

To be medical director

Ketty M. Gonzalez.
Gunta I. Obrams.

To be senior surgeon

Vito M. Caserta.
Olga Grajales.
Mary L. Kamb.
Dawn L. Wyllie.

To be surgeon

Andrew Biauvelt.
Michael J. Boquard.
J Russell Bowman.
Monica E. Parise.
Lisa G. Rider.
Abigail M. Shefer.
Darrell P. Stone.

To be senior assistant surgeon

Dahna L. Batts-Osborne.
Stephen M. Hewitt.
James F. Lando.
John T. Ning.
Alexander K. Rowe.
Stephen M. Rudd.
Seymour G. Williams.

To be senior dental surgeon

Michael L. Campsmith.
A. Isabel Garcia.

To be dental surgeon

Ronald E. Bajuscak.
Tania M. Macias.
Wilnetta A. Sweeting.
Michael P. Winkler.

To be senior assistant dental surgeon

Dawn A. Breeden.
Katherine T. Cotton.
Bryan S. Dawson.
Stanley K. Gordon.
Maria-Paz U. Smith.
Valerie D. Wilson.

To be senior nurse officer

Robert E. Eaton.
Mary I. Lambert.
Susanne R. Rohrer.
Marjorie Lynn Witman.

To be nurse officer

Eileen D. Bonneau.
Ruth M. Coleman.
Terri L. Dodds.
Susan D. Hillis.
Barbara W. Kilbourne.
Gwethlyn J. Sabatinos.
Amanda S. Waugaman.

To be senior assistant nurse officer

Thomas C. Arminio.
Deborah M. Carter.
Charles D. Duke Jr.
Keyla E. Gammamarano.
Mary C. Karlson.
Julie D. King.
Kimberly M. Mock.
Lisa S. Penix.
Laverne Puckett.
Keysha L. Ross.
Michael R. Sanchez.
Jeanne D. Shaffer.
Steven M. Wacha.

To be assistant nurse officer

Benjamin F. Brown Jr.
Serina A. Hunter.
Patricia K. Mitchell.
Todd A. Ridge.
William Ruiz-Colon.
Tonia L. Sawyer.
Thomas R. Stanley.
Robbie K. Taylor.

To be engineer officer

Kevin B. Milne.

To be senior assistant engineer officer

Donald C. Antrobus.

Mark A. Calkins.
Edward A. Cayous.
Tracy D. Gilchrist.
Steven M. McGovern.
Dale M. Mossefin.
Jeffrey S. Reynolds.
Hilda F. Scharen-Guivel.
Jerry A. Smith.
Michael A. Stover.
Darrall F. Tillock.
Mary M. Weber.

To be scientist director

Victor Krauthamer.

To be senior scientist

Young H. Lee.
H. Edward Murray.

To be scientist

Kate M. Brett.
Angela M. Gonzalez.
O'Neal A. Walker.

To be senior assistant scientist

Nelson Adekoya.
Mehran S. Massoudi.
Darin J. Weber.

To be sanitarian

Matthew E. Taylor.
Daniel C. Weaver.

To be assistant therapist

Corey S. Dahl.

To be senior health services officer

Ilze L. Ruditis.

To be health services officer

Steven M. Glover.
Darlene A. Harris.
Carmencita T. Palma.
Julia A. Stokes.

To be senior assistant health services officer

Sherlene Bailey.
Kathy L. Balasko.
Marinna A. Banks.
Jose H. Belardo.
Julie Wofford Black.
Dawn M. Clary.
Sandra L. Ferguson.
Kathleen D. Heiden.
Mary C. Hollister.
David W. Keene.
Scott A. Middlekauff.
Godwin O. Odia.
Elizabeth A. Pierce.
Brian E. Richmond.
Renee S. Roberson.
Lisa D. Starnes.
Scott W. Tobias.
Gilbert E. Varney Jr.
Kimberly A. Walker.

To be assistant health services officer

Parmjeet S. Saini.
Amanda D. Stoddard.

LEGISLATIVE SESSION

The PRESIDING OFFICER. Under the previous order, the Senate will now return to legislative session.

SMALL BUSINESS INVESTMENT COMPANY AMENDMENTS ACT OF 2001

Mr. REID. Mr. President, I ask that the Chair lay before the Senate a message from the House on S. 1196.

The Presiding Officer laid before the Senate a message from the House as follows:

Resolved, That the bill from the Senate (S. 1196) entitled "An Act to amend the Small

Business Investment Act of 1958, and for other purposes," do pass with the following amendment:

Strike out all after the enacting clause and insert:

SECTION 1. SHORT TITLE.

This Act may be cited as the "Small Business Investment Company Amendments Act of 2001".

SEC. 2. SUBSIDY FEES.

(a) *IN GENERAL.*—Section 303 of the Small Business Investment Act of 1958 (15 U.S.C. 683) is amended—

(1) in subsection (b)—

(A) by striking "of not more than 1 percent per year";

(B) by inserting "which amount may not exceed 1.38 percent per year, and" before "which shall be paid"; and

(C) by striking "September 30, 2000" and inserting "September 30, 2001"; and

(2) in subsection (g)(2)—

(A) by striking "of not more than 1 percent per year";

(B) by inserting "which amount may not exceed 1.38 percent per year, and" before "which shall be paid"; and

(C) by striking "September 30, 2000" and inserting "September 30, 2001".

(b) *EFFECTIVE DATE.*—The amendments made by this section shall become effective on October 1, 2001.

SEC. 3. CONFLICTS OF INTEREST.

Section 312 of the Small Business Investment Act of 1958 (15 U.S.C. 687d) is amended by striking "(including disclosure in the locality most directly affected by the transaction)".

SEC. 4. PENALTIES FOR FALSE STATEMENTS.

(a) *CRIMINAL PENALTIES.*—Section 1014 of title 18, United States Code, is amended by inserting ", as defined in section 103 of the Small Business Investment Act of 1958 (15 U.S.C. 662), or the Small Business Administration in connection with any provision of that Act" after "small business investment company".

(b) *CIVIL PENALTIES.*—Section 951 of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (12 U.S.C. 1833a) is amended—

(1) by redesignating subsections (d) through (g) as subsections (e) through (h), respectively; and

(2) in subsection (c)—

(A) in paragraph (1), by striking "or" at the end;

(B) in paragraph (2)—

(i) by striking "1341," and inserting "1341"; and

(ii) by striking "institution." and inserting "institution; or";

(C) by inserting immediately after paragraph (2) the following:

"(3) section 16(a) of the Small Business Act (15 U.S.C. 645(a))."; and

(D) by striking "This section shall" and inserting the following:

"(d) *EFFECTIVE DATE.*—This section shall".

SEC. 5. REMOVAL OR SUSPENSION OF MANAGEMENT OFFICIALS.

Section 313 of the Small Business Investment Act of 1958 (15 U.S.C. 687e) is amended to read as follows:

"SEC. 313. REMOVAL OR SUSPENSION OF MANAGEMENT OFFICIALS.

"(a) *DEFINITION OF 'MANAGEMENT OFFICIAL'.*—In this section, the term 'management official' means an officer, director, general partner, manager, employee, agent, or other participant in the management or conduct of the affairs of a licensee.

"(b) *REMOVAL OF MANAGEMENT OFFICIALS.*—

"(1) *NOTICE OF REMOVAL.*—The Administrator may serve upon any management official a written notice of its intention to remove that management official whenever, in the opinion of the Administrator—