

**Department of Health and Human Services****§ 164.103**

- 164.408 Notification to the Secretary.
- 164.410 Notification by a business associate.
- 164.412 Law enforcement delay.
- 164.414 Administrative requirements and burden of proof.

**Subpart E—Privacy of Individually Identifiable Health Information**

- 164.500 Applicability.
- 164.501 Definitions.
- 164.502 Uses and disclosures of protected health information: General rules.
- 164.504 Uses and disclosures: Organizational requirements.
- 164.506 Uses and disclosures to carry out treatment, payment, or health care operations.
- 164.508 Uses and disclosures for which an authorization is required.
- 164.510 Uses and disclosures requiring an opportunity for the individual to agree or to object.
- 164.512 Uses and disclosures for which an authorization or opportunity to agree or object is not required.
- 164.514 Other requirements relating to uses and disclosures of protected health information.
- 164.520 Notice of privacy practices for protected health information.
- 164.522 Rights to request privacy protection for protected health information.
- 164.524 Access of individuals to protected health information.
- 164.526 Amendment of protected health information.
- 164.528 Accounting of disclosures of protected health information.
- 164.530 Administrative requirements.
- 164.532 Transition provisions.
- 164.534 Compliance dates for initial implementation of the privacy standards.

AUTHORITY: 42 U.S.C. 1320d–1320d–8; sec. 264, Public Law 104–191, 110 Stat. 2033–2034 (42 U.S.C. 1320–2 (note)); secs. 13400 and 13402, Public Law 111–5, 123 Stat. 258–263.

SOURCE: 65 FR 82802, Dec. 28, 2000, unless otherwise noted.

**Subpart A—General Provisions****§ 164.102 Statutory basis.**

The provisions of this part are adopted pursuant to the Secretary's authority to prescribe standards, requirements, and implementation specifications under part C of title XI of the Act, section 264 of Public Law 104–191, and section 13402 of Public Law 111–5.

[74 FR 42767, Aug. 24, 2009]

**§ 164.103 Definitions.**

As used in this part, the following terms have the following meanings:

*Common control* exists if an entity has the power, directly or indirectly, significantly to influence or direct the actions or policies of another entity.

*Common ownership* exists if an entity or entities possess an ownership or equity interest of 5 percent or more in another entity.

*Covered functions* means those functions of a covered entity the performance of which makes the entity a health plan, health care provider, or health care clearinghouse.

*Health care component* means a component or combination of components of a hybrid entity designated by the hybrid entity in accordance with § 164.105(a)(2)(iii)(C).

*Hybrid entity* means a single legal entity:

- (1) That is a covered entity;
- (2) Whose business activities include both covered and non-covered functions; and
- (3) That designates health care components in accordance with paragraph § 164.105(a)(2)(iii)(C).

*Law enforcement official* means an officer or employee of any agency or authority of the United States, a State, a territory, a political subdivision of a State or territory, or an Indian tribe, who is empowered by law to:

- (1) Investigate or conduct an official inquiry into a potential violation of law; or
- (2) Prosecute or otherwise conduct a criminal, civil, or administrative proceeding arising from an alleged violation of law.

*Plan sponsor* is defined as defined at section 3(16)(B) of ERISA, 29 U.S.C. 1002(16)(B).

*Required by law* means a mandate contained in law that compels an entity to make a use or disclosure of protected health information and that is enforceable in a court of law. *Required by law* includes, but is not limited to, court orders and court-ordered warrants; subpoenas or summons issued by a court, grand jury, a governmental or tribal inspector general, or an administrative body authorized to require the production of information; a civil or an