90.00 Outlays .....                                                 | -5          | .....     | .....     |

**Property acquisition.—**No authority to borrow from the Treasury for land acquisition activities is proposed for the Corporation, which should cease operations on April 1, 1996. The Administration will propose legislation to ensure appropriate transfer of all Corporation assets and liabilities.

Statement of Operations (in millions of dollars)

| Identification code 42-4084-0-3-451 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 5           | 1           | 1         | .....     |
| 0102 Expense .....                  | -15         | -17         | -8        | .....     |
| 0109 Net income or loss (-) .....   | -10         | -16         | -7        | .....     |

**Public enterprise funds—Continued**

**LAND ACQUISITION AND DEVELOPMENT FUND—Continued**

Balance Sheet (in millions of dollars)

| Identification code 42-4084-0-3-451                                 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                      |             |             |           |           |
| 1101 Federal assets: Fund balances with Treasury .....              |             | 5           | 4         |           |
| 1206 Non-Federal assets: Receivables, net .....                     | 154         | 45          | 46        |           |
| 1803 Other Federal assets: Property, plant and equipment, net ..... |             | 90          | 90        |           |
| 1999 Total assets .....                                             | 154         | 140         | 140       |           |
| <b>LIABILITIES:</b>                                                 |             |             |           |           |
| Federal liabilities:                                                |             |             |           |           |
| 2103 Debt .....                                                     |             | 195         | 203       |           |
| 2104 Resources payable to Treasury .....                            | 169         | 11          | 11        |           |
| 2999 Total liabilities .....                                        | 169         | 206         | 214       |           |
| <b>NET POSITION:</b>                                                |             |             |           |           |
| 3200 Invested capital .....                                         | 30          | -12         | -12       |           |
| 3300 Cumulative results of operations .....                         | -44         | -54         | -62       |           |
| 3999 Total net position .....                                       | -15         | -66         | -74       |           |
| 4999 Total liabilities and net position .....                       | 155         | 140         | 140       |           |

Object Classification (in millions of dollars)

| Identification code 42-4084-0-3-451           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| 32.0 Land and structures .....                | 1           |           |           |
| 99.0 Subtotal, reimbursable obligations ..... | 1           |           |           |

**POSTAL SERVICE**

**Federal Funds**

**General and special funds:**

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code; **[\$85,080,000] \$102,817,000. Provided,** That mail for overseas voting and mail for the blind shall continue to be free: *Provided further,* That six-day delivery and rural delivery of mail shall continue at not less than the 1983 level: *Provided further,* That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further,* That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in the fiscal year ending on September 30, **[1996] 1997. (Postal Service Appropriation Act, 1996.)**

Program and Financing (in millions of dollars)

| Identification code 18-1001-0-1-372                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 00.01 Current year .....                             | 63          | 56        | 62        |
| 00.02 Reconciliation adjustment .....                |             |           | 12        |
| 00.03 Prior years' liabilities .....                 | 29          | 29        | 29        |
| 10.00 Total obligations (object class 41.0) .....    | 92          | 85        | 103       |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 92          | 85        | 103       |
| 23.95 New obligations .....                          | -92         | -85       | -103      |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation .....                            | 92          | 85        | 103       |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 92          | 85        | 103       |
| 73.20 Total outlays (gross) .....                    | -92         | -85       | -103      |

|                                                |    |    |     |
|------------------------------------------------|----|----|-----|
| <b>Outlays (gross), detail:</b>                |    |    |     |
| 86.90 Outlays from new current authority ..... | 92 | 85 | 103 |
| 87.00 Total outlays (gross) .....              | 92 | 85 | 103 |

|                                          |    |    |     |
|------------------------------------------|----|----|-----|
| <b>Net budget authority and outlays:</b> |    |    |     |
| 89.00 Budget authority .....             | 92 | 85 | 103 |
| 90.00 Outlays .....                      | 92 | 85 | 103 |

**PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED LIABILITIES**

**[For payment to the Postal Service Fund for meeting the liabilities of the former Post Office Department to the Employees' Compensation Fund pursuant to 39 U.S.C. 2004, \$36,828,000.] (Postal Service Appropriation Act, 1996.)**

Program and Financing (in millions of dollars)

| Identification code 18-1004-0-1-372                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations (object class 41.0) .....    | 38          | 37        | 36        |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 38          | 37        | 36        |
| 23.95 New obligations .....                          | -38         | -37       | -36       |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation .....                            | 38          | 37        | 36        |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 38          | 37        | 36        |
| 73.20 Total outlays (gross) .....                    | -38         | -37       | -36       |

|                                                |    |    |    |
|------------------------------------------------|----|----|----|
| <b>Outlays (gross), detail:</b>                |    |    |    |
| 86.90 Outlays from new current authority ..... | 38 | 37 | 36 |
| 87.00 Total outlays (gross) .....              | 38 | 37 | 36 |

|                                          |    |    |    |
|------------------------------------------|----|----|----|
| <b>Net budget authority and outlays:</b> |    |    |    |
| 89.00 Budget authority .....             | 38 | 37 | 36 |
| 90.00 Outlays .....                      | 38 | 37 | 36 |

Summary of Budget Authority and Outlays

[In millions of dollars]

|                                                | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------|-------------|-----------|-----------|
| <b>Enacted/requested:</b>                      |             |           |           |
| Budget Authority .....                         | 38          | 37        | 36        |
| Outlays .....                                  | 38          | 37        | 36        |
| <b>Legislative proposal, subject to PAYGO:</b> |             |           |           |
| Budget Authority .....                         |             |           | -36       |
| Outlays .....                                  |             |           | -36       |
| <b>Total:</b>                                  |             |           |           |
| Budget Authority .....                         | 38          | 37        |           |
| Outlays .....                                  | 38          | 37        |           |

**Herewith transmitted without change, as required by Public Law 93-328, is the appropriation request of the U.S. Postal Service for 1997 as submitted to the Office of Management and Budget.**

Program and Financing (in millions of dollars)

|                                                                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Program by activities:</b>                                                 |             |           |           |
| <b>Payment to the Postal Service fund for:</b>                                |             |           |           |
| 1. Previous nonfunded liabilities of the Post Office Department .....         | 38          | 37        | 36        |
| 2. Free and reduced-rate mail: Current year .....                             | 63          | 56        | 62        |
| 3. Free and reduced-rate mail: Reconciliation adjustment, 1992 and 1993 ..... |             |           | 24        |
| 4. Free and reduced-rate mail: Reconciliation adjustment, 1994 .....          |             |           | -12       |
| 5. Free and reduced-rate mail—prior liabilities .....                         | 29          | 29        | 29        |
| Total payments to the Postal Service Fund (total obligations) .....           | 130         | 122       | 139       |
| <b>Financing:</b>                                                             |             |           |           |
| Budget authority (appropriation) .....                                        | 130         | 122       | 139       |

|                                     |     |     |     |
|-------------------------------------|-----|-----|-----|
| Relation of obligations to outlays: |     |     |     |
| Total Obligations .....             | 130 | 122 | 139 |
| Outlays .....                       | 130 | 122 | 139 |

**PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED LIABILITIES**

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 18-1004-4-1-372               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 41.0) ..... |             |           | -36       |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          |             |           | -36       |
| 23.95 New obligations .....                       |             |           | 36        |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         |             |           | -36       |
| Change in unpaid obligations:                     |             |           |           |
| 73.10 New obligations .....                       |             |           | -36       |
| 73.20 Total outlays (gross) .....                 |             |           | 36        |
| Outlays (gross), detail:                          |             |           |           |
| 86.90 Outlays from new current authority .....    |             |           | -36       |
| 87.00 Total outlays (gross) .....                 |             |           | -36       |
| Net budget authority and outlays:                 |             |           |           |
| 89.00 Budget authority .....                      |             |           | -36       |
| 90.00 Outlays .....                               |             |           | -36       |

This schedule reflects the changes resulting from the proposal to require that liabilities of the former Post Office Department to the Employees' Compensation Fund, which were previously appropriated to the U.S. Postal Service, shall now be liabilities of the Postal Service and payable out of the Postal Service Fund.

**Public enterprise funds:**

**POSTAL SERVICE FUND**

Program and Financing (in millions of dollars)

| Identification code 18-4020-0-3-372                                        | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                           |             |           |           |
| Direct program:                                                            |             |           |           |
| 00.01 Postal field operations .....                                        | 38,816      | 40,139    | 42,024    |
| 00.02 Transportation .....                                                 | 3,476       | 3,720     | 3,945     |
| 00.03 Building occupancy .....                                             | 1,166       | 1,274     | 1,366     |
| 00.04 Supplies and services .....                                          | 1,629       | 1,882     | 1,918     |
| 00.05 Research and development .....                                       | 52          | 67        | 71        |
| 00.06 Administration and area operations .....                             | 3,824       | 5,106     | 5,850     |
| 00.07 Interest .....                                                       | 2,033       | 1,880     | 2,064     |
| 00.08 Servicewide expenses .....                                           | 830         | 304       | 347       |
| 00.09 Capital investment .....                                             | 2,229       | 4,606     | 2,885     |
| 00.10 Post Office Dept. Workers' Compensation .....                        | 38          | 37        | 36        |
| 00.91 Subtotal, direct program .....                                       | 54,093      | 59,015    | 60,506    |
| 02.01 Reimbursable program .....                                           | 265         | 273       | 284       |
| 10.00 Total obligations .....                                              | 54,358      | 59,288    | 60,790    |
| Budgetary resources available for obligation:                              |             |           |           |
| 21.90 Unobligated balance available, start of year: Treasury balance ..... | 736         | 476       | 476       |
| 22.00 New budget authority (gross) .....                                   | 57,366      | 62,146    | 62,345    |
| 22.30 Unobligated balance expiring .....                                   | -260        |           |           |
| 22.60 Redemption of debt .....                                             | -3,008      | -2,858    | -1,555    |
| 23.90 Total budgetary resources available for obligation .....             | 54,834      | 59,764    | 61,266    |
| 23.95 New obligations .....                                                | -54,358     | -59,288   | -60,790   |
| 24.90 Unobligated balance available, end of year: Treasury balance .....   | 476         | 476       | 476       |
| New budget authority (gross), detail:                                      |             |           |           |
| 67.15 Authority to borrow (indefinite) .....                               | 2,554       | 4,917     | 3,151     |

|                                                                                           |         |         |         |
|-------------------------------------------------------------------------------------------|---------|---------|---------|
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 54,812  | 57,229  | 59,194  |
| 70.00 Total new budget authority (gross) .....                                            | 57,366  | 62,146  | 62,345  |
| Change in unpaid obligations:                                                             |         |         |         |
| Unpaid obligations, start of year:                                                        |         |         |         |
| Obligated balance:                                                                        |         |         |         |
| 72.47 Authority to borrow .....                                                           | 15,739  | 16,994  | 19,818  |
| 72.90 Treasury balance .....                                                              | -483    | -201    | -369    |
| 72.91 U.S. Securities: Par value .....                                                    | 1,271   | 1,249   | 1,000   |
| 72.99 Total unpaid obligations, start of year .....                                       | 16,527  | 18,042  | 20,449  |
| 73.10 New obligations .....                                                               | 54,358  | 59,288  | 60,790  |
| 73.20 Total outlays (gross) .....                                                         | -52,843 | -56,881 | -61,790 |
| Unpaid obligations, end of year:                                                          |         |         |         |
| Obligated balance:                                                                        |         |         |         |
| 74.47 Authority to borrow .....                                                           | 16,994  | 19,818  | 18,927  |
| Fund balance:                                                                             |         |         |         |
| 74.90 Treasury balance .....                                                              | -201    | -369    | -478    |
| 74.91 U.S. Securities: Par value .....                                                    | 1,249   | 1,000   | 1,000   |
| 74.99 Total unpaid obligations, end of year .....                                         | 18,042  | 20,449  | 19,449  |
| Outlays (gross), detail:                                                                  |         |         |         |
| 86.97 Outlays from new permanent authority .....                                          | 52,843  | 56,881  | 61,790  |
| 87.00 Total outlays (gross) .....                                                         | 52,843  | 56,881  | 61,790  |
| Offsets:                                                                                  |         |         |         |
| Against gross budget authority and outlays:                                               |         |         |         |
| Offsetting collections (cash) from:                                                       |         |         |         |
| 88.00 Federal sources .....                                                               | -1,285  | -1,317  | -1,364  |
| 88.20 Interest on U.S. securities .....                                                   | -216    | -133    | -106    |
| 88.40 Non-Federal sources .....                                                           | -53,311 | -55,779 | -57,724 |
| 88.90 Total, offsetting collections (cash) .....                                          | -54,812 | -57,229 | -59,194 |
| Net budget authority and outlays:                                                         |         |         |         |
| 89.00 Budget authority .....                                                              | 2,554   | 4,917   | 3,152   |
| 90.00 Outlays .....                                                                       | -1,969  | -348    | 2,596   |

Summary of Budget Authority and Outlays

(In millions of dollars)

|                                             | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                          |             |           |           |
| Budget Authority .....                      | 2,554       | 4,917     | 3,151     |
| Outlays .....                               | -1,969      | -348      | 2,596     |
| Legislative proposal, not subject to PAYGO: |             |           |           |
| Budget Authority .....                      |             | 37        | 36        |
| Outlays .....                               |             | 37        | 36        |
| Total:                                      |             |           |           |
| Budget Authority .....                      | 2,554       | 4,954     | 3,187     |
| Outlays .....                               | -1,969      | -311      | 2,632     |

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-

## Public enterprise funds—Continued

## POSTAL SERVICE FUND—Continued

Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

*Programs.*—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and U.S. competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is encouraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business, governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that promotes universal access to the benefits of these new technologies for all citizens who desire them.

*Financing.*—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed \$2.0 billion in obligations issued for the purpose of capital improvements and \$1.0 billion for the purpose of paying operating expenses. As of September 30, 1997, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to \$8.987 billion.

*Operating.*—Estimated revenue will total \$58.869 billion in 1997. This includes \$58.667 billion from mail and services revenue, \$106 million from investment income, and \$96 million accrued for revenue forgone appropriations in 1997. Total expenses are estimated at \$59.521 billion in 1997.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received sub-

stantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employee Health Benefit Program (FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service is required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two payments are to be made in three equal annual installments, beginning in fiscal year 1996.

## Statement of Operations (in millions of dollars)

| Identification code 18-4020-0-3-372 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 49,577      | 54,509      | 56,919    | 58,869    |
| 0102 Expense .....                  | -50,490     | -52,739     | -55,996   | -59,521   |
| 0109 Net income or loss (-) .....   | -913        | 1,770       | 923       | -652      |

## Balance Sheet (in millions of dollars)

| Identification code 18-4020-0-3-372           | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                |             |             |           |           |
| Federal assets:                               |             |             |           |           |
| 1101 Fund balances with Treasury .....        | 25          | 25          | 25        | 25        |
| Investments in US securities:                 |             |             |           |           |
| 1102 Treasury securities, par .....           | 1,271       | 1,249       | 1,000     | 1,000     |
| 1106 Receivables, net .....                   | 360         | 405         | 123       | 123       |
| 1107 Advances and prepayments .....           | 19          | 25          | 20        | 20        |
| Non-Federal assets:                           |             |             |           |           |
| 1206 Receivables, net .....                   | 786         | 790         | 839       | 908       |
| 1207 Advances and prepayments .....           | 159         | 155         | 155       | 155       |
| Other Federal assets:                         |             |             |           |           |
| 1801 Cash and other monetary assets .....     | 123         | 241         | 175       | 175       |
| 1802 Inventories and related properties ..... | 204         | 222         | 225       | 225       |
| 1803 Property, plant and equipment, net ..... | 16,220      | 16,849      | 18,237    | 21,045    |
| 1901 Other assets .....                       | 27,247      | 28,960      | 32,522    | 33,965    |
| 1999 Total assets .....                       | 46,416      | 48,921      | 53,321    | 57,641    |
| <b>LIABILITIES:</b>                           |             |             |           |           |
| Federal liabilities:                          |             |             |           |           |
| 2101 Accounts payable .....                   | 2,068       | 1,741       | 1,311     | 979       |
| 2102 Interest payable .....                   | 134         | 147         | 135       | 180       |
| 2103 Debt .....                               | 8,973       | 7,265       | 6,500     | 8,987     |
| 2104 Resources payable to Treasury .....      | 25          | 25          |           |           |
| Non-Federal liabilities:                      |             |             |           |           |
| 2201 Accounts payable .....                   | 9,517       | 9,742       | 10,768    | 12,731    |

|               |                                          |        |        |        |        |
|---------------|------------------------------------------|--------|--------|--------|--------|
| 2203          | Debt .....                               | 14     | 14     | 14     | 14     |
| 2206          | Pension and other actuarial liabilities  | 30,910 | 33,777 | 37,518 | 38,327 |
| 2207          | Other .....                              | 735    | 401    | 343    | 343    |
| 2999          | Total liabilities .....                  | 52,377 | 53,112 | 56,589 | 61,561 |
| NET POSITION: |                                          |        |        |        |        |
| 3200          | Invested capital .....                   | 3,034  | 3,034  | 3,034  | 3,034  |
| 3300          | Cumulative results of operations .....   | -8,995 | -7,225 | -6,302 | -6,954 |
| 3999          | Total net position .....                 | -5,961 | -4,191 | -3,268 | -3,920 |
| 4999          | Total liabilities and net position ..... | 46,415 | 48,921 | 53,321 | 57,641 |

|                                             |                                                           |    |    |
|---------------------------------------------|-----------------------------------------------------------|----|----|
| Offsets:                                    |                                                           |    |    |
| Against gross budget authority and outlays: |                                                           |    |    |
| 88.00                                       | Offsetting collections (cash) from: Federal sources ..... |    | 36 |
| Net budget authority and outlays:           |                                                           |    |    |
| 89.00                                       | Budget authority .....                                    | 37 | 36 |
| 90.00                                       | Outlays .....                                             | 37 | 36 |

This schedule reflects the changes resulting from the proposal to require that liabilities of the former Post Office Department to the Employees' Compensation Fund, which were previously appropriated to the U.S. Postal Service, shall now be liabilities of the Postal Service and payable out of the Postal Service Fund.

**RAILROAD RETIREMENT BOARD**

**Federal Funds**

**General and special funds:**

**[DUAL BENEFITS PAYMENTS ACCOUNT] FEDERAL WINDFALL SUBSIDY**

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, \$223,000,000, which shall include amounts becoming available in fiscal year 1997 pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds \$223,000,000: Provided, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Object Classification (in millions of dollars)

| Identification code 18-4020-0-3-372 | 1995 actual                                                | 1996 est. | 1997 est. |        |
|-------------------------------------|------------------------------------------------------------|-----------|-----------|--------|
| Personnel compensation:             |                                                            |           |           |        |
| 11.1                                | Full-time permanent .....                                  | 23,482    | 24,318    | 25,264 |
| 11.3                                | Other than full-time permanent .....                       | 3,898     | 4,212     | 4,412  |
| 11.5                                | Other personnel compensation .....                         | 4,777     | 4,596     | 4,967  |
| 11.9                                | Total personnel compensation .....                         | 32,157    | 33,126    | 34,643 |
| 12.1                                | Civilian personnel benefits .....                          | 8,632     | 9,593     | 10,020 |
| 13.0                                | Benefits for former personnel .....                        | 1,183     | 1,266     | 1,349  |
| 21.0                                | Travel and transportation of persons .....                 | 178       | 188       | 195    |
| 22.0                                | Transportation of things .....                             | 3,961     | 4,248     | 4,513  |
| 23.1                                | Rental payments to GSA .....                               | 121       | 34        | 34     |
| 23.2                                | Rental payments to others .....                            | 584       | 635       | 662    |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 530       | 599       | 590    |
| 24.0                                | Printing and reproduction .....                            | 115       | 127       | 135    |
| 25.2                                | Other services .....                                       | 1,315     | 1,839     | 2,485  |
| 26.0                                | Supplies and materials .....                               | 1,327     | 1,067     | 1,119  |
| 31.0                                | Equipment .....                                            | 1,142     | 3,328     | 1,943  |
| 32.0                                | Land and structures .....                                  | 1,004     | 1,282     | 946    |
| 42.0                                | Insurance claims and indemnities .....                     | 76        | 76        | 93     |
| Interest and dividends:             |                                                            |           |           |        |
| 43.0                                | Interest and dividends .....                               | 670       | 445       | 437    |
| 43.0                                | Interest and dividends .....                               | 1,363     | 1,435     | 1,626  |
| 99.0                                | Subtotal, reimbursable obligations .....                   | 54,358    | 59,288    | 60,790 |
| 99.9                                | Total obligations .....                                    | 54,358    | 59,288    | 60,790 |

**Personnel Summary**

| Identification code 18-4020-0-3-372 | 1995 actual                                        | 1996 est. | 1997 est. |         |
|-------------------------------------|----------------------------------------------------|-----------|-----------|---------|
| Total compensable workyears:        |                                                    |           |           |         |
| 2005                                | Full-time equivalent of overtime and holiday hours | 63,917    | 64,903    | 65,750  |
| 2011                                | Exempt Full-time equivalent employment .....       | 806,243   | 822,885   | 835,084 |

**POSTAL SERVICE FUND**  
**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

| Identification code 18-4020-2-3-372           | 1995 actual                                                                         | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------------------------------------------------------------------------------|-----------|-----------|
| Obligations by program activity:              |                                                                                     |           |           |
| Direct program:                               |                                                                                     |           |           |
| 00.11                                         | Payment to U.S. Treasury Dept. ....                                                 |           | 37        |
| 10.00                                         | Total obligations (object class 13.0) .....                                         |           | 37        |
| Budgetary resources available for obligation: |                                                                                     |           |           |
| 22.00                                         | New budget authority (gross) .....                                                  | 37        |           |
| 23.95                                         | New obligations .....                                                               | -37       |           |
| New budget authority (gross), detail:         |                                                                                     |           |           |
| 67.15                                         | Authority to borrow (indefinite) .....                                              | 37        | 36        |
| 68.00                                         | Spending authority from offsetting collections: Offsetting collections (cash) ..... |           | -36       |
| 70.00                                         | Total new budget authority (gross) .....                                            | 37        |           |
| Change in unpaid obligations:                 |                                                                                     |           |           |
| 73.10                                         | New obligations .....                                                               | 37        |           |
| 73.20                                         | Total outlays (gross) .....                                                         | -37       |           |
| Outlays (gross), detail:                      |                                                                                     |           |           |
| 86.97                                         | Outlays from new permanent authority .....                                          | 37        |           |
| 87.00                                         | Total outlays (gross) .....                                                         | 37        |           |

Program and Financing (in millions of dollars)

| Identification code 60-0111-0-1-601           | 1995 actual                                              | 1996 est. | 1997 est. |      |
|-----------------------------------------------|----------------------------------------------------------|-----------|-----------|------|
| Obligations by program activity:              |                                                          |           |           |      |
| 10.00                                         | Total obligations (object class 41.0) .....              | 251       | 239       | 223  |
| Budgetary resources available for obligation: |                                                          |           |           |      |
| 22.00                                         | New budget authority (gross) .....                       | 254       | 239       | 223  |
| 22.30                                         | Unobligated balance expiring .....                       | -3        |           |      |
| 23.90                                         | Total budgetary resources available for obligation ..... | 251       | 239       | 223  |
| 23.95                                         | New obligations .....                                    | -251      | -239      | -223 |
| New budget authority (gross), detail:         |                                                          |           |           |      |
| 40.00                                         | Appropriation .....                                      | 261       | 239       | 223  |
| 40.35                                         | Appropriation rescinded .....                            | -7        |           |      |
| 43.00                                         | Appropriation (total) .....                              | 254       | 239       | 223  |
| 70.00                                         | Total new budget authority (gross) .....                 | 254       | 239       | 223  |
| Change in unpaid obligations:                 |                                                          |           |           |      |
| 73.10                                         | New obligations .....                                    | 251       | 239       | 223  |
| 73.20                                         | Total outlays (gross) .....                              | -251      | -239      | -223 |
| Outlays (gross), detail:                      |                                                          |           |           |      |
| 86.90                                         | Outlays from new current authority .....                 | 251       | 239       | 223  |
| 87.00                                         | Total outlays (gross) .....                              | 251       | 239       | 223  |
| Net budget authority and outlays:             |                                                          |           |           |      |
| 89.00                                         | Budget authority .....                                   | 254       | 239       | 223  |
| 90.00                                         | Outlays .....                                            | 251       | 239       | 223  |

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector. The American taxpayer subsidy is about \$1,000 per rail employee.

**FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS**

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest

**General and special funds—Continued**

**FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS—Continued**

*earned on unnegotiated checks, \$300,000, to remain available through September 30, 1998, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 04-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 60-0113-0-1-601                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations (object class 42.0)          | 3,303       | 3,420     | 3,491     |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross)                   | 3,303       | 3,420     | 3,491     |
| 23.95 New obligations                                | -3,303      | -3,420    | -3,491    |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 60.05 Appropriation (indefinite)                     | 3,303       | 3,420     | 3,491     |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations                                | 3,303       | 3,420     | 3,491     |
| 73.20 Total outlays (gross)                          | -3,303      | -3,420    | -3,491    |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.97 Outlays from new permanent authority           | 3,303       | 3,420     | 3,491     |
| 87.00 Total outlays (gross)                          | 3,303       | 3,420     | 3,491     |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority                               | 3,303       | 3,420     | 3,491     |
| 90.00 Outlays                                        | 3,303       | 3,420     | 3,491     |

**This account funds interest on uncashed checks, financial interchange advances, and income taxes on Tier I and Tier II railroad retirement benefits.**

**SPECIAL MANAGEMENT IMPROVEMENT FUND**

Program and Financing (in millions of dollars)

| Identification code 60-0200-0-1-601                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations                              | 2           | 1         |           |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross)                   | 2           | 1         |           |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation                                  | 2           | 1         |           |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations                                | 2           | 1         |           |
| 73.20 Total outlays (gross)                          | -2          | -1        |           |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.90 Outlays from new current authority             | 2           | 1         |           |
| 87.00 Total outlays (gross)                          | 2           | 1         |           |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority                               | 2           | 1         |           |
| 90.00 Outlays                                        | 2           | 1         |           |

Object Classification (in millions of dollars)

| Identification code 60-0200-0-1-601                                  | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent | 1           | 1         |           |
| 99.5 Below reporting threshold                                       | 1           |           |           |
| 99.9 Total obligations                                               | 2           | 1         |           |

Personnel Summary

| Identification code 60-0200-0-1-601                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>                     |             |           |           |
| 1001 Full-time equivalent employment                    | 30          | 10        |           |
| 1005 Full-time equivalent of overtime and holiday hours | 4           |           |           |

**Trust Funds**

**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND**

Program and Financing (in millions of dollars)

| Identification code 60-8051-0-7-603                          | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                      |             |           |           |
| 00.01 Benefit payments                                       | 64          | 59        | 59        |
| 00.02 Administrative expenses                                | 17          | 17        | 17        |
| 10.00 Total obligations                                      | 81          | 76        | 76        |
| <b>Budgetary resources available for obligation:</b>         |             |           |           |
| 22.00 New budget authority (gross)                           | 81          | 76        | 76        |
| 23.95 New obligations                                        | -81         | -76       | -76       |
| <b>New budget authority (gross), detail:</b>                 |             |           |           |
| <b>Current:</b>                                              |             |           |           |
| 40.26 Appropriation (trust fund, definite)                   | 17          | 17        | 17        |
| <b>Permanent:</b>                                            |             |           |           |
| 60.27 Appropriation (trust fund, indefinite)                 | 64          | 59        | 59        |
| 70.00 Total new budget authority (gross)                     | 81          | 76        | 76        |
| <b>Change in unpaid obligations:</b>                         |             |           |           |
| <b>Unpaid obligations, start of year: Obligated balance:</b> |             |           |           |
| 72.40 Appropriation                                          | 2           | 4         | 4         |
| 73.10 New obligations                                        | 81          | 76        | 76        |
| 73.20 Total outlays (gross)                                  | -79         | -76       | -76       |
| 74.40 Unpaid obligations, end of year: Obligated balance:    |             |           |           |
| 74.40 Appropriation                                          | 4           | 4         | 4         |
| <b>Outlays (gross), detail:</b>                              |             |           |           |
| 86.90 Outlays from new current authority                     | 16          | 17        | 17        |
| 86.97 Outlays from new permanent authority                   | 63          | 59        | 59        |
| 87.00 Total outlays (gross)                                  | 79          | 76        | 76        |
| <b>Net budget authority and outlays:</b>                     |             |           |           |
| 89.00 Budget authority                                       | 81          | 76        | 76        |
| 90.00 Outlays                                                | 79          | 76        | 76        |

Note.—Appropriations language for the 1997 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

**The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.**

**WORKLOAD**

|                                 | 1990 actual | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------|-------------|-------------|-------------|-----------|-----------|
| Unemployment claims             | 300,351     | 190,950     | 147,378     | 139,000   | 138,000   |
| Cumulative workload decline (%) |             | -36%        | -51%        | -54%      | -54%      |
| Sickness Claims                 | 269,926     | 205,528     | 193,483     | 189,000   | 188,000   |
| Cumulative workload decline (%) |             | -24%        | -28%        | -30%      | -30%      |

Object Classification (in millions of dollars)

| Identification code 60-8051-0-7-603   | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------|-------------|-----------|-----------|
| 42.0 Insurance claims and indemnities | 64          | 59        | 59        |
| 93.0 Limitation on expenses           | 16          | 16        | 17        |
| 99.0 Subtotal, direct obligations     | 80          | 75        | 76        |
| 99.5 Below reporting threshold        | 1           | 1         |           |
| 99.9 Total obligations                | 81          | 76        | 76        |

Personnel Summary

| Identification code 60-8051-0-7-603  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| <b>Total compensable workyears:</b>  |             |           |           |
| 6001 Full-time equivalent employment | 266         | 258       |           |

6005 Full-time equivalent of overtime and holiday hours 1 .....

**RAIL INDUSTRY PENSION FUND**

Unavailable Collections (in millions of dollars)

| Identification code 60-8011-0-7-601                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                                   |             |           |           |
| 01.99 Balance, start of year .....                                        | 11,328      | 11,878    | 12,274    |
| Receipts:                                                                 |             |           |           |
| 02.01 Interest and profits on investments in public debt securities ..... | 981         | 740       | 728       |
| 02.02 Refunds .....                                                       | -19         | -25       | -20       |
| 02.03 Taxes .....                                                         | 2,366       | 2,374     | 2,374     |
| 02.05 Federal payments to railroad retirement trust funds .....           | 177         | 181       | 186       |
| 02.99 Total receipts .....                                                | 3,505       | 3,270     | 3,268     |
| 04.00 Total: Balances and collections .....                               | 14,833      | 15,148    | 15,542    |
| Appropriation:                                                            |             |           |           |
| 05.01 Rail industry pension fund .....                                    | -2,955      | -2,874    | -2,900    |
| 05.99 Subtotal appropriation .....                                        | -2,955      | -2,874    | -2,900    |
| 07.99 Total balance, end of year .....                                    | 11,878      | 12,274    | 12,642    |

Program and Financing (in millions of dollars)

| Identification code 60-8011-0-7-601                                                                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                                            |             |           |           |
| 10.00 Total obligations .....                                                                               | 2,959       | 2,878     | 2,904     |
| Budgetary resources available for obligation:                                                               |             |           |           |
| 22.00 New budget authority (gross) .....                                                                    | 2,959       | 2,878     | 2,904     |
| 23.95 New obligations .....                                                                                 | -2,959      | -2,878    | -2,904    |
| New budget authority (gross), detail:                                                                       |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....                                                          | 3,509       | 3,274     | 3,273     |
| 60.45 Portion precluded from obligation .....                                                               | -554        | -400      | -373      |
| 63.00 Appropriation (total) .....                                                                           | 2,955       | 2,874     | 2,900     |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....                   | 4           | 4         | 4         |
| 70.00 Total new budget authority (gross) .....                                                              | 2,959       | 2,878     | 2,904     |
| Change in unpaid obligations:                                                                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                                                 |             |           |           |
| Appropriation .....                                                                                         | 217         | 242       | 244       |
| 73.10 New obligations .....                                                                                 | 2,959       | 2,878     | 2,904     |
| 73.20 Total outlays (gross) .....                                                                           | -2,934      | -2,876    | -2,901    |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                                   |             |           |           |
| Appropriation .....                                                                                         | 242         | 244       | 247       |
| Outlays (gross), detail:                                                                                    |             |           |           |
| 86.90 Outlays from new current authority .....                                                              | 79          | 79        | 79        |
| 86.97 Outlays from new permanent authority .....                                                            | 2,855       | 2,797     | 2,822     |
| 87.00 Total outlays (gross) .....                                                                           | 2,934       | 2,876     | 2,901     |
| Offsets:                                                                                                    |             |           |           |
| 88.00 Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources ..... | -4          | -4        | -4        |
| Net budget authority and outlays:                                                                           |             |           |           |
| 89.00 Budget authority .....                                                                                | 2,955       | 2,874     | 2,900     |
| 90.00 Outlays .....                                                                                         | 2,930       | 2,872     | 2,897     |

**Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Some 155,000 individuals also receive a "windfall" benefit.**

Status of Funds (in millions of dollars)

| Identification code 60-8011-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Unexpended balance, start of year:  |             |           |           |
| 0100 Uninvested balance .....       | 949         | 978       | 1,100     |
| U.S. Securities:                    |             |           |           |
| 0101 Par value .....                | 10,596      | 12,129    | 11,418    |

|                                                                                                      |        |        |        |
|------------------------------------------------------------------------------------------------------|--------|--------|--------|
| 0102 Unrealized discounts .....                                                                      |        | -987   |        |
| 0199 Total balance, start of year .....                                                              | 11,545 | 12,120 | 12,518 |
| Cash income during the year:                                                                         |        |        |        |
| Governmental receipts:                                                                               |        |        |        |
| 0200 Refunds, Rail Industry Pension Fund .....                                                       | -19    | -25    | -20    |
| 0201 Taxes, Rail Industry Pension Fund .....                                                         | 2,366  | 2,374  | 2,374  |
| Intragovernmental transactions:                                                                      |        |        |        |
| 0240 Interest and profits on investments in public debt securities, Rail Industry Pension Fund ..... | 981    | 740    | 729    |
| 0242 Federal payments to railroad retirement trust funds, Rail Industry Pension Fund .....           | 177    | 181    | 186    |
| Offsetting collections:                                                                              |        |        |        |
| 0280 Rail Industry Pension Fund .....                                                                | 4      | 4      | 4      |
| 0299 Total cash income .....                                                                         | 3,509  | 3,274  | 3,273  |
| Cash outgo during year:                                                                              |        |        |        |
| 0500 Rail Industry Pension Fund .....                                                                | -2,934 | -2,876 | -2,901 |
| Unexpended balance, end of year:                                                                     |        |        |        |
| 0700 Uninvested balance .....                                                                        | 978    | 1,100  | 1,044  |
| U.S. Securities:                                                                                     |        |        |        |
| 0701 Par value .....                                                                                 | 12,129 | 11,418 | 11,846 |
| 0702 Unrealized discounts .....                                                                      | -987   |        |        |
| 0799 Total balance, end of year .....                                                                | 12,120 | 12,518 | 12,890 |

Object Classification (in millions of dollars)

| Identification code 60-8011-0-7-601                        | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations:                                        |             |           |           |
| 42.0 Insurance claims and indemnities .....                | 2,846       | 2,779     | 2,793     |
| 43.0 Interest and dividends .....                          | 28          | 15        | 10        |
| 93.0 Administrative expenses (see separate schedule) ..... | 80          | 79        | 96        |
| 99.0 Subtotal, direct obligations .....                    | 2,954       | 2,873     | 2,899     |
| 99.0 Reimbursable obligations .....                        | 4           | 4         | 4         |
| 99.5 Below reporting threshold .....                       | 1           | 1         | 1         |
| 99.9 Total obligations .....                               | 2,959       | 2,878     | 2,904     |

**LIMITATION ON ADMINISTRATION**

*For necessary expenses for the Railroad Retirement Board in administering the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$90,558,000, to be derived as authorized by section 15(h) of the Railroad Retirement Act and section 10(a) of the Railroad Unemployment Insurance Act, from the accounts referred to in those sections, of which not less than \$893,000 shall be available to invest in technology improvements, including an interactive voice response system, to improve customer service.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (In millions of dollars)

|                                                             | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Program by activities:                                      |             |           |           |
| Direct program:                                             |             |           |           |
| Rail Industry Pension Fund:                                 |             |           |           |
| Subtotal, Rail Industry Pension Fund .....                  | 47          | 47        | 46        |
| Railroad Social Security Equivalent Benefit:                |             |           |           |
| Subtotal, Railroad Social Security Equivalent Benefit ..... | 24          | 25        | 25        |
| Supplemental Annuity Pension Fund:                          |             |           |           |
| Subtotal, Supplemental Annuity Pension Fund .....           | 2           | 2         | 2         |
| Railroad Unemployment Insurance Trust Fund:                 |             |           |           |
| Subtotal, Railroad Unemployment Insurance Trust Fund .....  |             |           | 17        |
| Total, direct program .....                                 | 73          | 74        | 91        |
| Reimbursable program .....                                  | 4           | 4         | 4         |
| Total obligations .....                                     | 78          | 78        | 94        |
| Financing:                                                  |             |           |           |
| Offsetting collections from: Trust funds .....              | -4          | -4        | -4        |
| Unobligated balance expiring .....                          |             |           |           |
| Limitation .....                                            | 74          | 74        | 91        |
| Relation of obligations to outlays:                         |             |           |           |
| Obligations incurred, net .....                             | 73          | 74        | 91        |
| Obligated balance, start of year .....                      |             | 8         | 8         |

LIMITATION ON ADMINISTRATION—Continued

Program and Financing (In millions of dollars)—Continued

|                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| Obligated balance, end of year ..... | -8          | -8        | -8        |
| Outlays from limitation .....        | 66          | 74        | 91        |

The table below shows the continued decline anticipated in major workloads.

|                                                     | 1993 actual | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-------------|-------------|-----------|-----------|
| Pending, start of year .....                        | 16,710      | 12,437      | 11,937      | 9,615     | 9,615     |
| New Railroad Retirement applications ....           | 59,606      | 56,267      | 52,665      | 53,000    | 51,000    |
| New Social Security certifications .....            | 7,353       | 6,951       | 6,215       | 7,000     | 7,000     |
| Total dispositions (excluding partial awards) ..... | 71,232      | 63,718      | 61,202      | 60,000    | 58,000    |
| Pending, end of year .....                          | 12,437      | 11,937      | 9,615       | 9,615     | 9,615     |

As shown below, the Board projects this workload will continue to decline, as the number of beneficiaries on the rolls continues to decline.

|                           | 1980 actual | 1990 actual | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------|-------------|-------------|-------------|-------------|-----------|-----------|
| Total beneficiaries ..... | 1,009,500   | 894,196     | 819,931     | 799,158     | 777,700   | 754,500   |

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

Object Classification (in millions of dollars)

| Identification code 60-8011-0-7-601                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Limitation Acct—Direct Obligations:                             |             |           |           |
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 45          | 46        | 57        |
| 11.3 Other than full-time permanent .....                       | 1           | 1         | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1         | 1         |
| 11.9 Total personnel compensation .....                         | 47          | 48        | 59        |
| 12.1 Civilian personnel benefits .....                          | 9           | 9         | 11        |
| 13.0 Benefits for former personnel .....                        | 1           |           | 1         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                               | 3           | 3         | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3         | 4         |
| 25.2 Other services .....                                       | 7           | 7         | 8         |
| 26.0 Supplies and materials .....                               | 1           | 1         | 1         |
| 31.0 Equipment .....                                            | 1           | 1         | 1         |
| 93.0 Limitation on expenses .....                               | -73         | -73       | -90       |
| 99.0 Subtotal, limitation acct—direct obligations .....         |             |           |           |
| Limitation Acct—Reimbursable Obligations:                       |             |           |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 3           | 3         | 3         |
| 12.1 Civilian personnel benefits .....                          | 1           | 1         | 1         |
| 93.0 Limitation on expenses .....                               | -4          | -4        | -4        |
| 99.0 Subtotal, limitation acct—reimbursable obligations .....   |             |           |           |

Personnel Summary

| Identification code 60-8011-0-7-601                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Limitation account—direct:                                              |             |           |           |
| Total compensable workyears:                                            |             |           |           |
| 6001 Full-time equivalent employment .....                              | 1,143       | 1,099     | 1,284     |
| 6005 Full-time equivalent of overtime and holiday hours .....           | 2           | 1         | 1         |
| Limitation account—reimbursable:                                        |             |           |           |
| 7001 Total compensable workyears: Full-time equivalent employment ..... | 44          | 44        | 44        |

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For necessary expenses for the Office of Inspector General for audit, investigatory, and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than \$5,750,000, to be derived from the railroad retirement accounts and the railroad unemployment insurance account.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

|                                               | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| Program by activities:                        |             |           |           |
| Operations (total obligations) .....          | 7           | 6         | 6         |
| Financing:                                    |             |           |           |
| Offsetting collections from trust funds ..... | -1          | -1        | -1        |
| Unobligated balance expiring .....            |             |           |           |
| Limitation .....                              | 7           | 5         | 6         |
| Relation of obligations to outlays:           |             |           |           |
| Obligations incurred, net .....               | 6           | 5         | 6         |
| Obligated balance, start of year .....        |             | 1         | 1         |
| Obligated balance, end of year .....          | -1          | -1        | -1        |
| Outlays from limitation .....                 | 6           | 5         | 6         |

Object Classification (in millions of dollars)

| Identification code 60-8011-0-7-601                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 4         | 5         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1         | 1         |
| 93.0 Limitation on expenses .....                      | -6          | -5        | -6        |
| 99.0 Subtotal, limitation account—direct .....         |             |           |           |

Personnel Summary

| Identification code 60-8011-0-7-601                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 8001 Total compensable workyears: Full-time equivalent employment ..... | 87          | 65        | 77        |

SUPPLEMENTAL ANNUITY PENSION FUND

Unavailable Collections (in millions of dollars)

| Identification code 60-8012-0-7-601                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                                   |             |           |           |
| 01.99 Balance, start of year .....                                        | 2           | 2         | 9         |
| Receipts:                                                                 |             |           |           |
| 02.01 Interest and profits on investments in public debt securities ..... | 2           | 2         | 1         |
| 02.02 Transfer from the rail industry pension fund .....                  |             | 66        | -14       |
| 02.03 Supplemental annuity taxes .....                                    | 78          | 100       | 98        |
| 02.04 Refunds, supplemental annuity pension fund .....                    | -1          | -50       | -1        |
| 02.99 Total receipts .....                                                | 79          | 118       | 84        |
| 04.00 Total: Balances and collections .....                               | 81          | 120       | 93        |
| Appropriation:                                                            |             |           |           |
| 05.01 Supplemental Annuity Pension Fund .....                             | -79         | -111      | -84       |
| 07.99 Total balance, end of year .....                                    | 2           | 9         | 9         |

Program and Financing (in millions of dollars)

| Identification code 60-8012-0-7-601                                                  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                     |             |           |           |
| 10.00 Total obligations (object class 42.0) .....                                    | 88          | 111       | 84        |
| Budgetary resources available for obligation:                                        |             |           |           |
| 21.41 Unobligated balance available, start of year: U.S. Securities: Par value ..... | 37          | 28        | 28        |
| 22.00 New budget authority (gross) .....                                             | 79          | 111       | 84        |
| 23.90 Total budgetary resources available for obligation .....                       | 116         | 139       | 112       |
| 23.95 New obligations .....                                                          | -88         | -111      | -84       |
| 24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....   | 28          | 28        | 28        |
| New budget authority (gross), detail:                                                |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....                                   | 79          | 111       | 84        |

|                                   |                                                       |     |      |
|-----------------------------------|-------------------------------------------------------|-----|------|
| Change in unpaid obligations:     |                                                       |     |      |
| 72.40                             | Unpaid obligations, start of year: Obligated balance: |     |      |
|                                   | Appropriation .....                                   | 3   |      |
| 73.10                             | New obligations .....                                 | 88  | 111  |
| 73.20                             | Total outlays (gross) .....                           | -91 | -111 |
|                                   |                                                       |     | -84  |
| Outlays (gross), detail:          |                                                       |     |      |
| 86.97                             | Outlays from new permanent authority .....            | 52  | 83   |
| 86.98                             | Outlays from permanent balances .....                 | 39  | 28   |
|                                   |                                                       |     | 56   |
| 87.00                             | Total outlays (gross) .....                           | 91  | 111  |
|                                   |                                                       |     | 84   |
| Net budget authority and outlays: |                                                       |     |      |
| 89.00                             | Budget authority .....                                | 79  | 111  |
| 90.00                             | Outlays .....                                         | 91  | 111  |
|                                   |                                                       |     | 84   |

In addition to rail social security, rail industry pensions and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25–29 years of creditable service. Monthly benefit amounts are calculated from a base of \$23, adding \$4 for every year of service over 25, up to a maximum monthly benefit of \$43. Employers finance benefits on a pay-as-you-go basis by a cents-per-hour tax, currently established at 34 cents per hour.

Status of Funds (in millions of dollars)

| Identification code 60–8012–0–7–601                                                                              | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Unexpended balance, start of year:                                                                               |             |           |           |
| 0100 Treasury balance .....                                                                                      |             | 2         | 3         |
| 0101 U.S. Securities: Par value .....                                                                            | 42          | 28        | 35        |
| 0199 Total balance, start of year .....                                                                          | 42          | 30        | 38        |
| Cash income during the year:                                                                                     |             |           |           |
| Governmental receipts:                                                                                           |             |           |           |
| 0200 Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB .....                                    | 78          | 100       | 98        |
| 0201 Refunds, Supplemental Annuity Pension Fund, Refunds .....                                                   | -1          | -50       | -1        |
| Intragovernmental transactions:                                                                                  |             |           |           |
| 0240 Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB ..... | 2           | 2         | 1         |
| 0241 Transfer from Rail Industry Pension fund .....                                                              |             | 66        | -14       |
| 0299 Total cash income .....                                                                                     | 79          | 118       | 84        |
| Cash outgo during year:                                                                                          |             |           |           |
| 0500 Supplemental Annuity Pension Fund .....                                                                     | -91         | -111      | -84       |
| Unexpended balance, end of year:                                                                                 |             |           |           |
| 0700 Uninvested balance .....                                                                                    | 2           | 3         | 6         |
| 0701 U.S. Securities: Par value .....                                                                            | 28          | 35        | 32        |
| 0799 Total balance, end of year .....                                                                            | 30          | 38        | 38        |

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Unavailable Collections (in millions of dollars)

| Identification code 60–8010–0–7–601                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                                   |             |           |           |
| 01.99 Balance, start of year .....                                        | 1,454       | 2,149     | 2,292     |
| Receipts:                                                                 |             |           |           |
| 02.01 Interest and profits on investments in public debt securities ..... | 87          | 120       | 123       |
| 02.02 Income tax credits .....                                            | 48          | 46        | 60        |
| 02.03 Interest transferred to Federal hospital insurance trust fund ..... | -38         | -39       | -34       |
| 02.04 Taxes .....                                                         | 1,886       | 1,887     | 1,887     |
| 02.05 Receipts transferred to Federal hospital insurance trust fund ..... | -358        | -369      | -369      |
| 02.06 Receipts from Federal old-age survivors insurance trust fund .....  | 4,052       | 3,735     | 3,781     |
| 02.07 Receipts from Federal disability insurance trust fund .....         | 68          | 35        | 57        |
| 02.08 Advances from the general fund .....                                | 3,078       | 3,193     | 3,245     |
| 02.10 Refunds, railroad social security equivalent benefit account .....  | -10         | -20       | -10       |
| 02.99 Total receipts .....                                                | 8,813       | 8,588     | 8,740     |
| 04.00 Total: Balances and collections .....                               | 10,267      | 10,737    | 11,032    |

|                |                                                                |        |        |
|----------------|----------------------------------------------------------------|--------|--------|
| Appropriation: |                                                                |        |        |
| 05.01          | Rail industry social security equivalent benefit account ..... | -8,118 | -8,445 |
|                |                                                                |        | -8,541 |
| 05.99          | Subtotal appropriation .....                                   | -8,118 | -8,445 |
| 07.99          | Total balance, end of year .....                               | 2,149  | 2,292  |
|                |                                                                |        | 2,491  |

Program and Financing (in millions of dollars)

| Identification code 60–8010–0–7–601           | 1995 actual                                           | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------------------------------------------------|-----------|-----------|
| Obligations by program activity:              |                                                       |           |           |
| 10.00                                         | Total obligations .....                               | 8,118     | 8,445     |
|                                               |                                                       |           | 8,541     |
| Budgetary resources available for obligation: |                                                       |           |           |
| 22.00                                         | New budget authority (gross) .....                    | 8,118     | 8,445     |
| 23.95                                         | New obligations .....                                 | -8,118    | -8,445    |
|                                               |                                                       |           | -8,541    |
| New budget authority (gross), detail:         |                                                       |           |           |
| 60.27                                         | Appropriation (trust fund, indefinite) .....          | 8,813     | 8,588     |
| 60.45                                         | Portion precluded from obligation .....               | -695      | -143      |
|                                               |                                                       |           | 8,740     |
| 63.00                                         | Appropriation (total) .....                           | 8,118     | 8,445     |
|                                               |                                                       |           | 8,541     |
| 70.00                                         | Total new budget authority (gross) .....              | 8,118     | 8,445     |
|                                               |                                                       |           | 8,541     |
| Change in unpaid obligations:                 |                                                       |           |           |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance: |           |           |
|                                               | Appropriation .....                                   | 99        | 65        |
|                                               |                                                       |           | 162       |
| 73.10                                         | New obligations .....                                 | 8,118     | 8,445     |
| 73.20                                         | Total outlays (gross) .....                           | -8,152    | -8,348    |
|                                               |                                                       |           | -8,496    |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:   |           |           |
|                                               | Appropriation .....                                   | 65        | 162       |
|                                               |                                                       |           | 207       |
| Outlays (gross), detail:                      |                                                       |           |           |
| 86.97                                         | Outlays from new permanent authority .....            | 8,118     | 8,348     |
| 86.98                                         | Outlays from permanent balances .....                 | 34        |           |
|                                               |                                                       |           | 8,496     |
| 87.00                                         | Total outlays (gross) .....                           | 8,152     | 8,348     |
|                                               |                                                       |           | 8,496     |
| Net budget authority and outlays:             |                                                       |           |           |
| 89.00                                         | Budget authority .....                                | 8,118     | 8,445     |
| 90.00                                         | Outlays .....                                         | 8,152     | 8,348     |
|                                               |                                                       |           | 8,496     |

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Status of Funds (in millions of dollars)

| Identification code 60–8010–0–7–601                                                                                | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Unexpended balance, start of year:                                                                                 |             |           |           |
| 0100 Treasury balance .....                                                                                        | -11         | -69       | -78       |
| 0101 U.S. Securities: Par value .....                                                                              | 1,564       | 2,283     | 2,531     |
| 0199 Total balance, start of year .....                                                                            | 1,553       | 2,214     | 2,453     |
| Cash income during the year:                                                                                       |             |           |           |
| Governmental receipts:                                                                                             |             |           |           |
| 0200 Railroad Soc. Sec. equivalent ben. acct., Taxes .....                                                         | 1,886       | 1,887     | 1,887     |
| 0201 Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund ..... | -358        | -369      | -369      |
| 0202 Railroad Soc. Sec. Equivalent Ben. Acct., Refunds .....                                                       | -10         | -20       | -10       |
| Intragovernmental transactions:                                                                                    |             |           |           |
| 0240 Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities ..... | 87          | 120       | 123       |
| 0241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits .....                                            | 48          | 46        | 60        |
| 0242 Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund ..... | -38         | -39       | -34       |
| 0243 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund .....       | 4,052       | 3,735     | 3,781     |
| 0244 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund .....              | 68          | 35        | 57        |
| 0245 Railroad Soc. Sec. equivalent ben. acct., Advances from the general fund .....                                | 3,078       | 3,193     | 3,245     |

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—  
Continued**

Status of Funds (in millions of dollars)—Continued

| Identification code 60-8010-0-7-601                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| 0299 Total cash income .....                             | 8,813       | 8,588     | 8,740     |
| Cash outgo during year:                                  |             |           |           |
| 0500 Railroad social security equivalent benefit account | -8,152      | -8,348    | -8,496    |
| Unexpended balance, end of year:                         |             |           |           |
| 0700 Uninvested balance .....                            | -69         | -78       | -223      |
| 0701 U.S. Securities: Par value .....                    | 2,283       | 2,531     | 2,920     |
| 0799 Total balance, end of year .....                    | 2,214       | 2,453     | 2,697     |

Object Classification (in millions of dollars)

| Identification code 60-8010-0-7-601                | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| 42.0 Insurance claims and indemnities .....        | 4,857       | 5,013     | 5,085     |
| 43.0 Interest and dividends .....                  | 10          | 10        | 5         |
| 92.0 Repayment of benefit advances and loans ..... | 3,251       | 3,422     | 3,451     |
| 99.9 Total obligations .....                       | 8,118       | 8,445     | 8,541     |

**GENERAL FUND RECEIPT ACCOUNT**

(in millions of dollars)

|                                                                        | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Intragovernmental payments:                                            |             |           |           |
| 60-287700 Repayment of advances by the Railroad Retirement Board ..... | 3,024       | 3,081     | 3,195     |
| General Fund Intragovernmental payments .....                          | 3,024       | 3,081     | 3,195     |

**RESOLUTION TRUST CORPORATION**

**Federal Funds**

**General and special funds:**

OFFICE OF INSPECTOR GENERAL

Program and Financing (in millions of dollars)

| Identification code 22-1500-0-1-373                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations .....                               | 30          | 8         |           |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 32          | 11        |           |
| 22.20 Unobligated balance transferred .....                 |             | -4        |           |
| 22.30 Unobligated balance expiring .....                    | -2          |           |           |
| 23.90 Total budgetary resources available for obligation    | 30          | 8         |           |
| 23.95 New obligations .....                                 | -30         | -8        |           |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 32          | 11        |           |
| Change in unpaid obligations:                               |             |           |           |
| 72.90 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Fund balance .....                                          | 6           | 8         |           |
| 73.10 New obligations .....                                 | 30          | 8         |           |
| 73.20 Total outlays (gross) .....                           | -32         | -7        |           |
| 73.30 Obligated balance transferred, net .....              |             | -9        |           |
| 73.40 Adjustments in expired accounts .....                 | 4           |           |           |
| 74.90 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Fund balance .....                                          | 8           |           |           |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 25          | 4         |           |
| 86.93 Outlays from current balances .....                   | 7           | 3         |           |
| 87.00 Total outlays (gross) .....                           | 32          | 7         |           |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 32          | 11        |           |

|                     |    |   |  |
|---------------------|----|---|--|
| 90.00 Outlays ..... | 32 | 7 |  |
|---------------------|----|---|--|

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

The Resolution Trust Corporation (RTC) Office of Inspector General (OIG) was established in April 1990 in accordance with the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA). As a result of FIRREA and the RTC Completion Act of 1993, the RTC sunset was completed on December 31, 1995. All assets and liabilities of RTC were transferred to the FSLIC Resolution Fund, under the management of the Federal Deposit Insurance Corporation (FDIC). The remaining obligations and appropriated funds for the RTC OIG have also been transferred to the FDIC's FSLIC Resolution Fund and will be included in all schedules under that fund until expended or expired. The RTC Office of Inspector General merged with the FDIC Office of Inspector General, and its audits, investigations, and other reviews will continue to be performed.

