

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; and for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; **[\$1,186,072,000]** \$1,306,178,000, of which not to exceed **[\$145,434,000]** \$149,273,000 in prescription drug user fees authorized by 21 U.S.C. 379(h) may be credited to this appropriation and remain available until expended: *Provided*, That [fees derived from applications received during fiscal year 2000 shall be subject to the fiscal year 2000 limitation: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) \$269,245,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs; (2) \$309,026,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs, of which no less than \$11,542,000 shall be available for grants and contracts awarded under section 5 of the Orphan Drug Act (21 U.S.C. 360ee); (3) \$132,092,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$48,821,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$154,271,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs, of which \$1,000,000 shall be for premarket review, enforcement and oversight activities related to users and manufacturers of all reprocessed medical devices as authorized by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321 et seq.), and of which no less than \$55,500,000 and 522 full-time equivalent positions shall be for premarket application review activities to meet statutory review times; (6) \$34,536,000 shall be for the National Center for Toxicological Research; (7) \$34,000,000 shall be for the Office of Tobacco; (8) \$25,855,000 shall be for Rent and Related activities, other than the amounts paid to the General Services Administration; (9) \$100,180,000 shall be for payments to the General Services Administration for rent and related costs; and (10) \$78,046,000 shall be for other activities, including the Office of the Commissioner; the Office of Policy; the Office of the Senior Associate Commissioner; the Office of International and Constituent Relations; the Office of Policy, Legislation, and Planning; and central services for these offices: *Provided further*, That funds may be transferred from one specified activity to another with the prior approval of the Committee on Appropriations of both Houses of Congress] **no more than \$104,954,000 shall be for payments to the General Services Administration for rent and related costs.**

In addition, mammography user fees authorized by 42 U.S.C. 263(b) may be credited to this account, to remain available until expended.

In addition, export certification user fees authorized by 21 U.S.C. 381, *as amended*, may be credited to this account, to remain available until expended.

BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$11,350,000.]** to remain available until expended **\$31,350,000, and to become available on October 1, 2001, \$23,000,000.** (7 U.S.C. 2209b). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2000.*)

Unavailable Collections (in millions of dollars)

| Identification code 75-9911-0-1-554 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Cooperative research and development agreements | 1 | 1 | 1 |
| Appropriation: | | | |
| 05.01 Salaries and expenses | -1 | -1 | -1 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 75-9911-0-1-554 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Foods | 235 | 267 | 303 |
| 00.02 Drugs | 338 | 357 | 414 |
| 00.03 Devices and radiological products | 146 | 154 | 172 |
| 00.04 National center for toxicological research | 32 | 34 | 38 |
| 00.05 Tobacco | 34 | 34 | 39 |
| 00.06 Other activities | 75 | 72 | 66 |
| 00.07 Other rent and rent related activities | 26 | 26 | 26 |
| 00.08 Rental payments | 83 | 94 | 99 |
| 00.09 Buildings and facilities | 16 | 11 | 31 |
| 00.10 CRADAs | 1 | 1 | 1 |
| 09.01 Reimbursable program | 156 | 181 | 200 |
| 10.00 Total new obligations | 1,142 | 1,231 | 1,389 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 95 | 106 | 106 |
| 22.00 New budget authority (gross) | 1,147 | 1,231 | 1,389 |
| 22.10 Resources available from recoveries of prior year obligations | 6 | | |
| 23.90 Total budgetary resources available for obligation | 1,248 | 1,337 | 1,495 |
| 23.95 Total new obligations | -1,142 | -1,231 | -1,389 |
| 24.40 Unobligated balance available, end of year | 106 | 106 | 108 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 982 | 1,052 | 1,188 |
| 40.75 Reduction pursuant to P.L. 106-51 | -2 | | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -3 | |
| 43.00 Appropriation (total discretionary) | 980 | 1,049 | 1,188 |
| Mandatory: | | | |
| 60.25 Appropriation (special fund, indefinite) | 1 | 1 | 1 |
| Spending authority from offsetting collections: | | | |
| Discretionary: | | | |
| 68.00 Offsetting collections (cash) | 164 | 181 | 200 |
| 68.10 From Federal sources: Change in receivables and unpaid, unfilled orders | 2 | | |
| 68.90 Spending authority from offsetting collections (total discretionary) | 166 | 181 | 200 |
| 70.00 Total new budget authority (gross) | 1,147 | 1,231 | 1,389 |
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| 72.40 Obligated balance, start of year | 324 | 330 | 333 |
| 72.95 From Federal sources: Receivables and unpaid, unfilled orders | | 2 | 2 |
| 72.99 Total unpaid obligations, start of year | 324 | 332 | 335 |
| 73.10 Total new obligations | 1,142 | 1,231 | 1,389 |
| 73.20 Total outlays (gross) | -1,112 | -1,228 | -1,393 |
| 73.40 Adjustments in expired accounts (net) | -18 | | |
| 73.45 Adjustments in unexpired accounts | -6 | | |
| Unpaid obligations, end of year: | | | |
| 74.40 Obligated balance, end of year | 330 | 333 | 329 |
| 74.95 From Federal sources: Receivables and unpaid, unfilled orders | 2 | 2 | 2 |

General and special funds—Continued

BUILDINGS AND FACILITIES—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 75-9911-0-1-554 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------------------------------|-------------|-----------|-----------|
| 74.99 Total unpaid obligations, end of year | 332 | 335 | 331 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 930 | 958 | 1,098 |
| 86.93 Outlays from discretionary balances | 182 | 269 | 294 |
| 86.97 Outlays from new mandatory authority | 1 | 1 | 1 |
| 87.00 Total outlays (gross) | 1,112 | 1,228 | 1,393 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.40 Offsetting collections (cash) from: Non-Federal sources | -164 | -181 | -200 |
| Against gross budget authority only: | | | |
| 88.95 From Federal sources: Change in receivables and unpaid, unfiled orders | -2 | | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 981 | 1,050 | 1,189 |
| 90.00 Outlays | 948 | 1,047 | 1,193 |

(In millions of dollars)

| | 1999 | 2000 | 2001 |
|-----------------------------------------------------|------|------|-------|
| Distribution of budget authority by account: | | | |
| Salaries and expenses | 886 | 944 | 1,058 |
| Rental payments (FDA) | 83 | 94 | 99 |
| Buildings and facilities | 16 | 11 | 31 |
| Distribution of outlays by account: | | | |
| Salaries and expenses | 839 | 926 | 1,074 |
| Rental payments (FDA) | 83 | 98 | 99 |
| Buildings and facilities | 26 | 23 | 18 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 981 | 1,050 | 1,189 |
| Outlays | 948 | 1,047 | 1,193 |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | |
| Outlays | | | |
| Total: | | | |
| Budget Authority | 981 | 1,050 | 1,189 |
| Outlays | 948 | 1,047 | 1,193 |

The Food and Drug Administration (FDA) administers laws concerning misbranded and adulterated foods, drugs, human biologics, medical devices, cosmetics, and human-made sources of radiation. The Budget provides a \$163.2 million (+13 percent) increase over the FY 2000 enacted program level. The Budget includes \$1,156.9 million for Salaries and expenses, which includes funding for the food safety initiative and tobacco regulation, as well as initiatives for the reporting of medical errors and the prevention of illegal sales of prescription drugs over the Internet. Of the Salaries and expenses amount, \$99.1 million will be used for payments to the General Services Administration for rent and rent related costs (an additional \$5.9 million will be derived from fees). The Budget reflects the transfer of the Seafood Inspection Division of the National Oceanic and Atmospheric Administration of the Department of Commerce to the FDA, which will be financed with \$12.7 million in currently authorized fees. In addition, the Budget includes \$189.9 million for user fees, an increase of \$24.7 million in user fees over FY 2000, which will be used to finance FDA activities. Of the \$189.9 million in user fees, \$19.5 million consists of new user fees related to the review of direct food additive petitions, food export certificates, and for the review of medical device applications, which are represented in the legislative proposal schedule. The buildings and facilities appropriation of \$31.4

million provides funds for projects related to the repair, construction, alteration, and improvement of all buildings and facilities of FDA, including a replacement laboratory in Los Angeles.

Object Classification (in millions of dollars)

| Identification code 75-9911-0-1-554 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 443 | 480 | 522 |
| 11.3 Other than full-time permanent | 28 | 30 | 32 |
| 11.5 Other personnel compensation | 16 | 17 | 19 |
| 11.9 Total personnel compensation | 487 | 527 | 573 |
| 12.1 Civilian personnel benefits | 112 | 121 | 132 |
| 21.0 Travel and transportation of persons | 19 | 19 | 21 |
| 22.0 Transportation of things | 2 | 2 | 3 |
| 23.1 Rental payments to GSA | 83 | 94 | 99 |
| 23.2 Rental payments to others | 5 | 5 | 7 |
| 23.3 Communications, utilities, and miscellaneous charges | 20 | 20 | 20 |
| 24.0 Printing and reproduction | 2 | 3 | 3 |
| 25.1 Advisory and assistance services | 12 | 12 | 19 |
| 25.2 Other services | 62 | 62 | 90 |
| 25.3 Purchases of goods and services from Government accounts | 43 | 44 | 55 |
| 25.4 Operation and maintenance of facilities | 24 | 24 | 25 |
| 25.5 Research and development contracts | 16 | 16 | 17 |
| 25.7 Operation and maintenance of equipment | 19 | 19 | 20 |
| 26.0 Supplies and materials | 14 | 14 | 18 |
| 31.0 Equipment | 29 | 30 | 41 |
| 32.0 Land and structures | 15 | 15 | 23 |
| 41.0 Grants, subsidies, and contributions | 20 | 21 | 21 |
| 42.0 Insurance claims and indemnities | 2 | 2 | 2 |
| 99.0 Subtotal, direct obligations | 986 | 1,050 | 1,189 |
| 99.0 Reimbursable obligations | 156 | 181 | 200 |
| 99.9 Total new obligations | 1,142 | 1,231 | 1,389 |

Personnel Summary

| Identification code 75-9911-0-1-554 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 7,851 | 7,994 | 8,203 |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 1,013 | 978 | 1,164 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 14 | 2 | |

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of authorizing legislation, up to \$19,483,000 derived from fees assessed for activities related to the review of direct food additive petitions, the issuing of food export certificates, and the review of medical device applications may be collected and credited to this appropriation, to remain available until expended for those activities.

Program and Financing (in millions of dollars)

| Identification code 75-9911-2-1-554 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 09.01 Additive user fees | | | 19 |
| 10.00 Total new obligations | | | 19 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 19 |
| 23.95 Total new obligations | | | -19 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) | | | 19 |

| | | | |
|---------------------------------------------|---------------------------------------------------------|-----|--|
| Change in unpaid obligations: | | | |
| 73.10 | Total new obligations | 19 | |
| 73.20 | Total outlays (gross) | -19 | |
| Outlays (gross), detail: | | | |
| 86.90 | Outlays from new discretionary authority | 19 | |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.40 | Offsetting collections (cash) from: Non-Federal sources | -19 | |
| Net budget authority and outlays: | | | |
| 89.00 | Budget authority | | |
| 90.00 | Outlays | | |

The Budget includes \$189.9 million in user fees, of which \$19.5 million are new user fees to finance FDA activities as reflected in the legislative proposal schedule. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fees subject to appropriations action.

Object Classification (in millions of dollars)

| Identification code 75-9911-2-1-554 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|--------------------------------------------------------------|-----------|-----------|
| 99.0 | Reimbursable obligations: Subtotal, reimbursable obligations | | 19 |
| 99.9 | Total new obligations | | 19 |

Personnel Summary

| Identification code 75-9911-2-1-554 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|--------------------------------------------------------------|-----------|-----------|
| 2001 | Total compensable workyears: Full-time equivalent employment | | 108 |

Public enterprise funds:

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

| Identification code 75-4309-0-3-554 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|---------------------------------------------------------------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 | Total new obligations | 7 | 4 |
| Budgetary resources available for obligation: | | | |
| 21.40 | Unobligated balance available, start of year | 6 | 3 |
| 22.00 | New budget authority (gross) | 4 | 4 |
| 23.90 | Total budgetary resources available for obligation | 10 | 7 |
| 23.95 | Total new obligations | -7 | -4 |
| 24.40 | Unobligated balance available, end of year | 3 | 3 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 69.00 | Offsetting collections (cash) | 4 | 4 |
| Change in unpaid obligations: | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 2 | 1 |
| 73.10 | Total new obligations | 7 | 4 |
| 73.20 | Total outlays (gross) | -7 | -4 |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 1 | 1 |
| Outlays (gross), detail: | | | |
| 86.97 | Outlays from new mandatory authority | 4 | 4 |
| 86.98 | Outlays from mandatory balances | 3 | |

| | | | | |
|---------------------------------------------|---------------------------------------------------------|----|----|----|
| 87.00 | Total outlays (gross) | 7 | 4 | 4 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.40 | Offsetting collections (cash) from: Non-Federal sources | -4 | -4 | -4 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | | | |
| 90.00 | Outlays | 3 | | |

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

| Identification code 75-4309-0-3-554 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|---------------------------------------------|-----------|-----------|
| 11.1 | Personnel compensation: Full-time permanent | 2 | 2 |
| 12.1 | Civilian personnel benefits | | 1 |
| 23.1 | Rental payments to GSA | 1 | |
| 25.2 | Other services | 3 | 1 |
| 31.0 | Equipment | 1 | |
| 99.9 | Total new obligations | 7 | 4 |

Personnel Summary

| Identification code 75-4309-0-3-554 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|--------------------------------------------------------------|-----------|-----------|
| 2001 | Total compensable workyears: Full-time equivalent employment | 32 | 35 |

ADMINISTRATIVE PROVISION, FOOD AND DRUG ADMINISTRATION

Effective October 1, 2000, (1) the functions and authorities related to fish or fishery products under the Agricultural Marketing Act of 1946, including inspections and other activities authorized under section 203(h) of that Act, are transferred from the Secretary of Commerce to the Secretary of Health and Human Services ("HHS"); (2) the Secretary of Commerce shall transfer to the Secretary of HHS (A) all personnel of the Seafood Inspection Division of the National Oceanic and Atmospheric Administration and such other employees of the Department of Commerce as may be designated by the Secretary of Commerce, with the concurrence of the Secretary of HHS, all of whom shall become personnel of a voluntary seafood inspection unit within the Food and Drug Administration ("FDA"); and (B) all assets and liabilities of the Department of Commerce pertaining to the activities specified in clause (1), which shall become assets and liabilities of such seafood inspection unit, including facilities, contracts, property, records, accounts payable and receivable, and unexpended and unobligated balances of funds; (3) all rules, regulations, administrative directives, grants, contracts, and other determinations and agreements in effect on such date relating to the activities specified in clause (1) shall remain in effect until modified by the Secretary of HHS; (4) the Secretary of HHS is authorized to promulgate, without comment, a final rule transferring to title 21, Code of Federal Regulations, regulations of the Secretary of Commerce necessary to carry out the activities specified in clause (1); (5) all activities of such seafood inspection unit shall be funded exclusively from fees charged for, and other amounts specifically appropriated for, such activities, and fees collected and amounts appropriated for such activities shall not be used for any other purpose; and (6) for purposes of any reduction in the personnel complement of the FDA on or before September 30, 2005, such unit will be deemed a distinct competitive area within the FDA, under 5 CFR 351.402.

**HEALTH RESOURCES AND SERVICES
ADMINISTRATION**

Federal Funds

General and special funds:

HEALTH RESOURCES AND SERVICES

For carrying out titles II, III, VII, VIII, X, XII, XIX, and XXVI of the Public Health Service Act, section 427(a) of the Federal Coal Mine Health and Safety Act, title V and section 1820 of the Social Security Act, the Health Care Quality Improvement Act of 1986, as amended, and the Native Hawaiian Health Care Act of 1988, as amended, [\$4,584,721,000, of which \$150,000 shall remain available until expended for interest subsidies on loan guarantees made prior to fiscal year 1981 under part B of title VII of the Public Health Service Act, and of which \$122,182,000 shall be available for the construction and renovation of health care and other facilities, and] \$4,681,337,000, of which \$25,000,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program under section 1820 of such Act: *Provided*, That the Division of Federal Occupational Health may utilize personal services contracting to employ professional management/administrative and occupational health professionals: *Provided further*, That of the funds made available under this heading, \$250,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under the Act sufficient to recover the full costs of operating the National Practitioner Data Bank, and shall remain available until expended to carry out that Act: *Provided further*, That no more than \$5,000,000 is available for carrying out the provisions of Public Law 104-73: *Provided further*, That of the funds made available under this heading, [\$238,932,000] \$273,932,000 shall be for the program under title X of the Public Health Service Act to provide for voluntary family planning projects: *Provided further*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office: *Provided further*, That [\$528,000,000] \$554,000,000 shall be for State AIDS Drug Assistance Programs authorized by section 2616 of the Public Health Service Act: *Provided further*, That of the funds made available under this heading, \$90,000,000 shall be for grants for reducing infant mortality consistent with the methods used in the Healthy Start Infant Mortality Demonstration Project grants from 1991 through 2000: *Provided further*, That such funds will be available first to continue making grants to Healthy Start grantees that were awarded funds on or before January 15, 2000, whose current grant award continues in FY 2001: *Provided further*, That if the total amount of such grant awards is less than \$90,000,000, at least 95% of the remaining funds shall be available for States, under the cost sharing provisions of section 503 of such Act, according to the ratio that infant mortality in each State bears to the total of infant mortality in the United States, as measured by the Centers for Disease Control and Prevention for the most recently available calendar year, and up to 5% of remaining funds shall be available for States for technical assistance: *Provided further*, That these grants use those Healthy Start methods that have been proven successful and are designed to reduce infant mortality within high-incidence areas by 50% over 5 years: *Provided further*, That each State shall include in its annual audit report, as required by section 506 of such Act, an additional section reporting on Healthy Start: *Provided further*, That sections 507, 508, and 509 of such Act apply to said State allotments: *Provided further*, That, notwithstanding section 502(a)(1) of the Social Security Act, not to exceed [\$109,307,000] \$109,148,000 is available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act: *Provided further*, That of the amount provided under this heading, \$40,000,000 shall be available for children's hospitals graduate medical education payments, subject to authorization: *Provided further*, That of the amount provided under this heading, \$900,000 shall be for the American Federation of Negro Affairs Education and Research Fund: *Provided further*, That notwithstanding section 757(b)(2) of the Public Health Service Act, \$28,587,000 shall be available under section 751, \$3,765,000 under section 752, \$4,720,000 under section 754,

and \$3,838,000 under section 755. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-0350-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Health centers | 919 | 1,014 | 1,064 |
| 00.02 National Health Service Corps | 37 | 38 | 38 |
| 00.03 National Health Service Corps recruitment | 78 | 79 | 79 |
| 00.04 Hansen's disease center | 22 | 20 | 17 |
| 00.09 Payment to Hawaii for the treatment of Hansen's disease | 2 | 2 | 2 |
| 00.10 Black lung clinics | 5 | 6 | 6 |
| 00.11 Nursing loan repayment | 2 | 2 | 2 |
| 00.12 Health professions | 302 | 342 | 298 |
| 00.13 Maternal and child health block grant | 700 | 709 | 799 |
| 00.14 Healthy start | 105 | 90 | |
| 00.15 Universal newborn hearing | | 3 | 3 |
| 00.16 Emergency medical services | 15 | 17 | 15 |
| 00.17 Poison control centers | | 3 | 1 |
| 00.18 HIV/AIDS | 1,411 | 1,595 | 1,720 |
| 00.19 Organ transplantation | 10 | 10 | 15 |
| 00.20 Health care facilities | 65 | 112 | |
| 00.21 Bone marrow donor registry | 18 | 18 | 18 |
| 00.22 Rural health policy development | 12 | 33 | 6 |
| 00.23 Rural health outreach grants | 38 | 36 | 38 |
| 00.24 Rural health flexibility grants | 25 | 25 | 25 |
| 00.25 Office for the advancement of telehealth | | | 6 |
| 00.26 Program management | 119 | 125 | 124 |
| 00.27 Family planning | 215 | 239 | 274 |
| 00.28 Abstinence education | 49 | 50 | 50 |
| 00.29 Health care access for the uninsured | | 25 | 125 |
| 00.30 One-time access demos | | 15 | |
| 00.31 Health centers tort claims fund | 5 | 5 | 5 |
| 00.32 Health centers guarantee program account | | 3 | 1 |
| 03.00 Total direct programs | 4,154 | 4,616 | 4,731 |
| 09.01 Reimbursable program | 100 | 124 | 127 |
| 10.00 Total new obligations | 4,254 | 4,740 | 4,858 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 47 | 50 | 48 |
| 22.00 New budget authority (gross) | 4,257 | 4,738 | 4,858 |
| 22.10 Resources available from recoveries of prior year obligations | 2 | | |
| 23.90 Total budgetary resources available for obligation | 4,306 | 4,788 | 4,906 |
| 23.95 Total new obligations | -4,254 | -4,740 | -4,858 |
| 23.98 Unobligated balance expiring or withdrawn | -2 | | |
| 24.40 Unobligated balance available, end of year | 50 | 48 | 48 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 4,108 | 4,585 | 4,681 |
| 40.75 Reduction pursuant to P.L. 106-51 | -1 | | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -21 | |
| 41.00 Transferred to other accounts | -1 | | |
| 43.00 Appropriation (total discretionary) | 4,106 | 4,564 | 4,681 |
| Mandatory: | | | |
| 60.00 Appropriation | 50 | 50 | 50 |
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 101 | 121 | 123 |
| Mandatory: | | | |
| 69.00 Offsetting collections (cash) | | 3 | 4 |
| 70.00 Total new budget authority (gross) | 4,257 | 4,738 | 4,858 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 2,726 | 3,078 | 3,353 |
| 73.10 Total new obligations | 4,254 | 4,740 | 4,858 |
| 73.20 Total outlays (gross) | -3,859 | -4,444 | -4,746 |
| 73.40 Adjustments in expired accounts (net) | -41 | -21 | 4 |
| 73.45 Adjustments in unexpired accounts | -2 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 3,078 | 3,353 | 3,469 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 1,611 | 1,837 | 1,922 |
| 86.93 Outlays from discretionary balances | 2,218 | 2,562 | 2,771 |

| | | | | |
|---------------------------------------------|--------------------------------------------|-------|-------|-------|
| 86.97 | Outlays from new mandatory authority | 10 | 15 | 16 |
| 86.98 | Outlays from mandatory balances | 20 | 30 | 38 |
| 87.00 | Total outlays (gross) | 3,859 | 4,444 | 4,746 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| Offsetting collections (cash) from: | | | | |
| 88.00 | Federal sources | - 85 | - 106 | - 106 |
| 88.40 | Non-Federal sources | - 16 | - 18 | - 21 |
| 88.90 | Total, offsetting collections (cash) | - 101 | - 124 | - 127 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 4,156 | 4,614 | 4,731 |
| 90.00 | Outlays | 3,758 | 4,320 | 4,619 |

[Dollars in millions]

| | 1999 | 2000 | 2001 |
|-----------------------------------------------------|-------|-------|-------|
| Distribution of budget authority by account: | | | |
| Health resources and services | 4,151 | 4,609 | 4,726 |
| Health centers malpractice claims | 5 | 5 | 5 |
| Distribution of outlays by account: | | | |
| Health resources and services | 3,753 | 4,315 | 4,614 |
| Health centers malpractice claims | 5 | 5 | 5 |

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 75-0350-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------------|-------------|-----------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: | | | |
| 2150 Health centers: Facilities renovation loan guarantee levels | | 54 | 34 |
| 2150 Health centers: Managed care network development loan guarantee levels | | 20 | 7 |
| 2150 Health centers: Managed care plan loan guarantee levels | | 26 | 10 |
| 2159 Total loan guarantee levels | | 100 | 51 |
| Guaranteed loan subsidy (in percent): | | | |
| 2320 Facilities loan subsidy rate | 2.26 | 0.71 | 0.43 |
| 2320 Managed care network development loan subsidy rate | 8.59 | 8.19 | 7.71 |
| 2320 Managed care plan loan subsidy rate | 5.11 | 4.16 | 3.93 |
| 2329 Weighted average subsidy rate | 4.27 | 3.10 | 2.11 |
| Guaranteed loan subsidy budget authority: | | | |
| 2330 Facilities loan subsidy budget authority | | | |
| 2330 Managed care network development loan subsidy budget authority | | 2 | 1 |
| 2330 Managed care plan loan subsidy budget authority | | 1 | |
| 2339 Total subsidy budget authority | | 3 | 1 |
| Guaranteed loan subsidy outlays: | | | |
| 2340 Facilities loan subsidy outlays | | | |
| 2340 Managed care network development loan subsidy outlays | | 2 | 1 |
| 2340 Managed care plan loan subsidy outlays | | 1 | |
| 2349 Total subsidy outlays | | 3 | 1 |
| Administrative expense data: | | | |
| 3510 Administrative expenses | 1 | 1 | 1 |
| 3580 Outlays from balances | | | |
| 3590 Outlays for administrative expenses | 1 | 1 | 1 |

Activities displayed here support categorical health resources and services grants and the Medical malpractice claims fund, which pays malpractice claims filed against employees of federally-supported health centers. In FY 2001, Healthy Start will become a separate grant program within the maternal and child health block grant.

Object Classification (in millions of dollars)

| Identification code 75-0350-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 97 | 103 | 105 |
| 11.3 Other than full-time permanent | 3 | 4 | 4 |
| 11.5 Other personnel compensation | 4 | 4 | 4 |
| 11.9 Total personnel compensation | 104 | 111 | 113 |
| 12.1 Civilian personnel benefits | 26 | 28 | 28 |

| | | | | |
|------|----------------------------------------------------------------|-------|-------|-------|
| 21.0 | Travel and transportation of persons | 4 | 5 | 6 |
| 22.0 | Transportation of things | 1 | 1 | 1 |
| 23.1 | Rental payments to GSA | 10 | 12 | 12 |
| 23.3 | Communications, utilities, and miscellaneous charges | 5 | 5 | 5 |
| 24.0 | Printing and reproduction | 1 | 1 | 1 |
| 25.1 | Advisory and assistance services | 45 | 50 | 50 |
| 25.2 | Other services | 51 | 87 | 124 |
| 25.3 | Purchases of goods and services from Government accounts | 55 | 60 | 62 |
| 25.4 | Operation and maintenance of facilities | 2 | 1 | 1 |
| 25.6 | Medical care | 1 | 1 | 1 |
| 25.7 | Operation and maintenance of equipment | 3 | 4 | 3 |
| 26.0 | Supplies and materials | 2 | 3 | 3 |
| 31.0 | Equipment | 3 | 4 | 4 |
| 41.0 | Grants, subsidies, and contributions | 3,836 | 4,238 | 4,312 |
| 42.0 | Insurance claims and indemnities | 5 | 5 | 5 |
| 99.0 | Subtotal, direct obligations | 4,154 | 4,616 | 4,731 |
| 99.0 | Reimbursable obligations | 100 | 124 | 127 |
| 99.9 | Total new obligations | 4,254 | 4,740 | 4,858 |

Personnel Summary

| Identification code 75-0350-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 1,607 | 1,744 | 1,681 |
| 1011 Exempt Full-time equivalent employment | 82 | | |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 199 | 241 | 241 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 5 | 11 | 11 |

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

| Identification code 75-0320-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 42.0) | 47 | 52 | |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 61 | 115 | 64 |
| 22.00 New budget authority (gross) | 100 | | |
| 22.10 Resources available from recoveries of prior year obligations | 1 | | |
| 23.90 Total budgetary resources available for obligation | 162 | 115 | 64 |
| 23.95 Total new obligations | - 47 | - 52 | |
| 24.40 Unobligated balance available, end of year | 115 | 64 | 64 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | 100 | | |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 2 | 5 | |
| 73.10 Total new obligations | 47 | 52 | |
| 73.20 Total outlays (gross) | - 43 | - 57 | |
| 73.45 Adjustments in unexpired accounts | - 1 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 5 | | |
| Outlays (gross), detail: | | | |
| 86.98 Outlays from mandatory balances | 43 | 57 | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 100 | | |
| 90.00 Outlays | 43 | 57 | |

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before

General and special funds—Continued

VACCINE INJURY COMPENSATION—Continued

October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 backlog of claims yet to be adjudicated, no appropriation is requested in FY 2001 to cover payment of pre-1988 claims. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine improvement trust fund account.

PAYMENT TO THE RICKY RAY HEMOPHILIA RELIEF FUND

For payment to the Ricky Ray Hemophilia Relief Fund, as provided by Public Law 105-369, and for administrative expenses, \$100,000,000, of which up to \$10,000,000 may be used for administrative expenses.

Program and Financing (in millions of dollars)

| Identification code 75-0355-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 92.0) | | | 100 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 100 |
| 23.95 Total new obligations | | | -100 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | | | 100 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 100 |
| 73.20 Total outlays (gross) | | | -100 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | | | 100 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 100 |
| 90.00 Outlays | | | 100 |

These amounts will be paid to the Ricky Ray hemophilia relief fund. The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

Credit accounts:

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 75-4442-0-3-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 1 | 1 | 5 |
| 22.00 New financing authority (gross) | | 4 | 2 |
| 23.90 Total budgetary resources available for obligation | 1 | 5 | 7 |
| 24.40 Unobligated balance available, end of year | 1 | 5 | 7 |
| New financing authority (gross), detail: | | | |
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections (gross): (Federal sources: From program account) | | 4 | 3 |
| Offsets: | | | |
| Against gross financing authority and financing disbursements: | | | |
| Offsetting collections (cash) from: | | | |
| 88.00 Federal sources | | -3 | -1 |

| | | | |
|-------------------------------------------------------------|--------------------------------------|----|----|
| 88.40 | Premium income | -1 | -2 |
| 88.90 | Total, offsetting collections (cash) | -4 | -3 |
| Net financing authority and financing disbursements: | | | |
| 89.00 | Financing authority | | -1 |
| 90.00 | Financing disbursements | -4 | -3 |

Status of Guaranteed Loans (in millions of dollars)

| Identification code 75-4442-0-3-551 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------|-----------|
| Position with respect to appropriations act limitation on commitments: | | | |
| 2111 | Limitation on guaranteed loans made by private lenders | 100 | 51 |
| 2150 | Total guaranteed loan commitments | 100 | 51 |
| Cumulative balance of guaranteed loans outstanding: | | | |
| 2210 | Outstanding, start of year | 9 | 9 |
| 2231 | Disbursements of new guaranteed loans | 100 | 51 |
| 2261 | Adjustments: Terminations for default that result in loans receivable | | |
| 2290 | Outstanding, end of year | 9 | 109 |
| Memorandum: | | | |
| 2299 | Guaranteed amount of guaranteed loans outstanding, end of year | 9 | 109 |
| Addendum: | | | |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: | | | |
| 2331 | Disbursements for guaranteed loan claims | | |
| 2351 | Repayments of loans receivable | | |

P.L. 104-299 and P.L. 104-208 authorize HRSA to guarantee up to \$80 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. Since this program has only used \$9 million of its available \$160 million in loan guarantee authority, HRSA will use the remaining existing loan guarantee limit over FY 2000 and FY 2001. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health center loan guarantee program. The program account for this activity is displayed in the Health resources and services account (75-0350) as a line in the program and financing schedule.

