

lot number, the approval number, and the number of PFDs in the lot.

(3) For each lot rejected, the cause for rejection, any corrective action taken, and the final disposition of the lot.

(4) For all materials used in production the—

- (i) Name and address of the supplier;
- (ii) Date of purchase and receipt;
- (iii) Lot number; and

(iv) Where required by §164.019–5 of this chapter, the certification received with standard components.

(5) A copy of this subpart.

(6) Each document incorporated by reference in §160.076–11.

(7) A copy of the approved plans and specifications.

(8) The approval certificate obtained in accordance with §2.75–1 and 2.75–5 of this chapter.

(9) Certificates evidencing calibration of test equipment, including the identity of the agency performing the calibration, date of calibration, and results.

(c) A description or photographs of procedures and equipment used in testing required by §159.007–13(a)(4) of this chapter, is not required if the manufacturer's procedures and equipment meet the requirements of this subpart.

(d) The records required by paragraph (b)(4) of this section must be kept for at least 120 months after preparation. All other records required by paragraph (b) of this section must be kept for at least 60 months after the PFD approval expires or is terminated.

§ 160.076–35 Information pamphlet.

A pamphlet that is consistent in format to that specified in UL 1123 (incorporated by reference, see §160.076–11) must be attached to each inflatable PFD sold or offered for sale in such a way that a prospective purchaser can read the pamphlet prior to purchase. The pamphlet text and layout must be submitted to the Commandant for approval. The text must be printed in each pamphlet exactly as approved by the Commandant. Additional information, instructions, or illustrations must not be included within the approved text and layout. Sample pamphlet text and layout may be obtained by contacting the Commandant. This

pamphlet may be combined with the manual required by §160.076–37 if PFD selection and warning information is provided on the PFD packaging in such a way that it remains visible until purchase.

[CGD 94–110, 60 FR 32848, June 23, 1995, as amended by USCG–2011–0076, 77 FR 19943, Apr. 3, 2012]

§ 160.076–37 Owner's manual.

(a) *General.* The manufacturer must provide an owner's manual with each inflatable PFD sold or offered for sale. A draft of the manual for each model must be submitted for approval in accordance with §160.076–13.

(b) *Manual contents.* Each owner's manual must contain the information specified in UL 1180 (incorporated by reference, see §160.076–11), and, if the PFD is conditionally approved, an explanation of the meaning of, and reasons for, the approval conditions.

[CGD 94–110, 60 FR 32848, June 23, 1995, as amended by CGD 94–110, 61 FR 13947, Mar. 28, 1996; USCG–2011–0076, 77 FR 19943, Apr. 3, 2012]

§ 160.076–39 Marking.

(a) *General.* Each inflatable PFD must be marked as specified in UL 1180 (incorporated by reference, see §160.076–11) and this section.

(b) PFD Type. Based on its approval certificate, each PFD must be marked as follows—

- (1) “Type I PFD”;
- (2) “Type II PFD”;
- (3) “Type III PFD”;

(4) “Type V [insert exact text of description noted on the approval certificate, if any] PFD—[insert text required by paragraph (c) of this section]. This PFD provides in-water performance equivalent to a Type [insert performance type criteria noted on the approval certificate] PFD.”

(c) A Type V, conditionally approved, inflatable PFD must be marked with the approval conditions specified on the approval certificate.

(d) *Additional markings.* (1) Unless otherwise noted on the approval certificate, each inflatable PFD must be marked with the following:

- (i) “NOT APPROVED TO MEET CARRIAGE REQUIREMENTS ON COMMERCIAL VESSELS.”

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(ii) The unique model, style, or part number of the inflation mechanism approved for use on the PFD.

(2) [Reserved]

[CGD 94-110, 60 FR 32848, June 23, 1995, as amended by CGD 94-110, 61 FR 13947, Mar. 28, 1996; USCG-2011-0076, 77 FR 19943, Apr. 3, 2012]

EFFECTIVE DATE NOTE: By 79 FR 56500, Sept. 22, 2014, §160.076-39 was revised, effective Oct. 22, 2014. For the convenience of the user, the revised text is set forth as follows:

§ 160.076-39 Marking.

Each inflatable PFD must be marked as specified in UL 1180 (incorporated by reference, see §160.076-11). At a minimum, all labels must include—

- (a) Size information, as appropriate;
- (b) The Coast Guard approval number;
- (c) Manufacturer's contact information;
- (d) Model name/number;
- (e) Lot number, manufacturer date; and
- (f) Any limitations or restrictions on approval or special instructions for use.

Subpart 160.077—Hybrid Inflatable Personal Flotation Devices

SOURCE: CGD 78-174, 50 FR 33928, Aug. 22, 1985, unless otherwise noted.

§ 160.077-1 Scope.

(a) This specification contains requirements for approving hybrid inflatable personal flotation devices (hybrid PFDs).

(b) Under this chapter and 33 CFR part 175, certain commercial vessels and recreational boats may carry Type I, II, or III hybrid PFDs to meet carriage requirements. Type V hybrid PFDs may be substituted for other required PFDs if they are worn under conditions prescribed in their manual as required by §160.077-29 and on their marking as prescribed in §160.077-31. For recreational boats or boaters involved in a special activity, hybrid PFD approval may also be limited to that activity.

(c) Unless approved as a Type I SOLAS Lifejacket, a hybrid PFD on an inspected commercial vessel will be approved only—

- (1) As work vest; or
- (2) For the special purpose stated on the approval certificate and PFD marking.

(d) A hybrid PFD may be approved for adults, weighing over 40 kg (90 lb); youths, weighing 23-40 kg (50-90 lb); small children, weighing 14-23 kg (30-50 lb); or for the size range of persons for which the design has been tested, as indicated on the PFD's label.

(e) This specification also contains requirements for—

(1) Manufacturers and sellers of recreational hybrid PFD's to provide an information pamphlet and owner's manual with each PFD; and

(2) Manufacturers of commercial hybrid PFD's to provide a user's manual.

[CGD 78-174, 50 FR 33928, Aug. 22, 1985, as amended by CGD 78-174, 60 FR 2486, Jan. 9, 1995]

§ 160.077-2 Definitions.

(a) *Commandant* means the Chief of the Lifesaving and Fire Safety Standards Division. Address: Commandant (CG-ENG-4), Attn: Lifesaving and Fire Safety Division, U.S. Coast Guard Stop 7509, 2703 Martin Luther King Jr. Avenue, SE., Washington, DC 20593-7509; telephone 202-372-1392 or fax 202-372-1924.

(b) *Commercial hybrid PFD* means a hybrid PFD approved for use on commercial vessels identified on the PFD label.

(c) *First quality workmanship* means construction which is free from any defect materially affecting appearance or serviceability.

(d) *Hybrid PFD* means a personal flotation device that has at least one inflation chamber in combination with inherently buoyant material.

(e) *Inflation medium* means any solid, liquid, or gas, that, when activated, provides inflation for buoyancy.

(f) *Inspector* means an independent laboratory representative assigned to perform duties described in §160.077-23.

(g) *PFD* means a personal flotation device of a type approved under this subpart.

(h) *Recreational hybrid PFD* means a hybrid PFD approved for use on a recreational boat as defined in 33 CFR 175.3.

(i) [Reserved]

(j) *Reference vest* means a model AK-1, adult PFD; model CKM-1, child medium PFD; or model CKS-2, child small