

- FR date and citation: May 19, 1989, 54 FR 21618.
- FR date and citation: March 7, 1997, 62 FR 10450.
- Firms designated by the Toronto Futures Exchange.
- FR date and citation: March 22, 1990, 55 FR 10614.
- Authorized Persons as designated in Annex E to the Mutual Recognition Memorandum of Understanding
- FR date and citation: June 13, 1990, 55 FR 2390; December 23, 1991, 56 FR 66345.
- Firms designated by the Tokyo Grain Exchange.
- FR date and citation: February 23, 1993, 58 FR 10957; May 2, 1994, 59 FR 22506.
- Firms designated by the MEFF Sociedad Rectora de Productos Financieros Derivados de Renta Fija ("MEFF Renta Fija").
- FR date and citation: June 9, 1995, 60 FR 30466.
- Firms designated by the New Zealand Futures and Options Exchange ("NZFOE").
- FR date and citation: December 10, 1996, 61 FR 64989.
- Firms designated by the MEFF Sociedad Rectora de Productos Financieros Derivados de Renta Variable ("MEFF Renta Variable.")
- FR date and citation: April 8, 1997, 62 FR 16690.

[54 FR 809, Jan. 10, 1989, as amended at 54 FR 11182, Mar. 17, 1989; 54 FR 21604, 21609, 21614, and 21618, May 19, 1989; 55 FR 10614, Mar. 22, 1990; 55 FR 23909, June 13, 1990; 56 FR 14019, Apr. 5, 1991; 56 FR 66345, Dec. 23, 1991; 58 FR 10957, Feb. 23, 1993; 58 FR 19210, Apr. 13, 1993; 59 FR 22506, May 2, 1994; 60 FR 30466, June 9, 1995; 61 FR 64989, Dec. 10, 1996; 62 FR 8877, Feb. 27, 1997; 62 FR 10447-10450, Mar. 7, 1997; 62 FR 16690, Apr. 8, 1997; 64 FR 50251, Sept. 16, 1999]

## PART 31—LEVERAGE TRANSACTIONS

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### APPENDIX A TO PART 31—SCHEDULE OF FEES FOR REGISTRATION OF LEVERAGE COMMODITIES

AUTHORITY: 7 U.S.C. 12a and 23, unless otherwise noted.

#### §§ 31.1-31.2 [Reserved]

#### § 31.3 Fraud in connection with certain transactions in silver or gold bullion or bulk coins, or other commodities.

It shall be unlawful for any person, by use of the mails or any means or instrumentality of interstate commerce, directly or indirectly:

(a) To employ any device, scheme, or artifice to defraud,

(b) To make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made in the light of the circumstances under which they were made, not misleading, or

(c) To engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person, in, or in connection with (1) an offer to make or the making of, any transaction for the purchase, sale or delivery of silver bullion, gold bullion, bulk silver coins, bulk gold coins, or any other commodity pursuant to a standardized contract commonly