### Subpart D—Inpatient Psychiatric Services for Individuals Under Age 21 in Psychiatric Facilities or Programs

### § 441.150 Basis and purpose.

This subpart specifies requirements applicable if a State provides inpatient psychiatric services to individuals under age 21, as defined in §440.160 of this subchapter and authorized under section 1905 (a)(16) and (h) of the Act.

#### §441.151 General requirements.

- (a) Inpatient psychiatric services for individuals under age 21 must be:
- (1) Provided under the direction of a physician;
  - (2) Provided by-
- (i) A psychiatric hospital or an inpatient psychiatric program in a hospital, accredited by the Joint Commission on Accreditation of Healthcare Organizations; or
- (ii) A psychiatric facility that is not a hospital and is accredited by the Joint Commission on Accreditation of Healthcare Organizations, the Commission on Accreditation of Rehabilitation Facilities, the Council on Accreditation of Services for Families and Children, or by any other accrediting organization with comparable standards that is recognized by the State.
- (3) Provided before the individual reaches age 21, or, if the individual was receiving the services immediately before he or she reached age 21, before the earlier of the following—
- (i) The date the individual no longer requires the services; or
- (ii) The date the individual reaches 22: and
- (4) Certified in writing to be necessary in the setting in which the services will be provided (or are being provided in emergency circumstances) in accordance with § 441.152.
- (b) Inpatient psychiatric services furnished in a psychiatric residential treatment facility as defined in §483.352 of this chapter, must satisfy all requirements in subpart G of part 483 of this chapter governing the use of restraint and seclusion.

[66 FR 7160, Jan. 22, 2001]

## § 441.152 Certification of need for services.

- (a) A team specified in §441.154 must certify that—
- (1) Ambulatory care resources available in the community do not meet the treatment needs of the recipient;
- (2) Proper treatment of the recipient's psychiatric condition requires services on an inpatient basis under the direction of a physician; and
- (3) The services can reasonably be expected to improve the recipient's condition or prevent further regression so that the services will no longer be needed.
- (b) The certification specified in this section and in § 441.153 satisfies the utilization control requirement for physician certification in §§ 456.60, 456.160, and 456.360 of this subchapter.

 $[43\ FR\ 45229,\ Sept.\ 29,\ 1978,\ as\ amended\ at\ 61\ FR\ 38398,\ July\ 24,\ 1996]$ 

# §441.153 Team certifying need for services.

Certification under \$441.152 must be made by terms specified as follows:

- (a) For an individual who is a recipient when admitted to a facility or program, certification must be made by an independent team that—
- (1) Includes a physician;
- (2) Has competence in diagnosis and treatment of mental illness, preferably in child psychiatry; and
- (3) Has knowledge of the individual's situation.
- (b) For an individual who applies for Medicaid while in the facility of program, the certification must be—
- (1) Made by the team responsible for the plan of care as specified in §441.156; and
- (2) Cover any period before application for which claims are made.
- (c) For emergency admissions, the certification must be made by the team responsible for the plan of care (§441.156) within 14 days after admission.

#### §441.154 Active treatment.

Inpatient psychiatric services must involve "active treatment", which means implementation of a professionally developed and supervised individual plan of care, described in § 441.155 that is—