

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Secretarial Review and Publication of the Annual Report to Congress Submitted by the Contracted Consensus-Based Entity Regarding Performance Measurement

**AGENCY:** Office of the Secretary of Health and Human Services, HHS.

**ACTION:** Notice.

**SUMMARY:** This notice acknowledges the Secretary of the Department of Health and Human Services' (HHS) receipt and review of the annual report submitted to the Secretary and Congress by the contracted consensus-based entity as mandated by section 1890(b)(5) of the Social Security Act, as added by section 183 of the Medicare Improvements for Patients and Providers Act of 2008 (MIPPA) and section 3014 of the Affordable Care Act of 2010. The statute requires the Secretary to publish the report in the **Federal Register** together with any comments of the Secretary on the report not later than six months after receiving the report. This notice fulfills those requirements.

**FOR FURTHER INFORMATION CONTACT:** Stephanie Mika (202) 260-6366.

#### I. Background

Rising health care costs coupled with the growing concern over the level and variation in quality and efficiency in the provision of health care raise important challenges for the United States. Section 183 of MIPPA also required the Secretary of the Department of Health and Human Services (HHS) to contract with a consensus-based entity to perform various duties with respect to health care performance measurement. These activities support HHS's efforts to achieve value as a purchaser of high-quality, patient-centered, and financially sustainable health care. The statute mandates that the contract be competitively awarded for a period of four years and may be renewed under a subsequent competitive contracting process.

In January, 2009, a competitive contract was awarded by HHS to the National Quality Forum (NQF) for a four-year period. The contract specified that NQF should conduct its business in an open and transparent manner, provide the opportunity for public comment and ensure that membership fees do not pose a barrier to participation in the scope of HHS's contract activities, if applicable.

The HHS four-year contract with NQF includes the following major tasks:

*Formulation of a National Strategy and Priorities for Health Care Performance*—NQF shall synthesize evidence and convene key stakeholders on the formulation of an integrated national strategy and priorities for health care performance measurement in all applicable settings. NQF shall give priority to measures that: Address the health care provided to patients with prevalent, high-cost chronic diseases; provide the greatest potential for improving quality, efficiency and patient-centered health care and may be implemented rapidly due to existing evidence, standards of care or other reasons. NQF shall consider measures that assist consumers and patients in making informed health care decision; address health disparities across groups and areas; and address the continuum of care across multiple providers, practitioners and settings.

*Implementation of a Consensus Process for Endorsement of Health Care Quality Measures*—NQF shall implement a consensus process for endorsement of standardized health care performance measures which shall consider whether measures are evidence-based, reliable, valid, verifiable, relevant to enhanced health outcomes, actionable at the caregiver level, feasible to collect and report, and responsive to variations in patient characteristics such as health status, language capabilities, race or ethnicity, and income level and is consistent across types of providers including hospitals and physicians.

*Maintenance of Consensus Endorsed Measures*—NQF shall establish and implement a maintenance process to ensure that endorsed measures are updated (or retired if obsolete) as new evidence is developed.

*Promotion of Electronic Health Records*—NQF shall promote the development and use of electronic health records that contain the functionality for automated collection, aggregation, and transmission of performance measurement information.

*Focused Measure Development, Harmonization and Endorsement Efforts to Fill Critical Gaps in Performance Measurement*—NQF shall complete targeted tasks to support performance measurement development, harmonization, endorsement and/or gap analysis.

*Development of a Public Web site for Project Documents*—NQF shall develop a public Web site to provide access to project documents and processes. The HHS contract work is found at: <http://www.qualityforum.org/projects/ongoing/hhs/>.

*Annual Report to Congress and the Secretary*—Under section 1890(b)(5)(A) of the Act, by not later than March 1 of each year (beginning with 2009, NQF shall submit to Congress and the Secretary of HHS an annual report. The report shall contain a description of the implementation of quality measurement initiatives under the Act and the coordination of such initiatives with quality initiatives implemented by other payers; a summary of activities and recommendations from the national strategy and priorities for health care performance measurement task; and a discussion of performance by NQF of the duties required under the HHS contract. Section 1890(b)(5)(B) of the Social Security Act requires the Secretarial review of the annual report to Congress upon receipt and the publication of the report in the **Federal Register** together with any Secretarial comments not later than 6 months after receiving the report.

The first annual report covered the performance period of January 14, 2009 to February 28, 2009 or the first six weeks post contract award. Given the short timeframe between award and the statutory requirement for the submission of the first annual report, this first report provided a brief summary of future plans. In March 2009, NQF submitted the first annual report to Congress and the Secretary of HHS. The Secretary published a notice in the **Federal Register** in compliance with the statutory mandate for review and publication of the annual report on September 10, 2009 (74 FR 46594).

In March 2010, NQF submitted to Congress and the Secretary the second annual report covering the period of performance of March 1, 2009 through February 28, 2010. The second annual report was published in the **Federal Register** on October 22, 2010 (75 FR 65340) to comply with the statutorily required Secretarial review and publication.

In March 2011, NQF submitted the third annual report to Congress and Secretary of HHS. This notice complies with the statutory requirement for Secretarial review and publication of the third annual report covering the period of performance of January 14, 2010 through January 13, 2011. The third annual report was published in the **Federal Register** on September 7, 2011 (76 FR 55474).

Affordable Care Act was signed into law on March 23, 2010. Section 3014 of this Act included a time-sensitive requirement for NQF to provide input into the national priorities for consideration under for the National Strategy for Quality for Improvement in

Healthcare. The NQF convened the National Priorities Partnership and developed a consensus report on input to HHS on the development of the National Quality Strategy.

Section 3014 of the Affordable Care Act also required NQF to: convene multi-stakeholder groups to provide input on the selection of quality measures, such as for use in reporting performance information to the public; and transmit multi-stakeholder input to the Secretary. It also amended the requirements for the Annual Report to include identifying gaps in quality measures, including measures in the priority areas identified by the Secretary under the national strategy and areas in which evidence is insufficient to support evidence of quality measures in priority areas. Activities required by the Affordable Care Act will be carried out from 2010 throughout 2014.

In March 2012, NQF submitted its fourth annual report to the Congress and the Secretary. The report covers the period of performance of January 14, 2011 through January 13, 2012. This notice complies with the statutory requirement for Secretarial review and publication of the fourth NQF annual report.

## II. March 2012—NQF Report to Congress and the HHS Secretary

Submitted in March 2012, the fourth annual report to Congress and the Secretary spans the period of January 14, 2011 through January 13, 2012.

A copy of NQF's submission of the March 2012 annual report to Congress and the Secretary of HHS can be found at: [http://www.qualityforum.org/Publications/2012/03/2012\\_NQF\\_Report\\_to\\_Congress.aspx](http://www.qualityforum.org/Publications/2012/03/2012_NQF_Report_to_Congress.aspx).

The 2012 NQF annual report is reproduced in section III of this notice. This year's annual report has two sections. The first is entitled *2012 NQF Report to Congress Changing Healthcare by the Numbers*. The second section is entitled *NQF Report on Measure Gaps and Inadequacies*. Both sections were reviewed by the Secretary.

## III. NQF March 2012 Annual Report 2012 NQF Report to Congress Changing Healthcare by the Numbers

*Report to the Congress and the Secretary of the U.S. Department of Health and Human Services, Covering the Period of January 14, 2011, to January 13, 2012 Pursuant to Public Law 110-275 and Contract #HHSM-500-2009-00010C*

### Contents

Letter From William Roper and Janet Corrigan  
Executive Summary

Building Consensus About What and How To Improve  
Endorsing Measures for Use in Accountability and Performance Improvement  
Aligning Payment and Public Reporting Programs That Reward Value  
National Quality Forum: Background  
Bridging Consensus About Improvement Priorities and Approaches  
National Priorities Partnership  
NQF's Focus on Safety  
Endorsing Measures and Developing Related Tools  
NQF Endorsement in 2011  
Culling the NQF Portfolio  
Enhancing NQF Endorsement  
The Information Technology Accelerant  
Aligning Accountability Programs To Enhance Value  
Growing Use of NQF-Endorsed Measures  
Measure Application and Alignment  
Achieving Results  
Looking Forward  
Endnotes  
Appendix A: 2011 Accomplishments: January 14, 2011 to January 13, 2012  
Appendix B: NQF Board and Leadership Staff  
Appendix C: Overview of Consensus Development Process  
Appendix D: Map Measure-Selection Criteria  
Appendix E: NQF Membership  
Appendix F: 2011 NQF Volunteer Leaders

### Letter From William Roper and Janet Corrigan

Over the last decade, Members of Congress from both parties, as well as federal and private-sector leaders, have increasingly supported the use of standardized quality measures as part and parcel of a larger healthcare value agenda. Agreed-upon strategies for improving value—healthier individuals and communities, as well as better, lower-cost care—include public reporting of standardized performance measures and linking measures to payment.

Evidence of support for this agenda includes the fact that approximately 85 percent of measures currently used in public programs are endorsed by the National Quality Forum (NQF),<sup>1</sup> as well as the significant use of NQF-endorsed measures by private health plans and employers. In addition, recent statutes—the 2008 Medicare Improvements for Patients and Providers Act (MIPPA) and the 2010 Affordable Care Act (ACA)—reinforce preferential use of NQF-endorsed measures on federal healthcare Compare Web sites, and linkage of endorsed measures to payment for clinicians, hospitals, nursing homes, health plans, and other entities.

In 2011, this commitment to a value agenda was significantly accelerated. Under the auspices of NQF, and in a historic first, private-sector

organizations voluntarily worked in a more coordinated and collaborative fashion with each other and with the public sector to forge consensus about how to further this accountability environment. Specifically, innovations in convening and rulemaking facilitated the private sector bringing its real-world experience to inform guidance to the Department of Health and Human Services (HHS) on implementing the first-ever National Quality Strategy (NQS), and provided advice on selecting the best measures for use across an array of federal health programs. Forward-thinking leaders—including those on Capitol Hill and within HHS—understand that the public and private sectors working independently will not yield improvements quickly or comprehensively enough in our unorganized and complex healthcare system.

We are grateful to Congress, HHS, and private-sector leaders for their vision and tenacity in designing and advancing this ambitious value agenda, and for the progress we collectively are making against it each and every day. These advancements are made possible because of the ever-expanding number of organizations and individuals who are committing themselves to work in partnership, including our colleagues at HHS; the more than 450 institutional members of NQF; the hundreds of experts who volunteer to serve on NQF committees; the NQF staff; and the many, many organizations that constitute the quality movement. We are privileged to work at the intersection of so many committed and diverse organizations that are increasingly rowing in the same direction to improve both our nation's health and healthcare for the benefit of the American public.

We are changing healthcare by the numbers.

William L. Roper, MD, MPH  
Chair, Board of Directors  
National Quality Forum

Janet M. Corrigan, Ph.D., MBA  
President and Chief Executive Officer  
National Quality Forum

### Executive Summary

The U.S. healthcare system is among the most innovative in the world and patients with very serious and/or unusual conditions are particularly appreciative of the range of therapies, interventions, and clinical talent it offers to treat them and restore them to health. That said, it is also one of the most fragmented, unorganized, and uncoordinated systems as compared to its counterparts in the industrialized world—which contributes to less-than-

optimal quality outcomes, serious patient safety problems, and very high per-capita costs.<sup>2, 3, 4</sup> Consequently, Members of Congress, business leaders from small and large companies, patients, physicians, nurses, and many others have come to the conclusion that Americans are not deriving enough value for the substantial dollars they spend.

Important strides have been made toward improving this value proposition over the last decade, starting with the *sine qua non* of using standardized performance measures to assess “how we are doing” on an array of healthcare quality and cost dimensions, making the measure results public, and then linking those results to provider payment. And while establishing this accountability environment is critical foundational work, it is not sufficient for achieving the kind of substantial improvements that the National Quality Strategy (NQS) envisions. Released by the Department of Health and Human Services (HHS) in March 2011 and supported by public- and private-sector healthcare leaders, the NQS is built around three compelling aims focused on healthy people and communities, better care, and more affordable care. To achieve these ambitious aims also will take fundamental reform of care delivery and payment, which, while underway, will still require time, effort, and perseverance to realize.

