

## Pt. 96, App. B

services or activities to maximize the potential of persons with disabilities, help alleviate the effects of physical, mental or emotional disabilities, and to enable these persons to live in the least restrictive environment possible. Component services or activities may include personal and family counseling; respite care; family support; recreation; transportation; aid to assist with independent functioning in the community; and training in mobility, communication skills, the use of special aids and appliances, and self-sufficiency skills. Residential and medical services may be included only as an integral, but subordinate, part of the services.

### *26. Special Services for Youth Involved in or at Risk of Involvement With Criminal Activity*

Special services for youth involved in or at risk of involvement with criminal activity are those services or activities for youth who are, or who may become, involved with the juvenile justice system and their families. Components services or activities are designed to enhance family functioning and/or modify the youth's behavior with the goal of developing socially appropriate behavior and may include counseling, intervention therapy, and residential and medical services if included as an integral but subordinate part of the service.

### *27. Substance Abuse Services*

Substance abuse services are those services or activities that are primarily designed to deter, reduce, or eliminate substance abuse or chemical dependence. Except for initial detoxification services, medical and residential services may be included but only as an integral but subordinate part of the service. Component substance abuse services or activities may include a comprehensive range of personal and family counseling methods, methadone treatment for opiate abusers, or detoxification treatment for alcohol abusers. Services may be provided in alternative living arrangements such as institutional settings and community-based halfway houses.

### *28. Transportation Services*

Transportation services are those services or activities that provide or arrange for the travel, including travel costs, of individuals in order to access services, or obtain medical care or employment. Component services or activities may include special travel arrangements such as special modes of transportation and personnel to accompany or assist individuals or families to utilize transportation.

### *29. Other Services*

Other Services are services that do not fall within the definitions of the preceding 28 services. The definition used by the State for

## 45 CFR Subtitle A (10-1-07 Edition)

each of these services should appear elsewhere in the annual report.

[58 FR 60128, Nov. 15, 1993]

### APPENDIX B TO PART 96—SSBG REPORTING FORM AND INSTRUCTIONS

#### *Instructions*

This form must be used by states as the reporting instrument to satisfy the requirements of 45 CFR 96.74(a) (1) through (4). Following are instructions on how to complete the form:

#### *General*

1. Enter the name of the state submitting the form.
2. Enter the fiscal year for which the form is being submitted. Either the state or federal fiscal year may be used.
3. Enter the month and year of the beginning and end of the fiscal year—e.g., 07/91 to 06/92.

#### *Services*

4. The "service" column contains a list of services that are to be used for national reporting. This list in no way mandates how a state is to design its program of services under the SSBG, but rather is to be used only to obtain nationally comparable statistics. If the services that your state provides reasonably fit the uniform service definitions in appendix A, use them. In cases where no fit is possible between the state services and the services on the form, use item number 29—the other services category. Please list all services reported under item 29, using a separate sheet if necessary. The state's definition of these services must appear in the state's annual report.

#### *Recipient Data*

In reporting the following data:

- Each state should use its own definitions of the terms "adult" and "child." These definitions should be described elsewhere in the annual report. If the definitions of adult and child vary by services, all such definitions must be included.
- States should, if possible, consider as the "recipient" of the service the individual to whom the service is provided. This means that the child would be considered the recipient of child day care services, even if such services are provided to allow the child's adult caretaker to pursue employment. Similarly, an adult who receives counseling services should be considered as the recipient of that service, even if the service is provided as part of a child's protective services plan. In cases where each member of a family, for example, receives an individual service such as counseling, each family member should be considered as a separate recipient.

- States should, if possible, consider as a service, i.e., a count of one, any service provided to a single recipient for the duration of the reporting period (one year), or any fraction thereof. In cases where an individual received a service during the reporting period, then discontinued the service, and then received the service again, the individual should only be counted once, if possible.

- The criteria applied in determining eligibility for each service—such as income eligibility guidelines, sliding fee scales, the effect of public assistance benefits, and any requirements for enrollment in school or training programs—should be described elsewhere in the annual report.

5. Under "Number of Recipients—Adults" enter the number of adults who have received each service funded in whole or part under the SSBG.

6. Under "Number of Recipients—Children" enter the number of children who have received each service funded in whole or part under the SSBG.

7. Under "Number of Recipients—Total" enter the total number of recipients of each service. This should be the sum of the adults and children reported in the preceding "adult" and "children" columns.

*Expenditure Data*

8. Under "Expenditures—Total \$" enter all funds that the state expends on each service. This should include SSBG funds as well as funds from other federal sources, state funds, and local funds. A listing of the sources of these funds, and the amounts allocated, should appear elsewhere in the annual report.

