Environmental Protection Agency

§ 97.621

in my e-mail address unless all delegation of authority by me under 40 CFR 97.620(c)(5) is terminated.’’

(iv) A notice of delegation submitted under paragraph (c)(5)(iii) of this section shall be effective, with regard to the authorized account representative or alternate authorized account representative identified in such notice, upon receipt of such notice by the Administrator and until receipt by the Administrator of a superseding notice of delegation submitted by such authorized account representative or alternate authorized account representative, as appropriate. The superseding notice of delegation may replace any previously identified agent, add a new agent, or eliminate entirely any delegation of authority.

(v) Any electronic submission covered by the certification in paragraph (c)(5)(iii)(D) of this section and made in accordance with a notice of delegation effective under paragraph (c)(5)(iv) of this section shall be deemed to be an electronic submission by the designated representative or alternate designated representative submitting such notice of delegation.

(6) Closing a general account. (i) The authorized account representative or alternate authorized account representative of a general account may submit to the Administrator a request to close the account. Such request shall include a correctly submitted TR SO₂ Group 1 allowance transfer under §97.622 for any TR SO₂ Group 1 allowances in the account to one or more other Allowance Management System accounts.

(ii) If a general account has no TR SO₂ Group 1 allowance transfers to or from the account for a 12-month period or longer and does not contain any TR SO₂ Group 1 allowances, the Administrator may notify the authorized account representative for the account that the account will be closed after 30 days after the notice is sent. The account will be closed after the 30-day period unless, before the end of the 30-day period, the Administrator receives a correctly submitted TR SO₂ Group 1 allowance transfer under §97.622 to the account or a statement submitted by the authorized account representative or alternate authorized account representative demonstrating to the satisfaction of the Administrator good cause as to why the account should not be closed.

(d) Account identification. The Administrator will assign a unique identifying number to each account established under paragraph (a), (b), or (c) of this section.

(e) Responsibilities of authorized account representative and alternate authorized account representative. After the establishment of a compliance account or general account, the Administrator will accept or act on a submission pertaining to the account, including, but not limited to, submissions concerning the deduction or transfer of TR SO₂ Group 1 allowances in the account, only if the submission has been made, signed, and certified in accordance with §§97.614(a) and 97.618 or paragraphs (c)(2)(ii) and (c)(5) of this section.

§ 97.621 Recordation of TR SO₂ Group 1 allowance allocations and auction results.

(a) By November 7, 2011, the Administrator will record in each TR SO₂ Group 1 source’s compliance account the TR SO₂ Group 1 allowances allocated to the TR SO₂ Group 1 units at the source in accordance with §97.611(a) for the control period in 2012.

(b) By November 7, 2011, the Administrator will record in each TR SO₂ Group 1 source’s compliance account the TR SO₂ Group 1 allowances allocated to the TR SO₂ Group 1 units at the source in accordance with §97.611(a) for the control period in 2013, unless the State in which the source is located notifies the Administrator in writing by October 17, 2011 of the State’s intent to submit to the Administrator a complete SIP revision by April 1, 2012 meeting the requirements of §52.39(d)(1) through (4) of this chapter.

(1) If, by April 1, 2012, the State does not submit to the Administrator such complete SIP revision, the Administrator will record by April 15, 2012 in each TR SO₂ Group 1 source’s compliance account the TR SO₂ Group 1 allowances allocated to the TR SO₂
Group 1 units at the source in accordance with §97.611(a) for the control period in 2013.

(2) If the State submits to the Administrator by April 1, 2012, and the Administrator approves by October 1, 2012, such complete SIP revision, the Administrator will record by October 1, 2012 in each TR SO\textsubscript{2} Group 1 source’s compliance account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source as provided in such approved, complete SIP revision for the control period in 2013.

(3) If the State submits to the Administrator by April 1, 2012, and the Administrator does not approve by October 1, 2012, such complete SIP revision, the Administrator will record by October 1, 2012 in each TR SO\textsubscript{2} Group 1 source’s compliance account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 units at the source.

(f) By July 1, 2016 and July 1 of each year thereafter, the Administrator will record in each TR SO\textsubscript{2} Group 1 source’s compliance account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 units at the source.

(g) By August 1, 2012 and August 1 of each year thereafter, the Administrator will record in each TR SO\textsubscript{2} Group 1 source’s compliance account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source.

(h) By August 1, 2012 and August 1 of each year thereafter, the Administrator will record in each TR SO\textsubscript{2} Group 1 source’s compliance account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances auctioned to TR SO\textsubscript{2} Group 1 units at the source.

(i) By February 15, 2013 and February 16 of each year thereafter, the Administrator will record in each TR SO\textsubscript{2} Group 1 source’s compliance account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO\textsubscript{2} Group 1 allowances allocated to the TR SO\textsubscript{2} Group 1 units at the source.
§ 97.624 Compliance with TR SO\textsubscript{2} Group 1 emissions limitation.

(a) Availability for deduction for compliance. TR SO\textsubscript{2} Group 1 allowances are available to be deducted for compliance with a source’s TR SO\textsubscript{2} Group 1 emissions limitation for a control period in a given year only if the TR SO\textsubscript{2} Group 1 allowances:

(1) Were allocated for such control period or a control period in a prior year; and

(2) Are held in the source’s compliance account as of the allowance transfer deadline for such control period.

(b) Deductions for compliance. After the recordation, in accordance with

§ 97.623 Recordation of TR SO\textsubscript{2} Group 1 allowance transfers.

(a) Within 5 business days (except as provided in paragraph (b) of this section) of receiving a TR SO\textsubscript{2} Group 1 allowance transfer that is correctly submitted under §97.622, the Administrator will notify the authorized account representatives of both the transferor and transferee accounts:

(1) A decision not to record the transfer, and

(2) The reasons for such non-recordation.

§ 97.622 Submission of TR SO\textsubscript{2} Group 1 allowance transfers.

(a) An authorized account representative seeking recordation of a TR SO\textsubscript{2} Group 1 allowance transfer shall submit the transfer to the Administrator.

(b) A TR SO\textsubscript{2} Group 1 allowance transfer shall be correctly submitted if:

(1) The transfer includes the following elements, in a format prescribed by the Administrator:

(i) The account numbers established by the Administrator for both the transferor and transferee accounts;

(ii) The serial number of each TR SO\textsubscript{2} Group 1 allowance that is in the transferor account and is to be transferred; and

(iii) The name and signature of the authorized account representative of the transferor account and the date signed; and

(2) When the Administrator attempts to record the transfer, the transferor account includes each TR SO\textsubscript{2} Group 1 allowance identified by serial number in the transfer.

§ 97.624 Compliance with TR SO\textsubscript{2} Group 1 emissions limitation.

(a) Availability for deduction for compliance. TR SO\textsubscript{2} Group 1 allowances are available to be deducted for compliance with a source’s TR SO\textsubscript{2} Group 1 emissions limitation for a control period in a given year only if the TR SO\textsubscript{2} Group 1 allowances:

(1) Were allocated for such control period or a control period in a prior year; and

(2) Are held in the source’s compliance account as of the allowance transfer deadline for such control period.

(b) Deductions for compliance. After the recordation, in accordance with