That said, the accountability environment’s basic infrastructure is moving into place. A key lesson learned in constructing it is that neither the public nor private sectors, nor any single stakeholder, can meaningfully shape it on their own. Healthcare is too large and complex, with too many interrelated parts, for a go-it-alone strategy to be fully effective. Recent actions of healthcare leaders demonstrate that they understand that sustainable solutions to our nation’s healthcare challenges are ones that all stakeholders embrace. Over the last year, significant progress has been made toward forging a shared sense of priorities for improvement; an agreed-upon way to set, continuously enhance, and implement strategies to achieve these priorities; and standardized methods for measuring progress along the way. Without such agreements, competing strategies and a plethora of near-identical measures run the risk of whipsawing providers and overburdening them with redundant and sometimes conflicting reporting requirements. In addition, such an environment can confuse consumers who increasingly seek to better inform

themselves as they play a more active role in healthcare decision-making.

Congress, wisely understanding this need for a quality infrastructure and more public-private collaboration, passed two statutes that included this notion, and directed HHS to work with a consensus-based entity to act as a key convener and measurement standard setter. These statutes include the 2008 Medicare Improvements for Patients and Providers Act (MIPPA) (Pub. L. 110–275) and the 2010 Patient Protection and Affordable Care Act (ACA) (Pub. L. 111–148). HHS awarded contracts related to the consensus-based entity to the National Quality Forum (NQF).

NQF has prepared this third Annual Report to Congress which covers highlights of work related to these statutes conducted under federal contract between January 14, 2011 and January 13, 2012. See appendix A for a complete listing of deliverables worked on and completed during the contract year.

#### *Building Consensus About What and How To Improve*

In the fall of 2010, as HHS was developing the first-ever NQS, the National Priorities Partnership (NPP), convened by NQF, was asked to provide initial input on the overarching aims and priority areas and published a report. Subsequently, in response to a second request from HHS, NPP identified three goals for each of the NQS six priorities in a second report, along with appropriate performance measures, and “strategic opportunities” to accelerate progress. These opportunities require leveraging the reach of the many public and private stakeholder groups participating in NPP, which balances the interests of consumers, purchasers, health plans, clinicians, providers, federal agency leaders, community alliances, states, quality organizations, and suppliers. In 2011, NPP focused further on enhancing patient safety, one of the six NQS priorities and a very important focus for HHS. More specifically, NPP worked collaboratively with HHS on its Partnership for Patients initiative, through hosting quarterly meetings and an interactive webinar series, which brought tools and ideas for reducing patient harm to nearly 10,000 front-line clinicians, hospitals, and other stakeholders across the country. Moving forward in 2012, NPP will draw on the real-world experience of its partners to develop implementation strategies, likely targeting patient safety in maternity care and readmissions.

#### *Endorsing Measures for Use in Accountability and Performance Improvement*

NQF completed 11 endorsement projects during the course of the contract year—using both the NQS priorities that cross conditions and leading health conditions with respect to prevalence and cost as a way to prioritize its efforts. In total, NQF committees evaluated 353 submitted measures and endorsed 170 new measures—or 48 percent of those submitted. While the number of measures endorsed is considerably higher than in previous years, the endorsement rate is lower due to the enhanced rigor of the review criteria. At the same time, NQF placed emphasis on reducing providers’ reporting burden by harmonizing specifications related to similar measures.

Currently, the portfolio of NQF-endorsed measures includes more than 700 measures, of which 30 percent assess patient outcomes and experience with care. Considerable progress also has been made in specifying measures for use with electronic health records. NQF worked with 18 measure developers to create eMeasure specifications for 113 existing endorsed measures, and released an initial and updated Measure Authoring Tool (MAT). The re-tooled measures and MAT are innovations that enable the field to get substantially closer to having electronic health records with the capacity to capture and report performance information during routine care.

#### *Aligning Payment and Public Reporting Programs That Reward Value*

A significant proportion—about 85 percent—of the measures used in federal programs are NQF-endorsed. Further, NQF-endorsed measures are used extensively by private health plans, state governments, and others. Such alignment can simultaneously reduce reporting burdens for providers and accelerate improvement because of the common signals that payers send. The NQF-convened Measure Applications Partnership (MAP), launched in the spring of 2011, fostered further alignment with its series of three performance measurement coordination strategy reports: *Clinician Performance Measurement, Dual-Eligible Beneficiaries, and Healthcare-Acquired Conditions and Readmissions Across Public and Private Payers*. As a part of these reports, MAP also developed a framework and criteria to guide the selection of the best measures for use in numerous payment and public reporting

programs. Building on these reports, MAP then provided pre-rulemaking guidance to HHS, including input on measure sets pertaining to 17 HHS programs, as well as strategies for enhancing consistency and minimizing reporting burden across federal programs and between public- and private-sector efforts. Leaders from nine different HHS agencies are actively participating in MAP.

This advice from MAP—provided many months in advance of relevant rules—represents a true innovation in rulemaking, with the public and private sectors now having forums for substantive back-and-forth dialogue that cuts across program silos, and a unique opportunity to build a shared perspective and consensus about measure selection. Measures related to care coordination—essential to making care more patient centered—are an object lesson for what is possible with pre-rulemaking convening and endorsement. More specifically, MAP recommended that an existing care transitions measure focused on hospitals also be used in other settings, and suggested a broadening of a readmission measure to include all ages and applicability to additional kinds of providers. MAP also advised the Center for Medicare & Medicaid Services (CMS) to require reporting of medication reconciliation measures at the time of transition between settings. As it turns out, NQF has already endorsed measures for medication reconciliation, readmission, and care transitions that apply to additional settings and populations so these measures can move right into other federal programs.

Taken together, the reports are important stepping stones for MAP as the Partnership works on a comprehensive measurement strategy it will recommend to guide HHS measure selection for federal programs in the coming years. This strategy will be informed by the Partnership's in-depth understanding of current measures and their use in relevant programs, opportunities for potential coordination and integration, growing collaboration across the public and private sectors, and a vision for the future.

Numbers are an essential guidepost for gauging healthcare performance, and measures may be a powerful motivator of change when paired with public reporting and payment. But alone, they cannot drive achievement of the value agenda. Rather, implementation of innovative measures needs to go hand-in-glove with fundamental redesign of delivery and payment systems to achieve the NQS' three, interconnected aims. And while local communities are

changing the way care is organized and paid for to break down existing silos, facilitate integration and coordination of care, and connect healthcare to other sectors (e.g., employment, education), such innovations have not yet swept the country. When they do, and are coupled with accountability strategies embraced by the public and private sectors, we will be able to achieve our goals of healthier people and communities, and better, less-costly patient care. We will have then changed healthcare by design and by the numbers.

### 1 National Quality Forum: Background

More than a decade after their publication, the Institute of Medicine's (IOM's) landmark *Quality Chasm and To Err is Human* reports still resonate: Our healthcare system continues to fall short on quality, safety, and affordability. That said, recent years have seen a re-energized commitment to improving care and constraining healthcare costs. HHS, NQF, and the increasing number of private-sector organizations that constitute the quality movement are at the center of that resurgence.

Established in 1999 as the standard-setting organization for healthcare performance measures, NQF today has a much-broadened mission to:

- Build consensus on national priorities and goals for performance improvement, and work in partnership with the public and private sectors to achieve them.
- Endorse and maintain best-in-class standards for measuring and publicly reporting on healthcare performance quality.
- Promote the attainment of national goals and the use of standardized measures through education and outreach programs.

NQF is governed by a 27-member Board of Directors (see Appendix B) from a diverse array of public- and private-sector organizations. A majority of seats on the board is held by consumers, employers, and other organizations that purchase healthcare services on consumers' behalf. In 2011, NQF convened hundreds of experts across every stakeholder group on its priority-setting, measure-review, and measure-selection committees—individuals who volunteered their time, talents, experience, and insights (see Appendix F). NQF also directly reached some 10,000 frontline clinicians, hospitals, and others with educational programming via webinars. And its endorsed performance standards touched the care delivered to millions of patients every day.

In recent years, the number and variety of NQF-endorsed measures has greatly expanded. More than 700 NQF-endorsed measures now address most settings of care, conditions, and types of providers. The measures portfolio includes clinical process measures, patient experience of care, the actual outcomes of care, the costs and resources that go into providing care, as well as select structural measures. The portfolio is being enhanced with advanced measures, such as functional outcome and crosscutting care-coordination measures. At the same time, the NQF portfolio is being carefully culled to retire measures that no longer meet the more rigorous criteria. In the last year alone, 353 measures were submitted to NQF and 170, or nearly half, were endorsed. This endorsement rate—or ratio of submitted-to-endorsed measures—reflects NQF's efforts to systematically raise the bar on performance measurement, even as it seeks to reduce the burden on providers by eliminating duplicative measures.

To be NQF endorsed, a measure must be a process or outcome that is important to measure and report, be scientifically acceptable, be feasible to collect, and provide useful results. NQF conducts an eight-step, consensus-based process that has been continually improved over a decade (see Appendix C). Review committees are comprised of multiple stakeholders; consumer organizations are equal partners with clinicians and other stakeholders throughout the process. There is a strong commitment to transparency and NQF invites public participation at every step, ranging from nominations for committees, to decisions on specific measures. Endorsed measures are re-evaluated every three years to ensure their actual use and usefulness in the field and their continuing relevance with current science, and to determine whether they continue to represent the best in class.

Measures included in the NQF portfolio are developed and maintained by about 65 different organizations. The following gives a sense of the range of organizations NQF works with: CMS, the National Committee on Quality Assurance (NCQA), the American Medical Association-Physician Consortium for Performance Improvement (AMA PCPI), Ingenix, the Joint Commission, American College of Surgeons (ACS), Bridges to Excellence, Cleveland Clinic, Minnesota Community Measurement, and Pharmacy Quality Alliance.

In recognition of its skill in building consensus across multiple stakeholders in the measure-endorsement realm, NQF

has been asked to convene diverse committees to advise the public and private sectors on priorities for improvement, related implementation strategies, and selection of measures to both drive these strategies and gauge results. The NQF-convened NPP and MAP and their published reports are tangible outcomes of this work. An equally important outcome of these partnerships is the ongoing alignment across stakeholder groups and across public- and private-sector leaders about what levers to use to both improve healthcare performance and move the delivery system to be more patient centered.

NQF has been fortunate to have received support from the federal government for over 10 years, with more substantial support starting in 2008 when federal leaders strongly committed themselves to designing and implementing a value agenda. More specifically:

- MIPPA has provided NQF with \$10 million annually over a four-year period starting in 2009. These funds—awarded to NQF through a competitive process—are supporting the organization's efforts to identify priority areas for improvement, endorse and update related performance measures, foster the transition to an electronic environment, and report annually to Congress on the status and progress to date of this effort.

- ACA has provided NQF with support of about \$10 million, starting in 2011. Under section 3014, Congress directed HHS to contract with “the consensus-based entity under contract” to provide multi-stakeholder input into the NQS, as well as advice to the Secretary of HHS on the selection of measures for use in various quality programs that utilize the federal rulemaking process for measure selection. With federal leadership and support, as well as the support of foundations and over 450 NQF member organizations, much has been collectively accomplished since NQF's founding in 1999. With more substantial and predictable support from the federal government over the last three years, and an enhanced commitment on the part of the public and private sectors to work together, the basic infrastructure for performance measurement is moving into place and our ability to shape and further an environment of accountability has grown. NQF's accomplishments during 2011 will be described against that backdrop.

#### Sidebar 1—Working With NQF Helped Spur Rapid Evolution of Ophthalmology Measures

There are many intangible benefits from the endorsement activities supported under the HHS contract. One of these is that it provides valuable input to measure developers which helps focus measure development resources on important gap areas. The efforts of the American Academy of Ophthalmology (AAO) are a case in point.

As early as the 1980s, and before many other specialty societies, AAO developed “preferred practice patterns” to provide practice guidance for ophthalmologists. These guidelines proved to be a solid foundation to draw from when, in 2006, AAO began developing related quality measures for quality improvement feedback and public reporting purposes. Over the last five years, AAO has developed ever more sophisticated performance measures—evolving from process, to outcome, to functional status—and credits involvement with the NQF review process as an important catalyst in this evolution.

More specifically:

- AAO—in collaboration with the AMA-PCPI—first worked to develop process measures focused on eye-care issues such as diabetic retinopathy (damage to the eye's retina as a result of long-term diabetes), and performance of optic nerve exams in primary open-angle glaucoma (chronic, progressive optic-nerve damage) patients.