Balance Sheet (in millions of dollars)

| Identification code 75-4442-0-3-551 | 1998 actual | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|----------------------------------------------------------|-------------|-----------|-----------|
| ASSETS: | | | | |
| Investments in US securities: | | | | |
| 1106 | Federal assets: Receivables, net | 9 | 9 | 109 |
| 1999 | Total assets | 9 | 9 | 109 |
| LIABILITIES: | | | | |
| 2204 | Non-Federal liabilities: Liabilities for loan guarantees | 9 | 9 | 109 |
| 2999 | Total liabilities | 9 | 9 | 109 |
| 4999 | Total liabilities and net position | 9 | 9 | 109 |

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the Public Health Service Act, as amended. For administrative expenses to carry out the guaranteed loan program, including section 709 of the Public Health Service Act, **[\$3,688,000] \$3,679,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-0340-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.09 Administrative expenses subject to limitation | 4 | 4 | 4 |
| 10.00 Total new obligations | 4 | 4 | 4 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 4 | 4 | 4 |
| 23.95 Total new obligations | -4 | -4 | -4 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 4 | 4 | 4 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 2 | 2 | |
| 73.10 Total new obligations | 4 | 4 | 4 |
| 73.20 Total outlays (gross) | -3 | -6 | -4 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 2 | | |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 2 | 4 | 4 |
| 86.93 Outlays from discretionary balances | 1 | 2 | |
| 87.00 Total outlays (gross) | 3 | 6 | 4 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 4 | 4 | 4 |
| 90.00 Outlays | 3 | 6 | 4 |

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 75-0340-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------------------|-------------|-----------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: | | | |
| 2150 Loan guarantee levels | | | |
| 2159 Total loan guarantee levels | | | |
| Guaranteed loan subsidy (in percent): | | | |
| 2320 Subsidy rate | 0.00 | 0.00 | 0.00 |
| 2329 Weighted average subsidy rate | 0.00 | 0.00 | 0.00 |
| Guaranteed loan subsidy budget authority: | | | |
| 2330 Subsidy budget authority | | | |
| 2339 Total subsidy budget authority | | | |
| Guaranteed loan subsidy outlays: | | | |
| 2340 Subsidy outlays | | | |
| 2349 Total subsidy outlays | | | |
| Administrative expense data: | | | |
| 3510 Budget authority | 4 | 4 | 4 |
| 3580 Outlays from balances | 1 | 2 | |
| 3590 Outlays from new authority | 2 | 4 | |

The Health education assistance loan (HEAL) program guarantees loans from private lenders to health professions students to help pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records, for the HEAL program, the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program. The administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

| Identification code 75-0340-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 2 | 2 | 2 |
| 25.3 Purchases of goods and services from Government accounts | 1 | 1 | 1 |
| 99.0 Subtotal, direct obligations | 3 | 3 | 3 |

| | | | |
|--------------------------------------|---|---|---|
| 99.5 Below reporting threshold | 1 | 1 | 1 |
| 99.9 Total new obligations | 4 | 4 | 4 |

Personnel Summary

| Identification code 75-0340-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 17 | 24 | 24 |
| 1011 Exempt Full-time equivalent employment | 7 | | |

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 75-4304-0-3-552 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Death and disability claims | 2 | 1 | 1 |
| 00.02 Default claims | 9 | 22 | 28 |
| 10.00 Total new obligations | 11 | 23 | 29 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 259 | 295 | 303 |
| 22.00 New financing authority (gross) | 48 | 31 | 33 |
| 23.90 Total budgetary resources available for obligation | 307 | 326 | 336 |
| 23.95 Total new obligations | -11 | -23 | -29 |
| 24.40 Unobligated balance available, end of year | 295 | 303 | 307 |

New financing authority (gross), detail:

| | | | |
|---------------------------------------------------------------------------------------------------|----|----|----|
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) | 48 | 31 | 33 |

Change in unpaid obligations:

| | | | |
|---------------------------------------------------|-----|-----|-----|
| 73.10 Total new obligations | 11 | 23 | 29 |
| 73.20 Total financing disbursements (gross) | -11 | -23 | -29 |
| 87.00 Total financing disbursements (gross) | 11 | 23 | 29 |

Offsets:

| | | | |
|----------------------------------------------------------------|-----|-----|-----|
| Against gross financing authority and financing disbursements: | | | |
| Offsetting collections (cash) from: | | | |
| 88.25 Interest on uninvested funds | -45 | -29 | -29 |
| 88.40 Recoveries of defaulted loans | -3 | -2 | -4 |
| 88.90 Total, offsetting collections (cash) | -48 | -31 | -33 |

Net financing authority and financing disbursements:

| | | | |
|-------------------------------------|-------|-------|-------|
| 89.00 Financing authority | | | |
| 90.00 Financing disbursements | -37 | -8 | -4 |

Status of Guaranteed Loans (in millions of dollars)

| Identification code 75-4304-0-3-552 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| Position with respect to appropriations act limitation on commitments: | | | |
| 2111 Limitation on guaranteed loans made by private lenders | | | |
| 2150 Total guaranteed loan commitments | | | |
| Cumulative balance of guaranteed loans outstanding: | | | |
| 2210 Outstanding, start of year | 1,562 | 1,551 | 1,528 |
| Adjustments: | | | |
| 2261 Terminations for default that result in loans receivable | -9 | -22 | -28 |
| 2263 Terminations for default that result in claim payments | -2 | -1 | -2 |
| 2290 Outstanding, end of year | 1,551 | 1,528 | 1,498 |
| Memorandum: | | | |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year | 1,551 | 1,528 | 1,498 |

Credit accounts—Continued

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—Continued

Status of Guaranteed Loans (in millions of dollars)—Continued

| Identification code 75-4304-0-3-552 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------------|-------------|-----------|-----------|
| Addendum: | | | |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: | | | |
| 2310 Outstanding, start of year | 32 | 38 | 57 |
| 2331 Disbursements for guaranteed loan claims | 9 | 22 | 28 |
| 2351 Repayments of loans receivable | -3 | -3 | -4 |
| 2390 Outstanding, end of year | 38 | 57 | 81 |

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed between 1992 and 1998.

Balance Sheet (in millions of dollars)

| Identification code 75-4304-0-3-552 | 1998 actual | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------|-------------|-------------|-----------|-----------|
| ASSETS: | | | | |
| 1101 Federal assets: Fund balances with Treasury | 247 | 295 | 303 | 307 |
| Non-Federal assets: | | | | |
| 1201 Investments in non-Federal securities, net | 22 | 45 | 29 | 29 |
| 1206 Receivables, net | 5 | 3 | 2 | 4 |
| 1999 Total assets | 274 | 343 | 334 | 340 |
| LIABILITIES: | | | | |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees | 1,562 | 1,551 | 1,528 | 1,498 |
| 2999 Total liabilities | 1,562 | 1,551 | 1,528 | 1,498 |
| NET POSITION: | | | | |
| 3300 Cumulative results of operations | -1,287 | -1,208 | -1,194 | -1,158 |
| 3999 Total net position | -1,287 | -1,208 | -1,194 | -1,158 |
| 4999 Total liabilities and net position | 275 | 343 | 334 | 340 |

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 75-4305-0-3-552 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Death and disability claims | 4 | 5 | 5 |
| 00.02 Defaulted loans | 20 | 23 | 18 |
| 00.03 Debt collection | 3 | 7 | 7 |
| 10.00 Total new obligations | 27 | 35 | 30 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 18 | | |
| 22.00 New budget authority (gross) | 57 | 35 | 30 |
| 22.40 Capital transfer to general fund | -48 | | |
| 23.90 Total budgetary resources available for obligation | 27 | 35 | 30 |
| 23.95 Total new obligations | -27 | -35 | -30 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.05 Appropriation (indefinite) | 37 | 15 | 10 |
| 69.00 Offsetting collections (cash) | 20 | 20 | 20 |
| 70.00 Total new budget authority (gross) | 57 | 35 | 30 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 5 | 13 | |
| 73.10 Total new obligations | 27 | 35 | 30 |
| 73.20 Total outlays (gross) | -20 | -48 | -30 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 13 | | |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 20 | 35 | 30 |

| | | | |
|---------------------------------------------------------------|-----|-----|-----|
| 86.98 Outlays from mandatory balances | 13 | | |
| 87.00 Total outlays (gross) | 20 | 48 | 30 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.40 Offsetting collections (cash) from: Non-Federal sources | -20 | -20 | -20 |

| | | | |
|------------------------------------------|----|----|----|
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 37 | 15 | 10 |
| 90.00 Outlays | | 28 | 10 |

Status of Guaranteed Loans (in millions of dollars)

| Identification code 75-4305-0-3-552 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------------|-------------|-----------|-----------|
| Cumulative balance of guaranteed loans outstanding: | | | |
| 2210 Outstanding, start of year | 1,412 | 1,343 | 1,260 |
| 2251 Repayments and prepayments | -58 | -69 | -75 |
| Adjustments: | | | |
| 2261 Terminations for default that result in loans receivable | -9 | -12 | -9 |
| 2263 Terminations for default that result in claim payments | -2 | -2 | -3 |
| 2290 Outstanding, end of year | 1,343 | 1,260 | 1,173 |
| Memorandum: | | | |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year | 1,343 | 1,260 | 1,173 |
| Addendum: | | | |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: | | | |
| 2310 Outstanding, start of year | 494 | 496 | 475 |
| 2331 Disbursements for guaranteed loan claims | 20 | 23 | 18 |
| 2351 Repayments of loans receivable | -15 | -15 | -15 |
| 2361 Write-offs of loans receivable | -29 | -29 | -29 |
| 2364 Other adjustments, net | 26 | | |
| 2390 Outstanding, end of year | 496 | 475 | 449 |

Note.—Includes carryover commitments from prior years.
Note.—The adjustment to loans receivable represents interest, which had not previously been reflected in cumulative outstanding balances.

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed prior to 1992.

Statement of Operations (in millions of dollars)

| Identification code 75-4305-0-3-552 | 1998 actual | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|-------------|-------------|-----------|-----------|
| 0101 Revenue | 48 | 45 | 35 | 30 |
| 0102 Expense | -38 | -27 | -35 | -30 |
| 0105 Net income or loss (-) | 10 | 18 | | |

Balance Sheet (in millions of dollars)

| Identification code 75-4305-0-3-552 | 1998 actual | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------------------------------------------|-------------|-------------|-----------|-----------|
| ASSETS: | | | | |
| Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: | | | | |
| 1701 Defaulted guaranteed loans, gross | 470 | 496 | 475 | 449 |
| 1702 Interest receivable | 5 | 6 | 6 | 6 |
| 1704 Defaulted guaranteed loans and interest receivable, net | 475 | 502 | 481 | 455 |
| 1799 Value of assets related to loan guarantees | 475 | 502 | 481 | 455 |
| 1801 Other Federal assets: Cash and other monetary assets | 38 | 27 | 35 | 30 |
| 1999 Total assets | 513 | 529 | 516 | 485 |
| LIABILITIES: | | | | |
| 2104 Federal liabilities: Resources payable to Treasury | 2 | 2 | 6 | 6 |
| 2201 Non-Federal liabilities: Accounts payable | 29 | 20 | 23 | 18 |
| 2999 Total liabilities | 31 | 22 | 29 | 24 |

| NET POSITION: | | | | | |
|---------------|------------------------------------------|-----|-----|-----|-----|
| 3300 | Cumulative results of operations | 482 | 507 | 487 | 461 |
| 3999 | Total net position | 482 | 507 | 487 | 461 |
| 4999 | Total liabilities and net position | 513 | 529 | 516 | 485 |

Object Classification (in millions of dollars)

| Identification code 75-4305-0-3-552 | | | | | |
|-------------------------------------|----------------------------------------|-------------|-----------|-----------|--|
| | | 1999 actual | 2000 est. | 2001 est. | |
| 25.2 | Other services | 8 | 8 | 8 | |
| 42.0 | Insurance claims and indemnities | 19 | 27 | 22 | |
| 99.9 | Total new obligations | 27 | 35 | 30 | |

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND
FEDERAL INTEREST SUBSIDIES FOR MEDICAL FACILITIES**

【For carrying out subsections (d) and (e) of section 1602 of the Public Health Service Act, \$1,000,000, together with any amounts received by the Secretary in connection with loans and loan guarantees under title VI of the Public Health Service Act, to be available without fiscal year limitation for the payment of interest subsidies. During the fiscal year, no commitments for direct loans or loan guarantees shall be made.】 (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-9931-0-3-551 | | | | | |
|------------------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|--|
| | | 1999 actual | 2000 est. | 2001 est. | |
| Obligations by program activity: | | | | | |
| 00.01 | Operating expenses: Interest subsidies, private | 2 | 3 | 3 | |
| 10.00 | Total new obligations (object class 41.0) | 2 | 3 | 3 | |
| Budgetary resources available for obligation: | | | | | |
| 21.40 | Unobligated balance available, start of year | 50 | 48 | 40 | |
| 22.00 | New budget authority (gross) | 4 | 7 | 5 | |
| 22.60 | Portion applied to repay debt | -4 | -12 | -12 | |
| 23.90 | Total budgetary resources available for obligation | 50 | 43 | 33 | |
| 23.95 | Total new obligations | -2 | -3 | -3 | |
| 24.40 | Unobligated balance available, end of year | 48 | 40 | 30 | |
| New budget authority (gross), detail: | | | | | |
| Mandatory: | | | | | |
| 60.00 | Appropriation | 1 | 1 | | |
| 60.36 | Unobligated balance rescinded | -3 | | | |
| 62.50 | Appropriation (total mandatory) | -2 | 1 | | |
| 69.00 | Offsetting collections (cash) | 6 | 6 | 5 | |
| 70.00 | Total new budget authority (gross) | 4 | 7 | 5 | |
| Change in unpaid obligations: | | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 15 | 15 | 14 | |
| 73.10 | Total new obligations | 2 | 3 | 3 | |
| 73.20 | Total outlays (gross) | -3 | -4 | -4 | |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 15 | 14 | 13 | |
| Outlays (gross), detail: | | | | | |
| 86.97 | Outlays from new mandatory authority | 1 | 1 | | |
| 86.98 | Outlays from mandatory balances | 2 | 3 | 4 | |
| 87.00 | Total outlays (gross) | 3 | 4 | 4 | |
| Offsets: | | | | | |
| Against gross budget authority and outlays: | | | | | |
| Offsetting collections (cash) from: | | | | | |
| Non-Federal sources: | | | | | |
| 88.40 | Principal repaid on loans not sold | -2 | -2 | -1 | |
| 88.40 | Principal collections from FFB | -4 | -4 | -4 | |
| 88.90 | Total, offsetting collections (cash) | -6 | -6 | -5 | |
| Net budget authority and outlays: | | | | | |
| 89.00 | Budget authority | -2 | 1 | | |
| 90.00 | Outlays | -4 | -2 | -1 | |

Status of Direct Loans (in millions of dollars)

| Identification code 75-9931-0-3-551 | | | | |
|-------------------------------------------------|------------------------------------------------------|-------------|-----------|-----------|
| | | 1999 actual | 2000 est. | 2001 est. |
| Cumulative balance of direct loans outstanding: | | | | |
| 1210 | Outstanding, start of year | 17 | 15 | 8 |
| 1251 | Repayments: Repayments and prepayments | -6 | -7 | -8 |
| 1264 | Write-offs for default: Other adjustments, net | 4 | | |
| 1290 | Outstanding, end of year | 15 | 8 | |

Status of Guaranteed Loans (in millions of dollars)

| Identification code 75-9931-0-3-551 | | | | |
|-----------------------------------------------------|----------------------------------------------------------------------|-------------|-----------|-----------|
| | | 1999 actual | 2000 est. | 2001 est. |
| Cumulative balance of guaranteed loans outstanding: | | | | |
| 2210 | Outstanding, start of year | 82 | 45 | 15 |
| 2251 | Repayments and prepayments | -37 | -30 | -15 |
| 2290 | Outstanding, end of year | 45 | 15 | |
| Memorandum: | | | | |
| 2299 | Guaranteed amount of guaranteed loans outstanding, end of year | 45 | 15 | |

Title VII, part C of the Public Health Service Act established a revolving fund from which health professions schools could borrow in order to provide loans to their students.

Public Law 89-751, the Allied Health Professions Personnel Training Act of 1966, amended the Public Health Service Act to authorize the Federal Government to pay the difference between the interest paid by students to the schools and the interest payable by the schools to the Government National Mortgage Association (GNMA) and the Treasury.

Title VI and subsequently title XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans. Unobligated balances will be used to pay defaults and interest subsidy payments.

Trust Funds

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund, such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the Public Health Service Act, to remain available until expended: **Provided**, That for necessary administrative expenses, not to exceed **[\$3,000,000]** \$2,992,000 shall be available from the Trust Fund to the Secretary of Health and Human Services. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Unavailable Collections (in millions of dollars)

| Identification code 20-8175-0-7-551 | | | | |
|-------------------------------------|------------------------------------------------------|-------------|-----------|-----------|
| | | 1999 actual | 2000 est. | 2001 est. |
| Balance, start of year: | | | | |
| 01.99 | Balance, start of year | 1,264 | 1,398 | 1,528 |
| Receipts: | | | | |
| 02.01 | Deposits | 130 | 131 | 134 |
| 02.03 | Interest income | 64 | 71 | 77 |
| 02.99 | Total receipts | 194 | 202 | 211 |
| 04.00 | Total: Balances and collections | 1,458 | 1,600 | 1,739 |
| Appropriation: | | | | |
| 05.01 | Vaccine injury compensation program trust fund | -60 | -72 | -124 |
| 05.99 | Subtotal appropriation | -60 | -72 | -124 |

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND—Continued

Unavailable Collections (in millions of dollars)—Continued

| Identification code 20-8175-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------|-------------|-----------|-----------|
| 07.99 Total balance, end of year | 1,398 | 1,528 | 1,615 |

Program and Financing (in millions of dollars)

| Identification code 20-8175-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Compensation: Claims for post—FY 1989 injuries | 53 | 62 | 114 |
| Administrative expenses: | | | |
| 01.03 Claims processing (Claims Court) | 2 | 3 | 3 |
| 01.04 Claims processing (Public Health Service) | 3 | 3 | 3 |
| 01.05 Claims processing (Dept. of Justice) | 2 | 4 | 4 |
| 01.91 Total, administrative expenses | 7 | 10 | 10 |
| 10.00 Total new obligations | 60 | 72 | 124 |

Budgetary resources available for obligation:

| | | | |
|----------------------------------------------------------------|-----|-----|------|
| 21.40 Unobligated balance available, start of year | 2 | 1 | |
| 22.00 New budget authority (gross) | 60 | 72 | 124 |
| 23.90 Total budgetary resources available for obligation | 62 | 73 | 124 |
| 23.95 Total new obligations | -60 | -72 | -124 |
| 24.40 Unobligated balance available, end of year | 1 | | |

New budget authority (gross), detail:

| | | | |
|----------------------------------------------------|----|----|-----|
| Discretionary: | | | |
| 40.26 Appropriation (trust fund, definite) | 7 | 10 | 10 |
| Mandatory: | | | |
| 60.27 Appropriation (trust fund, indefinite) | 53 | 62 | 114 |
| 70.00 Total new budget authority (gross) | 60 | 72 | 124 |

Change in unpaid obligations:

| | | | |
|---------------------------------------------------------------------------------|-----|-----|------|
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | | 1 | |
| 73.10 Total new obligations | 60 | 72 | 124 |
| 73.20 Total outlays (gross) | -60 | -73 | -124 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 1 | | |

Outlays (gross), detail:

| | | | |
|------------------------------------------------------|----|----|-----|
| 86.90 Outlays from new discretionary authority | 5 | 10 | 10 |
| 86.93 Outlays from discretionary balances | 2 | 1 | |
| 86.97 Outlays from new mandatory authority | 53 | 62 | 114 |
| 87.00 Total outlays (gross) | 60 | 73 | 124 |

Net budget authority and outlays:

| | | | |
|------------------------------|----|----|-----|
| 89.00 Budget authority | 60 | 72 | 124 |
| 90.00 Outlays | 60 | 73 | 124 |

Memorandum (non-add) entries:

| | | | |
|--------------------------------------------------------------------------|-------|-------|-------|
| 92.01 Total investments, start of year: U.S. securities: Par value | 1,285 | 1,431 | 1,528 |
| 92.02 Total investments, end of year: U.S. securities: Par value | 1,431 | 1,528 | 1,615 |

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. This account reflects payments for claims for vaccine related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

| Identification code 20-8175-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 25.2 Other services | 3 | 3 | 3 |
| 25.3 Purchases of goods and services from Government accounts | 4 | 7 | 7 |
| 42.0 Insurance claims and indemnities | 53 | 62 | 114 |
| 99.9 Total new obligations | 60 | 72 | 124 |

RICKY RAY HEMOPHILIA RELIEF FUND

Unavailable Collections (in millions of dollars)

| Identification code 75-8074-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Payments from the general fund | | 75 | 100 |
| Appropriation: | | | |
| 05.01 Ricky Ray hemophilia relief fund | | -75 | -100 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 75-8074-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations | | 75 | 100 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | 75 | 100 |
| 23.95 Total new obligations | | -75 | -100 |

New budget authority (gross), detail:

| | | | |
|----------------------------------------------------|--|----|-----|
| Discretionary: | | | |
| 40.27 Appropriation (trust fund, indefinite) | | 75 | 100 |

Change in unpaid obligations:

| | | | |
|-----------------------------------|--|-----|------|
| 73.10 Total new obligations | | 75 | 100 |
| 73.20 Total outlays (gross) | | -75 | -100 |

Outlays (gross), detail:

| | | | |
|------------------------------------------------------|--|----|-----|
| 86.90 Outlays from new discretionary authority | | 75 | 100 |
|------------------------------------------------------|--|----|-----|

Net budget authority and outlays:

| | | | |
|------------------------------|--|----|-----|
| 89.00 Budget authority | | 75 | 100 |
| 90.00 Outlays | | 75 | 100 |

These amounts will be paid to the Ricky Ray hemophilia relief fund. The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

Object Classification (in millions of dollars)

| Identification code 75-8074-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------|-------------|-----------|-----------|
| 25.2 Other services | | 5 | 5 |
| 42.0 Insurance claims and indemnities | | 70 | 95 |
| 99.9 Total new obligations | | 75 | 100 |

INDIAN HEALTH SERVICE

Federal Funds

General and special funds:

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, [\$2,078,967,000], \$2,271,055,000 together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That [\$12,000,000] \$18,000,000 shall remain available

until expended, for the Indian Catastrophic Health Emergency Fund: *Provided further, That* **[\$395,290,000]** *\$429,672,000* for contract medical care shall remain available for obligation until September 30, **[2001]** *2002: Provided further, That* of the funds provided, up to \$17,000,000 shall be used to carry out the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further, That* funds provided in this Act may be used for 1-year contracts and grants which are to be performed in two fiscal years, so long as the total obligation is recorded in the year for which the funds are appropriated: *Provided further, That* the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act (exclusive of planning, design, or construction of new facilities): *Provided further, That* funding contained herein, and in any earlier appropriations Acts for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available for obligation until September 30, **[2001]** *2002: Provided further, That* amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further, That*, notwithstanding any other provision of law, of the amounts provided herein, not to exceed **[\$228,781,000]** *\$268,781,000* shall be for payments to tribes and tribal organizations for contract or grant support costs associated with contracts, grants, self-governance compacts or annual funding agreements (*herein "contracts/compacts"*) between the Indian Health Service and a tribe or tribal organization pursuant to the Indian Self-Determination Act of 1975, as amended, prior to or during fiscal year **[2000]** *2001*, of which not to exceed **[\$10,000,000]** may be used for such costs associated with *\$40,000,000* is available first for new and expanded **[contracts, grants, self-governance compacts or annual funding agreements]** *contracts/compacts, which shall receive contract support costs at, and not greater than, the minimum percentage of need funded for existing contracts/compacts in fiscal year 2001: Provided further, That any remaining portion of the \$40,000,000 shall be used for contract support costs for existing contracts/compacts: Provided further, That* funds available for the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account. (*Department of the Interior and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(3) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-0390-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Clinical services | 1,524 | 1,632 | 1,769 |
| 00.02 Preventive health | 86 | 92 | 103 |
| 00.03 Urban health | 27 | 28 | 31 |
| 00.04 Indian health professions | 29 | 30 | 33 |
| 00.05 Tribal management | 2 | 2 | 2 |
| 00.06 Direct operations | 48 | 51 | 54 |
| 00.07 Self-governance | 9 | 10 | 10 |
| 00.08 Contract support costs | 204 | 229 | 269 |
| 00.09 Diabetes funds | 34 | 30 | 30 |
| 09.01 Reimbursable program | 561 | 582 | 582 |
| 10.00 Total new obligations | 2,524 | 2,686 | 2,883 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 103 | 115 | 115 |
| 22.00 New budget authority (gross) | 2,539 | 2,686 | 2,883 |
| 22.10 Resources available from recoveries of prior year obligations | 1 | | |
| 23.90 Total budgetary resources available for obligation | 2,643 | 2,801 | 2,998 |
| 23.95 Total new obligations | -2,524 | -2,686 | -2,883 |
| 23.98 Unobligated balance expiring or withdrawn | -4 | | |
| 24.40 Unobligated balance available, end of year | 115 | 115 | 115 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 1,950 | 2,079 | 2,271 |
| 40.75 Reduction pursuant to P.L. 106-51 | -2 | | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -5 | |

| | | | |
|-------------------------------------------------------------------------------------------|--------|--------|--------|
| 43.00 Appropriation (total discretionary) | 1,948 | 2,074 | 2,271 |
| Mandatory: | | | |
| 62.00 Transferred from HCFA for Diabetes | 30 | 30 | 30 |
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 561 | 582 | 582 |
| 70.00 Total new budget authority (gross) | 2,539 | 2,686 | 2,883 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 484 | 481 | 514 |
| 73.10 Total new obligations | 2,524 | 2,686 | 2,883 |
| 73.20 Total outlays (gross) | -2,490 | -2,653 | -2,882 |
| 73.40 Adjustments in expired accounts (net) | -36 | | |
| 73.45 Adjustments in unexpired accounts | -1 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 481 | 514 | 515 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 2,061 | 2,154 | 2,331 |
| 86.93 Outlays from discretionary balances | 399 | 469 | 521 |
| 86.97 Outlays from new mandatory authority | 23 | 23 | 23 |
| 86.98 Outlays from mandatory balances | 7 | 7 | 7 |
| 87.00 Total outlays (gross) | 2,490 | 2,653 | 2,882 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| 88.00 Federal sources | -286 | -288 | -288 |
| 88.40 Non-Federal sources | -275 | -294 | -294 |
| 88.90 Total, offsetting collections (cash) | -561 | -582 | -582 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 1,978 | 2,104 | 2,301 |
| 90.00 Outlays | 1,930 | 2,071 | 2,300 |

The Indian health services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$592 million will be administered by tribal governments under self-governance compacts in FY 2001.

Object Classification (in millions of dollars)

| Identification code 75-0390-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 312 | 334 | 357 |
| 11.3 Other than full-time permanent | 14 | 15 | 16 |
| 11.5 Other personnel compensation | 29 | 36 | 38 |
| 11.9 Total personnel compensation | 355 | 385 | 411 |
| 12.1 Civilian personnel benefits | 99 | 105 | 114 |
| 13.0 Benefits for former personnel | 2 | 3 | 4 |
| 21.0 Travel and transportation of persons | 28 | 31 | 31 |
| 22.0 Transportation of things | 10 | 11 | 11 |
| 23.1 Rental payments to GSA | 8 | 8 | 8 |
| 23.2 Rental payments to others | 3 | 9 | 9 |
| 23.3 Communications, utilities, and miscellaneous charges | 21 | 24 | 24 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.1 Advisory and assistance services | 7 | 13 | 13 |
| 25.2 Other services | 66 | 25 | 30 |
| 25.3 Purchases of goods and services from Government accounts | 24 | 25 | 25 |
| 25.4 Operation and maintenance of facilities | -5 | 4 | 4 |
| 25.5 Research and development contracts | | 1 | 1 |
| 25.6 Medical care | 182 | 191 | 232 |
| 25.7 Operation and maintenance of equipment | -2 | 16 | 16 |
| 25.8 Subsistence and support of persons | 1 | 5 | 5 |
| 26.0 Supplies and materials | 95 | 139 | 139 |
| 31.0 Equipment | 24 | 9 | 10 |
| 32.0 Land and structures | 1 | 1 | 1 |
| 41.0 Grants, subsidies, and contributions | 1,043 | 1,098 | 1,212 |
| 99.0 Subtotal, direct obligations | 1,963 | 2,104 | 2,301 |
| 99.0 Reimbursable obligations | 561 | 582 | 582 |
| 99.9 Total new obligations | 2,524 | 2,686 | 2,883 |

General and special funds—Continued

INDIAN HEALTH SERVICES—Continued

Personnel Summary

| Identification code 75-0390-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 10,376 | 10,433 | 10,546 |
| 1011 Exempt Full-time equivalent employment | 1 | | |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 2,916 | 2,916 | 2,916 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 2 | | |

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$318,580,000] \$290,795,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction or renovation of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land for sites to construct, improve, or enlarge health or related facilities: *Provided further*, That notwithstanding any provision of law governing Federal construction, \$3,000,000 of the funds provided herein shall be provided to the Hopi Tribe to reduce the debt incurred by the Tribe in providing staff quarters to meet the housing needs associated with the new Hopi Health Center: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 shall be placed in a Demolition Fund, available until expended, to be used by the Indian Health Service for demolition of Federal buildings: *Provided further*, That from within existing funds, the Indian Health Service may purchase up to 5 acres of land for expanding the parking facilities at the Indian Health Service hospital in Tahlequah, Oklahoma].

In addition, to remain available until expended: for construction of a replacement health facility at Fort Defiance, Arizona, up to \$38,715,000; for construction of a replacement health facility at Parker, Arizona, up to \$7,578,000; and for construction of a replacement health facility at Winnebago, Nebraska, up to \$12,286,000 and, to become available October 1, 2001 and remain available until expended, up to \$17,541,000. (Department of the Interior and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(3) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Unavailable Collections (in millions of dollars)

| Identification code 75-0391-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Rent and charges for quarters, Indian health service, HHS | 5 | 5 | 5 |
| Appropriation: | | | |
| 05.01 Indian health facilities | -5 | -5 | -5 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 75-0391-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Sanitation and health facilities | 122 | 143 | 162 |
| 00.02 Maintenance | 40 | 48 | 50 |
| 00.03 Facilities and environmental health | 95 | 117 | 130 |
| 00.04 Equipment | 12 | 14 | 12 |
| 01.00 Total direct program | 269 | 322 | 354 |
| 09.01 Reimbursable program | 11 | 12 | 12 |
| 10.00 Total new obligations | 280 | 334 | 366 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 22 | 72 | 72 |
| 22.00 New budget authority (gross) | 318 | 334 | 366 |
| 22.10 Resources available from recoveries of prior year obligations | 11 | | |
| 23.90 Total budgetary resources available for obligation | 351 | 406 | 438 |
| 23.95 Total new obligations | -280 | -334 | -366 |
| 24.40 Unobligated balance available, end of year | 72 | 72 | 72 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 292 | 319 | 349 |
| 40.76 Reduction pursuant to P.L. 106-113 | | -2 | |
| 43.00 Appropriation (total discretionary) | 292 | 317 | 349 |
| Mandatory: | | | |
| 60.25 Appropriation (special fund, indefinite) | 5 | 5 | 5 |
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 21 | 12 | 12 |
| 70.00 Total new budget authority (gross) | 318 | 334 | 366 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 356 | 335 | 346 |
| 73.10 Total new obligations | 280 | 334 | 366 |
| 73.20 Total outlays (gross) | -290 | -323 | -340 |
| 73.45 Adjustments in unexpired accounts | -11 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 335 | 346 | 372 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 109 | 105 | 117 |
| 86.93 Outlays from discretionary balances | 176 | 212 | 218 |
| 86.97 Outlays from new mandatory authority | 5 | 5 | 5 |
| 87.00 Total outlays (gross) | 290 | 323 | 340 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -21 | -12 | -12 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 297 | 322 | 354 |
| 90.00 Outlays | 269 | 311 | 328 |

The Indian health facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian health services.