Object Classification (in millions of dollars)

| Identification code 22-1500-0-1-373                    | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent ..... | 18          | 5         |           |
| 12.1 Civilian personnel benefits .....                 | 6           | 1         |           |
| 21.0 Travel and transportation of persons .....        | 2           |           |           |
| 23.2 Rental payments to others .....                   | 1           | 1         |           |
| 25.2 Other services .....                              | 3           |           |           |
| 99.5 Below reporting threshold .....                   |             | 1         |           |
| 99.9 Total obligations .....                           | 30          | 8         |           |

Personnel Summary

| Identification code 22-1500-0-1-373                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                            |             |           |           |
| 1001 Full-time equivalent employment .....              | 262         | 56        |           |
| 1005 Full-time equivalent of overtime and holiday hours | 1           | 1         |           |

**Public enterprise funds:**

**RTC REVOLVING FUND**

Program and Financing (in millions of dollars)

| Identification code 22-4055-0-3-373                                    | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                       |             |           |           |
| Operating expenses:                                                    |             |           |           |
| 00.01 Administrative expenses .....                                    | 70          | 17        |           |
| 00.02 Oversight Board expenses .....                                   | 4           | 4         |           |
| 00.03 Administrative expense for affordable housing program .....      | 9           | 2         |           |
| 00.91 Total operating expenses .....                                   | 83          | 23        |           |
| Capital investment:                                                    |             |           |           |
| 01.02 Working capital .....                                            | 2,527       |           |           |
| 01.03 Case resolution losses .....                                     | 738         |           |           |
| 01.05 FFB Interest Payments .....                                      | 1,181       | 326       |           |
| 01.91 Total capital investment .....                                   | 4,446       | 326       |           |
| 10.00 Total obligations .....                                          | 4,529       | 349       |           |
| Budgetary resources available for obligation:                          |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance ..... | 17,736      | 15,090    | 13,759    |
| 22.00 New budget authority (gross) .....                               | 15,194      | 2,773     |           |
| 22.20 Unobligated balance transferred .....                            |             | -1,017    |           |
| 22.60 Redemption of debt .....                                         | -13,311     | -2,738    |           |
| 23.90 Total budgetary resources available for obligation               | 19,619      | 14,108    | 13,759    |
| 23.95 New obligations .....                                            | -4,529      | -349      |           |
| 24.90 Unobligated balance available, end of year: Fund balance .....   | 15,090      | 13,759    | 13,759    |

|                                             |                                                                      |                           |
|---------------------------------------------|----------------------------------------------------------------------|---------------------------|
| New budget authority (gross), detail:       |                                                                      |                           |
| 68.00                                       | Spending authority from offsetting collections (gross):              |                           |
|                                             | Offsetting collections (cash) .....                                  | 15,194      2,773 .....   |
| Change in unpaid obligations:               |                                                                      |                           |
| 72.90                                       | Unpaid obligations, start of year: Obligated balance:                |                           |
|                                             | Fund balance .....                                                   | 148      151 .....        |
| 73.10                                       | New obligations .....                                                | 4,529      349 .....      |
| 73.20                                       | Total outlays (gross) .....                                          | -4,526      -349 .....    |
| 73.30                                       | Obligated balance transferred, net .....                             | -151 .....                |
| 74.90                                       | Unpaid obligations, end of year: Obligated balance:                  |                           |
|                                             | Fund balance .....                                                   | 151 .....                 |
| Outlays (gross), detail:                    |                                                                      |                           |
| 86.97                                       | Outlays from new permanent authority .....                           | 1,545      77 .....       |
| 86.98                                       | Outlays from permanent balances .....                                | 2,981      272 .....      |
| 87.00                                       | Total outlays (gross) .....                                          | 4,526      349 .....      |
| Offsets:                                    |                                                                      |                           |
| Against gross budget authority and outlays: |                                                                      |                           |
| Offsetting collections (cash) from:         |                                                                      |                           |
| Non-Federal sources:                        |                                                                      |                           |
| 88.40                                       | Recoveries on receivables from case resolution (asset proceed) ..... | -13,364      -2,773 ..... |
| 88.40                                       | Repayment of advances .....                                          | -1,830 .....              |
| 88.90                                       | Total, offsetting collections (cash) .....                           | -15,194      -2,773 ..... |
| Net budget authority and outlays:           |                                                                      |                           |
| 89.00                                       | Budget authority .....                                               |                           |
| 90.00                                       | Outlays .....                                                        | -10,668      -2,424 ..... |

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) to dispose of insolvent thrift institutions until August 1992. RTC's authority to resolve thrifts was extended through September 1993 by the Resolution Trust Corporation Refinancing, Restructuring and Improvement Act of 1991 and was further extended through June 1995 by the Resolution Trust Corporation Completion Act of 1993. The Savings Association Insurance Fund (SAIF) took over responsibility for resolving failed thrifts on July 1, 1995.

FIRREA required that the RTC terminate no later than December 31, 1996, and that all RTC assets and liabilities be transferred to the FSLIC Resolution Fund upon RTC's termination. The RTC Completion Act advanced the date of RTC termination to December 31, 1995. Beginning on January 1, 1996, activities related to the former RTC are included in the budget schedules of the FSLIC Resolution Fund.

The RTC's sources of funds included income and sale proceeds from assets acquired during the course of resolving thrifts, \$31.2 billion from the sale of capital certificates to the Resolution Funding Corporation (REFCORP, a privately capitalized financing entity also established by FIRREA), and \$73.8 billion in appropriated funds. Of the \$31.2 billion, \$1.2 billion was contributed by the Federal Home Loan Banks through REFCORP to the RTC in 1989. The remaining \$30 billion was raised through REFCORP issuance of long-term debt obligations. The \$73.8 billion in appropriated funds includes \$18.3 billion made available by the RTC Completion Act of 1993.

Of the \$18.3 billion appropriated to RTC in 1993, amounts not needed by RTC remain available for two years after RTC termination for possible transfer by the Secretary of the Treasury to SAIF, if needed to cover SAIF losses and upon certain certifications by the Chairman of the FDIC. The Thrift Depositor Protection Oversight Board determined that only \$4.6 billion of the \$18.3 billion will be required. The excess \$13.7 billion was not transferred to the FSLIC Resolution Fund upon RTC termination, but remains available for transfer to SAIF until December 31, 1997.

The Thrift Depositor Protection Oversight Board (Oversight Board) was established to provide RTC with general policy direction and to review RTC's performance. The Oversight Board is also responsible for regulating REFCORP. Prior to

RTC sunset, the Oversight Board consisted of the Secretary of the Treasury, the Chairman of the Board of Governors of the Federal Reserve System, the Director of the Office of Thrift Supervision, the Chairman of the Federal Deposit Insurance Corporation, the chief executive officer of RTC, and two independent members appointed by the President and approved by the Senate. The Oversight Board was reorganized on December 31, 1995, and currently consists of the Secretary of the Treasury, the Chairman of the Board of Governors of the Federal Reserve System, and the Secretary of the Department of Housing and Urban Development. By law, the Oversight Board is funded by the RTC.

Section 501(c) of FIRREA authorizes the RTC to operate an affordable housing program. Under this program, single and multifamily properties in RTC's inventory that are appraised below a certain threshold are held off the general market for a three to six month special marketing period. During that period, only low-income families and individuals, and public agencies and nonprofit organizations that agree to low-income rent restrictions are allowed to bid on the properties. The affordable housing expense line shown in the budget includes only the administrative costs of the program. Foregone receipts from selling the properties with the affordable housing restrictions are not included. In FY95, the RTC closed sales or accepted offers for 1,295 single family properties totaling \$33 million in sales proceeds and 140 multifamily properties totaling \$83 million in sales proceeds. By the end of FY96, RTC expects to have sold an additional 289 single family and 26 multifamily properties through the affordable housing program.

In accordance with the RTC Completion Act, management of the FDIC and RTC affordable housing programs was fully integrated on October 1, 1995. While both programs are carried out by the FDIC Affordable Housing Program Office, separate accounting will be maintained for FDIC and RTC assets. The RTC Affordable Housing Program assets were formally transferred to the FSLIC Resolution Fund on December 31, 1995, when all RTC assets and liabilities were transferred.

|                                                 |             |               |
|-------------------------------------------------|-------------|---------------|
| Affordable Housing Program:                     | 1995 actual | 1996 estimate |
| Number of Properties In Inventory:              |             |               |
| Single Family .....                             | 1,688       | 145           |
| Multifamily .....                               | 166         | 14            |
| Number of Properties Sold:                      |             |               |
| Single Family .....                             | 1,295       | 289           |
| Multifamily .....                               | 140         | 26            |
| Appraised Value in Inventory (in millions):     |             |               |
| Single Family .....                             | 63          | 10            |
| Multifamily .....                               | 172         | 25            |
| Appraised Value of Property Sold (in millions): |             |               |
| Single Family .....                             | 47          | 10            |
| Multifamily .....                               | 143         | 25            |
| Gross Sales Receipts:                           |             |               |
| Single Family .....                             | 33          | 7             |
| Multifamily .....                               | 83          | 18            |

Balance Sheet (in millions of dollars)

|                                                |             |             |           |           |
|------------------------------------------------|-------------|-------------|-----------|-----------|
| Identification code 22-4055-0-3-373            | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
| ASSETS:                                        |             |             |           |           |
| 1101 Federal assets: Fund balances with        |             |             |           |           |
| Treasury .....                                 | 17,878      | 15,235      | 13,759    | 13,759    |
| 1901 Other Federal assets: Other assets .....  | 25,195      | 14,093      |           |           |
| 1999 Total assets .....                        | 43,073      | 29,328      | 13,759    | 13,759    |
| LIABILITIES:                                   |             |             |           |           |
| 2104 Federal liabilities: Resources payable to |             |             |           |           |
| Treasury .....                                 | 26,838      | 13,419      |           |           |
| 2207 Non-Federal liabilities: Other .....      | 517         | 922         |           |           |
| 2999 Total liabilities .....                   | 27,355      | 14,341      |           |           |
| NET POSITION:                                  |             |             |           |           |
| 3100 Appropriated capital .....                | 15,718      | 14,987      | 13,759    | 13,759    |
| 3999 Total net position .....                  | 15,718      | 14,987      | 13,759    | 13,759    |
| 4999 Total liabilities and net position .....  | 43,073      | 29,328      | 13,759    | 13,759    |

**Public enterprise funds—Continued**

**RTC REVOLVING FUND—Continued**

Object Classification (in millions of dollars)

| Identification code 22-4055-0-3-373                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 290         | 63        |           |
| 11.5 Other personnel compensation .....                         | 8           | 2         |           |
| 11.9 Total personnel compensation .....                         | 298         | 65        |           |
| 12.1 Civilian personnel benefits .....                          | 88          | 10        |           |
| 21.0 Travel and transportation of persons .....                 | 15          | 4         |           |
| 22.0 Transportation of things .....                             | 2           |           |           |
| 23.2 Rental payments to others .....                            | 18          | 4         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 1         |           |
| 24.0 Printing and reproduction .....                            | 1           | 1         |           |
| 25.2 Other services .....                                       | 370         | 105       |           |
| 26.0 Supplies and materials .....                               | 2           |           |           |
| 31.0 Equipment .....                                            | 7           | 1         |           |
| 42.0 Insurance claims and indemnities .....                     | 2           | 1         |           |
| 92.0 Undistributed .....                                        | 3,720       | 157       |           |
| 99.9 Total obligations .....                                    | 4,529       | 349       |           |

Personnel Summary

| Identification code 22-4055-0-3-373                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 4,944       | 954       |           |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 136         | 26        |           |

**RIVER BASIN COMMISSIONS**

**SALARIES AND EXPENSES, DELAWARE RIVER BASIN**

For expenses necessary to carry out the functions of the United States member of the Delaware River Basin Commission, as authorized by law (75 Stat. 716), **[\$343,000] \$342,000.** (*Energy and Water Development Act, 1996.*)

**CONTRIBUTION TO DELAWARE RIVER BASIN**

For payment of the United States share of the current expenses of the Delaware River Basin Commission, as authorized by law (75 Stat. 706, 707), **[\$428,000] \$534,000.** (*Energy and Water Development Act, 1996.*)

**CONTRIBUTION TO INTERSTATE COMMISSION ON THE POTOMAC RIVER BASIN**

To enable the Secretary of the Treasury to pay in advance to the Interstate Commission on the Potomac River Basin the Federal contribution toward the expenses of the Commission during the current fiscal year in the administration of its business in the conservancy district established pursuant to the Act of July 11, 1940 (54 Stat. 748), as amended by the Act of September 25, 1970 (Public Law 91-407), **[\$511,000] \$508,000.** (*Energy and Water Development Act, 1996.*)

**SALARIES AND EXPENSES, SUSQUEHANNA RIVER BASIN COMMISSION**

For expenses necessary to carry out the functions of the United States member of the Susquehanna River Basin Commission as authorized by law (84 Stat. 1541), **[\$318,000] \$322,000.** (*Energy and Water Development Act, 1996.*)

**CONTRIBUTION TO SUSQUEHANNA RIVER BASIN COMMISSION**

For payment of the United States share of the current expenses of the Susquehanna River Basin Commission, as authorized by law (84 Stat. 1530, 1531), **[\$250,000] \$380,000.** (*Energy and Water Development Act, 1996.*)

Program and Financing (in millions of dollars)

| Identification code 46-9912-0-1-301               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 99.5) ..... | 2           | 2         | 2         |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 2           | 2         | 2         |

|                                                |   |   |   |
|------------------------------------------------|---|---|---|
| New budget authority (gross), detail:          |   |   |   |
| 40.00 Appropriation .....                      | 2 | 2 | 2 |
| Change in unpaid obligations:                  |   |   |   |
| 73.10 New obligations .....                    | 2 | 2 | 2 |
| Outlays (gross), detail:                       |   |   |   |
| 86.90 Outlays from new current authority ..... | 2 | 2 | 2 |
| 87.00 Total outlays (gross) .....              |   |   |   |
| Net budget authority and outlays:              |   |   |   |
| 89.00 Budget authority .....                   | 2 | 2 | 2 |
| 90.00 Outlays .....                            | 2 | 2 | 2 |

Personnel Summary

| Identification code 46-9912-0-1-301                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 4           | 4         | 4         |

**SECURITIES AND EXCHANGE COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

*For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,000 for official reception and representation expenses, \$308,189,000, of which not to exceed \$10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions, and of which not to exceed \$100,000 shall be made available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of co-operation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including: (i) such incidental expenses as meals taken in the course of such attendance, (ii) any travel or transportation to or from such meetings and (iii) any other related lodging or subsistence. If prior to enactment of this Act, legislation amending the Securities Exchange Act of 1934 (15 U.S.C. 78a et seq.) to establish a new fee system which reforms the funding structure of the Commission is enacted, the sum "\$308,189,000" shall be deleted and replaced with "\$48,623,000", and the following language shall be added after "(iii) any other related lodging or subsistence", ": Provided, That not to exceed \$48,623,000 from fees and annual charges received in fiscal year 1997 shall be credited to this appropriation as offsetting collections and be available until expended for necessary expenses under this head: Provided further, That the sum herein appropriated from the General Fund shall be reduced as such fees are deposited to this appropriation so as to result in a final total fiscal year 1997 appropriation from the General Fund estimated at not more than \$0."*

Note.—A regular appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in the three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

| Identification code 50-0100-0-1-376                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                 |             |           |           |
| 01.99 Balance, start of year .....                      |             |           |           |
| Receipts:                                               |             |           |           |
| 02.01 SEC special fund .....                            |             |           | 260       |
| Appropriation:                                          |             |           |           |
| 05.02 Salaries and expenses, proposed legislation ..... |             |           | -260      |
| 07.99 Total balance, end of year .....                  |             |           |           |

| Program and Financing (in millions of dollars)                                            |             |           |           |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 50-0100-0-1-376                                                       | 1995 actual | 1996 est. | 1997 est. |
| <b>Obligations by program activity:</b>                                                   |             |           |           |
| Direct program:                                                                           |             |           |           |
| 00.01 Full disclosure .....                                                               | 58          | 58        | 59        |
| 00.02 Prevention and suppression of fraud .....                                           | 95          | 98        | 103       |
| 00.03 Supervision and regulation of securities markets .....                              | 40          | 40        | 41        |
| 00.04 Investment management regulation .....                                              | 46          | 53        | 57        |
| 00.05 Legal and Economic services .....                                                   | 18          | 19        | 20        |
| 00.07 Program direction .....                                                             | 31          | 33        | 34        |
| 00.91 Total direct program .....                                                          | 288         | 301       | 314       |
| 01.01 Reimbursable program .....                                                          |             | 1         | 1         |
| 10.00 Total obligations .....                                                             | 288         | 302       | 315       |
| <b>Budgetary resources available for obligation:</b>                                      |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance .....                    | 54          | 24        | 10        |
| 22.00 New budget authority (gross) .....                                                  | 266         | 288       | 308       |
| 22.10 Resources available from recoveries of prior year obligations .....                 | 8           |           |           |
| 22.30 Unobligated balance expiring .....                                                  | -16         |           |           |
| 23.90 Total budgetary resources available for obligation .....                            | 312         | 312       | 318       |
| 23.95 New obligations .....                                                               | -288        | -302      | -315      |
| 24.90 Unobligated balance available, end of year: Fund balance .....                      | 24          | 10        | 4         |
| <b>New budget authority (gross), detail:</b>                                              |             |           |           |
| Current:                                                                                  |             |           |           |
| 40.00 Appropriation .....                                                                 | 109         | 103       | 308       |
| 40.35 Appropriation rescinded .....                                                       | -1          |           |           |
| 43.00 Appropriation (total) .....                                                         | 108         | 103       | 308       |
| Permanent:                                                                                |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 158         | 185       | 1         |
| 70.00 Total new budget authority (gross) .....                                            | 266         | 288       | 308       |
| <b>Change in unpaid obligations:</b>                                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....           | 47          | 46        | 63        |
| 73.10 New obligations .....                                                               | 288         | 302       | 315       |
| 73.20 Total outlays (gross) .....                                                         | -279        | -285      | -311      |
| 73.45 Adjustments in unexpired accounts .....                                             | -8          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....             | 46          | 63        | 67        |
| <b>Outlays (gross), detail:</b>                                                           |             |           |           |
| 86.90 Outlays from new current authority .....                                            | 91          | 89        | 265       |
| 86.93 Outlays from current balances .....                                                 | 28          | 25        | 14        |
| 86.97 Outlays from new permanent authority .....                                          | 131         | 159       | 1         |
| 86.98 Outlays from permanent balances .....                                               | 29          | 14        | 30        |
| 87.00 Total outlays (gross) .....                                                         | 279         | 285       | 311       |
| <b>Offsets:</b>                                                                           |             |           |           |
| Against gross budget authority and outlays:                                               |             |           |           |
| Offsetting collections (cash) from:                                                       |             |           |           |
| 88.00 Federal sources .....                                                               |             | -1        | -1        |
| 88.45 Offsetting governmental collections .....                                           | -158        | -184      |           |
| 88.90 Total, offsetting collections (cash) .....                                          | -158        | -185      | -1        |
| <b>Net budget authority and outlays:</b>                                                  |             |           |           |
| 89.00 Budget authority .....                                                              | 108         | 103       | 307       |
| 90.00 Outlays .....                                                                       | 122         | 100       | 310       |

Summary of Budget Authority and Outlays

[In millions of dollars]

|                                                    | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| <b>Enacted/requested:</b>                          |             |           |           |
| Budget Authority .....                             | 108         | 103       | 308       |
| Outlays .....                                      | 121         | 102       | 309       |
| <b>Legislative proposal, not subject to PAYGO:</b> |             |           |           |
| Budget Authority .....                             |             |           | -308      |
| Outlays .....                                      |             |           | -272      |
| <b>Legislative proposal, subject to PAYGO:</b>     |             |           |           |
| Budget Authority .....                             |             |           | 260       |
| Outlays .....                                      |             |           | 224       |
| <b>Total:</b>                                      |             |           |           |
| Budget Authority .....                             | 108         | 103       | 260       |

|               |     |     |     |
|---------------|-----|-----|-----|
| Outlays ..... | 121 | 102 | 261 |
|---------------|-----|-----|-----|

The primary mission of the Securities and Exchange Commission (the Commission) is to protect the interests of the investing public.

**Full disclosure.**—This program ensures that investors will be provided with material facts in the public offering, trading, voting and tendering of securities. Issuers that have conducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

**Electronic filing project (EDGAR).**—In 1984, the SEC began a pilot project that successfully tested the feasibility of receiving, analyzing and disseminating full disclosure filings electronically. The pilot received approximately 114,000 filings electronically through July 1992, when it was closed.

In early 1989, the SEC selected a contractor to develop the operational system. This system provides the Commission with the capability for electronic receipt, analysis, and dissemination of almost all of the full disclosure filings. The operational system opened in January 1992 for test filings and the phase-in of the first group of mandated filers began in April 1993. As of November 10, 1995, nine of the ten groups of filers have been added to the system. The last group consisting mainly of new companies, will join EDGAR in May 1996. As of February 9, 1996, operational EDGAR has received and disseminated 249,168 live filings. The operational contract cost is \$10.0 million in fiscal 1996 of which \$8 million supports the Full Disclosure program and \$2 million supports the Investment Management Regulation program. The recompetition for the new contract is in process and its costs are not yet known.

SELECTED WORKLOAD DATA

|                                                                                                                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Filings of initial 1933 Act registration statements—other than investment companies .....                           | 995         | 1,000     | 1,000     |
| Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies .. | 4,646       | 4,650     | 4,650     |
| Filings of definitive proxy and information statements (uncontested)—other than investment companies .....          | 7,465       | 7,510     | 7,550     |
| Filings of annual and periodic reports—other than investment companies .....                                        | 67,015      | 67,040    | 67,065    |
| Filings of Director and Officer ownership and transaction reports .....                                             | 239,670     | 240,000   | 240,500   |

**Prevention and Suppression of Fraud.**—This program evaluates information indicating possible violations of the federal securities laws. Possible violations include, among other things, the illegal distribution of unregistered securities, fraud in the offer, purchase and sale of securities, insider trading, market manipulation, and illegal conduct by broker-dealers, investment advisers and other regulated entities. Investigations of possible violations are conducted and, if appropriate, enforcement actions are initiated. Actions include civil proceedings seeking injunctive and other relief and administrative proceedings. The Commission is now authorized to seek court orders imposing civil monetary penalties for any securities law violation as well as to seek such penalties against regulated entities in administrative proceedings. Under appropriate circumstances matters are referred for criminal prosecution.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

SELECTED WORKLOAD DATA

|                                         | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------|-------------|-----------|-----------|
| Investigations initiated .....          | 436         | 475       | 475       |
| Administrative proceedings opened ..... | 291         | 290       | 290       |
| Civil actions initiated .....           | 195         | 195       | 195       |

*Supervision and regulation of securities markets.*—Trading in the securities markets is regulated to prevent fraud and manipulation, and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions.

SELECTED WORKLOAD DATA

|                                                                                      | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Review of changes in the rules and procedures of self-regulatory organizations ..... | 609         | 610       | 610       |
| Inspections of self-regulatory organizations .....                                   | 48          | 50        | 50        |
| Broker dealer registration applications .....                                        | 970         | 890       | 890       |
| Broker-dealer oversight and cause examinations .....                                 | 662         | 700       | 700       |

*Investment management regulation.*—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. In 1996, investment adviser inspections will focus on money managers that have total control of their clients' funds. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

SELECTED WORKLOAD DATA

|                                                           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| Investment company assets inspected (\$ trillions) .....  | 1.1         | 1.0       | 1.0       |
| Investment company portfolios and amendments filed .....  | 17,579      | 17,925    | 18,255    |
| Investment company proxy statements filed .....           | 711         | 780       | 785       |
| Investment advisers inspected .....                       | 1,075       | 1,150     | 1,300     |
| Investment adviser registration statements filed .....    | 3,241       | 3,490     | 3,840     |
| Exemptive applications closed .....                       | 483         | 500       | 510       |
| Public utility filings processed .....                    | 193         | 150       | 110       |
| Public utility annual and periodic reports examined ..... | 890         | 1,110     | 1,300     |

*Legal and Economic Services.*—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae*, and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with Government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings

are appropriate in the public interest and for the protection of public investors.

SELECTED WORKLOAD DATA

|                                                                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Litigation matters opened .....                                         | 343         | 380       | 380       |
| Opinion cases received .....                                            | 95          | 80        | 80        |
| Opinion cases completed .....                                           | 82          | 80        | 80        |
| Legislative matters .....                                               | 292         | 250       | 250       |
| Chapter 11 disclosure statements commented on .....                     | 75          | 80        | 80        |
| Administrative proceedings completed by Administrative Law Judges ..... | 67          | 55        | 55        |

*Program direction.*—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating priorities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

Authorizing legislation will be proposed to provide the Commission with a sound and stable long term funding structure. Such legislation would stipulate three tiers of fee income. Tier 1 would be composed of permanent increases in existing base receipts collected under the securities laws generating PAYGO savings of approximately \$47 million in 1997. Tier 2 would establish a set of new permanent fees in the securities laws and stipulate that such fees be deposited in a special fund of the U.S. Treasury and that the SEC would have authority to spend such sums as may be deposited in this fund. The legislation would specify Tier 2 fee rates in amounts that would be estimated to collect a substantial majority, but not all, of the SEC's total budget. Tier 3 would provide the appropriators with the authority to increase certain specified fees and deposit such increments as offsetting collections to the SEC's appropriation. The establishment of the Tier 3 fees would be contingent on appropriation action.

Such legislation would give the appropriators the ability to determine the gross level of funds that are necessary for the SEC, while at the same time averting the type of funding crisis that existed at the start of 1995. This proposal would result in a reduction to the existing section 6(b) registration fee rate of one-twenty-ninth of one percent thereby lowering the cost of raising capital in the United States. This proposal would also better match the services provided by the SEC with the fees that are collected under the securities laws. The Administration intends to work with Congress to secure early enactment of such a legislative proposal, possibly including such a package in a reconciliation bill.