- Recognizing that measures that evaluate actual results of care are more critical to improving quality, NQF encouraged AAO to shift its focus to developing clinical outcome measures. As a result, NQF later endorsed a measure focused on reducing glaucoma patients' eye pressure (which can lead to optic-nerve damage or blindness) by 15 percent.

- More outcome measures were later developed and endorsed under the HHS-funded outcomes project, focusing on issues such as complications within 30 days following cataract surgery, as well as 20/40 or better visual acuity within 90 days of cataract surgery.

- Recently, the NQF board has approved measures related to patient functional status, attempting to measure improvement in patients' visual functional status and their overall satisfaction within 90 days following cataract surgery. These measures are currently under NQF review, and have been included in the 2012 Physician Quality Reporting System (PQRS) measure set.

Dr. Flora Lum, executive director of AAO's H. Dunbar Hoskins Jr., MD Center for Quality Eye Care, noted that NQF's ability to bring patient and consumer perspectives to the Steering Committee responsible for evaluating measures has been invaluable over the years. AAO's efforts to advance healthcare quality continue, with the organization now striving to develop appropriateness-of-care measures.

The evolution of AAO's measures over a short time period is noteworthy and the information that results from the measures provides physicians with multi-faceted feedback about the care they deliver. Ideally, such information is available in rapid-response reports, with educational interventions to help facilitate improvements at the practice level, and over time, so that ophthalmologists and patients can gauge progress. As AAO has gone on this journey to develop ever-increasingly sophisticated and meaningful measures, NQF has been pleased to be a part of it. *[End of Sidebar 1]*

#### Sidebar 2—Resource-Use Measures: Critical to the Value Agenda

U.S. healthcare per-capita spending is greater than that in any other country, yet it has not resulted in better health for Americans. With costs increasing beyond annual inflation, spending is largely focused on treating acute and chronic illnesses rather than prevention and health promotion.

Deriving more value from health spending is predicated on having both quality and cost (or resource use) information. To date, limited information about resource use exists. CMS and many measure developers are working to change that, and in 2009, NQF was tasked with further defining resource-use measures and identifying important attributes to consider when evaluating them. NQF also endorsed its first-ever resource-use measures during the 2011 contract year.

As defined by NQF, resource-use measures are comparable measures of actual dollars or standardized units of resources applied to the care given to a specific population or event—such as a specific diagnosis, procedure, or type of medical encounter. The endorsed measures:

- Relative Resource Use for People with Diabetes
- Relative Resource Use for People with Cardiovascular Conditions
- Total Resource Use Population-Based Per-Member Per-Month (PMPM) Index
- Total Cost of Care Population-Based PMPM Index

“The endorsement of standardized measures of healthcare resource use and cost fills a huge void that has kept the nation from measuring the value of healthcare in a consistent way,” said Steering Committee member Dolores Yanagihara, director, pay for performance, at the Integrated Healthcare Association. “That said, it is a complex process, both technically and

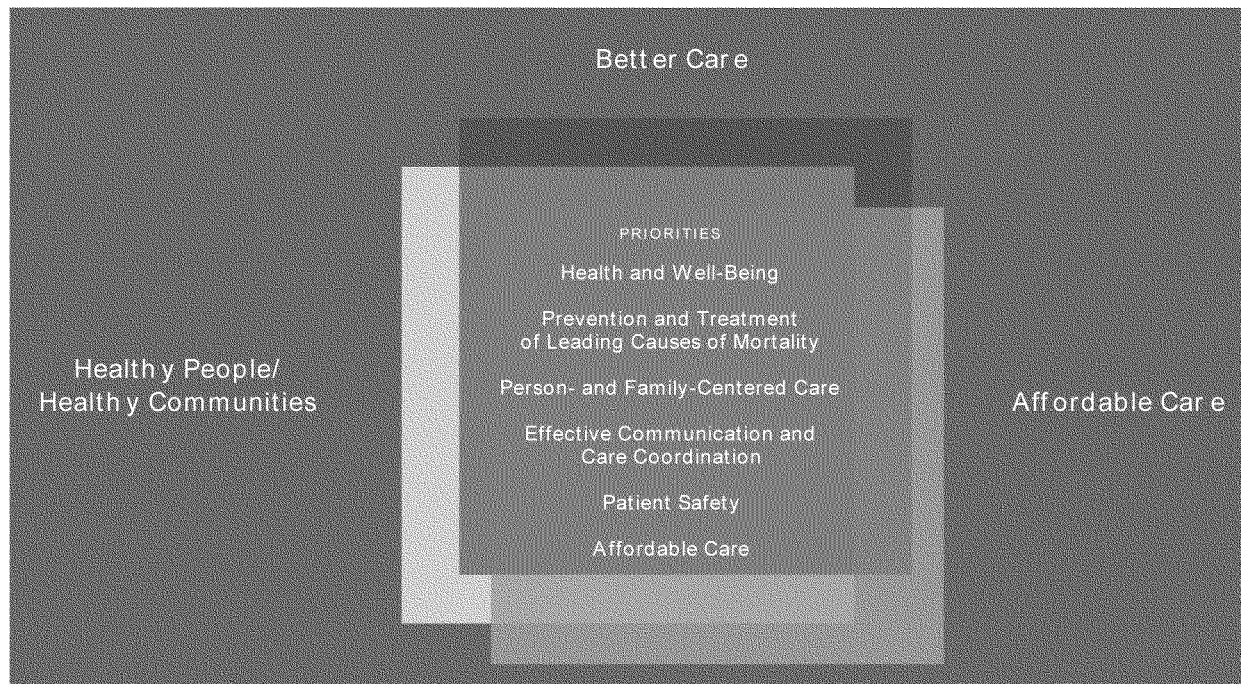
from an accountability standpoint. The measures recommended for endorsement give us a broader picture of healthcare—overall and related to specific conditions.” [End of Sidebar 2]

## 2 Bridging Consensus About Improvement Priorities and Approaches

Released by HHS in March 2011, the country’s NQS focuses the public and

private sectors on an inspiring set of three, interconnected aims—better care, more affordable care, and healthier people and communities—as well as six related priority areas (see Figure 1). While the field has long targeted improving clinical care, the NQS gives significant, equal heft to the notion of health/wellbeing and affordability.

**Figure 1: NQS Aims and Priority Areas**



The NQS provides a critical framework for the efforts of the multiple-stakeholder committees convened by NQF. These efforts range from discussions at the highest, most conceptual levels about a three-to-five-year measurement strategy to undergird the evolving value agenda; to committees working in a new measurement area and developing consensus about what and how to measure; to those simultaneously enhancing and culling a set of measures in an established area, while considering their larger context within the NQF-endorsed measurement portfolio.

### National Priorities Partnership

Development of the landmark NQS was informed by the collective input of the NQF-convened National Priorities Partnership (NPP), a collaboration of 51 public- and private-sector organizations uniquely qualified to represent the array

of stakeholders needed to improve the nation’s healthcare system. As the NQS was being formulated, HHS sought multi-stakeholder input from NPP on its aims and priorities. After publication of the NQS in March 2011, HHS again reached out to NQF to convene NPP to provide input on further specifying goals, measures, and implementation pathways to move the national strategy and related priorities forward, drawing upon the real-world experience of its stakeholder participants.

The NPP recommendations are captured in a follow-up report to the HHS Secretary, *Priorities for the National Quality Strategy*, published in September 2011. This second report identifies goals and measure concepts that address the three NQS aims and six priorities simultaneously. For example, there are suggestions for goals and measurement areas related to care coordination that cut across clinical conditions. This would encourage

better, more integrated care delivery, enhanced health outcomes, and fewer wasted resources. The NPP report also acknowledges that successful implementation of NQS-related goals and measures are predicated on strategic and technical measure alignment—or agreement—across various levels of accountability in our healthcare system. This starts at the most granular level—the patient and physician—and moves in a linked chain across a family of measures and levels of increasing aggregation. Without agreement about strategic direction and concordance on measure selection, a predictable cacophony results, frustrating clinicians and confusing consumers. The cholesterol-control example (Figure 2) provides an illustration of a family of measures with linkages across levels and illustrates this crucial strategy of alignment. Further, these NQF-endorsed measures are included in HHS’s newly launched and broad-based Million

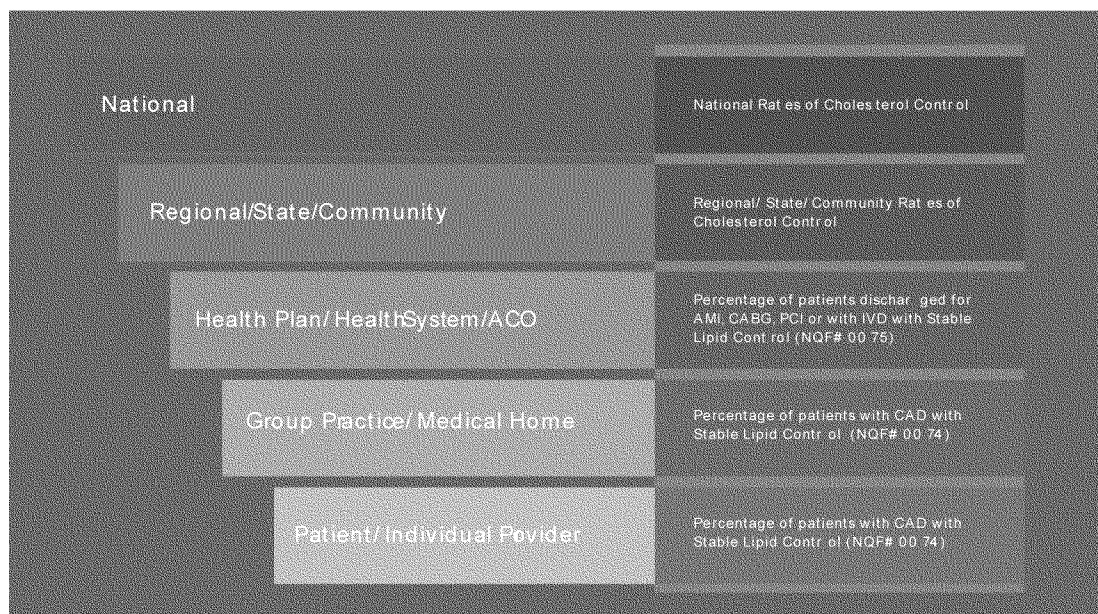
Hearts Campaign—a public-private initiative that aims to prevent one million heart attacks and strokes in five years.

In addition to NPP's consultative role as it relates to the NQS, NPP has served as a catalyst in developing

implementation strategies—working across diverse stakeholder groups to spur collective action—focused on improving patient safety and reducing patient harm. Such a focus also can reduce costs, with the IOM estimating

that decreasing healthcare-associated infections (HAIs), complications, and unnecessary readmissions by 10 to 20 percent could result in \$2.4 billion to \$4.9 billion annual savings for the U.S. healthcare system.<sup>5</sup>

**Figure 2: Family of Cholesterol Control Measures**



### *NQF's Focus on Safety*

In 2011, NQF's work in the safety realm spanned updating of measures and serious reportable events (SREs), a recommended approach for further aligning public- and private-sector patient-safety measurement strategies, and development of implementation strategies in support of HHS's Partnership for Patients Initiative.

Partnership for Patients is engaging stakeholders from the private and public sectors to reduce all-cause harm (i.e., all forms of harm that can affect patients) and hospital readmissions. More specifically, NPP partnered with the Partnership for Patients to host 11 webinars that attracted about 10,000 frontline clinicians, hospitals, and others across the country and provided education, tools, resources, and insight on key safety issues. These webinars ranged from big-picture interventions (e.g., how to get your Board on board when it comes to improving patient safety), to those with a more laser focus on clinical teams (e.g., reducing surgical-site infections [SSIs]). Nearly 90 percent of webinar participants, who came from every region of the country, reported that they would be able to

implement something new in their institutions as a result of this novel public-private programming. Moving forward in 2012, NPP is developing two action pathways, which its multiple partners can implement and spread. These pathways are focused on the health of mothers and babies by reducing elective deliveries before 39 weeks, and reducing avoidable admissions and re-admissions across all settings of care. These represent 2 of the 10 areas Partnership for Patients is pursuing to achieve its global safety and harm-reduction goals. Reaching these goals also will substantially reduce costs.

