

## Environmental Protection Agency

## § 86.016-1

Act, or other applicable provisions of law.

(iv) Manufacturers will not have any emissions warranty, in-use compliance, defect reporting or recall liability for service on a heavy-duty engine that is not undertaken by the manufacturer, for any damage caused by their own tools in the hands of independent service providers, or for the use and misuse of third party tools.

[74 FR 8408, Feb. 24, 2009, as amended at 75 FR 22978, Apr. 30, 2010]

### § 86.012-2 Definitions.

The definitions of § 86.010-2 continue to apply to model year 2010 and later model year vehicles. The definitions listed in this section apply beginning with model year 2012. *Urban bus* means a passenger-carrying vehicle with a load capacity of fifteen or more passengers and intended primarily for intracity operation, *i.e.*, within the confines of a city or greater metropolitan area. Urban bus operation is characterized by short rides and frequent stops. To facilitate this type of operation, more than one set of quick-operating entrance and exit doors would normally be installed. Since fares are usually paid in cash or tokens, rather than purchased in advance in the form of tickets, urban buses would normally have equipment installed for collection of fares. Urban buses are also typically characterized by the absence of equipment and facilities for long distance travel, *e.g.*, rest rooms, large luggage compartments, and facilities for stowing carry-on luggage.

[76 FR 57375, Sept. 15, 2011]

### § 86.016-1 General applicability.

(a) *Applicability.* The provisions of this subpart generally apply to 2005 and later model year new Otto-cycle heavy-duty engines used in incomplete vehicles and vehicles above 14,000 pounds GVWR and 2005 and later model year new diesel-cycle heavy-duty engines. In cases where a provision applies only to a certain vehicle group based on its model year, vehicle class, motor fuel, engine type, or other distinguishing characteristics, the limited applicability is cited in the appropriate section or paragraph. The provisions of

this subpart continue to generally apply to 2000 and earlier model year new Otto-cycle and diesel-cycle light-duty vehicles, 2000 and earlier model year new Otto-cycle and diesel-cycle light-duty trucks, and 2004 and earlier model year new Otto-cycle complete heavy-duty vehicles at or below 14,000 pounds GVWR. Provisions generally applicable to 2001 and later model year new Otto-cycle and diesel-cycle light-duty vehicles, 2001 and later model year new Otto-cycle and diesel-cycle light-duty trucks, and 2005 and later model year Otto-cycle complete heavy-duty vehicles at or below 14,000 pounds GVWR are located in subpart S of this part.

(b) *Optional applicability.* A manufacturer may request to certify any incomplete Otto-cycle heavy-duty vehicle of 14,000 pounds Gross Vehicle Weight Rating or less in accordance with the provisions for Otto-cycle complete heavy-duty vehicles located in subpart S of this part. Heavy-duty engine or heavy-duty vehicle provisions of this subpart A do not apply to such a vehicle.

(c) *Otto-cycle heavy-duty engines and vehicles.* The following requirements apply to Otto-cycle heavy-duty engines and vehicles:

(1) Exhaust emission standards according to the provisions of § 86.008-10 or § 86.1816, as applicable.

(2) On-board diagnostics requirements according to the provisions of § 86.007-17 or § 86.1806, as applicable.

(3) Evaporative emission standards as follows:

(i) Evaporative emission standards for complete vehicles according to the provisions of §§ 86.1810 and 86.1816.

(ii) For 2013 and earlier model years, evaporative emission standards for incomplete vehicles according to the provisions of § 86.008-10, or §§ 86.1810 and 86.1816, as applicable.

(iii) For 2014 and later model years, evaporative emission standards for incomplete vehicles according to the provisions of §§ 86.1810 and 86.1816, or 40 CFR part 1037, as applicable.

(4) Refueling emission requirements for Otto-cycle complete vehicles according to the provisions of §§ 86.1810 and 86.1816.