Object Classification (in millions of dollars)

| Identification code 50-0100-0-1-376                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                      |             |           |           |
| <b>Personnel compensation:</b>                                  |             |           |           |
| 11.1 Full-time permanent .....                                  | 153         | 166       | 171       |
| 11.3 Other than full-time permanent .....                       | 1           |           |           |
| 11.5 Other personnel compensation .....                         | 3           | 3         | 3         |
| Total personnel compensation .....                              | 157         | 169       | 174       |
| 12.1 Civilian personnel benefits .....                          | 34          | 37        | 39        |
| 21.0 Travel and transportation of persons .....                 | 6           | 7         | 7         |
| 23.2 Rental payments to others .....                            | 25          | 25        | 26        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 7         | 7         |
| 24.0 Printing and reproduction .....                            | 2           | 2         | 2         |
| 25.2 Other services .....                                       | 39          | 37        | 43        |
| 26.0 Supplies and materials .....                               | 7           | 9         | 8         |
| 31.0 Equipment .....                                            | 11          | 8         | 8         |
| 99.0 Subtotal, direct obligations .....                         | 288         | 301       | 314       |
| 99.0 Reimbursable obligations .....                             |             | 1         | 1         |
| 99.9 Total obligations .....                                    | 288         | 302       | 315       |

Personnel Summary

| Identification code 50-0100-0-1-376                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct:                                                                 |             |           |           |
| Total compensable workyears:                                            |             |           |           |
| 1001 Full-time equivalent employment .....                              | 2,705       | 2,797     | 2,797     |
| 1005 Full-time equivalent of overtime and holiday hours .....           | 16          | 16        | 16        |
| Reimbursable:                                                           |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... |             | 11        | 11        |

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 50-0100-2-1-376                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                                          |             |           |           |
| 10.00 Total obligations .....                                                             |             |           | -260      |
| Budgetary resources available for obligation:                                             |             |           |           |
| 22.00 New budget authority (gross) .....                                                  |             |           | -260      |
| 23.95 New obligations .....                                                               |             |           | 260       |
| New budget authority (gross), detail:                                                     |             |           |           |
| Current:                                                                                  |             |           |           |
| 40.00 Appropriation .....                                                                 |             |           | -308      |
| Permanent:                                                                                |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... |             |           | 49        |
| 70.00 Total new budget authority (gross) .....                                            |             |           | -260      |
| Change in unpaid obligations:                                                             |             |           |           |
| 73.10 New obligations .....                                                               |             |           | -260      |
| 73.20 Total outlays (gross) .....                                                         |             |           | 224       |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....             |             |           | -34       |
| Outlays (gross), detail:                                                                  |             |           |           |
| 86.90 Outlays from new current authority .....                                            |             |           | -265      |
| 86.97 Outlays from new permanent authority .....                                          |             |           | 42        |
| 87.00 Total outlays (gross) .....                                                         |             |           | -224      |
| Offsets:                                                                                  |             |           |           |
| Against gross budget authority and outlays:                                               |             |           |           |
| 88.45 Offsetting collections (cash) from: Offsetting governmental collections .....       |             |           | -49       |
| Net budget authority and outlays:                                                         |             |           |           |
| 89.00 Budget authority .....                                                              |             |           | -309      |
| 90.00 Outlays .....                                                                       |             |           | -273      |

SALARIES AND EXPENSES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 50-0100-4-1-376                                           | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                              |             |           |           |
| 10.00 Total obligations .....                                                 |             |           | 260       |
| Budgetary resources available for obligation:                                 |             |           |           |
| 22.00 New budget authority (gross) .....                                      |             |           | 260       |
| 23.95 New obligations .....                                                   |             |           | -260      |
| New budget authority (gross), detail:                                         |             |           |           |
| 60.25 Appropriation (special fund, indefinite) .....                          |             |           | 260       |
| Change in unpaid obligations:                                                 |             |           |           |
| 73.10 New obligations .....                                                   |             |           | 260       |
| 73.20 Total outlays (gross) .....                                             |             |           | -224      |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation ..... |             |           | 34        |
| Outlays (gross), detail:                                                      |             |           |           |
| 86.97 Outlays from new permanent authority .....                              |             |           | 224       |
| 87.00 Total outlays (gross) .....                                             |             |           | 224       |

| Net budget authority and outlays: |  |  |     |
|-----------------------------------|--|--|-----|
| 89.00 Budget authority .....      |  |  | 260 |
| 90.00 Outlays .....               |  |  | 224 |

Public enterprise funds:

INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION

Program and Financing (in millions of dollars)

| Identification code 50-4068-0-3-376                                           | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                                 |             |           |           |
| 21.47 Unobligated balance available, start of year: Authority to borrow ..... | 1,000       | 1,000     | 1,000     |
| 22.00 New budget authority (gross) .....                                      |             |           |           |
| 23.90 Total budgetary resources available for obligation .....                | 1,000       | 1,000     | 1,000     |
| 24.47 Unobligated balance available, end of year: Authority to borrow .....   | 1,000       | 1,000     | 1,000     |
| New budget authority (gross), detail:                                         |             |           |           |
| 70.00 Total new budget authority (gross) .....                                |             |           |           |
| Change in unpaid obligations:                                                 |             |           |           |
| 73.10 New obligations .....                                                   |             |           |           |
| Outlays (gross), detail:                                                      |             |           |           |
| 87.00 Total outlays (gross) .....                                             |             |           |           |
| Net budget authority and outlays:                                             |             |           |           |
| 89.00 Budget authority .....                                                  |             |           |           |
| 90.00 Outlays .....                                                           |             |           |           |

The Securities Investor Protection Corporation (SIPC) may borrow up to \$1 billion from the U.S. Department of the Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

SELECTIVE SERVICE SYSTEM

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; and not to exceed \$1,000 for official reception and representation expenses; \$22,930,000: Provided, That during the current fiscal year, the President may exempt this appropriation for the provisions of 31 U.S.C. 1341, whenever he deems such action to be necessary in the interest of national defense: Provided further, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 90-0400-0-1-054           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |             |           |           |
| 00.01 Direct program .....                    | 23          | 23        | 23        |
| 10.00 Total obligations .....                 | 23          | 23        | 23        |
| Budgetary resources available for obligation: |             |           |           |
| 22.00 New budget authority (gross) .....      | 23          | 23        | 23        |
| 23.95 New obligations .....                   | -23         | -23       | -23       |
| New budget authority (gross), detail:         |             |           |           |
| 40.00 Appropriation .....                     | 23          | 23        | 23        |

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 90-0400-0-1-054                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 10          | 9         | 10        |
| 73.10 New obligations .....                                 | 23          | 23        | 23        |
| 73.20 Total outlays (gross) .....                           | -22         | -22       | -22       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 9           | 10        | 11        |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 17          | 17        | 17        |
| 86.93 Outlays from current balances .....                   | 5           | 5         | 5         |
| 87.00 Total outlays (gross) .....                           | 22          | 22        | 22        |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 23          | 23        | 23        |
| 90.00 Outlays .....                                         | 22          | 22        | 22        |

The Selective Service System continues to register men as they reach age 18, as required by law, and maintain a data base of registrant records. The System works to maintain a high degree of readiness to respond rapidly and efficiently to any crisis that requires a return to conscription.

Operational readiness remains one of the System's major objectives. Activities in support of this objective include all facets of National and Regional operational planning, maintenance of automated registration information, a comprehensive training program for the System's Reserve Forces, and a training program for the standby board members. Some of these activities have been scaled back consistent with recent budget reductions. However, the processing procedures of the Registrant Information Management System will continue to be tested and refined to ensure that they can fully satisfy the revised emergency manpower requirements of the Armed Forces.

In 1997, registration awareness activities will continue to concentrate on targeted urban areas and audiences where registration shortfalls are the greatest.

Object Classification (in millions of dollars)

| Identification code 90-0400-0-1-054                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 8           | 8         | 8         |
| 11.8 Special personal services payments .....                   | 5           | 5         | 5         |
| 11.9 Total personnel compensation .....                         | 13          | 13        | 13        |
| 12.1 Civilian personnel benefits .....                          | 2           | 2         | 2         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         | 1         |
| 23.1 Rental payments to GSA .....                               | 1           | 1         | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2         | 2         |
| 24.0 Printing and reproduction .....                            | 1           | 1         | 1         |
| 25.2 Other services .....                                       | 3           | 3         | 3         |
| 99.5 Below reporting threshold .....                            | 1           |           |           |
| 99.9 Total obligations .....                                    | 23          | 23        | 23        |

Personnel Summary

| Identification code 90-0400-0-1-054                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 179         | 185       | 185       |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 1           | 1         | 1         |

**SMITHSONIAN INSTITUTION**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

*For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed thirty years), and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; up to 5 replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees; \$328,716,000, of which not to exceed \$31,664,000 for the instrumentation program, collections acquisition, Museum Support Center equipment and move, exhibition reinstallation, the National Museum of the American Indian, the repatriation of skeletal remains program, research equipment, information management, and Latino programming shall remain available until expended and, including such funds as may be necessary to support American overseas research centers and a total of \$125,000 for the Council of American Overseas Research Centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0100-0-1-503                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                               |             |           |           |
| Direct program:                                                |             |           |           |
| 00.01 Museums and Research Institutes .....                    | 159         | 157       | 167       |
| 00.02 Program Support and Outreach .....                       | 43          | 34        | 36        |
| 00.03 Administration .....                                     | 31          | 32        | 34        |
| 00.04 Facilities Services .....                                | 86          | 85        | 92        |
| 10.00 Total obligations .....                                  | 319         | 308       | 329       |
| Budgetary resources available for obligation:                  |             |           |           |
| 21.40 Unobligated balance available, start of year:            |             |           |           |
| Uninvested balance .....                                       | 14          | 6         | 6         |
| 22.00 New budget authority (gross) .....                       | 314         | 308       | 329       |
| 22.30 Unobligated balance expiring .....                       | -1          |           |           |
| 23.90 Total budgetary resources available for obligation ..... | 327         | 314       | 335       |
| 23.95 New obligations .....                                    | -319        | -308      | -329      |
| 24.40 Unobligated balance available, end of year:              |             |           |           |
| Uninvested balance .....                                       | 6           | 6         | 6         |
| New budget authority (gross), detail:                          |             |           |           |
| 40.00 Appropriation .....                                      | 314         | 308       | 329       |
| Change in unpaid obligations:                                  |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            | 45          | 48        | 52        |
| 73.10 New obligations .....                                    | 319         | 308       | 329       |
| 73.20 Total outlays (gross) .....                              | -315        | -304      | -335      |
| 74.40 Unpaid obligations, end of year: Obligated balance:      |             |           |           |
| Appropriation .....                                            | 48          | 52        | 46        |
| Outlays (gross), detail:                                       |             |           |           |
| 86.90 Outlays from new current authority .....                 | 273         | 268       | 286       |
| 86.93 Outlays from current balances .....                      | 42          | 36        | 49        |
| 87.00 Total outlays (gross) .....                              | 315         | 304       | 335       |
| Net budget authority and outlays:                              |             |           |           |
| 89.00 Budget authority .....                                   | 314         | 308       | 329       |
| 90.00 Outlays .....                                            | 315         | 304       | 335       |

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves

for reference and study purposes over one hundred million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 14 major exhibition buildings; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone Biological Area Fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

| Identification code 33-0100-0-1-503                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                             |             |           |           |
| 11.1 Full-time permanent .....                                      | 166         | 166       | 178       |
| 11.3 Other than full-time permanent .....                           | 7           | 7         | 7         |
| 11.5 Other personnel compensation .....                             | 6           | 6         | 6         |
| 11.9 Total personnel compensation .....                             | 179         | 179       | 191       |
| 12.1 Civilian personnel benefits .....                              | 38          | 38        | 42        |
| 13.0 Benefits for former personnel .....                            |             | 3         | 3         |
| 21.0 Travel and transportation of persons .....                     | 3           | 3         | 3         |
| 22.0 Transportation of things .....                                 | 1           | 1         | 1         |
| 23.2 Rental payments to others .....                                | 7           | 7         | 8         |
| 23.3 Communications, utilities, and miscellaneous charges .....     | 22          | 25        | 28        |
| 24.0 Printing and reproduction .....                                | 2           | 2         | 2         |
| 25.2 Other services .....                                           | 40          | 28        | 29        |
| 25.3 Purchases of goods and services from Government accounts ..... |             | 3         | 3         |
| 26.0 Supplies and materials .....                                   | 17          | 9         | 9         |
| 31.0 Equipment .....                                                | 10          | 10        | 10        |
| 99.9 Total obligations .....                                        | 319         | 308       | 329       |

Personnel Summary

| Identification code 33-0100-0-1-503                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 4,378       | 4,378     | 4,378     |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 95          | 100       | 100       |

MUSEUM PROGRAMS AND RELATED RESEARCH (SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in millions of dollars)

| Identification code 33-0102-0-1-503                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:                  |             |           |           |
| 21.40 Unobligated balance available, start of year:            |             |           |           |
| Uninvested balance .....                                       | 1           | 1         | 1         |
| 22.00 New budget authority (gross) .....                       |             |           |           |
| 23.90 Total budgetary resources available for obligation ..... |             |           |           |
| 24.40 Unobligated balance available, end of year:              |             |           |           |
| Uninvested balance .....                                       | 1           | 1         | 1         |
| New budget authority (gross), detail:                          |             |           |           |
| 70.00 Total new budget authority (gross) .....                 |             |           |           |
| Change in unpaid obligations:                                  |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            | 3           | 2         | 1         |
| 73.10 New obligations .....                                    |             |           |           |
| 73.20 Total outlays (gross) .....                              | -1          | -1        | -1        |
| 74.40 Unpaid obligations, end of year: Obligated balance:      |             |           |           |
| Appropriation .....                                            | 2           | 1         |           |
| Outlays (gross), detail:                                       |             |           |           |
| 86.93 Outlays from current balances .....                      | 1           | 1         | 1         |
| 87.00 Total outlays (gross) .....                              | 1           | 1         | 1         |
| Net budget authority and outlays:                              |             |           |           |
| 89.00 Budget authority .....                                   |             |           |           |
| 90.00 Outlays .....                                            | 1           | 1         | 1         |

This account supports a program of grants payable in excess U.S.-owned foreign currencies to U.S. universities, museums, and other institutions of higher learning. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

CONSTRUCTION AND IMPROVEMENTS, NATIONAL ZOOLOGICAL PARK

For necessary expenses of planning, construction, remodeling, and equipping of buildings and facilities at the National Zoological Park, by contract or otherwise, \$4,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0129-0-1-503                            | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                               |             |           |           |
| 10.00 Total obligations .....                                  | 6           | 3         | 4         |
| Budgetary resources available for obligation:                  |             |           |           |
| 21.40 Unobligated balance available, start of year:            |             |           |           |
| Uninvested balance .....                                       | 10          | 7         | 7         |
| 22.00 New budget authority (gross) .....                       | 4           | 3         | 4         |
| 23.90 Total budgetary resources available for obligation ..... | 14          | 10        | 11        |
| 23.95 New obligations .....                                    | -6          | -3        | -4        |
| 24.40 Unobligated balance available, end of year:              |             |           |           |
| Uninvested balance .....                                       | 7           | 7         | 7         |
| New budget authority (gross), detail:                          |             |           |           |
| 40.00 Appropriation .....                                      | 4           | 3         | 4         |
| Change in unpaid obligations:                                  |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:    |             |           |           |
| Appropriation .....                                            | 3           | 4         | 4         |
| 73.10 New obligations .....                                    | 6           | 3         | 4         |
| 73.20 Total outlays (gross) .....                              | -5          | -3        | -5        |
| 74.40 Unpaid obligations, end of year: Obligated balance:      |             |           |           |
| Appropriation .....                                            | 4           | 4         | 3         |
| Outlays (gross), detail:                                       |             |           |           |
| 86.90 Outlays from new current authority .....                 | 2           | 1         | 2         |
| 86.93 Outlays from current balances .....                      | 3           | 2         | 3         |
| 87.00 Total outlays (gross) .....                              | 5           | 3         | 5         |
| Net budget authority and outlays:                              |             |           |           |
| 89.00 Budget authority .....                                   | 4           | 3         | 4         |
| 90.00 Outlays .....                                            | 5           | 3         | 5         |

This account is used to finance repairs, alterations, and improvements to existing National Zoological Park facilities, including exhibits, located in Rock Creek Park; to prepare plans and specifications for construction; to perform renovations, restorations, and new construction implementing the master plan approved by the Commission of Fine Arts and the National Capital Planning Commission in 1973; and to make repairs, modifications, and improvements to the animal conservation and research center at Front Royal, VA. Funds requested in 1997 will continue major facility renovations and improvements at the Rock Creek Park location, and support essential programs for renovation, repair and preventive maintenance of existing facilities at Rock Creek and Front Royal.

Object Classification (in millions of dollars)

| Identification code 33-0129-0-1-503  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| 25.2 Other services .....            | 4           | 2         | 3         |
| 26.0 Supplies and materials .....    | 1           | 1         | 1         |
| 99.5 Below reporting threshold ..... | 1           |           |           |
| 99.9 Total obligations .....         | 6           | 3         | 4         |

**General and special funds—Continued**

**REPAIR AND RESTORATION OF BUILDINGS**

*For necessary expenses of repair and restoration of buildings owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), including not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, \$38,000,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or restoration of buildings of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0132-0-1-503                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations (object class 25.2)                 | 37          | 25        | 38        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance                                          | 28          | 15        | 15        |
| 22.00 New budget authority (gross)                          | 24          | 25        | 38        |
| 23.90 Total budgetary resources available for obligation    | 52          | 40        | 53        |
| 23.95 New obligations                                       | -37         | -25       | -38       |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance                                          | 15          | 15        | 15        |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 24          | 25        | 38        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 12          | 29        | 37        |
| 73.10 New obligations                                       | 37          | 25        | 38        |
| 73.20 Total outlays (gross)                                 | -20         | -17       | -35       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 29          | 37        | 40        |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 10          | 10        | 15        |
| 86.93 Outlays from current balances                         | 10          | 7         | 18        |
| 87.00 Total outlays (gross)                                 | 20          | 17        | 35        |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 24          | 25        | 38        |
| 90.00 Outlays                                               | 20          | 17        | 35        |

**This account encompasses repairs, restorations, code compliance changes, and building system renewals of Smithsonian museum buildings, and facilities for storage and conservation of collections, research, and support.**

**CONSTRUCTION**

*For necessary expenses for construction, \$13,000,000, to remain available until expended.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0133-0-1-503          | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>      |             |           |           |
| 00.06 National Museum of the American Indian | 4           | 10        | 4         |
| 00.07 Natural History East Court building    | 1           | 5         |           |
| 00.08 Air and Space Museum Extension         |             | 1         | 5         |
| 00.09 Alterations and Modifications          | 5           | 2         | 4         |
| 10.00 Total obligations (object class 25.2)  | 10          | 18        | 13        |

|                                                             |     |     |     |
|-------------------------------------------------------------|-----|-----|-----|
| <b>Budgetary resources available for obligation:</b>        |     |     |     |
| 21.40 Unobligated balance available, start of year:         |     |     |     |
| Uninvested balance                                          | 34  | 41  | 41  |
| 22.00 New budget authority (gross)                          | 18  | 18  | 13  |
| 23.90 Total budgetary resources available for obligation    | 52  | 59  | 54  |
| 23.95 New obligations                                       | -10 | -18 | -13 |
| 24.40 Unobligated balance available, end of year:           |     |     |     |
| Uninvested balance                                          | 41  | 41  | 41  |
| <b>New budget authority (gross), detail:</b>                |     |     |     |
| 40.00 Appropriation                                         | 18  | 18  | 13  |
| <b>Change in unpaid obligations:</b>                        |     |     |     |
| 72.40 Unpaid obligations, start of year: Obligated balance: |     |     |     |
| Appropriation                                               | 13  | 8   | 11  |
| 73.10 New obligations                                       | 10  | 18  | 13  |
| 73.20 Total outlays (gross)                                 | -15 | -15 | -21 |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |     |     |     |
| Appropriation                                               | 8   | 11  | 3   |
| <b>Outlays (gross), detail:</b>                             |     |     |     |
| 86.90 Outlays from new current authority                    | 7   | 7   | 5   |
| 86.93 Outlays from current balances                         | 8   | 8   | 16  |
| 87.00 Total outlays (gross)                                 | 15  | 15  | 21  |
| <b>Net budget authority and outlays:</b>                    |     |     |     |
| 89.00 Budget authority                                      | 18  | 18  | 13  |
| 90.00 Outlays                                               | 15  | 15  | 21  |

**This account provides funding for major new construction projects and minor construction, alterations, and modifications to existing facilities required to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education.**

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS  
OPERATIONS AND MAINTENANCE**

*For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, \$10,875,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0302-0-1-503                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations                                     | 10          | 10        | 11        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross)                          | 10          | 10        | 11        |
| 23.95 New obligations                                       | -10         | -10       | -11       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 10          | 10        | 11        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               |             | 2         | 3         |
| 73.10 New obligations                                       | 10          | 10        | 11        |
| 73.20 Total outlays (gross)                                 | -8          | -9        | -9        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 2           | 3         | 3         |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 8           | 8         | 9         |
| 86.93 Outlays from current balances                         |             |           | 2         |
| 87.00 Total outlays (gross)                                 | 8           | 9         | 9         |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 10          | 10        | 11        |
| 90.00 Outlays                                               | 8           | 9         | 9         |

**This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Per-**

forming Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

| Object Classification (in millions of dollars) |                                                            |             |           |           |
|------------------------------------------------|------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 33-0302-0-1-503            |                                                            | 1995 actual | 1996 est. | 1997 est. |
| 11.1                                           | Personnel compensation: Full-time permanent .....          | 2           | 2         | 2         |
| 23.3                                           | Communications, utilities, and miscellaneous charges ..... | 2           | 2         | 3         |
| 25.2                                           | Other services .....                                       | 5           | 5         | 5         |
| 99.5                                           | Below reporting threshold .....                            | 1           | 1         | 1         |
| 99.9                                           | Total obligations .....                                    | 10          | 10        | 11        |

Personnel Summary

| Identification code 33-0302-0-1-503 |                                                                    | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|--------------------------------------------------------------------|-------------|-----------|-----------|
| 1001                                | Total compensable workyears: Full-time equivalent employment ..... | 55          | 55        | 52        |

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

CONSTRUCTION

For necessary expenses of capital repair and rehabilitation of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, \$9,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0303-0-1-503           |                                                          | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |                                                          |             |           |           |
| 00.01                                         | Construction .....                                       | 36          | 11        | 11        |
| 10.00                                         | Total obligations (object class 25.2) .....              | 36          | 11        | 11        |
| Budgetary resources available for obligation: |                                                          |             |           |           |
| 21.40                                         | Unobligated balance available, start of year:            |             |           |           |
|                                               | Uninvested balance .....                                 | 32          | 6         | 4         |
| 22.00                                         | New budget authority (gross) .....                       | 9           | 9         | 9         |
| 22.40                                         | Capital transfer to general fund .....                   | 1           |           |           |
| 23.90                                         | Total budgetary resources available for obligation ..... | 42          | 15        | 13        |
| 23.95                                         | New obligations .....                                    | -36         | -11       | -11       |
| 24.40                                         | Unobligated balance available, end of year:              |             |           |           |
|                                               | Uninvested balance .....                                 | 6           | 4         | 2         |
| New budget authority (gross), detail:         |                                                          |             |           |           |
| 40.00                                         | Appropriation .....                                      | 9           | 9         | 9         |
| Change in unpaid obligations:                 |                                                          |             |           |           |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance:    |             |           |           |
|                                               | Appropriation .....                                      |             | 29        | 27        |
| 73.10                                         | New obligations .....                                    | 36          | 11        | 11        |
| 73.20                                         | Total outlays (gross) .....                              | -7          | -13       | -20       |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:      |             |           |           |
|                                               | Appropriation .....                                      | 29          | 27        | 18        |
| Outlays (gross), detail:                      |                                                          |             |           |           |
| 86.90                                         | Outlays from new current authority .....                 | 2           | 3         | 3         |
| 86.93                                         | Outlays from current balances .....                      | 5           | 9         | 17        |
| 87.00                                         | Total outlays (gross) .....                              | 7           | 13        | 20        |
| Net budget authority and outlays:             |                                                          |             |           |           |
| 89.00                                         | Budget authority .....                                   | 9           | 9         | 9         |
| 90.00                                         | Outlays .....                                            | 7           | 13        | 20        |

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities.

NATIONAL GALLERY OF ART  
SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, \$53,899,000, of which not to exceed \$3,026,000 for the special exhibition program shall remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 33-0200-0-1-503           |                                                          | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |                                                          |             |           |           |
| 10.00                                         | Total obligations .....                                  | 53          | 53        | 54        |
| Budgetary resources available for obligation: |                                                          |             |           |           |
| 21.40                                         | Unobligated balance available, start of year:            |             |           |           |
|                                               | Uninvested balance .....                                 | 2           | 2         | 1         |
| 22.00                                         | New budget authority (gross) .....                       | 53          | 51        | 54        |
| 23.90                                         | Total budgetary resources available for obligation ..... | 55          | 53        | 55        |
| 23.95                                         | New obligations .....                                    | -53         | -53       | -54       |
| 24.40                                         | Unobligated balance available, end of year:              |             |           |           |
|                                               | Uninvested balance .....                                 | 2           | 1         | 1         |
| New budget authority (gross), detail:         |                                                          |             |           |           |
| 40.00                                         | Appropriation .....                                      | 53          | 51        | 54        |
| Change in unpaid obligations:                 |                                                          |             |           |           |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance:    |             |           |           |
|                                               | Appropriation .....                                      | 4           | 4         | 4         |
| 73.10                                         | New obligations .....                                    | 53          | 53        | 54        |
| 73.20                                         | Total outlays (gross) .....                              | -53         | -52       | -54       |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance:      |             |           |           |
|                                               | Appropriation .....                                      | 4           | 4         | 4         |
| Outlays (gross), detail:                      |                                                          |             |           |           |
| 86.90                                         | Outlays from new current authority .....                 | 47          | 46        | 49        |
| 86.93                                         | Outlays from current balances .....                      | 6           | 6         | 5         |
| 87.00                                         | Total outlays (gross) .....                              | 53          | 52        | 54        |
| Net budget authority and outlays:             |                                                          |             |           |           |
| 89.00                                         | Budget authority .....                                   | 53          | 51        | 54        |
| 90.00                                         | Outlays .....                                            | 53          | 52        | 54        |

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

| Identification code 33-0200-0-1-503 |                                      | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|--------------------------------------|-------------|-----------|-----------|
| Personnel compensation:             |                                      |             |           |           |
| 11.1                                | Full-time permanent .....            | 27          | 27        | 29        |
| 11.3                                | Other than full-time permanent ..... | 1           | 1         | 1         |
| 11.5                                | Other personnel compensation .....   | 2           | 2         | 2         |
| 11.9                                | Total personnel compensation .....   | 30          | 30        | 32        |

**General and special funds—Continued**

**NATIONAL GALLERY OF ART—Continued**

**SALARIES AND EXPENSES—Continued**

Object Classification (in millions of dollars)—Continued

| Identification code 33-0200-0-1-503                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| 12.1 Civilian personnel benefits .....                    | 6           | 7         | 7         |
| 22.0 Transportation of things .....                       | 1           | 1         | 1         |
| 23.3 Communications, utilities, and miscellaneous charges | 5           | 5         | 5         |
| 25.2 Other services .....                                 | 7           | 6         | 5         |
| 26.0 Supplies and materials .....                         | 2           | 2         | 2         |
| 31.0 Equipment .....                                      | 1           | 1         | 1         |
| 99.5 Below reporting threshold .....                      | 1           | 1         | 1         |
| 99.9 Total obligations .....                              | 53          | 53        | 54        |

**Personnel Summary**

| Identification code 33-0200-0-1-503                     | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                            |             |           |           |
| 1001 Full-time equivalent employment .....              | 792         | 792       | 792       |
| 1005 Full-time equivalent of overtime and holiday hours | 44          | 44        | 44        |

**NATIONAL GALLERY OF ART**

**REPAIR, RESTORATION AND RENOVATION OF BUILDINGS**

*For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, \$5,942,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

**Program and Financing (in millions of dollars)**

| Identification code 33-0201-0-1-503                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations .....                               | 5           | 9         | 6         |
| Budgetary resources available for obligation:               |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance .....                                    | 5           | 5         |           |
| 22.00 New budget authority (gross) .....                    | 6           | 4         | 6         |
| 23.90 Total budgetary resources available for obligation    | 11          | 9         | 6         |
| 23.95 New obligations .....                                 | -5          | -9        | -6        |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance .....                                    | 5           |           |           |
| New budget authority (gross), detail:                       |             |           |           |
| Current:                                                    |             |           |           |
| 40.00 Appropriation .....                                   | 4           | 4         | 6         |
| Permanent:                                                  |             |           |           |
| 68.00 Spending authority from offsetting collections: Off-  |             |           |           |
| setting collections (cash) .....                            | 2           |           |           |
| 70.00 Total new budget authority (gross) .....              | 6           | 4         | 6         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 2           | 5         | 6         |
| 73.10 New obligations .....                                 | 5           | 9         | 6         |
| 73.20 Total outlays (gross) .....                           | -1          | -9        | -7        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 5           | 6         | 5         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              |             |           | 1         |
| 86.93 Outlays from current balances .....                   | 1           | 8         | 5         |
| 86.97 Outlays from new permanent authority .....            | 2           |           |           |
| 87.00 Total outlays (gross) .....                           | 1           | 9         | 7         |

Offsets:

|                                                           |    |   |   |
|-----------------------------------------------------------|----|---|---|
| Against gross budget authority and outlays:               |    |   |   |
| 88.00 Offsetting collections (cash) from: Federal sources | -2 |   |   |
| Net budget authority and outlays:                         |    |   |   |
| 89.00 Budget authority .....                              | 4  | 4 | 6 |
| 90.00 Outlays .....                                       | 1  | 9 | 7 |

**This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and study. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.**

Object Classification (in millions of dollars)

| Identification code 33-0201-0-1-503  | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------|-------------|-----------|-----------|
| 25.2 Other services .....            | 1           | 1         | 1         |
| 32.0 Land and structures .....       | 3           | 7         | 4         |
| 99.5 Below reporting threshold ..... | 1           | 1         | 1         |
| 99.9 Total obligations .....         | 5           | 9         | 6         |

**Personnel Summary**

| Identification code 33-0201-0-1-503                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 3           | 3         | 3         |

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS**

**SALARIES AND EXPENSES**

*For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, \$5,840,000.*

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

**Program and Financing (in millions of dollars)**

| Identification code 33-0400-0-1-503                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations .....                               | 9           | 6         | 6         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 9           | 6         | 6         |
| 23.95 New obligations .....                                 | -9          | -6        | -6        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 9           | 6         | 6         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 2           | 5         | 3         |
| 73.10 New obligations .....                                 | 9           | 6         | 6         |
| 73.20 Total outlays (gross) .....                           | -6          | -8        | -6        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 5           | 3         | 3         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 5           | 4         | 4         |
| 86.93 Outlays from current balances .....                   | 1           | 4         | 2         |
| 87.00 Total outlays (gross) .....                           | 6           | 8         | 6         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 9           | 6         | 6         |
| 90.00 Outlays .....                                         | 6           | 8         | 6         |

**The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident**

body of fellowship awardees, through conferences, publication and dialog.

| Object Classification (in millions of dollars) |                                                                |             |           |           |
|------------------------------------------------|----------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 33-0400-0-1-503            |                                                                | 1995 actual | 1996 est. | 1997 est. |
| 11.1                                           | Personnel compensation: Full-time permanent .....              | 2           | 2         | 2         |
| 12.1                                           | Civilian personnel benefits .....                              | 1           | 1         | 1         |
| 25.2                                           | Other services .....                                           | 2           | 1         | 1         |
| 25.3                                           | Purchases of goods and services from Government accounts ..... | 2           |           |           |
| 41.0                                           | Grants, subsidies, and contributions .....                     | 2           | 2         | 2         |
| 99.9                                           | Total obligations .....                                        | 9           | 6         | 6         |

Personnel Summary

| Identification code 33-0400-0-1-503 |                                                                    | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|--------------------------------------------------------------------|-------------|-----------|-----------|
| 1001                                | Total compensable workyears: Full-time equivalent employment ..... | 43          | 41        | 40        |

STATE JUSTICE INSTITUTE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by The State Justice Institute Authorization Act of 1992 (Public Law 102-572) \$5,000,000, to remain available until expended: Provided, That not to exceed \$2,500 shall be available for official reception and representation expenses.