In addition, MAP released a report, *Coordination Strategy for Healthcare-Acquired Conditions and Readmissions Across Public and Private Payers*, in October 2011, detailing the ways in which public and private healthcare providers can align performance measurement to enhance patient safety. Specifically, the report makes three recommendations: (1) There needs to be a national set of core safety measures applicable to all patients; (2) Data need to be collected on all patients to inform these national core safety measures; and (3) Public and private entities need to

coordinate their efforts to make care safer. MAP's recent pre-rulemaking report further emphasizes the importance of safety measures by supporting their inclusion in federal public reporting and performance-based payment programs, and MAP will focus on alignment of core safety measures across programs in 2012. With respect to measure review, NQF endorsed numerous patient-safety measures, including healthcare-associated infections (HAIs), which now address long-term, acute-care and rehabilitation hospitals, and radiation-safety measures, to name a few.

NQF also updated its list of SREs, a compilation of serious, harmful, and largely—if not entirely—preventable patient-safety events, designed to help the healthcare field assess, measure, and report performance in providing safe care. In the 2011 update, the events were broadened in focus to explicitly include hospitals, office-based practices, ambulatory surgery centers, and skilled nursing facilities to reflect the various settings in which patients receive care and could experience harm. Based on input from users, the implementation guidance for each event was expanded, and a glossary was added to facilitate

uniformity in reporting of the events. The list includes wrong-site surgery; death or serious injury associated with medication errors or unsafe blood products; and failure to follow up on lab, pathology, or radiology test results. Public and private purchasers have drawn heavily from the SRE list in identifying healthcare-associated conditions for use in payment and reporting programs. (See Sidebar 3.)

#### Sidebar 3—NQF and Patient Safety Patient-Safety Measures

NQF's inventory of endorsed measures includes more than 100 patient-safety measures, with several focused specifically on healthcare-associated infections or HAIs. Preventing HAIs has become a national priority for public health and patient safety. To date, 27 states are requiring public reporting of certain HAIs. Further, the NQS has identified safer care as one of its primary aims and, in 2013, hospitals' annual Medicare payment updates will be tied to submission of infection data, including central line-associated bloodstream infections and surgical-site infections (SSIs).

In this past year, NQF endorsed four additional patient-safety measures focused on HAIs, including a successfully harmonized measure from the American College of Surgeons and the Centers for Disease Control and Prevention focused on SSIs, and updates of existing HAIs addressing urinary tract infections and bloodstream infections. These efforts were completed under federal contract.

#### Serious Reportable Events

Preventing adverse events in healthcare is also central to NQF's patient-safety efforts. To ensure that all patients are protected from injury while receiving care, NQF has developed and endorsed a set of serious reportable events (SREs). This set is a compilation of serious, harmful, and largely—if not entirely preventable—patient safety events, designed to help the healthcare field assess, measure, and report performance in providing safe care. The SREs focus on the following areas:

- Surgical or invasive-procedure events
- Product or device events
- Patient-protection events
- Care-management events
- Environmental events
- Radiologic events
- Potential criminal events

Originally envisioned as a set of events that would form the basis for a national state-based reporting system, the SREs continue to serve that purpose.

To date, 26 states and the District of Columbia have enacted reporting systems to help stakeholders identify and learn from SREs. The majority of those states incorporate at least some portion of NQF's list to help align reporting efforts and encourage learning across healthcare systems. [End of Sidebar 3]

Finally, NQF launched a project in 2011 that will leverage health IT data to address patient safety and quality concerns associated with medical devices, such as pumps used to deliver intravenous medications at home. This project, which continues in 2012, will determine what data needs to be collected and shared to improve quality and safety related to devices. It also will focus on ways to identify and report adverse events associated with the use of such devices.

#### 3 Endorsing Measures and Developing Related Tools

With its extensive evaluation (see Sidebar 4) and multi-stakeholder input, NQF is recognized as a voluntary consensus standards-setting organization under the National Technology Transfer and Advancement Act of 1995. In addition, NQF adheres to the Office of Management and Budget's formal definition of consensus.<sup>6</sup> Consequently, NQF-endorsed measures have special legal standing allowing federal agencies to readily adopt them into their programs, which they have done at a striking rate. About 85 percent of measures in federal health programs are currently NQF-endorsed, including those that apply to hospitals, clinicians, nursing homes, patient-centered medical homes, and many other settings.

In 2011, NQF completed 11 endorsement projects—reviewing 353 submitted measures and endorsing 170, or 48 percent. Enhancements to the endorsement process over the last year included strengthening its rigor by requiring testing of measures prior to measure review, initiation of a project to reduce endorsement cycle time, integration of review of existing measures with new measures to ensure harmonization and best-in-class assessment, and creation of an expedited review process to respond to important regulatory or legislative requests. In addition, NQF worked with 18 measure developers to update 113 electronic measures, or eMeasures, so they could be more readily collected through EHRs, and introduced and updated tools to respectively facilitate development and collection of eMeasures.

Sidebar 4—What does it take for a measure to get endorsed?

With the enhanced rigor of NQF's endorsement criteria, only about 50 percent of submitted measures were endorsed this past year.

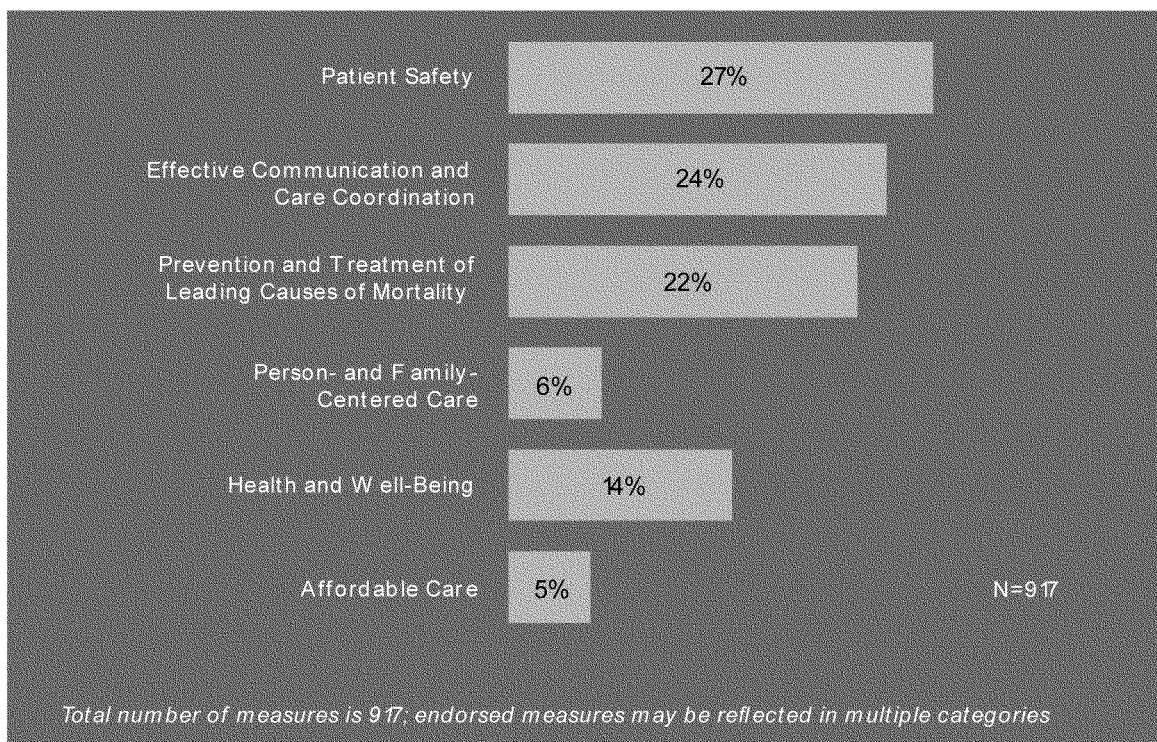
The leading reason that measures do not pass the grade is failure to meet the “must pass” importance-to-measure-and-report criterion. This includes being able to demonstrate that the proposed measure or related data is focused on a high-impact health goal or priority; there is less-than-optimal performance; and there is strong scientific evidence for the measure, with respect to quality, quantity, and consistency. NQF expert committees rate the evidence based on specific guidance.

The second “must pass” criterion is scientific acceptability of measure properties. In other words, do the data from testing the measure show that it is reliable and valid and precisely specified? Expert committees look for moderate-to-high ratings so they are confident the measure results are reliably consistent and can be compared across providers and analyzed longitudinally. Other important criteria include usability and feasibility—assessing whether intended audiences can understand the results and find them helpful for decision-making and quality improvement. The criteria also consider whether providers can collect data without undue burden. See Appendix C for more detail. [End of Sidebar 4]

#### NQF Endorsement in 2011

The overall framework used to guide the NQF measures portfolio is multi-dimensional. It includes the NQS crosscutting priorities, as well as leading health conditions with respect to prevalence and cost that affect an array of populations. Figure 3 provides a snapshot of how the current NQF-endorsed measures portfolio stacks up against the NQS, with the percentages reflecting the proportion of NQF-endorsed measures against the six priorities. Some measures are counted in multiple priority areas. The chart shows gaps in emerging measurement areas, including patient-family centered care, measures related to community health and wellbeing, and affordability. These gaps require significant foundational work to understand what to focus on for measurement and how to best overcome technical barriers. NQF has undertaken this foundational work over the last year, and has started to bring in measures in all of these areas for endorsement review.



**Figure 3: Percent Of NQF-Endorsed Measures Mapped to One or More NQS****Priorities**

The 170 measures newly endorsed by NQF in 2011 include many outcome measures; measures that focus on populations previously under-represented, including pregnant women and children; a number of patient-safety measures—given the importance of reducing patient harm; measures in new areas that fill important gaps, such as cost (resource use); as well as the updating of measures related to highly prevalent conditions, (e.g., cardiac and surgical care). More specifically:

**Outcome Measures**

NQF has made great strides over the past year to endorse measures that evaluate results of care, particularly in the patient-safety, nursing-home, and surgical-care areas. Outcome measures are considered most relevant to patients and providers looking for improved quality and patient experience, as opposed to measures that assess process or structure. Examples of outcome measures endorsed in 2011 include potentially avoidable complications for select conditions (i.e., stroke, pneumonia), remission of symptoms in patients with depression, and patient experience in nursing homes and dialysis facilities.

**Patient-Safety Measures**

Long a focus of NQF, these new patient-safety measures span settings and types of conditions. They include measures focused on HAIs (urinary tract, central-line-associated bloodstream, and SSIs), and measures focused on issues such as standardized data collection and reporting of radiation doses.

**Maternal and Child-Health Measures**

These populations have been underrepresented in performance measurement. NQF has worked to fill these gaps through two endorsement projects over the past year—child health, and perinatal and reproductive health. Child-health measures focus on important screenings and access to care, including immunizations, hearing assessments, and well-child visits. Other measures address population health outcomes, including the number of school days missed due to illness and birth outcomes. Proposed perinatal measures (this project is still underway) address procedures such as cesarean sections and elective delivery prior to 39 weeks.

