

Pt. 75

505 106th Avenue, Northeast, Suite 302,
Bellevue, Washington 98004
1220 Southwest Third Avenue, Room 640,
Portland, Oregon 97204

*Employee Benefits Security Administration
Regional Director or District Supervisor*

Regional Director, J.F.K. Federal Bldg.,
Room 575, Boston, Massachusetts 22203
Regional Director, 1633 Broadway, Rm. 226,
New York, N.Y. 10019
Regional Director, 3535 Market Street, Room
M300, Gateway Building, Philadelphia,
Pennsylvania 19104
District Supervisor, 1730 K Street N.W.,
Suite 556, Washington, DC 20006
Regional Director, 61 Forsyth Street, S.W.,
Room 7B54, Atlanta, Georgia 30303
District Supervisor, 8040 Peters Road, Build-
ing H, Suite 104, Plantation, Florida 33324
Regional Director, 1885 Dixie Highway, Suite
210, Ft. Wright, Kentucky 41011
District Supervisor, 211 West Fort Street,
Suite 1310, Detroit, Michigan 48226-3211
Regional Director, 200 West Adams Street,
Suite 1600, Chicago, Illinois 60606
Regional Director, City Center Square, 1100
Main Street, Suite 1200, Kansas City, Mis-
souri 64105
District Supervisor, 815 Olive Street, Room
338, St. Louis, Missouri 63101
Regional Director, 525 Griffin Street, Room
707, Dallas, Texas 75202
Regional Director, 71 Stevenson Street,
Suite 915, P.O. Box 190250, San Francisco,
California 94119-0250
District Director, 1111 Third Avenue, Room
860, Seattle, Washington 98101-3212
Regional Director, Suite 514, 790 E. Colorado
Blvd, Pasadena, CA 91101

*Regional Administrators, Veterans' Employment
and Training Service (VETS)*

Region I

J.F. Kennedy Federal Building, Government
Center, Room E-315, Boston, Massachu-
setts 02203

Region II

201 Varick Street, Room 766, New York, New
York 10014

Region III

U.S. Customs House, Room 802, Second and
Chestnut Streets, Philadelphia, Pennsylv-
vania 19106

Region IV

Atlanta Federal Center, 61 Forsyth Street,
S.W., Room 6T85, Atlanta, Georgia 30303

Region V

230 South Dearborn, Room 1064, Chicago, Illi-
nois 60604

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Region VI

525 Griffin Street, Room 858, Dallas, Texas
75202

Region VII

Center City Square, 1100 Main Street, Suite
850, Kansas City, Missouri 64105-2112

Region VIII

1801 California Street, Suite 910, Denver, Col-
orado 80202-2614

Region IX

71 Stevenson Street, Suite 705, San Fran-
cisco, California 94105

Region X

1111 Third Avenue, Suite 800, Seattle, Wash-
ington 98101-3212

[63 FR 56741, Oct. 22, 1998, as amended at 68
FR 16399, Apr. 3, 2003]

**PART 75—DEPARTMENT OF LABOR
REVIEW AND CERTIFICATION
PROCEDURES FOR RURAL INDUS-
TRIALIZATION LOAN AND GRANT
PROGRAMS UNDER THE CON-
SOLIDATED FARM AND RURAL
DEVELOPMENT ACT OF 1972**

Sec.

75.1 Introduction.

75.11 Standards for the review of applica-
tions.

AUTHORITY: Sec. 118, Pub. L. 92-419, 86 Stat.
663 (7 U.S.C. 1932).

§ 75.1 Introduction.

(a) Section 118 of the Consolidated
Farm and Rural Development Act au-
thorizes the Farmers Home Adminis-
tration (FmHA) of the U.S. Depart-
ment of Agriculture to make or guar-
antee loans to finance industrial and
business activities in rural areas
(broadly defined to include any place
with a population of less than 50,000), 7
U.S.C. 1932(d). The Act also permits
FmHA to make grants to public bodies
for measures designed to facilitate the
development of private business enter-
prises and for pollution control and
abatement projects.

