

§ 1026.600

**Subpart F—Special Standards of Diligence; Prohibitions; and Special Measures for Futures Commission Merchants and Introducing Brokers in Commodities**

**§ 1026.600 General.**

Futures commission merchants and introducing brokers in commodities are subject to the special standards of diligence; prohibitions; and special measures requirements set forth and cross referenced in this subpart. Futures commission merchants and introducing brokers in commodities should also refer to subpart F of part 1010 of this chapter for special standards of diligence; prohibitions; and special measures contained in that subpart which apply to futures commission merchants and introducing brokers in commodities.

**§ 1026.610 Due diligence programs for correspondent accounts for foreign financial institutions.**

- (a) Refer to § 1010.610 of this chapter.
- (b) [Reserved]

**§ 1026.620 Due diligence programs for private banking accounts.**

- (a) Refer to § 1010.620 of this chapter.
- (b) [Reserved]

**§ 1026.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.**

- (a) Refer to § 1010.630 of this chapter.
- (b) [Reserved]

**§ 1026.640 [Reserved]**

**§ 1026.670 Summons or subpoena of foreign bank records; termination of correspondent relationship.**

- (a) Refer to § 1010.670 of this chapter.
- (b) [Reserved]

**PART 1027—RULES FOR DEALERS IN PRECIOUS METALS, PRECIOUS STONES, OR JEWELS**

**Subpart A—Definitions**

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1027.100 Definitions.

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**Subpart B—Programs**

1027.200 General.  
1027.210 Anti-money laundering programs for dealers in precious metals, precious stones, or jewels.

**Subpart C—Reports Required To Be Made By Dealers in Precious Metals, Precious Stones, or Jewels**

1027.300 General.  
1027.310–1027.320 [Reserved]  
1027.330 Reports relating to currency in excess of \$10,000 received in a trade or business.

**Subpart D—Records Required To Be Maintained By Dealers in Precious Metals, Precious Stones, or Jewels**

1027.400 General.  
1027.410 Recordkeeping.

**Subpart E—Special Information Sharing Procedures To Deter Money Laundering and Terrorist Activity**

1027.500 General.  
1027.520 Special information sharing procedures to deter money laundering and terrorist activity for dealers in precious metals, precious stones, or jewels.  
1027.530 [Reserved]  
1027.540 Voluntary information sharing among financial institutions.

**Subpart F—Special Standards of Diligence; Prohibitions, and Special Measures for Dealers in Precious Metals, Precious Stones, or Jewels**

1027.600–1027.670 [Reserved]  
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SOURCE: 75 FR 65812, Oct. 26, 2010, unless otherwise noted.

**Subpart A—Definitions**

**§ 1027.100 Definitions.**

Refer to § 1010.100 of this chapter for general definitions not noted herein. To the extent there is a differing definition in § 1010.100 of this chapter, the definition in this section is what applies to part 1027. Unless otherwise indicated, for purposes of this part:

(a) *Covered goods* means:

- (1) Jewels (as defined in paragraph (c) of this section);