Note.—A regular 1996 appropriation for this account had not been enacted at this time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 48-0052-0-1-752           |                                                                           | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:              |                                                                           |             |           |           |
| 00.01                                         | General administration .....                                              | 2           | 2         | 2         |
| 00.02                                         | Grants .....                                                              | 13          | 3         | 3         |
| 10.00                                         | Total obligations .....                                                   | 14          | 5         | 5         |
| Budgetary resources available for obligation: |                                                                           |             |           |           |
| 21.40                                         | Unobligated balance available, start of year: Uninvested balance .....    | 5           | 6         | 6         |
| 22.00                                         | New budget authority (gross) .....                                        | 14          | 5         | 5         |
| 23.90                                         | Total budgetary resources available for obligation                        | 19          | -13       | -13       |
| 23.95                                         | New obligations .....                                                     | -14         | -5        | -5        |
| 24.40                                         | Unobligated balance available, end of year: Uninvested balance .....      | 6           | 6         | 6         |
| New budget authority (gross), detail:         |                                                                           |             |           |           |
| 40.00                                         | Appropriation .....                                                       | 14          | 5         | 5         |
| Change in unpaid obligations:                 |                                                                           |             |           |           |
| 72.40                                         | Unpaid obligations, start of year: Obligated balance: Appropriation ..... | 13          | 13        | 13        |
| 73.10                                         | New obligations .....                                                     | 14          | 5         | 5         |
| 73.20                                         | Total outlays (gross) .....                                               | -14         | -5        | -5        |
| 74.40                                         | Unpaid obligations, end of year: Obligated balance: Appropriation .....   | 13          | 13        | 13        |
| Outlays (gross), detail:                      |                                                                           |             |           |           |
| 86.90                                         | Outlays from new current authority .....                                  | 3           | 1         | 1         |
| 86.93                                         | Outlays from current balances .....                                       | 10          | 4         | 2         |
| 87.00                                         | Total outlays (gross) .....                                               | 14          | 5         | 5         |
| Net budget authority and outlays:             |                                                                           |             |           |           |
| 89.00                                         | Budget authority .....                                                    | 14          | 5         | 5         |
| 90.00                                         | Outlays .....                                                             | 13          | 5         | 5         |

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants

and undertake other activities designed to improve the administration of justice in the United States. Appropriations in 1997 are intended to provide for continuation of Institute operations at a reduced level. In addition to the \$5 million requested for State Justice Institute, the President's Budget requests \$28 million in the Office of Justice Programs' (Department of Justice) Violent Crime Reduction Trust Fund for assistance to State Courts.

Object Classification (in millions of dollars)

| Identification code 48-0052-0-1-752 |                                                                | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|----------------------------------------------------------------|-------------|-----------|-----------|
| 41.0                                | Direct obligations: Grants, subsidies, and contributions ..... | 13          | 5         | 5         |
| 99.5                                | Below reporting threshold .....                                | 1           |           |           |
| 99.9                                | Total obligations .....                                        | 14          | 5         | 5         |

TENNESSEE VALLEY AUTHORITY

Federal Funds

Public enterprise funds:

TENNESSEE VALLEY AUTHORITY FUND

For the purpose of carrying out the provisions of the Tennessee Valley Authority Act of 1933, as amended (16 U.S.C. ch. 12A), including purchase, hire, maintenance, and operation of aircraft, and purchase and hire of passenger motor vehicles, [\$109,169,000] \$120,000,000, to remain available until expended. (Energy and Water Development Appropriations Act, 1996)

Program and Financing (in millions of dollars)

| Identification code 64-4110-0-3-999             |                                                                        | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------|------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                |                                                                        |             |           |           |
| Operating expenses:                             |                                                                        |             |           |           |
| 00.01                                           | Water and Land Management .....                                        | 76          | 66        | 75        |
| 00.04                                           | Economic development .....                                             | 23          | 22        | 16        |
| 00.05                                           | Environmental Research .....                                           | 27          | 19        | 20        |
| 00.06                                           | Power Program: Power supply and use .....                              | 4,568       | 4,856     | 4,810     |
| 00.07                                           | General services .....                                                 | 36          | 12        | 13        |
| 00.91                                           | Total operating expenses .....                                         | 4,730       | 4,975     | 4,934     |
| Capital investment:                             |                                                                        |             |           |           |
| 01.01                                           | Water and Land Management .....                                        | 21          | 18        | 15        |
| 01.04                                           | Chickamauga Lock .....                                                 |             | 1         | 4         |
| 01.06                                           | Power Program: Power supply and use .....                              | 1,972       | 1,303     | 1,003     |
| 01.20                                           | Defeasance Trust .....                                                 | 281         | 229       | 50        |
| 01.91                                           | Total capital investment .....                                         | 2,274       | 1,551     | 1,072     |
| 10.00                                           | Total obligations .....                                                | 7,004       | 6,526     | 6,006     |
| Budgetary resources available for obligation:   |                                                                        |             |           |           |
| 21.90                                           | Unobligated balance available, start of year: Uninvested balance ..... | 19          | 21        | 13        |
| 22.00                                           | New budget authority (gross) .....                                     | 7,006       | 6,518     | 6,003     |
| 23.90                                           | Total budgetary resources available for obligation                     | 7,025       | 6,539     | 6,016     |
| 23.95                                           | New obligations .....                                                  | -7,004      | -6,526    | -6,006    |
| 24.90                                           | Unobligated balance available, end of year: Uninvested balance .....   | 21          | 13        | 10        |
| New budget authority (gross), detail:           |                                                                        |             |           |           |
| Current:                                        |                                                                        |             |           |           |
| 40.00                                           | Appropriation .....                                                    | 138         | 109       | 120       |
| Permanent:                                      |                                                                        |             |           |           |
| 67.15                                           | Authority to borrow (indefinite) (Public Law 96-97) .....              | 1,015       | 605       | 114       |
| Spending authority from offsetting collections: |                                                                        |             |           |           |
| 68.00                                           | Offsetting collections (cash) .....                                    | 5,873       | 5,868     | 5,830     |
| 68.27                                           | Capital transfer to general fund .....                                 | -20         | -63       | -61       |
| 68.90                                           | Spending authority from offsetting collections (total) .....           | 5,853       | 5,805     | 5,769     |
| 70.00                                           | Total new budget authority (gross) .....                               | 7,006       | 6,518     | 6,003     |

**Public enterprise funds—Continued**

**TENNESSEE VALLEY AUTHORITY FUND—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 64-4110-0-3-999                 | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Change in unpaid obligations:                       |             |           |           |
| Unpaid obligations, start of year:                  |             |           |           |
| Obligated balance:                                  |             |           |           |
| 72.47 Authority to borrow .....                     | 3,808       | 6,711     | 6,759     |
| 72.90 Uninvested balance .....                      | 314         | 89        | 100       |
| 72.91 U.S. Securities: Par value .....              | 3,954       | 1,242     | 1,220     |
| 72.99 Total unpaid obligations, start of year ..... | 8,076       | 8,042     | 8,079     |
| 73.10 New obligations .....                         | 7,004       | 6,526     | 6,006     |
| 73.20 Total outlays (gross) .....                   | -7,038      | -6,489    | -5,843    |
| Unpaid obligations, end of year:                    |             |           |           |
| Obligated balance:                                  |             |           |           |
| 74.47 Authority to borrow .....                     | 6,711       | 6,759     | 8,139     |
| 74.90 Fund balance .....                            | 89          | 100       | 103       |
| 74.91 U.S. Securities: Par value .....              | 1,242       | 1,220     | .....     |
| 74.99 Total unpaid obligations, end of year .....   | 8,042       | 8,079     | 8,242     |
| Outlays (gross), detail:                            |             |           |           |
| 86.90 Outlays from new current authority .....      | 35          | 27        | 30        |
| 86.93 Outlays from current balances .....           | 175         | 83        | 100       |
| 86.97 Outlays from new permanent authority .....    | 5,816       | 5,788     | 5,754     |
| 86.98 Outlays from permanent balances .....         | 1,012       | 593       | -42       |
| 87.00 Total outlays (gross) .....                   | 7,038       | 6,489     | 5,843     |
| Offsets:                                            |             |           |           |
| Against gross budget authority and outlays:         |             |           |           |
| Offsetting collections (cash) from:                 |             |           |           |
| 88.00 Federal sources .....                         | -179        | -180      | -185      |
| 88.40 Non-Federal sources .....                     | -5,545      | -5,570    | -5,573    |
| 88.90 Total, offsetting collections (cash) .....    | -5,724      | -5,750    | -5,758    |
| Net budget authority and outlays:                   |             |           |           |
| 89.00 Budget authority .....                        | 1,282       | 768       | 245       |
| 90.00 Outlays .....                                 | 1,313       | 739       | 85        |

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$30 billion.

Status of Direct Loans (in millions of dollars)

| Identification code 64-4110-0-3-999                                    | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |           |           |
| 1111 Limitation on direct loans .....                                  | .....       | .....     | .....     |
| 1131 Direct loan obligations not subject to limitation .....           | 45          | 55        | 106       |
| 1150 Total direct loan obligations .....                               | 45          | 55        | 106       |
| Cumulative balance of direct loans outstanding:                        |             |           |           |
| 1210 Outstanding, start of year .....                                  | 156         | 150       | 152       |
| 1231 Disbursements: Direct loan disbursements .....                    | 45          | 55        | 106       |
| 1251 Repayments: Repayments and prepayments .....                      | -50         | -51       | -74       |
| 1263 Write-offs for default: Direct loans .....                        | -1          | -2        | -1        |
| 1290 Outstanding, end of year .....                                    | 150         | 152       | 183       |

Status of Guaranteed Loans (in millions of dollars)

| Identification code 64-4110-0-3-999                 | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of guaranteed loans outstanding: |             |           |           |
| 2231 Disbursements of new guaranteed loans .....    | 1           | .....     | 1         |
| 2251 Repayments and prepayments .....               | -1          | .....     | -1        |

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. Its program in 1997 will be financed from three sources: (1) appropriations by the Congress; (2) proceeds available from current power operations and borrowings against future power revenues; and (3) proceeds available from nonpower activities.

The following table provides detailed information on programs financed by power proceeds and borrowings and programs financed by appropriations and nonpower proceeds.

|                                                                      | POWER PROGRAM                 |           |           |
|----------------------------------------------------------------------|-------------------------------|-----------|-----------|
|                                                                      | Power proceeds and borrowings |           |           |
|                                                                      | 1995 actual                   | 1996 est. | 1997 est. |
| Program by activities:                                               |                               |           |           |
| Operating expenses:                                                  |                               |           |           |
| Power program: Power supply and use (total operating expenses) ..... | 4,568                         | 4,856     | 4,810     |
| Capital investment:                                                  |                               |           |           |
| Power program: Power supply and use (total capital investment) ..... | 1,972                         | 1,303     | 1,003     |
| Total obligations .....                                              | 6,540                         | 6,159     | 5,813     |
| Budget authority (gross) .....                                       | 6,540                         | 6,159     | 5,813     |
| Budget Authority:                                                    |                               |           |           |
| Permanent:                                                           |                               |           |           |
| Authority to borrow .....                                            | 1,015                         | 605       | 114       |
| Spending authority from offsetting collections (new) .....           | 5,544                         | 5,617     | 5,760     |
| Capital transfer to general fund .....                               | -20                           | -63       | -61       |
| Spending authority from offsetting collections (total) .....         | 5,524                         | 5,554     | 5,699     |
| Relation of obligations to outlays:                                  |                               |           |           |
| Total obligations .....                                              | 6,540                         | 6,159     | 5,813     |
| Obligated balance, start of year:                                    |                               |           |           |
| Authority to borrow .....                                            | 3,996                         | 4,125     | 4,148     |
| Obligated balance, end of year .....                                 | -4,035                        | -4,148    | -4,317    |
| Outlays (gross) .....                                                | 6,500                         | 6,136     | 5,644     |
| Adjustments to budget authority and outlays                          |                               |           |           |
| Deductions for offsetting collections:                               |                               |           |           |
| Federal funds .....                                                  | -179                          | -180      | -184      |
| Non-federal sources .....                                            | -5,345                        | -5,374    | -5,515    |
| Total, offsetting collections .....                                  | -5,524                        | -5,554    | -5,699    |
| Budget Authority (net) .....                                         | 1,016                         | 605       | 114       |
| Outlays (net) .....                                                  | 976                           | 582       | -55       |

*TVA's program paid for by appropriations.*—TVA's legislated mandate is to provide for "the unity of land and water and men" through the integrated, sustainable development of the Tennessee River basin. As a federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 125 counties in seven states.

Federal appropriations do not support TVA's power business and are prohibited by law from application to the power program which operates as an accountable business with the rate payer income from 7.6 million customers.

Appropriations provide for essential public services to maintain and operate public resources—navigable channels, flood control, recreation, technology development and non-regulatory, community-based programs that protect the water quality of the Tennessee River system. This dynamic infrastructure supports appropriated investments in small to medium-sized business start-up and retention in the largely rural communities of the Tennessee Valley.

*Water and Land Management.*—Funds TVA's statutory obligation to operate 54 dams and reservoirs to regulate streamflow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of 14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and

manage 630,000 hectares (1.7 million acres) of land; provide services and education to watershed communities; operate public recreation areas at 36 dam reservations, 160 camping and day-use areas, 90 stream access sites; meet federal regulatory law requirements; partially fund Land Between The Lakes as the hub of a tourism and recreation industry that annually generates \$400 million in economic activity in nine contiguous counties.

**Economic Development.**—Partially funds initiatives to increase the production of goods and services and generate high-value, sustainable jobs in the seven states of the Tennessee Valley. This program is scheduled to phase out in 1999, with activities now funded here continuing, as appropriate, with funding from public/private partnerships.

**Environmental Research Center.**—Partially funds the development and introduction of technologies that provide new and economical ways for industry to prevent and correct environmental problems that are the predominant barriers to business growth. TVA continues in its efforts to transition funding requirements from appropriations to leveraging contracts and support from outside sources and private partners. Federal funding at ERC will be phased out over four years (1996–1999).

**Chickamauga Lock and Dam.**—Funds (1) the repair of concrete growth impacting water barrier integrity and (2) replacement of the navigation lock which has irreparably deteriorated and is inadequate for existing and projected river traffic.

**TVA's Power Program.**—TVA is the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at \$63,000,000 in 1997. Power generating facilities are financed from power proceeds and borrowings.

APPROPRIATIONS AND NONPOWER PROCEEDS

| Program by activities:                                           | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------|-------------|-----------|-----------|
| Operating expenses:                                              |             |           |           |
| 1. Water and land management .....                               | 76          | 66        | 75        |
| 2. Economic development .....                                    | 23          | 22        | 16        |
| 3. Environmental research .....                                  | 28          | 19        | 20        |
| 4. General services .....                                        | 36          | 12        | 13        |
| Total operating expenses .....                                   | 163         | 119       | 124       |
| Capital investment:                                              |             |           |           |
| 1. Water and land management .....                               | 21          | 18        | 15        |
| 2. Chickamauga .....                                             |             | 1         | 4         |
| Total capital investment .....                                   | 21          | 19        | 19        |
| Total obligations .....                                          | 184         | 138       | 143       |
| Unobligated balance available, start of year, Fund balance ..... | 19          | 21        | 13        |
| Unobligated balance available, end of year: Fund balance .....   | 21          | 13        | 10        |
| Budget authority (gross) .....                                   | 186         | 130       | 140       |
| Budget authority:                                                |             |           |           |
| Current: appropriation .....                                     | 138         | 109       | 120       |
| Permanent:                                                       |             |           |           |
| Spending authority from offsetting collections (new) .....       | 48          | 21        | 20        |
| Capital transfer to general fund .....                           |             |           | -1        |
| Spending authority for offsetting collections (total) .....      | 48          | 21        | 19        |
| Relation of obligations to outlays:                              |             |           |           |
| Total obligations .....                                          | 184         | 138       | 143       |
| Obligated balance, start of year: Fund balance .....             | 126         | 53        | 60        |
| Obligated balance, end of year: Fund balance .....               | -53         | -60       | -53       |
| Outlays (gross) .....                                            | 257         | 131       | 150       |
| Adjustments to budget authority and outlays:                     |             |           |           |
| Deductions for offsetting collections:                           |             |           |           |
| Federal funds .....                                              |             | -1        | -1        |
| Non-federal sources .....                                        | -48         | -20       | -19       |
| Total, offsetting collections .....                              | -48         | -21       | -20       |
| Budget authority (net) .....                                     | 138         | 109       | 120       |
| Outlays (net) .....                                              | 209         | 110       | 130       |

DEFEASANCE TRUST

| Program by activities:                                       | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------|-------------|-----------|-----------|
| Capital investment .....                                     | 281         | 229       | 50        |
| Total obligations .....                                      | 281         | 229       | 50        |
| Budget authority (gross) .....                               | 281         | 229       | 50        |
| Relation of obligations to outlays:                          |             |           |           |
| Total obligations .....                                      | 281         | 229       | 50        |
| Outlays (gross) .....                                        | 281         | 229       | 50        |
| Adjustments to budget authority and outlays:                 |             |           |           |
| Budget authority (net) .....                                 | 128         | 55        | 11        |
| Outlays (net) .....                                          | 128         | 55        | 11        |
| Budget authority:                                            |             |           |           |
| Permanent:                                                   |             |           |           |
| Spending authority from offsetting collections (total) ..... | 281         | 229       | 50        |

**General services.**—Operating costs for general service activities include reimbursable services furnished at the request and expense of other agencies.

**Financing.**—Amounts estimated to become available in 1997 are to be derived from (1) the requested appropriation of \$120,000,000; (2) nonpower revenues and receipts of \$19,348,000; and (3) power revenues and receipts of \$5,699,000,000. A summary of the application of appropriations follows:

APPLICATION OF APPROPRIATIONS

[In thousands of dollars]

| Operations:                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------|-------------|-----------|-----------|
| 1. Water and Land Management .....        | 73,232      | 67,368    | 68,000    |
| 2. Economic development .....             | 22,502      | 16,000    | 16,000    |
| 3. Environmental research .....           | 27,399      | 17,000    | 17,000    |
| Total operations .....                    | 123,133     | 100,368   | 101,000   |
| Capital investment:                       |             |           |           |
| 1. Water and Land Management .....        | 15,495      | 18,301    | 15,000    |
| 2. Chickamauga Lock .....                 | 245         | 500       | 4,000     |
| Total capital investment .....            | 15,740      | 18,801    | 19,000    |
| Total appropriations .....                | 137,873     | 109,169   | 120,000   |
| Unobligated balance brought forward ..... | 274         | 1,818     |           |
| Unobligated balance carried forward ..... | -1,818      |           |           |
| Obligations, appropriated funds .....     | 136,326     | 110,987   | 120,000   |

**Operating results and financial conditions.**—Only the power program and fertilizer introduction are intended to be self-supporting; the net expense of nonpower programs is covered largely by appropriation funding. Payments to the Treasury from power proceeds in 1997 are estimated at \$61,000,000—\$41,000,000 as a dividend (return on the appropriation investment in the power program) and \$20,000,000 as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to increase by \$6,000,000 during 1997.

Total assets are estimated to increase by \$314,000,000 during 1997. The estimate of liabilities at September 30, 1997, is \$778,000,000 less than the estimate at September 30, 1996. Total Government equity at September 30, 1997, is estimated to be \$34,000,000 greater than that at September 1996. This change includes the requested appropriation for 1997 and the net income from power operations, less payments to the Treasury and the net expense of nonpower programs.

Statement of Operations (in millions of dollars)

| Identification code 64-4110-0-3-999 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 5,524       | 5,375       | 5,554     | 5,699     |
| 0102 Expense .....                  | -5,309      | -5,365      | -5,521    | -5,636    |
| 0109 Net income or loss (-) .....   | 215         | 10          | 33        | 63        |

**Public enterprise funds—Continued**

**TENNESSEE VALLEY AUTHORITY FUND—Continued**

Balance Sheet (in millions of dollars)

| Identification code 64-4110-0-3-999                                                                                 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                                                                                      |             |             |           |           |
| Federal assets:                                                                                                     |             |             |           |           |
| 1101 Fund balances with Treasury .....                                                                              | 152         | 131         | 133       | 140       |
| Investments in US securities:                                                                                       |             |             |           |           |
| 1106 Receivables, net .....                                                                                         | 59          | 26          | 26        | 28        |
| Non-Federal assets:                                                                                                 |             |             |           |           |
| 1201 Investments in non-Federal securities, net .....                                                               | 150         | 260         | 406       | 439       |
| 1206 Receivables, net .....                                                                                         | 740         | 772         | 780       | 821       |
| 1207 Advances and prepayments .....                                                                                 | 2           | 6           | 6         | 6         |
| Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |           |           |
| 1601 Direct loans, gross .....                                                                                      | 279         | 293         | 296       | 308       |
| 1603 Allowance for estimated uncollectible loans and interest (-) .....                                             | -5          | -5          | -5        | -6        |
| 1604 Direct loans and interest receivable, net .....                                                                | 274         | 288         | 291       | 302       |
| 1699 Value of assets related to direct loans .....                                                                  | 274         | 288         | 291       | 302       |
| Other Federal assets:                                                                                               |             |             |           |           |
| 1801 Cash and other monetary assets .....                                                                           | 6,090       | 3,530       | 3,569     | 3,713     |
| 1802 Inventories and related properties .....                                                                       | 347         | 355         | 359       | 374       |
| 1803 Property, plant and equipment, net .....                                                                       | 28,976      | 30,137      | 30,679    | 30,740    |
| 1999 Total assets .....                                                                                             | 36,790      | 35,505      | 36,249    | 36,563    |
| <b>LIABILITIES:</b>                                                                                                 |             |             |           |           |
| Federal liabilities:                                                                                                |             |             |           |           |
| 2101 Accounts payable .....                                                                                         | 51          | -6          | 30        | 30        |
| 2102 Interest payable .....                                                                                         | 76          | 69          | 69        | 69        |
| 2104 Resources payable to Treasury .....                                                                            | 7           | 4,559       | 4,559     | 4,559     |
| Non-Federal liabilities:                                                                                            |             |             |           |           |
| 2201 Accounts payable .....                                                                                         | 719         | 728         | 736       | 774       |
| 2202 Interest payable .....                                                                                         | 347         | 386         | 396       | 396       |
| 2203 Debt .....                                                                                                     | 25,865      | 23,750      | 24,396    | 24,396    |
| 2207 Other .....                                                                                                    | 4,636       | 1,025       | 1,103     | 1,372     |
| 2999 Total liabilities .....                                                                                        | 31,701      | 30,511      | 31,289    | 31,596    |
| <b>NET POSITION:</b>                                                                                                |             |             |           |           |
| 3200 Invested capital .....                                                                                         | 648         | 628         | 608       | 588       |
| 3300 Cumulative results of operations .....                                                                         | 4,441       | 4,366       | 4,352     | 4,379     |
| 3999 Total net position .....                                                                                       | 5,089       | 4,994       | 4,960     | 4,967     |
| 4999 Total liabilities and net position .....                                                                       | 36,790      | 35,505      | 36,249    | 36,563    |

Note.—Not included in these figures are the following undelivered orders (in millions of dollars):

|                    | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|--------------------|-------------|-------------|-----------|-----------|
| Coal .....         | 2,253       | 2,175       | 2,000     | 2,000     |
| Nuclear fuel ..... | -3          | -10         |           |           |
| Total .....        | 2,250       | 2,165       | 2,000     | 2,000     |

Object Classification (in millions of dollars)

| Identification code 64-4110-0-3-999               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                        |             |           |           |
| Personnel compensation:                           |             |           |           |
| 11.1 Full-time permanent .....                    | 60          | 51        | 53        |
| 11.3 Other than full-time permanent .....         | 2           |           |           |
| 11.5 Other personnel compensation .....           | 10          |           |           |
| 11.9 Total personnel compensation .....           | 72          | 51        | 53        |
| 12.1 Civilian personnel benefits .....            | 15          | 14        | 15        |
| 21.0 Travel and transportation of persons .....   | 1           | 4         | 4         |
| 23.2 Rental payments to others .....              | 15          | 15        | 15        |
| 25.1 Advisory and assistance services .....       | 20          | 7         | 9         |
| 25.2 Other services .....                         | 37          | 33        | 33        |
| 25.7 Operation and maintenance of equipment ..... | 1           | 1         | 1         |
| 26.0 Supplies and materials .....                 | 20          | 9         | 9         |
| 31.0 Equipment .....                              | 3           | 2         | 2         |
| 33.0 Investments and loans .....                  | 1           |           |           |
| 99.0 Subtotal, direct obligations .....           | 184         | 136       | 141       |
| Reimbursable obligations:                         |             |           |           |
| Personnel compensation:                           |             |           |           |
| 11.1 Full-time permanent .....                    | 765         | 768       | 775       |

|                                                   |       |       |       |
|---------------------------------------------------|-------|-------|-------|
| 11.3 Other than full-time permanent .....         | 18    | 15    | 16    |
| 11.5 Other personnel compensation .....           | 128   | 130   | 130   |
| 11.9 Total personnel compensation .....           | 911   | 913   | 921   |
| 12.1 Civilian personnel benefits .....            | 347   | 299   | 311   |
| 21.0 Travel and transportation of persons .....   | 22    | 25    | 18    |
| 22.0 Transportation of things .....               | 170   | 158   | 124   |
| 23.2 Rental payments to others .....              | 71    | 95    | 71    |
| 24.0 Printing and reproduction .....              | 2     | 2     | 2     |
| 25.1 Advisory and assistance services .....       | 908   | 344   | 271   |
| 25.2 Other services .....                         | 251   | 447   | 341   |
| 25.7 Operation and maintenance of equipment ..... |       | 9     | 9     |
| 26.0 Supplies and materials .....                 | 1,561 | 1,439 | 1,128 |
| 31.0 Equipment .....                              | 122   | 136   | 106   |
| 32.0 Land and structures .....                    | 2     | 3     | 3     |
| 33.0 Investments and loans .....                  | 283   | 245   | 192   |
| 41.0 Grants, subsidies, and contributions .....   | 252   | 254   | 263   |
| 42.0 Insurance claims and indemnities .....       | -2    | 1     | 1     |
| 43.0 Interest and dividends .....                 | 1,920 | 2,020 | 2,104 |
| 99.0 Subtotal, reimbursable obligations .....     | 6,820 | 6,390 | 5,865 |
| 99.9 Total obligations .....                      | 7,004 | 6,526 | 6,006 |

Personnel Summary

| Identification code 64-4110-0-3-999                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                |             |           |           |
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 1,305       | 1,063     | 1,120     |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 40          |           |           |
| <b>Reimbursable:</b>                                          |             |           |           |
| Total compensable workyears:                                  |             |           |           |
| 2001 Full-time equivalent employment .....                    | 15,311      | 15,369    | 15,312    |
| 2005 Full-time equivalent of overtime and holiday hours ..... | 1,556       | 1,280     | 1,280     |

**UNITED MINE WORKERS OF AMERICA  
BENEFIT FUNDS**

*Trust Funds*

**UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND**

Unavailable Collections (in millions of dollars)

| Identification code 95-8295-0-7-551                                   | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Balance, start of year:</b>                                        |             |           |           |
| 01.99 Balance, start of year .....                                    |             |           |           |
| <b>Receipts:</b>                                                      |             |           |           |
| 02.01 Premiums, combined benefit fund & 1992 pension plan, UMWA ..... | 266         | 281       | 251       |
| 02.02 Transfers from the UMWA pension plan .....                      | 70          |           |           |
| 02.03 Transfers from abandoned mine reclamation fund .....            |             | 47        | 70        |
| 02.99 Total receipts .....                                            | 336         | 328       | 321       |
| <b>Appropriation:</b>                                                 |             |           |           |
| 05.01 United mine workers of America 1992 benefit plan .....          | -10         | -11       | -11       |
| 05.02 United mine workers of America combined benefit fund .....      | -326        | -317      | -310      |
| 05.99 Subtotal appropriation .....                                    | -336        | -328      | -321      |
| 07.99 Total balance, end of year .....                                |             |           |           |

Program and Financing (in millions of dollars)

| Identification code 95-8295-0-7-551                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations (object class 42.0) .....    | 326         | 317       | 310       |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross) .....             | 326         | 317       | 310       |
| 23.95 New obligations .....                          | -326        | -317      | -310      |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 60.27 Appropriation (trust fund, indefinite) .....   | 326         | 317       | 310       |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations .....                          | 326         | 317       | 310       |
| 73.20 Total outlays (gross) .....                    | -326        | -317      | -310      |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.97 Outlays from new permanent authority .....     | 326         | 317       | 310       |

|                                   |                             |     |     |     |
|-----------------------------------|-----------------------------|-----|-----|-----|
| 87.00                             | Total outlays (gross) ..... | 326 | 317 | 310 |
| Net budget authority and outlays: |                             |     |     |     |
| 89.00                             | Budget authority .....      | 326 | 317 | 310 |
| 90.00                             | Outlays .....               | 326 | 317 | 310 |

The Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; transfers from an overfunded United Mine Workers pension fund; and, commencing in 1996, transfers from the Abandoned Mine Land Reclamation Fund.

#### UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

##### Program and Financing (in millions of dollars)

|                                               |                                              |             |           |           |
|-----------------------------------------------|----------------------------------------------|-------------|-----------|-----------|
| Identification code 95-8260-0-7-551           |                                              | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:              |                                              |             |           |           |
| 10.00                                         | Total obligations (object class 42.0) .....  | 10          | 11        | 11        |
| Budgetary resources available for obligation: |                                              |             |           |           |
| 22.00                                         | New budget authority (gross) .....           | 10          | 11        | 11        |
| 23.95                                         | New obligations .....                        | -10         | -11       | -11       |
| New budget authority (gross), detail:         |                                              |             |           |           |
| 60.27                                         | Appropriation (trust fund, indefinite) ..... | 10          | 11        | 11        |
| Change in unpaid obligations:                 |                                              |             |           |           |
| 73.10                                         | New obligations .....                        | 10          | 11        | 11        |
| 73.20                                         | Total outlays (gross) .....                  | -10         | -11       | -11       |
| Outlays (gross), detail:                      |                                              |             |           |           |
| 86.97                                         | Outlays from new permanent authority .....   | 10          | 11        | 11        |
| 87.00                                         | Total outlays (gross) .....                  | 10          | 11        | 11        |
| Net budget authority and outlays:             |                                              |             |           |           |
| 89.10                                         | Budget authority .....                       | 10          | 11        | 11        |
| 90.00                                         | Outlays .....                                | 10          | 11        | 11        |

The Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of miners retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signatories to the 1988 labor agreement with the United Mine Workers of America.

## UNITED STATES ENRICHMENT CORPORATION

### Federal Funds

#### Public enterprise fund:

##### UNITED STATES ENRICHMENT CORPORATION FUND

##### Program and Financing (in millions of dollars)

|                                     |                          |             |           |           |
|-------------------------------------|--------------------------|-------------|-----------|-----------|
| Identification code 95-4054-0-3-271 |                          | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity:    |                          |             |           |           |
| 00.01                               | Operating Expenses ..... | 1,515       | 1,602     | 1,360     |
| 00.02                               | Capital Expenses .....   | 21          | 22        | 32        |
| 10.00                               | Total obligations .....  | 1,536       | 1,624     | 1,392     |

|                                               |                                                                  |        |        |        |
|-----------------------------------------------|------------------------------------------------------------------|--------|--------|--------|
| Budgetary resources available for obligation: |                                                                  |        |        |        |
| 21.90                                         | Unobligated balance available, start of year: Fund balance ..... | 340    | 412    | 251    |
| 22.00                                         | New budget authority (gross) .....                               | 1,629  | 1,543  | 1,549  |
| 22.20                                         | Unobligated balance transferred .....                            | 34     | 40     | .....  |
| 22.40                                         | Capital transfer to general fund .....                           | -55    | -120   | -120   |
| 23.90                                         | Total budgetary resources available for obligation .....         | 1,948  | 1,875  | 1,680  |
| 23.95                                         | New obligations .....                                            | -1,536 | -1,624 | -1,392 |
| 24.90                                         | Unobligated balance available, end of year: Fund balance .....   | 412    | 251    | 288    |
| New budget authority (gross), detail:         |                                                                  |        |        |        |
| 68.00                                         | Spending authority from offsetting collections (gross):          |        |        |        |
|                                               | Offsetting collections (cash) .....                              | 1,629  | 1,543  | 1,549  |
| Change in unpaid obligations:                 |                                                                  |        |        |        |
| 72.90                                         | Unpaid obligations, start of year: Obligated balance:            |        |        |        |
|                                               | Fund balance .....                                               | 488    | 750    | 977    |
| 73.10                                         | New obligations .....                                            | 1,536  | 1,624  | 1,392  |
| 73.20                                         | Total outlays (gross) .....                                      | -1,274 | -1,397 | -1,345 |
| 74.90                                         | Unpaid obligations, end of year: Obligated balance:              |        |        |        |
|                                               | Fund balance: Uninvested balance .....                           | 750    | 977    | 1,024  |
| Outlays (gross), detail:                      |                                                                  |        |        |        |
| 86.97                                         | Outlays from new permanent authority .....                       | 1,274  | 1,397  | 1,345  |
| 87.00                                         | Total outlays (gross) .....                                      | 1,274  | 1,397  | 1,345  |
| Offsets:                                      |                                                                  |        |        |        |
| Against gross budget authority and outlays:   |                                                                  |        |        |        |
| 88.40                                         | Offsetting collections (cash) from: Non-Federal sources .....    | -1,629 | -1,543 | -1,549 |
| Net budget authority and outlays:             |                                                                  |        |        |        |
| 89.00                                         | Budget authority .....                                           |        |        |        |
| 90.00                                         | Outlays .....                                                    | -355   | -146   | -204   |

The United States Enrichment Corporation (USEC or the Corporation) was created by the Energy Policy Act of 1992 and began operations on July 1, 1993, when the Department of Energy's (DOE) uranium enrichment activities were transferred to USEC. Uranium enriched by USEC or purchased for resale is sold globally to utilities for use as fuel for nuclear power plants. USEC was created as a wholly owned government corporation as the first step in a series of actions designed to culminate in privatization, which is proposed to occur during FY 1996. These steps included restructuring DOE's uranium enrichment services business, establishing a track record of successful operations, and finally, selling the business to the private sector. Uranium enrichment is the only segment in the nuclear fuel cycle operated by the U.S. Government. The Government enrichment facilities were originally built over forty years ago to support military programs, but they are no longer needed for this purpose. By moving the uranium enrichment business out of the Government, and by applying private sector discipline, USEC will remain a viable competitor in the global market for uranium enrichment services and preserve a source of domestic enrichment capacity. As a private corporation, USEC will be able to make the financial investment necessary to implement the Advanced Vapor Laser Isotope Separation (AVLIS), the next generation of uranium enrichment technology. USEC's ability to commercialize AVLIS, which is expected to lower production costs, should enhance the long-term viability of the Corporation.

**Budget Program.**—During FY 1995, USEC maintained its position as the world leader in uranium enrichment by pursuing value-added sales and aggressively controlling costs. USEC also continued with AVLIS technology pre-deployment activities. In addition, USEC, acting as executive agent to the Government, received from the former Soviet Union the first shipments of LEU blended from HEU. On June 30, 1995, the Corporation presented a plan for privatization to the President and Congress, which outlined a dual-path approach to privatization either through a Merger and Acquisition transaction or an Initial Public Offering.

**Public enterprise fund—Continued**

UNITED STATES ENRICHMENT CORPORATION FUND—Continued

In FY 1996, the Administration plans to sell USEC to the private sector with expected net proceeds to the Treasury from the sale (less transaction costs) of approximately \$1.6 billion.

**Financing.**—\$34 million in unobligated balances was transferred to the Corporation by DOE during FY 1995 in partial payment in accordance with a determination order issued by the Office of Management and Budget. An additional \$60 million is expected to be transferred to the Corporation by DOE in FY 1996 as final payment for this determination order, comprised of \$40 million in unobligated balances and \$20 million in inventories.

**Operating Results.**—The Corporation's net income for FY 1995 was \$373 million. Annual sales are expected to increase in FY 1996 and AVLIS pre-deployment activities will be continued. USEC paid a \$55 million dividend to the Treasury in FY 1995 and a \$120 million dividend in FY 1996 in accordance with the Energy Policy Act of 1992 and expects net proceeds to the Treasury from privatization to be approximately \$1.6 billion in FY 1996.

Summary of Budget Authority and Outlays

[In millions of dollars]

|                                         | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------|-------------|-----------|-----------|
| Enacted/requested:                      |             |           |           |
| Budget Authority .....                  |             |           |           |
| Outlays .....                           | -355        | -146      | -204      |
| Legislative proposal, subject to PAYGO: |             |           |           |
| Budget Authority .....                  |             |           |           |
| Outlays .....                           | 239         | 204       |           |
| Total:                                  |             |           |           |
| Budget Authority .....                  |             |           |           |
| Outlays .....                           | -355        | 93        |           |

Note.—Receipts from the sale of USEC appears in the General Fund Receipt Accounts table in the Treasury Chapter.

Statement of Operations (in millions of dollars)

| Identification code 95-4054-0-3-271 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  | 1,421       | 1,395       | 1,516     | 1,549     |
| 0102 Expense .....                  | -1,044      | -1,022      | -1,227    | -1,273    |
| 0109 Net income or loss (-) .....   | 377         | 373         | 289       | 276       |

Balance Sheet (in millions of dollars)

| Identification code 95-4054-0-3-271           | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------|-------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                                |             |             |           |           |
| Federal assets:                               |             |             |           |           |
| 1101 Fund balances with Treasury .....        | 828         | 1,162       | 1,228     | 1,312     |
| Investments in US securities:                 |             |             |           |           |
| 1106 Receivables, net .....                   | 55          | 92          | 56        | 56        |
| 1107 Advances and prepayments .....           | 24          | 23          | 30        | 30        |
| Non-Federal assets:                           |             |             |           |           |
| 1206 Receivables, net .....                   | 220         | 156         | 169       | 169       |
| 1207 Advances and prepayments .....           | 60          | 127         | 128       |           |
| Other Federal assets:                         |             |             |           |           |
| 1802 Inventories and related properties ..... | 1,557       | 1,655       | 1,806     | 1,904     |
| 1803 Property, plant and equipment, net ..... | 86          | 99          | 109       | 119       |
| 1999 Total assets .....                       | 2,830       | 3,314       | 3,526     | 3,590     |
| <b>LIABILITIES:</b>                           |             |             |           |           |
| Non-Federal liabilities:                      |             |             |           |           |
| 2201 Accounts payable .....                   | 109         | 190         | 195       | 195       |
| 2207 Other .....                              | 1,059       | 1,156       | 1,194     | 1,102     |
| 2999 Total liabilities .....                  | 1,168       | 1,346       | 1,389     | 1,297     |
| <b>NET POSITION:</b>                          |             |             |           |           |
| 3200 Invested capital .....                   | 1,251       | 1,240       | 1,240     | 1,240     |
| 3300 Cumulative results of operations .....   | 411         | 728         | 897       | 1,053     |
| 3999 Total net position .....                 | 1,662       | 1,968       | 2,137     | 2,293     |
| 4999 Total liabilities and net position ..... | 2,830       | 3,314       | 3,526     | 3,590     |

Object Classification (in millions of dollars)

| Identification code 95-4054-0-3-271                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent .....          | 9           | 11        | 11        |
| 12.1 Civilian personnel benefits .....                          | 2           | 3         | 3         |
| 21.0 Travel and transportation of persons .....                 | 1           | 2         | 2         |
| 22.0 Transportation of things .....                             | 1           | 1         | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1         | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 567         | 751       | 503       |
| 25.1 Advisory and assistance services .....                     | 19          | 25        | 25        |
| 25.2 Other services .....                                       | 576         | 629       | 479       |
| 26.0 Supplies and materials .....                               | 359         | 200       | 366       |
| 31.0 Equipment .....                                            | 1           | 1         | 1         |
| 99.0 Subtotal, reimbursable obligations .....                   | 1,536       | 1,624     | 1,392     |
| 99.9 Total obligations .....                                    | 1,536       | 1,624     | 1,392     |

Personnel Summary

| Identification code 95-4054-0-3-271                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 124         | 163       | 180       |

UNITED STATES ENRICHMENT CORPORATION  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 95-4054-4-3-271                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                   |             |           |           |
| 00.01 Operating Expenses .....                                            |             | -113      | -1,360    |
| 00.02 Capital Expenses .....                                              |             | -10       | -32       |
| 10.00 Total obligations .....                                             |             | -123      | -1,392    |
| <b>Budgetary resources available for obligation:</b>                      |             |           |           |
| 21.90 Unobligated balance available, start of year: Fund balance .....    |             |           | -251      |
| 22.00 New budget authority (gross) .....                                  |             | -362      | -1,549    |
| 22.10 Resources available from recoveries of prior year obligations ..... |             | 977       |           |
| 22.40 Capital transfer to general fund .....                              |             | -989      | 120       |
| 23.90 Total budgetary resources available for obligation .....            |             | -374      | -1,680    |
| 23.95 New obligations .....                                               |             | 123       | 1,392     |
| 24.90 Unobligated balance available, end of year: Fund balance .....      |             | -251      | -288      |
| <b>New budget authority (gross), detail:</b>                              |             |           |           |
| 68.00 Spending authority from offsetting collections (gross):             |             |           |           |
| Offsetting collections (cash) .....                                       |             | -362      | -1,549    |

|                                                                     |  |      |        |
|---------------------------------------------------------------------|--|------|--------|
| <b>Change in unpaid obligations:</b>                                |  |      |        |
| 72.90 Unpaid obligations, start of year: Obligated balance:         |  |      |        |
| Fund balance .....                                                  |  |      | -977   |
| 73.10 New obligations .....                                         |  | -123 | -1,392 |
| 73.20 Total outlays (gross) .....                                   |  | 123  | 1,345  |
| 73.45 Adjustments in unexpired accounts .....                       |  | -977 |        |
| 74.90 Unpaid obligations, end of year: Obligated balance:           |  |      |        |
| Fund balance: Uninvested balance .....                              |  | -977 | -1,024 |
| <b>Outlays (gross), detail:</b>                                     |  |      |        |
| 86.97 Outlays from new permanent authority .....                    |  | -123 | -1,345 |
| 87.00 Total outlays (gross) .....                                   |  | -123 | -1,345 |
| <b>Offsets:</b>                                                     |  |      |        |
| Against gross budget authority and outlays:                         |  |      |        |
| 88.40 Offsetting collections (cash) from: Non-Federal sources ..... |  | 362  | 1,549  |
| <b>Net budget authority and outlays:</b>                            |  |      |        |
| 89.00 Budget authority .....                                        |  |      |        |
| 90.00 Outlays .....                                                 |  | 239  | 204    |

Statement of Operations (in millions of dollars)

| Identification code 95-4054-4-3-271 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue .....                  |             |             | -313      | -1,549    |
| 0102 Expense .....                  |             |             | 276       | 1,273     |

|      |                        |     |      |
|------|------------------------|-----|------|
| 0109 | Net income or loss (-) | -37 | -276 |
|------|------------------------|-----|------|

Balance Sheet (in millions of dollars)

| Identification code 95-4054-4-3-271 | 1994 actual                        | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|------------------------------------|-------------|-----------|-----------|
| <b>ASSETS:</b>                      |                                    |             |           |           |
| Federal assets:                     |                                    |             |           |           |
| 1101                                | Fund balances with Treasury        |             | -1,228    | -1,312    |
| Investments in US securities:       |                                    |             |           |           |
| 1106                                | Receivables, net                   |             | -56       | -56       |
| 1107                                | Advances and prepayments           |             | -30       | -30       |
| Non-Federal assets:                 |                                    |             |           |           |
| 1206                                | Receivables, net                   |             | -169      | -169      |
| 1207                                | Advances and prepayments           |             | -128      |           |
| Other Federal assets:               |                                    |             |           |           |
| 1802                                | Inventories and related properties |             | -1,806    | -1,904    |
| 1803                                | Property, plant and equipment, net |             | -109      | -119      |
| 1999                                | Total assets                       |             | -3,526    | -3,590    |
| <b>LIABILITIES:</b>                 |                                    |             |           |           |
| Non-Federal liabilities:            |                                    |             |           |           |
| 2201                                | Accounts payable                   |             | -195      | -195      |
| 2207                                | Other                              |             | -1,194    | -1,102    |
| 2999                                | Total liabilities                  |             | -1,389    | -1,297    |
| <b>NET POSITION:</b>                |                                    |             |           |           |
| 3200                                | Invested capital                   |             | -1,240    | -1,240    |
| 3300                                | Cumulative results of operations   |             | -897      | -1,053    |
| 3999                                | Total net position                 |             | -2,137    | -2,293    |
| 4999                                | Total liabilities and net position |             | -3,526    | -3,590    |

Object Classification (in millions of dollars)

| Identification code 95-4054-4-3-271 | 1995 actual                                          | 1996 est. | 1997 est. |
|-------------------------------------|------------------------------------------------------|-----------|-----------|
| 11.1                                | Personnel compensation: Full-time permanent          | -3        | -11       |
| 12.1                                | Civilian personnel benefits                          | -1        | -3        |
| 21.0                                | Travel and transportation of persons                 | -1        | -2        |
| 22.0                                | Transportation of things                             |           | -1        |
| 23.2                                | Rental payments to others                            |           | -1        |
| 23.3                                | Communications, utilities, and miscellaneous charges | -125      | -503      |
| 25.1                                | Advisory and assistance services                     | -8        | -25       |
| 25.2                                | Other services                                       | 78        | -479      |
| 26.0                                | Supplies and materials                               | -63       | -366      |
| 31.0                                | Equipment                                            |           | -1        |
| 99.0                                | Subtotal, reimbursable obligations                   | -123      | -1,392    |
| 99.9                                | Total obligations                                    | -123      | -1,392    |

Personnel Summary

| Identification code 95-4054-4-3-271 | 1995 actual                                                  | 1996 est. | 1997 est. |
|-------------------------------------|--------------------------------------------------------------|-----------|-----------|
| 2001                                | Total compensable workyears: Full-time equivalent employment | -70       | -180      |

**UNITED STATES HOLOCAUST MEMORIAL COUNCIL**

**Federal Funds**

**General and special funds:**

HOLOCAUST MEMORIAL COUNCIL

For expenses of the Holocaust Memorial Council, as authorized by Public Law 96-388, as amended, \$31,262,000; of which \$1,575,000 for the Museum's repair and rehabilitation program, and \$1,264,000 for the Museum's exhibitions program shall remain available until expended. (36 U.S.C. 1401).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 95-3300-0-1-808 | 1995 actual       | 1996 est. | 1997 est. |
|-------------------------------------|-------------------|-----------|-----------|
| Obligations by program activity:    |                   |           |           |
| 10.00                               | Total obligations | 25        | 27        |
|                                     |                   |           | 31        |

|                                               |                                                    |     |     |
|-----------------------------------------------|----------------------------------------------------|-----|-----|
| Budgetary resources available for obligation: |                                                    |     |     |
| 21.40                                         | Unobligated balance available, start of year:      |     |     |
|                                               | Uninvested balance                                 | 2   | 2   |
| 22.00                                         | New budget authority (gross)                       | 27  | 31  |
| 23.90                                         | Total budgetary resources available for obligation | 27  | 33  |
| 23.95                                         | New obligations                                    | -25 | -31 |
| 24.40                                         | Unobligated balance available, end of year:        |     |     |
|                                               | Uninvested balance                                 | 2   | 2   |

|                                       |               |    |    |
|---------------------------------------|---------------|----|----|
| New budget authority (gross), detail: |               |    |    |
| 40.00                                 | Appropriation | 27 | 31 |

|                               |                                                       |     |     |
|-------------------------------|-------------------------------------------------------|-----|-----|
| Change in unpaid obligations: |                                                       |     |     |
| 72.40                         | Unpaid obligations, start of year: Obligated balance: |     |     |
|                               | Appropriation                                         | 8   | 6   |
| 73.10                         | New obligations                                       | 25  | 31  |
| 73.20                         | Total outlays (gross)                                 | -26 | -31 |
| 74.40                         | Unpaid obligations, end of year: Obligated balance:   |     |     |
|                               | Appropriation                                         | 7   | 7   |

|                          |                                    |    |    |
|--------------------------|------------------------------------|----|----|
| Outlays (gross), detail: |                                    |    |    |
| 86.90                    | Outlays from new current authority | 21 | 26 |
| 86.93                    | Outlays from current balances      | 5  | 5  |
| 87.00                    | Total outlays (gross)              | 26 | 31 |

|                                   |                  |    |    |
|-----------------------------------|------------------|----|----|
| Net budget authority and outlays: |                  |    |    |
| 89.00                             | Budget authority | 27 | 31 |
| 90.00                             | Outlays          | 25 | 31 |

The Council operates a permanent living memorial museum to the victims of the Holocaust. The memorial, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

Object Classification (in millions of dollars)

| Identification code 95-3300-0-1-808 | 1995 actual                                          | 1996 est. | 1997 est. |
|-------------------------------------|------------------------------------------------------|-----------|-----------|
| Personnel compensation:             |                                                      |           |           |
| 11.1                                | Full-time permanent                                  | 6         | 9         |
| 11.3                                | Other than full-time permanent                       | 2         | 1         |
| 11.5                                | Other personnel compensation                         | 1         |           |
| 11.9                                | Total personnel compensation                         | 9         | 10        |
| 12.1                                | Civilian personnel benefits                          | 2         | 3         |
| 21.0                                | Travel and transportation of persons                 | 1         | 1         |
| 23.1                                | Rental payments to GSA                               |           | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges | 2         | 2         |
| 24.0                                | Printing and reproduction                            |           | 1         |
| 25.2                                | Other services                                       | 3         | 6         |
| 25.4                                | Operation and maintenance of facilities              | 5         | 5         |
| 26.0                                | Supplies and materials                               | 1         | 1         |
| 31.0                                | Equipment                                            | 2         | 1         |
| 99.9                                | Total obligations                                    | 25        | 31        |

Personnel Summary

| Identification code 95-3300-0-1-808 | 1995 actual                                        | 1996 est. | 1997 est. |
|-------------------------------------|----------------------------------------------------|-----------|-----------|
| Total compensable workyears:        |                                                    |           |           |
| 1001                                | Full-time equivalent employment                    | 187       | 246       |
| 1005                                | Full-time equivalent of overtime and holiday hours | 4         | 4         |

**UNITED STATES INFORMATION AGENCY**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For expenses, not otherwise provided for, necessary to enable the United States Information Agency, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), to carry out international communication, educational and cultural activities; and to carry out related activities authorized by law, including employment, without regard to civil service and classification laws, of persons on a tem-

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

porary basis (not to exceed \$700,000 of this appropriation), as authorized by 22 U.S.C. 1471, and entertainment, including official receptions, within the United States, not to exceed \$25,000 as authorized by 22 U.S.C. 1474(3); \$468,016,000: Provided, That not to exceed \$1,400,000 may be used for representation abroad as authorized by 22 U.S.C. 1452 and 4085: Provided further, That not to exceed \$7,615,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching, library, motion pictures, student advising and counseling, and publication programs as authorized by section 810 of the United States Information and Educational Exchange Act: Provided further, That not to exceed \$1,100,000, to remain available until expended, may be used to carry out projects involving security construction and related improvements for Agency facilities not physically located together with Department of State facilities abroad.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0201-0-1-154                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| <b>Direct program:</b>                                      |             |           |           |
| 00.01 Overseas missions .....                               | 290         | 279       | 277       |
| 00.04 Educational and Cultural Affairs .....                | 17          | 16        | 28        |
| 00.06 Bureau of information .....                           | 31          | 31        | 31        |
| 00.07 Agency direction and management .....                 | 70          | 61        | 57        |
| 00.08 Administrative support from other agencies .....      | 67          | 72        | 75        |
| 00.91 Total direct program .....                            | 475         | 459       | 468       |
| 01.01 Reimbursable program .....                            | 11          | 10        | 10        |
| 10.00 Total obligations .....                               | 486         | 469       | 478       |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 21.40 Unobligated balance available, start of year:         |             |           |           |
| Uninvested balance .....                                    | 6           | 6         |           |
| 22.00 New budget authority (gross) .....                    | 488         | 463       | 478       |
| 23.90 Total budgetary resources available for obligation    | 494         | 469       | 478       |
| 23.95 New obligations .....                                 | -486        | -469      | -478      |
| 24.40 Unobligated balance available, end of year:           |             |           |           |
| Uninvested balance .....                                    | 6           |           |           |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| <b>Current:</b>                                             |             |           |           |
| 40.00 Appropriation .....                                   | 474         | 446       | 468       |
| 42.00 Transferred from other accounts .....                 | 3           | 7         |           |
| 43.00 Appropriation (total) .....                           | 477         | 453       | 468       |
| <b>Permanent:</b>                                           |             |           |           |
| 68.00 Spending authority from offsetting collections: Off-  |             |           |           |
| setting collections (cash) .....                            | 11          | 10        | 10        |
| 70.00 Total new budget authority (gross) .....              | 488         | 463       | 478       |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 130         | 99        | 99        |
| 73.10 New obligations .....                                 | 486         | 469       | 478       |
| 73.20 Total outlays (gross) .....                           | -520        | -469      | -476      |
| 73.40 Adjustments in expired accounts .....                 | 2           |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 99          | 99        | 101       |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority .....              | 404         | 381       | 393       |
| 86.93 Outlays from current balances .....                   | 105         | 78        | 73        |
| 86.97 Outlays from new permanent authority .....            | 11          | 10        | 10        |
| 87.00 Total outlays (gross) .....                           | 520         | 469       | 476       |
| <b>Offsets:</b>                                             |             |           |           |
| <b>Against gross budget authority and outlays:</b>          |             |           |           |
| <b>Offsetting collections (cash) from:</b>                  |             |           |           |
| 88.00 Federal sources .....                                 | -7          | -6        | -6        |
| 88.40 Non-Federal sources .....                             | -4          | -4        | -4        |

|                                          |                                            |     |     |     |
|------------------------------------------|--------------------------------------------|-----|-----|-----|
| 88.90                                    | Total, offsetting collections (cash) ..... | -11 | -10 | -10 |
| <b>Net budget authority and outlays:</b> |                                            |     |     |     |
| 89.00                                    | Budget authority .....                     | 477 | 453 | 468 |
| 90.00                                    | Outlays .....                              | 509 | 459 | 466 |

Note.—A transfer of \$7 million from the Educational and Cultural Exchanges account to the Salaries and Expenses account is being considered to fund one-time severance payments and other termination costs. Such costs arise from staff and program reductions required to conform to the likely FY 1996 Salaries and Expenses funding level.