**New and Existing Measurement Areas**

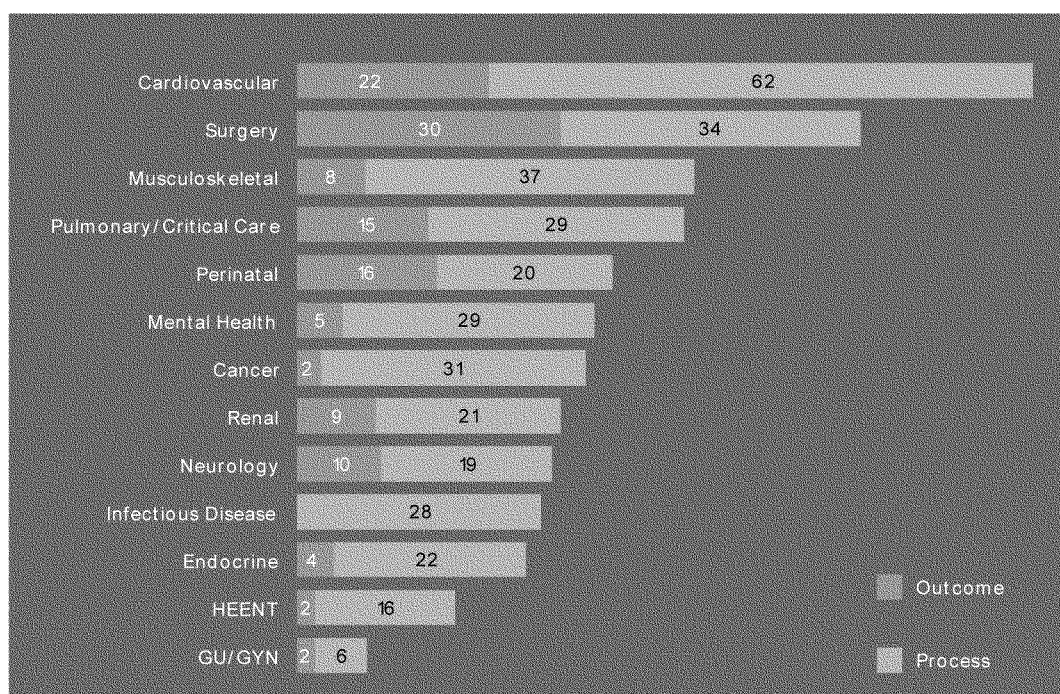
NQF reviewed measures related to resource use, both those related to conditions (e.g., diabetes and cardiovascular disease), and those related more to global resource use. Endorsement projects in 2011 also focused on reviewing existing measurement areas for high-prevalence conditions or areas (palliative care and end-of-life care, cardiovascular disease and kidney disease), adding new measures, and retiring others as the expert committees saw fit. More specifically, NQF endorsed or maintained measures focused on optimal vascular care, complications or death for specific surgical procedures, and assessment of post-dialysis weight by nephrologists for kidney disease patients. Although NQF has made considerable progress in endorsing outcome measures—which constitute about 30 percent of the portfolio—differences exist with respect to outcome and process measures across conditions, which is illustrated in Figure 4. For example, there are more outcome measures for surgery and perinatal care than for mental health and cancer care. Also, HAIs are reflected under surgery, not infectious disease.

When NQF begins to address a new measurement area, the relevant expert committee will often start by developing a framework report to guide its future measurement review. These reports may include a scan of existing measures, a discussion about where there are key opportunities for improvement, and consideration of potential technical

barriers. For example, NQF is developing a population health-measurement framework aimed at aligning delivery system, public health, and community stakeholder efforts to improve health outcomes and the social determinants of health. Historically, there has been little coordination across these sectors. NQF is also developing a

patient-centric measurement framework for assessing the efficiency of care provided to individuals with multiple chronic conditions. This report will inform NQF's future efforts to endorse measures that apply respectively to population health and care for people who have more than one chronic condition.

**Figure 4: NQF-Endorsed Measures: Process and Outcome measures BY clinical Areas**



#### *Culling the NQF Portfolio*

A key part of NQF's review process is focusing on endorsing best-in-class measures and eliminating similar or even identical measures that create confusion and burden across clinical settings and providers. This alignment of very similar measures—or measure harmonization—can reduce reporting burden for providers and enhance comparability of results for patients and payers, thereby reducing confusion and enabling decision-making. The harmonization of the surgical site infection measures from the Centers for Disease Control and Prevention and the ACS is a case in point (see Sidebar 5). Further, NQF's maintenance process retires existing measures that no longer meet the higher endorsement bar, thereby further culling the portfolio.

#### **Sidebar 5—Harmonizing Surgical-Site Infection Measures**

As part of NQF's federally funded Patient-Safety Measures project, similar

and competing surgical-site infection (SSI) measures from the Centers for Disease Control and Prevention (CDC) and the American College of Surgeons (ACS) were reviewed. The CDC SSI measure has been in use since 2005; the ACS measure since 2004.

As a result of NQF member and public comments, and requests by the Steering Committee, the developers worked with NQF support to harmonize these two competing approaches to measurement. The result is a newly harmonized SSI measure, which is currently focused on abdominal hysterectomies and colon surgeries. CDC and ACS will jointly maintain the measure. The two organizations have also committed to developing harmonized measures for other procedures and will incorporate them into the combined SSI measure.

Notably, CMS has selected this harmonized measure for inclusion in the 2012 final rule of the Inpatient Prospective Payment System (IPPS).

Dr. Clifford Ko, director of ACS's National Surgical Quality Improvement Program, was directly involved in this effort. Dr. Ko noted that the resulting measure—Harmonized Procedure-Specific Surgical-Site Infection Outcome Measure—will now be available to literally thousands of hospitals that want to measure and improve their surgical-site infection rates.

Dr. Daniel Pollock, surveillance branch chief in CDC's Division of Healthcare Quality Promotion, says CMS' decision to include this measure will significantly increase SSI reporting rates in hospitals throughout the country. With increased reporting, providers will have more opportunities to identify areas for improvement. In addition, patients and payers will have SSI rate information when they are choosing between hospitals in a community.

While both Drs. Ko and Clifford noted that some characteristics of the original measures may be diminished or lost,

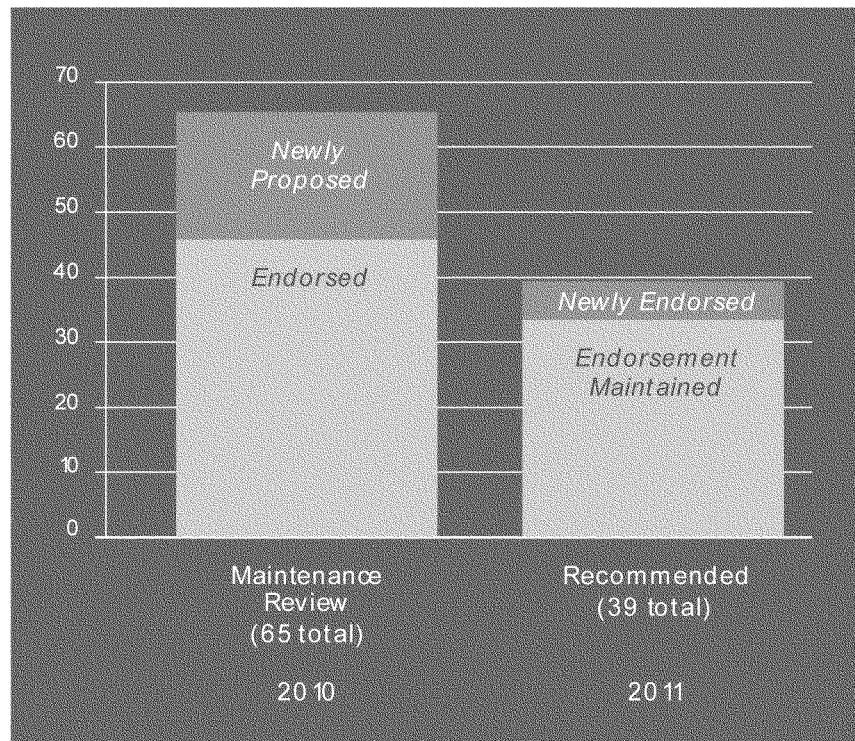
they agreed that harmonized measures help eliminate the confusion non-comparable measures create and that, ultimately, providers, payers, and the public benefit. [End of Sidebar 5]

The recent Cardiovascular Project illustrates how NQF expert committees now consider new measures against existing endorsed measures. Using the

measure evaluation criteria and guidance on evaluating related and competing measures, the Cardiovascular Committee reviewed proposed new measures and those undergoing maintenance, focusing on measures that address the broadest patient population or settings, while avoiding duplication

whenever possible. Based on this rigorous vetting, 39 out of 65 measures (7 new and 32 undergoing maintenance) were endorsed (see Figure 5). When all is said and done, between 2010 and 2011 this represents approximately 13 percent fewer NQF-endorsed cardiovascular measures in this project.

**Figure 5: Update of cardiovascular measures**



#### Enhancing NQF Endorsement

As NQF's measures portfolio evolves, so too does its endorsement process. In 2011, NQF enhanced the rigor of its process by requiring that measures be tested before they are reviewed. This requirement now ensures that expert committees have crucial information about measure reliability and validity as they consider endorsement. In addition, NQF also established an approach that added greater consistency to review of the underlying evidence for measures, and created an expedited endorsement pathway to be responsive to key regulatory or legislative requests. Finally, NQF embarked upon a number of efforts to enhance effectiveness of the review process, including a lean effort to further reduce endorsement cycle time. This effort, which got underway in late 2011, maps each of the steps of the endorsement process to drive out

redundancy, waste, and ultimately costs for measure developers, NQF, and HHS.

#### The Information Technology Accelerant

A future healthcare system that fully embraces health information technology (HIT) will allow for performance data to be collected in real time across settings, integrated, and regularly fed back to providers to inform practice and decision-making. It also will allow performance information to be made accessible in aggregated, de-identified, and timely public reports for payers and patients. Recent federal efforts—to simultaneously wire ambulatory practices and hospitals and assess providers' "meaningful use" of electronic health records (EHRs)—have been important steps on the path to a future HIT-enabled system.

Such milestones have been augmented by a number of NQF efforts that are helping the field move to a common electronic data platform that

allows for the collection of more clinically relevant and actionable performance-measurement data. These HIT-enabled environments hold out the promise of reducing reporting burden for clinicians and other providers, and enhancing the precision and comparability of results.

In the past year, NQF has worked with measure developers to re-specify paper-based measures for EHRs, and developed tools that allow measure developers to marshal the building blocks necessary for their successful implementation. In both cases, these efforts broke new ground. To the best of NQF's knowledge, they have never been attempted—or accomplished—before. More specifically:

#### E-Measures

In 2010, at the request of HHS, NQF worked with 18 measure developers to re-tool 113 existing, endorsed measures for the electronic environment—that is,

to develop electronic specifications that allow an EHR to calculate the measure—so they could be included in the Meaningful Use program. These eMeasures were further updated and enhanced in 2011. The measure stewards and NQF found that re-tooling measures for a new (electronic) platform was not a simple, straightforward matter; rather it involved the stewards re-conceptualizing each of the measures, with the support of NQF.

#### *Quality Data Model (QDM)*

This information model provides measure developers with a first-ever “grammar,” which defines data elements. These data elements can then be efficiently assembled and re-assembled into performance measures to be read by EHRs. Work on the QDM began in 2007, with funding from the Agency for Healthcare Research and Quality (AHRQ). In 2011, the third version of the QDM was released, which includes data elements to enable development of measures in gap areas, including patient/consumer engagement and disparities, as well as new methods of data capture and use. In summary, this effort makes a substantial contribution toward being able to more readily leverage existing electronic health-record data to produce clinically relevant, advanced measures.

#### *Measure Authoring Tool (MAT)*

This non-proprietary, web-based tool makes it easier and more efficient for measure developers to specify, submit, and maintain electronic measures, or eMeasures. Introduced in 2011, there are now more than 35 organizations using this tool for eMeasure development.

Work that began in 2011 and carries over into 2012 includes a project focused on sharing data across settings, convening a forum for stakeholders to

share best practices related to implementation of eMeasures, and a project that will leverage health IT data to address patient safety and quality concerns associated with medical devices, which was described previously. More specifically, with respect to the first two projects:

#### *HIT Systems To Support Care Coordination Measurement: Data Sources and Readiness*

This project is analyzing the current process for identifying and sharing data on significant patient factors, planned interventions, and expected outcomes (care goals) to support quality measurement related to transitions of care. It will recommend a critical path forward with specific action steps that the government can take to enable electronic measurement around care plans.

#### *E-Measure Collaborative*

The eMeasure Collaborative, a public forum convened by NQF, is bringing together stakeholders from across the quality enterprise. The eMeasure Collaborative’s goal is to promote shared learning and advance knowledge and best practices related to the development and implementation of eMeasures.

