

**SUBCHAPTER A—GENERAL PROVISIONS [RESERVED]
SUBCHAPTER B—NORTH PACIFIC COMMERCIAL FISHERIES
[RESERVED]
SUBCHAPTER C—MARINE MAMMALS**

**PART 228—NOTICE AND HEARING
ON SECTION 103(d) REGULATIONS**

- Sec.
- 228.1 Basis and purpose.
 - 228.2 Definitions.
 - 228.3 Scope of regulations.
 - 228.4 Notice of hearing.
 - 228.5 Notification by interested persons.
 - 228.6 Presiding officer.
 - 228.7 Direct testimony submitted as written documents.
 - 228.8 Mailing address.
 - 228.9 Inspection and copying of documents.
 - 228.10 Ex parte communications.
 - 228.11 Prehearing conference.
 - 228.12 Final agenda of the hearing.
 - 228.13 Determination to cancel the hearing.
 - 228.14 Rebuttal testimony and new issues of fact in final agenda.
 - 228.15 Waiver of right to participate.
 - 228.16 Conduct of the hearing.
 - 228.17 Direct testimony.
 - 228.18 Cross-examination.
 - 228.19 Oral and written arguments.
 - 228.20 Recommended decision, certification of the transcript and submission of comments on the recommended decision.
 - 228.21 Assistant Administrator's decision.

AUTHORITY: 16 U.S.C. 1361 *et seq.*

SOURCE: 65 FR 39560, June 27, 2000, unless otherwise noted.

§ 228.1 Basis and purpose.

(a) Sections 101(a)(2), 101(a)(3)(A), and 101(b) of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1371(a)(2), 1371(a)(3)(A), and 1371(b)) and these regulations authorize the Assistant Administrator of the National Marine Fisheries Service, to:

(1) Impose regulations governing the taking of marine mammals incidental to commercial fishing operations;

(2) Waive the moratorium and to adopt regulations with respect to the taking and importing of animals from each species of marine mammals under the Assistant Administrator's jurisdiction;

(3) Prescribe regulations governing the taking of depleted marine mammals by any Indian, Aleut or Eskimo, respectively. In prescribing regulations

to carry out the provisions of said sections, the Act refers the Assistant Administrator to section 103 (16 U.S.C. 1373). In accordance with section 103(d), regulations must be made on the record after opportunity for an agency hearing on such regulations and, in the case of a waiver, on the determination by the Assistant Administrator to waive the moratorium pursuant to section 101(a)(3)(A) of the Act (16 U.S.C. 1371(a)(3)(A)).

(b) The purpose of this part is to establish rules of practice and procedure for all hearings conducted pursuant to section 103(d) of the Act.

§ 228.2 Definitions.

(a) *Party* means, for the purposes of this subpart:

(1) The Assistant Administrator or the Assistant Administrator's representative;

(2) A person who has notified the Assistant Administrator by specified dates of his or her intent to participate in the hearing pursuant to §§ 228.5 and 228.14(b).

(b) *Witness* means, for the purpose of this part, any person who submits written direct testimony on the proposed regulations. A person may be both a party and a witness.

§ 228.3 Scope of regulations.

The procedural regulations in this part govern the practice and procedure in hearings held under section 103(d) of the Act. These hearings will be governed by the provisions of 5 U.S.C. 556 and section 557 of the Administrative Procedure Act. The regulations shall be construed to secure the just, speedy and inexpensive determination of all issues raised with respect to any waiver or regulation proposed pursuant to section 103(d) of the Act with full protection for the rights of all persons affected thereby.