9. Under "Expenditures—SSBG \$" enter the total SSBG funds expended for each service. This column should be totaled, and the sum placed at the bottom of the column in the "Totals" box.

10. Under "Expenditures—Per Adult" enter the average amount of SSBG funds expended on each adult recipient of each service.

11. Under "Expenditures—Per Child" enter the average amount of SSBG funds expended on each child recipient of each service.

12. Item 30 in the "Total SSBG \$" column should contain other expenditures and income as follows:

a. "Transfers In" should contain funds transferred from other federal block grants to the SSBG program. A listing of the source(s) of block grant funds and their amounts should appear elsewhere in the annual report.

b. "Transfers Out" should show funds transferred from the SSBG program to other federal block grants. A listing of the program(s) to which SSBG funds were transferred, and the amounts, should appear elsewhere in the annual report.

c. "Carry Forward" should show funds the state intends to carry over from the report-

ing fiscal year to the following fiscal year. The SSBG statute permits states two years to expend SSBG funds.

d. "Carry Over" should show funds carried from a previous fiscal year into the current reporting year.

e. "Administrative Costs" should show all other non-service use of SSBG funds—e.g., funds expended for training, licensing activities, or overhead costs.

f. This column should be totaled, and the sum placed at the bottom of the column in the "Totals" box.

13. Under "Provisions Method—Public/Private" enter a check mark on "X" in the appropriate column(s) to indicate whether a service was provided by public agencies or private agencies. In some cases, a given service may have been provided by both methods, in which case both columns would be checked for that service.

14. Enter the name, title, and telephone number of a contact person who can answer questions about the data.

15. Code Column:

Six of the columns on this form have a "C" column to the right of them. These are "Code" columns to permit a state to indicate, for expenditure data, whether each cell of data is A (actual), E (estimated), or S (sampled), and for recipient data, whether the data is based on an unduplicated (U) or duplicated (D) count of recipients. These codes will permit the Department to determine the relative degree of statistical validity of the data. Actual recipient counts and expenditure amounts must be used when available. If actual counts are not available, sampling and/or estimating may be used to derive the numbers in this report. A description of the sampling and/or estimation methods used to derive any data must appear elsewhere in the annual report.

*Report Submission Using PC Diskettes*

States with personal computer (PC) equipment may submit this data using PC diskettes in addition to the hardcopy form which will be included in the complete annual report. Diskettes may be either 5¼Prime; or 3½Prime; data may be submitted using Lotus 1-2-3, Quattro Pro, DBase III or IV, Wordstar, Word Perfect, or ASCII formats. Use of Lotus 1-2-3 is preferred, but any of the other formats listed may be used. If a state wishes to use a format other than one listed here, please call Bryant Tudor on (202) 401-5535 or Frank Burns on (202) 401-5536, or write to the Office of Community Services, Administration for Children and Families, Fourth Floor—East Wing, 370 L'Enfant Promenade, SW., Washington, DC 10447. Use of diskettes can greatly reduce transcription errors and also facilitate processing of the data once received. We anticipate that many states will want to avail themselves of this method of reporting.

**ANNUAL REPORT OF SERVICES FUNDED BY THE  
SOCIAL SERVICES BLOCK GRANT (SSBG)  
FOR FISCAL YEAR 19\_\_**

STATE: \_\_\_\_\_

Report Covers Period of: \_\_\_\_\_ Mo./Yr. to \_\_\_\_\_ Mo./Yr.

Contact: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

Service	Number of Recipients		Total		Expenditures		Provision Method		
	Adults	Children	Total	Total \$	SSBG \$	Per Adult	Per Child	Public	Private
1. Adoption Services									
2. Case Management									
3. Congregate Meals									
4. Counseling Services									
5. Day Care - Adults									
6. Day Care - Children									
7. Educ./Training Services									
8. Employment Services									
9. Family Planning Services									
10. Foster Care Svs. - Adults									
11. Foster Care Svs. - Children									
12. Health Related Services									
13. Home Based Services									
14. Home Delivered Meals									
15. Housing Services									
16. Indeph./Trans. Living Svs.									
17. Info. & Referral Services									
18. Legal Services									
19. Pregnancy & Parenting									
20. Prevention/Intervention									
21. Protective Svs. - Adults									
22. Protective Svs. - Children									
23. Recreation Services									
24. Residential Treatment									
25. Spec. Svs. - Youth at Risk									
26. Special Svs. - Disabled									
27. Substance Abuse Services									
28. Transportation									
29. Other Services									
30. Other Expenditures									
a. Transfers In									
b. Transfers Out									
c. Carry Forward									
d. Carry Over									
e. Administrative Costs									
<b>TOTAL</b>									