Object Classification (in millions of dollars)

| Identification code 75-0391-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 46 | 50 | 54 |
| 11.3 Other than full-time permanent | 3 | 4 | 4 |
| 11.5 Other personnel compensation | 1 | 1 | 1 |
| 11.9 Total personnel compensation | 50 | 55 | 59 |
| 12.1 Civilian personnel benefits | 13 | 14 | 15 |
| 21.0 Travel and transportation of persons | 4 | 5 | 5 |
| 22.0 Transportation of things | 3 | 3 | 3 |
| 23.3 Communications, utilities, and miscellaneous charges | 11 | 11 | 11 |
| 25.1 Advisory and assistance services | 1 | 1 | 1 |

| | | | | |
|------|----------------------------------------------------------------|-----|-----|-----|
| 25.2 | Other services | 115 | 146 | 169 |
| 25.3 | Purchases of goods and services from Government accounts | 5 | 5 | 5 |
| 25.4 | Operation and maintenance of facilities | 12 | 10 | 10 |
| 25.7 | Operation and maintenance of equipment | 2 | 1 | 1 |
| 26.0 | Supplies and materials | 6 | 7 | 7 |
| 31.0 | Equipment | 7 | 2 | 2 |
| 32.0 | Land and structures | 2 | 17 | 17 |
| 41.0 | Grants, subsidies, and contributions | 38 | 45 | 49 |
| 99.0 | Subtotal, direct obligations | 269 | 322 | 354 |
| 99.0 | Reimbursable obligations | 11 | 12 | 12 |
| 99.9 | Total new obligations | 280 | 334 | 366 |

Personnel Summary

| | | | | |
|-------------------------------------|--------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-0391-0-1-551 | | 1999 actual | 2000 est. | 2001 est. |
| 1001 | Total compensable workyears: Full-time equivalent employment | 1,231 | 1,264 | 1,301 |

ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE

Appropriations in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 but at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and for uniforms or allowances therefore as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings which are concerned with the functions or activities for which the appropriation is made or which will contribute to improved conduct, supervision, or management of those functions or activities: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121 (the Indian Sanitation Facilities Act) and Public Law 93-638, as amended: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title III of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title III of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That funds made available in this Act are to be apportioned to the Indian Health Service as appropriated in this Act, and accounted for in the appropriation structure set forth in this Act: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities, on a reimbursable basis, including payment in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent

appropriation account which provided the funding, said amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance [approval of] notice submitted to the House and Senate Committees on Appropriations. (*Department of the Interior and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(3) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

CENTERS FOR DISEASE CONTROL AND PREVENTION

Federal Funds

General and special funds:

DISEASE CONTROL, RESEARCH, AND TRAINING

To carry out titles II, III, VII, XI, XV, XVII, XIX and XXVI of the Public Health Service Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act of 1977, sections 20, 21, and 22 of the Occupational Safety and Health Act of 1970, title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980; including insurance of official motor vehicles in foreign countries; and hire, maintenance, and operation of aircraft, [\$2,910,761,000] \$3,239,487,000, of which [\$60,000,000] \$127,074,000 shall remain available until expended for equipment and construction and renovation of facilities, and in addition, such sums as may be derived from authorized user fees, which shall be credited to this account: *Provided*, That in addition to amounts provided herein, up to [\$71,690,000] \$76,690,000 shall be available from amounts available under section 241 of the Public Health Service Act, to carry out the National Center for Health Statistics surveys: *Provided further*, That none of the funds made available for injury prevention and control at the Centers for Disease Control and Prevention may be used to advocate or promote gun control: *Provided further*, That the Director may redirect the total amount made available under authority of Public Law 101-502, section 3, dated November 3, 1990, to activities the Director may so designate: *Provided further*, That the Congress is to be notified promptly of any such transfer: *Provided further*, That notwithstanding any other provision of law, a single contract or related contracts for the development and construction of the infectious disease laboratory through the General Services Administration may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18: *Provided further*, That not to exceed \$10,000,000 may be available for making grants under section 1509 of the Public Health Service Act to not more than 10 States: *Provided further*, That of the amount provided under this heading, \$3,000,000 shall be for the Center for Environmental Medicine and Toxicology at the University of Mississippi Medical Center at Jackson; \$2,000,000 shall be for the University of Mississippi phytomedicine project; \$500,000 shall be for the Alaska aviation safety initiative; and \$1,000,000 shall be for the University of South Alabama birth defects monitoring and prevention activities.

In addition, \$51,000,000, to be derived from the Violent Crime Reduction Trust Fund, for carrying out sections 40151 and 40261 of Public Law 103-322]. *In addition, to become available on October 1 of the fiscal year specified and remain available until expended for construction of Laboratory Building 18: \$20,840,000 for fiscal year 2002 and \$20,840,000 for fiscal year 2003. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Unavailable Collections (in millions of dollars)

| | | | | |
|-------------------------------------|------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-0943-0-1-999 | | 1999 actual | 2000 est. | 2001 est. |
| Balance, start of year: | | | | |
| 01.99 | Balance, start of year | | | |
| Receipts: | | | | |
| 02.01 | Cooperative research and development agreements, Centers for Disease Control | 2 | 2 | 2 |

General and special funds—Continued

DISEASE CONTROL, RESEARCH, AND TRAINING—Continued

Unavailable Collections (in millions of dollars)—Continued

| Identification code 75-0943-0-1-999 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------|-------------|-----------|-----------|
| Appropriation: | | | |
| 05.01 Disease control, research, and training | - 2 | - 2 | - 2 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 75-0943-0-1-999 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Preventive health block grant | 150 | 135 | 179 |
| 00.02 Prevention centers | 13 | 18 | 15 |
| 00.03 Sexually transmitted diseases | 124 | 136 | 151 |
| 00.04 Immunization | 420 | 490 | 530 |
| 00.05 Infectious diseases | 257 | 294 | 329 |
| 00.06 Other chronic and environmental diseases | 475 | 553 | 583 |
| 00.07 Tobacco | 74 | 101 | 106 |
| 00.08 Occupational safety and health | 200 | 215 | 220 |
| 00.10 Epidemic services | 86 | 86 | 86 |
| 00.11 Health statistics | 27 | 33 | 33 |
| 00.12 HIV | 657 | 695 | 795 |
| 00.13 Prevention research | 15 | 15 | 15 |
| 00.14 Buildings and facilities | 45 | 100 | 127 |
| 00.15 Office of the director | 30 | 38 | 36 |
| 00.16 Violent crime reduction programs | 51 | 50 | |
| 00.17 Eliminating racial and ethnic disparities | 10 | 30 | 35 |
| 09.01 Reimbursable program | 180 | 189 | 195 |
| 10.00 Total new obligations | 2,814 | 3,178 | 3,435 |

| | | | |
|---------------------------------------------------------------------------|---------|---------|---------|
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 56 | 43 | 22 |
| 22.00 New budget authority (gross) | 2,791 | 3,157 | 3,439 |
| 22.10 Resources available from recoveries of prior year obligations | 11 | | |
| 23.90 Total budgetary resources available for obligation | 2,858 | 3,200 | 3,461 |
| 23.95 Total new obligations | - 2,814 | - 3,178 | - 3,435 |
| 23.98 Unobligated balance expiring or withdrawn | - 1 | | |
| 24.40 Unobligated balance available, end of year | 43 | 22 | 27 |

| | | | |
|-------------------------------------------------------------------------------------------|-------|-------|-------|
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 2,559 | 2,911 | 3,239 |
| 40.75 Reduction pursuant to P.L. 106-51 | - 3 | | |
| 40.76 Reduction pursuant to P.L. 106-113 | | - 19 | |
| 41.00 Transferred to other accounts | - 1 | | |
| 42.00 Transferred from other accounts | 51 | 71 | |
| 43.00 Appropriation (total discretionary) | 2,606 | 2,963 | 3,239 |
| Mandatory: | | | |
| 60.25 Appropriation (special fund, indefinite) | 2 | 2 | 2 |
| 62.00 Transferred from other accounts | 3 | 3 | 3 |
| 62.50 Appropriation (total mandatory) | 5 | 5 | 5 |
| Discretionary: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 180 | 189 | 195 |
| 70.00 Total new budget authority (gross) | 2,791 | 3,157 | 3,439 |

| | | | |
|---------------------------------------------------------------------------------|---------|---------|---------|
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 2,042 | 2,223 | 2,518 |
| 73.10 Total new obligations | 2,814 | 3,178 | 3,435 |
| 73.20 Total outlays (gross) | - 2,610 | - 2,881 | - 3,228 |
| 73.40 Adjustments in expired accounts (net) | - 13 | | |
| 73.45 Adjustments in unexpired accounts | - 11 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 2,223 | 2,518 | 2,724 |

| | | | |
|------------------------------------------------------|-------|-------|-------|
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 1,133 | 1,211 | 1,329 |
| 86.93 Outlays from discretionary balances | 1,470 | 1,664 | 1,893 |
| 86.97 Outlays from new mandatory authority | 5 | 5 | 5 |
| 86.98 Outlays from mandatory balances | 2 | 1 | 1 |
| 87.00 Total outlays (gross) | 2,610 | 2,881 | 3,228 |

Offsets:

| | | | |
|----------------------------------------------------|-------|-------|-------|
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| 88.00 Federal sources | - 178 | - 187 | - 193 |
| 88.40 Non-Federal sources | - 2 | - 2 | - 2 |
| 88.90 Total, offsetting collections (cash) | - 180 | - 189 | - 195 |

Net budget authority and outlays:

| | | | |
|------------------------------|-------|-------|-------|
| 89.00 Budget authority | 2,611 | 2,968 | 3,244 |
| 90.00 Outlays | 2,430 | 2,692 | 3,033 |

(Dollars in millions)

| | 1999 | 2000 | 2001 |
|-----------------------------------------------------|-------|-------|-------|
| Distribution of budget authority by account: | | | |
| Disease control, research and training | 2,560 | 2,918 | 3,244 |
| Violent crime reduction programs | 51 | 50 | |
| Distribution of outlays by account: | | | |
| Disease control, research and training | 2,382 | 2,658 | 2,996 |
| Violent crime reduction programs | 48 | 34 | 37 |

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs including grants for immunizations, control of sexually transmitted diseases, HIV prevention, and control of other infectious and chronic diseases. CDC also supports the Preventive health block grant.

This display also includes amounts derived from the Violent crime reduction trust fund in FY 1999 and FY 2000. In FY 2001, the activities funded through the trust fund in FY 2000 are maintained at the same funding level of \$51 million, and displayed in FY 2001 within the activity lines for the preventive health block grant (\$45 million) and other chronic and environmental diseases (\$6 million).

Object Classification (in millions of dollars)

| Identification code 75-0943-0-1-999 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 304 | 335 | 368 |
| 11.3 Other than full-time permanent | 7 | 9 | 10 |
| 11.5 Other personnel compensation | 16 | 17 | 18 |
| 11.9 Total personnel compensation | 327 | 361 | 396 |
| 12.1 Civilian personnel benefits | 97 | 104 | 112 |
| 21.0 Travel and transportation of persons | 26 | 31 | 34 |
| 22.0 Transportation of things | 5 | 6 | 7 |
| 23.1 Rental payments to GSA | 19 | 22 | 24 |
| 23.2 Rental payments to others | 2 | 3 | 3 |
| 23.3 Communications, utilities, and miscellaneous charges | 24 | 26 | 28 |
| 24.0 Printing and reproduction | 7 | 9 | 10 |
| 25.1 Advisory and assistance services | 24 | 29 | 31 |
| 25.2 Other services | 50 | 56 | 60 |
| 25.3 Purchases of goods and services from Government accounts | 71 | 80 | 87 |
| 25.4 Operation and maintenance of facilities | 12 | 57 | 62 |
| 25.5 Research and development contracts | 123 | 133 | 145 |
| 25.6 Medical care | 3 | 5 | 5 |
| 25.7 Operation and maintenance of equipment | 10 | 13 | 14 |
| 26.0 Supplies and materials | 23 | 30 | 39 |
| 31.0 Equipment | 38 | 43 | 47 |
| 32.0 Land and structures | 14 | 38 | 41 |
| 41.0 Grants, subsidies, and contributions | 1,759 | 1,943 | 2,095 |
| 99.0 Subtotal, direct obligations | 2,634 | 2,989 | 3,240 |
| 99.0 Reimbursable obligations | 180 | 189 | 195 |
| 99.9 Total new obligations | 2,814 | 3,178 | 3,435 |

Personnel Summary

| Identification code 75-0943-0-1-999 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 6,381 | 6,582 | 6,796 |
| 1011 Exempt Full-time equivalent employment | 4 | | |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 972 | 1,114 | 1,124 |

| | | | |
|-------------------------------------------------------------------------|-----|-----|-----|
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 133 | 226 | 140 |

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows:
Environmental Protection Agency: "Hazardous substance response trust fund."

NATIONAL INSTITUTES OF HEALTH

Federal Funds

General and special funds:

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cancer, **[\$3,332,317,000]** \$3,249,730,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$2,040,291,000]** \$2,069,582,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to dental disease, **[\$270,253,000]** \$263,075,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to diabetes and digestive and kidney disease, **[\$1,147,588,000]** \$1,186,266,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the Public Health Service Act with respect to neurological disorders and stroke, **[\$1,034,886,000]** \$1,050,412,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to allergy and infectious diseases, **[\$1,803,063,000]** \$935,166,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to general medical sciences, **[\$1,361,668,000]** \$1,389,492,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the Public Health Service Act with respect to child health and human development, **[\$862,884,000]** \$810,501,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to eye diseases and visual disorders, **[\$452,706,000]** \$462,776,000. (Department of Health and Human

Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out sections 301 and 311 and title IV of the Public Health Service Act with respect to environmental health sciences, **[\$444,817,000]** \$460,971,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the Public Health Service Act with respect to aging, **[\$690,156,000]** \$721,651,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to arthritis and musculoskeletal and skin diseases, **[\$351,840,000]** \$363,479,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the Public Health Service Act with respect to deafness and other communication disorders, **[\$265,185,000]** \$276,418,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to nursing research, **[\$90,000,000]** \$84,714,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the Public Health Service Act with respect to alcohol abuse and alcoholism, **[\$293,935,000]** \$288,578,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the Public Health Service Act with respect to drug abuse, **[\$689,448,000]** \$496,294,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the Public Health Service Act with respect to mental health, **[\$978,360,000]** \$896,059,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to human genome research, **[\$337,322,000]** \$353,427,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL CENTER FOR RESEARCH RESOURCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to research resources and general research support grants, **[\$680,176,000]** \$602,728,000. Provided, That none of these funds shall be used to pay recipients of the general research support grants program any amount for indirect expenses in connection with such grants: Provided further, That \$72,500,000 shall be for extramural facilities construction grants. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section

General and special funds—Continued

NATIONAL CENTER FOR RESEARCH RESOURCES—Continued

1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113.)

NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to complementary and alternative medicine, **[\$68,753,000] \$71,362,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities at the John E. Fogarty International Center, **[\$43,723,000] \$32,532,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to health information communications, **[\$215,214,000] \$224,942,000,** of which \$4,000,000 shall be available until expended for improvement of information systems: *Provided,* That in fiscal year **[2000] 2001,** the Library may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

OFFICE OF THE DIRECTOR

(INCLUDING TRANSFER OF FUNDS)

For carrying out the responsibilities of the Office of the Director, National Institutes of Health, **[\$283,509,000,** of which **\$44,953,000** shall be for the Office of AIDS Research] **\$262,456,000.** *Provided,* That funding shall be available for the purchase of not to exceed **[29] 20** passenger motor vehicles for replacement only: *Provided further,* That the Director may direct up to 1 percent of the total amount made available in this or any other Act to all National Institutes of Health appropriations to activities the Director may so designate: *Provided further,* That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer: *Provided further,* That the National Institutes of Health is authorized to collect third party payments for the cost of clinical services that are incurred in National Institutes of Health research facilities and that such payments shall be credited to the National Institutes of Health Management Fund: *Provided further,* That all funds credited to the National Institutes of Health Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *[Provided further,* That up to \$500,000 shall be available to carry out section 499 of the Public Health Service Act: *Provided further,* That, notwithstanding section 499(k)(10) of the Public Health Service Act, funds from the Foundation for the National Institutes of Health may be transferred to the National Institutes of Health] *Provided further,* That the Director of NIH may establish an extramural clinical loan repayment program in order to provide recruitment and retention incentives for clinical researchers: *Provided further,* That the Director of the Office of Research on Minority Health, in consultation with the Advisory Council for the Office of Research on Minority Health and the Institutes and Centers, may, in exceptional circumstances, fund peer-reviewed grants for innovative projects that address high priority areas of minority health. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

OFFICE OF AIDS RESEARCH

(INCLUDING TRANSFER OF FUNDS)

For carrying out part D of title XXIII of the Public Health Service Act, **\$2,111,224,000:** *Provided,* That the Director of the Office of AIDS Research shall transfer funds from this appropriation as authorized by subsection 2353(d) of such Act.

BUILDINGS AND FACILITIES

For the study of, construction of, and acquisition of equipment for, facilities of or used by the National Institutes of Health, including the acquisition of real property, **[\$135,376,000] \$148,900,000,** to remain available until expended, of which **\$47,300,000** shall be for the neuroscience research center.

In addition, to become available on October 1, 2001 and remain available until expended, for the neuroscience research center, \$26,000,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Unavailable Collections (in millions of dollars)

| Identification code 75-9915-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Cooperative research and development agreements, NIH | 13 | 13 | 13 |
| Appropriation: | | | |
| 05.01 National Institutes of Health | -13 | -13 | -13 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 75-9915-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 National Cancer Institute | 2,918 | 3,315 | 3,250 |
| 00.02 National Heart, Lung, and Blood Institute | 1,788 | 2,029 | 2,070 |
| 00.03 National Institute of Dental and Craniofacial Research | 234 | 269 | 263 |
| 00.04 National Institute of Diabetes and Digestive and Kidney Disease | 1,018 | 1,168 | 1,213 |
| 00.05 National Institute of Neurological Disorders and Stroke | 900 | 1,029 | 1,050 |
| 00.06 National Institute of Allergy and Infectious Diseases | 1,565 | 1,778 | 935 |
| 00.07 National Institute of General Medical Sciences | 1,203 | 1,354 | 1,390 |
| 00.08 National Institute of Child Health and Human Development | 749 | 858 | 811 |
| 00.09 National Eye Institute | 395 | 450 | 463 |
| 00.10 National Institute of Environmental Health Sciences | 374 | 442 | 461 |
| 00.11 National Institute on Aging | 594 | 686 | 722 |
| 00.12 National Institute of Arthritis and Musculoskeletal and Skin Disease | 307 | 350 | 364 |
| 00.13 National Institute on Deafness and Other Communication Disorder | 229 | 264 | 276 |
| 00.14 National Institute of Mental Health | 858 | 973 | 896 |
| 00.15 National Institute on Drug Abuse | 611 | 687 | 496 |
| 00.16 National Institute on Alcohol Abuse and Alcoholism | 259 | 292 | 289 |
| 00.17 National Institute of Nursing Research | 70 | 90 | 85 |
| 00.18 National Human Genome Research Institute | 279 | 336 | 353 |
| 00.19 National Center for Research Resources | 562 | 677 | 603 |
| 00.20 National Center for Complementary and Alternative Medicine | 40 | 68 | 71 |
| 00.21 John F. Fogarty International Center | 35 | 44 | 33 |
| 00.22 National Library of Medicine | 181 | 214 | 225 |
| 00.23 Office of the Director | 256 | 282 | 262 |
| 00.24 Office of AIDS Research | | | 2,111 |
| 00.25 Buildings and facilities | 217 | 165 | 148 |
| 00.26 Cooperative research and development agreements | 16 | 13 | 13 |
| 00.27 Royalties | 45 | | |
| 09.00 Reimbursable program | 1,038 | 1,187 | 1,215 |
| 10.00 Total new obligations | 16,741 | 19,020 | 20,068 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 147 | 161 | 161 |
| 22.00 New budget authority (gross) | 16,756 | 19,020 | 20,068 |
| 23.90 Total budgetary resources available for obligation | 16,903 | 19,181 | 20,229 |
| 23.95 Total new obligations | -16,741 | -19,020 | -20,068 |
| 23.98 Unobligated balance expiring or withdrawn | -1 | | |
| 24.40 Unobligated balance available, end of year | 161 | 161 | 161 |

New budget authority (gross), detail:

| | | | |
|------------------------------------------------|--------|--------|--------|
| Discretionary: | | | |
| 40.00 Appropriation | 15,612 | 17,913 | 18,813 |
| 40.75 Reduction pursuant to P.L. 106-51 | -10 | | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -100 | |

| | | | | |
|-------|----------------------------------------------------------------------------------|---------|---------|---------|
| 41.00 | Transferred to other accounts | -5 | -20 | |
| 42.00 | Transferred from other accounts | 10 | | |
| 43.00 | Appropriation (total discretionary) | 15,607 | 17,793 | 18,813 |
| | Mandatory: | | | |
| 60.25 | Appropriation (special fund, indefinite) | 13 | 13 | 13 |
| 62.00 | Transferred from other accounts | 27 | 27 | 27 |
| 62.50 | Appropriation (total mandatory) | 40 | 40 | 40 |
| | Spending authority from offsetting collections: | | | |
| | Discretionary: | | | |
| 68.00 | Offsetting collections (cash) | 949 | 1,187 | 1,215 |
| 68.10 | From Federal sources: Change in receivables and unpaid, unfilled orders | 160 | | |
| 68.90 | Spending authority from offsetting collections (total discretionary) | 1,109 | 1,187 | 1,215 |
| 70.00 | Total new budget authority (gross) | 16,756 | 19,020 | 20,068 |
| | Change in unpaid obligations: | | | |
| | Unpaid obligations, start of year: | | | |
| 72.40 | Obligated balance, start of year | 12,119 | 13,898 | 16,194 |
| 72.95 | From Federal sources: Receivables and unpaid, unfilled orders | 3,984 | 4,144 | 4,144 |
| 72.99 | Total unpaid obligations, start of year | 16,103 | 18,042 | 20,338 |
| 73.10 | Total new obligations | 16,741 | 19,020 | 20,068 |
| 73.20 | Total outlays (gross) | -14,764 | -16,725 | -19,080 |
| 73.40 | Adjustments in expired accounts (net) | -38 | | |
| | Unpaid obligations, end of year: | | | |
| 74.40 | Obligated balance, end of year | 13,898 | 16,194 | 17,182 |
| 74.95 | From Federal sources: Receivables and unpaid, unfilled orders | 4,144 | 4,144 | 4,144 |
| 74.99 | Total unpaid obligations, end of year | 18,042 | 20,338 | 21,326 |
| | Outlays (gross), detail: | | | |
| 86.90 | Outlays from new discretionary authority | 5,549 | 5,998 | 6,606 |
| 86.93 | Outlays from discretionary balances | 9,190 | 10,692 | 12,435 |
| 86.97 | Outlays from new mandatory authority | 14 | 14 | 14 |
| 86.98 | Outlays from mandatory balances | 11 | 21 | 25 |
| 87.00 | Total outlays (gross) | 14,764 | 16,725 | 19,080 |
| | Offsets: | | | |
| | Against gross budget authority and outlays: | | | |
| 88.00 | Offsetting collections (cash) from: Federal sources | -949 | -1,187 | -1,215 |
| | Against gross budget authority only: | | | |
| 88.95 | From Federal sources: Change in receivables and unpaid, unfilled orders | -160 | | |
| | Net budget authority and outlays: | | | |
| 89.00 | Budget authority | 15,647 | 17,833 | 18,853 |
| 90.00 | Outlays | 13,815 | 15,538 | 17,865 |

[Dollars in millions]

| | | | | |
|--------------------------------------------------------------------------------|-------|-------|-------|--|
| Distribution of budget authority by account: | | | | |
| | 1999 | 2000 | 2001 | |
| National Cancer Institute | 2,918 | 3,315 | 3,250 | |
| National Heart, Lung, and Blood Institute | 1,788 | 2,029 | 2,070 | |
| National Institute of Dental and Craniofacial Research | 234 | 269 | 263 | |
| National Institute of Diabetes and Digestive and Kidney Diseases | 1,018 | 1,168 | 1,213 | |
| National Institute of Neurological Disorder and Stroke | 900 | 1,029 | 1,050 | |
| National Institute of Allergy and Infectious Diseases | 1,565 | 1,778 | 935 | |
| National Institute of General Medical Sciences | 1,203 | 1,354 | 1,390 | |
| National Institute of Child Health and Human Development | 749 | 858 | 811 | |
| National Eye Institute | 395 | 450 | 463 | |
| National Institute of Environmental Health Sciences | 374 | 442 | 461 | |
| National Institute on Aging | 595 | 686 | 722 | |
| National Institute of Arthritis and Musculoskeletal and Skin Diseases | 307 | 350 | 364 | |
| National Institute on Deafness and Other Communication Disorders | 229 | 264 | 276 | |
| National Institute of Nursing Research | 70 | 90 | 85 | |
| National Institute on Alcohol Abuse and Alcoholism | 259 | 292 | 289 | |
| National Institute on Drug Abuse | 611 | 687 | 496 | |
| National Institute of Mental Health | 858 | 973 | 896 | |
| National Center for Research Resources | 562 | 677 | 603 | |
| National Human Genome Research Institute | 279 | 336 | 353 | |
| National Center for Complementary and Alternative Medi- cine | 50 | 68 | 71 | |
| John E. Fogarty International Center | 35 | 44 | 33 | |
| National Library of Medicine | 181 | 214 | 225 | |
| Office of the Director | 256 | 282 | 262 | |
| Office of AIDS Research | | | 2,111 | |

| | | | |
|-------------------------------------------------------|--------|--------|--------|
| Buildings and Facilities | 197 | 165 | 148 |
| Subtotal | 15,633 | 17,820 | 18,840 |
| Cooperative Research and Development Agreements | 13 | 13 | 13 |
| Total Budget Authority, NIH | 15,646 | 17,833 | 18,853 |

[Dollars in millions]

| | | | | |
|--------------------------------------------------------------------------------|--------|--------|--------|--|
| Distribution of outlays by account: | | | | |
| | 1999 | 2000 | 2001 | |
| National Cancer Institute | 2,617 | 2,906 | 3,110 | |
| National Heart, Lung, and Blood Institute | 1,539 | 1,749 | 1,949 | |
| National Institute of Dental and Craniofacial Research | 212 | 234 | 248 | |
| National Institute of Diabetes and Digestive and Kidney Diseases | 903 | 1,014 | 1,145 | |
| National Institute of Neurological Disorders and Stroke | 776 | 899 | 996 | |
| National Institute of Allergy and Infectious Diseases | 1,375 | 1,549 | 871 | |
| National Institute of General Medical Sciences | 1,076 | 1,202 | 1,322 | |
| National Institute of Child Health and Human Development | 675 | 755 | 771 | |
| National Eye Institute | 352 | 391 | 440 | |
| National Institute of Environmental Health Sciences | 335 | 380 | 441 | |
| National Institute on Aging | 518 | 592 | 676 | |
| National Institute of Arthritis and Musculoskeletal and Skin Diseases | 267 | 309 | 345 | |
| National Institute on Deafness and Other Communication Disorders | 197 | 226 | 261 | |
| National Institute of Nursing Research | 63 | 70 | 79 | |
| National Institute on Alcohol Abuse and Alcoholism | 228 | 254 | 272 | |
| National Institute on Drug Abuse | 539 | 596 | 464 | |
| National Institute of Mental Health | 738 | 849 | 841 | |
| National Center for Research Resources | 460 | 557 | 554 | |
| National Human Genome Research Institute | 228 | 280 | 330 | |
| National Center for Complementary and Alternative Medi- cine | 3 | 40 | 65 | |
| John E. Fogarty International Center | 24 | 35 | 29 | |
| National Library of Medicine | 161 | 193 | 215 | |
| Office of the Director | 309 | 247 | 240 | |
| Office of AIDS Research | | | 1,981 | |
| Buildings and Facilities | 198 | 198 | 208 | |
| Service and Supply Fund/Management Fund | 3 | | | |
| Subtotal Outlays | 13,796 | 15,525 | 17,853 | |
| Cooperative Research and Development Agreements | 19 | 13 | 13 | |
| Total Outlays, NIH | 13,815 | 15,538 | 17,866 | |

Note: The total amount for FY 2000 AIDS research was \$2,006,368,000 which was jointly determined by the Director of NIH and the Director of the Office of AIDS Research pursuant to section 208 of P.L. 106-113. \$1,961,654,000 was made available to OAR. This amount is net of the \$44,714,000 in AIDS funds earmarked in P.L. 106-113 in the Office of the Director account. Amounts available under section 4921 of P.L. 105-33 for research on diabetes are included in the National Institute of Diabetes and Digestive and Kidney Disorders.

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

NIH research and related activities are included in the 21st Century research fund.