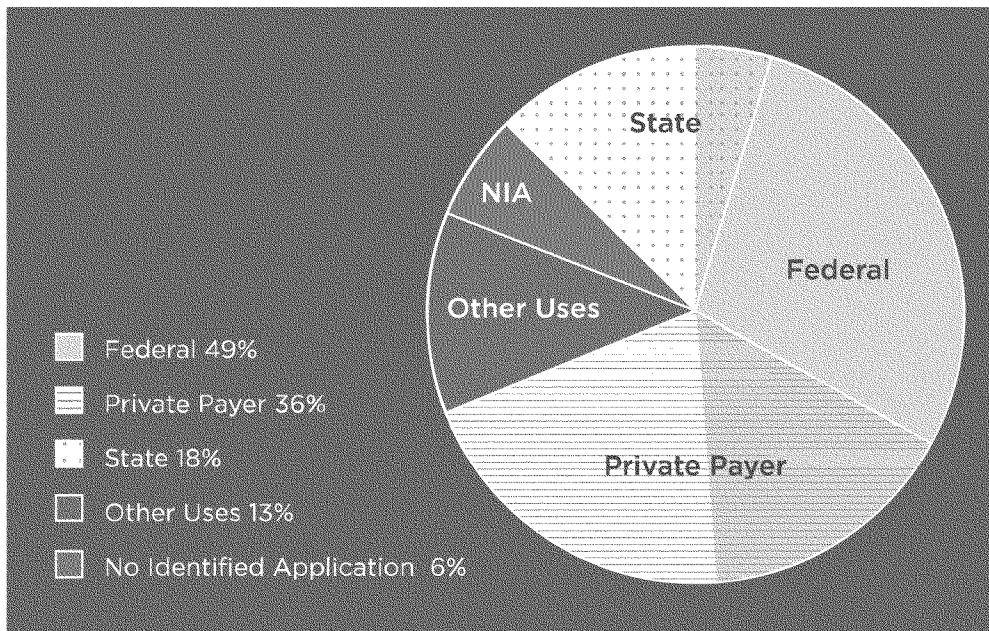
#### **4 Aligning Accountability Programs To Enhance Value**

At the request of HHS, NQF commissioned RAND Health to conduct an initial evaluation to better understand who is using NQF-endorsed measures and for what purposes. The RAND studies—coupled with NQF’s own internal tracking efforts to understand measure use—have helped to provide some important context for HHS, NQF, and the NQF-convened MAP discussions.

#### *Growing Use of NQF-Endorsed Measures*

RAND interviews of key stakeholders using NQF-endorsed measures and online research across approximately 75 varied organizations found that nearly all used NQF-endorsed measures, although the extent varied as did the particular measures selected for use. Further, the study showed that most organizations used endorsed measures in quality-improvement efforts, followed closely by public reporting, then payment programs. The 2011 study also found that there is a strong preference to use NQF-endorsed measures where they exist because they are vetted, evidence-based, and seen as more credible within the provider community.

NQF’s additional research outside of the HHS contract indicates that about 90 percent of the portfolio of NQF-endorsed measures is being used in varied programs across the public and private sectors. Figure 6 is an estimation of the use of NQF-endorsed measures by: federal programs; private payers such as health plans and employers; states; and an amalgamation of other key stakeholders such as national registries, accrediting and specialty board certifying organizations, and community alliances. The gold-colored, hatched, and dotted areas on the chart represent alignment in use of the same measures by key sectors—specifically the overlap between private payers (health plans and employers) and federal programs, and the overlap between state and federal efforts. Alignment holds out the promise of reducing data-collection burden for providers and associated costs, while simultaneously accelerating improvement by sending the same message about where providers should be focusing improvement resources.

**Figure 6: Uses of NQF-Endorsed Measures in Leading Accountability and QI Programs**

Overall use of NQF-endorsed measures by the federal government is high—about 85 percent of measures used in federal programs are NQF-endorsed. Yet the proportion of NQF-endorsed measures in use by various federal programs does differ. Sometimes it is a matter of timing. For example, the federal government has recently moved some non-endorsed measures into the Physician Quality Reporting System (PQRS) to better address the range of physician specialties. NQF is poised to quickly review such measures.

States also are heavy users of NQF-endorsed measures, in part due to federal programs that encourage or require standardized reporting at the state level, such as AHRQ's Health Care Utilization Project (HCUP), CDC

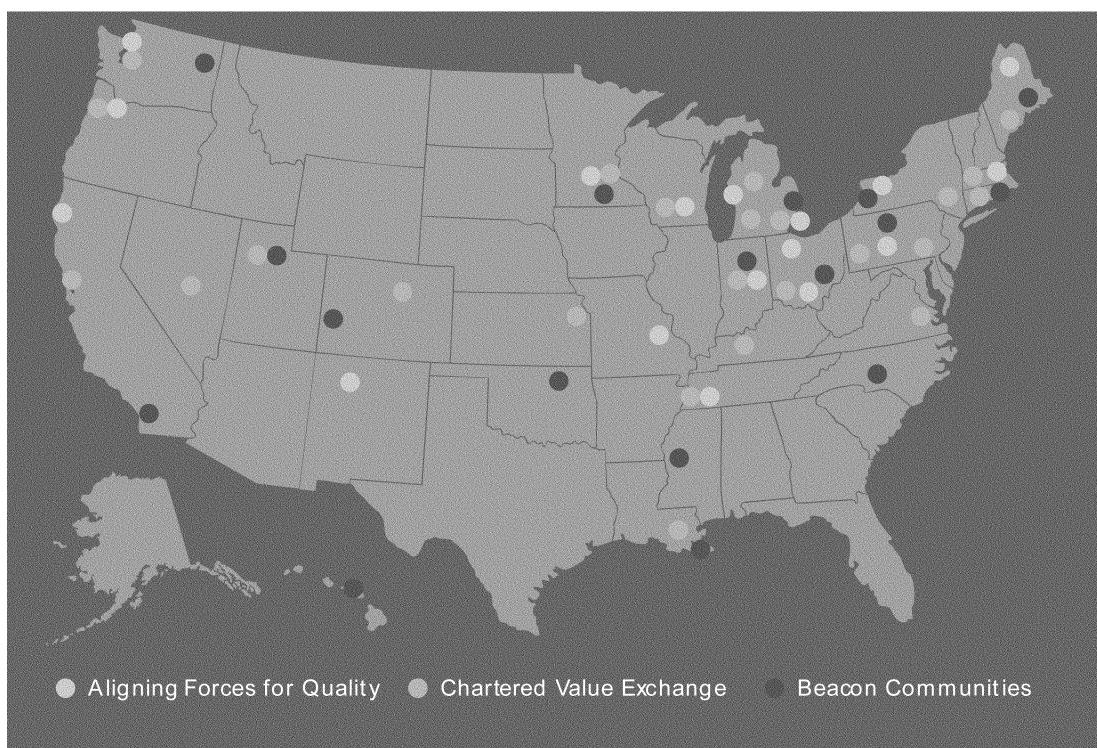
measures and surveys, CHIPRA, and Medicaid. For example, 81 percent of CHIPRA measures and 88 percent of core adult Medicaid measures are NQF-endorsed. In the safety realm, more than half of states and the District of Columbia have implemented reporting systems for SREs, as well as reporting of key patient-safety indicators such as bloodstream and SSI measures.

#### Sidebar 7—AF4Q: Alignment at the Community Level

At the community level it is more challenging to get a comprehensive picture of use of NQF-endorsed measures. That said, leading multi-stakeholder alliances in communities across the country use NQF-endorsed measures, including the Robert Wood

Johnson Foundation's Aligning Forces for Quality (AF4Q) alliances. To support community interest in aligning the measures they are using, a recent analysis conducted by NQF outside of the HHS contract has shown that at least 170 NQF-endorsed measures are being used in one or more of the 16 AF4Q alliances. In addition, NQF endorsed measures are being used by many of the Chartered Value Exchange (CVE) collaboratives, the federally-funded Beacon communities, other communities and a number of states. Given that there is no national requirement to use standardized measures at this level, communities/states have shown leadership in adopting such measures into their local programs.

## EXAMPLES OF COMMUNITIES FOCUSED ON QUALITY<sup>1</sup>



The Robert Wood Johnson Foundation's *Aligning Forces for Quality* initiative seeks to increase the quality of healthcare and reduce racial and ethnic disparities in 16 diverse communities—with the involvement and collaborative efforts of physicians, patients, consumer groups, hospitals, health plans, and others.

The U.S. Agency for Healthcare Research and Quality (AHRQ) supports 24 Learning Network Chartered Value Exchanges. The CVEs are experimenting with new ways to bring healthcare stakeholders together to collect data and improve the quality of care.

The federal Beacon Community Cooperative Agreement program provides 17 communities with funding to improve quality, cost-efficiency, and population health using electronic health records and other health information technology tools to collect and analyze clinical data. The program's goal is to demonstrate the ability of health IT to transform local healthcare systems.

<sup>i</sup> Geographic reach of these efforts varies, e.g., state-wide, county-specific [End of Sidebar 7]

### Measure Application and Alignment

Convened by NQF in the spring of 2011, the Measure Applications Partnership (MAP) is a public-private

partnership made up of 60 organizations representing major stakeholder groups, 9 federal agencies, and 40 subject-matter experts. It was established to provide HHS with thoughtful, pre-rulemaking input about which performance measures to use in public reporting and payment within and across 17 federal programs. Simultaneously, MAP is informing the thinking and decisions of private-sector leaders with respect to their measure-selection strategies.

### Federal Agencies Participating in Map

- Agency for Healthcare Research and Quality
- Centers for Disease Control and Prevention
- Centers for Medicare & Medicaid Services
- Health and Human Services' Office on Disability
- Health Resources and Services Administration
- Office of the National Coordinator for Health Information Technology
- Office of Personnel Management
- Substance Abuse and Mental Health Services Administration
- Veterans Health Administration

MAP represents an important innovation in the regulatory process made possible by ACA statute. In contrast to traditional federal rulemaking—where there are limited, unidirectional forums for input before

draft rules are issued and no forums that cross programmatic areas—MAP enables public- and private-sector leaders to work together on creating a measurement strategy and implementation plan that is crosscutting and coordinated across settings of care; federal, state, and private programs; levels of measurement analysis; payer type; and points in time. This is not an overnight prospect, but important, unprecedented steps in the direction of strategic alignment were taken.

In 2011, MAP consisted of four programmatic-oriented workgroups—clinician, hospital, LTC/PAC, and dual-eligible beneficiaries—and an ad-hoc safety workgroup, each of which makes recommendations to the MAP Coordinating Committee. This independent committee then integrates and aligns these recommendations across the four programmatic areas—which represent 17 different federal programs—and advises HHS directly. (See Sidebar 8)

Sidebar 8—Measure Applications Partnership Workgroup Leadership  
MAP Coordinating Committee Co-Chairs

George Isham, MD, MS, Chief Health Officer, Health Partners

Elizabeth McGlynn, Ph.D., MPP, Director Center of Effectiveness and

Safety Research (CESR), Kaiser Permanente

#### MAP Advisory Workgroups

Ad-Hoc Safety Workgroup:

Frank G. Opelka, MD FACS, Chair, Vice Chancellor for Clinical Affairs and Professor of Surgery, Louisiana State University

Clinician Workgroup:

Mark McClellan, MD, Ph.D., Chair, Director, Engelberg Center for Health Care Reform, Senior Fellow, Economic Studies, Brookings Institution, Leonard D. Schaeffer Chair in Health Policy Studies  
Dual-Eligible Beneficiaries

Workgroup:

Alice R. Lind, MPH, BSN, Chair, Senior Clinical Officer, Center for Health Care Strategies

Hospital Workgroup:

Frank G. Opelka, MD FACS, Chair, Vice Chancellor for Clinical Affairs and Professor of Surgery, Louisiana State University

Post-Acute/Long-Term Care (PAC/LTC) Workgroup:

Carol Raphael, MPA, Chair, President and Chief Executive Officer, Visiting Nurse Service of New York [End of Sidebar 8]

In the fall of 2011, and in advance of future measure-selection recommendations, MAP issued reports offering advice to HHS about how the agency might better coordinate its measure strategies as it relates to efforts focused on improving safety and clinician performance. Its reports include *MAP Coordination Strategy for Clinician Performance Measurement and MAP Coordination Strategy for Healthcare-Acquired Conditions and Readmissions Across Public and Private Payers*. In 2011, MAP also released the first of two reports focusing on dual-eligible beneficiaries who are enrolled in both Medicare and Medicaid programs: *MAP Strategic Approach to Performance Measurement for Dual-Eligible Beneficiaries*. Despite many of these individuals being the sickest and poorest patients enrolled in any federal program, not to mention among the most expensive, there has been little effort to date to use measurement as a tool to improve their care. For more detail about NQF's efforts to address vulnerable populations, see sidebar 6.

