

APPENDIX C TO PART 224—GUIDELINES FOR ELECTRONIC SUBMISSION OF  
REFLECTORIZATION IMPLEMENTATION COMPLIANCE REPORTS

## Appendix C

Guidelines for Submitting Reflectorization Implementation Compliance Reports  
49 C.F.R. Part 224

The guidelines below are intended to provide freight rolling stock owners specific guidance and directions for the submission of "Reflectorization Implementation Compliance Reports" (Form FRA F 6180.113) when required by 49 CFR Part 224. A freight rolling stock owner may also contact the FRA's Office of Safety, Motive, Power, & Equipment Division, for further guidance on the submission of such reports.

**Who must file a Reflectorization Implementation Compliance Report with FRA?**

- (1) A freight rolling stock owner (as defined at 49 CFR § 224.5) electing to follow the implementation schedule of 49 CFR §§ 224.107(a)(2)(ii) or (b)(2)(ii); or
- (2) A freight rolling stock owner seeking to have existing freight rolling stock grandfathered pursuant to 49 CFR §§ 224.107(a)(3) or (b)(3).

**What are the deadlines for submission of Reflectorization Implementation Compliance Reports?**

In order to take advantage of the flexible implementation schedules provided in 49 CFR § 224.107(a)(2)(ii) or (b)(2)(ii) or to have freight rolling stock grandfathered pursuant to 49 CFR §§ 224.107(a)(3) or (b)(3), a freight rolling stock owner must submit initial and updated Compliance Reports with the Federal Railroad Administration (FRA) as follows:

- (1) An initial Compliance Report no later [INSERT DATE 90 DAYS AFTER PUBLICATION DATE OF FINAL RULE],
- (2) An updated Compliance Report no later than [INSERT DATE 26 MONTHS AFTER EFFECTIVE DATE OF FINAL RULE], and
- (3) An updated Compliance Report no later than December 31 of each year for the duration of the implementation period.

See 49 CFR §§ 224.107(a)(2)(ii), (a)(3), (b)(2)(ii), (b)(3).

**How do I submit a Compliance Report to FRA?**

A Compliance Report may be submitted electronically or by filing a paper copy of completed Form FRA F6180.113 (found in Appendix B to 49 CFR Part 224) by following the directions below. If the electronic media or paper copy does not meet the requirements of 49 CFR Part 224, the entire submission will be returned.

- (1) Paper Submission: Complete Parts I, II, III, IV and/or V of Form FRA F 6180.113 as instructed on the Form and send the completed Form to the following address:

Creative Information Technology, Incorporated (CITI)  
4601 North Fairfax Drive  
Suite 1100  
Arlington, VA 22203  
703-548-3313 Extension 223  
POC: Angelica Mamani

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## (2) Electronic Submission:

- (a) Acceptable Disc Media: CD-R storage media only with 700 MB possible range, 1x-48x speed compatible, 4 ½" diameter (Note: CD-RW storage media cannot be accepted)
- (b) Specific Directions:
- (i) Complete Parts I, II, III, and IV on Form F 6180.113 as instructed on the Form and scan the completed Form FRA F6180.113 onto the disk media into either an Adobe Acrobat format or .jpg format (Note: Forms submitted on CD-RW storage media cannot be accepted and will not be returned); and
- (ii) Following the format below, enter the information required by Part V of Form FRA F6180.113 into a spreadsheet in any one of the following formats: MS Excel, Quattro Pro, RTF, or CVS and save that spreadsheet onto the same CD-R disk media as (i) above.