Object Classification (in millions of dollars)

| | | | | |
|-------------------------------------|-------------------------------------------------------------------|-----------|-----------|-------|
| Identification code 75-9915-0-1-552 | | | | |
| | 1999 actual | 2000 est. | 2001 est. | |
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 520 | 602 | 647 |
| 11.3 | Other than full-time permanent | 123 | 142 | 153 |
| 11.5 | Other personnel compensation | 36 | 32 | 34 |
| 11.8 | Special personal services payments | 89 | 106 | 114 |
| 11.9 | Total personnel compensation | 768 | 882 | 948 |
| 12.1 | Civilian personnel benefits | 170 | 196 | 215 |
| 21.0 | Travel and transportation of persons | 26 | 27 | 28 |
| 22.0 | Transportation of things | 4 | 4 | 4 |
| 23.1 | Rental payments to GSA | 7 | 8 | 9 |
| 23.2 | Rental payments to others | 19 | 18 | 19 |
| 23.3 | Communications, utilities, and miscellaneous charges | 36 | 39 | 40 |
| 24.0 | Printing and reproduction | 15 | 16 | 17 |
| 25.1 | Advisory and assistance services | 47 | 39 | 40 |
| 25.2 | Other services | 313 | 503 | 493 |
| 25.3 | Purchases of goods and services from Government accounts | 1,116 | 1,014 | 1,151 |
| 25.4 | Operation and maintenance of facilities | 224 | 118 | 120 |
| 25.5 | Research and development contracts | 857 | 958 | 986 |

General and special funds—Continued

BUILDINGS AND FACILITIES—Continued

Object Classification (in millions of dollars)—Continued

| Identification code 75-9915-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------|-------------|-----------|-----------|
| 25.6 Medical care | 11 | 13 | 12 |
| 25.7 Operation and maintenance of equipment | 39 | 33 | 34 |
| 26.0 Supplies and materials | 162 | 156 | 159 |
| 31.0 Equipment | 154 | 158 | 163 |
| 41.0 Grants, subsidies, and contributions | 11,735 | 13,651 | 14,415 |
| 99.0 Subtotal, direct obligations | 15,703 | 17,833 | 18,853 |
| 99.0 Reimbursable obligations | 1,038 | 1,187 | 1,215 |
| 99.9 Total new obligations | 16,741 | 19,020 | 20,068 |

Personnel Summary

| Identification code 75-9915-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 10,986 | 12,058 | 12,432 |
| 1011 Exempt Full-time equivalent employment | 22 | | |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 4,321 | 4,615 | 4,638 |

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION

Federal Funds

General and special funds:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES

For carrying out titles V and XIX of the Public Health Service Act with respect to substance abuse and mental health services, the Protection and Advocacy for Mentally Ill Individuals Act of 1986, and section 301 of the Public Health Service Act with respect to program management, **[\$2,654,953,000] \$2,823,016,000: Provided, That in addition to amounts provided herein, \$12,000,000 shall be available from amounts available under section 241 of the Public Health Services Act, to carry out the National Household Survey on Drug Abuse. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)**

Program and Financing (in millions of dollars)

| Identification code 75-1362-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Mental health and substance abuse activities | 556 | 637 | 716 |
| 00.02 Mental health partnership | 289 | 356 | 416 |
| 00.03 Substance abuse partnership | 1,585 | 1,600 | 1,631 |
| 00.05 Program management | 56 | 59 | 60 |
| 09.01 Reimbursable program | 33 | 40 | 40 |
| 10.00 Total new obligations | 2,519 | 2,692 | 2,863 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 2,520 | 2,692 | 2,863 |
| 23.95 Total new obligations | -2,519 | -2,692 | -2,863 |
| 23.98 Unobligated balance expiring or withdrawn | -1 | | |

New budget authority (gross), detail:

| | | | |
|-------------------------------------------------------------------------------------------|-------|-------|-------|
| Discretionary: | | | |
| 40.00 Appropriation | 2,488 | 2,655 | 2,823 |
| 40.76 Reduction pursuant to P.L. 106-113 | | -3 | |
| 41.00 Transferred to other accounts | -1 | | |
| 43.00 Appropriation (total discretionary) | 2,487 | 2,652 | 2,823 |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 33 | 40 | 40 |
| 70.00 Total new budget authority (gross) | 2,520 | 2,692 | 2,863 |

Change in unpaid obligations:

| | | | |
|---------------------------------------------------------------------------------|--------|--------|--------|
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 1,620 | 1,852 | 2,053 |
| 73.10 Total new obligations | 2,519 | 2,692 | 2,863 |
| 73.20 Total outlays (gross) | -2,247 | -2,491 | -2,688 |
| 73.40 Adjustments in expired accounts (net) | -40 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 1,852 | 2,053 | 2,228 |

Outlays (gross), detail:

| | | | |
|------------------------------------------------------|-------|-------|-------|
| 86.90 Outlays from new discretionary authority | 1,086 | 1,109 | 1,164 |
| 86.93 Outlays from discretionary balances | 1,161 | 1,382 | 1,524 |
| 87.00 Total outlays (gross) | 2,247 | 2,491 | 2,688 |

Offsets:

| | | | |
|-----------------------------------------------------------------|-----|-----|-----|
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -33 | -40 | -40 |

Net budget authority and outlays:

| | | | |
|------------------------------|-------|-------|-------|
| 89.00 Budget authority | 2,487 | 2,652 | 2,823 |
| 90.00 Outlays | 2,214 | 2,451 | 2,648 |

This program provides Federal support for treatment and prevention in the areas of alcoholism, drug abuse, and mental health.

Object Classification (in millions of dollars)

| Identification code 75-1362-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 35 | 40 | 42 |
| 11.3 Other than full-time permanent | 1 | 1 | 1 |
| 11.5 Other personnel compensation | 1 | 1 | 1 |
| 11.9 Total personnel compensation | 37 | 42 | 44 |
| 12.1 Civilian personnel benefits | 8 | 9 | 10 |
| 21.0 Travel and transportation of persons | 1 | 1 | 1 |
| 23.1 Rental payments to GSA | 5 | 5 | 6 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 24.0 Printing and reproduction | 4 | 4 | 4 |
| 25.1 Advisory and assistance services | 11 | 16 | 18 |
| 25.2 Other services | 152 | 169 | 175 |
| 25.3 Purchases of goods and services from Government accounts | 46 | 50 | 52 |
| 31.0 Equipment | 1 | 2 | 2 |
| 41.0 Grants, subsidies, and contributions | 2,218 | 2,351 | 2,508 |
| 42.0 Insurance claims and indemnities | 2 | 2 | 2 |
| 99.0 Subtotal, direct obligations | 2,486 | 2,652 | 2,823 |
| 99.0 Reimbursable obligations | 33 | 40 | 40 |
| 99.9 Total new obligations | 2,519 | 2,692 | 2,863 |

Personnel Summary

| Identification code 75-1362-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 559 | 612 | 612 |
| Reimbursable: | | | |
| Total compensable workyears: | | | |
| 2001 Full-time equivalent employment | 2 | 74 | 74 |
| 2011 Exempt Full-time equivalent employment | 71 | | |

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY

Federal Funds

General and special funds:

[HEALTH CARE POLICY AND RESEARCH] HEALTHCARE RESEARCH AND QUALITY

For carrying out titles III and IX of the Public Health Service Act, and part A of title XI of the Social Security Act, **[\$111,424,000; in addition,] amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data**

[tapes] shall be credited to this appropriation and shall remain available until expended: *Provided*, That the amount made available pursuant to section 926(b) of the Public Health Service Act shall not exceed **[\$88,576,000] \$249,943,000.** (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-1700-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 01.01 Research on health costs, quality, and outcomes | 98 | 108 | |
| 01.04 Program support | 2 | 2 | |
| 09.00 Reimbursable program | 82 | 100 | 261 |
| 10.00 Total new obligations | 182 | 210 | 261 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 2 | 2 | 2 |
| 22.00 New budget authority (gross) | 182 | 210 | 261 |
| 23.90 Total budgetary resources available for obligation | 184 | 212 | 263 |
| 23.95 Total new obligations | -182 | -210 | -261 |
| 23.98 Unobligated balance expiring or withdrawn | | | |
| 24.40 Unobligated balance available, end of year | 2 | 2 | |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 100 | 111 | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -1 | |
| 43.00 Appropriation (total discretionary) | 100 | 110 | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 82 | 100 | 261 |
| 70.00 Total new budget authority (gross) | 182 | 210 | 261 |
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| 72.40 Obligated balance, start of year | 139 | 154 | 138 |
| 72.95 From Federal sources: Receivables and unpaid, unfilled orders | 7 | 7 | 7 |
| 72.99 Total unpaid obligations, start of year | 146 | 161 | 145 |
| 73.10 Total new obligations | 182 | 210 | 261 |
| 73.20 Total outlays (gross) | -161 | -220 | -353 |
| 73.40 Adjustments in expired accounts (net) | -6 | -6 | -2 |
| Unpaid obligations, end of year: | | | |
| 74.40 Obligated balance, end of year | 154 | 138 | 46 |
| 74.95 From Federal sources: Receivables and unpaid, unfilled orders | 7 | 7 | 7 |
| 74.99 Total unpaid obligations, end of year | 161 | 145 | 53 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 65 | 110 | 261 |
| 86.93 Outlays from discretionary balances | 96 | 110 | 92 |
| 87.00 Total outlays (gross) | 161 | 220 | 353 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -82 | -100 | -261 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 100 | 110 | |
| 90.00 Outlays | 79 | 120 | 92 |

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

Object Classification (in millions of dollars)

| Identification code 75-1700-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 11 | 13 | |

| | | | |
|---------------------------------------------------------------|-----|-----|-----|
| 11.3 Other than full-time permanent | 2 | 2 | |
| 11.9 Total personnel compensation | 13 | 15 | |
| 12.1 Civilian personnel benefits | 3 | 4 | |
| 21.0 Travel and transportation of persons | | 1 | |
| 23.1 Rental payments to GSA | 3 | 2 | |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | |
| 24.0 Printing and reproduction | 1 | 1 | |
| 25.2 Other services | 7 | 7 | |
| 25.3 Purchases of goods and services from Government accounts | 3 | 3 | |
| 25.5 Research and development contracts | 26 | 21 | |
| 31.0 Equipment | 1 | 1 | |
| 41.0 Grants, subsidies, and contributions | 42 | 54 | |
| 99.0 Subtotal, direct obligations | 100 | 110 | |
| 99.0 Reimbursable obligations | 82 | 100 | 261 |
| 99.9 Total new obligations | 182 | 210 | 261 |

Personnel Summary

| Identification code 75-1700-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 194 | 226 | |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 59 | 59 | 294 |

HEALTH CARE FINANCING ADMINISTRATION

Federal Funds

General and special funds:

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$86,087,393,000] \$93,586,251,000**, to remain available until expended.

For making, after May 31, **[2000] 2001**, payments to States under title XIX of the Social Security Act for the last quarter of fiscal year **[2000] 2001** for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year **[2001, \$30,589,003,000] 2002, \$36,207,551,000**, to remain available until expended.

Payment under title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-0512-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Medicaid vendor payments | 104,775 | 109,272 | 116,448 |
| 00.02 State and local administration | 5,836 | 6,380 | 7,258 |
| 00.03 Vaccine purchases | 531 | 465 | 469 |
| 09.00 Medicare Part B premium transfer | | 50 | 60 |
| 10.00 Total new obligations | 111,142 | 116,167 | 124,235 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 6,012 | 1,117 | |
| 22.00 New budget authority (gross) | 102,394 | 115,050 | 124,235 |
| 22.10 Resources available from recoveries of prior year obligations | 3,852 | | |
| 23.90 Total budgetary resources available for obligation | 112,258 | 116,167 | 124,235 |
| 23.95 Total new obligations | -111,142 | -116,167 | -124,235 |
| 24.40 Unobligated balance available, end of year | 1,117 | | |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | 74,594 | 86,087 | 93,586 |

General and special funds—Continued

GRANTS TO STATES FOR MEDICAID—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 75-0512-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| 60.05 Appropriation (indefinite) | | 179 | |
| 62.50 Appropriation (total mandatory) | 74,594 | 86,266 | 93,586 |
| 65.00 Advance appropriation | 27,801 | 28,734 | 30,589 |
| 69.00 Offsetting collections (cash) | | 50 | 60 |
| 70.00 Total new budget authority (gross) | 102,395 | 115,050 | 124,235 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 5,504 | 4,751 | 4,751 |
| 73.10 Total new obligations | 111,142 | 116,167 | 124,235 |
| 73.20 Total outlays (gross) | -108,043 | -116,167 | -124,235 |
| 73.45 Adjustments in unexpired accounts | -3,852 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 4,751 | 4,751 | 4,751 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 96,526 | 115,050 | 124,235 |
| 86.98 Outlays from mandatory balances | 11,516 | 1,117 | |
| 87.00 Total outlays (gross) | 108,043 | 116,167 | 124,235 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | | -50 | -60 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 102,394 | 115,000 | 124,175 |
| 90.00 Outlays | 108,043 | 116,117 | 124,175 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 102,395 | 115,000 | 124,175 |
| Outlays | 108,042 | 116,117 | 124,175 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 663 |
| Outlays | | | 663 |
| Total: | | | |
| Budget Authority | 102,395 | 115,000 | 124,838 |
| Outlays | 108,042 | 116,117 | 124,838 |

Medicaid assists States in providing medical care to their low-income population by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Object Classification (in millions of dollars)

| Identification code 75-0512-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions | 111,142 | 116,117 | 124,175 |
| 99.0 Reimbursable obligations: Subtotal, reimbursable obligations | | 50 | 60 |
| 99.9 Total new obligations | 111,142 | 116,167 | 124,235 |

**GRANTS TO STATES FOR MEDICAID
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

| Identification code 75-0512-4-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 41.0) | | | 663 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 663 |

| | | | |
|-----------------------------------|--|--|------|
| 23.95 Total new obligations | | | -663 |
|-----------------------------------|--|--|------|

New budget authority (gross), detail:

| | | | |
|---------------------------|--|--|-----|
| Mandatory: | | | |
| 60.00 Appropriation | | | 663 |

Change in unpaid obligations:

| | | | |
|-----------------------------------|--|--|------|
| 73.10 Total new obligations | | | 663 |
| 73.20 Total outlays (gross) | | | -663 |

Outlays (gross), detail:

| | | | |
|--------------------------------------------------|--|--|-----|
| 86.97 Outlays from new mandatory authority | | | 663 |
|--------------------------------------------------|--|--|-----|

Net budget authority and outlays:

| | | | |
|------------------------------|--|--|-----|
| 89.00 Budget authority | | | 663 |
| 90.00 Outlays | | | 663 |

This schedule reflects the effects of proposals contained in the Budget on the Grants to States for Medicaid account.

STATE GRANTS AND DEMONSTRATIONS

Program and Financing (in millions of dollars)

| Identification code 75-0897-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Infrastructure grants | | | 20 |
| 00.02 Coverage demonstrations | | | 42 |
| 10.00 Total new obligations (object class 41.0) | | | 62 |

Budgetary resources available for obligation:

| | | | |
|--------------------------------------------------------|--|--|-----|
| 22.00 New budget authority (gross) | | | 62 |
| 23.95 Total new obligations | | | -62 |
| 24.40 Unobligated balance available, end of year | | | |

New budget authority (gross), detail:

| | | | |
|---------------------------|--|--|----|
| Mandatory: | | | |
| 60.00 Appropriation | | | 62 |

Change in unpaid obligations:

| | | | |
|-----------------------------------------------------------------------------|--|--|-----|
| 73.10 Total new obligations | | | 62 |
| 73.20 Total outlays (gross) | | | -16 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | | | 46 |

Outlays (gross), detail:

| | | | |
|--------------------------------------------------|--|--|----|
| 86.97 Outlays from new mandatory authority | | | 16 |
|--------------------------------------------------|--|--|----|

Net budget authority and outlays:

| | | | |
|------------------------------|--|--|----|
| 89.00 Budget authority | | | 62 |
| 90.00 Outlays | | | 16 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | | | 62 |
| Outlays | | | 16 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 10 |
| Outlays | | | 10 |
| Total: | | | |
| Budget Authority | | | 72 |
| Outlays | | | 26 |

This account includes funds for: grants to develop and establish State infrastructures to support working individuals with disabilities (sec. 203 of P.L. 106-170), and demonstration of health care coverage of workers with potentially severe disabilities (sec. 204 of P.L. 106-170).

STATE GRANTS AND DEMONSTRATIONS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 75-0897-4-1-551 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 41.0) | | | 10 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 10 |
| 23.95 Total new obligations | | | -10 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 10 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 10 |
| 73.20 Total outlays (gross) | | | -10 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 10 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 10 |
| 90.00 Outlays | | | 10 |

The Budget contains \$10 million in FY 2001 for competitive demonstration grants to States. The demonstrations will assess eligible individuals' access to programs addressing the needs of the homeless and improve coordination among these programs.

PAYMENTS TO HEALTH CARE TRUST FUNDS

For payment to the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as provided under sections 217(g) and 1844 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$69,289,100,000] \$70,381,600,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-0580-0-1-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Supplementary medical insurance (SMI) | 62,171 | 65,063 | 69,777 |
| 00.02 Hospital insurance for uninsured (HI) | 555 | 349 | 321 |
| 00.03 Federal uninsured payment (HI) | 97 | 121 | 132 |
| 00.04 Program management (HI) | 96 | 129 | 152 |
| 00.06 Federal payments from taxation of OASDI benefits (HI) | 6,552 | 7,190 | 6,878 |
| 00.08 Fraud and abuse control, FBI | 66 | 76 | 88 |
| 00.10 Fraud and abuse control, criminal fines | 36 | 40 | 44 |
| 00.13 Fraud and abuse, civil monetary penalties | | 5 | 6 |
| 00.14 Fraud and abuse control, administrative fees | 2 | | |
| 00.15 Other (SMI) | 14 | | |
| 10.00 Total new obligations | 69,589 | 72,973 | 77,398 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 14 | | |
| 22.00 New budget authority (gross) | 69,609 | 76,600 | 77,398 |
| 23.90 Total budgetary resources available for obligation | 69,623 | 76,600 | 77,398 |
| 23.95 Total new obligations | -69,589 | -72,973 | -77,398 |
| 23.98 Unobligated balance expiring or withdrawn | -34 | -3,627 | |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| Appropriation: | | | |
| 60.00 Appropriation | 66 | 76 | 88 |
| 60.00 Appropriation | 62,953 | 69,289 | 70,382 |
| 60.05 Appropriation (indefinite) | 6,590 | 7,235 | 6,928 |

| | | | | |
|------------------------------------------|--------------------------------------|---------|---------|---------|
| 62.50 | Appropriation (total mandatory) | 69,609 | 76,600 | 77,398 |
| Change in unpaid obligations: | | | | |
| 73.10 | Total new obligations | 69,589 | 72,973 | 77,398 |
| 73.20 | Total outlays (gross) | -69,589 | -72,973 | -77,398 |
| Outlays (gross), detail: | | | | |
| 86.97 | Outlays from new mandatory authority | 69,589 | 72,973 | 77,398 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 69,609 | 76,600 | 77,398 |
| 90.00 | Outlays | 69,589 | 72,973 | 77,398 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 69,609 | 76,600 | 77,398 |
| Outlays | 69,589 | 72,973 | 77,398 |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | 15,120 |
| Outlays | | | 15,120 |
| Total: | | | |
| Budget Authority | 69,609 | 76,600 | 92,518 |
| Outlays | 69,589 | 72,973 | 92,518 |

Payments are made (1) to the Federal Supplementary Medical Insurance trust fund; and (2) to the Federal hospital insurance trust fund for individuals aged 65 and over who meet certain transitional insured status requirements, and for civil service employees and annuitants who worked before 1983 and who were employed during January 1983. Since 1992, amounts have been included for non-Medicare activities of HCFA program management.

Object Classification (in millions of dollars)

| Identification code 75-0580-0-1-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------|-------------|-----------|-----------|
| 41.0 Grants, subsidies, and contributions | 69,033 | 72,374 | 76,793 |
| 42.0 Insurance claims and indemnities | 460 | 470 | 453 |
| 92.0 Undistributed | 96 | 129 | 152 |
| 99.9 Total new obligations | 69,589 | 72,973 | 77,398 |

PAYMENTS TO THE HEALTH CARE TRUST FUNDS
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 75-0580-2-1-571 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.02 SMI premium match match—savings proposals | | | -280 |
| 00.03 Surplus transfer for HI solvency | | | 15,400 |
| 10.00 Total new obligations | | | 15,120 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 15,120 |
| 23.95 Total new obligations | | | -15,120 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| Appropriation: | | | |
| 60.00 Appropriation | | | -280 |
| 60.05 Appropriation (indefinite) | | | 15,400 |
| 62.50 Appropriation (total mandatory) | | | 15,120 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 15,120 |
| 73.20 Total outlays (gross) | | | -15,120 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 15,120 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 15,120 |

General and special funds—Continued

PAYMENTS TO THE HEALTH CARE TRUST FUNDS—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 75-0580-2-1-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|-------------|-----------|-----------|
| 90.00 Outlays | | | 15,120 |

This schedule reflects the effects of the Medicare proposals contained in the Budget on the Payments to the health care trust funds account.

Object Classification (in millions of dollars)

| Identification code 75-0580-2-1-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| 41.0 Grants, subsidies, and contributions | | | -280 |
| 92.0 Undistributed | | | 15,400 |
| 99.9 Total new obligations | | | 15,120 |

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the Public Health Service Act, and the Clinical Laboratory Improvement Amendments of 1988, not to exceed **[\$1,994,548,000] \$2,086,302,000**, to be transferred from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the Public Health Service Act and such sums as may be collected from authorized user fees and the sale of data, which shall remain available until expended[, and together with administrative fees collected relative to Medicare overpayment recovery activities, which shall remain available until expended]: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the Public Health Service Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That \$18,000,000 appropriated under this heading for the managed care system redesign shall remain available until expended: *[Provided further*, That \$2,000,000 of the amount available for research, demonstration, and evaluation activities shall be available to continue carrying out demonstration projects on Medicaid coverage of community-based attendant care services for people with disabilities which ensures maximum control by the consumer to select and manage their attendant care services: *Provided further*, That \$3,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to an application from the University of Pennsylvania Medical Center, the University of Louisville Sciences Center, and St. Vincent's Hospital in Montana to conduct a demonstration to reduce hospitalizations among high-risk patients with congestive heart failure: *Provided further*, That \$2,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the AIDS Healthcare Foundation in Los Angeles: *Provided further*, That \$100,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Littleton Regional Hospital in New Hampshire, to assist in the development of rural emergency medical services: *Provided further*, That \$250,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the University of Missouri-Kansas City to test behavioral interventions of nursing home residents with moderate to severe dementia: *Provided further*, That \$1,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded for a children's hospice care demonstration program in Virginia, Florida, Kentucky, New York, and Utah: *Provided further*, That \$150,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to L.A. Care Health Plan in Los Angeles, California for a Medicaid outreach demonstration project to provide access to medical care for uninsured workers: *Provided further*, That \$500,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Baystate Medical Center in Springfield, Massachusetts for the Partners for a Healthier Community childhood immunization demonstration project: *Provided further*, That \$250,000 shall be awarded to the Shelby County Regional Medical

Center to establish a Master Patient Index to determine patient Medicaid/TennCare eligibility:] *Provided further*, That the Secretary of Health and Human Services is directed to collect[, in aggregate, \$95,000,000 in] fees in fiscal year **[2000] 2001** from Medicare + Choice organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act: *Provided further*, That administrative fees collected relative to Medicare overpayment recovery activities shall be transferred to the Health Care Fraud and Abuse Control (HCFA) account, to be used for Medicare Integrity Program (MIP) activities in addition to the amounts already specified, and shall remain available until expended. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-0511-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Medicare contractors | 1,256 | 1,264 | 1,301 |
| 00.02 Federal administration | 455 | 483 | 496 |
| 00.03 State survey and certification | 175 | 205 | 234 |
| 00.04 Research, demonstrations, and evaluation projects | 50 | 62 | 55 |
| 01.00 Total direct program | 1,936 | 2,014 | 2,086 |
| 09.01 CLIA | 34 | 43 | 43 |
| 09.03 Other reimbursements | 3 | 1 | 2 |
| 09.06 Medicare+Choice | 91 | 95 | 19 |
| 09.09 Total reimbursable program | 128 | 139 | 64 |
| 10.00 Total new obligations | 2,063 | 2,153 | 2,150 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 15 | 88 | 67 |
| 22.00 New budget authority (gross) | 2,082 | 2,133 | 2,150 |
| 22.10 Resources available from recoveries of prior year obligations | 64 | | |
| 23.90 Total budgetary resources available for obligation | 2,161 | 2,221 | 2,217 |
| 23.95 Total new obligations | -2,063 | -2,153 | -2,150 |
| 23.98 Unobligated balance expiring or withdrawn | -10 | | |
| 24.40 Unobligated balance available, end of year | 88 | 67 | 67 |
| New budget authority (gross), detail: | | | |
| Spending authority from offsetting collections: | | | |
| Discretionary: | | | |
| Offsetting collections (cash): | | | |
| 68.00 Offsetting collections (cash) | 1,812 | 1,993 | 2,086 |
| 68.00 Offsetting collections (cash) | 134 | 140 | 64 |
| 68.10 From Federal sources: Change in receivables and unpaid, unfilled orders | 286 | | |
| 68.15 From Federal sources: Adjustments to receivables and unpaid, unfilled orders | -149 | | |
| 68.75 Reduction pursuant to P.L. 106-51 | -1 | | |
| 68.90 Spending authority from offsetting collections (total discretionary) | 2,082 | 2,133 | 2,150 |
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| 72.40 Obligated balance, start of year | 280 | 74 | 74 |
| 72.95 From Federal sources: Receivables and unpaid, unfilled orders | 377 | 663 | 663 |
| 72.99 Total unpaid obligations, start of year | 657 | 737 | 737 |
| 73.10 Total new obligations | 2,063 | 2,153 | 2,150 |
| 73.20 Total outlays (gross) | -1,914 | -2,153 | -2,150 |
| 73.40 Adjustments in expired accounts (net) | -5 | | |
| 73.45 Adjustments in unexpired accounts | -64 | | |
| Unpaid obligations, end of year: | | | |
| 74.40 Obligated balance, end of year | 74 | 74 | 74 |
| 74.95 From Federal sources: Receivables and unpaid, unfilled orders | 663 | 663 | 663 |
| 74.99 Total unpaid obligations, end of year | 737 | 737 | 737 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 1,524 | 2,133 | 2,150 |
| 86.93 Outlays from discretionary balances | 389 | 20 | |
| 87.00 Total outlays (gross) | 1,914 | 2,153 | 2,150 |

Offsets:

Against gross budget authority and outlays:
Offsetting collections (cash) from:

| | | | | |
|-------|--------------------------------------------|--------|--------|--------|
| 88.00 | Federal sources | -1,812 | -1,993 | -2,086 |
| 88.40 | Non-Federal sources | -134 | -140 | -64 |
| 88.90 | Total, offsetting collections (cash) | -1,946 | -2,133 | -2,150 |

Against gross budget authority only:

| | | | | |
|-------|-----------------------------------------------------------------------------------|------|--|--|
| 88.95 | From Federal sources: Change in receivables and unpaid, unfilled orders | -286 | | |
| 88.96 | From Federal sources: Adjustment to receivables and unpaid, unfilled orders | 149 | | |

Net budget authority and outlays:

| | | | | |
|-------|------------------------|-----|----|--|
| 89.00 | Budget authority | -1 | | |
| 90.00 | Outlays | -32 | 20 | |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | -1 | | |
| Outlays | -33 | 20 | |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | |
| Outlays | | | |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 250 |
| Outlays | | | 250 |
| Total: | | | |
| Budget Authority | -1 | | 250 |
| Outlays | -33 | 20 | 250 |

Program management activities include funding for research, Medicare contractors, survey and certification, CLIA, Medicare+Choice, and administrative costs.

Object Classification (in millions of dollars)

| Identification code 75-0511-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 246 | 280 | 291 |
| 11.3 Other than full-time permanent | 8 | 5 | 8 |
| 11.5 Other personnel compensation | 5 | 4 | 5 |
| 11.9 Total personnel compensation | 259 | 289 | 304 |
| 12.1 Civilian personnel benefits | 51 | 55 | 59 |
| 21.0 Travel and transportation of persons | 11 | 11 | 8 |
| 23.1 Rental payments to GSA | 19 | 21 | 22 |
| 23.3 Communications, utilities, and miscellaneous charges | 22 | 6 | 8 |
| 24.0 Printing and reproduction | 8 | 9 | 8 |
| 25.1 Advisory and assistance services | 6 | 1 | 1 |
| 25.2 Other services | 443 | 318 | 349 |
| 25.3 Purchases of goods and services from Government accounts | 9 | 17 | 8 |
| 25.6 Medical care | 1,055 | 1,260 | 1,297 |
| 26.0 Supplies and materials | 3 | 1 | 1 |
| 31.0 Equipment | 31 | 2 | 2 |
| 32.0 Land and structures | 10 | 10 | 10 |
| 41.0 Grants, subsidies, and contributions | 8 | 13 | 9 |
| 99.0 Subtotal, direct obligations | 1,935 | 2,013 | 2,086 |
| 99.0 Reimbursable obligations | 128 | 140 | 64 |
| 99.9 Total new obligations | 2,063 | 2,153 | 2,150 |

Personnel Summary

| Identification code 75-0511-0-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 4,139 | 4,233 | 4,353 |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 80 | 80 | 82 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | | 50 | |

PROGRAM MANAGEMENT

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorization to establish fees to cover administrative costs of the Health Care Financing Administration and its contractors, such fees may be collected and credited to this account as offsetting collections, to remain available until expended for authorized purposes: Provided, That upon enactment of such authorizing legislation, the amount appropriated for fiscal year 2001 from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds shall be reduced by \$220,000,000: Provided further, That contingent upon enactment of an amendment to section 1857(e)(2) of the Social Security Act which increases the aggregate amount of user fees from Medicare+Choice organizations, the Secretary of Health and Human Services is directed to collect up to \$150,000,000 in fiscal year 2001 which shall be credited to this account as offsetting collections and remain available until expended to implement the Medicare+Choice education campaign: Provided further, That contingent upon enactment of authorizing legislation, the Secretary is directed to collect up to \$4,300,000 in fiscal year 2001 which shall be credited to this account as offsetting collections and remain available until expended for the purposes of operating a nursing home criminal abuse registry.

Program and Financing (in millions of dollars)

| Identification code 75-0511-2-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Medicare contractor appropriation | | | -136 |
| 00.02 Federal administration appropriation | | | -21 |
| 00.03 State survey and certification appropriation | | | -63 |
| 01.00 Total direct program | | | -220 |
| 09.01 User fee for duplicate and unprocessable claims | | | 53 |
| 09.02 User fee for paper claims | | | 83 |
| 09.03 User fee for initial survey and certification | | | 13 |
| 09.04 User fee for recertification (survey) | | | 50 |
| 09.05 User fee for processing health plan applications | | | 21 |
| 09.06 HMO user fee: Medicare+Choice beneficiary information campaign | | | 131 |
| 09.07 User fee for background checks in nursing home patient abuse registry | | | 4 |
| 09.09 Total reimbursable program | | | 355 |
| 10.00 Total new obligations | | | 135 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 135 |
| 23.95 Total new obligations | | | -135 |
| New budget authority (gross), detail: | | | |
| Spending authority from offsetting collections: | | | |
| Discretionary: | | | |
| Offsetting collections (cash): | | | |
| 68.00 Offsetting collections (cash) | | | -220 |
| 68.00 Offsetting collections (cash) | | | 355 |
| 68.90 Spending authority from offsetting collections (total discretionary) | | | 135 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 135 |
| 73.20 Total outlays (gross) | | | -135 |

Outlays (gross), detail:

| | | | |
|------------------------------------------------------|--|--|------|
| 86.90 Outlays from new discretionary authority | | | 135 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| 88.00 Federal sources | | | 220 |
| 88.40 Non-Federal sources | | | -355 |
| 88.90 Total, offsetting collections (cash) | | | -135 |

Net budget authority and outlays:

| | | | |
|------------------------------|--|--|--|
| 89.00 Budget authority | | | |
| 90.00 Outlays | | | |

The Budget includes \$220 million in new user fees to finance HCFA activities. Proposed fees include: a duplicate or

General and special funds—Continued

PROGRAM MANAGEMENT—Continued

unprocessable claims fee, a paper claims fee, a managed care organization application and renewal fee, an initial survey and certification fee, and a recertification fee. If the authorizing legislation is enacted, the amount appropriated from the Federal hospital insurance and Federal supplementary medical insurance trust funds will be reduced by \$220 million. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action. Contingent upon the enactment of authorizing legislation, the budget also authorizes the Secretary to collect up to \$150 million in user fees to finance the Medicare+Choice education campaign. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action. Contingent upon the enactment of authorizing legislation, the Budget also authorizes the Secretary to collect up to \$4.3 million in user fees to finance the operation of a nursing home criminal abuse registry. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action.

