§ 249.1300T
Finding Aids section of the printed volume and at www.fdsys.gov.

§ 249.1300T Form MA–T, for temporary registration as a municipal advisor, and for amendments to, and withdrawals from, temporary registration.

The form shall be used for temporary registration as a municipal advisor, and for amendments to, and withdrawals from, temporary registration pursuant to Section 15B of the Exchange Act, (15 U.S.C. 78o-4).

Effective Date Note: At 78 FR 67639, Nov. 12, 2013, § 249.1300T was removed effective Jan. 1, 2015.

Editorial Note: For Federal Register citations affecting Form MA–T, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

§ 249.1310 Form MA–I, for providing information regarding natural person municipal advisors, and for amendments to such information.

The form shall be used for providing information regarding natural person municipal advisors, and for amendments to such information.

Effective Date Note: At 79 FR 2779, Jan. 16, 2014, § 249.1310 was stayed until July 1, 2014.

Editorial Note: For Federal Register citations affecting Form MA–I, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

§ 249.1320 Form MA–W, for withdrawal from registration as a municipal advisor.


Effective Date Note: At 79 FR 2779, Jan. 16, 2014, § 249.1320 was stayed until July 1, 2014.

Editorial Note: For Federal Register citations affecting Form MA–W, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

Subpart O—Forms for Securitizers of Asset-Backed Securities


This form shall be used for reports of information required by Rule 15Ga–1 (§ 240.15Ga–1 of this chapter).

[76 FR 4515, Jan. 26, 2011]

Editorial Note: For Federal Register citations affecting Form ABS–15G, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

Subpart S—Whistleblower Forms

§ 249.1800 Form TCR, tip, complaint or referral.

This form may be used by anyone wishing to provide the SEC with information concerning a violation of the Federal securities laws. The information provided may be disclosed to Federal, state, local, or foreign agencies.