(b) As a prior condition for the ap-
proval of such loans, guarantees and
grants, the Act further specifies that
the Secretary of Labor must certify to
the Secretary of Agriculture within 60
days after referral, that the loan or

grant is not calculated to or likely to result in the transfer from one area to another of any employment or business activity provided by operations of the applicant and is not calculated to or likely to result in an increase in the production of goods, materials, or commodities, or the availability of services or facilities in the area, when there is not sufficient demand for such goods, materials, commodities, services, or facilities, to employ the efficient capacity of existing competitive commercial or industrial enterprises, unless such financial or other assistance will not have an adverse effect upon existing competitive enterprises in the area. Responsibility within the Department of Labor (DOL) for the review and certification process has been assigned to the Manpower Administration (MA).

(c) The following procedures have been established by the Department of Labor in consultation with the Department of Agriculture for the issuance of labor certifications under this program. These procedures are designed to insure the orderly and expeditious review of the applications, with the objective of complying with the intent of the Congress that most applications will be acted upon by the Department of Labor (DOL) within 30 days after they have been received from the Department of Agriculture. It is anticipated that the procedure will permit completion of all cases within the 60-day legal maximum processing period permitted under the law.

[40 FR 4394, Jan. 29, 1975]

§ 75.11 Standards for the review of applications.

(a) *Applications to be routinely approved without field review.* The following types of applications will be routinely approved and certified by the Manpower Administration (MA), provided that the required information is submitted by the applicant:

(1) *Loans which involve the change of ownership from one person or group to another or the refinancing of an existing loan.* Provided, That such loans will not result in any transfer from one area to another of any employment or business activity provided by operations of the applicant and are not calculated to or likely to result in an in-

crease in the production of goods, materials, or commodities, or the availability of services, or facilities, to employ the efficient capacity of existing competitive commercial or industrial enterprise. In transmitting such applications to MA, FmHA will include:

(i) A letter of transmittal stating the name and location of the applicant and the amount of the loan, and certifying that the loan is either for the purpose of financing the sale of the business or for the purpose of refinancing a loan and is not calculated to or likely to result in the transfer or expansion of employment or operations:

(ii) Three copies of Form FHA 449-22, Certification of Non-Relocation; and

(iii) Three copies of Form FHA 449-23, Data Information Sheet. MA will issue an affirmative certification on such applications, without further review, within 10 working days.

(2) *Loans of less than \$100,000 where the loan proceeds are expected to result in the employment of not more than five workers.* In such instances, the FmHA transmittal letter will call attention to the fact that the application involved falls within this category. This should be supported by data in the revised Forms FHA 449-22 and 449-23 to be forwarded in triplicate to the DOL. For loan applications in this category, the FmHA will also attach a certification signed by the State FmHA director indicating that he has reviewed the loan application and certifying that such a loan is not calculated to or likely to result in the transfer from one area to another of any employment or business activity provided by operations of the applicant and is not calculated to or likely to result in an increase in the production of goods, materials, or commodities, or the availability of services or facilities, when there is not sufficient demand for such goods, materials, commodities, services, or facilities in the area, to employ the efficient capacity of existing competitive commercial or industrial enterprises, unless such financial or other assistance will not have an adverse effect upon existing competitive enterprises in the area. Unless there is other evidence to indicate an adverse effect on unemployment or competitive business enterprises, MA will accept this certificate and accompanying

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forms as the basis for an affirmative certification without further review and will so certify within 10 working days after receipt.

(3) *Grants where there are no known current or future occupants.* In the case of such applications, e.g., a county's proposal to build an industrial park, FmHA will send a transmittal letter to MA stating the name and location of the applicant, and the amount and purpose of the grant, and certifying that there are no known current or future occupants. FmHA will also forward with the letter a resolution or other statement from the local governing body agreeing to a *prior* review and certification by MA of any person or organization which may occupy all or part of the facility within 3 years from the date of the certification, to insure that the requirements of the Act are being complied with. MA will, within 10 days after receipt of such applications, issue an affirmative certification conditional upon the right of review and certification of each potential occupant within the 3-year period.

(4) *Grants where the occupants are known,* and the improvement will not result in a transfer or increase in operations or employment by the occupants. The FmHA transmittal letter shall provide, in addition to the information specified in paragraph (a)(3) of this section, the names of the occupants and a statement that this grant is not calculated to or likely to result in a transfer or increase in operations or employment. The applicant shall also be required to submit the same type of resolution as that specified in paragraph (a)(3) of this section. On the receipt of such data, MA will issue a certification on the grant application and will certify the known occupants as well. The certification may require, however, that additional occupants or a change in occupants within the first 3 years after certification is subject to review and a redetermination.