**Spreadsheet Format:**

Columns on the spreadsheet	Field Name	Size of the Field	Content/Description
A	Car Owner Reporting Code	4 Character	1. The Car Owner Reporting Code found in <b>Part I (Identification)</b> of the OMB F6180.113. 2. Enter this code in <u>Column A</u> of the spreadsheet.
B	Identification Number	6 Numbers	3. Enter all Capital Letters 1. This is the identification number on <b>Part V, (Identification of rail freight rolling stock confirming to 49 CFR Part 224)</b> . 2. This is the numeric unit identification (use leading zeros) 3. Enter this number in <u>Column B</u> of the spreadsheet.
C	Reapplied	1 Character	1. Has this equipment been reported? 2. Enter 'R' ( <i>replacing the check mark required on the form</i> ), if the car/locomotive was reported in Part V of a previous Reflectorization Implementation Compliance Report. 3. Enter 'I', if the car/locomotive has not been reported previously. 4. Entry must be a capital letter 5. Enter this information in <u>Column C</u> of the spreadsheet.
D	Type	1 character	1. Is this a car/locomotive? 2. Enter 'C' ( <i>replacing the check mark required on the form</i> ), if a rail freight rolling stock car. 3. Enter 'L' ( <i>replacing the check mark required on the form</i> ), if a locomotive. 4. Entry must be a capital letter. 5. Enter this information in <u>Column D</u> of the spreadsheet.

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- (ii) Send the CD-R disk media with the relevant information to FRA at the following address:

Creative Information Technology, Incorporated (CITI)  
 4601 North Fairfax Drive  
 Suite 1100  
 Arlington, VA 22203  
 703-548-3313 Extension 223  
 POC: Angelica Mamani

# **PART 225—RAILROAD ACCIDENTS/ INCIDENTS: REPORTS CLASSI- FICATION, AND INVESTIGA- TIONS**

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APPENDIX A TO PART 225—SCHEDULE OF CIVIL  
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APPENDIX B TO PART 225—PROCEDURE FOR DE-  
TERMINING REPORTING THRESHOLD

AUTHORITY: 49 U.S.C. 103, 322(a), 20103,  
20107, 20901–20902, 21301, 21302, 21311; 28 U.S.C.  
2461, note; and 49 CFR 1.49.

SOURCE: 39 FR 43224, Dec. 11, 1974, unless  
otherwise noted.

## **§ 225.1 Purpose.**

The purpose of this part is to provide the Federal Railroad Administration with accurate information concerning the hazards and risks that exist on the Nation's railroads. FRA needs this information to effectively carry out its regulatory responsibilities under 49 U.S.C. chapters 201–213. FRA also uses this information for determining comparative trends of railroad safety and to develop hazard elimination and risk reduction programs that focus on pre-

venting railroad injuries and accidents. Any State may require railroads to submit to it copies of accident/incident and injury/illness reports filed with FRA under this part, for accidents/incidents and injuries/illnesses which occur in that State.

[75 FR 68903, Nov. 9, 2010]

## **§ 225.3 Applicability.**

(a) Except as provided in paragraphs (b), (c), and (d), this part applies to all railroads except—

(1) A railroad that operates freight trains only on track inside an installation which is not part of the general railroad system of transportation or that owns no track except for track that is inside an installation that is not part of the general railroad system of transportation and used for freight operations.

(2) Rail mass transit operations in an urban area that are not connected with the general railroad system of transportation.

(3) A railroad that exclusively hauls passengers inside an installation that is insular or that owns no track except for track used exclusively for the hauling of passengers inside an installation that is insular. An operation is not considered insular if one or more of the following exists on its line:

(i) A public highway-rail grade crossing that is in use;

(ii) An at-grade rail crossing that is in use;

(iii) A bridge over a public road or waters used for commercial navigation; or

(iv) A common corridor with a railroad, *i.e.*, its operations are within 30 feet of those of any railroad.

(b) The Internal Control Plan requirements in § 225.33(a)(3) through (a)(11) do not apply to—

(1) Railroads that operate or own track on the general railroad system of transportation that have 15 or fewer employees covered by the hours of service law (49 U.S.C. 21101–21107) and

(2) Railroads that operate or own track exclusively off the general system.

(c) The recordkeeping requirements regarding accountable injuries and illnesses and accountable rail equipment