§ 97.187

§ 97.187 Change in regulatory status.

- (a) Notification. If a CAIR NO_X opt-in unit becomes a CAIR NO_X unit under §97.104, then the CAIR designated representative shall notify in writing the permitting authority and the Administrator of such change in the CAIR NO_X opt-in unit's regulatory status, within 30 days of such change.
- (b) Permitting authority's and Administrator's actions. (1) If a CAIR NO_X opt-in unit becomes a CAIR NO_X unit under $\S97.104$, the permitting authority will revise the CAIR NO_X opt-in unit's CAIR opt-in permit to meet the requirements of a CAIR permit under $\S97.123$, and remove the CAIR opt-in permit provisions, as of the date on which the CAIR NO_X opt-in unit becomes a CAIR NO_X unit under $\S97.104$.
- (2)(i) The Administrator will deduct from the compliance account of the source that includes the CAIR NO_X optin unit that becomes a CAIR NO_X unit under $\S 97.104$, CAIR NO_X allowances equal in amount to and allocated for the same or a prior control period as:
- (A) Any CAIR NO_X allowances allocated to the CAIR NO_X opt-in unit under §97.188 for any control period after the date on which the CAIR NO_X opt-in unit becomes a CAIR NO_X unit under §97.104; and
- (B) If the date on which the CAIR NO_X opt-in unit becomes a CAIR NO_X unit under §97.104 is not December 31, the CAIR NO_X allowances allocated to the CAIR NO_X opt-in unit under §97.188 for the control period that includes the date on which the CAIR NO_X opt-in unit becomes a CAIR NOx unit under §97.104, multiplied by the ratio of the number of days, in the control period, starting with the date on which the CAIR NO_X opt-in unit becomes a CAIR NOx unit under §97.104 divided by the total number of days in the control period and rounded to the nearest whole allowance as appropriate.
- (ii) The CAIR designated representative shall ensure that the compliance account of the source that includes the CAIR NO $_{\rm X}$ opt-in unit that becomes a CAIR NO $_{\rm X}$ unit under §97.104 contains the CAIR NO $_{\rm X}$ allowances necessary for completion of the deduction under paragraph (b)(2)(i) of this section.
- (3)(i) For every control period after the date on which the CAIR NO_X opt-in

- unit becomes a CAIR NO_X unit under §97.104, the CAIR NO_X opt-in unit will be allocated CAIR NO_X allowances under §97.142.
- (ii) If the date on which the CAIR NO_X opt-in unit becomes a CAIR NO_X unit under §97.104 is not December 31, the following amount of CAIR NO_X allowances will be allocated to the CAIR NO_X opt-in unit (as a CAIR NO_X unit) under (97.142 for the control period that includes the date on which the CAIR NO_X opt-in unit becomes a CAIR NO_X unit under §97.104:
- (A) The amount of CAIR NO_X allowances otherwise allocated to the CAIR NO_X opt-in unit (as a CAIR NO_X unit) under §97.142 for the control period multiplied by;
- (B) The ratio of the number of days, in the control period, starting with the date on which the CAIR NO_X opt-in unit becomes a CAIR NO_X unit under §97.104, divided by the total number of days in the control period; and
- (C) Rounded to the nearest whole allowance as appropriate.

[65 FR 2727, Jan. 18, 2000, as amended at 71 FR 74795, Dec. 13, 2006]

$\$\,97.188$ CAIR NO $_X$ allowance allocations to CAIR NO $_X$ opt-in units.

- (a) Timing requirements. (1) When the CAIR opt-in permit is issued under $\S 97.184(e)$, the permitting authority will allocate CAIR NO_X allowances to the CAIR NO_X opt-in unit, and submit to the Administrator the allocation for the control period in which a CAIR NO_X opt-in unit enters the CAIR NO_X Annual Trading Program under $\S 97.184(g)$, in accordance with paragraph (b) or (c) of this section.
- (2) By no later than October 31 of the control period after the control period in which a CAIR NO_X opt-in unit enters the CAIR NO_X Annual Trading Program under §97.184(g) and October 31 of each year thereafter, the permitting authority will allocate CAIR NO_X allowances to the CAIR NO_X opt-in unit, and submit to the Administrator the allocation for the control period that includes such submission deadline and in which the unit is a CAIR NO_X opt-in unit, in accordance with paragraph (b) or (c) of this section.
- (b) Calculation of allocation. For each control period for which a CAIR $NO_{\rm X}$