

Subpart 37.2—Advisory and Assistance Services

SOURCE: 60 FR 49722, Sept. 26, 1995, unless otherwise noted.

37.200 Scope of subpart.

This subpart prescribes policies and procedures for acquiring advisory and assistance services by contract. The subpart applies to contracts, whether made with individuals or organizations, that involve either personal or nonpersonal services.

37.201 Definition.

Covered personnel means—

- (1) An officer or an individual who is appointed in the civil service by one of the following acting in an official capacity:
 - (i) The President;
 - (ii) A Member of Congress;
 - (iii) A member of the uniformed services;
 - (iv) An individual who is an employee under 5 U.S.C. 2105;
 - (v) The head of a Government-controlled corporation; or
 - (vi) An adjutant general appointed by the Secretary concerned under 32 U.S.C. 709(c).
- (2) A member of the Armed Services of the United States.
- (3) A person assigned to a Federal agency who has been transferred to another position in the competitive service in another agency.

[60 FR 49722, Sept. 26, 1995, as amended at 65 FR 24320, Apr. 25, 2000; 66 FR 2133, Jan. 10, 2001]

37.202 Exclusions.

The following activities and programs are excluded or exempted from the definition of advisory or assistance services:

- (a) Routine information technology services unless they are an integral part of a contract for the acquisition of advisory and assistance services.
- (b) Architectural and engineering services as defined in the Brooks Architect-Engineers Act (40 U.S.C. 1102).
- (c) Research on theoretical mathematics and basic research involving

medical, biological, physical, social, psychological, or other phenomena.

[60 FR 49722, Sept. 26, 1995, as amended at 61 FR 41470, Aug. 8, 1996; 70 FR 57454, Sept. 30, 2005]

37.203 Policy.

(a) The acquisition of advisory and assistance services is a legitimate way to improve Government services and operations. Accordingly, advisory and assistance services may be used at all organizational levels to help managers achieve maximum effectiveness or economy in their operations.

(b) Subject to 37.205, agencies may contract for advisory and assistance services, when essential to the agency's mission, to—

(1) Obtain outside points of view to avoid too limited judgment on critical issues;

(2) Obtain advice regarding developments in industry, university, or foundation research;

(3) Obtain the opinions, special knowledge, or skills of noted experts;

(4) Enhance the understanding of, and develop alternative solutions to, complex issues;

(5) Support and improve the operation of organizations; or

(6) Ensure the more efficient or effective operation of managerial or hardware systems.

(c) Advisory and assistance services shall not be—

(1) Used in performing work of a policy, decision-making, or managerial nature which is the direct responsibility of agency officials;

(2) Used to bypass or undermine personnel ceilings, pay limitations, or competitive employment procedures;

(3) Contracted for on a preferential basis to former Government employees;

(4) Used under any circumstances specifically to aid in influencing or enacting legislation; or

(5) Used to obtain professional or technical advice which is readily available within the agency or another Federal agency.

(d) *Limitation on payment for advisory and assistance services.* Contractors may not be paid for services to conduct evaluations or analyses of any aspect of a proposal submitted for an initial contract award unless—