Object Classification (in millions of dollars)

| Identification code 75-0511-2-1-550 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services | | | - 84 |
| 25.6 Medical care | | | - 136 |
| 99.0 Subtotal, direct obligations | | | - 220 |
| 99.0 Reimbursable obligations | | | 355 |
| 99.9 Total new obligations | | | 135 |

**PROGRAM MANAGEMENT
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

| Identification code 75-0511-4-1-550 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.04 Research, demonstrations, and evaluation projects | | | 250 |
| 10.00 Total new obligations (object class 41.0) | | | 250 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 250 |
| 23.95 Total new obligations | | | - 250 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 250 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 250 |
| 73.20 Total outlays (gross) | | | - 250 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 250 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 250 |
| 90.00 Outlays | | | 250 |

This schedule reflects the effects on the Program management account of the Administration's proposal to create a

time-limited demonstration that would cover the patient care costs associated with Medicare beneficiary participation in certain clinical trials for cancer.

STATE CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

| Identification code 75-0515-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Grants, subsidies, and contributions | 4,732 | 4,249 | 4,249 |
| 00.02 Advisory and assistance services | | 10 | |
| 10.00 Total new obligations (object class 41.0) | 4,732 | 4,259 | 4,249 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 485 | | |
| 22.00 New budget authority (gross) | 4,247 | 4,259 | 4,249 |
| 23.90 Total budgetary resources available for obligation | 4,732 | 4,259 | 4,249 |
| 23.95 Total new obligations | - 4,732 | - 4,259 | - 4,249 |
| 24.40 Unobligated balance available, end of year | | | |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| Appropriation: | | | |
| 60.00 Appropriation, BBRA Sec. 702 | 4,307 | 4,275 | 4,275 |
| 60.00 Appropriation, BBRA for territories | | 34 | 34 |
| 60.00 Appropriation, BBRA Sec. 703 | | 10 | |
| 61.00 Transferred to other accounts | - 60 | - 60 | - 60 |
| 62.50 Appropriation (total mandatory) | 4,247 | 4,259 | 4,249 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 3,745 | 7,912 | 10,871 |
| 73.10 Total new obligations | 4,732 | 4,259 | 4,249 |
| 73.20 Total outlays (gross) | - 565 | - 1,300 | - 1,905 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 7,912 | 10,871 | 13,215 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 43 | 200 | |
| 86.98 Outlays from mandatory balances | 522 | 1,100 | 1,905 |
| 87.00 Total outlays (gross) | 565 | 1,300 | 1,905 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 4,247 | 4,259 | 4,249 |
| 90.00 Outlays | 565 | 1,300 | 1,905 |

Summary of Budget Authority and Outlays

| | (in millions of dollars) | | |
|------------------------------------------------|--------------------------|-----------|-----------|
| | 1999 actual | 2000 est. | 2001 est. |
| Enacted/requested: | | | |
| Budget Authority | 4,247 | 4,259 | 4,249 |
| Outlays | 565 | 1,300 | 1,905 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 212 |
| Outlays | | | 212 |
| Total: | | | |
| Budget Authority | 4,247 | 4,259 | 4,461 |
| Outlays | 565 | 1,300 | 2,117 |

The Balanced Budget Act of 1997 established the State children's health insurance program (SCHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a SCHIP program, a SCHIP Medicaid expansion program, or a combination of both.

STATE CHILDREN'S HEALTH INSURANCE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 75-0515-4-1-551 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 41.0) | | | 212 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 212 |
| 23.95 Total new obligations | | | -212 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 212 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 212 |
| 73.20 Total outlays (gross) | | | -212 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 212 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 212 |
| 90.00 Outlays | | | 212 |

This schedule reflects the effects of the SCHIP proposals contained in the Budget on the State children's health insurance fund.

HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND

For carrying out subsections (d) and (e) of section 1308 of the Public Health Service Act, any amounts received by the Secretary in connection with loans and loan guarantees under title XIII of the Public Health Service Act, to be available without fiscal year limitation for the payment of outstanding obligations. During fiscal year [2000] 2001, no commitments for direct loans or loan guarantees shall be made. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-4420-0-3-551 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 11 | 11 | 11 |
| 22.00 New budget authority (gross) | 2 | 1 | |
| 22.60 Portion applied to repay debt | -1 | -1 | -1 |
| 23.90 Total budgetary resources available for obligation | 12 | 11 | 10 |
| 24.40 Unobligated balance available, end of year | 11 | 11 | 10 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 69.00 Offsetting collections (cash) | 2 | 1 | |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.40 Offsetting collections (cash) from: Other | -2 | -1 | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | -2 | -1 | |

The last loan commitments from the HMO loan fund were made in 1983. The schedule above reflects receipts on outstanding loans and payments to the Federal Financing Bank.

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

| Identification code 20-8005-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | 116,434 | 137,807 | 162,730 |
| Receipts: | | | |
| 02.00 Interest on investments, legislative proposal, not subject to PAYGO | | | 264 |
| 02.01 Transfers from general fund (FICA taxes) | 123,360 | 126,602 | 133,621 |
| 02.02 Receipts from Railroad Retirement Board | 388 | 388 | 393 |
| 02.03 Transfers from general fund (SECA taxes) | 8,520 | 9,525 | 9,681 |
| 02.04 Federal employer contributions (FICA) | 1,894 | 1,980 | 2,029 |
| 02.05 Postal service employer contributions (FICA) | 611 | 633 | 659 |
| 02.07 Interest received by trust funds | 9,244 | 11,010 | 12,242 |
| 02.08 Other proprietary receipts from the public | 2 | | |
| 02.10 Taxation on OASDI benefits | 6,552 | 7,190 | 6,878 |
| 02.12 Interest payments by Railroad Retirement Board | 42 | 36 | 38 |
| 02.13 Payments from the general fund (uninsured and program management) | 749 | 599 | 605 |
| 02.14 Payments for military service credits | 71 | 63 | 64 |
| 02.15 Premiums collected for uninsured individuals not otherwise eligible | 1,401 | 1,379 | 1,426 |
| 02.16 FBI, Payment from the general fund | 66 | 76 | 88 |
| 02.17 Criminal fines, transfers from the general fund | 41 | 40 | 44 |
| 02.18 Civil monetary penalties, transfers from the general fund | 5 | 5 | 6 |
| 02.20 Civil penalties and damages | 69 | 81 | 90 |
| 02.21 Transfers from DOD, HI | | 11 | 14 |
| 02.23 Payment to extend solvency, legislative proposal not subject to PAYGO | | | 15,400 |
| 02.99 Total receipts | 153,015 | 159,618 | 183,542 |
| 04.00 Total: Balances and collections | 269,449 | 297,425 | 346,272 |
| Appropriation: | | | |
| 05.01 Federal hospital insurance trust fund | -130,873 | -133,832 | -144,750 |
| 05.02 Health care fraud and abuse control account | -764 | -864 | -950 |
| 05.04 Proposed legislation subject to PAYGO | | | 185 |
| 05.06 Proposed legislation not subject to PAYGO | | | 74 |
| 05.99 Subtotal appropriation | -131,637 | -134,696 | -145,441 |
| 06.10 Unobligated balance returned to receipts | -5 | | |
| 06.20 Reduction pursuant to Public Law 106-113 | | 1 | |
| 07.99 Total balance, end of year | 137,807 | 162,730 | 200,831 |

Program and Financing (in millions of dollars)

| Identification code 20-8005-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Benefit payments, HI | 129,221 | 129,216 | 139,869 |
| 00.02 Administration, HI | 1,264 | 1,360 | 1,428 |
| 00.03 Peer review organizations, HI | 177 | 495 | 71 |
| 00.04 Research, HI | 32 | 41 | 37 |
| 00.05 HI partial transfer of home health to SMI | 179 | 2,718 | 3,345 |
| 10.00 Total new obligations | 130,873 | 133,830 | 144,750 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 130,873 | 133,831 | 144,750 |
| 23.95 Total new obligations | -130,873 | -133,830 | -144,750 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.26 Appropriation (trust fund, definite) | 1,297 | 1,363 | 1,425 |
| 40.76 Reduction pursuant to P.L. 106-113 | | -1 | |
| 43.00 Appropriation (total discretionary) | 1,297 | 1,361 | 1,425 |
| Mandatory: | | | |
| 60.27 Appropriation (trust fund, indefinite) | 150,954 | 157,393 | 165,502 |
| 60.28 Appropriation (unavailable balances) | -21,378 | -24,924 | -22,177 |
| 62.50 Appropriation (total mandatory) | 129,576 | 132,469 | 143,325 |
| 70.00 Total new budget authority (gross) | 130,873 | 133,831 | 144,750 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 352 | 465 | 564 |
| 73.10 Total new obligations | 130,873 | 133,830 | 144,750 |
| 73.20 Total outlays (gross) | -130,759 | -133,731 | -145,023 |

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 20-8005-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------|-------------|-----------|-----------|
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 465 | 564 | 291 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 1,118 | 1,168 | 1,225 |
| 86.93 Outlays from discretionary balances | 130 | 170 | 186 |
| 86.97 Outlays from new mandatory authority | 129,289 | 132,097 | 143,234 |
| 86.98 Outlays from mandatory balances | 221 | 295 | 378 |
| 87.00 Total outlays (gross) | 130,759 | 133,731 | 145,023 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 130,873 | 133,831 | 144,750 |
| 90.00 Outlays | 130,759 | 133,731 | 145,023 |
| Memorandum (non-add) entries: | | | |
| 92.01 Total investments, start of year: U.S. securities: Par value | 118,250 | 153,767 | 163,444 |
| 92.02 Total investments, end of year: U.S. securities: Par value | 153,767 | 163,444 | 185,612 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 130,873 | 133,830 | 144,750 |
| Outlays | 130,758 | 133,730 | 145,023 |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | -74 |
| Outlays | | | -74 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | -185 |
| Outlays | | | -185 |
| Total: | | | |
| Budget Authority | 130,873 | 133,830 | 144,491 |
| Outlays | 130,758 | 133,730 | 144,764 |

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

| Identification code 20-8005-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Unexpended balance, start of year: | | | |
| 0100 Treasury balance | - 1,343 | - 15,346 | |
| 0101 U.S. Securities: Par value | 118,250 | 153,767 | 163,444 |
| 0199 Total balance, start of year | 116,909 | 138,421 | 163,443 |
| Cash income during the year: | | | |
| Governmental receipts: | | | |
| 0200 FHI trust fund, transfers from general fund (FICA taxes) | 123,360 | 126,602 | 133,621 |
| 0201 FHI trust fund, transfers from general fund (SECA taxes) | 8,520 | 9,525 | 9,681 |
| 0205 FHI trust fund, receipts from Railroad Retirement Board | 388 | 388 | 393 |
| 0206 HCFAC: Civil penalties and damages | 69 | 81 | 90 |
| Proprietary receipts: | | | |
| 0221 FHI trust fund, premiums collected for uninsured individuals not otherwise eligible | 1,401 | 1,379 | 1,426 |
| 0222 Other proprietary receipts | 2 | | |
| Intragovernmental transactions: | | | |
| 0240 FHI trust fund, Federal employer contributions (FICA) | 1,894 | 1,980 | 2,029 |
| 0241 FHI trust fund, Postal Service employer contributions (FICA) | 611 | 633 | 659 |
| 0242 FHI trust fund, Federal payment for transitional coverage for uninsured Federal employees | 97 | 121 | 132 |
| 0243 FHI trust fund, Federal payment for transitional coverage for the uninsured | 555 | 349 | 321 |
| 0245 FHI trust fund, general fund transfer, program management (HI) | 96 | 129 | 152 |
| 0246 FHI trust fund, Federal payments for OASDI taxes | 6,552 | 7,190 | 6,878 |

| | | | |
|---------------------------------------------------------------------------------------------|-----------|-----------|-----------|
| 0248 FHI trust fund, interest payment from Railroad Retirement Board | 42 | 36 | 38 |
| 0249 FHI trust fund, interest on investments | 9,244 | 11,010 | 12,242 |
| 0250 Interest on investments, legislative proposal not subject to PAYGO | | | 264 |
| 0251 FHI trust fund, transfer from Department of Defense for military service credits | 71 | 63 | 64 |
| 0252 HCFAC: FBI | 66 | 76 | 88 |
| 0253 HCFAC: Criminal fines | 41 | 40 | 44 |
| 0254 HCFAC: Civil monetary penalties | 5 | 5 | 6 |
| 0255 Other intragovernmental transactions | | 11 | 14 |
| 0256 Payment to extend solvency, legislative proposal not subject to PAYGO | | | 15,400 |
| Offsetting collections: | | | |
| HCFAC user fees | 3 | 4 | |
| 0297 Income under present law | 153,017 | 159,622 | 167,878 |
| 0298 Income under proposed legislation | | | 15,664 |
| 0299 Total cash income | 153,018 | 159,622 | 183,542 |
| Cash outgo during year: | | | |
| 0500 Benefit Payments | - 129,107 | - 129,216 | - 139,869 |
| 0501 Benefit payments, legislative proposal subject to PAYGO | | | 185 |
| 0502 Administration | - 1,264 | - 1,344 | - 1,415 |
| 0503 Administration, legislative proposal not subject to PAYGO | | | 74 |
| 0504 Peer Review Organizations | - 177 | - 418 | - 358 |
| 0505 Research | - 32 | - 35 | - 37 |
| 0506 HCFAC | - 744 | - 868 | - 950 |
| 0507 HI Partial Transfer of Home Health to SMI | - 179 | - 2,718 | - 3,345 |
| 0597 Outgo under present law (-) | - 131,503 | - 134,599 | - 145,974 |
| 0598 Outgo under proposed legislation (-) | | | 259 |
| 0599 Total cash outgo (-) | - 131,503 | - 134,599 | - 145,714 |
| Unexpended balance, end of year: | | | |
| 0700 Treasury Balance | - 15,346 | | |
| 0701 U.S. Securities: Par value | 153,767 | 163,444 | 201,271 |
| 0799 Total balance, end of year | 138,421 | 163,443 | 201,271 |

Object Classification (in millions of dollars)

| Identification code 20-8005-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Grants, subsidies, and contributions: | | | |
| 41.0 Payment for health insurance experiments and demonstration projects | 32 | 41 | 37 |
| 41.0 Payment for peer review organization (PRO) activities | 177 | 495 | 71 |
| 42.0 Insurance claims and indemnities | 129,221 | 129,216 | 139,869 |
| Undistributed: | | | |
| 92.0 HI Partial Transfer of Home Health to SMI | 179 | 2,718 | 3,345 |
| 92.0 Reimbursement for administrative expenses for other than SSA LAE | 48 | 40 | 40 |
| 92.0 Purchases of goods and services | 720 | 764 | 807 |
| 93.0 Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration | 496 | 556 | 581 |
| 99.9 Total new obligations | 130,873 | 133,830 | 144,750 |

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 20-8005-2-7-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.02 Administration | | | - 74 |
| 10.00 Total new obligations (object class 92.0) | | | - 74 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | - 74 |
| 23.95 Total new obligations | | | 74 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.26 Appropriation (trust fund, definite) | | | - 74 |
| Mandatory: | | | |
| 60.27 Appropriation (trust fund, indefinite) | | | 15,400 |
| 60.28 Appropriation (unavailable balances) | | | - 15,400 |
| 62.50 Appropriation (total mandatory) | | | |

| | | | | |
|------------------------------------------|------------------------------------------------------------|--|--------|-----|
| 70.00 | Total new budget authority (gross) | | | -74 |
| Change in unpaid obligations: | | | | |
| 73.10 | Total new obligations | | | -74 |
| 73.20 | Total outlays (gross) | | | 74 |
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new discretionary authority | | | -74 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | | | -74 |
| 90.00 | Outlays | | | -74 |
| Memorandum (non-add) entries: | | | | |
| 92.02 | Total investments, end of year: U.S. securities: Par value | | 15,474 | |

This schedule reflects the effects of the HCFA user fees proposed in the Budget on the Hospital Insurance trust fund.

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 20-8005-4-7-571 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|------------------------------------------------------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 | Total new obligations (object class 42.0) | | -185 |
| Budgetary resources available for obligation: | | | |
| 22.00 | New budget authority (gross) | | -185 |
| 23.95 | Total new obligations | | 185 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.28 | Appropriation (unavailable balances) | | -185 |
| Change in unpaid obligations: | | | |
| 73.10 | Total new obligations | | -185 |
| 73.20 | Total outlays (gross) | | 185 |
| Outlays (gross), detail: | | | |
| 86.97 | Outlays from new mandatory authority | | -185 |
| Net budget authority and outlays: | | | |
| 89.00 | Budget authority | | -185 |
| 90.00 | Outlays | | -185 |
| Memorandum (non-add) entries: | | | |
| 92.02 | Total investments, end of year: U.S. securities: Par value | | 185 |

This schedule reflects the effects of Medicare proposals contained in the Budget on the Hospital Insurance trust fund.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

(FEDERAL HOSPITAL INSURANCE TRUST FUND)

Program and Financing (in millions of dollars)

| Identification code 75-8393-0-7-571 | 1999 actual | 2000 est. | 2001 est. | |
|------------------------------------------------------|-------------------------------------------|-----------|-----------|------|
| Obligations by program activity: | | | | |
| 00.01 | Medicare integrity program | 551 | 630 | 680 |
| 00.02 | FBI fraud and abuse control | 66 | 76 | 88 |
| 00.03 | Other fraud and abuse control | 135 | 158 | 182 |
| 09.01 | Reimbursable | 3 | 4 | |
| 10.00 | Total new obligations | 755 | 868 | 950 |
| Budgetary resources available for obligation: | | | | |
| 22.00 | New budget authority (gross) | 767 | 868 | 950 |
| 23.95 | Total new obligations | -755 | -868 | -950 |
| 23.98 | Unobligated balance expiring or withdrawn | -11 | | |
| New budget authority (gross), detail: | | | | |
| Mandatory: | | | | |
| 60.26 | Appropriation (trust fund, definite) | 764 | 864 | 950 |

| | | | | |
|---------------------------------------------|---------------------------------------------------------------------|------|------|------|
| 69.00 | Offsetting collections (cash) | 3 | 4 | |
| 70.00 | Total new budget authority (gross) | 767 | 868 | 950 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 123 | 149 | 149 |
| 73.10 | Total new obligations | 755 | 868 | 950 |
| 73.20 | Total outlays (gross) | -744 | -868 | -950 |
| 73.40 | Adjustments in expired accounts (net) | 16 | | |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 149 | 149 | 149 |
| Outlays (gross), detail: | | | | |
| 86.97 | Outlays from new mandatory authority | 663 | 868 | 950 |
| 86.98 | Outlays from mandatory balances | 82 | | |
| 87.00 | Total outlays (gross) | 744 | 868 | 950 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.00 | Offsetting collections (cash) from: Federal sources | -3 | -4 | |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 764 | 864 | 950 |
| 90.00 | Outlays | 742 | 864 | 950 |

P.L. 104-191 established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal hospital insurance trust fund and appropriated funds, to be available without further appropriation, from the trust fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

Object Classification (in millions of dollars)

| Identification code 75-8393-0-7-571 | 1999 actual | 2000 est. | 2001 est. | |
|------------------------------------------------------------------|----------------------------------------------------------------|-----------|-----------|-----|
| Direct obligations: | | | | |
| 25.6 | Medical care (HCFA) | 551 | 630 | 680 |
| 92.0 | Undistributed (FBI) | 66 | 76 | 88 |
| 99.0 | Subtotal, direct obligations | 617 | 706 | 768 |
| Allocation Account: | | | | |
| Personnel compensation: | | | | |
| Full-time permanent: | | | | |
| 11.1 | Full-time permanent (OIG) | 54 | 70 | 74 |
| 11.1 | Full-time permanent (DoJ) | 14 | 16 | 18 |
| 11.1 | Full-time permanent (OGC) | 1 | 2 | 2 |
| Other than full-time permanent: | | | | |
| 11.3 | Other than full-time permanent (DOJ) | | 1 | 1 |
| 11.3 | Other than full-time permanent (OIG) | | | 1 |
| 11.5 | Other personnel compensation (OIG) | | | 1 |
| Special personal services payments: | | | | |
| 11.8 | Special personal services payments (DOJ) | 1 | | 1 |
| 11.8 | Special personal services payments (AoA) | 1 | | 1 |
| 11.9 | Total personnel compensation | 71 | 89 | 99 |
| Civilian personnel benefits: | | | | |
| 12.1 | Civilian personnel benefits (OIG) | 13 | 15 | 17 |
| 12.1 | Civilian personnel benefits (DoJ) | 4 | 4 | 4 |
| Travel and transportation of persons: | | | | |
| 21.0 | Travel and transportation of persons (OIG) | 5 | 6 | 6 |
| 21.0 | Travel and transportation of persons (DoJ) | | 1 | 1 |
| 22.0 | Transportation of things (OIG) | | | 1 |
| Rental payments to GSA: | | | | |
| 23.1 | Rental payments to GSA (OIG) | 8 | 8 | 8 |
| 23.1 | Rental payments to GSA (DoJ) | 3 | 3 | 3 |
| 23.1 | Rental payments to GSA (OGC) | 1 | | 1 |
| 23.3 | Communications, utilities, and miscellaneous charges (OIG) | 1 | 1 | 2 |
| Other services: | | | | |
| 25.2 | Other services (DoJ) | 6 | 8 | 16 |
| 25.2 | Other services (OIG) | | | 2 |
| 25.2 | Other services (HRSA) | 2 | | |
| Purchases of goods and services from Government accounts: | | | | |
| 25.3 | Purchases of goods and services from Government accounts (OIG) | 1 | 2 | 12 |
| 25.3 | Purchases of goods and services from Government accounts (DoJ) | | 2 | 1 |
| 25.5 | Research and development contracts (OIG) | 12 | 17 | |
| 25.7 | Operation and maintenance of equipment (HRSA) | 4 | | |
| 26.0 | Supplies and materials (OIG) | 1 | 1 | 2 |

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued
(FEDERAL HOSPITAL INSURANCE TRUST FUND)—Continued

Object Classification (in millions of dollars)—Continued

| Identification code 75-8393-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Equipment: | | | |
| 31.0 Equipment (OIG) | 5 | 4 | 4 |
| 31.0 Equipment (DOJ) | | | 1 |
| 41.0 Grants, subsidies, and contributions (AoA) | 1 | 1 | 2 |
| 99.0 Subtotal, allocation account | 138 | 162 | 182 |
| 99.9 Total new obligations | 755 | 868 | 950 |

This schedule reflects estimated distribution of the allocation account. This allocation assumes \$12.5 million within HCFAC would be used for oversight of HCFA's contractor initiative and \$3.5 million would fund incentive grants for States to develop Medicaid error rates. The non-HHS/DoJ amounts would be distributed based on competitive criteria established by HHS and DoJ.

| | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------|-------------|-----------|-----------|
| Department of Justice (DOJ) | 28 | 34 | 34 |
| Office of the Inspector General, HHS | 100 | 124 | 124 |
| Health Care Financing Administration | 551 | 630 | 680 |
| Office of General Counsel, HHS | 2 | 2 | 0 |
| Other HHS | 8 | 2 | 2 |
| Other non-HHS/non-DOJ | 0 | 0 | 22 |
| Total | 689 | 794 | 862 |

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

| Identification code 20-8004-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | 40,875 | 45,615 | 44,386 |
| Receipts: | | | |
| 02.00 Interest on investments, legislative proposal not subject to PAYGO | | | 9 |
| 02.01 Federal contributions | 62,185 | 65,063 | 69,777 |
| 02.02 Interest received by trust fund | 2,926 | 3,080 | 2,940 |
| 02.03 Other proprietary receipts from the public | 7 | | |
| 02.05 Premiums collected for the aged | 17,722 | 17,773 | 19,065 |
| 02.06 Premiums collected for the disabled | 2,438 | 2,583 | 2,849 |
| 02.07 Transfers from DOD, SMI | | 11 | 14 |
| 02.09 Premiums collected for the aged, legislative proposal subject to PAYGO | | | -160 |
| 02.10 Premiums collected for the disabled, legislative proposal subject to PAYGO | | | -20 |
| 02.12 Federal contributions, legislative proposal not subject to PAYGO | | | -280 |
| 02.99 Total receipts | 85,278 | 88,510 | 94,194 |
| 04.00 Total: Balances and collections | 126,153 | 134,125 | 138,580 |
| Appropriation: | | | |
| 05.01 Federal supplementary medical insurance trust fund | -80,538 | -89,740 | -98,782 |
| 05.03 Legislative proposal subject to PAYGO | | | 685 |
| 05.04 Legislative proposal not subject to PAYGO | | | 146 |
| 05.99 Subtotal appropriation | -80,538 | -89,740 | -97,951 |
| 06.20 Reduction pursuant to Public Law xx-xxx | | 1 | |
| 07.99 Total balance, end of year | 45,615 | 44,386 | 40,629 |

Program and Financing (in millions of dollars)

| Identification code 20-8004-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Benefit payments, SMI | 78,972 | 87,856 | 96,928 |
| 00.02 Administration, SMI | 1,494 | 1,690 | 1,758 |
| 00.03 Peer review organizations, SMI | 56 | 124 | 18 |
| 00.04 Research, SMI | 16 | 20 | 18 |
| 00.06 Transfer to Medicaid for payment of SMI premiums | | 50 | 60 |
| 09.01 HI partial transfer of home health | 179 | 2,718 | 3,345 |

| | | | |
|-----------------------------------|--------|--------|---------|
| 10.00 Total new obligations | 80,717 | 92,458 | 102,127 |
|-----------------------------------|--------|--------|---------|

Budgetary resources available for obligation:

| | | | |
|------------------------------------------|---------|---------|----------|
| 22.00 New budget authority (gross) | 80,717 | 92,457 | 102,127 |
| 23.95 Total new obligations | -80,717 | -92,458 | -102,127 |

New budget authority (gross), detail:

| | | | |
|----------------------------------------------------|--------|--------|---------|
| Discretionary: | | | |
| 40.26 Appropriation (trust fund, definite) | 1,506 | 1,706 | 1,772 |
| 40.76 Reduction pursuant to P.L. 106-113 | | -1 | |
| 43.00 Appropriation (total discretionary) | 1,506 | 1,706 | 1,772 |
| Mandatory: | | | |
| 60.27 Appropriation (trust fund, indefinite) | 83,772 | 86,804 | 92,873 |
| 60.28 Appropriation (unavailable balances) | | 1,230 | 4,137 |
| 60.45 Portion precluded from obligation | -4,740 | | |
| 62.50 Appropriation (total mandatory) | 79,032 | 88,034 | 97,010 |
| 69.00 Offsetting collections (cash) | 179 | 2,718 | 3,345 |
| 70.00 Total new budget authority (gross) | 80,717 | 92,457 | 102,127 |

Change in unpaid obligations:

| | | | |
|---------------------------------------------------------------------------------|---------|---------|----------|
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 14 | 34 | 98 |
| 73.10 Total new obligations | 80,717 | 92,458 | 102,127 |
| 73.20 Total outlays (gross) | -80,697 | -92,394 | -102,165 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 34 | 98 | 60 |

Outlays (gross), detail:

| | | | |
|------------------------------------------------------|--------|--------|---------|
| 86.90 Outlays from new discretionary authority | 1,506 | 1,697 | 1,763 |
| 86.93 Outlays from discretionary balances | | 3 | |
| 86.97 Outlays from new mandatory authority | 79,191 | 90,694 | 100,359 |
| 86.98 Outlays from mandatory balances | | | 43 |
| 87.00 Total outlays (gross) | 80,697 | 92,394 | 102,165 |

Offsets:

| | | | |
|-----------------------------------------------------------------|------|--------|--------|
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -179 | -2,718 | -3,345 |

Net budget authority and outlays:

| | | | |
|------------------------------|--------|--------|--------|
| 89.00 Budget authority | 80,538 | 89,739 | 98,782 |
| 90.00 Outlays | 80,518 | 89,676 | 98,820 |

Memorandum (non-add) entries:

| | | | |
|--------------------------------------------------------------------------|--------|--------|--------|
| 92.01 Total investments, start of year: U.S. securities: Par value | 39,502 | 26,528 | 44,484 |
| 92.02 Total investments, end of year: U.S. securities: Par value | 26,528 | 44,484 | 40,318 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 80,538 | 89,740 | 98,782 |
| Outlays | 80,518 | 89,676 | 98,820 |
| Legislative proposal, not subject to PAYGO: | | | |
| Budget Authority | | | -146 |
| Outlays | | | -146 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | -685 |
| Outlays | | | -685 |
| Total: | | | |
| Budget Authority | 80,538 | 89,740 | 97,951 |
| Outlays | 80,518 | 89,676 | 97,989 |

The Supplementary Medical Insurance (SMI) program is a voluntary program which affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

| Identification code 20-8004-0-7-571 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------|-------------|-----------|-----------|
| Unexpended balance, start of year: | | | |
| 0100 Treasury balance | 1,387 | 19,120 | |

| | | | | |
|----------------------------------|----------------------------------------------------------------------------------|---------|---------|----------|
| 0101 | U.S. Securities: Par value | 39,502 | 26,528 | 44,484 |
| 0199 | Total balance, start of year | 40,889 | 45,649 | 44,484 |
| Cash income during the year: | | | | |
| Proprietary receipts: | | | | |
| 0221 | Premiums collected for the aged, FSMI fund | 17,722 | 17,773 | 19,065 |
| 0222 | Premiums collected for the aged, legislative proposal subject to PAYGO | | | -160 |
| Proprietary receipts: | | | | |
| 0223 | Premiums collected for the disabled, FSMI fund | 2,438 | 2,583 | 2,849 |
| 0223 | Premiums collected for the disabled, legislative proposal subject to PAYGO | | | -20 |
| 0225 | Other proprietary receipts | 7 | | |
| Intragovernmental transactions: | | | | |
| Intragovernmental transactions: | | | | |
| 0240 | Federal contributions, FSMI fund | 62,185 | 65,063 | 69,777 |
| 0240 | Interest received by trust fund, legislative proposal not subject to PAYGO | | | 9 |
| 0241 | Federal contributions, legislative proposal not subject to PAYGO | | | -280 |
| 0242 | Interest received by trust fund, FSMI fund | 2,926 | 3,080 | 2,940 |
| 0243 | Intragovernmental transactions | | 11 | 14 |
| Offsetting collections: | | | | |
| 0280 | HI partial transfer of home health | 179 | 2,718 | 3,345 |
| 0297 | Income under present law | 85,457 | 91,228 | 97,990 |
| 0298 | Income under proposed legislation | | | -451 |
| 0299 | Total cash income | 85,457 | 91,228 | 97,539 |
| Cash outgo during year: | | | | |
| Cash outgo during the year (-): | | | | |
| 0501 | Benefit payments & ESRD | -78,972 | -87,856 | -96,928 |
| 0501 | Benefit payments & ESRD, legislative proposal subject to PAYGO | | | 685 |
| 0502 | Administration | -1,494 | -1,687 | -1,749 |
| 0503 | Administration, legislative proposal not subject to PAYGO | | | 146 |
| 0504 | Peer review organizations | -36 | -66 | -65 |
| 0505 | Research | -16 | -17 | -18 |
| 0506 | HI partial transfer of home health | -179 | -2,718 | -3,345 |
| 0507 | Transfer to Medicaid for payment of SMI premiums | | -50 | -60 |
| 0597 | Outgo under present law (-) | -80,697 | -92,394 | -102,165 |
| 0598 | Outgo under proposed legislation (-) | | | 831 |
| 0599 | Total cash outgo (-) | -80,697 | -92,394 | -101,334 |
| Unexpended balance, end of year: | | | | |
| 0700 | Treasury balance | 19,120 | | |
| 0701 | U.S. Securities: Par value | 26,528 | 44,484 | 40,689 |
| 0799 | Total balance, end of year | 45,649 | 44,484 | 40,689 |

Object Classification (in millions of dollars)

| | | | | |
|---------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------|-----------|---------|
| Identification code 20-8004-0-7-571 | 1999 actual | 2000 est. | 2001 est. | |
| Direct obligations: | | | | |
| Grants, subsidies, and contributions: | | | | |
| 41.0 | Payment for peer review organization (PRO) activity | 56 | 124 | 18 |
| 41.0 | Payment for health insurance experiments and demonstration projects | 16 | 20 | 18 |
| 42.0 | Insurance claims and indemnities | 78,972 | 87,910 | 96,992 |
| 92.0 | Undistributed | 1,064 | 1,204 | 1,241 |
| 93.0 | Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration | 430 | 482 | 513 |
| 99.0 | Subtotal, direct obligations | 80,538 | 89,740 | 98,782 |
| 99.0 | Reimbursable obligations | 179 | 2,718 | 3,345 |
| 99.9 | Total new obligations | 80,717 | 92,458 | 102,127 |

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| | | | |
|-------------------------------------|-------------------------------------------------|-----------|-----------|
| Identification code 20-8004-2-7-571 | 1999 actual | 2000 est. | 2001 est. |
| Obligations by program activity: | | | |
| 00.02 | Administration, SMI | | -146 |
| 10.00 | Total new obligations (object class 92.0) | | -146 |

| | | | |
|-----------------------------------------------|------------------------------------------------------------------|--|------|
| Budgetary resources available for obligation: | | | |
| 22.00 | New budget authority (gross) | | -146 |
| 23.95 | Total new obligations | | 146 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.26 | Appropriation (trust fund, definite) | | -146 |
| Change in unpaid obligations: | | | |
| 73.10 | Total new obligations | | -146 |
| 73.20 | Total outlays (gross) | | 146 |
| Outlays (gross), detail: | | | |
| 86.90 | Outlays from new discretionary authority | | -146 |
| Net budget authority and outlays: | | | |
| 89.00 | Budget authority | | -146 |
| 90.00 | Outlays | | -146 |
| Memorandum (non-add) entries: | | | |
| 92.02 | Total investments, end of year: U.S. securities: Par value | | 146 |

This schedule reflects the effects of the HCFA user fees proposed in the Budget on the Supplemental Medical Insurance trust fund.

