

§ 1023.520

which apply to brokers or dealers in securities.

§ 1023.520 Special information sharing procedures to deter money laundering and terrorist activity for brokers or dealers in securities.

- (a) Refer to §1010.520 of this chapter.
- (b) [Reserved]

§ 1023.530 [Reserved]

§ 1023.540 Voluntary information sharing among financial institutions.

- (a) Refer to §1010.540 of this chapter.
- (b) [Reserved]

Subpart F—Special Standards of Diligence; Prohibitions; and Special Measures for Brokers or Dealers in Securities

§ 1023.600 General.

Brokers or dealers in securities are subject to the special standards of diligence; prohibitions; and special measures requirements set forth and cross referenced in this subpart. Brokers or dealers in securities should also refer to subpart F of part 1010 of this chapter for special standards of diligence; prohibitions; and special measures contained in that subpart which apply to brokers or dealers in securities.

§ 1023.610 Due diligence programs for correspondent accounts for foreign financial institutions.

- (a) Refer to §1010.610 of this chapter.
- (b) [Reserved]

§ 1023.620 Due diligence programs for private banking accounts.

- (a) Refer to §1010.620 of this chapter.
- (b) [Reserved]

§ 1023.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.

- (a) Refer to §1010.630 of this chapter.
- (b) [Reserved]

§ 1023.640 [Reserved]

§ 1023.670 Summons or subpoena of foreign bank records; termination of correspondent relationship.

- (a) Refer to §1010.670 of this chapter.

31 CFR Ch. X (7–1–12 Edition)

- (b) [Reserved]

PART 1024—RULES FOR MUTUAL FUNDS

Subpart A—Definitions

Sec.

- 1024.100 Definitions.

Subpart B—Programs

- 1024.200 General.
- 1024.210 Anti-money laundering programs for mutual funds.
- 1024.220 Customer identification programs for mutual funds.

Subpart C—Reports Required To Be Made By Mutual Funds

- 1024.300 General.
- 1024.310 Reports of transactions in currency.
- 1024.311 Filing obligations.
- 1024.312 Identification required.
- 1024.313 Aggregation.
- 1024.314 Structured transactions.
- 1024.315 Exemptions
- 1024.320 Reports by mutual funds of suspicious transactions.

Subpart D—Records Required To Be Maintained By Mutual Funds

- 1024.400 General.
- 1024.410 Recordkeeping.

Subpart E—Special Information Sharing Procedures To Deter Money Laundering and Terrorist Activity

- 1024.500 General.
- 1024.520 Special information sharing procedures to deter money laundering and terrorist activity for mutual funds.
- 1024.530 [Reserved]
- 1024.540 Voluntary information sharing among financial institutions.

Subpart F—Special Standards of Diligence; Prohibitions, and Special Measures for Mutual Funds

- 1024.600 General.
- 1024.610 Due diligence programs for correspondent accounts for foreign financial institutions.
- 1024.620 Due diligence programs for private banking accounts.
- 1024.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.
- 1024.640–1024.670 [Reserved]