#### Sidebar 6—NQF Focuses on Vulnerable Populations

Vulnerable populations—from the disabled, to veterans, to special needs kids, to low-income individuals and racial/ethnic minorities, among others—

often require a different and frequently higher level of care. Over the past year, NQF has taken on two major projects with a prime focus on such vulnerable individuals—*The Measure Applications Partnership (MAP) Strategic Report: Performance Measurement for Dual-Eligible Beneficiaries Interim Report to HHS*, and measurement work focused on disparities in healthcare.

The interim MAP report provides multi-stakeholder input on performance measures to assess and improve the quality of care delivered to individuals who are eligible for both Medicare and Medicaid (dual-eligible). An estimated 8.9 million individuals are classified as dual-eligible, a population that includes many of the poorest and sickest individuals in our communities. This particular population frequently experiences fragmented care and accounts for a disproportionate share of total healthcare costs.

In its initial phase of work, MAP has developed a strategic approach to performance measurement and identified opportunities to promote significant improvement in the quality of care provided to these vulnerable populations. The core of the strategic approach is composed of:

*A vision for high-quality care.*

Centered on the needs and preferences of an individual and his or her loved ones, this relies on holistic supports to maximize function and quality of life.

*Guiding principles.* These include desired effects, measurement design, and data.

*A discussion of high-need subgroups.* MAP deliberations suggested that there is not yet an established taxonomy for classifying subgroups of the dual-eligible population. MAP members observed that combinations of particular risk factors lead to high levels of need in an additive or synergistic manner.

*High-leverage opportunities for improvement through measurement.* MAP reached consensus on five areas where measurement could drive significant positive change, including quality of life, care coordination, screening and assessment, mental health and substance use, and structural measures of coordination between Medicare and Medicaid benefits.

In addition to the four primary elements, MAP also considered issues related to data sources and program alignment as inputs to the strategic approach. MAP will next consider gaps in currently available measures and may propose new measure concepts for development. A final report with MAP's input on improving the quality of care delivered to dual-eligible beneficiaries, including recommendations related to

measures, is due to HHS on June 1, 2012.

NQF's healthcare disparities measurement efforts are multi-faceted. For example, measure developers are required to submit measure results stratified by race and ethnicity at the time of measure evaluation. NQF has also worked to endorse measures that address vulnerable populations, including measures used for the Children's Health Insurance and Reauthorization Act (CHIPRA) and Medicaid, as well as measures that fulfill important needs for vulnerable populations, including frail elders, pregnant women, children, and those who suffer from mental illness. With respect to already endorsed measures, NQF is working to identify measures across all settings that should be routinely stratified by race and ethnicity in order to identify conditions and populations that require targeted improvement efforts to improve quality and eliminate disparities. [End of Sidebar 6]

MAP's initial pre-rulemaking report published on February 1, 2012, and based on the consensus of 60 organizations:

- Recommends that 40 percent of the measures CMS was considering move into federal programs targeting clinicians, hospitals, dual-eligible beneficiaries, and PAC/LTC settings via rules issued in 2012, with another 15 percent targeted for future consideration after further development, testing, and feasibility issues are worked out. MAP did not support inclusion of about 45 percent of other measures proposed by CMS. CMS submitted a large number of measures and measure concepts to get early, detailed feedback about them from key stakeholders. Consequently, many of the measures submitted did not have enough information to guide MAP measure evaluation and selection. See Appendix D for the criteria MAP used to guide measure selection.

- Expresses clear preference for use of NQF-endorsed measures and feedback loops. Nearly 87 percent of measures MAP supported for inclusion are currently endorsed by NQF, and many more are likely eligible for expedited review. That said, assessing the qualitative and quantitative impact of NQF-endorsed measures in the field would provide new and important information for future MAP analyses and decision-making.

- Considers how to further align measures across programs and with the private sector with the goal of more targeted, interrelated sets of measures that are reported by different kinds of providers, in different settings and

sectors, and across time. A good example is care-coordination measures contained within existing programs—care transitions, readmissions, and medication reconciliation—which MAP recommends be applied to additional kinds of providers, types of settings, and, consequently, to span and be integrated across federal programs. See Figure 7 to get a more detailed sense for MAP’s crosscutting recommendations for care coordination.

- Lays out guiding principles for a future three-to-five-year measurement

strategy that supports movement towards a healthcare system that enhances value for patients, communities, and those that pay the bills on their behalf. In this future 21st century system, priority is placed on measures that drive the system toward meeting the NQS; measurement is person- rather than clinician- or setting-focused; and measures span settings, time, and types of clinicians. Person-centered measurement provides information about what matters to patients (e.g., “Will I be able to run after

I recover from knee surgery?”) and measures that are specific to patient populations or care over time, (e.g., “Did I get the care and support needed to manage my diabetes so that I did not lose my vision or my mobility?”). This kind of measurement is predicated on a redesigned delivery and payment system, and an HIT-enabled environment that facilitates both coordination and integration of care for a range of patients across the continuum.

FIGURE 7—ALIGNING CARE COORDINATION MEASURES ACROSS PROGRAMS

	Clinician	Hospital	Post-acute care/long-term care
Care Transitions ....	Support CTM–3 (NQF #0228) if successfully developed, tested, and endorsed at the clinician level.	Support immediate inclusion of CTM–3 measure and urge for it to be included in the existing HCAHPS survey. Support several discharge planning measures (i.e., NQF #0338, 0557, 0558).	Support CTM–3 if successfully developed, tested, and endorsed in PAC–LTC settings. Identify specific measure for further exploration for its use in PAC–LTC settings (i.e., NQF #0326, 0647).
Readmissions .....	Readmission measures are a priority measure gap and serve as a proxy for care coordination.	Support the inclusion of both a readmission measure that crosses conditions and readmission measures that are condition-specific.	Identify avoidable admissions/readmissions (both hospital and ER) as priority measure gaps.
Medication Reconciliation.	Support inclusion of measures that can be utilized in a health IT environment including medication reconciliation measure (NQF #0097).	Recognize the importance of medication reconciliation upon both admission and discharge, particularly with the dual eligible beneficiaries and psychiatric populations.	Identify potential measures for further exploration for its use across all PAC–LTC settings (i.e., NQF #0097).

The MAP proposed guiding principles support the direction of many public- and private-sector leaders who are innovating to move the nation’s care delivery system towards more organization and shared accountability for patient welfare, community health, and stewardship of scarce resources. Where appropriate, they are encouraging transitioning from solo-physician practices to actual and virtual patient-centered medical homes, from stand-alone hospitals to those working collaboratively with an array of providers in an integrated delivery system or Accountable Care

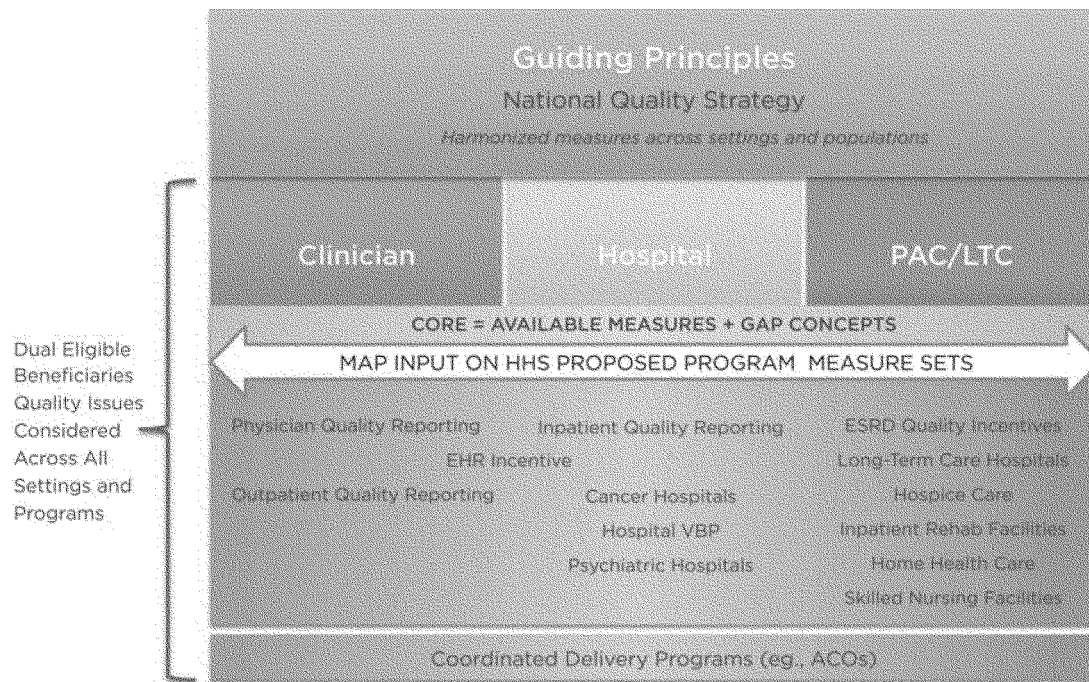
Organization (ACOs), and from single-specialty to multi-specialty physician groups working more closely with public health oriented organizations. Figure 8 details some key principles to guide measure selection, measurement tactics, the providers the measures are focused on, and the related federal programs.

Implementation of more advanced measures will be possible once care is more organized and integrated, payment crosses settings and providers, and HIT infrastructure is widely in place. Advanced measures could include how well patient care is coordinated between

primary and specialty care and across specialists; whether patients are free of pain and can return to work, school, and other daily obligations; the degree to which patient preferences are incorporated into care decisions; and whether recommended care was appropriate in the first place and delivered cost effectively. Progress is being made as it relates to the development and implementation of such advanced measures, but is predicated on more integrated payment and delivery systems, as well as robust, common electronic data platforms.



Figure 8



### Achieving Results

Those working to improve performance of the healthcare system are impatient for results, which take time to demonstrate and are influenced by many factors beyond measurement. Nevertheless, there are promising examples, particularly for hospitals and health plans that have been collecting, reporting, and acting on performance measures for a number of years. The case studies included in this section of the report were selected to provide illustrative examples of different kinds of programs and providers using NQF-endorsed measures (although they are efforts conducted outside of the federal contracts.) Taken together, and reflecting upon NQF's accomplishments over the last year, the case studies provide a clear sense that there is forward momentum, as well as a growing commitment on the part of healthcare leaders to enhance healthcare value for patients, communities, and payers.

### Eight Years of Hospital Reporting Show Results

In 2002, three hospital industry associations demonstrated leadership by joining with HHS, The Joint Commission, consumer organizations, and other stakeholders to create a more unified approach to reporting hospital performance information to the public.

They launched the Hospital Quality Initiative—later re-named the Hospital Quality Alliance (HQA)—and defined its role as:

- Identifying measures for reporting that are meaningful, relevant and understood by consumers;
- Rallying hospitals to participate in the initiative and act on the performance results; and
- Aligning stakeholders to reduce redundant and wasteful data collection and reporting.

From the beginning, HQA recommended NQF-endorsed measures because of the organization's transparent, rigorous multi-stakeholder consensus process and strong evidence-based approach to endorsement.

In 2003, performance results for over 400 hospitals were reported on the CMS Web site for the first time. A year later, CMS began penalizing hospitals financially if they did not report to CMS the same performance information they were required to send to The Joint Commission to maintain hospital accreditation. Between 2003 and 2004, the number of hospitals reporting their results to CMS tripled—from over 400 to more than 1,400 hospitals. In 2005, CMS launched Hospital Compare. Today, over 4,000 hospitals simultaneously report performance data to CMS and The Joint Commission, and the number of measures collected has steadily

increased. In 2012, The Joint Commission will incorporate hospital performance into its accreditation determinations for the first time.

Performance results improved steadily over the last eight years. A recent analysis of hospitals shows marked improvement based on NQF-endorsed measures between 2002 and 2009.<sup>7</sup> More specifically, in 2002, about 20 percent of hospitals exceeded 90 percent performance on 22 key measures; by 2009 that percentage had climbed significantly to 86 percent. Key NQF-endorsed measures include measures related to heart attack and heart failure care, surgical care, children's asthma care, and pneumonia care, among others.