(d) *Non-petroleum fueled vehicles.* The standards and requirements of this part apply to model year 2016 and later non-petroleum fueled motor vehicles as follows:

(1) The standards and requirements of this part apply as specified for vehicles fueled with methanol, natural gas, and LPG.

(2) The standards and requirements of subpart S of this part apply as specified for light-duty vehicles and light-duty trucks.

(3) The standards and requirements of this part applicable to methanol-fueled heavy-duty vehicles and engines (including flexible fuel vehicles and engines) apply to heavy-duty vehicles and engines fueled with any oxygenated fuel (including flexible fuel vehicles and engines). Most significantly, this means that the hydrocarbon standards apply as NMHCE and the vehicles and engines must be tested using the applicable oxygenated fuel according to the test procedures in 40 CFR part 1065 applicable for oxygenated fuels. For purposes of this paragraph (d), oxygenated fuel means any fuel containing at least 50 volume percent oxygenated compounds. For example, a fuel mixture of 85 gallons of ethanol and 15 gallons of gasoline is an oxygenated fuel, while a fuel mixture of 15 gallons of ethanol and 85 gallons of gasoline is not an oxygenated fuel.

(4) The standards and requirements of subpart S of this part applicable to heavy-duty vehicles under 14,000 pounds GVWR apply to all heavy-duty vehicles powered solely by electricity, including plug-in electric vehicles and solar-powered vehicles. Use good engineering judgment to apply these requirements to these vehicles, including applying these provisions to vehicles over 14,000 pounds GVWR. Electric heavy-duty vehicles may not generate NO<sub>x</sub> or PM emission credits. Heavy-duty vehicles powered solely by electricity are deemed to have zero emissions of regulated pollutants.

(5) The standards and requirements of this part applicable to diesel-fueled heavy-duty vehicles and engines apply to all other heavy-duty vehicles and engines not otherwise addressed in this paragraph (d).

(6) See 40 CFR parts 1036 and 1037 for requirements related to greenhouse gas emissions.

(7) Manufacturers may voluntarily certify to the standards of paragraphs (d)(3) through (5) of this section before model year 2016. Note that other provisions in this part require compliance with the standards described in paragraphs (d)(1) and (2) of this section for model years before 2016.

(e) *Small volume manufacturers.* Special certification procedures are available for any manufacturer whose projected combined U.S. sales of light-duty vehicles, light-duty trucks, heavy-duty vehicles, and heavy-duty engines in its product line (including all vehicles and engines imported under the provisions of 40 CFR 85.1505 and 85.1509) are fewer than 10,000 units for the model year in which the manufacturer seeks certification. To certify its product line under these optional procedures, the small-volume manufacturer must first obtain the Administrator's approval. The manufacturer must meet the eligibility criteria specified in §86.098-14(b) before the Administrator's approval will be granted. The small-volume manufacturer's certification procedures are described in §86.098-14.

(f) *Optional procedures for determining exhaust opacity.* (1) The provisions of subpart I of this part apply to tests which are performed by the Administrator, and optionally, by the manufacturer.

(2) Measurement procedures, other than those described in subpart I of this part, may be used by the manufacturer provided the manufacturer satisfies the requirements of §86.007-23(f).

(3) When a manufacturer chooses to use an alternative measurement procedure, it has the responsibility to determine whether the results obtained by the procedure will correlate with the results which would be obtained from the measurement procedure in subpart I of this part. Consequently, the Administrator will not routinely approve or disapprove any alternative opacity measurement procedure or any associated correlation data which the manufacturer elects to use to satisfy the data requirements for subpart I of this part.

(4) If a confirmatory test is performed and the results indicate there is a systematic problem suggesting that the data generated under an optional alternative measurement procedure do not adequately correlate with data obtained in accordance with the procedures described in subpart I of this part, EPA may require that all certificates of conformity not already issued be based on data obtained from procedures described in subpart I of this part.

