

§ 745.111

10 CFR Ch. III (1–1–10 Edition)

Protections, HHS, or any successor of-
fice.

(b) An IRB may use the expedited re-
view procedure to review either or both
of the following:

(1) Some or all of the research ap-
pearing on the list and found by the re-
viewer(s) to involve no more than mini-
mal risk,

(2) Minor changes in previously ap-
proved research during the period (of
one year or less) for which approval is
authorized.

Under an expedited review procedure,
the review may be carried out by the
IRB chairperson or by one or more ex-
perienced reviewers designated by the
chairperson from among members of
the IRB. In reviewing the research, the
reviewers may exercise all of the au-
thorities of the IRB except that the re-
viewers may not disapprove the re-
search. A research activity may be dis-
approved only after review in accord-
ance with the non-expedited procedure
set forth in § 745.108(b).

(c) Each IRB which uses an expedited
review procedure shall adopt a method
for keeping all members advised of re-
search proposals which have been ap-
proved under the procedure.

(d) The department or agency head
may restrict, suspend, terminate, or
choose not to authorize an institu-
tion's or IRB's use of the expedited re-
view procedure.

[56 FR 28012, 28018, June 18, 1991, as amended
at 70 FR 36328, June 23, 2005]

**§ 745.111 Criteria for IRB approval of
research.**

(a) In order to approve research cov-
ered by this policy the IRB shall deter-
mine that all of the following require-
ments are satisfied:

(1) Risks to subjects are minimized:
(i) By using procedures which are con-
sistent with sound research design and
which do not unnecessarily expose sub-
jects to risk, and (ii) whenever appro-
priate, by using procedures already
being performed on the subjects for di-
agnostic or treatment purposes.

(2) Risks to subjects are reasonable
in relation to anticipated benefits, if
any, to subjects, and the importance of
the knowledge that may reasonably be
expected to result. In evaluating risks

and benefits, the IRB should consider
only those risks and benefits that may
result from the research (as distin-
guished from risks and benefits of
therapies subjects would receive even if
not participating in the research). The
IRB should not consider possible long-
range effects of applying knowledge
gained in the research (for example,
the possible effects of the research on
public policy) as among those research
risks that fall within the purview of its
responsibility.

(3) Selection of subjects is equitable.
In making this assessment the IRB
should take into account the purposes
of the research and the setting in
which the research will be conducted
and should be particularly cognizant of
the special problems of research in-
volving vulnerable populations, such as
children, prisoners, pregnant women,
mentally disabled persons, or economi-
cally or educationally disadvantaged
persons.

(4) Informed consent will be sought
from each prospective subject or the
subject's legally authorized representa-
tive, in accordance with, and to the ex-
tent required by § 745.116.

(5) Informed consent will be appro-
priately documented, in accordance
with, and to the extent required by
§ 745.117.

(6) When appropriate, the research
plan makes adequate provision for
monitoring the data collected to en-
sure the safety of subjects.

(7) When appropriate, there are ade-
quate provisions to protect the privacy
of subjects and to maintain the con-
fidentiality of data.

(b) When some or all of the subjects
are likely to be vulnerable to coercion
or undue influence, such as children,
prisoners, pregnant women, mentally
disabled persons, or economically or
educationally disadvantaged persons,
additional safeguards have been in-
cluded in the study to protect the
rights and welfare of these subjects.

§ 745.112 Review by institution.

Research covered by this policy that
has been approved by an IRB may be
subject to further appropriate review
and approval or disapproval by officials