

**§ 480.116**

**42 CFR Ch. IV (10–1–11 Edition)**

**§ 480.116 Notice to individuals and institutions under review.**

The QIO must establish and implement procedures to provide patients, practitioners, and institutions under review with the following information—

- (a) The title and address of the person responsible for maintenance of QIO information;
- (b) The types of information that will be collected and maintained;
- (c) The general rules governing disclosure of QIO information; and
- (d) The procedures whereby patients, practitioners, and institutions may obtain access to information about themselves.

DISCLOSURE OF NONCONFIDENTIAL INFORMATION

**§ 480.120 Information subject to disclosure.**

Subject to the procedures for disclosure and notice of disclosure specified in §§ 480.104 and 480.105, the QIO must disclose—

- (a) Nonconfidential information to any person upon request, including—
  - (1) The norms, criteria, and standards it uses for initial screening of cases, and for other review activities;
  - (2) Winning technical proposals for contracts from the Department, and winning technical proposals for subcontracts under those contracts (except for proprietary or business information);
  - (3) Copies of documents describing administrative procedures, agreed to between the QIO and institutions or between a QIO and the Medicare intermediary or Medicare carrier;
  - (4) Routine reports submitted by the QIO to CMS to the extent that they do not contain confidential information.
  - (5) Summaries of the proceedings of QIO regular and other meetings of the governing body and general membership except for those portions of the summaries involving QIO deliberations, which are confidential information and subject to the provisions of § 480.139;
  - (6) Public information in its possession;
  - (7) Aggregate statistical information that does not implicitly or explicitly

identify individual patients, practitioners or reviewers;

(8) Quality review study information including summaries and conclusions from which the identification of patients, practitioners and institutions has been deleted; and

(9) Information describing the characteristics of a quality review study, including a study design and methodology.

(b) Aggregate statistical information that does not implicitly or explicitly identify individual patients, practitioners or reviewers, to Federal or State health planning agencies (including Health Systems Agencies and State Health Planning and Development Agencies) in carrying out their health care planning and related activities.

[50 FR 15359, Apr. 17, 1985; 50 FR 41887, Oct. 16, 1985. Redesignated at 64 FR 66279, Nov. 24, 1999, as amended at 69 FR 49267, Aug. 11, 2004]

**§ 480.121 Optional disclosure of non-confidential information.**

A QIO may, on its own initiative, subject to the notification requirements in § 480.105, furnish the information available under § 480.120 to any person, agency, or organization.

[50 FR 15359, Apr. 17, 1985. Redesignated at 64 FR 66279, Nov. 24, 1999, as amended at 69 FR 49267, Aug. 11, 2004]

DISCLOSURE OF CONFIDENTIAL INFORMATION

**§ 480.130 Disclosure to the Department.**

Except as limited by § 480.139(a) and § 480.140 of this subpart, QIOs must disclose to the Department all information requested by the Department in the manner and form requested. The information can include confidential and non-confidential information and requests can include those made by any component of the Department, such as CMS.

[76 FR 26547, May 6, 2011]

**§ 480.131 Access to medical records for the monitoring of QIOs.**

CMS or any person, organization or agency authorized by the Department or Federal statute to monitor a QIO will have access to medical records maintained by institutions or health