[76 FR 57375, Sept. 15, 2011]

#### § 86.078-3 Abbreviations.

The abbreviations in this section apply to this subpart and also to subparts B, D, H, I, J, N, O and P of this part and have the following meanings:

accel.—acceleration.  
 AECD—Auxiliary emission control device.  
 API—American Petroleum Institute.  
 ASTM—American Society for Testing and Materials.  
 BHP—Brake horsepower.  
 BSCO—Brake specific carbon monoxide.  
 BSHC—Brake specific hydrocarbons.  
 BSN<sub>x</sub>—Brake specific oxides of nitrogen.  
 C—Celsius.  
 cfm—cubic feet per hour.  
 CFV—Critical flow venturi.  
 CFV-CVS—Critical flow venturi—constant volume sampler.  
 CH<sub>4</sub>—methane.  
 CL—Chemiluminescence.  
 CO<sub>2</sub>—carbon dioxide.  
 CO—Carbon monoxide.  
 conc.—concentration.  
 cfm—cubic feet per minute.  
 CT—Closed throttle.  
 cu. in.—cubic inch(es).  
 CVS—Constant volume sampler.  
 decel.—deceleration.  
 EP—End point.  
 evap.—evaporative.  
 F—Fahrenheit.  
 FID—Flame ionization detector.  
 FL—Full load.  
 ft.—feet.  
 g—gram(s).  
 gal.—U.S. gallon(s).  
 GVW—Gross vehicle weight.  
 GVWR—Gross vehicle weight rating.  
 h—hour(s).  
 H<sub>2</sub>O—water.  
 HC—hydrocarbon(s).  
 HFID—Heated flame ionization detector.  
 Hg—mercury.  
 hi—high.  
 hp.—horsepower.  
 IBP—Initial boiling point.  
 ID—Internal diameter.

in.—inch(es).  
 K—kelvin.  
 kg—kilogram(s).  
 km—kilometer(s).  
 kPa—kilopascal(s).  
 lb.—pound(s).  
 lb.-ft.—pound-feet.  
 m—meter(s).  
 max.—maximum.  
 mg—milligram(s).  
 mi.—mile(s).  
 min.—minute(s).  
 ml—milliliter(s).  
 mm—millimeter(s).  
 mph—miles per hour.  
 mv—millivolt(s).  
 N<sub>2</sub>—nitrogen.  
 NDIR—Nondispersive infrared.  
 NO—nitric oxide.  
 NO<sub>2</sub>—nitrogen dioxide.  
 N<sub>2</sub>O—nitrous oxide.  
 NO<sub>x</sub>—oxides of nitrogen.  
 No.—Number.  
 O<sub>2</sub>—oxygen.  
 Pb—lead.  
 pct.—percent.  
 PDP-CVS—Positive displacement pump—constant volume sampler.  
 ppm—parts per million by volume.  
 ppm C—parts per million, carbon.  
 psi—pounds per square inch.  
 psig—pounds per square inch gauge.  
 PTA—Part throttle acceleration.  
 PTD—Part throttle deceleration.  
 R—Rankin.  
 rpm—revolutions per minute.  
 RVP—Reid vapor pressure.  
 s—second(s).  
 SAE—Society of Automotive Engineers.  
 SI—International system of units.  
 sp.—speed.  
 TEL—Tetraethyl lead.  
 TML—Tetramethyl lead.  
 UDDS—Urban dynamometer driving schedule.  
 V—volt(s).  
 vs—versus.  
 W—watt(s).  
 WF—Weighting factor.  
 WOT—Wide open throttle.  
 wt.—weight.  
 '—feet.  
 "—inch(es).  
 °—degree(s).  
 Σ—summation.

[42 FR 32907, June 28, 1977, as amended at 45 FR 4149, Jan. 21, 1980; 74 FR 56373, Oct. 30, 2009]

#### § 86.078-6 Hearings on certification.

(a)(1) After granting a request for a hearing under § 86.084-22, § 86.084-30(b), or § 86.084-30(c), the Administrator shall designate a Presiding Officer for the hearing.