

## Nuclear Regulatory Commission

## § 150.2

### PART 150—EXEMPTIONS AND CONTINUED REGULATORY AUTHORITY IN AGREEMENT STATES AND IN OFFSHORE WATERS UNDER SECTION 274

#### GENERAL PROVISIONS

Sec.

- 150.1 Purpose.
- 150.2 Scope.
- 150.3 Definitions.
- 150.4 Communications.
- 150.5 Interpretations.

#### CONTINUED COMMISSION REGULATORY AUTHORITY IN OFFSHORE WATERS

- 150.7 Persons in offshore waters not exempt.
- 150.8 Information collection requirements: OMB approval.

#### EXEMPTIONS IN AGREEMENT STATES

- 150.10 Persons exempt.
- 150.11 Critical mass.

#### CONTINUED COMMISSION REGULATORY AUTHORITY IN AGREEMENT STATES

- 150.14 Commission regulatory authority for physical protection.
- 150.15 Persons not exempt.
- 150.15a Continued Commission authority pertaining to byproduct material.

#### CONTINUED COMMISSION AUTHORITY IN AGREEMENT STATES

- 150.16 Submission to Commission of nuclear material transaction reports.
- 150.17 Submission to Commission of nuclear material status reports.
- 150.17a Compliance with requirements of US/IAEA Safeguards Agreement.
- 150.19 Submission to Commission of tritium reports.

#### RECIPROCITY

- 150.20 Recognition of Agreement State licenses.
- 150.21 Transportation of special nuclear material by aircraft.

#### ENFORCEMENT

- 150.30 Violations.
- 150.31 Requirements for Agreement State regulation of byproduct material.
- 150.32 Funds for reclamation or maintenance of byproduct material.
- 150.33 Criminal penalties.

AUTHORITY: Sec. 161, 68 Stat. 948, as amended, sec. 274, 73 Stat. 688 (42 U.S.C. 2201, 2021); sec. 201, 88 Stat. 1242, as amended (42 U.S.C. 5841); sec. 1704, 112 Stat. 2750 (44 U.S.C. 3504 note); Energy Policy Act of 2005, Pub. L. No. 109-58, 119 Stat. 594 (2005).

Sections 150.3, 150.15, 150.15a, 150.31, 150.32 also issued under secs. 11e(2), 81, 68 Stat. 923, 935, as amended, secs. 83, 84, 92 Stat. 3033, 3039 (42 U.S.C. 2014e(2), 2111, 2113, 2114).

Section 150.14 also issued under sec. 53, 68 Stat. 930, as amended (42 U.S.C. 2073).

Section 150.15 also issued under secs. 135, 141, Pub. L. 97-425, 96 Stat. 2232, 2241 (42 U.S.C. 10155, 10161).

Section 150.17a also issued under sec. 122, 68 Stat. 939 (42 U.S.C. 2152).

Section 150.30 also issued under sec. 234, 83 Stat. 444 (42 U.S.C. 2282).

SOURCE: 27 FR 1352, Feb. 14, 1962, unless otherwise noted.

#### GENERAL PROVISIONS

##### § 150.1 Purpose.

The regulations in this part provide certain exemptions to persons in Agreement States from the licensing requirements contained in chapters 6, 7, and 8 of the Act and from the regulations of the Commission imposing requirements upon persons who receive, possess, use or transfer byproduct material, source, or special nuclear material in quantities not sufficient to form a critical mass; and to define activities in Agreement States and in offshore waters over which the regulatory authority of the Commission continues. The provisions of the Act, and regulations of the Commission apply to all persons in Agreement States and in offshore waters engaging in activities over which the regulatory authority of the Commission continues.

[46 FR 44151, Sept. 3, 1981]

##### § 150.2 Scope.

The regulations in this part apply to all States that have entered into agreements with the Commission or the Atomic Energy Commission pursuant to subsection 274b of the Act. This part also gives notice to all persons who knowingly provide to any licensee, applicant for a license or certificate or quality assurance program approval, holder of a certificate or quality assurance program approval, contractor, or subcontractor, any components, equipment, materials, or other goods or services that relate to a licensee's, certificate holder's, quality assurance program approval holder's or applicant's activities subject to this part, that they may be individually subject to

### § 150.3

### 10 CFR Ch. I (1–1–10 Edition)

NRC enforcement action for violation of §§ 30.10, 40.10, 70.10 and 71.11.

[63 FR 1901, Jan. 13, 1998]

#### § 150.3 Definitions.

As used in this part:

*Act* means the Atomic Energy Act of 1954 (68 Stat. 919) including any amendments thereto;

*Agreement State* means any State with which the Commission or the Atomic Energy Commission has entered into an effective agreement under subsection 274b of the Act. *Nonagreement State* means any other State.

*Byproduct material* means—

(1) Any radioactive material (except special nuclear material) yielded in, or made radioactive by, exposure to the radiation incident to the process of producing or using special nuclear material;

(2) The tailings or wastes produced by the extraction or concentration of uranium or thorium from ore processed primarily for its source material content, including discrete surface wastes resulting from uranium solution extraction processes. Underground ore bodies depleted by these solution extraction operations do not constitute “byproduct material” within this definition;

(3)(i) Any discrete source of radium-226 that is produced, extracted, or converted after extraction, before, on, or after August 8, 2005, for use for a commercial, medical, or research activity; or

(ii) Any material that—

(A) Has been made radioactive by use of a particle accelerator; and

(B) Is produced, extracted, or converted after extraction, before, on, or after August 8, 2005, for use for a commercial, medical, or research activity; and

(4) Any discrete source of naturally occurring radioactive material, other than source material, that—

(i) The Commission, in consultation with the Administrator of the Environmental Protection Agency, the Secretary of Energy, the Secretary of Homeland Security, and the head of any other appropriate Federal agency, determines would pose a threat similar to the threat posed by a discrete source of radium-226 to the public health and

safety or the common defense and security; and

(ii) Before, on, or after August 8, 2005, is extracted or converted after extraction for use in a commercial, medical, or research activity.

*Commission* means the Nuclear Regulatory Commission or its duly authorized representatives;

*Discrete source* means a radionuclide that has been processed so that its concentration within a material has been purposely increased for use for commercial, medical, or research activities.

*Foreign obligations* means the commitments entered into by the U.S. Government under Atomic Energy Act (AEA) section 123 agreements for cooperation in the peaceful uses of atomic energy. Imports and exports of material or equipment pursuant to such agreements are subject to these commitments, which in some cases involve an exchange of information on imports, exports, retransfers with foreign governments, peaceful end-use assurances, and other conditions placed on the transfer of the material or equipment. The U.S. Government informs the licensee of obligations attached to material.

*Government agency* means any executive department, commission, independent establishment, corporation, wholly or partly owned by the United States of America which is an instrumentality of the United States, or any board, bureau, division, service, office, officer, authority, administration, or other establishment in the executive branch of the Government.

*Offshore waters* means that area of land and water, beyond Agreement States’ Submerged Lands Act jurisdiction, on or above the U.S. Outer Continental Shelf.

*Person* means: (1) Any individual, corporation, partnership, firm, association, trust, estate, public or private institution, group, agency, and State or any political subdivision of any political entity within a State, and any legal successor, representative, agent, or agency of the foregoing other than Government agencies;

*Production facility* means:

(1) Any equipment or device determined by rule of the Commission to be