

**§ 1602.1**

- 1602.34 Commission's remedy for political jurisdiction's failure to file report.
- 1602.35 Political jurisdiction's exemption from reporting requirements.
- 1602.36 Schools exemption.
- 1602.37 Additional reporting requirements.

**Subpart K—Records and Inquiries as to Race, Color, National Origin, or Sex**

- 1602.38 Applicability of State or local law.

**Subpart L—Elementary and Secondary School Systems, Districts, and Individual Schools Recordkeeping**

- 1602.39 Records to be made or kept.
- 1602.40 Preservation of records made or kept.

**Subpart M—Elementary-Secondary Staff Information Report**

- 1602.41 Requirement for filing and preserving copy of report.
- 1602.42 Penalty for making of willfully false statements on report.
- 1602.43 Commission's remedy for school systems' or districts' failure to file report.
- 1602.44 School systems' or districts' exemption from reporting requirements.
- 1602.45 Additional reporting requirements.

**Subpart N—Records and Inquiries as to Race, Color, National Origin, or Sex**

- 1602.46 Applicability of State or local law.

**Subpart O—Recordkeeping for Institutions of Higher Education**

- 1602.47 Definition.
- 1602.48 Records to be made or kept.
- 1602.49 Preservation of records made or kept.

**Subpart P—Higher Education Staff Information Report EEO-6**

- 1602.50 Requirement for filing and preserving copy of report.
- 1602.51 Penalty for making of willfully false statements on report.
- 1602.52 Commission's remedy for failure to file.
- 1602.53 Exemption from reporting requirements.
- 1602.54 Additional reporting requirements.

**Subpart Q—Records and Inquiries as to Race, Color, National Origin, or Sex**

- 1602.55 Applicability of State or local law.

**29 CFR Ch. XIV (7-1-10 Edition)**

**Subpart R—Investigation of Reporting or Recordkeeping Violations**

- 1602.56 Investigation of reporting or recordkeeping violations.

AUTHORITY: 42 U.S.C. 2000e-8, 2000e-12; 44 U.S.C. 3501 *et seq.*; 42 U.S.C. 12117; 42 U.S.C. 2000ff-6.

**Subpart A—General**

**§ 1602.1 Purpose and scope.**

Section 709 of title VII (42 U.S.C. 2000e), section 107 of the Americans with Disabilities Act (ADA) (42 U.S.C. 12117), and section 207(a) of the Genetic Information Nondiscrimination Act (GINA) (42 U.S.C. 2000ff-6) require the Commission to establish regulations pursuant to which employers, labor organizations, joint labor-management committees, and employment agencies subject to those Acts shall make and preserve certain records and shall furnish specified information to aid in the administration and enforcement of the Acts.

[74 FR 63983, Dec. 7, 2009]

**§§ 1602.2-1602.6 [Reserved]**

**Subpart B—Employer Information Report**

**§ 1602.7 Requirement for filing of report.**

On or before September 30 of each year, every employer that is subject to title VII of the Civil Rights Act of 1964, as amended, and that has 100 or more employees shall file with the Commission or its delegate executed copies of Standard Form 100, as revised (otherwise known as "Employer Information Report EEO-1") in conformity with the directions set forth in the form and accompanying instructions. Notwithstanding the provisions of §1602.14, every such employer shall retain at all times at each reporting unit, or at company or divisional headquarters, a copy of the most recent report filed for each such unit and shall make the same available if requested by an officer, agent, or employee of the Commission under the authority of section 710 of title VII. Appropriate copies of Standard Form 100 in blank will be supplied to every employer known to

## Equal Employment Opportunity Comm.

## § 1602.13

the Commission to be subject to the reporting requirements, but it is the responsibility of all such employers to obtain necessary supplies of the form from the Commission or its delegate prior to the filing date.

[37 FR 9219, May 6, 1972, as amended at 56 FR 35755, July 26, 1991]

### § 1602.8 Penalty for making of willfully false statements on report.

The making of willfully false statements on Report EEO-1 is a violation of the United States Code, title 18, section 1001, and is punishable by fine or imprisonment as set forth therein.

[31 FR 2833, Feb. 17, 1966]

### § 1602.9 Commission's remedy for employer's failure to file report.

Any employer failing or refusing to file Report EEO-1 when required to do so may be compelled to file by order of a U.S. District Court, upon application of the Commission.

[31 FR 2833, Feb. 17, 1966]

### § 1602.10 Employer's exemption from reporting requirements.

If an employer claims that the preparation or filing of the report would create undue hardship, the employer may apply to the Commission for an exemption from the requirements set forth in this part, according to instruction 5. If an employer is engaged in activities for which the reporting unit criteria described in section 5 of the instructions are not readily adaptable, special reporting procedures may be required. If an employer seeks to change the date for filing its Standard Form 100 or seeks to change the period for which data are reported, an alternative reporting date or period may be permitted. In such instances, the employer should so advise the Commission by submitting to the Commission or its delegate a specific written proposal for an alternative reporting system prior to the date on which the report is due.

[56 FR 35755, July 26, 1991]

### § 1602.11 Additional reporting requirements.

The Commission reserves the right to require reports, other than that des-

ignated as the Employer Information Report EEO-1, about the employment practices of individual employers or groups of employers whenever, in its judgment, special or supplemental reports are necessary to accomplish the purposes of title VII, the ADA, or GINA. Any system for the requirement of such reports will be established in accordance with the procedures referred to in section 709(c) of title VII or section 107 of the ADA and as otherwise prescribed by law.

[31 FR 2833, Feb. 17, 1966, as amended at 56 FR 35755, July 26, 1991; 74 FR 63983, Dec. 7, 2009]

## Subpart C—Recordkeeping by Employers

### § 1602.12 Records to be made or kept.

The Commission has not adopted any requirement, generally applicable to employers, that records be made or kept. It reserves the right to impose recordkeeping requirements upon individual employers or groups of employers subject to its jurisdiction whenever, in its judgment, such records (a) are necessary for the effective operation of the EEO-1 reporting system or of any special or supplemental reporting system as described above; or (b) are further required to accomplish the purposes of title VII, the ADA, or GINA. Such record-keeping requirements will be adopted in accordance with the procedures referred to in section 709(c) of title VII, or section 107 of the ADA, and otherwise prescribed by law.

(Approved by the Office of Management and Budget under control number 3046-0040)

[31 FR 2833, Feb. 17, 1966, as amended at 46 FR 63268, Dec. 31, 1981; 56 FR 35755, July 26, 1991; 74 FR 63983, Dec. 7, 2009]

### § 1602.13 Records as to racial or ethnic identity of employees.

Employers may acquire the information necessary for completion of items 5 and 6 of Report EEO-1 either by visual surveys of the work force, or at their option, by the maintenance of post-employment records as to the identity of employees where the same is permitted by State law. In the latter