

which apply to brokers or dealers in securities.

§ 1023.520 Special information sharing procedures to deter money laundering and terrorist activity for brokers or dealers in securities.

- (a) Refer to §1010.520 of this chapter.
(b) [Reserved]

§ 1023.530 [Reserved]

§ 1023.540 Voluntary information sharing among financial institutions.

- (a) Refer to §1010.540 of this chapter.
(b) [Reserved]

Subpart F—Special Standards of Diligence; Prohibitions; and Special Measures for Brokers or Dealers in Securities

§ 1023.600 General.

Brokers or dealers in securities are subject to the special standards of diligence; prohibitions; and special measures requirements set forth and cross referenced in this subpart. Brokers or dealers in securities should also refer to subpart F of part 1010 of this chapter for special standards of diligence; prohibitions; and special measures contained in that subpart which apply to brokers or dealers in securities.

§ 1023.610 Due diligence programs for correspondent accounts for foreign financial institutions.

- (a) Refer to §1010.610 of this chapter.
(b) [Reserved]

§ 1023.620 Due diligence programs for private banking accounts.

- (a) Refer to §1010.620 of this chapter.
(b) [Reserved]

§ 1023.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.

- (a) Refer to §1010.630 of this chapter.
(b) [Reserved]

§ 1023.640 [Reserved]

§ 1023.670 Summons or subpoena of foreign bank records; termination of correspondent relationship.

- (a) Refer to §1010.670 of this chapter.

(b) [Reserved]

PART 1024—RULES FOR MUTUAL FUNDS

Subpart A—Definitions

Sec.

1024.100 Definitions.

Subpart B—Programs

1024.200 General.

1024.210 Anti-money laundering programs for mutual funds.

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Subpart C—Reports Required To Be Made By Mutual Funds

1024.300 General.

1024.310 Reports of transactions in currency.

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Subpart D—Records Required To Be Maintained By Mutual Funds

1024.400 General.

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Subpart E—Special Information Sharing Procedures To Deter Money Laundering and Terrorist Activity

1024.500 General.

1024.520 Special information sharing procedures to deter money laundering and terrorist activity for mutual funds.

1024.530 [Reserved]

1024.540 Voluntary information sharing among financial institutions.

Subpart F—Special Standards of Diligence; Prohibitions, and Special Measures for Mutual Funds

1024.600 General.

1024.610 Due diligence programs for correspondent accounts for foreign financial institutions.

1024.620 Due diligence programs for private banking accounts.

1024.630 Prohibition on correspondent accounts for foreign shell banks; records concerning owners of foreign banks and agents for service of legal process.

1024.640–1024.670 [Reserved]