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| | | | |
|-----------------------------------------------|------------------------------------------------------------------|-----------|-----------|
| Identification code 20-8004-4-7-571 | 1999 actual | 2000 est. | 2001 est. |
| Obligations by program activity: | | | |
| 10.00 | Total new obligations (object class 42.0) | | -685 |
| Budgetary resources available for obligation: | | | |
| 22.00 | New budget authority (gross) | | -685 |
| 23.95 | Total new obligations | | 685 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.27 | Appropriation (trust fund, indefinite) | | -460 |
| 60.28 | Appropriation (unavailable balances) | | -225 |
| 62.50 | Appropriation (total mandatory) | | -685 |
| Change in unpaid obligations: | | | |
| 73.10 | Total new obligations | | -685 |
| 73.20 | Total outlays (gross) | | 685 |
| Outlays (gross), detail: | | | |
| 86.97 | Outlays from new mandatory authority | | -685 |
| Net budget authority and outlays: | | | |
| 89.00 | Budget authority | | -685 |
| 90.00 | Outlays | | -685 |
| Memorandum (non-add) entries: | | | |
| 92.02 | Total investments, end of year: U.S. securities: Par value | | 225 |

This schedule reflects the effects of Medicare proposals contained in the Budget on the Supplemental Medical Insurance trust fund.

ALLOCATION RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

General and special funds:

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

| Identification code 75-1552-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 State family assistance grant | 16,489 | 16,489 | 16,489 |
| 00.02 State penalties | | | -10 |
| 00.03 Territories—family assistance grants | 78 | 78 | 78 |
| 00.04 Matching grants to territories | 3 | 15 | 15 |
| 00.05 Bonus to reward decrease in illegitimacy | 100 | 100 | 100 |
| 00.06 Supplemental grants for population increases | 160 | 239 | 319 |
| 00.07 Bonus to reward high performance States | | 200 | 200 |
| 00.08 Tribal work programs | 7 | 7 | 7 |
| 10.00 Total new obligations (object class 41.0) | 16,837 | 17,128 | 17,198 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 721 | 1,561 | 1,122 |
| 22.00 New budget authority (gross) | 17,693 | 16,689 | 16,679 |
| 23.90 Total budgetary resources available for obligation | 18,414 | 18,250 | 17,801 |
| 23.95 Total new obligations | -16,837 | -17,128 | -17,198 |
| 23.98 Unobligated balance expiring or withdrawn | -16 | | -3 |
| 24.40 Unobligated balance available, end of year | 1,561 | 1,122 | 600 |

| New budget authority (gross), detail: | | | |
|----------------------------------------------|--------|--------|--------|
| Mandatory: | | | |
| 60.05 Appropriation (indefinite) | 17,693 | 16,689 | 16,679 |

| Change in unpaid obligations: | | | |
|---------------------------------------------------------------------------|---------|---------|---------|
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 7,212 | 10,056 | 12,084 |
| 73.10 Total new obligations | 16,837 | 17,128 | 17,198 |
| 73.20 Total outlays (gross) | -14,161 | -14,996 | -15,742 |
| 73.40 Adjustments in expired accounts (net) | 168 | -104 | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 10,056 | 12,084 | 13,540 |

| Outlays (gross), detail: | | | |
|--------------------------------------------|--------|--------|--------|
| 86.97 Outlays from new mandatory authority | 9,924 | 9,160 | 8,661 |
| 86.98 Outlays from mandatory balances | 4,237 | 5,836 | 7,081 |
| 87.00 Total outlays (gross) | 14,161 | 14,996 | 15,742 |

| Net budget authority and outlays: | | | |
|------------------------------------------|--------|--------|--------|
| 89.00 Budget authority | 17,693 | 16,689 | 16,679 |
| 90.00 Outlays | 14,161 | 14,996 | 15,742 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 17,693 | 16,689 | 16,679 |
| Outlays | 14,161 | 14,996 | 15,742 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | |
| Outlays | | | 208 |
| Legislative proposal, discretionary offset: | | | |
| Budget Authority | | | -240 |
| Outlays | | | -122 |
| Total: | | | |
| Budget Authority | 17,693 | 16,689 | 16,439 |
| Outlays | 14,161 | 14,996 | 15,828 |

This account provides funding for activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). The Temporary assistance for needy families block grant provides funding to States for aid to low-income families with children.

This account also includes Federal loans for State welfare programs, established by section 406 of the Social Security Act as amended.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 75-1552-4-1-609 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------|-------------|-----------|-----------|
| Change in unpaid obligations: | | | |
| 73.20 Total outlays (gross) | | | -208 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | | | -208 |
| Outlays (gross), detail: | | | |
| 86.98 Outlays from mandatory balances | | | 208 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | | | 208 |

This reflects interactions with the Administration's proposal on the allocation of common State administrative costs between the Medicaid and Temporary Assistance for Needy Families programs.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, discretionary offset)

Program and Financing (in millions of dollars)

| Identification code 75-1552-6-1-609 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 41.0) | | | -240 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | -240 |
| 23.95 Total new obligations | | | 240 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.36 Unobligated balance rescinded | | | -240 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | -240 |
| 73.20 Total outlays (gross) | | | 122 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | | | -118 |
| Outlays (gross), detail: | | | |
| 86.98 Outlays from mandatory balances | | | -122 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | -240 |
| 90.00 Outlays | | | -122 |

The Administration will propose legislation that reduces TANF supplemental grant funds in 2001 to the 1998 level for all eligible States, and will apply the offset to discretionary spending.

CONTINGENCY FUND

Program and Financing (in millions of dollars)

| Identification code 75-1522-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------|-------------|-----------|-----------|
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 1,958 | 1,958 | 1,958 |
| 24.40 Unobligated balance available, end of year | 1,958 | 1,958 | 1,958 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | | | |

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For making payments to States or other non-Federal entities under titles I, IV–D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), \$2,442,000,000, to remain available until expended; and for such purposes for the first quarter of fiscal year [2001, \$650,000,000] 2002, \$1,000,000,000, to remain available until expended.

For making payments to each State for carrying out the program of Aid to Families with Dependent Children under title IV–A of the Social Security Act before the effective date of the program of Temporary Assistance to Needy Families (TANF) with respect to such State, such sums as may be necessary: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV–A in fiscal year 1997 under this appropriation and under such title IV–A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV–D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), for the last 3 months of the current year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106–113).*)

Program and Financing (in millions of dollars)

| Identification code 75–1501–0–1–609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Child support enforcement (CSE): | | | |
| Benefit payments: | | | |
| 00.01 State child support administrative costs | 2,513 | 2,819 | 3,058 |
| 00.02 Federal incentive/hold harmless payments to States | 400 | 382 | 415 |
| 00.03 Access and visitation grants | 10 | 10 | 10 |
| 00.91 Subtotal, child support enforcement | 2,923 | 3,211 | 3,483 |
| 01.02 Payments to territories | 16 | 23 | 23 |
| 01.03 Repatriation | 1 | 1 | 1 |
| 01.91 Subtotal, other payments | 17 | 24 | 24 |
| Aid to families with dependent children (AFDC) payments: | | | |
| 02.01 AFDC benefit payments | 95 | 98 | |
| 02.03 State and local welfare administration | 13 | 2 | |
| 02.04 AFDC/JOBS child care | 6 | | |
| 02.91 Subtotal, AFDC programs | 114 | 100 | |
| 10.00 Total new obligations (object class 41.0) | 3,054 | 3,335 | 3,507 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 1,564 | 1,920 | |
| 22.00 New budget authority (gross) | 3,049 | 1,415 | 3,507 |
| 22.10 Resources available from recoveries of prior year obligations | 361 | | |
| 23.90 Total budgetary resources available for obligation | 4,974 | 3,335 | 3,507 |
| 23.95 Total new obligations | –3,054 | –3,335 | –3,507 |
| 24.40 Unobligated balance available, end of year | 1,920 | | |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | 1,989 | 283 | 2,442 |
| 65.00 Advance appropriation | 660 | 750 | 650 |
| 69.00 Offsetting collections (cash) | 400 | 382 | 415 |
| 70.00 Total new budget authority (gross) | 3,049 | 1,415 | 3,507 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 1,520 | 1,057 | 957 |
| 73.10 Total new obligations | 3,054 | 3,335 | 3,507 |
| 73.20 Total outlays (gross) | –3,156 | –3,435 | –3,474 |
| 73.45 Adjustments in unexpired accounts | –361 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 1,057 | 957 | 990 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 3,049 | 1,415 | 2,978 |

| | | | | |
|---------------------------------------------|---------------------------------------------------------------|-------|-------|-------|
| 86.98 | Outlays from mandatory balances | 107 | 2,020 | 496 |
| 87.00 | Total outlays (gross) | 3,156 | 3,435 | 3,474 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.40 | Offsetting collections (cash) from: Non-Federal sources | –400 | –382 | –415 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 2,649 | 1,033 | 3,092 |
| 90.00 | Outlays | 2,756 | 3,053 | 3,059 |

Summary of Budget Authority and Outlays

| (in millions of dollars) | | | | |
|-----------------------------------------|-------------|-----------|-----------|--|
| Enacted/requested: | 1999 actual | 2000 est. | 2001 est. | |
| Budget Authority | 2,649 | 1,033 | 3,092 | |
| Outlays | 2,756 | 3,053 | 3,059 | |
| Legislative proposal, subject to PAYGO: | | | | |
| Budget Authority | | | 32 | |
| Outlays | | | 32 | |
| Total: | | | | |
| Budget Authority | 2,649 | 1,033 | 3,124 | |
| Outlays | 2,756 | 3,053 | 3,091 | |

This account provides for payments to States for child support enforcement and other family support programs including access and visitation programs for families. Spending authority from the Federal share of child support collections is used to pay incentive payments and, for a limited time, hold harmless payments to States. The remaining net Federal share of collections is returned to the Treasury in a receipt account. The text table below shows the traditional display of child support enforcement costs:

Net Federal Costs of Child Support Enforcement

| (in millions of dollars) | | | |
|------------------------------------------------|--------|--------|--------|
| | 1999 | 2000 | 2001 |
| Gross Federal share of collections | (1268) | (1233) | (1265) |
| Federal incentive payments to States | 362 | 371 | 404 |
| Hold harmless payments | 38 | 11 | 11 |
| State child support administrative costs | 2513 | 2819 | 3058 |
| Access and visitation grants | 10 | 10 | 10 |
| Subtotal | 1655 | 1978 | 2218 |

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 75–1501–4–1–609 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Child support enforcement (CSE): | | | |
| Benefit payments: | | | |
| 00.01 State child support administrative costs | | | 32 |
| 10.00 Total new obligations (object class 41.0) | | | 32 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 32 |
| 23.95 Total new obligations | | | –32 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 32 |
| Change in unpaid obligations: | | | |
| 73.10 Total new obligations | | | 32 |
| 73.20 Total outlays (gross) | | | –32 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 32 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 32 |

General and special funds—Continued

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 75-1501-4-1-609 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|-------------|-----------|-----------|
| 90.00 Outlays | | | 32 |

This legislative proposal includes several provisions to increase child support collections and to direct more of these payments to families. The proposal would also conform the match rate for paternity testing with the basic administrative match rate.

LOW INCOME HOME ENERGY ASSISTANCE

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, \$1,100,000,000, to be available for obligation in the period October 1, [2000] 2001 through September 30, [2001] 2002.

For making payments under title XXVI of such Act, \$300,000,000: *Provided*, That these funds are hereby designated by Congress to be emergency requirements pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That these funds shall be made available only after submission to Congress of a formal budget request by the President that includes designation of the entire amount of the request as an emergency requirement as defined in [the Balanced Budget and Emergency Deficit Control] such Act [of 1985].

[The \$1,100,000,000 provided in the first paragraph under this heading in the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1999 (as contained in section 101(f) of division A of Public Law 105-277) is hereby designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That such funds shall be available only if the President submits to the Congress one official budget request for \$1,100,000,000 that includes designation of the entire amount as an emergency requirement pursuant to such section: *Provided further*, That such funds shall be distributed in accordance with section 2604 of the Omnibus Budget Reconciliation Act of 1981 (42 U.S.C. 8623), other than subsection (e) of such section.] (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-1502-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total new obligations (object class 41.0) | 1,277 | 1,105 | 1,100 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 1,277 | 1,400 | 1,400 |
| 23.95 Total new obligations | -1,277 | -1,105 | -1,100 |
| 23.98 Unobligated balance expiring or withdrawn | | -295 | -300 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.15 Appropriation (emergency) | 300 | 300 | 300 |
| 40.60 Contingent emergency appropriation not available for obligations | -125 | | |
| 43.00 Appropriation (total discretionary) | 175 | 300 | 300 |
| 50.00 Reappropriation | 2 | | |
| 55.00 Advance appropriation | 1,100 | 1,100 | 1,100 |
| 70.00 Total new budget authority (gross) | 1,277 | 1,400 | 1,400 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 377 | 474 | 337 |
| 73.10 Total new obligations | 1,277 | 1,105 | 1,100 |
| 73.20 Total outlays (gross) | -1,176 | -1,242 | -1,105 |
| 73.40 Adjustments in expired accounts (net) | -4 | | |

| | | | |
|-----------------------------------------------------------------------------|-------|-------|-------|
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 474 | 337 | 332 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 859 | 818 | 814 |
| 86.93 Outlays from discretionary balances | 317 | 424 | 291 |
| 87.00 Total outlays (gross) | 1,176 | 1,242 | 1,105 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 1,275 | 1,400 | 1,400 |
| 90.00 Outlays | 1,176 | 1,242 | 1,105 |

This program makes grants to States and Indian tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers.

REFUGEE AND ENTRANT ASSISTANCE

For making payments for refugee and entrant assistance activities authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), [\$419,005,000] \$422,804,000, to remain available through September 30, 2003: *Provided*, That funds appropriated pursuant to section 414(a) of the Immigration and Nationality Act under Public Law [105-78] 106-113 for fiscal year [1998 and under Public Law 105-277 for fiscal year 1999] 2000 shall be available for the costs of assistance provided and other activities through September 30, [2001] 2002.

For carrying out section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), [\$7,500,000] \$9,765,000.

[The \$426,505,000 provided under this heading is hereby designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That such funds shall be available only if the President submits to the Congress one official budget request for \$426,505,000 that includes designation of the entire amount as an emergency requirement pursuant to such section.] (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-1503-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Refugee and entrant assistance | 465 | 447 | 423 |
| 00.02 Assistance for treatment of torture victims | | 7 | 10 |
| 10.00 Total new obligations | 465 | 454 | 433 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 2 | 2 | 2 |
| 22.00 New budget authority (gross) | 481 | 454 | 433 |
| 23.90 Total budgetary resources available for obligation | 483 | 454 | 433 |
| 23.95 Total new obligations | -465 | -454 | -433 |
| 23.98 Unobligated balance expiring or withdrawn | -16 | | |
| 24.40 Unobligated balance available, end of year | 2 | 2 | 2 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 415 | | 433 |
| 40.15 Appropriation (emergency) | | 427 | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -1 | |
| 43.00 Appropriation (total discretionary) | 415 | 426 | 433 |
| 50.00 Reappropriation | 66 | 28 | |
| 70.00 Total new budget authority (gross) | 481 | 454 | 433 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 511 | 587 | 574 |
| 73.10 Total new obligations | 465 | 454 | 433 |
| 73.20 Total outlays (gross) | -332 | -444 | -446 |
| 73.40 Adjustments in expired accounts (net) | -57 | -23 | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 587 | 574 | 561 |

| | | | | |
|------------------------------------------|------------------------------------------------|-----|-----|-----|
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new discretionary authority | 122 | 123 | 117 |
| 86.93 | Outlays from discretionary balances | 210 | 321 | 329 |
| 87.00 | Total outlays (gross) | 332 | 444 | 446 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 481 | 454 | 433 |
| 90.00 | Outlays | 332 | 444 | 446 |

States are subsidized for administering the refugee assistance program. Funds are also provided to assist in the rehabilitation of victims of torture.

Object Classification (in millions of dollars)

| | | | | |
|-------------------------------------|----------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1503-0-1-609 | | | | |
| | | 1999 actual | 2000 est. | 2001 est. |
| 25.1 | Advisory and assistance services | 1 | 1 | 1 |
| 25.2 | Other services | 1 | 1 | 1 |
| 25.3 | Purchases of goods and services from Government accounts | 29 | 1 | 1 |
| 41.0 | Grants, subsidies, and contributions | 434 | 451 | 430 |
| 99.9 | Total new obligations | 465 | 454 | 433 |

PROMOTING SAFE AND STABLE FAMILIES

For carrying out section 430 of the Social Security Act, **[\$295,000,000.] \$305,000,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| | | | | |
|------------------------------------------------------|---------------------------------------------------------------------------|------|------|------|
| Identification code 75-1512-0-1-506 | | | | |
| Obligations by program activity: | | | | |
| 00.01 | Grants to States and Tribes | 241 | 279 | 289 |
| 00.02 | Training and technical assistance | 6 | 6 | 6 |
| 00.03 | State court assessment activities | 10 | 10 | 10 |
| 10.00 | Total new obligations | 257 | 295 | 305 |
| Budgetary resources available for obligation: | | | | |
| 22.00 | New budget authority (gross) | 275 | 295 | 305 |
| 23.95 | Total new obligations | -257 | -295 | -305 |
| 23.98 | Unobligated balance expiring or withdrawn | -18 | | |
| New budget authority (gross), detail: | | | | |
| Mandatory: | | | | |
| 60.00 | Appropriation | 275 | 295 | 305 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 274 | 322 | 331 |
| 73.10 | Total new obligations | 257 | 295 | 305 |
| 73.20 | Total outlays (gross) | -248 | -286 | -288 |
| 73.40 | Adjustments in expired accounts (net) | 39 | | -13 |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 322 | 331 | 335 |
| Outlays (gross), detail: | | | | |
| 86.97 | Outlays from new mandatory authority | 46 | 50 | 52 |
| 86.98 | Outlays from mandatory balances | 202 | 236 | 236 |
| 87.00 | Total outlays (gross) | 248 | 286 | 288 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 275 | 295 | 305 |
| 90.00 | Outlays | 248 | 286 | 288 |

This program provides funds for a broad range of child welfare services, including family preservation and family support services.

Object Classification (in millions of dollars)

| | | | | |
|-------------------------------------|----------------------------------------|-------------|-----------|-----------|
| Identification code 75-1512-0-1-506 | | | | |
| | | 1999 actual | 2000 est. | 2001 est. |
| 25.1 | Advisory and assistance services | 6 | 6 | 6 |

| | | | | |
|------|--------------------------------------------|-----|-----|-----|
| 41.0 | Grants, subsidies, and contributions | 251 | 289 | 299 |
| 99.9 | Total new obligations | 257 | 295 | 305 |

JOB OPPORTUNITIES AND BASIC SKILLS TRAINING PROGRAM

Program and Financing (in millions of dollars)

| | | | | |
|------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1509-0-1-504 | | | | |
| | | 1999 actual | 2000 est. | 2001 est. |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 77 | 62 | 47 |
| 73.20 | Total outlays (gross) | -9 | -8 | -5 |
| 73.40 | Adjustments in expired accounts (net) | -6 | -7 | -42 |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 62 | 47 | |
| Outlays (gross), detail: | | | | |
| 86.98 | Outlays from mandatory balances | 9 | 8 | 5 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | | | |
| 90.00 | Outlays | 9 | 8 | 5 |

This activity is replaced by Temporary assistance for needy families.

STATE LEGALIZATION IMPACT-ASSISTANCE GRANTS

Program and Financing (in millions of dollars)

| | | | | |
|------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1508-0-1-506 | | | | |
| | | 1999 actual | 2000 est. | 2001 est. |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 11 | 7 | 7 |
| 73.20 | Total outlays (gross) | 1 | | |
| 73.40 | Adjustments in expired accounts (net) | -5 | | |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 7 | 7 | 7 |
| Outlays (gross), detail: | | | | |
| 86.98 | Outlays from mandatory balances | -1 | | |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | | | |
| 90.00 | Outlays | -1 | | |

CHILD CARE ENTITLEMENT TO STATES

Program and Financing (in millions of dollars)

| | | | | |
|------------------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1550-0-1-609 | | | | |
| | | 1999 actual | 2000 est. | 2001 est. |
| Obligations by program activity: | | | | |
| 00.01 | Mandatory child care | 1,221 | 1,225 | 1,229 |
| 00.02 | Matching child care | 941 | 1,136 | 1,332 |
| 00.03 | Training and technical assistance | 5 | 6 | 6 |
| 10.00 | Total new obligations | 2,167 | 2,367 | 2,567 |
| Budgetary resources available for obligation: | | | | |
| 22.00 | New budget authority (gross) | 2,167 | 2,367 | 2,567 |
| 23.95 | Total new obligations | -2,167 | -2,367 | -2,567 |
| New budget authority (gross), detail: | | | | |
| Mandatory: | | | | |
| 60.00 | Appropriation | 2,167 | 2,367 | 2,567 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 608 | 522 | 465 |
| 73.10 | Total new obligations | 2,167 | 2,367 | 2,567 |
| 73.20 | Total outlays (gross) | -2,254 | -2,420 | -2,556 |
| 73.40 | Adjustments in expired accounts (net) | 1 | -4 | |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 522 | 465 | 476 |

General and special funds—Continued

CHILD CARE ENTITLEMENT TO STATES—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 75-1550-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 1,742 | 1,988 | 2,168 |
| 86.98 Outlays from mandatory balances | 512 | 432 | 388 |
| 87.00 Total outlays (gross) | 2,254 | 2,420 | 2,556 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 2,167 | 2,367 | 2,567 |
| 90.00 Outlays | 2,254 | 2,420 | 2,556 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 2,167 | 2,367 | 2,567 |
| Outlays | 2,254 | 2,420 | 2,556 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 600 |
| Outlays | | | 402 |
| Total: | | | |
| Budget Authority | 2,167 | 2,367 | 3,167 |
| Outlays | 2,254 | 2,420 | 2,958 |

This account provides child care funding for welfare recipients and low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193).

Object Classification (in millions of dollars)

| Identification code 75-1550-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| 25.1 Advisory and assistance services | 5 | 6 | 6 |
| 41.0 Grants, subsidies, and contributions | 2,162 | 2,361 | 2,561 |
| 99.9 Total new obligations | 2,167 | 2,367 | 2,567 |

**CHILD CARE ENTITLEMENT TO STATES
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

| Identification code 75-1550-4-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Early learning fund | | | 600 |
| 10.00 Total new obligations | | | 600 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 600 |
| 23.95 Total new obligations | | | -600 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 600 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | | | |
| 73.10 Total new obligations | | | 600 |
| 73.20 Total outlays (gross) | | | -402 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | | | 198 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 402 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 600 |
| 90.00 Outlays | | | 402 |

This legislative proposal will provide challenge grants to communities to support early childhood education for children under five, with a focus on language acquisition, emergent literacy, reading, numeracy, and other cognitive, physical, social, and emotional development activities aimed at improving readiness for school.

Object Classification (in millions of dollars)

| Identification code 75-1550-4-1-609 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| 25.1 Advisory and assistance services | | | 6 |
| 41.0 Grants, subsidies, and contributions | | | 594 |
| 99.9 Total new obligations | | | 600 |

**PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT
BLOCK GRANT**

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), in addition to amounts already appropriated for fiscal year 2001, \$817,328,000; and to become available on October 1, [2000] 2001 and remain available through September 30, [2001, \$1,182,672,000] 2002, \$2,000,000,000: Provided, That of the funds appropriated for each of fiscal years 2001 and 2002, \$19,120,000 shall be available for child care resource and referral and school-aged child care activities, of which \$500,000 shall be for a toll-free child care services program hotline to be operated by Child Care Aware: Provided further, That of the funds [provided for fiscal year 2001, \$172,672,000] appropriated for each of fiscal years 2001 and 2002, in addition to the amounts required to be reserved by the States under section 658G, \$222,672,000 shall be reserved by the States for activities authorized under section 658G [of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), such funds to be in addition to the amounts required to be reserved by the States under section 658G], of which \$50,000,000 shall be for activities that improve the quality of infant and toddler child care: Provided further, That of the funds appropriated for each of fiscal years 2001 and 2002, \$10,000,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities: Provided further, That of the funds provided for fiscal year 2000 under Public Law 105-277, \$500,000 shall be for a toll-free child care services program hotline to be operated by Child Care Aware]. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-1515-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Block grant payments to States | 997 | 1,170 | 1,985 |
| 00.02 Advisory and assistance services | 3 | 3 | 5 |
| 00.03 Research and evaluation fund | | 10 | 10 |
| 10.00 Total new obligations | 1,000 | 1,183 | 2,000 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 1,000 | 1,183 | 2,000 |
| 23.95 Total new obligations | -1,000 | -1,183 | -2,000 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 1,000 | | 817 |
| 55.00 Advance appropriation | | 1,183 | 1,183 |
| 70.00 Total new budget authority (gross) | 1,000 | 1,183 | 2,000 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 410 | 390 | 426 |
| 73.10 Total new obligations | 1,000 | 1,183 | 2,000 |
| 73.20 Total outlays (gross) | -1,032 | -1,147 | -1,720 |
| 73.40 Adjustments in expired accounts (net) | 12 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 390 | 426 | 706 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 668 | 787 | 1,335 |

| | | | | |
|------------------------------------------|-------------------------------------------|-------|-------|-------|
| 86.93 | Outlays from discretionary balances | 364 | 360 | 385 |
| 87.00 | Total outlays (gross) | 1,032 | 1,147 | 1,720 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 1,000 | 1,183 | 2,000 |
| 90.00 | Outlays | 1,032 | 1,147 | 1,720 |

This appropriation helps low-income families pay for child care and related services and supports grants to States for child care quality activities.

Object Classification (in millions of dollars)

| | | | | |
|-------------------------------------|--------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1515-0-1-609 | | 1999 actual | 2000 est. | 2001 est. |
| 25.1 | Advisory and assistance services | 3 | 5 | 7 |
| 41.0 | Grants, subsidies, and contributions | 997 | 1,178 | 1,993 |
| 99.9 | Total new obligations | 1,000 | 1,183 | 2,000 |

SOCIAL SERVICES BLOCK GRANT

[For making grants to States pursuant to section 2002 of the Social Security Act, \$1,775,000,000: *Provided*, That notwithstanding section 2003(c) of such Act, as amended, the amount specified for allocation under such section for fiscal year 2000 shall be \$1,775,000,000.] (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| | | | | |
|------------------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1534-0-1-506 | | 1999 actual | 2000 est. | 2001 est. |
| Obligations by program activity: | | | | |
| 10.00 | Total new obligations (object class 41.0) | 1,909 | 1,775 | 1,700 |
| Budgetary resources available for obligation: | | | | |
| 22.00 | New budget authority (gross) | 1,909 | 1,775 | 1,700 |
| 23.95 | Total new obligations | -1,909 | -1,775 | -1,700 |
| New budget authority (gross), detail: | | | | |
| Mandatory: | | | | |
| 60.00 | Appropriation | 1,909 | 1,775 | 1,700 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | 1,007 | 927 | 1,079 |
| 73.10 | Total new obligations | 1,909 | 1,775 | 1,700 |
| 73.20 | Total outlays (gross) | -1,993 | -1,623 | -1,932 |
| 73.40 | Adjustments in expired accounts (net) | 4 | | -2 |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | 927 | 1,079 | 845 |
| Outlays (gross), detail: | | | | |
| 86.97 | Outlays from new mandatory authority | 1,677 | 1,349 | 1,496 |
| 86.98 | Outlays from mandatory balances | 316 | 274 | 436 |
| 87.00 | Total outlays (gross) | 1,993 | 1,623 | 1,932 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 1,909 | 1,775 | 1,700 |
| 90.00 | Outlays | 1,993 | 1,623 | 1,932 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | | | | |
|-----------------------------------------|--|-------------|-----------|-----------|
| Enacted/requested: | | 1999 actual | 2000 est. | 2001 est. |
| Budget Authority | | 1,909 | 1,775 | 1,700 |
| Outlays | | 1,993 | 1,623 | 1,932 |
| Legislative proposal, subject to PAYGO: | | | | |
| Budget Authority | | | | 75 |
| Outlays | | | | 66 |
| Total: | | | | |
| Budget Authority | | 1,909 | 1,775 | 1,775 |
| Outlays | | 1,993 | 1,623 | 1,998 |

Social services block grant.—The proposed level will support grants to States for social services.