The United States Information Agency conducts the international informational, educational, cultural and exchange programs of the United States and advises the President, the National Security Council, and the Secretary of State on these matters. The Agency defines, explains, and advocates U.S. policies abroad and seeks to increase knowledge and understanding among foreign audiences of U.S. society and its values.

In 1997, USIA will continue the strategic reorganization and redirection of the Agency which began with the creation of the Bureau of Information, an NPR Reinvention Laboratory, in 1995. USIA is also continuing to streamline and consolidate its administrative and management processes by initiating reengineering pilot projects and benchmarking those efforts. USIA has initiated a comprehensive planning process to provide a long-range strategic framework for resource allocation decisions, in accordance with the Government Performance and Results Act of 1993 (GPRA). This process will result in performance measures that will be used to assess the effectiveness of resources invested in Agency programs for the FY 1998 budget estimates. A strategic plan has been drafted, and preliminary goals, objectives, measurements and strategies have been identified for all key programs.

Agency operations are largely financed from this appropriation and consist of the following major elements:

**Overseas missions.**—The Agency currently operates over 200 U.S. Information Service posts in over 140 countries. These USIS posts administer exchange-of-persons programs and conduct informational and cultural activities using, primarily, materials and programs provided by support offices in Washington, D.C.

**Educational and cultural affairs.**—This activity covers the staff that administers educational and cultural exchanges between the United States and over 140 countries. It also provides program and support costs for the J. William Fulbright Foreign Scholarship Board, American-sponsored schools abroad, binational centers, English teaching, and other educational and cultural activities. Grant costs for Academic and Visitor Exchanges, the Humphrey Fellowship, Citizen Exchanges, Congress-Bundestag and new exchange programs for the New Independent States and Eastern Europe are funded separately in the Educational and Cultural Exchanges account.

**Bureau of Information.**—This technologically advanced Bureau supports U.S. foreign policy objectives by providing instant and in-depth communications with the influence structures of other countries through the electronic information capabilities of the Agency's Wireless File, library and U.S. Information Service (USIS) centers abroad and foreign press centers in the U.S., the programming of U.S. speakers and specialists through overseas travel, and electronic dialogues or interactive television communications.

**Agency direction and management.**—This activity encompasses managerial staffs, research and centralized servicing functions for the Agency as a whole.

**Administrative support from other agencies.**—This activity covers payments to the Department of State for USIA's share of the costs of services provided for our overseas operations, to the General Services Administration for space and services provided under the Federal building rent system, and payments to other agencies for services provided.

Object Classification (in millions of dollars)

| Identification code 67-0201-0-1-154                                                    | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                                             |             |           |           |
| Personnel compensation:                                                                |             |           |           |
| 11.1 Full-time permanent .....                                                         | 187         | 173       | 173       |
| 11.3 Other than full-time permanent .....                                              | 3           | 3         | 3         |
| 11.5 Other personnel compensation .....                                                | 11          | 10        | 10        |
| 11.9 Total personnel compensation .....                                                | 201         | 186       | 186       |
| 12.1 Civilian personnel benefits .....                                                 | 48          | 44        | 44        |
| 13.0 Benefits for former personnel .....                                               | 2           | 4         | 2         |
| 21.0 Travel and transportation of persons .....                                        | 15          | 14        | 15        |
| 22.0 Transportation of things .....                                                    | 11          | 11        | 12        |
| 23.1 Rental payments to GSA .....                                                      | 35          | 34        | 36        |
| 23.2 Rental payments to others .....                                                   | 15          | 16        | 17        |
| 23.3 Communications, utilities, and miscellaneous charges .....                        | 23          | 26        | 26        |
| 24.0 Printing and reproduction .....                                                   | 1           | 1         | 1         |
| 25.2 Other services .....                                                              | 41          | 31        | 34        |
| 25.3 Purchases of goods and services from Government accounts .....                    | 26          | 37        | 37        |
| 26.0 Supplies and materials .....                                                      | 20          | 18        | 18        |
| 31.0 Equipment .....                                                                   | 17          | 17        | 18        |
| 41.0 Grants, subsidies, and contributions .....                                        | 16          | 18        | 19        |
| 42.0 Insurance claims and indemnities .....                                            | 2           | 2         | 3         |
| 99.0 Subtotal, direct obligations .....                                                | 473         | 459       | 468       |
| 99.0 Reimbursable obligations, United States Information Agency .....                  | 11          | 10        | 10        |
| 41.0 Allocation Account—Direct Obligations: Grants, subsidies, and contributions ..... | 2           |           |           |
| 99.9 Total obligations .....                                                           | 486         | 469       | 478       |

Personnel Summary

| Identification code 67-0201-0-1-154                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Direct:</b>                                                          |             |           |           |
| Total compensable workyears:                                            |             |           |           |
| 1001 Full-time equivalent employment .....                              | 4,732       | 4,271     | 4,234     |
| 1005 Full-time equivalent of overtime and holiday hours .....           | 96          | 96        | 96        |
| <b>Reimbursable:</b>                                                    |             |           |           |
| 2001 Total compensable workyears: Full-time equivalent employment ..... | 6           | 10        | 10        |

TECHNOLOGY FUND

For expenses necessary to enable the United States Information Agency to provide for the procurement of information technology improvements, as authorized by the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), \$10,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0400-0-1-154                                             | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                         |             |           |           |
| 10.00 Total obligations .....                                                   |             | 5         | 10        |
| <b>Budgetary resources available for obligation:</b>                            |             |           |           |
| 22.00 New budget authority (gross) .....                                        |             | 5         | 10        |
| 23.95 New obligations .....                                                     |             | -5        | -10       |
| <b>New budget authority (gross), detail:</b>                                    |             |           |           |
| 40.00 Appropriation .....                                                       |             | 5         | 10        |
| <b>Change in unpaid obligations:</b>                                            |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation ..... |             |           | 1         |
| 73.10 New obligations .....                                                     |             | 5         | 10        |
| 73.20 Total outlays (gross) .....                                               |             | -4        | -9        |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....   |             | 1         | 1         |

|                                                |  |   |    |
|------------------------------------------------|--|---|----|
| <b>Outlays (gross), detail:</b>                |  |   |    |
| 86.90 Outlays from new current authority ..... |  | 4 | 8  |
| 86.93 Outlays from current balances .....      |  |   | 1  |
| 87.00 Total outlays (gross) .....              |  | 4 | 9  |
| <b>Net budget authority and outlays:</b>       |  |   |    |
| 89.00 Budget authority .....                   |  | 5 | 10 |
| 90.00 Outlays .....                            |  | 4 | 9  |

This appropriation provides funding for non-broadcasting information technology improvements for USIA, including purchases and development of hardware, software, contractual services, and training. Funding for information management activities was formerly included in the Salaries and Expenses account. The new account was created to allow USIA greater flexibility in the budgeting and planning for information technology resources.

In 1996 and 1997, USIA will continue the implementation of a modern core Financial Management System (FMS) and other integrated automated applications. The Agency is adopting the FMS developed by USAID. USIA will also continue the development and testing of a two-way, high-speed digital network for enhanced communication with overseas posts.

Object Classification (in millions of dollars)

| Identification code 67-0400-0-1-154 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| 22.0 Transportation of things ..... |             | 1         | 1         |
| 25.2 Other services .....           |             | 1         | 2         |
| 31.0 Equipment .....                |             | 3         | 7         |
| 99.9 Total obligations .....        |             | 5         | 10        |

RADIO FREE ASIA

Program and Financing (in millions of dollars)

| Identification code 67-0212-0-1-154                                          | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                      |             |           |           |
| 10.00 Total obligations (object class 41.0) .....                            |             | 5         |           |
| <b>Budgetary resources available for obligation:</b>                         |             |           |           |
| 21.40 Unobligated balance available, start of year: Uninvested balance ..... |             |           | 5         |
| 22.00 New budget authority (gross) .....                                     |             | 5         |           |
| 23.90 Total budgetary resources available for obligation .....               |             | 5         | 5         |
| 23.95 New obligations .....                                                  |             |           | -5        |
| 24.40 Unobligated balance available, end of year: Uninvested balance .....   |             | 5         |           |
| <b>New budget authority (gross), detail:</b>                                 |             |           |           |
| 40.00 Appropriation .....                                                    |             | 5         |           |
| <b>Change in unpaid obligations:</b>                                         |             |           |           |
| 73.10 New obligations .....                                                  |             |           | 5         |
| 73.20 Total outlays (gross) .....                                            |             |           | -5        |
| <b>Outlays (gross), detail:</b>                                              |             |           |           |
| 86.93 Outlays from current balances .....                                    |             |           | 5         |
| 87.00 Total outlays (gross) .....                                            |             |           | 5         |
| <b>Net budget authority and outlays:</b>                                     |             |           |           |
| 89.00 Budget authority .....                                                 |             | 5         |           |
| 90.00 Outlays .....                                                          |             |           | 5         |

In 1995, Congress provided funding for a new service to provide accurate and timely information, news, and commentary to the people of Asia. Beginning in FY 1996, this service, the Asia Pacific Network (formerly Radio Free Asia), will be funded through the International Broadcasting Operations account.

**General and special funds—Continued**

**OFFICE OF THE INSPECTOR GENERAL**

Program and Financing (in millions of dollars)

| Identification code 67-0300-0-1-154                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations                                     | 4           |           |           |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross)                          | 4           |           |           |
| 23.95 New obligations                                       | -4          |           |           |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 4           |           |           |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 1           | 1         |           |
| 73.10 New obligations                                       | 4           |           |           |
| 73.20 Total outlays (gross)                                 | -4          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 1           |           |           |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 3           |           |           |
| 86.93 Outlays from current balances                         | 1           | 1         |           |
| 87.00 Total outlays (gross)                                 | 4           |           |           |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 4           |           |           |
| 90.00 Outlays                                               | 4           |           |           |

This appropriation provided funding for the conduct of audits and investigations relating to the programs and operations of the United States Information Agency.

In 1996, the Administration plans to consolidate USIA's Office of the Inspector General within the Department of State's Office of the Inspector General. Funding for this activity will be provided to the Department of State in accordance with pending authorization and appropriations legislation.

Object Classification (in millions of dollars)

| Identification code 67-0300-0-1-154 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>      |             |           |           |
| 11.1 Full-time permanent            | 3           |           |           |
| 11.9 Total personnel compensation   | 3           |           |           |
| 12.1 Civilian personnel benefits    | 1           |           |           |
| 99.9 Total obligations              | 4           |           |           |

Personnel Summary

| Identification code 67-0300-0-1-154                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 46          |           |           |

**EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS**

For expenses of educational and cultural exchange programs, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), \$202,412,000, to remain available until expended as authorized by 22 U.S.C. 2455.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0209-0-1-154                                                 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                             |             |           |           |
| <b>Direct program:</b>                                                              |             |           |           |
| 00.01 Fulbright Academic Exchanges                                                  | 118         | 96        | 111       |
| 00.02 Other Academic Exchanges                                                      | 33          | 14        | 15        |
| 00.03 International Visitor, Professional and Cultural Exchanges                    | 72          | 64        | 67        |
| 00.04 American Studies Collections                                                  | 2           |           |           |
| 00.05 Exchanges Support                                                             | 15          | 14        |           |
| 00.06 SEED Exchanges                                                                | 6           | 1         |           |
| 00.07 Freedom Support Act Exchange                                                  | 78          | 50        | 40        |
| 00.91 Total direct program                                                          | 324         | 239       | 233       |
| 01.01 Reimbursable program                                                          | 11          | 11        | 11        |
| 10.00 Total obligations                                                             | 335         | 250       | 244       |
| <b>Budgetary resources available for obligation:</b>                                |             |           |           |
| 21.40 Unobligated balance available, start of year:                                 |             |           |           |
| Uninvested balance                                                                  | 49          | 17        |           |
| 22.00 New budget authority (gross)                                                  | 298         | 234       | 244       |
| 22.10 Resources available from recoveries of prior year obligations                 | 4           |           |           |
| 23.90 Total budgetary resources available for obligation                            | 351         | 251       | 244       |
| 23.95 New obligations                                                               | -335        | -250      | -244      |
| 24.40 Unobligated balance available, end of year:                                   |             |           |           |
| Uninvested balance                                                                  | 17          |           |           |
| <b>New budget authority (gross), detail:</b>                                        |             |           |           |
| <b>Current:</b>                                                                     |             |           |           |
| 40.00 Appropriation                                                                 | 233         | 200       | 203       |
| 41.00 Transferred to other accounts                                                 |             | -7        |           |
| 42.00 Transferred from other accounts                                               | 54          | 30        | 30        |
| 43.00 Appropriation (total)                                                         | 287         | 223       | 233       |
| <b>Permanent:</b>                                                                   |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 11          | 11        | 11        |
| 70.00 Total new budget authority (gross)                                            | 298         | 234       | 244       |
| <b>Change in unpaid obligations:</b>                                                |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                         |             |           |           |
| Appropriation                                                                       | 246         | 270       | 248       |
| 73.10 New obligations                                                               | 335         | 250       | 244       |
| 73.20 Total outlays (gross)                                                         | -309        | -273      | -245      |
| 73.40 Adjustments in expired accounts                                               | 2           |           |           |
| 73.45 Adjustments in unexpired accounts                                             | -4          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:                           |             |           |           |
| Appropriation                                                                       | 270         | 248       | 246       |
| <b>Outlays (gross), detail:</b>                                                     |             |           |           |
| 86.90 Outlays from new current authority                                            | 144         | 112       | 117       |
| 86.93 Outlays from current balances                                                 | 155         | 151       | 118       |
| 86.97 Outlays from new permanent authority                                          | 11          | 11        | 11        |
| 87.00 Total outlays (gross)                                                         | 309         | 273       | 245       |
| <b>Offsets:</b>                                                                     |             |           |           |
| <b>Against gross budget authority and outlays:</b>                                  |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources                           | -11         | -11       | -11       |
| <b>Net budget authority and outlays:</b>                                            |             |           |           |
| 89.00 Budget authority                                                              | 287         | 223       | 233       |
| 90.00 Outlays                                                                       | 299         | 263       | 234       |

Note.—A transfer of \$7 million from the Educational and Cultural Exchanges account to the Salaries and Expenses account is being considered to fund one-time severance payments and other termination costs. Such costs arise from staff and program reductions required to conform to the likely FY 1996 Salaries and Expenses funding level.

This appropriation provides funding for programs authorized by the Mutual Educational and Cultural Exchanges Act of 1961, as amended, to increase mutual understanding between the peoples of the United States and other nations. Programs funded under this appropriation include:

**Fulbright and Other Academic Exchanges.**—Includes Fulbright academic exchanges of American and foreign students, lecturers, scholars and teachers; Humphrey and Muskie Fellowships for graduate academic and professional training for selected mid-career professionals from the developing world

and the Newly Independent States; and assistance to graduate and postdoctoral studies by United States scholars on the Near and Middle East.

**International Visitor, Professional and Cultural Exchanges.**—Includes short-term international visitor programs for emerging foreign leaders and professionals in the United States including both officially invited, and non-sponsored visitors; a variety of professionals and cultural cooperative exchange programs with non-governmental organizations, such as the Citizen Exchange Program, Arts America Exchanges and the Congress-Bundestag Exchange program; and exchange programs of special Congressional interest, such as the Mike Mansfield Fellowship Program and support for the Paralympic Games.

**Exchange Support.**—For FY 1995 and FY 1996, includes a combination of staff and program costs formerly funded from the Salaries and Expenses appropriation. In FY 1997, all exchanges support funding is requested in the Salaries and Expenses account.

**SEED and FREEDOM Support Exchanges.**—Includes exchange programs supporting democracy and free-market development in the NIS and Central and Eastern Europe.

The Agency has developed a strategic framework for development of performance measures for Exchange Programs in accordance with the Government Performance and Results Act of 1993 (GPRA). In FY 1996, performance information will be collected to establish performance baseline data.

Object Classification (in millions of dollars)

| Identification code 67-0209-0-1-154                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations:                                                 |             |           |           |
| Personnel compensation:                                             |             |           |           |
| 11.1 Full-time permanent .....                                      | 9           | 9         |           |
| 11.9 Total personnel compensation .....                             | 9           | 9         |           |
| 12.1 Civilian personnel benefits .....                              | 2           | 2         |           |
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 313         | 228       | 233       |
| 99.0 Subtotal, direct obligations .....                             | 324         | 239       | 233       |
| 99.0 Reimbursable obligations .....                                 | 11          | 11        | 11        |
| 99.9 Total obligations .....                                        | 335         | 250       | 244       |

Personnel Summary

| Identification code 67-0209-0-1-154                                     | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 168         | 150       |           |

NATIONAL ENDOWMENT FOR DEMOCRACY

For grants made by the United States Information Agency to the National Endowment for Democracy as authorized by the National Endowment for Democracy Act, \$30,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0210-0-1-154               | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                  |             |           |           |
| 10.00 Total obligations (object class 41.0) ..... | 34          | 30        | 30        |
| Budgetary resources available for obligation:     |             |           |           |
| 22.00 New budget authority (gross) .....          | 34          | 30        | 30        |
| 23.95 New obligations .....                       | -34         | -30       | -30       |
| New budget authority (gross), detail:             |             |           |           |
| 40.00 Appropriation .....                         | 34          | 30        | 30        |

|                                                             |     |     |     |
|-------------------------------------------------------------|-----|-----|-----|
| Change in unpaid obligations:                               |     |     |     |
| 72.40 Unpaid obligations, start of year: Obligated balance: |     |     |     |
| Appropriation .....                                         | 24  | 27  | 25  |
| 73.10 New obligations .....                                 | 34  | 30  | 30  |
| 73.20 Total outlays (gross) .....                           | -31 | -32 | -30 |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |     |     |     |
| Appropriation .....                                         | 27  | 25  | 25  |
| Outlays (gross), detail:                                    |     |     |     |
| 86.90 Outlays from new current authority .....              | 16  | 14  | 14  |
| 86.93 Outlays from current balances .....                   | 15  | 18  | 16  |
| 87.00 Total outlays (gross) .....                           | 31  | 32  | 30  |
| Net budget authority and outlays:                           |     |     |     |
| 89.00 Budget authority .....                                | 34  | 30  | 30  |
| 90.00 Outlays .....                                         | 31  | 32  | 30  |

The National Endowment for Democracy is a private, non-profit corporation established in the District of Columbia to encourage and strengthen the development of democratic institutions and processes internationally, including activities which promote individual rights and freedom, through private sector initiatives, exchanges, training programs, and other activities.

The National Endowment for Democracy Act provides that the U.S. Information Agency will make an annual grant to the Endowment to enable the Endowment to fulfill the purposes of the Act. The Endowment does not carry out programs directly but provides funding for activities of the Free Trade Union Institute of the AFL-CIO, the Center for International Private Enterprise of the U.S. Chamber of Commerce, the National Republican Institute for International Affairs of the Republican Party, the National Democratic Institute for International Affairs of the Democratic Party, and other private organizations and groups.

BROADCASTING TO CUBA

Program and Financing (in millions of dollars)

| Identification code 67-0208-0-1-154                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                          |             |           |           |
| 00.01 Program operations .....                                            | 28          | 26        |           |
| 10.00 Total obligations .....                                             | 28          | 26        |           |
| Budgetary resources available for obligation:                             |             |           |           |
| 21.40 Unobligated balance available, start of year:                       |             |           |           |
| Uninvested balance .....                                                  | 4           | 1         |           |
| 22.00 New budget authority (gross) .....                                  | 25          | 25        |           |
| 22.10 Resources available from recoveries of prior year obligations ..... | 1           |           |           |
| 23.90 Total budgetary resources available for obligation .....            | 30          | 26        |           |
| 23.95 New obligations .....                                               | -28         | -26       |           |
| 24.40 Unobligated balance available, end of year:                         |             |           |           |
| Uninvested balance .....                                                  | 1           |           |           |
| New budget authority (gross), detail:                                     |             |           |           |
| 40.00 Appropriation .....                                                 | 25          | 25        |           |
| Change in unpaid obligations:                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:               |             |           |           |
| Appropriation .....                                                       | 3           | 4         | 4         |
| 73.10 New obligations .....                                               | 28          | 26        |           |
| 73.20 Total outlays (gross) .....                                         | -26         | -25       | -4        |
| 73.45 Adjustments in unexpired accounts .....                             | -1          |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:                 |             |           |           |
| Appropriation .....                                                       | 4           | 4         |           |
| Outlays (gross), detail:                                                  |             |           |           |
| 86.90 Outlays from new current authority .....                            | 20          | 20        |           |
| 86.93 Outlays from current balances .....                                 | 6           | 5         | 4         |
| 87.00 Total outlays (gross) .....                                         | 26          | 25        | 4         |
| Net budget authority and outlays:                                         |             |           |           |
| 89.00 Budget authority .....                                              | 25          | 25        |           |

**General and special funds—Continued**

**BROADCASTING TO CUBA—Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 67-0208-0-1-154 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| 90.00 Outlays .....                 | 26          | 25        | 4         |

Beginning in 1997, Radio and TV Marti will be funded through the International Broadcasting Operations account shown below.

Object Classification (in millions of dollars)

| Identification code 67-0208-0-1-154                             | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation:                                         |             |           |           |
| 11.1 Full-time permanent .....                                  | 12          | 12        |           |
| 11.9 Total personnel compensation .....                         | 12          | 12        |           |
| 12.1 Civilian personnel benefits .....                          | 3           | 3         |           |
| 21.0 Travel and transportation of persons .....                 | 1           | 1         |           |
| 23.1 Rental payments to GSA .....                               | 2           | 2         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2         |           |
| 25.2 Other services .....                                       | 7           | 5         |           |
| 26.0 Supplies and materials .....                               |             | 1         |           |
| 31.0 Equipment .....                                            | 1           |           |           |
| 99.9 Total obligations .....                                    | 28          | 26        |           |

Personnel Summary

| Identification code 67-0208-0-1-154                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears:                                  |             |           |           |
| 1001 Full-time equivalent employment .....                    | 223         | 223       |           |
| 1005 Full-time equivalent of overtime and holiday hours ..... | 5           | 5         |           |

**EAST-WEST CENTER**

For grants to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, \$8,800,000: Provided, That no funds shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376. (22 U.S.C. 2054-2057.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0202-0-1-154                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations (object class 41.0) .....           | 25          | 12        | 9         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 25          | 12        | 9         |
| 23.95 New obligations .....                                 | -25         | -12       | -9        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 25          | 12        | 9         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 6           | 6         | 6         |
| 73.10 New obligations .....                                 | 25          | 12        | 9         |
| 73.20 Total outlays (gross) .....                           | -25         | -12       | -9        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 6           | 6         | 6         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 23          | 12        | 9         |
| 86.93 Outlays from current balances .....                   | 2           |           |           |
| 87.00 Total outlays (gross) .....                           | 25          | 12        | 9         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 25          | 12        | 9         |

|                     |    |    |   |
|---------------------|----|----|---|
| 90.00 Outlays ..... | 25 | 12 | 9 |
|---------------------|----|----|---|

The Center for Cultural and Technical Interchange Between East and West is a national educational institution administered by a public, nonprofit educational corporation. The Center promotes better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative programs of research, study, and training, which bring qualified persons from the countries of the area to work jointly on problems of mutual concern.

**NORTH/SOUTH CENTER**

For grants to the North/South Center, \$970,000, to remain available until expended. (22 U.S.C. 2075.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0203-0-1-154                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                            |             |           |           |
| 10.00 Total obligations (object class 41.0) .....           | 4           | 2         | 1         |
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    | 4           | 2         | 1         |
| 23.95 New obligations .....                                 | -4          | -2        | -1        |
| New budget authority (gross), detail:                       |             |           |           |
| 40.00 Appropriation .....                                   | 4           | 2         | 1         |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 11          | 6         | 5         |
| 73.10 New obligations .....                                 | 4           | 2         | 1         |
| 73.20 Total outlays (gross) .....                           | -9          | -3        | -2        |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation .....                                         | 6           | 5         | 5         |
| Outlays (gross), detail:                                    |             |           |           |
| 86.90 Outlays from new current authority .....              | 2           | 1         |           |
| 86.93 Outlays from current balances .....                   | 7           | 2         | 1         |
| 87.00 Total outlays (gross) .....                           | 9           | 3         | 2         |
| Net budget authority and outlays:                           |             |           |           |
| 89.00 Budget authority .....                                | 4           | 2         | 1         |
| 90.00 Outlays .....                                         | 9           | 3         | 2         |

The Center for Cultural and Technical Interchange Between North and South is a national educational institution that promotes better relations between the U.S. and the nations of Latin America and the Caribbean and Canada by bringing together scholars and students from nations of the hemisphere for cooperative study, training, and research.

**RUSSIAN FAR EAST TECHNICAL ASSISTANCE CENTER**

Program and Financing (in millions of dollars)

| Identification code 67-0211-0-1-154                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross) .....                    |             |           |           |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation .....                                         | 1           |           |           |
| 73.10 New obligations .....                                 |             |           |           |
| 73.20 Total outlays (gross) .....                           | -1          |           |           |
| Outlays (gross), detail:                                    |             |           |           |
| 86.93 Outlays from current balances .....                   | 1           |           |           |
| 87.00 Total outlays (gross) .....                           | 1           |           |           |

|                                   |   |
|-----------------------------------|---|
| Net budget authority and outlays: |   |
| 89.00 Budget authority            | 1 |
| 90.00 Outlays                     |   |

The Russian Far East Technical Assistance Center was authorized in 1993 to provide technical assistance, through an American university in a region which receives nonstop air service to and from the Russian Far East. The Center facilitates the development of United States business opportunities, free markets, and democratic institutions in the Russian Far East. No additional funding is requested for this activity.

RADIO CONSTRUCTION

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized by 22 U.S.C. 1471, \$39,164,000, to remain available until expended, as authorized by 22 U.S.C. 1477 b(a).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0204-0-1-154                                 | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                             |             |           |           |
| 00.01 New construction                                              | 4           | 13        | 7         |
| 00.02 Upgrade of existing relay station capabilities                | 37          | 27        | 7         |
| 00.03 Maintenance, improvements, replacement and repair             | 10          | 21        | 22        |
| 00.04 Broadcast facility leases and rentals                         | 11          | 3         | 2         |
| 00.05 Satellite and terrestrial feed systems                        | 3           | 2         | 1         |
| 00.06 Construction Facility Support Costs                           | 9           | 10        |           |
| 10.00 Total obligations                                             | 74          | 76        | 39        |
| <b>Budgetary resources available for obligation:</b>                |             |           |           |
| 21.40 Unobligated balance available, start of year:                 |             |           |           |
| Uninvested balance                                                  | 35          | 47        |           |
| 22.00 New budget authority (gross)                                  | 69          | 29        | 39        |
| 22.10 Resources available from recoveries of prior year obligations | 17          |           |           |
| 23.90 Total budgetary resources available for obligation            | 121         | 76        | 39        |
| 23.95 New obligations                                               | -74         | -76       | -39       |
| 24.40 Unobligated balance available, end of year:                   |             |           |           |
| Uninvested balance                                                  | 47          |           |           |
| <b>New budget authority (gross), detail:</b>                        |             |           |           |
| 40.00 Appropriation                                                 | 69          | 40        | 39        |
| 40.35 Appropriation rescinded                                       |             | -7        |           |
| 41.00 Transferred to other accounts                                 |             | -4        |           |
| 43.00 Appropriation (total)                                         | 69          | 29        | 39        |
| 70.00 Total new budget authority (gross)                            | 69          | 29        | 39        |
| <b>Change in unpaid obligations:</b>                                |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:         |             |           |           |
| Appropriation                                                       | 103         | 93        | 108       |
| 73.10 New obligations                                               | 74          | 76        | 39        |
| 73.20 Total outlays (gross)                                         | -67         | -60       | -46       |
| 73.45 Adjustments in unexpired accounts                             | -17         |           |           |
| 74.40 Unpaid obligations, end of year: Obligated balance:           |             |           |           |
| Appropriation                                                       | 93          | 108       | 101       |
| <b>Outlays (gross), detail:</b>                                     |             |           |           |
| 86.90 Outlays from new current authority                            | 21          | 9         | 12        |
| 86.93 Outlays from current balances                                 | 46          | 51        | 34        |
| 87.00 Total outlays (gross)                                         | 67          | 60        | 46        |
| <b>Net budget authority and outlays:</b>                            |             |           |           |
| 89.00 Budget authority                                              | 69          | 29        | 39        |
| 90.00 Outlays                                                       | 67          | 60        | 46        |

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's worldwide transmission network.

