

which apply to brokers or dealers in securities.

**§ 1023.520 Special information sharing procedures to deter money laundering and terrorist activity for brokers or dealers in securities.**

- (a) Refer to §1010.520 of this chapter.  
(b) [Reserved]

**§ 1023.530 [Reserved]**

**§ 1023.540 Voluntary information sharing among financial institutions.**

- (a) Refer to §1010.540 of this chapter.  
(b) [Reserved]

**Subpart F—Special Standards of Diligence; Prohibitions; and Special Measures for Brokers or Dealers in Securities**

**§ 1023.600 General.**

Brokers or dealers in securities are subject to the special standards of diligence; prohibitions; and special measures requirements set forth and cross referenced in this subpart. Brokers or dealers in securities should also refer to subpart F of part 1010 of this chapter for special standards of diligence; prohibitions; and special measures contained in that subpart which apply to brokers or dealers in securities.

**§ 1023.610 Due diligence programs for correspondent accounts for foreign financial institutions.**

- (a) Refer to §1010.610 of this chapter.  
(b) [Reserved]

**§ 1023.620 Due diligence programs for private banking accounts.**

- (a) Refer to §1010.620 of this chapter.  
(b) [Reserved]

**§ 1023.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.**

- (a) Refer to §1010.630 of this chapter.  
(b) [Reserved]

**§ 1023.640 [Reserved]**

**§ 1023.670 Summons or subpoena of foreign bank records; termination of correspondent relationship.**

- (a) Refer to §1010.670 of this chapter.

(b) [Reserved]

**PART 1024—RULES FOR MUTUAL FUNDS**

**Subpart A—Definitions**

Sec.

1024.100 Definitions.

**Subpart B—Programs**

1024.200 General.

1024.210 Anti-money laundering programs for mutual funds.

1024.220 Customer identification programs for mutual funds.

**Subpart C—Reports Required To Be Made By Mutual Funds**

1024.300 General.

1024.310 Reports of transactions in currency.

1024.311 Filing obligations.

1024.312 Identification required.

1024.313 Aggregation.

1024.314 Structured transactions.

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1024.320 Reports by mutual funds of suspicious transactions.

**Subpart D—Records Required To Be Maintained By Mutual Funds**

1024.400 General.

1024.410 Recordkeeping.

**Subpart E—Special Information Sharing Procedures To Deter Money Laundering and Terrorist Activity**

1024.500 General.

1024.520 Special information sharing procedures to deter money laundering and terrorist activity for mutual funds.

1024.530 [Reserved]

1024.540 Voluntary information sharing among financial institutions.

**Subpart F—Special Standards of Diligence; Prohibitions, and Special Measures for Mutual Funds**

1024.600 General.

1024.610 Due diligence programs for correspondent accounts for foreign financial institutions.

1024.620 Due diligence programs for private banking accounts.

1024.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.

1024.640–1024.670 [Reserved]