at the additional performance threshold and an additional adjustment factor of 10 percent is assigned for a final score of 100, subject to the application of a scaling factor as determined by CMS, such that the estimated aggregate increase in payments resulting from the application of the additional MIPS payment adjustment factors for the MIPS payment year shall not exceed \$500,000,000 for each of the MIPS payment years 2019 through 2024.

- (2) [Reserved]
- (e) Application of adjustments to payments. For each MIPS payment year, the MIPS payment adjustment factor, and if applicable the additional MIPS payment adjustment factor, are applied to Medicare Part B payments for items and services furnished by the MIPS eligible clinician during the year.

## § 414.1410 Advanced APM determination.

- (a) General. An APM is an Advanced APM for a payment year if CMS determines that it meets the criteria in §414.1415 during the QP Performance Period.
- (b) Advanced APM and Other Payer Advanced APM determination process. CMS identifies Advanced APMs and Other Payer Advanced APMs in the following manner:
- (1) Advanced APM determination. (i) No later than January 1, 2017, CMS will post on its Web site a list of all Advanced APMs for the first QP Performance Period.
- (ii) CMS updates the Advanced APM list on its Web site at intervals no less than annually.
- (iii) CMS will include notice of whether a new APM is an Advanced APM in the first public notice of the new APM.
- (2) Other Payer Advanced APM determination. (i) CMS identifies Other Payer Advanced APMs following conclusion of the QP Performance Period using information submitted to CMS according to §414.1445. CMS will not make determinations for other payer arrangements for which insufficient information is submitted.
- (ii) CMS makes Other Payer Advanced APM determinations prior to QP determinations under § 414.1440.

(iii) CMS makes final Other Payer Advanced APM determinations and notifies Advanced APM Entities and eligible clinicians of such determinations as soon as practicable.

## §414.1415 Advanced APM criteria.

- (a) Use of certified electronic health record technology (CEHRT)—(1) Required use of CEHRT. To be an Advanced APM, an APM must:
- (i) Require at least 50 percent of eligible clinicians in each participating APM Entity group, or, for APMs in which hospitals are the APM Entities, each hospital, to use CEHRT to document and communicate clinical care to their patients or other health care providers; or
- (ii) For the Shared Savings Program, apply a penalty or reward to an APM Entity based on the degree of the use of CEHRT of the eligible clinicians in the APM Entity.
- (b) Payment based on quality measures. (1) To be an Advanced APM, an APM must include quality measure results as a factor when determining payment to participants under the terms of the APM.
- (2) At least one of the quality measures upon which an Advanced APM bases the payment in paragraph (b)(1) of this section must have an evidence-based focus, be reliable and valid, and meet at least one of the following criteria:
- (i) Used in the MIPS quality performance category as described in §414.1330;
- (ii) Endorsed by a consensus-based entity:
- (iii) Developed under section 1848(s) of the Act:
- (iv) Submitted in response to the MIPS Call for Quality Measures under section 1848(q)(2)(D)(ii) of the Act; or
- (v) Any other quality measures that CMS determines to have an evidencebased focus and to be reliable and valid.
- (3) In addition to the quality measure requirements under paragraph (b)(2) of this section, the quality measures upon which an Advanced APM bases the payment in paragraph (b)(1) of this section must include at least one outcome measure. This requirement does not apply if CMS determines that there are no available or applicable outcome