§503.83

§ 503.83 Public announcement of changes in meeting.

- (a) Except as provided in §§ 503.80 and 503.81, under the provisions of paragraphs (b) and (c) of this section, the time or place of a meeting or series of meetings may be changed by the agency following accomplishment of the announcement and notice required by \$503.82, provided the Secretary of the agency shall publicly announce such change at the earliest practicable time.
- (b) The subject matter of a portion or portions of a meeting or a portion or portions of a series of meetings, the time and place of such meeting, and the determination that the portion or portions of a series of meetings shall be open or closed to public observation may be changed following accomplishment of the announcement required by §503.82, provided:
- (1) The agency, by recorded vote of the majority of the entire membership of the agency, determines that agency business so requires and that no earlier announcement of the change was possible; and
- (2) The Secretary of the agency publicly announces, at the earliest practicable time, the change made and the vote of each member upon such change.
- (c) Immediately following any public announcement of any change accomplished under the provisions of this section, the Secretary of the agency shall submit a notice for publication in the FEDERAL REGISTER disclosing:
 - (1) The time of the meeting;
 - (2) The place of the meeting;
- (3) The subject matter of each portion of each meeting or series of meetings:
- (4) Whether any portion or portions of any meeting or any portion or portions of any series of meetings is open or closed to public observation;
- (5) Any change in paragraphs (c) (1), (c) (2), (c) (3), or (c) (4) of this section; and
- (6) The name and telephone number of the Secretary of the agency who shall respond to requests for information about any meeting.

§ 503.84 [Reserved]

§ 503.85 Agency recordkeeping requirements.

- (a) In the case of any portion or portions of a meeting or portion or portions of a series of meetings determined by the agency to be closed to public observation under the provisions of this subpart, the following records shall be maintained by the Secretary of the agency:
- (1) The certification of the General Counsel of the agency required by §503.77;
- (2) A statement from the officer presiding over the portion or portions of the meeting or portion or portions of a series of meetings setting forth the time and place of the portion or portions of the meeting or portion or portions of the series of meetings, the persons present at those times; and
- (3) Except as provided in paragraph (b) of this section, a complete transcript or electronic recording fully recording the proceedings at each portion of each meeting closed to public observation.
- (b) In case the agency determines to close to public observation any portion or portions of any meeting or portion or portions of any series of meetings because public observation of such portion or portions of any meeting is likely to specifically concern the agency's issuance of a subpena, or the agency's participation in a civil action or proceeding, an action in a foreign court or international tribunal, or an arbitration, or the initiation, conduct, or disposition by the agency of a particular case of formal agency adjudication pursuant to the procedures in 5 U.S.C. 554 or otherwise involving a determination on the record after opportunity for a hearing, the agency may maintain a set of minutes in lieu of the transcript or recording described in paragraph (a)(3) of this section. Such minutes shall contain:
- (1) A full and clear description of all matters discussed in the closed portion of any meeting;
- (2) A full and accurate summary of any action taken on any matter discussed in the closed portion of any meeting and the reasons therefor;

- (3) A description of each of the views expressed on any matter upon which action was taken as described in paragraph (b)(2) of this section;
- (4) The record of any rollcall vote which shall show the vote of each member on the question; and
- (5) An identification of all documents considered in connection with any action taken on a matter described in paragraph (b)(1) of this section.
- (c) All records maintained by the agency as described in this section shall be held by the agency for a period of not less than two (2) years following any meeting or not less than one (1) year following the conclusion of any agency proceeding with respect to which that meeting or portion of a meeting was held.

[49 FR 44401, Nov. 6, 1984; 49 FR 47395, Dec. 4, 1984]

§ 503.86 Public access to records.

- (a) All transcripts, electronic recordings or minutes required to be maintained by the agency under the provisions of §\$503.85(a)(3) and 503.85(b) shall be promptly made available to the public by the Secretary of the agency, except for any item of discussion or testimony of any witnesses which the agency determines to contain information which may be withheld from public disclosure because its disclosure is likely to disclose matters which are:
- (1)(i) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (ii) in fact properly classified pursuant to such Executive order;
- (2) Related solely to the internal personnel rules and practices of an agency:
- (3) Specifically exempted from disclosure by any statute other than 5 U.S.C. 552 (FOIA), provided that such statute
- (i) Requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue, or
- (ii) Establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (4) Trade secrets and commercial or financial information obtained from a person and privileged or confidential;

- (5) Involved with accusing any person of a crime, or formally censuring any person;
- (6) Of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy;
- (7) Investigatory records compiled for law enforcement purposes, or information which, if written, would be contained in such records, but only to the extent that the production of such records or information would
- (i) Interfere with enforcement proceedings,
- (ii) Deprive a person of a right to a fair trial or an impartial adjudication,
- (iii) Constitute an unwarranted invasion of personal privacy,
- (iv) Disclose the identity of a confidential source and, in the case of a record compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, confidential information furnished only by the confidential source,
- (v) Disclose investigative techniques and procedures or
- (vi) Endanger the life or physical safety of law enforcement personnel;
- (8) Contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions:
- (9) Information, the premature disclosure of which would be likely to significantly frustrate implementation of a proposed agency action, unless the agency has already disclosed to the public the content or nature of its proposed action, or where the agency is required by law to make such disclosure on its own initiative prior to taking final agency action on such proposal; or
- (10) Specifically concerned with the agency's issuance of a subpena, or the agency's participation in a civil action or proceeding, an action in a foreign court or international tribunal, or an arbitration, or the initiation, conduct, or disposition by the agency of a particular case of formal agency adjudication pursuant to the procedures in 5