SOCIAL SERVICE BLOCK GRANT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| | | | | |
|------------------------------------------------------|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Identification code 75-1534-4-1-506 | | 1999 actual | 2000 est. | 2001 est. |
| Obligations by program activity: | | | | |
| 10.00 | Total new obligations (object class 41.0) | | | 75 |
| Budgetary resources available for obligation: | | | | |
| 22.00 | New budget authority (gross) | | | 75 |
| 23.95 | Total new obligations | | | -75 |
| New budget authority (gross), detail: | | | | |
| Mandatory: | | | | |
| 60.00 | Appropriation | | | 75 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance, start of year | | | |
| 73.10 | Total new obligations | | | 75 |
| 73.20 | Total outlays (gross) | | | -66 |
| 74.40 | Unpaid obligations, end of year: Obligated balance, end of year | | | 9 |
| Outlays (gross), detail: | | | | |
| 86.97 | Outlays from new mandatory authority | | | 66 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | | | 75 |
| 90.00 | Outlays | | | 66 |

This proposal increases FY 2001 funding for the Social services block grant by \$75 million for a total of \$1,775 million. Of this amount, \$25 million will be available to support second-chance homes for teen-age parents.

CHILDREN AND FAMILIES SERVICES PROGRAMS
(INCLUDING RESCISSIONS)

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the Adoption and Safe Families Act of 1997 (Public Law 105-89), the Abandoned Infants Assistance Act of 1988, part B(1) of title IV and sections 413, 429A, 1110, and 1115 of the Social Security Act; for making payments under the Community Services Block Grant Act, section 473A of the Social Security Act, and title IV of Public Law 105-285; and for necessary administrative expenses to carry out said Acts and titles I, IV, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), sections 40155, 40211, and 40241 of Public Law 103-322 and section 126 and titles IV and V of Public Law 100-485, **[\$6,734,133,000] \$7,671,590,000**, of which **[\$43,000,000] \$41,791,000**, to remain available until September 30, **[2001] 2002**, shall be for grants to States for adoption incentive payments, as authorized by section 473A of title IV of the Social Security Act (42 U.S.C. 670-679); of which **[\$587,065,000] \$540,500,000** shall be for making payments under the Community Services Block Grant Act; and of which **[\$5,267,000,000] \$6,267,000,000** shall be for making payments under the Head Start Act, of which \$1,400,000,000 shall become available October 1, **[2000] 2001** and remain available through September 30, **[2001: *Provided*, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible property which permits grant funds, or intangible assets acquired with funds authorized under section 680 of the Community Services Block Grant Act, as amended, to become the sole property**

General and special funds—Continued

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued

(INCLUDING RESCISSIONS)—Continued

of such grantees after a period of not more than 12 years after the end of the grant for purposes and uses consistent with the original grant: *Provided further*, That \$1,700,000,000 of the amount provided for making payments under the Head Start Act is hereby designated by Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That such funds shall be available only if the President submits to the Congress one official budget request for \$1,700,000,000 that includes designation of the entire amount as an emergency requirement pursuant to such section] 2002.

[In addition, \$101,000,000, to be derived from the Violent Crime Reduction Trust Fund for carrying out sections 40155, 40211, and 40241 of Public Law 103-322.]

[Funds appropriated for fiscal year 2000 under section 429A(e), part B of title IV of the Social Security Act shall be reduced by \$6,000,000.

Funds appropriated for fiscal year 2000 under section 413(h)(1) of the Social Security Act shall be reduced by \$15,000,000.] (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-1536-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Head Start | 4,658 | 3,867 | 6,267 |
| Social services programs: | | | |
| Children, youth, and families: | | | |
| 01.03 Runaway and homeless youth | 44 | 44 | 44 |
| 01.04 Runaway youth transitional living | 15 | 21 | 21 |
| 01.05 Strengthening of parent-adolescent relationships | | | 10 |
| 01.07 Child abuse state grants | 21 | 21 | 21 |
| 01.08 Child abuse discretionary grants | 14 | 18 | 18 |
| 01.09 Community based resource centers | 33 | 33 | 33 |
| 01.11 Abandoned infants assistance | 12 | 12 | 12 |
| 01.14 Child welfare services | 292 | 292 | 292 |
| 01.15 Child welfare training | 7 | 7 | 7 |
| 01.16 Adoption initiative | 20 | 42 | 42 |
| 01.17 Adoption opportunities | 25 | 27 | 27 |
| 01.19 Family violence grants | | 17 | |
| 01.20 Social services and income maintenance re- search | 26 | 28 | 7 |
| Developmental disabilities: | | | |
| 01.23 State grants | 65 | 66 | 66 |
| 01.24 Protection and advocacy | 27 | 28 | 28 |
| 01.25 Special projects | 10 | 10 | 10 |
| 01.26 University affiliated projects | 17 | 18 | 18 |
| 01.28 Native American programs | 36 | 35 | 44 |
| 01.91 Subtotal social services programs | 664 | 719 | 701 |
| 02.01 ACF Federal administration | 144 | 148 | 165 |
| 02.93 Total Head Start, social services programs, Federal administration | 5,466 | 4,734 | 7,132 |
| Community services programs: | | | |
| 03.01 Community services block grants | 500 | 528 | 510 |
| 03.03 Community food and nutrition | 5 | 6 | |
| 03.04 Community services discretionary | 33 | 35 | 5 |
| 03.05 National youth sports | 15 | 15 | |
| 03.06 Individual development accounts | 10 | 10 | 25 |
| 03.91 Subtotal, community services | 563 | 594 | 540 |
| 04.00 Total direct programs | 6,029 | 5,328 | 7,672 |
| 09.01 Reimbursable program | 14 | 14 | 14 |
| 10.00 Total new obligations | 6,043 | 5,342 | 7,686 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | | 1 | |
| 22.00 New budget authority (gross) | 6,045 | 5,342 | 7,686 |
| 23.90 Total budgetary resources available for obligation | 6,045 | 5,343 | 7,686 |
| 23.95 Total new obligations | -6,043 | -5,342 | -7,686 |
| 23.98 Unobligated balance expiring or withdrawn | -2 | | |
| 24.40 Unobligated balance available, end of year | 1 | | |

New budget authority (gross), detail:

| | | | | |
|--------------------------------------------------------|----------------------------------------------------------------------------------|--------|--------|--------|
| Discretionary: | | | | |
| 40.00 | Appropriation | 6,032 | 3,634 | 6,272 |
| 40.15 | Appropriation (emergency) | | 1,700 | |
| 40.75 | Reduction pursuant to P.L. 106-51 | -1 | | |
| 40.76 | Reduction pursuant to P.L. 106-113 | | -6 | |
| 41.00 | Transferred to other accounts | -2 | | |
| 43.00 | Appropriation (total discretionary) | 6,029 | 5,328 | 6,272 |
| 55.00 | Advance appropriation | | | 1,400 |
| Spending authority from offsetting collections: | | | | |
| 68.00 | Offsetting collections (cash) | 20 | 14 | 14 |
| 68.10 | From Federal sources: Change in receivables and unpaid, unfilled orders | -4 | | |
| 68.90 | Spending authority from offsetting collections (total discretionary) | 16 | 14 | 14 |
| 70.00 | Total new budget authority (gross) | 6,045 | 5,342 | 7,686 |
| Change in unpaid obligations: | | | | |
| Unpaid obligations, start of year: | | | | |
| 72.40 | Obligated balance, start of year | 3,951 | 4,261 | 3,322 |
| 72.95 | From Federal sources: Receivables and unpaid, unfilled orders | 4 | | |
| 72.99 | Total unpaid obligations, start of year | 3,955 | 4,261 | 3,322 |
| 73.10 | Total new obligations | 6,043 | 5,342 | 7,686 |
| 73.20 | Total outlays (gross) | -5,723 | -6,228 | -7,027 |
| 73.40 | Adjustments in expired accounts (net) | -15 | -53 | |
| Unpaid obligations, end of year: | | | | |
| 74.40 | Obligated balance, end of year | 4,261 | 3,322 | 3,981 |
| 74.95 | From Federal sources: Receivables and unpaid, unfilled orders | | | |
| 74.99 | Total unpaid obligations, end of year | 4,261 | 3,322 | 3,981 |
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new discretionary authority | 2,442 | 2,668 | 4,106 |
| 86.93 | Outlays from discretionary balances | 3,281 | 3,560 | 2,921 |
| 87.00 | Total outlays (gross) | 5,723 | 6,228 | 7,027 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.00 | Offsetting collections (cash) from: Federal sources | -20 | -14 | -14 |
| Against gross budget authority only: | | | | |
| 88.95 | From Federal sources: Change in receivables and unpaid, unfilled orders | 4 | | |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 6,029 | 5,328 | 7,672 |
| 90.00 | Outlays | 5,703 | 6,214 | 7,013 |

Head Start.—The proposed level will enable Head Start projects to continue comprehensive early childhood development services and is consistent with the President's goal to serve one million children by 2002, including a doubling of the number of infants and toddlers in Early Head Start. The proposed level will allow Head Start to expand beyond the 2000 enrollment level of 880,000 slots, adding approximately 60,000 pre-school slots and 10,000 Early Head Start slots in 2001, for total enrollment of nearly 950,000.

Social services.—The proposed level will continue to support State, local, and private efforts to provide developmental and other services for children and youth, grants to improve support networks for persons with developmental disabilities, and economic development assistance to Native American populations.

Adoption incentive payments.—The proposed level includes almost \$42 million for bonus payments to States that increase the number of children adopted from the foster care system.

Individual development accounts (IDAs).—The Administration will transmit legislation to allow low-income working families to use IDAs to save for a car that helps them get or keep a job.

Object Classification (in millions of dollars)

| Identification code 75-1536-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 90 | 94 | 104 |
| 11.3 Other than full-time permanent | 1 | 1 | 1 |
| 11.5 Other personnel compensation | 1 | 1 | 1 |
| 11.9 Total personnel compensation | 92 | 96 | 106 |
| 12.1 Civilian personnel benefits | 17 | 18 | 19 |
| 21.0 Travel and transportation of persons | 2 | 3 | 4 |
| 23.1 Rental payments to GSA | 13 | 14 | 15 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 2 | 2 |
| 24.0 Printing and reproduction | 1 | 2 | 2 |
| 25.1 Advisory and assistance services | 76 | 78 | 67 |
| 25.2 Other services | 3 | 4 | 5 |
| 25.3 Purchases of goods and services from Government accounts | 22 | 16 | 17 |
| 25.7 Operation and maintenance of equipment | 1 | 1 | 1 |
| 26.0 Supplies and materials | 1 | 1 | 1 |
| 31.0 Equipment | 1 | 1 | 1 |
| 41.0 Grants, subsidies, and contributions | 5,799 | 5,094 | 7,432 |
| 99.0 Subtotal, direct obligations | 6,029 | 5,328 | 7,672 |
| 99.0 Reimbursable obligations | 14 | 14 | 14 |
| 99.9 Total new obligations | 6,043 | 5,342 | 7,686 |

Personnel Summary

| Identification code 75-1536-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 1,507 | 1,498 | 1,560 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 2 | 2 | |

VIOLENT CRIME REDUCTION PROGRAMS

For activities authorized by sections 40155, 40211, and 40241 of Public Law 103-322, \$134,074,000, to remain available until expended, of which \$116,918,000 shall be for Family Violence (including Battered Women's Shelters); \$14,999,000 for Education and Prevention grants to reduce sexual abuse of runaway, homeless and street youth; and \$2,157,000 for the National Domestic Violence Hotline.

Program and Financing (in millions of dollars)

| Identification code 75-8605-0-1-754 | 1999 actual | 2000 est. | 2001 est. |
|----------------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Family violence/battered women's shelters and domestic violence hotline | 89 | 86 | 119 |
| 00.02 Education and prevention grants to reduce sexual abuse of runaway, homeless and street youth | 15 | 15 | 15 |
| 10.00 Total new obligations | 104 | 101 | 134 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 105 | 101 | 134 |
| 23.95 Total new obligations | -104 | -101 | -134 |
| 23.98 Unobligated balance expiring or withdrawn | -1 | | |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | | | 134 |
| 42.00 Transferred from other accounts | 105 | 101 | |
| 43.00 Appropriation (total discretionary) | 105 | 101 | 134 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 82 | 116 | 114 |
| 73.10 Total new obligations | 104 | 101 | 134 |
| 73.20 Total outlays (gross) | -70 | -103 | -112 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 116 | 114 | 136 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 19 | 32 | 43 |

| | | | |
|-------------------------------------------------|-----|-----|-----|
| 86.93 Outlays from discretionary balances | 51 | 71 | 69 |
| 87.00 Total outlays (gross) | 70 | 103 | 112 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 105 | 101 | 134 |
| 90.00 Outlays | 70 | 103 | 112 |

Object Classification (in millions of dollars)

| Identification code 75-8605-0-1-754 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------|-------------|-----------|-----------|
| 25.1 Advisory and assistance services | 2 | 2 | 3 |
| 41.0 Grants, subsidies, and contributions | 102 | 99 | 131 |
| 99.9 Total new obligations | 104 | 101 | 134 |

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

| Identification code 75-1553-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Training and technical assistance | 13 | 15 | 12 |
| 00.02 Federal parent locator service | 23 | 30 | 25 |
| 00.03 Child welfare study | | | 6 |
| 00.04 Welfare research | | | 15 |
| 00.05 Evaluation of welfare to work | 9 | | |
| 00.06 Evaluation of abstinence education | 3 | | |
| 09.01 Reimbursable program | 4 | 9 | 13 |
| 09.09 Reimbursable program—subtotal line | 4 | 9 | 13 |
| 10.00 Total new obligations | 52 | 54 | 71 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 3 | 8 | 2 |
| 22.00 New budget authority (gross) | 56 | 48 | 71 |
| 22.10 Resources available from recoveries of prior year obligations | 1 | | |
| 23.90 Total budgetary resources available for obligation | 60 | 56 | 73 |
| 23.95 Total new obligations | -52 | -54 | -71 |
| 24.40 Unobligated balance available, end of year | 8 | 2 | 2 |

New budget authority (gross), detail:

| | | | |
|------------------------------------------------|----|----|----|
| Mandatory: | | | |
| 60.00 Appropriation | 52 | 39 | 58 |
| 69.00 Offsetting collections (cash) | 4 | 9 | 13 |
| 70.00 Total new budget authority (gross) | 56 | 48 | 71 |

Change in unpaid obligations:

| | | | |
|---------------------------------------------------------------------------------|-----|-----|-----|
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 65 | 59 | 44 |
| 73.10 Total new obligations | 52 | 54 | 71 |
| 73.20 Total outlays (gross) | -57 | -71 | -68 |
| 73.40 Adjustments in expired accounts (net) | 1 | | |
| 73.45 Adjustments in unexpired accounts | -1 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 59 | 44 | 47 |

Outlays (gross), detail:

| | | | |
|--------------------------------------------------|----|----|----|
| 86.97 Outlays from new mandatory authority | 42 | 32 | 33 |
| 86.98 Outlays from mandatory balances | 15 | 39 | 35 |
| 87.00 Total outlays (gross) | 57 | 71 | 68 |

Offsets:

| | | | |
|---------------------------------------------------------------------|----|----|-----|
| Against gross budget authority and outlays: | | | |
| 88.40 Offsetting collections (cash) from: Non-Federal sources | -4 | -9 | -13 |

Net budget authority and outlays:

| | | | |
|------------------------------|----|----|----|
| 89.00 Budget authority | 52 | 39 | 58 |
| 90.00 Outlays | 53 | 62 | 55 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 52 | 39 | 58 |

General and special funds—Continued

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE—Continued

Summary of Budget Authority and Outlays—Continued

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|-----------------------------------------|-------------|-----------|-----------|
| Outlays | 53 | 62 | 55 |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | | 1 |
| Outlays | | | 3 |
| Total: | | | |
| Budget Authority | 52 | 39 | 59 |
| Outlays | 53 | 62 | 58 |

This account provides funding for research and technical assistance activities established in P.L. 104-193. Amounts for child welfare study and welfare research are in addition to research amounts in the Children and families services program account.

Object Classification (in millions of dollars)

| Identification code 75-1553-0-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| 21.0 Travel and transportation of persons | 1 | 1 | 1 |
| 23.1 Rental payments to GSA | 1 | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.1 Advisory and assistance services | 3 | 5 | 13 |
| 25.2 Other services | 11 | 14 | 11 |
| 25.3 Purchases of goods and services from Government accounts | 26 | 15 | 14 |
| 25.7 Operation and maintenance of equipment | 1 | 1 | 1 |
| 26.0 Supplies and materials | 1 | 1 | 1 |
| 31.0 Equipment | 1 | 1 | 1 |
| 41.0 Grants, subsidies, and contributions | 2 | 4 | 13 |
| 99.0 Subtotal, direct obligations | 48 | 45 | 58 |
| 99.0 Reimbursable obligations | 4 | 9 | 13 |
| 99.9 Total new obligations | 52 | 54 | 71 |

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

| Identification code 75-1553-4-1-609 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.02 Federal parent locator service | | | 3 |
| 10.00 Total new obligations | | | 3 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | | | 2 |
| 22.00 New budget authority (gross) | | | 1 |
| 23.90 Total budgetary resources available for obligation | | | 3 |
| 23.95 Total new obligations | | | -3 |
| 24.40 Unobligated balance available, end of year | | 2 | |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 1 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | | | |
| 73.10 Total new obligations | | | 3 |
| 73.20 Total outlays (gross) | | | -3 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 1 |
| 86.98 Outlays from mandatory balances | | | 2 |
| 87.00 Total outlays (gross) | | | 3 |

| Net budget authority and outlays: | | |
|-----------------------------------|------------------------|---|
| 89.00 | Budget authority | 1 |
| 90.00 | Outlays | 3 |

The legislative proposals for the child support enforcement program impact this account by changing the estimated funding levels for child support training and technical assistance and the Federal parent locator service. In addition, these proposals increase Federal administrative costs.

Object Classification (in millions of dollars)

| Identification code 75-1553-4-1-609 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------|-------------|-----------|-----------|
| 25.2 Other services | | | 1 |
| 31.0 Equipment | | | 2 |
| 99.9 Total new obligations | | | 3 |

PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, **[\$4,307,300,000] \$4,863,100,000.** [of which \$105,000,000 shall be for making payments under sections 470 and 477 of title IV-E of the Social Security Act.]

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, for the first quarter of fiscal year **[2001, \$1,538,000,000] 2002, \$1,735,900,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-1545-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Foster care | 3,982 | 4,537 | 5,063 |
| 00.02 Independent living | 70 | 105 | 140 |
| 00.03 Adoption assistance | 826 | 1,020 | 1,198 |
| 10.00 Total new obligations (object class 41.0) | 4,878 | 5,662 | 6,401 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 4,922 | 5,662 | 6,401 |
| 23.95 Total new obligations | -4,878 | -5,662 | -6,401 |
| 23.98 Unobligated balance expiring or withdrawn | -43 | | |

New budget authority (gross), detail:

| | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------|-------------|-----------|-----------|
| Mandatory: | | | |
| 60.00 Appropriation | 3,764 | 4,307 | 4,863 |
| 65.00 Advance appropriation | 1,158 | 1,355 | 1,538 |
| 70.00 Total new budget authority (gross) | 4,922 | 5,662 | 6,401 |

Change in unpaid obligations:

| | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 688 | 853 | 1,025 |
| 73.10 Total new obligations | 4,878 | 5,662 | 6,401 |
| 73.20 Total outlays (gross) | -4,707 | -5,490 | -6,272 |
| 73.40 Adjustments in expired accounts (net) | -6 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 853 | 1,025 | 1,153 |

Outlays (gross), detail:

| | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------------|-------------|-----------|-----------|
| 86.97 Outlays from new mandatory authority | 4,231 | 4,911 | 5,596 |
| 86.98 Outlays from mandatory balances | 476 | 579 | 676 |
| 87.00 Total outlays (gross) | 4,707 | 5,490 | 6,272 |

Net budget authority and outlays:

| | 1999 actual | 2000 est. | 2001 est. |
|------------------------------|-------------|-----------|-----------|
| 89.00 Budget authority | 4,922 | 5,662 | 6,401 |
| 90.00 Outlays | 4,707 | 5,490 | 6,272 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 4,922 | 5,662 | 6,401 |
| Outlays | 4,707 | 5,490 | 6,272 |
| Supplemental proposal: | | | |
| Budget Authority | | 35 | |

| | | | |
|-----------------------------------------|-------|-------|-------|
| Outlays | 5 | 21 | |
| Legislative proposal, subject to PAYGO: | | | |
| Budget Authority | | 5 | |
| Outlays | | 1 | |
| Total: | | | |
| Budget Authority | 4,922 | 5,697 | 6,406 |
| Outlays | 4,707 | 5,495 | 6,294 |

Foster care.—The proposed level will support eligible low-income children who must be placed outside the home. An average of 341,700 children per month will be served in 2001.

Adoption assistance.—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 256,400 children per month will be served in 2001.

PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 75-1545-4-1-506 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Foster care | | | 5 |
| 10.00 Total new obligations (object class 41.0) | | | 5 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | | | 5 |
| 23.95 Total new obligations | | | -5 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.00 Appropriation | | | 5 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | | | |
| 73.10 Total new obligations | | | 5 |
| 73.20 Total outlays (gross) | | | -1 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | | | 4 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | | | 1 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | 5 |
| 90.00 Outlays | | | 1 |

This proposal supports a five-year project to enhance Tribal management of child welfare services.

ADMINISTRATION ON AGING

Federal Funds

General and special funds:

AGING SERVICES PROGRAMS

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965, as amended, and section 398 of the Public Health Service Act, [§934,285,000: *Provided*, That notwithstanding section 308(b)(1) of the Older Americans Act of 1965, as amended, the amounts available to each State for administration of the State plan under title III of such Act shall be reduced not more than 5 percent below the amount that was available to such State for such purpose for fiscal year 1995:] \$1,083,619,000, of which not less than \$125,000,000 shall be for an expanded focus on respite and other assistance for families of vulnerable elderly, as authorized by section 341 of the Older Americans Act: *Provided* [further], That in considering grant applications for nutrition services for elder Indian recipients, the Assistant Secretary shall provide maximum flexibility to applicants who seek to take into account subsistence, local customs, and other characteristics that are appropriate to the unique

cultural, regional, and geographic needs of the American Indian, Alaska and Hawaiian Native communities to be served. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

| Identification code 75-0142-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 01.01 Supportive services and centers | 300 | 310 | 450 |
| 01.02 Preventive health | 16 | 16 | 16 |
| 01.05 Congregate meals | 374 | 374 | 374 |
| 01.06 Home-delivered meals | 112 | 147 | 147 |
| 01.07 In home services for the frail elderly | 10 | | |
| 01.08 Grants to Indian tribes | 18 | 18 | 23 |
| 01.09 State and local innovations and projects of national significance | 18 | 32 | 36 |
| 01.10 Federal administration | 15 | 17 | 17 |
| 01.13 Alzheimer's disease demonstration grants to States | 6 | 6 | 6 |
| 01.14 Grants to States for the protection of vulnerable older Americans | 12 | 13 | 13 |
| 10.00 Total new obligations | 882 | 933 | 1,084 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 882 | 933 | 1,084 |
| 23.95 Total new obligations | -882 | -933 | -1,084 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 882 | 934 | 1,084 |
| 40.76 Reduction pursuant to P.L. 106-113 | | -1 | |
| 43.00 Appropriation (total discretionary) | 882 | 933 | 1,084 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 259 | 260 | 307 |
| 73.10 Total new obligations | 882 | 933 | 1,084 |
| 73.20 Total outlays (gross) | -879 | -887 | -1,020 |
| 73.40 Adjustments in expired accounts (net) | | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 260 | 307 | 371 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 639 | 649 | 745 |
| 86.93 Outlays from discretionary balances | 240 | 237 | 275 |
| 87.00 Total outlays (gross) | 879 | 887 | 1,020 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 882 | 933 | 1,084 |
| 90.00 Outlays | 879 | 887 | 1,020 |

Administration on Aging.—The proposed level will provide continued funding for core formula grant programs that provide nutrition and supportive services through the aging network. Funding will also support an expanded focus on respite and other assistance for families of vulnerable elderly as authorized by section 341 of the Older Americans Act. These programs and the State and local innovations and projects of national significance are part of a comprehensive system of support for older people and their families.

Object Classification (in millions of dollars)

| Identification code 75-0142-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 8 | 10 | 11 |
| 12.1 Civilian personnel benefits | 1 | 2 | 2 |
| 23.1 Rental payments to GSA | 1 | 1 | 1 |
| 25.2 Other services | 5 | 5 | 6 |
| 41.0 Grants, subsidies, and contributions | 867 | 915 | 1,064 |
| 99.9 Total new obligations | 882 | 933 | 1,084 |

General and special funds—Continued

AGING SERVICES PROGRAMS—Continued

Personnel Summary

| Identification code 75-0142-0-1-506 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 116 | 142 | 154 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 4 | 5 | 13 |

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of six sedans, and for carrying out titles III, XVII, and XX of the Public Health Service Act, and the United States-Mexico Border Health Commission Act, [\$227,051,000, of which \$20,000,000 shall become available on October 1, 2000, and shall remain available until September 30, 2001] \$223,741,000, together with \$5,851,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund: *Provided*, That [\$450,000 shall be for a contract with the National Academy of Sciences to conduct a study of the proposed tuberculosis standard promulgated by the Occupational Safety and Health Administration: *Provided further*, That said contract shall be awarded not later than 60 days after the enactment of this Act: *Provided further*, That said study shall be submitted to the Congress not later than 12 months after award of the contract: *Provided further*, That of the funds made available under this heading for carrying out title XX of the Public Health Service Act, \$10,569,000 shall be for activities specified under section 2003(b)(2), of which \$9,131,000 shall be for prevention service demonstration grants under section 510(b)(2) of title V of the Social Security Act, as amended, without application of the limitation of section 2010(c) of said title XX: *Provided further*, That \$500,000 shall be available to the Office of the Surgeon General, within the Office of Public Health and Science, to prepare and disseminate the findings of the Surgeon General's report on youth violence, and to coordinate activities across the Department of Health and Human Services: *Provided further*, That the Secretary may transfer a portion of such funds to other Federal entities for youth violence prevention coordination activities: *Provided further*, That \$2,000,000 shall be available to the Lawton Chiles Foundation] \$20,000,000 appropriated under this heading in Public Law 106-113, to become available October 1, 2000, are hereby rescinded. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, [\$18,838,000] \$20,742,000, together with not to exceed \$3,314,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

POLICY RESEARCH

For carrying out, to the extent not otherwise provided, research studies under section 1110 of the Social Security Act, [\$17,000,000] \$16,738,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

For public health and social services, \$264,600,000, of which \$208,600,000 is for expenses necessary to support activities related to countering potential biological, disease and chemical threats to

civilian populations[, \$214,600,000]: *Provided*, That this amount is distributed as follows: Centers for Disease Control and Prevention, [\$155,000,000, of which \$30,000,000 shall be for the Health Alert Network, \$1,000,000 shall be for the Carnegie Mellon Research Institute, \$1,000,000 shall be for the St. Louis University School of Public Health, \$1,000,000 shall be for the University of Texas Medical Branch at Galveston, \$1,000,000 shall be for the Noble Army Hospital of Alabama bioterrorism program and \$1,000,000 shall be for the Johns Hopkins University Center for Civilian Biodefense] \$148,500,000; Office of the Secretary, \$30,000,000; [Agency for Health Care Policy and Research, \$5,000,000,] and Office of Emergency Preparedness, [\$24,600,000. In addition, for expenses necessary for the portion of the Global Health Initiative conducted by the Centers for Disease Control and Prevention, \$69,000,000: *Provided further*, That this amount is distributed as follows: \$35,000,000 shall be for international HIV/AIDS programs, \$9,000,000 shall be for malaria programs, \$5,000,000 shall be for global micronutrient malnutrition programs and \$20,000,000 shall be for carrying out polio eradication activities. In addition, \$150,000,000 for carrying out the Department's Year 2000 computer conversion activities, \$5,000,000 for the environmental health laboratory at the Centers for Disease Control and Prevention, \$50,000,000] \$30,100,000: *Provided further*, That \$50,000,000 of this amount is for minority AIDS prevention and treatment activities[, \$20,000,000 for the National Institutes of Health challenge grant program, and \$75,000,000 to support the Ricky Ray Hemophilia Relief Fund Act of 1998: *Provided further*, That notwithstanding any other provision of law, up to \$10,000,000 of the amount provided for the Ricky Ray Hemophilia Relief Fund Act may be available for administrative expenses: *Provided further*, That the entire amount under this heading is hereby designated by the Congress to be emergency requirements pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That the entire amount under this heading shall be made available only after submission to the Congress of a formal budget request by the President that includes designation of the entire amount of the request as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That no funds shall be obligated until the Department of Health and Human Services submits an operating plan to the House and Senate Committees on Appropriations]. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-9912-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct activities: | | | |
| 00.01 General departmental management | 196 | 205 | 230 |
| 00.02 Office for Civil Rights | 21 | 22 | 24 |
| 00.03 Policy research | 14 | 17 | 17 |
| 00.04 Public health and social services emergency fund | 465 | 688 | 265 |
| 09.01 Reimbursable program | 98 | 98 | 103 |
| 10.00 Total new obligations | 794 | 1,030 | 639 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 21 | 105 | |
| 22.00 New budget authority (gross) | 881 | 925 | 637 |
| 22.10 Resources available from recoveries of prior year obligations | 2 | | |
| 22.21 Unobligated balance transferred to other accounts | | | |
| 23.90 Total budgetary resources available for obligation | 904 | 1,030 | 637 |
| 23.95 Total new obligations | -794 | -1,030 | -639 |
| 23.98 Unobligated balance expiring or withdrawn | -5 | | |
| 24.40 Unobligated balance available, end of year | 105 | | |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 221 | 826 | 526 |
| 40.15 Appropriation (emergency) | 217 | | |
| 40.75 Reduction pursuant to P.L. 106-51 | -1 | | |
| 40.76 Reduction pursuant to P.L. 106-113 | | -2 | |
| 41.00 Transferred to other accounts | -1 | | |
| 42.00 Transferred from other accounts | 337 | | |
| 43.00 Appropriation (total discretionary) | 773 | 824 | 526 |
| 55.00 Advance appropriation | | | 20 |
| 55.35 Advance appropriation rescinded | | | -20 |

| | | | | |
|-------------------------------------------------|---------------------------------------------------------------------------------|------|-------|------|
| 55.90 | Advance appropriation (total discretionary) | | | |
| Spending authority from offsetting collections: | | | | |
| 68.00 | Offsetting collections (cash) | 106 | 101 | 111 |
| 68.10 | From Federal sources: Change in receivables and unpaid, unfiled orders | 2 | | |
| 68.90 | Spending authority from offsetting collections (total discretionary) | 109 | 101 | 111 |
| 70.00 | Total new budget authority (gross) | 881 | 925 | 637 |
| Change in unpaid obligations: | | | | |
| Unpaid obligations, start of year: | | | | |
| 72.40 | Obligated balance, start of year | 191 | 514 | 638 |
| 72.95 | From Federal sources: Receivables and unpaid, unfiled orders | 109 | 111 | 111 |
| 72.99 | Total unpaid obligations, start of year | 300 | 625 | 749 |
| 73.10 | Total new obligations | 794 | 1,030 | 639 |
| 73.20 | Total outlays (gross) | -449 | -906 | -618 |
| 73.40 | Adjustments in expired accounts (net) | -17 | | |
| 73.45 | Adjustments in unexpired accounts | -2 | | |
| Unpaid obligations, end of year: | | | | |
| 74.40 | Obligated balance, end of year | 514 | 638 | 659 |
| 74.95 | From Federal sources: Receivables and unpaid, unfiled orders | 111 | 111 | 111 |
| 74.99 | Total unpaid obligations, end of year | 625 | 749 | 770 |
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new discretionary authority | 363 | 508 | 285 |
| 86.93 | Outlays from discretionary balances | 86 | 398 | 333 |
| 87.00 | Total outlays (gross) | 449 | 906 | 618 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.00 | Offsetting collections (cash) from: Federal sources | -106 | -101 | -111 |
| Against gross budget authority only: | | | | |
| 88.95 | From Federal sources: Change in receivables and unpaid, unfiled orders | -2 | | |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 773 | 824 | 526 |
| 90.00 | Outlays | 343 | 805 | 507 |

[Dollars in millions]

| | 1999 actual | 2000 est. | 2001 est. |
|--------------------------------------------------------|-------------|-----------|-----------|
| Distribution of budget authority by account: | | | |
| General departmental management | 193 | 206 | 224 |
| Office for Civil Rights | 17 | 19 | 21 |
| Policy research | 14 | 17 | 17 |
| Public Health and Social Services Emergency Fund | 547 | 583 | 265 |
| Year 2000 computer conversion | 324 | 150 | 0 |
| Bioterrorism | 140 | 214 | 209 |
| Minority AIDS | 50 | 50 | 50 |
| Other | 33 | 169 | 6 |
| Distribution of outlays by account: | | | |
| General departmental management | 179 | 182 | 213 |
| Office for Civil Rights | 18 | 21 | 24 |
| Policy research | 15 | 13 | 16 |
| Public Health and Social Services Emergency Fund | 131 | 589 | 254 |

Departmental management (DM) is a consolidated display of accounts that fund activities which provide leadership, policy, legal, and administrative guidance to HHS components; carry out the Department's civil rights and nondiscrimination enforcement programs; and support research to develop policy initiatives and improve existing HHS programs. DM also includes the activities of the Office of Public Health and Science, including adolescent family life, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and emergency preparedness. These accounts will continue to be appropriated separately, and are being displayed in a consolidated format to improve the readability of the presentation. Detailed information will continue to be available through the Department of Health and Human Services.

