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- (B) The most stringent State or Federal NO_X emissions limitation applicable to the CAIR NO_X Ozone Season optin unit at any time during the control period in which the CAIR NO_X Ozone Season optin unit enters the CAIR NO_X Ozone Season Trading Program under \$97.384(g).
- (iii) The permitting authority will allocate CAIR NO_X Ozone Season allowances to the CAIR NO_X Ozone Season opt-in unit in an amount equaling the heat input under paragraph (c)(1)(i) of this section, multiplied by the NO_X emission rate under paragraph (c)(1)(ii) of this section, divided by 2,000 lb/ton, and rounded to the nearest whole allowance as appropriate.
- (2) For each control period in 2015 and thereafter for which the CAIR $NO_{\rm X}$ Ozone Season opt-in unit is to be allocated CAIR $NO_{\rm X}$ Ozone Season allowances.
- (i) The heat input (in mmBtu) used for calculating the CAIR $NO_{\rm X}$ Ozone Season allowance allocations will be determined as described in paragraph (b)(1) of this section.
- (ii) The NO_X emission rate (in lb/mmBtu) used for calculating the CAIR NO_X Ozone Season allowance allocation will be the lesser of:
 - (A) 0.15 lb/mmBtu;
- (B) The CAIR NO_X Ozone Season optin unit's baseline NO_X emissions rate (in lb/mmBtu) determined under § 97.384(d); or
- (C) The most stringent State or Federal NO_X emissions limitation applicable to the CAIR NO_X Ozone Season optin unit at any time during the control period for which CAIR NO_X Ozone Season allowances are to be allocated.
- (iii) The permitting authority will allocate CAIR NO_X Ozone Season allowances to the CAIR NO_X Ozone Season opt-in unit in an amount equaling the heat input under paragraph (c)(2)(i) of this section, multiplied by the NO_X emission rate under paragraph (c)(2)(ii) of this section, divided by 2,000 lb/ton, and rounded to the nearest whole allowance as appropriate.
- (d) Recordation. If provided in a State implementation plan revision submitted in accordance with §51.123(ee)(3)(i), (ii), or (iii) of this chapter and approved by the Administrator:

- (1) The Administrator will record, in the compliance account of the source that includes the CAIR NO_{X} Ozone Season opt-in unit, the CAIR NO_{X} Ozone Season allowances allocated by the permitting authority to the CAIR NO_{X} Ozone Season opt-in unit under paragraph (a)(1) of this section.
- (2) By September 1 of the control period in which a CAIR NO_X Ozone Season opt-in unit enters the CAIR NO_X Ozone Season Trading Program under §97.384(g) and September 1 of each year thereafter, the Administrator will record, in the compliance account of the source that includes the CAIR NO_X Ozone Season opt-in unit, the CAIR NO_X Ozone Season allowances allocated by the permitting authority to the CAIR NO_X Ozone Season opt-in unit under paragraph (a)(2) of this section.
- APPENDIX A TO SUBPART IIII OF PART 97—STATES WITH APPROVED STATE IMPLEMENTATION PLAN REVISIONS CONCERNING CAIR NO $_{\rm X}$ OZONE SEASON OPT-IN UNITS
- 1. The following States have State Implementation Plan revisions under $\S51.123(ee)(3)$ of this chapter approved by the Administrator and establishing procedures providing for CAIR NO_X Ozone Season opt-in units under subpart IIII of this part and allocation of CAIR NO_X Ozone Season allowances to such units under $\S97.388(b)$:

Indiana

Michigan

North Carolina

Ohio

South Carolina

Tennessee

2. The following States have State Implementation Plan revisions under $\S51.123(ee)(3)$ of this chapter approved by the Administrator and establishing procedures providing for CAIR NO_X Ozone Season opt-in units under subpart IIII of this part and allocation of CAIR NO_X Ozone Season allowances to such units under $\S97.388(c)$:

Indiana Michigan North Carolina Ohio South Carolina

Tennessee

[65 FR 2727, Jan. 18, 2000, as amended at 72 FR 46394, Aug. 20, 2007; 72 FR 56920, Oct. 5, 2007; 72 FR 57215, Oct. 9, 2007; 72 FR 59487, Oct. 22, 2007; 72 FR 72263, Dec. 20, 2007; 73 FR 6041, Feb. 1, 2008]