(b) *Applications which will require field or other review.* (1) All loan and grant applications other than those specified in paragraph (a) of this section will be subject to a full review by the MA prior to the issuance of a certification. For each loan application, the FmHA shall submit to MA:

(i) A letter of transmittal stating the name and location of the applicant and the amount of the loan;

(ii) Six copies of the Certificate of Non-Relocation (Form FHA 449-22);

(iii) Six copies of the Data Information Sheet (Form FHA 449-23); and

(iv) Any supplemental information, including A-95 Clearinghouse reports, which FmHA believes may be of value to MA in evaluating the application.

For grant applications, the letter of transmittal shall also provide information about the purpose of the grant. Two copies of a resolution or other statement of the type specified under paragraph (a)(3) of this section shall also be submitted with each grant application.

(2) Upon receipt of applications, MA will review the materials for completeness and will inform FmHA in writing of any missing items within 2 working days after the date of receipt. It is agreed that in such instances the statutory 60-day period will not begin until the file is complete. State Employment Security Agencies will be requested, through the MA regional offices, to provide labor market information needed to determine whether the loan would result in adverse competitive effect upon existing competitive enterprises in the area. Comments will be due in the MA national office 3 weeks after receipt of the request in the MA regional offices.

(3) To assist in the review process, DOL will publish in the FEDERAL REGISTER a weekly listing of applications received (other than those to be routinely certified). The listing will include the name and location (City and State) of the applicant and the principal product or type of business activity. In the case of grant applications, the listing will also include the name and principal product or business activity of the occupant(s) of the facility for which the grant is being made. All interested parties will be afforded a 2-week period from the date of publication to comment in writing to MA. In the event that adverse comments are received, the applicant will be sent copies of such comments by certified mail, and afforded an opportunity to provide such additional information as the applicant deems appropriate within

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2 weeks from the date of transmittal. The Farmers Home Administration will also be provided with copies of such adverse comments.

(4) In some instances, involving particularly complex situations, MA may request the Economic Development Administration (EDA) in the Department of Commerce, or other agencies to provide supplemental data. The number of such requests will depend upon the extent to which the DOL is capable of making resources available to EDA or other agencies to perform this function.

(5) When all the data have been assembled, a determination will be made by MA of whether the requested certifications may be certified or denied. FmHA will be notified in writing of the determination. If DOL's investigation indicates the need for additional information, all material will be returned to FmHA with instructions indicating the additional information needed to make a certification. Continuation of the 60-day time limit will begin again when the additional material is returned to Labor.

(6) All denials will be given additional consideration if the applicant or the Department of Agriculture provides additional evidence which they believe merits further consideration. If the DOL reaffirms its denial after a review of all available facts and such additional investigation as it may make, such denial shall be considered as final.

[40 FR 4394, Jan. 29, 1975]

PART 90—CERTIFICATION OF ELIGIBILITY TO APPLY FOR WORKER ADJUSTMENT ASSISTANCE

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Subpart B—Petitions and Determinations of Eligibility to Apply for Adjustment Assistance

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- 90.12 Investigation.
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AUTHORITY: 19 U.S.C. 2320; Secretary's Order No. 3-81, 46 FR 31117.

SOURCE: 42 FR 32772, June 28, 1977, unless otherwise noted.

Subpart A—General

§ 90.1 Purpose.

The purpose of this part 90 is to set forth regulations relating to the responsibilities vested in the Secretary of Labor by the Trade Act of 1974 (Pub. L. 93-618), as amended, concerning petitions and determinations of eligibility to apply for worker adjustment assistance. Section 248 of the Act directs the Secretary of Labor to prescribe regulations which will implement the provisions relating to adjustment assistance for workers. This part will provide for the prompt and effective disposition of workers' petitions for certification of eligibility to apply for adjustment assistance.

[52 FR 23401, June 19, 1987]

§ 90.2 Definitions.

As used in this part, the term:

Act means the Trade Act of 1974, Public Law 93-618, 88 Stat. 1978, 2011-2030 (19 U.S.C. 2271-2321, 2395), as amended.

Appropriate subdivision means an establishment in a multi-establishment firm which produces the domestic articles in question or a distinct part or section of an establishment (whether