The Public health and social services emergency fund supports two main activities: preparing for and responding to the medical and public health consequences of potential bioterrorist events and minority AIDS prevention and treatment.

In FY 2000 it also supported Year 2000 computer conversion activities and some activities that are being requested within HHS operating divisions in FY 2001.

Object Classification (in millions of dollars)

| Identification code 75-9912-0-1-551 | 1999 actual | 2000 est. | 2001 est. | |
|-------------------------------------|-------------------------------------------------------------------|-----------|-----------|-----|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 80 | 93 | 102 |
| 11.3 | Other than full-time permanent | 6 | 7 | 8 |
| 11.5 | Other personnel compensation | 2 | 2 | 2 |
| 11.9 | Total personnel compensation | 88 | 102 | 112 |
| 12.1 | Civilian personnel benefits | 18 | 21 | 23 |
| 21.0 | Travel and transportation of persons | 3 | 4 | 4 |
| 23.1 | Rental payments to GSA | 11 | 13 | 14 |
| 23.3 | Communications, utilities, and miscellaneous charges | 4 | 4 | 4 |
| 24.0 | Printing and reproduction | 1 | 1 | 1 |
| 25.1 | Advisory and assistance services | 7 | 1 | 1 |
| 25.2 | Other services | 36 | 34 | 51 |
| 25.3 | Purchases of goods and services from Government accounts | 28 | 23 | 27 |
| 25.4 | Operation and maintenance of facilities | 4 | 3 | 3 |
| 25.5 | Research and development contracts | 2 | 35 | 34 |
| 25.7 | Operation and maintenance of equipment | 3 | 5 | 5 |
| 26.0 | Supplies and materials | 3 | 4 | 4 |
| 31.0 | Equipment | 4 | 6 | 7 |
| 41.0 | Grants, subsidies, and contributions | 49 | 101 | 41 |
| 99.0 | Subtotal, direct obligations | 261 | 357 | 331 |
| 99.0 | Reimbursable obligations | 98 | 98 | 103 |
| Allocation Account: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 17 | 17 | 9 |
| 11.3 | Other than full-time permanent | | 2 | |
| 11.5 | Other personnel compensation | | 1 | |
| 11.9 | Total personnel compensation | 17 | 20 | 9 |
| 12.1 | Civilian personnel benefits | 7 | 6 | 2 |
| 21.0 | Travel and transportation of persons | 3 | 5 | 1 |
| 22.0 | Transportation of things | | 1 | |
| 23.1 | Rental payments to GSA | | 2 | 2 |
| 23.3 | Communications, utilities, and miscellaneous charges | 4 | 12 | |
| 24.0 | Printing and reproduction | 1 | 4 | |
| 25.1 | Advisory and assistance services | 12 | 1 | |
| 25.2 | Other services | 125 | 220 | 65 |
| 25.3 | Purchases of goods and services from Government accounts | 4 | | |
| 25.5 | Research and development contracts | 7 | 2 | 2 |
| 25.6 | Medical care | 54 | 154 | |
| 25.7 | Operation and maintenance of equipment | 18 | | |
| 26.0 | Supplies and materials | 1 | 2 | 1 |
| 31.0 | Equipment | 49 | 10 | 8 |
| 41.0 | Grants, subsidies, and contributions | 133 | 136 | 115 |
| 99.0 | Subtotal, allocation account | 435 | 575 | 205 |
| 99.9 | Total new obligations | 794 | 1,030 | 639 |

Personnel Summary

| Identification code 75-9912-0-1-551 | 1999 actual | 2000 est. | 2001 est. | |
|-------------------------------------|-----------------------------------------------------------------------|-----------|-----------|-------|
| Direct: | | | | |
| 1001 | Total compensable workyears: Full-time equivalent employment | 1,250 | 1,412 | 1,498 |
| Reimbursable: | | | | |
| 2001 | Total compensable workyears: Full-time equivalent employment | 177 | 184 | 201 |
| Allocation account: | | | | |
| 3001 | Total compensable workyears: Full-time equivalent employment | 56 | 63 | 66 |

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

PROGRAM SUPPORT CENTER

General and special funds:

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, for medical care of dependents and retired personnel under the Dependents' Medical Care Act (10 U.S.C. ch. 55), and for payments pursuant to section 229(b) of the Social Security Act (42 U.S.C. 429(b)), such amounts as may be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

| Identification code 75-0379-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Retirement payments | 159 | 172 | 176 |
| 00.02 Survivors' benefits | 12 | 12 | 12 |
| 00.03 Medical care | 29 | 30 | 31 |
| 00.04 Military service credits | 2 | 1 | 1 |
| 10.00 Total new obligations | 202 | 215 | 220 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 202 | 215 | 220 |
| 23.95 Total new obligations | -202 | -215 | -220 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.05 Appropriation (indefinite) | 202 | 215 | 220 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 23 | 23 | 23 |
| 73.10 Total new obligations | 202 | 215 | 220 |
| 73.20 Total outlays (gross) | -202 | -213 | -219 |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 23 | 23 | 24 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 184 | 197 | 201 |
| 86.98 Outlays from mandatory balances | 18 | 18 | 18 |
| 87.00 Total outlays (gross) | 202 | 213 | 219 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 202 | 215 | 220 |
| 90.00 Outlays | 202 | 213 | 219 |

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical care to active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

| Identification code 75-0379-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| 13.0 Benefits for former personnel | 171 | 184 | 188 |
| 25.2 Other services | 29 | 30 | 31 |
| 25.3 Purchases of goods and services from Government accounts | 2 | 1 | 1 |
| 99.9 Total new obligations | 202 | 215 | 220 |

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

| Identification code 75-9913-0-1-552 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------------------|-------------|-----------|-----------|
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 10 | 10 | 10 |

| | | | |
|-----------------------------------------------------------------------------|----|----|----|
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 10 | 10 | 10 |
|-----------------------------------------------------------------------------|----|----|----|

Net budget authority and outlays:

| | | | |
|------------------------------|--|--|--|
| 89.00 Budget authority | | | |
| 90.00 Outlays | | | |

This display shows activities in support of St. Elizabeths Hospital and scientific activities overseas that were supported by foreign currencies by the United States abroad.

Intragovernmental funds:

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

| Identification code 75-9941-0-4-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 09.01 Program support center | 307 | 311 | 326 |
| 09.02 Federal employee occupational health | 85 | 93 | 101 |
| 09.03 OS activities | 7 | 7 | 7 |
| 10.00 Total new obligations | 399 | 411 | 434 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 35 | 57 | 57 |
| 22.00 New budget authority (gross) | 421 | 411 | 434 |
| 23.90 Total budgetary resources available for obligation | 456 | 468 | 491 |
| 23.95 Total new obligations | -399 | -411 | -434 |
| 24.40 Unobligated balance available, end of year | 57 | 57 | 57 |
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 69.00 Offsetting collections (cash) | 376 | 411 | 434 |
| 69.10 From Federal sources: Change in receivables and unpaid, unfilled orders | 45 | | |
| 69.90 Spending authority from offsetting collections (total mandatory) | 421 | 411 | 434 |
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| 72.40 Obligated balance, start of year | -41 | -108 | -108 |
| 72.95 From Federal sources: Receivables and unpaid, unfilled orders | 218 | 263 | 263 |
| 72.99 Total unpaid obligations, start of year | 177 | 155 | 155 |
| 73.10 Total new obligations | 399 | 411 | 434 |
| 73.20 Total outlays (gross) | -421 | -411 | -434 |
| Unpaid obligations, end of year: | | | |
| 74.40 Obligated balance, end of year | -108 | -108 | -108 |
| 74.95 From Federal sources: Receivables and unpaid, unfilled orders | 263 | 263 | 263 |
| 74.99 Total unpaid obligations, end of year | 155 | 155 | 155 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 421 | 411 | 434 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -376 | -411 | -434 |
| Against gross budget authority only: | | | |
| 88.95 From Federal sources: Change in receivables and unpaid, unfilled orders | -45 | | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | 44 | | |

The HHS Service and supply fund (SSF) provides common centralized services to HHS components. The Program support center (PSC) line includes activities such as personnel and payroll administration, financial management operations, and a wide array of administrative services, including acquisitions management, building and property management, and technical support. The Office of the Secretary (OS) activities line includes the fund manager, departmental contracts and

grants, audit resolution, OS graphics, and the regional health administrators. The Federal employee occupational health (FOH) program is also funded through the SSF. FOH includes clinical services, environmental health services and employee assistance programs.

Object Classification (in millions of dollars)

| Identification code 75-9941-0-4-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 56 | 60 | 64 |
| 11.3 Other than full-time permanent | 9 | 10 | 10 |
| 11.5 Other personnel compensation | 3 | 3 | 3 |
| 11.9 Total personnel compensation | 68 | 73 | 77 |
| 12.1 Civilian personnel benefits | 12 | 14 | 15 |
| 21.0 Travel and transportation of persons | 2 | 2 | 3 |
| 22.0 Transportation of things | 2 | 2 | 2 |
| 23.1 Rental payments to GSA | 9 | 10 | 10 |
| 23.3 Communications, utilities, and miscellaneous charges | 38 | 43 | 44 |
| 24.0 Printing and reproduction | 2 | 2 | 2 |
| 25.1 Advisory and assistance services | 2 | 3 | 3 |
| 25.2 Other services | 86 | 93 | 98 |
| 25.3 Purchases of goods and services from Government accounts | 57 | 36 | 36 |
| 26.0 Supplies and materials | 113 | 128 | 138 |
| 31.0 Equipment | 8 | 5 | 6 |
| 99.9 Total new obligations | 399 | 411 | 434 |

Personnel Summary

| Identification code 75-9941-0-4-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 1,179 | 1,284 | 1,290 |
| Allocation account: | | | |
| 3001 Total compensable workyears: Full-time equivalent employment | 29 | | |

Trust Funds

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

| Identification code 75-9971-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Contributions, Indian health facilities, Health Services Administration | 25 | 25 | 25 |
| 02.02 Gifts and contributions, miscellaneous trust funds | 26 | 26 | 26 |
| 02.03 Interest, miscellaneous trust funds | 1 | 1 | 1 |
| 02.99 Total receipts | 52 | 52 | 52 |
| Appropriation: | | | |
| 05.01 Miscellaneous trust funds | -52 | -52 | -52 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 75-9971-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.02 Gifts | 23 | 27 | 27 |
| 00.03 Contributions, Indian health facilities | 12 | 25 | 25 |
| 10.00 Total new obligations | 35 | 52 | 52 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 27 | 47 | 47 |
| 22.00 New budget authority (gross) | 52 | 52 | 52 |
| 22.10 Resources available from recoveries of prior year obligations | 3 | | |
| 23.90 Total budgetary resources available for obligation | 82 | 99 | 99 |
| 23.95 Total new obligations | -35 | -52 | -52 |
| 24.40 Unobligated balance available, end of year | 47 | 47 | 47 |

| | | | |
|---------------------------------------------------------------------------|-----|-----|-----|
| New budget authority (gross), detail: | | | |
| Mandatory: | | | |
| 60.27 Appropriation (trust fund, indefinite) | 52 | 52 | 52 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance, start of year | 96 | 68 | 65 |
| 73.10 Total new obligations | 35 | 52 | 52 |
| 73.20 Total outlays (gross) | -60 | -55 | -53 |
| 73.45 Adjustments in unexpired accounts | -3 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance, end of year | 68 | 65 | 64 |
| Outlays (gross), detail: | | | |
| 86.97 Outlays from new mandatory authority | 8 | 13 | 13 |
| 86.98 Outlays from mandatory balances | 52 | 42 | 40 |
| 87.00 Total outlays (gross) | 60 | 55 | 53 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 52 | 52 | 52 |
| 90.00 Outlays | 60 | 55 | 53 |
| Memorandum (non-add) entries: | | | |
| 92.01 Total investments, start of year: U.S. securities: Par value | 13 | 15 | 15 |
| 92.02 Total investments, end of year: U.S. securities: Par value | 15 | 15 | 15 |

[Dollars in millions]

| | 1999 | 2000 | 2001 |
|-----------------------------------------------------|------|------|------|
| Distribution of budget authority by account: | | | |
| Gifts | 27 | 27 | 27 |
| Contributions, Indian health facilities | 25 | 25 | 25 |
| Distribution of outlays by account: | | | |
| Gifts | 24 | 20 | 24 |
| Contributions, Indian health facilities | 36 | 35 | 29 |

Gifts to the Public health service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

| Identification code 75-9971-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------|-------------|-----------|-----------|
| 11.3 Personnel compensation: Other than full-time permanent | 2 | 2 | 2 |
| 12.1 Civilian personnel benefits | 1 | 1 | 1 |
| 21.0 Travel and transportation of persons | 1 | 2 | 2 |
| 22.0 Transportation of things | 1 | 2 | 2 |
| 25.2 Other services | 2 | 3 | 3 |
| 25.3 Purchases of goods and services from Government accounts | 1 | 2 | 2 |
| 25.4 Operation and maintenance of facilities | 2 | 3 | 3 |
| 25.5 Research and development contracts | 5 | 8 | 8 |
| 25.7 Operation and maintenance of equipment | 4 | 6 | 6 |
| 26.0 Supplies and materials | 2 | 3 | 3 |
| 31.0 Equipment | 1 | 1 | 1 |
| 32.0 Land and structures | 4 | 5 | 5 |
| 41.0 Grants, subsidies, and contributions | 9 | 14 | 14 |
| 99.9 Total new obligations | 35 | 52 | 52 |

Personnel Summary

| Identification code 75-9971-0-7-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 61 | 61 | 61 |

OFFICE OF THE INSPECTOR GENERAL

General and special funds:

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, [S31,500,000] \$33,849,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section

General and special funds—Continued

OFFICE OF INSPECTOR GENERAL—Continued

1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113.)

Program and Financing (in millions of dollars)

| Identification code 75-0128-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------------------------------------------|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Direct program | 29 | 31 | 34 |
| 09.01 Reimbursable program | 14 | 22 | 23 |
| 10.00 Total new obligations | 43 | 53 | 57 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year | 1 | 1 | 1 |
| 22.00 New budget authority (gross) | 43 | 53 | 57 |
| 23.90 Total budgetary resources available for obligation | 44 | 54 | 58 |
| 23.95 Total new obligations | -43 | -53 | -57 |
| 24.40 Unobligated balance available, end of year | 1 | 1 | 1 |
| New budget authority (gross), detail: | | | |
| Discretionary: | | | |
| 40.00 Appropriation | 29 | 31 | 34 |
| Spending authority from offsetting collections: | | | |
| 68.00 Offsetting collections (cash) | 24 | 22 | 23 |
| 68.10 From Federal sources: Change in receivables and unpaid, unfilled orders | -10 | | |
| 68.90 Spending authority from offsetting collections (total discretionary) | 14 | 22 | 23 |
| 70.00 Total new budget authority (gross) | 43 | 53 | 57 |
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| 72.40 Obligated balance, start of year | 3 | -3 | 5 |
| 72.95 From Federal sources: Receivables and unpaid, unfilled orders | 14 | 4 | 4 |
| 72.99 Total unpaid obligations, start of year | 17 | 1 | 9 |
| 73.10 Total new obligations | 43 | 53 | 57 |
| 73.20 Total outlays (gross) | -58 | -45 | -58 |
| Unpaid obligations, end of year: | | | |
| 74.40 Obligated balance, end of year | -3 | 5 | 4 |
| 74.95 From Federal sources: Receivables and unpaid, unfilled orders | 4 | 4 | 4 |
| 74.99 Total unpaid obligations, end of year | 1 | 9 | 8 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new discretionary authority | 40 | 49 | 54 |
| 86.93 Outlays from discretionary balances | 18 | -4 | 4 |
| 87.00 Total outlays (gross) | 58 | 45 | 58 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources Against gross budget authority only: | -24 | -22 | -23 |
| 88.95 From Federal sources: Change in receivables and unpaid, unfilled orders | 10 | | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 29 | 31 | 34 |
| 90.00 Outlays | 34 | 23 | 35 |

The Office of Inspector General (OIG) identifies and recommends actions to correct fraud, waste, and abuse in HHS administered and assisted programs and operations through audits and investigations.

In addition to the discretionary resources appropriated to the OIG, the Health Insurance Portability and Accountability Act of 1996 makes available mandatory funding for use by the OIG to combat Medicare fraud and abuse through a coordinated Health care fraud and abuse control (HCFAC) program with the Department of Justice. The following table shows total funding resources for the OIG:

(In millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------|-------------|-----------|-----------|
| Budget Authority: | | | |
| Discretionary appropriations | 29 | 31 | 34 |
| Mandatory (HCFAC Account) | 100 | 124 | 124 |
| Total | 129 | 155 | 158 |
| Outlays: | | | |
| Discretionary appropriations | 34 | 23 | 35 |
| Mandatory (HCFAC Account) | 84 | 124 | 124 |
| Total | 118 | 147 | 159 |

This schedule reflects estimated distribution of mandatory funds from the HCFAC account in FY 2001. Actual FY 2001 distribution will be determined by the Secretary of HHS and the Attorney General.

Object Classification (in millions of dollars)

| Identification code 75-0128-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|---------------------------------------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.1 Personnel compensation: Full-time permanent | 16 | 18 | 20 |
| 12.1 Civilian personnel benefits | 4 | 4 | 5 |
| 21.0 Travel and transportation of persons | 2 | 2 | 2 |
| 23.1 Rental payments to GSA | 2 | 2 | 2 |
| 25.3 Purchases of goods and services from Government accounts | 4 | 4 | 4 |
| 31.0 Equipment | 1 | 1 | 1 |
| 99.0 Subtotal, direct obligations | 29 | 31 | 34 |
| 99.0 Reimbursable obligations | 14 | 22 | 23 |
| 99.9 Total new obligations | 43 | 53 | 57 |

Personnel Summary

| Identification code 75-0128-0-1-551 | 1999 actual | 2000 est. | 2001 est. |
|-------------------------------------------------------------------------|-------------|-----------|-----------|
| Direct: | | | |
| 1001 Total compensable workyears: Full-time equivalent employment | 275 | 285 | 306 |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 56 | 93 | 90 |
| Allocation account: | | | |
| Total compensable workyears: | | | |
| Full-time equivalent employment: | | | |
| 3001 Full-time equivalent employment | 882 | 1,054 | 1,143 |
| 3001 Full-time equivalent employment | 60 | | |

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

| | 1999 actual | 2000 est. | 2001 est. |
|------------------------------------------------------------|-------------|-----------|-----------|
| Offsetting receipts from the public: | | | |
| 75-310700 Federal share of child support collections | 868 | 851 | 850 |
| Legislative proposal, subject to PAYGO | | | 22 |
| General Fund Offsetting receipts from the public | 868 | 851 | 872 |

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$37,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Develop-

ment, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated under this Act may be used to implement section 399L(b) of the Public Health Service Act or section 1503 of the National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

SEC. 204. None of the funds appropriated in this Act for the National Institutes of Health and the Substance Abuse and Mental Health Services Administration shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II.

SEC. 205. [None of the funds appropriated in this Act may be expended pursuant to section 241 of the Public Health Service Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in the Department of Health and Human Services, prior to the Secretary's preparation and submission of a report to the Committee on Appropriations of the Senate and of the House detailing the planned uses of such funds.] *Notwithstanding section 241(a) of the Public Health Service Act, such portion as the Secretary shall determine, but not more than 1.6 percent, of any amounts appropriated for programs authorized under the PHS Act shall be made available for the evaluation (directly or by grants or contracts) of the implementation and effectiveness of such programs.*

(TRANSFER OF FUNDS)

SEC. 206. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the current fiscal year for the Department of Health and Human Services in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.

SEC. 207. The Director of the National Institutes of Health, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes, centers, and divisions from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Congress is promptly notified of the transfer.

[SEC. 208. Of the amounts made available in this Act for the National Institutes of Health, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of the National Institutes of Health and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the Public Health Service Act.]

SEC. [209] 208. None of the funds appropriated in this Act may be made available to any entity under title X of the Public Health Service Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

[SEC. 210. The final rule entitled "Organ Procurement and Transplantation Network", promulgated by the Secretary of Health and Human Services on April 2, 1998 (63 Fed. Reg. 16295 et seq.) (relating to part 121 of title 42, Code of Federal Regulations), together with the amendments to such rules promulgated on October 20, 1999 (64 Fed. Reg. 56649 et seq.) shall not become effective before the expiration of the 42 day period beginning on the date of the enactment of this Act.]

SEC. [211] 209. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare+Choice program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare+Choice organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

[SEC. 212. (a) MENTAL HEALTH.—Section 1918(b) of the Public Health Service Act (42 U.S.C. 300x-7(b)) is amended to read as follows:

"(b) MINIMUM ALLOTMENTS FOR STATES.—With respect to fiscal year 2000, the amount of the allotment of a State under section 1911 shall not be less than the amount the State received under section 1911 for fiscal year 1998."

(b) SUBSTANCE ABUSE.—Section 1933(b) of the Public Health Service Act (42 U.S.C. 300x-33(b)) is amended to read as follows:

"(b) MINIMUM ALLOTMENTS FOR STATES.—Each State's allotment for fiscal year 2000 for programs under this subpart shall be equal to such State's allotment for such programs for fiscal year 1999, except that, if the amount appropriated in fiscal year 2000 is less than the amount appropriated in fiscal year 1999, then the amount of a State's allotment under section 1921 shall be equal to the amount that the State received under section 1921 in fiscal year 1999 decreased by the percentage by which the amount appropriated for fiscal year 2000 is less than the amount appropriated for such section for fiscal year 1999."

SEC. [213] 210. Notwithstanding any other provision of law, no provider of services under title X of the Public Health Service Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

[SEC. 214. EXTENSION OF CERTAIN ADJUDICATION PROVISIONS.—The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101-167) is amended—

(1) in section 599D (8 U.S.C. 1157 note)—

(A) in subsection (b)(3), by striking "1997, 1998, and 1999" and inserting "1997, 1998, 1999, and 2000"; and

(B) in subsection (e), by striking "October 1, 1999" each place it appears and inserting "October 1, 2000"; and

(2) in section 599E (8 U.S.C. 1255 note) in subsection (b)(2), by striking "September 30, 1999" and inserting "September 30, 2000".]

[SEC. 215. None of the funds provided in this Act or in any other Act making appropriations for fiscal year 2000 may be used to administer or implement in Arizona or in the Kansas City, Missouri or in the Kansas City, Kansas area the Medicare Competitive Pricing Demonstration Project (operated by the Secretary of Health and Human Services under authority granted in section 4011 of the Balanced Budget Act of 1997 (Public Law 105-33)).]

[SEC. 216. Of the funds appropriated for the National Institutes of Health for fiscal year 2000, \$3,000,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Health Resources and Services Administration for fiscal year 2000, \$450,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Centers for Disease Control and Prevention for fiscal year 2000, \$500,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Children and Families Services Programs for fiscal year 2000, \$400,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Social Services Block Grant for fiscal year 2000, \$425,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Substance Abuse and Mental Health Services Administration for fiscal year 2000, \$200,000,000 shall not be available for obligation until September 29, 2000. Such funds delayed by this section shall be available for obligation until October 15, 2000.]

[SEC. 217. STUDY AND REPORT ON THE GEOGRAPHIC ADJUSTMENT FACTORS UNDER THE MEDICARE PROGRAM. (a) STUDY.—The Secretary of Health and Human Services shall conduct a study on—

(1) the reasons why, and the appropriateness of the fact that, the geographic adjustment factor (determined under paragraph (2) of section 1848(e) (42 U.S.C. 1395w-4(e)) used in determining the amount of payment for physicians' services under the Medicare program is less for physicians' services provided in New Mexico than for physicians' services provided in Arizona, Colorado, and Texas; and

(2) the effect that the level of the geographic cost-of-practice adjustment factor (determined under paragraph (3) of such section) has on the recruitment and retention of physicians in small rural States, including New Mexico, Iowa, Louisiana, and Arkansas.

(b) REPORT.—Not later than 3 months after the date of the enactment of this Act, the Secretary of Health and Human Services shall submit a report to Congress on the study conducted under subsection

(a), together with any recommendations for legislation that the Secretary determines to be appropriate as a result of such study.】

【SEC. 218. WITHHOLDING OF SUBSTANCE ABUSE FUNDS. (a) IN GENERAL.—None of the funds appropriated by this Act may be used to withhold substance abuse funding from a State pursuant to section 1926 of the Public Health Service Act (42 U.S.C. 300x-26) if such State certifies to the Secretary of Health and Human Services that the State will commit additional State funds, in accordance with subsection (b), to ensure compliance with State laws prohibiting the sale of tobacco products to individuals under 18 years of age.

(b) AMOUNT OF STATE FUNDS.—The amount of funds to be committed by a State under subsection (a) shall be equal to 1 percent of such State's substance abuse block grant allocation for each percentage point by which the State misses the retailer compliance rate goal established by the Secretary of Health and Human Services under section 1926 of such Act, except that the Secretary may agree to a smaller commitment of additional funds by the State.

(c) SUPPLEMENT NOT SUPPLANT.—Amounts expended by a State pursuant to a certification under subsection (a) shall be used to supplement and not supplant State funds used for tobacco prevention programs and for compliance activities described in such subsection in the fiscal year preceding the fiscal year to which this section applies.

(d) ENFORCEMENT OF STATE EXPENDITURE.—The Secretary shall exercise discretion in enforcing the timing of the State expenditure required by the certification described in subsection (a) as late as July 31, 2000.】¹

【SEC. 219. None of the funds made available under this title may be used to carry out the transmittal of August 13, 1997 (relating to self-administered drugs) of the Deputy Director of the Division of Acute Care of the Health Care Financing Administration to regional offices of such Administration or to promulgate any regulation or other transmittal or policy directive that has the effect of imposing (or clarifying the imposition of) a restriction on the coverage of injectable drugs under section 1861(s)(2) of the Social Security Act beyond the restrictions applied before the date of such transmittal.】

¹The Administration proposes to delete this provision and will work with the Congress to address this issue.

【SEC. 220. In accordance with section 1557 of title 31, United States Code, funds obligated and awarded in fiscal years 1994 and 1995 under the heading "National Cancer Institute" for the Cancer Therapy and Research Center in San Antonio, Texas, grant numbers 1 C06 CA58690-01 and 3 C06 CA58690-01S1, shall be exempt from subchapter IV of chapter 15 of such title and the obligated unexpended dollars shall remain available to the grantee for expenditure without fiscal year limitation to fulfill the purpose of the award.】

【SEC. 221. Not later than January 15, 2000, the Secretary of Health and Human Services shall transfer \$20,000,000 from the appropriation in this Act for "National Institutes of Health—National Institute of Allergy and Infectious Diseases" to the appropriation in this Act for "Centers for Disease Control and Prevention—Disease Control, Research, and Training".】

SEC. 211. *Funds provided in Public Law 106-31 under the heading, "Refugee and Entrant Assistance," shall be available until expended, remain subject to the first and second provisos under that heading, and are available for costs of assisting in the resettlement of refugees and entrants—*

(1)(A) under section 412(b)(3) of the Immigration and Nationality Act, and 45 CFR 400.205 and 45 CFR 400.207;

(B) under 45 CFR 400.203(b) and 45 CFR 400.204(b), to maintain the period of time-eligibility in effect under 45 CFR 400.211 as of the end of the preceding fiscal year; and

(C) under section 412(c)(1) of the Immigration and Nationality Act and section 7 of Public Law 99-605 (8 USC 1522 note) in the event of refugee arrivals in excess of those anticipated in the annual consultation under section 207(d) of the Immigration and Nationality Act; and

(2) under section 501(a)(1) of the Refugee Education Assistance Act of 1980 for costs comparable to costs specified in paragraph (1): Provided, That an unanticipated mass migration of eligible populations may be considered for purposes of paragraph 1(C) to be comparable to refugee arrivals in excess of those anticipated in the annual consultation under section 207(d) of the Immigration and Nationality Act. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)