

(b) For each material identified in paragraph (a) of this section, you must determine the average carbon content of the material consumed, used, or produced in the calendar year using the methods specified in either paragraph (b)(1) or (b)(2) of this section. If you document that a specific process input or output contributes less than one percent of the total mass of carbon into or out of the process, you do not have to determine the monthly mass or annual carbon content of that input or output.

(1) Information provided by your material supplier.

(2) Collecting and analyzing at least three representative samples of the material inputs and outputs each year. The carbon content of the material must be analyzed at least annually using the standard methods (and their QA/QC procedures) specified in paragraphs (b)(2)(i) through (b)(2)(iii) of this section, as applicable.

(i) ASTM E1941-04, Standard Test Method for Determination of Carbon in Refractory and Reactive Metals and Their Alloys (incorporated by reference, *see* § 98.7) for analysis of metal ore and alloy product.

(ii) ASTM D5373-08 Standard Test Methods for Instrumental Determination of Carbon, Hydrogen, and Nitrogen in Laboratory Samples of Coal (incorporated by reference, *see* § 98.7), for analysis of carbonaceous reducing agents and carbon electrodes.

(iii) ASTM C25-06, Standard Test Methods for Chemical Analysis of Limestone, Quicklime, and Hydrated Lime (incorporated by reference, *see* § 98.7) for analysis of flux materials such as limestone or dolomite.

§ 98.115 Procedures for estimating missing data.

A complete record of all measured parameters used in the GHG emissions calculations in § 98.113 is required. Therefore, whenever a quality-assured value of a required parameter is unavailable, a substitute data value for the missing parameter shall be used in the calculations as specified in the paragraphs (a) and (b) of this section. You must document and keep records of the procedures used for all such estimates.

(a) If you determine CO₂ emissions for the EAFs at your facility using the carbon mass balance procedure in § 98.113(b), 100 percent data availability is required for the carbon content of the input and output materials. You must repeat the test for average carbon contents of inputs according to the procedures in § 98.114(b) if data are missing.

(b) For missing records of the monthly mass of carbon-containing inputs and outputs, the substitute data value must be based on the best available estimate of the mass of the inputs and outputs from on all available process data or data used for accounting purposes, such as purchase records.

(c) If you are required to calculate CH₄ emissions for an EAF at your facility as specified in § 98.113(d), the estimate is based an annual quantity of certain alloy products, so 100 percent data availability is required.

§ 98.116 Data reporting requirements.

In addition to the information required by § 98.3(c), each annual report must contain the information specified in paragraphs (a) through (e) of this section, as applicable:

(a) Annual facility ferroalloy product production capacity (tons).

(b) Annual production for each ferroalloy product identified in § 98.110, from each EAF (tons).

(c) Total number of EAFs at facility used for production of ferroalloy products.

(d) If a CEMS is used to measure CO₂ emissions, then you must report under this subpart the relevant information required by § 98.36 for the Tier 4 Calculation Methodology and the following information specified in paragraphs (d)(1) through (d)(3) of this section.

(1) Annual process CO₂ emissions (in metric tons) from each EAF used for the production of any ferroalloy product identified in § 98.110.

(2) Annual process CH₄ emissions (in metric tons) from each EAF used for the production of any ferroalloy listed in Table K-1 of this subpart (metric tons).

(3) Identification number of each EAF.

§98.117

40 CFR Ch. I (7-1-14 Edition)

(e) If a CEMS is not used to measure CO₂ process emissions, and the carbon mass balance procedure is used to determine CO₂ emissions according to the requirements in §98.113(b), then you must report the following information specified in paragraphs (e)(1) through (e)(7) of this section.

(1) Annual process CO₂ emissions (in metric tons) from each EAF used for the production of any ferroalloy identified in §98.110 (metric tons).

(2) Annual process CH₄ emissions (in metric tons) from each EAF used for the production of any ferroalloy listed in Table K-1 of this subpart.

(3) Identification number for each material.

(4) Annual material quantity for each material included for the calculation of annual process CO₂ emissions for each EAF.

(5) Annual average of the carbon content determinations for each material included for the calculation of annual process CO₂ emissions for each EAF (percent by weight, expressed as a decimal fraction).

(6) List the method used for the determination of carbon content for each material reported in paragraph (e)(5) of this section (e.g., supplier provided information, analyses of representative samples you collected).

(7) If you use the missing data procedures in §98.115(b), you must report how monthly mass of carbon-containing inputs and outputs with missing data was determined and the number of months the missing data procedures were used.

[74 FR 56374, Oct. 30, 2009, as amended at 75 FR 66462, Oct. 28, 2010; 78 FR 71954, Nov. 29, 2013]

§98.117 Records that must be retained.

In addition to the records required by §98.3(g), you must retain the records specified in paragraphs (a) through (d) of this section for each EAF, as applicable.

(a) If a CEMS is used to measure CO₂ emissions according to the requirements in §98.113(a), then you must retain under this subpart the records required for the Tier 4 Calculation Methodology in §98.37 and the information

specified in paragraphs (a)(1) through (a)(3) of this section.

(1) Monthly EAF production quantity for each ferroalloy product (tons).

(2) Number of EAF operating hours each month.

(3) Number of EAF operating hours in a calendar year.

(b) If the carbon mass balance procedure is used to determine CO₂ emissions according to the requirements in §98.113(b)(2), then you must retain records for the information specified in paragraphs (b)(1) through (b)(5) of this section.

(1) Monthly EAF production quantity for each ferroalloy product (tons).

(2) Number of EAF operating hours each month.

(3) Number of EAF operating hours in a calendar year.

(4) Monthly material quantity consumed, used, or produced for each material included for the calculations of annual process CO₂ emissions (tons).

(5) Average carbon content determined and records of the supplier provided information or analyses used for the determination for each material included for the calculations of annual process CO₂ emissions.

(c) You must keep records that include a detailed explanation of how company records of measurements are used to estimate the carbon input and output to each EAF, including documentation of specific input or output materials excluded from Equation K-1 of this subpart that contribute less than 1 percent of the total carbon into or out of the process. You also must document the procedures used to ensure the accuracy of the measurements of materials fed, charged, or placed in an EAF including, but not limited to, calibration of weighing equipment and other measurement devices. The estimated accuracy of measurements made with these devices must also be recorded, and the technical basis for these estimates must be provided.

(d) If you are required to calculate CH₄ emissions for the EAF as specified in §98.113(d), you must maintain records of the total amount of each alloy product produced for the specified reporting period, and the appropriate alloy-product specific emission