

## **§51d.7**

### **§ 51d.7 What are the limitations on how award funds may be used?**

*Unallowable Expenses:* The following expenses will not be reimbursed under section 501(m) of the PHS Act:

- (1) Major construction costs;
- (2) Childcare services, unless provided by the institution or entity providing mental health or substance abuse treatment and integral to the treatment program;
- (3) Services outside of the geographic area specified in the application, except to the extent that the precipitating event requires physical relocation of either affected parties or facilities;
- (4) Any mental health or substance abuse services not directly related to the mental health or substance abuse emergency;
- (5) Any expenses that supplant ongoing local, State, Tribal or Federal expenditures; and
- (6) Any other costs unallowable by Federal law or regulation.

### **§ 51d.8 Which other HHS regulations apply to these awards?**

Several other HHS regulations apply to grants under this part. These include, but are not limited to:

- 45 CFR part 16—Procedures of the Departmental Grant Appeals Board
- 45 CFR part 74—Administration of grants
- 45 CFR part 75—Informal grant appeals procedures
- 45 CFR part 76—Debarment and suspension from eligibility for financial assistance
- 45 CFR part 80—Nondiscrimination under programs receiving Federal assistance through the Department of Health and Human Services effectuation of title VI of the Civil Rights Act of 1964
- 45 CFR part 81—Practice and procedure for hearings under part 80 of this title
- 45 CFR part 84—Nondiscrimination on the basis of handicap in programs and activities receiving or benefitting from Federal financial assistance
- 45 CFR part 86—Nondiscrimination on the basis of sex in education programs and activities receiving or

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benefitting from Federal financial assistance

- 45 CFR part 91—Nondiscrimination on the basis of age in HHS programs or activities receiving Federal financial assistance
- 45 CFR part 92—Uniform administrative requirements for grants and cooperative agreements to state and local governments

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### **§ 51d.9 What other conditions apply to these awards?**

Award funding made under this authority is to be supplemental in nature. Consistent with the criteria in § 51d.5 and the certification in § 51d.4(a)(1), such funds will only be made available if no other local, State, Tribal or Federal source is available to adequately address the emergency mental health and/or substance abuse service needs of the impacted community.

### **§ 51d.10 What are the reporting requirements?**

- (a) For immediate awards:
  - (1) A mid-program report only if an Intermediate award application is being prepared and submitted. This report shall be included as part of the Intermediate award application,
  - (2) Quarterly financial status reports of expenditures to date, due 30 days following the end of the reporting period, as permitted by 45 CFR 92.41(b),
  - (3) A final program report, a financial status report, and a final voucher 90 days after the last day of Immediate award services, in accordance with 45 CFR 92.50(b).
- (b) For intermediate awards:
  - (1) Quarterly progress reports, due 30 days following the end of the reporting period, as permitted by 45 CFR 92.40(b),
  - (2) Quarterly financial status reports of expenditures to date, due 30 days following the end of the reporting period, as permitted by 45 CFR 92.41(b),
  - (3) A final program report, to be submitted within 90 days after the end of the program services period, in accordance with 45 CFR 92.50(b),
  - (4) A financial status report, to be submitted within 90 days after the end

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of the program services period, in accordance with 45 CFR 92.50(b),

(5) Such additional reports as the Secretary may require.

(c) The following shall be specifically addressed in final program reports:

- (1) Description of services provided,
- (2) Number of individuals assisted,
- (3) Amount of funding expended and for what purposes,
- (4) Personnel costs,
- (5) Training costs,
- (6) Technical consultation costs,
- (7) Equipment costs,
- (8) Travel and transportation costs, and

(9) A narrative describing lessons learned and exemplary practices, and a description of the transition plan, for how services will be funded or provided when Federal funds have been exhausted.

**PARTS 51e-51g [RESERVED]****PART 52—GRANTS FOR RESEARCH PROJECTS**

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AUTHORITY: 42 U.S.C. 216.

**§ 52.1 To which programs do these regulations apply?**

(a) *General.* The regulations of this party apply to all health-related research project grants administered by the PHS or its components, except for grants for health services research, demonstration, and evaluation projects administered by the Agency for Health Care Policy and Research. These regulations do not apply to research grants that are not for the support of an identified research project (sometimes referred to as general research support grants), grants for the construction or operation of research facilities, grants for prevention or educational pro-

grams, demonstration grants, traineeships, training grants, or to the support of research training under the National Research Service Awards program.

(b) *Specific programs covered.* From time to time the Secretary will publish a list of the research project grant programs covered by this part. The list is for informational purposes only and is not intended to restrict the statement of applicability in paragraph (a) of this section. In addition, information on particular research project grant programs, including applications and instructions, may be obtained from the component of the PHS that administers the program.

[61 FR 55105, Oct. 24, 1996.]

**§ 52.2 Definitions.**

As used in this part:

*Act* means the Public Health Service Act, as amended (42 U.S.C. 201 *et seq.*).

*Grantee* means the institution, organization, individual or other person designated in the grant award document as the responsible legal entity to whom a grant is awarded under this part. The term shall also mean the recipient of a cooperative agreement awarded under this part.

*HHS* means the Department of Health and Human Services.

*Principal investigator* means the individual(s) judged by the applicant organization to have the appropriate level of authority and responsibility to direct the project or program supported by the grant and who is or are responsible for the scientific and technical direction of the project.

*Project* means the particular activity for which funding is sought under this part as described in the application for grant award.

*Public Health Service* and *PHS* means the operating division of the Department that consists of the Agency for Health Care Policy and Research, the Centers for Disease Control and Prevention, the Food and Drug Administration, the Health Resources and Services Administration, the Indian Health Service, the National Institutes of Health, the Office of the Assistant Secretary for Health, the Substance