*New construction.*—In 1997, the agency will continue the Administration-approved streamlined modernization plan to expand the transmission capability of the International Broadcasting Bureau (IBB). This plan includes funding for construction of a new transmission facility in the Pacific Islands to enhance the broadcasting coverage to Asia.

*Upgrade of existing relay station capabilities.*—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

*Major improvements, replacements and repairs.*—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain.

*Broadcast leases and land rentals.*—This activity primarily funds the placement of IBB products with regional affiliates.

*Satellite and Terrestrial Feed Systems.*—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

Object Classification (in millions of dollars)

| Identification code 67-0204-0-1-154                       | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------------------------------|-------------|-----------|-----------|
| <b>Personnel compensation:</b>                            |             |           |           |
| 11.1 Full-time permanent                                  | 7           |           |           |
| 11.9 Total personnel compensation                         | 7           |           |           |
| 12.1 Civilian personnel benefits                          | 1           |           |           |
| 21.0 Travel and transportation of persons                 |             | 1         |           |
| 23.2 Rental payments to others                            | 2           | 3         | 6         |
| 23.3 Communications, utilities, and miscellaneous charges |             | 8         |           |
| 25.2 Other services                                       | 22          | 28        | 14        |
| 26.0 Supplies and materials                               | 1           | 1         | 1         |
| 31.0 Equipment                                            | 23          | 29        | 15        |
| 41.0 Grants, subsidies, and contributions                 | 18          | 6         | 3         |
| 99.9 Total obligations                                    | 74          | 76        | 39        |

Personnel Summary

| Identification code 67-0204-0-1-154                               | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 108         |           |           |

Trust Funds

PAYMENT TO THE EISENHOWER EXCHANGE FELLOWSHIP PROGRAM

Program and Financing (in millions of dollars)

| Identification code 95-0220-0-1-154                  | 1995 actual | 1996 est. | 1997 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 10.00 Total obligations (object class 41.0)          | 3           |           |           |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross)                   | 3           |           |           |
| 23.95 New obligations                                | -3          |           |           |
| <b>New budget authority (gross), detail:</b>         |             |           |           |
| 40.00 Appropriation                                  | 3           |           |           |
| <b>Change in unpaid obligations:</b>                 |             |           |           |
| 73.10 New obligations                                | 3           |           |           |
| 73.20 Total outlays (gross)                          | -3          |           |           |
| <b>Outlays (gross), detail:</b>                      |             |           |           |
| 86.90 Outlays from new current authority             | 3           |           |           |
| 87.00 Total outlays (gross)                          | 3           |           |           |
| <b>Net budget authority and outlays:</b>             |             |           |           |
| 89.00 Budget authority                               | 3           |           |           |
| 90.00 Outlays                                        | 2           |           |           |

PAYMENT TO THE EISENHOWER EXCHANGE FELLOWSHIP PROGRAM—  
Continued

In 1995, an additional payment of \$2.5 million was made to the fund pursuant to P.L. 103-317.

INTERNATIONAL BROADCASTING OPERATIONS

For expenses necessary to enable the United States Information Agency, as authorized by the United States Information and Cultural Exchange Act of 1948, as amended, the United States International Broadcasting Act of 1994, as amended, the Radio Broadcasting to Cuba Act, as amended, the Television Broadcasting to Cuba Act, and Reorganization Plan No. 2 of 1977, to carry out international communication activities, including the purchase, installation, rent, construction, and improvement of facilities for radio and television transmission and reception to Cuba, \$365,406,000; of which not to exceed \$16,000 may be used for official receptions within the United States as authorized by 22 U.S.C. 1474(3), not to exceed \$35,000 may be used for representation abroad as authorized by 22 U.S.C. 1452 and 4085, and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, not to exceed \$250,000 from fees, as authorized by section 810 of the United States Information and Educational Exchange Act, to remain available until expended for carrying out authorized purposes; and in addition, notwithstanding any other provision of law, not to exceed \$1,000,000 in monies received (including receipts from advertising, if any) by or for the use of the Agency from or in connection with broadcasting resources owned by or on behalf of the Agency, to be available until expended for carrying out authorized purposes: Provided, That funds may be used to purchase or lease, maintain, and operate such aircraft (including aerostats) as may be required to house and operate necessary television broadcasting equipment.

Note.—A regulator 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 67-0206-0-1-154                                                       | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                                   |             |           |           |
| 00.01 Direct Program .....                                                                | 245         | 329       | 365       |
| 01.01 Reimbursable program .....                                                          | 3           | 2         | 2         |
| 10.00 Total obligations .....                                                             | 248         | 331       | 367       |
| <b>Budgetary resources available for obligation:</b>                                      |             |           |           |
| 22.00 New budget authority (gross) .....                                                  | 248         | 331       | 367       |
| 23.95 New obligations .....                                                               | -248        | -331      | -367      |
| <b>New budget authority (gross), detail:</b>                                              |             |           |           |
| <b>Current:</b>                                                                           |             |           |           |
| 40.00 Appropriation .....                                                                 | 475         | 325       | 365       |
| 41.00 Transferred to other accounts .....                                                 | -230        |           |           |
| 42.00 Transferred from other accounts .....                                               |             | 4         |           |
| 43.00 Appropriation (total) .....                                                         | 245         | 329       | 365       |
| <b>Permanent:</b>                                                                         |             |           |           |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) ..... | 3           | 2         | 2         |
| 70.00 Total new budget authority (gross) .....                                            | 248         | 331       | 367       |
| <b>Change in unpaid obligations:</b>                                                      |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance:                               |             |           |           |
| Appropriation .....                                                                       |             | 55        | 56        |
| 73.10 New obligations .....                                                               | 248         | 331       | 367       |
| 73.20 Total outlays (gross) .....                                                         | -193        | -330      | -363      |
| 74.40 Unpaid obligations, end of year: Obligated balance:                                 |             |           |           |
| Appropriation .....                                                                       | 55          | 56        | 60        |
| <b>Outlays (gross), detail:</b>                                                           |             |           |           |
| 86.90 Outlays from new current authority .....                                            | 190         | 276       | 307       |
| 86.93 Outlays from current balances .....                                                 |             | 52        | 54        |
| 86.97 Outlays from new permanent authority .....                                          | 3           | 2         | 2         |
| 87.00 Total outlays (gross) .....                                                         | 193         | 330       | 363       |

| Offsets:                                    |                                                     |     |     |
|---------------------------------------------|-----------------------------------------------------|-----|-----|
| Against gross budget authority and outlays: |                                                     |     |     |
| 88.00                                       | Offsetting collections (cash) from: Federal sources | -3  | -2  |
| Net budget authority and outlays:           |                                                     |     |     |
| 89.00                                       | Budget authority .....                              | 245 | 329 |
| 90.00                                       | Outlays .....                                       | 190 | 328 |
|                                             |                                                     | 365 | 361 |

This appropriation provides operational funding for all United States non-military international broadcasting. The account reflects the requirements of the International Broadcasting Act of 1994 (the Act) to consolidate all non-military international broadcasting activities. Specifically, the appropriation will fund the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), the Asia Pacific Network (formerly Radio Free Asia), the WORLDNET Television and Film Service, Radio and Television Broadcasting to Cuba, and the necessary engineering, technical, and administrative support activities.

In 1996 and prior years, funding for Radio and Television Broadcasting to Cuba was provided in a separate appropriation. In 1995 and prior years, funding for RFE/RL was provided by a grant from the Board for International Broadcasting (BIB). BIB was abolished on August 11, 1995, and the BBG now makes direct grants to RFE/RL. Funds for the Asia Pacific Network (APN), formerly Radio Free Asia, established by the Act, were provided in a separate appropriation in 1995. APN was not established during FY 1995, and funds provided, totalling \$5,000,000, were carried forward into 1996.

Object Classification (in millions of dollars)

| Identification code 67-0206-0-1-154 | 1995 actual                                                    | 1996 est. | 1997 est. |
|-------------------------------------|----------------------------------------------------------------|-----------|-----------|
| <b>Direct obligations:</b>          |                                                                |           |           |
| <b>Personnel compensation:</b>      |                                                                |           |           |
| 11.1                                | Full-time permanent .....                                      | 103       | 115       |
| 11.3                                | Other than full-time permanent .....                           | 3         | 3         |
| 11.5                                | Other personnel compensation .....                             | 10        | 11        |
|                                     | Total personnel compensation .....                             | 116       | 129       |
| 12.1                                | Civilian personnel benefits .....                              | 24        | 27        |
| 13.0                                | Benefits for former personnel .....                            | 1         | 1         |
| 21.0                                | Travel and transportation of persons .....                     | 5         | 5         |
| 22.0                                | Transportation of things .....                                 | 3         | 4         |
| 23.1                                | Rental payments to GSA .....                                   |           |           |
| 23.2                                | Rental payments to others .....                                | 7         | 9         |
| 23.3                                | Communications, utilities, and miscellaneous charges .....     | 32        | 22        |
| 25.1                                | Advisory and assistance services .....                         | 1         | 1         |
| 25.2                                | Other services .....                                           | 14        | 16        |
| 25.3                                | Purchases of goods and services from Government accounts ..... | 1         | 1         |
| 25.4                                | Operation and maintenance of facilities .....                  | 1         | 1         |
| 25.7                                | Operation and maintenance of equipment .....                   | 6         | 6         |
| 26.0                                | Supplies and materials .....                                   | 18        | 20        |
| 31.0                                | Equipment .....                                                | 7         | 9         |
| 41.0                                | Grants, subsidies, and contributions .....                     | 8         | 77        |
| 42.0                                | Insurance claims and indemnities .....                         | 1         | 1         |
| 99.0                                | Subtotal, direct obligations .....                             | 245       | 329       |
| 99.0                                | Reimbursable obligations .....                                 | 2         | 2         |
| 99.5                                | Below reporting threshold .....                                | 1         |           |
| 99.9                                | Total obligations .....                                        | 248       | 331       |

Personnel Summary

| Identification code 67-0206-0-1-154 | 1995 actual                                                        | 1996 est. | 1997 est. |
|-------------------------------------|--------------------------------------------------------------------|-----------|-----------|
| <b>Direct:</b>                      |                                                                    |           |           |
| <b>Total compensable workyears:</b> |                                                                    |           |           |
| 1001                                | Full-time equivalent employment .....                              | 2,418     | 2,657     |
| 1005                                | Full-time equivalent of overtime and holiday hours .....           | 90        | 90        |
| <b>Reimbursable:</b>                |                                                                    |           |           |
| 2001                                | Total compensable workyears: Full-time equivalent employment ..... | 10        |           |

AMERICAN STUDIES COLLECTIONS ENDOWMENT FUND

Unavailable Collections (in millions of dollars)

| Identification code 67-8166-0-7-154              | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                          |             |           |           |
| 01.99 Balance, start of year                     |             | 2         | 2         |
| Receipts:                                        |             |           |           |
| 02.01 Payment to American studies endowment fund | 2           |           |           |
| 04.00 Total: Balances and collections            | 2           | 2         | 2         |
| 07.99 Total balance, end of year                 | 2           | 2         | 2         |

Program and Financing (in millions of dollars)

| Identification code 67-8166-0-7-154                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                         |             |           |           |
| 10.00 Total obligations (object class 41.0)              | 1           | 1         |           |
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance                                       |             | 1         |           |
| 22.00 New budget authority (gross)                       |             |           |           |
| 23.90 Total budgetary resources available for obligation |             | 1         |           |
| 23.95 New obligations                                    | -1          | -1        |           |
| 24.40 Unobligated balance available, end of year:        |             |           |           |
| Uninvested balance                                       | 1           |           |           |
| New budget authority (gross), detail:                    |             |           |           |
| 70.00 Total new budget authority (gross)                 |             |           |           |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations                                    | 1           | 1         |           |
| 73.20 Total outlays (gross)                              |             | -1        |           |
| Outlays (gross), detail:                                 |             |           |           |
| 86.93 Outlays from current balances                      |             | 1         |           |
| 87.00 Total outlays (gross)                              |             | 1         |           |
| Net budget authority and outlays:                        |             |           |           |
| 89.00 Budget authority                                   |             |           |           |
| 90.00 Outlays                                            |             | 1         |           |

This program, established by section 235 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, provides for the establishment of collections of American studies materials at university libraries abroad. All available funds will be used in 1996 to complete the purchase of these collections.

Trust Funds

ISRAELI ARAB AND EISENHOWER EXCHANGE FELLOWSHIP PROGRAMS

EISENHOWER EXCHANGE FELLOWSHIP PROGRAM TRUST FUND

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204-05), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, 1997, to remain available until expended: Provided, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A-110 (Uniform Administrative Requirements) and A-122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personnel services.

ISRAELI ARAB SCHOLARSHIP PROGRAM

For necessary expenses of the Israeli Arab Scholarship Program as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, 1997, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the

levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

| Identification code 95-8276-0-7-154                           | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                       |             |           |           |
| 01.99 Balance, start of year                                  | 11          | 12        | 12        |
| Receipts:                                                     |             |           |           |
| 02.01 Payment from the general fund and interest earned       | 2           | 1         | 1         |
| 04.00 Total: Balances and collections                         | 13          | 13        | 13        |
| Appropriation:                                                |             |           |           |
| 05.01 Israeli Arab and Eisenhower exchange fellowship program | -1          | -1        | -1        |
| 07.99 Total balance, end of year                              | 12          | 12        | 12        |

Program and Financing (in millions of dollars)

| Identification code 95-8276-0-7-154                      | 1995 actual | 1996 est. | 1997 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                         |             |           |           |
| 10.00 Total obligations (object class 41.0)              |             | 1         | 1         |
| Budgetary resources available for obligation:            |             |           |           |
| 21.40 Unobligated balance available, start of year:      |             |           |           |
| Uninvested balance                                       | 1           |           |           |
| 22.00 New budget authority (gross)                       | 1           | 1         | 1         |
| 23.90 Total budgetary resources available for obligation | 2           | 1         | 1         |
| 23.95 New obligations                                    |             | -1        | -1        |
| New budget authority (gross), detail:                    |             |           |           |
| 40.27 Appropriation (trust fund, indefinite)             | 1           | 1         | 1         |
| Change in unpaid obligations:                            |             |           |           |
| 73.10 New obligations                                    |             | 1         | 1         |
| 73.20 Total outlays (gross)                              | -1          | -1        | -1        |
| Outlays (gross), detail:                                 |             |           |           |
| 86.90 Outlays from new current authority                 |             |           |           |
| 86.93 Outlays from current balances                      | 1           |           |           |
| 87.00 Total outlays (gross)                              | 1           | 1         | 1         |
| Net budget authority and outlays:                        |             |           |           |
| 89.00 Budget authority                                   | 1           | 1         | 1         |
| 90.00 Outlays                                            | 1           | 1         | 1         |

This presentation shows interest and earnings from two trust funds, the Eisenhower Exchange Fellowship Trust Fund and the Israeli-Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust fund was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli-Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

EASTERN EUROPE STUDENT EXCHANGE ENDOWMENT FUND

Program and Financing (in millions of dollars)

| Identification code 95-8272-0-7-154                         | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation:               |             |           |           |
| 22.00 New budget authority (gross)                          |             |           |           |
| Change in unpaid obligations:                               |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 1           |           |           |
| 73.10 New obligations                                       |             |           |           |
| 73.20 Total outlays (gross)                                 | -1          |           |           |

**EASTERN EUROPE STUDENT EXCHANGE ENDOWMENT FUND—  
Continued**

Program and Financing (in millions of dollars)—Continued

| Identification code 95-8272-0-7-154   | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------|-------------|-----------|-----------|
| Outlays (gross), detail:              |             |           |           |
| 86.98 Outlays from permanent balances | 1           |           |           |
| 87.00 Total outlays (gross)           | 1           |           |           |
| Net budget authority and outlays:     |             |           |           |
| 89.00 Budget authority                |             |           |           |
| 90.00 Outlays                         |             |           |           |

This trust fund, which was created by Congress in 1992 to support an exchange program among secondary school students from the United States and former Warsaw Pact countries in Eastern Europe, was terminated by Section 807(c) of Public Law 102-511. The exchanges originally funded from this account are now being funded from the Agency's Educational and Cultural Exchanges account.

**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND**

Program and Financing (in millions of dollars)

| Identification code 67-8341-0-7-602                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                          |             |           |           |
| 10.00 Total obligations (object class 42.0)                               | 3           | 4         | 5         |
| Budgetary resources available for obligation:                             |             |           |           |
| 21.40 Unobligated balance available, start of year: Uninvested balance    | 4           | 5         | 3         |
| 22.00 New budget authority (gross)                                        | 4           | 2         | 2         |
| 23.90 Total budgetary resources available for obligation                  | 8           | 7         | 5         |
| 23.95 New obligations                                                     | -3          | -4        | -5        |
| 24.40 Unobligated balance available, end of year: Uninvested balance      | 5           | 3         |           |
| New budget authority (gross), detail:                                     |             |           |           |
| 60.27 Appropriation (trust fund, indefinite)                              | 4           | 2         | 2         |
| Change in unpaid obligations:                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation | 1           | 1         | 2         |
| 73.10 New obligations                                                     | 3           | 4         | 5         |
| 73.20 Total outlays (gross)                                               | -3          | -3        | -2        |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation   | 1           | 2         | 5         |
| Outlays (gross), detail:                                                  |             |           |           |
| 86.97 Outlays from new permanent authority                                | 3           | 2         | 2         |
| 87.00 Total outlays (gross)                                               | 3           | 3         | 2         |
| Net budget authority and outlays:                                         |             |           |           |
| 89.00 Budget authority                                                    | 4           | 2         | 2         |
| 90.00 Outlays                                                             | 3           | 3         | 2         |

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Information Agency in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, is maintained by annual government contributions which are appropriated in the Agency's Salaries and expenses account.

**MISCELLANEOUS TRUST FUNDS**

Unavailable Collections (in millions of dollars)

| Identification code 67-9971-0-7-154                          | 1995 actual | 1996 est. | 1997 est. |
|--------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year:                                      |             |           |           |
| 01.99 Balance, start of year                                 |             |           | 1         |
| Receipts:                                                    |             |           |           |
| 02.02 Contributions, Educational and Cultural Exchange, USIA | 1           | 1         | 1         |
| 02.03 Interest, Miscellaneous trust funds, USIA              | 1           | 1         | 1         |
| 02.99 Total receipts                                         | 2           | 2         | 2         |
| 04.00 Total: Balances and collections                        | 2           | 2         | 3         |
| Appropriation:                                               |             |           |           |
| 05.01 Miscellaneous trust funds                              | -2          | -1        | -1        |
| 07.99 Total balance, end of year                             |             | 1         | 2         |

Program and Financing (in millions of dollars)

| Identification code 67-9971-0-7-154                                       | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity:                                          |             |           |           |
| 10.00 Total obligations (object class 41.0)                               | 1           | 1         | 1         |
| Budgetary resources available for obligation:                             |             |           |           |
| 21.40 Unobligated balance available, start of year: Uninvested balance    | 3           | 4         | 4         |
| 22.00 New budget authority (gross)                                        | 2           | 1         | 1         |
| 23.90 Total budgetary resources available for obligation                  | 5           | 5         | 5         |
| 23.95 New obligations                                                     | -1          | -1        | -1        |
| 24.40 Unobligated balance available, end of year: Uninvested balance      | 4           | 4         | 3         |
| New budget authority (gross), detail:                                     |             |           |           |
| 60.27 Appropriation (trust fund, indefinite)                              | 2           | 1         | 1         |
| Change in unpaid obligations:                                             |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation | 3           | 3         | 3         |
| 73.10 New obligations                                                     | 1           | 1         | 1         |
| 73.20 Total outlays (gross)                                               | -2          | -1        | -1        |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation   | 3           | 3         | 4         |
| Outlays (gross), detail:                                                  |             |           |           |
| 86.97 Outlays from new permanent authority                                | 1           | 1         | 1         |
| 87.00 Total outlays (gross)                                               | 2           | 1         | 1         |
| Net budget authority and outlays:                                         |             |           |           |
| 89.00 Budget authority                                                    | 2           | 1         | 1         |
| 90.00 Outlays                                                             | 1           | 1         | 1         |

Funds advanced by other governments, business concerns, and private organizations are used to send experts abroad to perform requested services; to give foreign nationals scientific, technical, or other training; to purchase films and other products owned or controlled by the United States Information Agency; to replace damaged or destroyed United States Information Agency property; and for international exhibitions (22 U.S.C. 1431-1479; 70 Stat. 778).

Funds contributed by private individuals and concerns, foreign governments, and international organizations are used for the purposes of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455) and for carrying out other functions of the Agency (22 U.S.C. 809a and 22 U.S.C. 2697).

**UNITED STATES INSTITUTE OF PEACE**

**Federal Funds**

**General and special funds:**

OPERATING EXPENSES

For necessary expenses of the United States Institute of Peace, as authorized in the United States Institute of Peace Act, \$11,160,000.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

| Program and Financing (in millions of dollars)              |             |           |           |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-1300-0-1-153                         | 1995 actual | 1996 est. | 1997 est. |
| <b>Obligations by program activity:</b>                     |             |           |           |
| 10.00 Total obligations                                     | 11          | 9         | 11        |
| <b>Budgetary resources available for obligation:</b>        |             |           |           |
| 22.00 New budget authority (gross)                          | 11          | 9         | 11        |
| 23.95 New obligations                                       | -11         | -9        | -11       |
| <b>New budget authority (gross), detail:</b>                |             |           |           |
| 40.00 Appropriation                                         | 11          | 9         | 11        |
| <b>Change in unpaid obligations:</b>                        |             |           |           |
| 72.40 Unpaid obligations, start of year: Obligated balance: |             |           |           |
| Appropriation                                               | 1           | 1         |           |
| 73.10 New obligations                                       | 11          | 9         | 11        |
| 73.20 Total outlays (gross)                                 | -12         | -10       | -11       |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |             |           |           |
| Appropriation                                               | 1           |           |           |
| <b>Outlays (gross), detail:</b>                             |             |           |           |
| 86.90 Outlays from new current authority                    | 11          | 9         | 11        |
| 86.93 Outlays from current balances                         | 1           | 1         |           |
| 87.00 Total outlays (gross)                                 | 12          | 10        | 11        |
| <b>Net budget authority and outlays:</b>                    |             |           |           |
| 89.00 Budget authority                                      | 11          | 9         | 11        |
| 90.00 Outlays                                               | 12          | 10        | 11        |

The United States Institute of Peace (USIP) was established by Congress to conduct and support research and scholarship in the fields of international peace and conflict resolution. Program activity includes grants and fellowships, publishing research and educational materials, preparing educational video materials, presenting public workshops, developing a research library network, and sponsoring a national student essay contest.

| Object Classification (in millions of dollars)   |             |           |           |
|--------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-1300-0-1-153              | 1995 actual | 1996 est. | 1997 est. |
| 11.1 Personnel compensation: Full-time permanent | 3           | 3         | 3         |
| 12.1 Civilian personnel benefits                 | 1           | 1         | 1         |
| 21.0 Travel and transportation of persons        | 1           | 1         | 1         |
| 25.2 Other services                              | 1           | 1         | 1         |
| 41.0 Grants, subsidies, and contributions        | 5           | 3         | 5         |
| 99.9 Total obligations                           | 11          | 9         | 11        |

| Personnel Summary                                                 |             |           |           |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 95-1300-0-1-153                               | 1995 actual | 1996 est. | 1997 est. |
| 1001 Total compensable workyears: Full-time equivalent employment | 52          | 53        | 53        |

**WASHINGTON METROPOLITAN AREA  
TRANSIT AUTHORITY**

**Federal Funds**

**General and special funds:**

**INTEREST PAYMENTS AND REPAYMENTS OF PRINCIPAL**

| Program and Financing (in millions of dollars) |             |           |           |
|------------------------------------------------|-------------|-----------|-----------|
| Identification code 46-0300-0-1-401            | 1995 actual | 1996 est. | 1997 est. |
| <b>Obligations by program activity:</b>        |             |           |           |
| 10.00 Total obligations (object class 41.0)    | 12          |           |           |

| Budgetary resources available for obligation:            |     |  |  |
|----------------------------------------------------------|-----|--|--|
| 21.40 Unobligated balance available, start of year:      |     |  |  |
| Uninvested balance                                       | 6   |  |  |
| 22.00 New budget authority (gross)                       | 6   |  |  |
| 23.90 Total budgetary resources available for obligation | 12  |  |  |
| 23.95 New obligations                                    | -12 |  |  |

| New budget authority (gross), detail:    |      |  |  |
|------------------------------------------|------|--|--|
| 40.00 Appropriation                      | 665  |  |  |
| 40.05 Appropriation (indefinite)         | 6    |  |  |
| 40.47 Portion applied to debt reduction  | -665 |  |  |
| 43.00 Appropriation (total)              | 6    |  |  |
| 70.00 Total new budget authority (gross) | 6    |  |  |

| Change in unpaid obligations:                               |     |    |  |
|-------------------------------------------------------------|-----|----|--|
| 72.40 Unpaid obligations, start of year: Obligated balance: |     |    |  |
| Appropriation                                               | 25  | 1  |  |
| 73.10 New obligations                                       | 12  |    |  |
| 73.20 Total outlays (gross)                                 | -37 | -1 |  |
| 74.40 Unpaid obligations, end of year: Obligated balance:   |     |    |  |
| Appropriation                                               | 1   |    |  |

| Outlays (gross), detail:                 |    |   |  |
|------------------------------------------|----|---|--|
| 86.90 Outlays from new current authority | 6  |   |  |
| 86.93 Outlays from current balances      | 31 |   |  |
| 87.00 Total outlays (gross)              | 37 | 1 |  |

| Net budget authority and outlays: |    |   |  |
|-----------------------------------|----|---|--|
| 89.00 Budget authority            | 6  |   |  |
| 90.00 Outlays                     | 37 | 1 |  |

The Washington Metropolitan Area Transit Authority (WMATA) is a non-Federal agency established pursuant to an interstate compact among Maryland, Virginia, and the District of Columbia. The Authority's primary functions are to plan, develop, finance, and construct the Metrorail rapid transit system and to operate the bus/rail transit system in the National Capital area. The rail system extends from the District of Columbia into the participating jurisdictions of Maryland and Virginia.

Through 1994, this WMATA account provided the annual Federal share (two-thirds) of interest payments on outstanding WMATA bonds sold in support of the rail construction program. The WMATA bonds, which totaled \$997 million, were guaranteed by the Federal Government and were to become due beginning in the year 2012.

In December 1993, the WMATA bonds were refinanced in order to take advantage of lower interest rates. As part of this refinancing, the Department of Transportation borrowed \$665 million from the Federal Financing Bank (FFB) to pay off the Federal two-thirds share of the original bonds.

In 1995, \$665 million was appropriated to repay the principal owed by the Department of Transportation to the FFB. In addition, an indefinite appropriation was enacted to pay \$12 million in interest costs to the FFB. The only remaining activity in this account will be the spend-out of obligated balances of funds that were directly appropriated to WMATA for the construction of the Metrorail system and for the construction of facilities throughout the system for senior citizens and persons with disabilities. No funds are requested for this account in 1997.