This tight alignment between HQA, CMS and The Joint Commission regarding use and reporting of NQF-endorsed measures is a likely contributor to hospitals improving their performance over time. At the end of 2011, HQA decided to close its doors—noting that it had accomplished what it had set out to do: establishing a unified approach to collection and public reporting of hospital performance information. HQA also acknowledged that recommendations for measure selection going forward would be best left to the NQF-convened MAP, which is constituted to look across all federal

programs to foster alignment and a clear strategic direction for measurement use.

#### *Linking Quality Measurement to Payment Reform*

##### *Blue Cross Blue Shield Massachusetts' Alternative Quality Contract*

In January 2009, Blue Cross Blue Shield of Massachusetts (BCBS) piloted the Alternative Quality Contract, a pay-for-performance model directly linking payment to meeting quality and cost benchmarks. The private-payer program provides financial bonuses to participating provider organizations such as multispecialty groups, independent practice associations, and physician-hospital organizations that stay within a specified annual budget and meet clinical quality targets. The budget takes into account the entire spectrum of care, ranging from inpatient and outpatient services to long-term care and prescription drug costs.

Performance was evaluated on the quality of care delivered in several clinical settings based on NQF-endorsed measures. More specifically:

Seven participating clinical groups were eligible for bonus payments as high as five percent based on 32 NQF-endorsed ambulatory and office-based quality measures. Measures included and focused on conditions and procedures such as diabetes testing and controlled LDL-C levels; breast, cervical, and colorectal cancer screenings; and patient experience with accessing and understanding care options.

Providers were eligible for another five percent bonus payment based on 32 NQF-endorsed hospital-based measures. These measures focused on surgical site and wound infections, in-hospital mortality rates, and patient satisfaction communicating with doctors and nurses.

Initial performance evaluations showed that across the board, provider groups delivered care within the scope of their budgets and performed well on clinical quality measures, allowing them to receive financial rewards of up to 10 percent of the total per-member per-month payments.<sup>8</sup>

The results illustrate that programs like the Alternative Quality Contract can offer providers strong incentives to control healthcare spending across the continuum while continuing to provide high-quality care. This idea is in line with recent policy proposals to design payment systems that reward high-quality, efficient, and integrated care.

#### *National Priorities Focus North Carolina Hospitals*

The North Carolina Center for Hospital Quality and Patient Safety (NCQC) was established by the North Carolina Hospital Association (NCHA) in 2004. The two organizations worked in partnership to conduct quality improvement collaborative projects across the state for about four years, but progress had grown stagnant. With North Carolina ranking as only the 35th healthiest state, NCQC's director embraced the NPP's 2008 *National Priorities and Goals* report recommendations as a way to focus, spur action, and benchmark North Carolina hospitals against national goals. Subsequent NPP reports have built on this first report.

The NCQC targeted much of its initial efforts on patient safety, made sure that frontline staff understood how their actions related to the hospital-wide improvement goals, and focused on both culture change and building up quality improvement skills. The Central Line-Associated Bloodstream Infection (CLABSI) Collaborative, which involved 40 ICUs, was particularly successful. Using a separate intervention program that sought to learn from mistakes and improve safety, the CLABSI Collaborative achieved a 46 percent reduction in central-line infections over the 18-month time period. These results translated into saving approximately 18 lives (using a 15 percent fatality rate) and saving \$4.5 million (using \$40,000 as the extra cost to a hospital for a CLABSI) across 40 hospitals.<sup>9</sup>

It is important to note that although many individual hospitals had success, not all hospitals in North Carolina participated, and the state rate of CLABSIs did not decrease as much as NCQC had hoped. To address this, NCQC launched a Phase 2 of the initiative to continue its focus on reducing central-line infections, using the NQF-endorsed CLABSIs measure as a way to guide progress and benchmark themselves nationally. The NCQC has stated that it is too early to tell if alignment with the NPP priorities will enable it to meet its own performance goals, but does acknowledge measureable and exciting progress against benchmarks it set.

#### *Performance of Thoracic Surgeons Published in Consumer Reports*

More than two decades ago, The Society of Thoracic Surgeons (STS) launched the Adult Cardiac Surgery Database to track and improve surgical quality. It is the largest cardiothoracic surgery outcomes and quality

improvement program in the world, containing more than 4.5 million surgical records and representing approximately 94 percent of all adult cardiac surgery centers throughout the U.S.

Twenty plus years after the launch of its database, STS made the bold decision to offer participating surgical groups the option of voluntarily reporting their performance data in *Consumer Reports*. More specifically, *Consumer Reports* began publicly reporting heart surgery ratings at the surgical group level starting in 2010—including survival rates, complication rates, and other key NQF-endorsed measures. These ratings are now available on a bi-yearly basis.

A variety of factors influenced STS's decision to begin publicly reporting surgical performance, including the organization's vast experience with collecting and analyzing performance measures; a desire to leverage public reporting to further accelerate improvements in thoracic surgeon performance; and wanting to exhibit leadership in an environment of enhanced accountability.

Doris Peter, manager, *Consumer Reports' Health Ratings Center*, notes that reaction to the reports has been very positive from cardiac surgery groups and consumers alike. Peter noted that the first time STS's data was published in *Consumer Reports*, there were 20 million web impressions on the ratings. *Consumer Reports'* readership is 8 million. Due to this success, the subsequent September 2011 release made the cover of *Consumer Reports* print edition. To date, 36 percent of STS surgery groups are participating in the *Consumer Reports* ratings, a 65 percent increase from the first release.

#### *Looking Forward*

A dozen years in existence, NQF has been able to make particularly strong strides in the last three years with the support of federal funding stemming from MIPPA and ACA, building very much upon the strong collaborative relationship that has been established between NQF, its hundreds of private sector partners, and HHS. At a high level, results over these three years include:

- The ability of NQF to now set and implement a multi-year plan for measure endorsement that is cognizant of addressing gaps and focused on implementing a vision for where advanced measurement is heading in a 21st century healthcare system. Over the three years, NQF endorsed 184 measures under the federal contracts, and completed maintenance of 136

previously endorsed measures. Currently, there are 233 measures under maintenance review, another 157 measures undergoing updates to specifications, and 43 measures having testing results reviewed. These efforts involved approximately 65 measure developers and hundreds of experts who volunteered their time on review committees. In addition, NQF has developed tools that allow measure developers to more readily create and implement eMeasures so that providers can collect more meaningful and actionable clinical data that is both comparable for public reporting and valid for payment purposes.

- Broad recognition that NQF is an effective and trusted convener of public- and private-sector leaders—reflected in the organization’s multi-stakeholder membership, established processes for achieving consensus, and its commitment to scientific evidence and transparency. This recognition has translated into requests that NQF-convened committees advise HHS on the first-ever NQS and related measurement strategy, as well as detailed measure-selection recommendations. NQF deliverables to HHS have been in the form of reports. Less perceptible perhaps is the growing consensus between scores of public- and private-sector leaders about how to collaborate to improve performance, which is translating into alignment around quality-improvement priorities and measure use.

Looking ahead, NQF and the broader quality movement are at an exciting juncture. A robust measurement infrastructure is moving into place, and increasingly there is a shared commitment about what to improve and what measures to use in the process of doing so. Over the next couple of years, NQF will be:

- Putting the patient first by facilitating efforts that move the field toward a focus on patient-oriented as opposed to clinician-oriented

measurement. Implementation of patient reported measures—including those that address experience of care, functional status, patient reported outcomes and care coordination—can help put the patient at the center of care.

- Helping drive waste out of the system by focusing on bringing more cost/resource use measures through NQF endorsement and understanding in more detail how existing NQF endorsed quality/safety measures—including readmission, medication reconciliation and care coordination measures—can contribute to a more cost-efficient system.

- Facilitating a future measurement vision by supporting efforts of the NPP and MAP Partnerships to develop a 3–5 year comprehensive measurement strategy—with broad and strong backing from multiple stakeholders—to recommend to HHS. The intent is that this strategy will cross settings and levels of care, as well as types of clinicians, and will in essence drive a strategic plan for payers that moves the needle with respect to the NQS’s six priorities.

- Bringing the public and private sectors closer together by further strengthening collaboration and deepening their commitment to the value agenda, further aligning their respective measurement strategies to reduce redundant data collection, and dramatically accelerate improvements in performance of the U.S. healthcare system.

In the coming years, the country should be in the position of realizing many benefits from these efforts to change healthcare by the numbers.

**Endnotes**

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**Appendix A: 2011 Accomplishments: January 14, 2011 to January 13, 2012**

Description	Output	Status (as of 1/13/12)	Notes/scheduled or actual completion date
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**I. Priorities, Principles, and Coordination Strategies**

Provision of input on priorities for the NQS.	<i>Input to the Secretary of Health and Human Services on Priorities for the National Quality Strategy</i> ; final written report of Partnership and Subcommittee meeting deliberations and recommendations.	Completed .....	September 1, 2011.
MAP report recommending measures for use in the improvement of physician performance.	<i>Measure Applications Partnership Coordination Strategy for Clinician Performance Measurement</i> ; final report including MAP Coordinating Committee recommendations.	Completed .....	October 1, 2011.

Description	Output	Status (as of 1/13/12)	Notes/scheduled or actual completion date
MAP report recommending measures that address the quality issues identified for dual-eligible beneficiaries.	<i>Measure Applications Partnership Strategic Approach to Performance Measurement for Dual-Eligible Beneficiaries</i> ; interim report including MAP Coordinating Committee recommendations.	Completed .....	October 1, 2011.
MAP report recommending measures to be used by private and public payers to reduce readmissions and healthcare-acquired conditions (HACs).	<i>Measure Applications Partnership Coordination Strategy for Healthcare-Acquired Conditions and Readmissions Across Public and Private Payers</i> ; final report including recommendations regarding the optimal approach for coordinating readmission and HAC measures.	Completed .....	October 1, 2011.
Measures for use in quality reporting programs under Medicare.	<i>Measure Applications Partnership Pre-Rulemaking Report: Input on Measures Under Consideration by HHS for 2012 Rulemaking</i> .	In progress .....	Completed February 2012 after close of reporting year.
MAP report recommending measures that address the quality issues identified for dual-eligible beneficiaries.	Final report including potential new performance measures to fill gaps in measurement for dual-eligible beneficiaries.	In progress .....	June 1, 2012.

**II. Measure Endorsement**

Cardiovascular measures and maintenance review.	Two-phase project to endorse new cardiovascular measures and conduct maintenance on existing NQF-endorsed measures.	Completed .....	39 measures endorsed in January 2012.
Emergency regionalization medical care measurement framework.	Environmental scan and white paper comparing how regions coordinate and perform on delivering emergency services.	Completed .....	Framework endorsed in January 2012.
Patient safety: SREs .....	Reviewed existing list of NQF SREs for hospitals to identify ones appropriate for other settings; considered potential new SREs for all settings.	Completed .....	Updated list of 29 SREs endorsed in May 2011.
Patient outcomes measures ..	Three-phase project endorsing measures specific to outcomes on Medicare high-impact conditions, child health, and mental health.	Completed .....	38 measures endorsed: —30 measures endorsed in January and March 2011. —8 measures endorsed during previous contract year (September 2010).
Patient-safety measures .....	Two-phase project endorsed new measures of patient safety (e.g., healthcare-associated infections, medication safety) and maintaining currently endorsed measures.	Completed .....	Phase 1: 4 measures endorsed in January 2012. Phase 2: 2 measures endorsed in August and September 2011.
Nursing-home measures .....	Endorsed measures of nursing-home care quality.	Completed .....	5 measures endorsed in February 2011.
Child-health measures .....	Endorsed measures specific to the care of children.	Completed .....	44 measures endorsed in September 2011.
Surgery measures and maintenance review.	Two-phase project to endorse new surgery measures and conduct maintenance on existing NQF-endorsed measures.	Phase 1 complete; Phase 2 in progress.	Phase 1: 18 measures endorsed in December 2011. NQF Board endorsed Phase 2 measures after the close of the contract year. Phase 2 addendum report issued for public comment just after contract year closed.
Efficiency and resource-use measures.	Endorsed measures of imaging efficiency; white paper drafted; endorsed measures of healthcare efficiency.	Completed .....	Imaging Efficiency (Complete) —6 imaging efficiency measures endorsed in February 2011. —1 imaging efficiency measure was recommended to be combined with an existing NQF measure and was endorsed in April 2011.
		In progress; completed just after contract year	Efficiency—Resource Use (In Progress). Cycle 1: 4 measures ratified by Board January 2012.

Description	Output	Status (as of 1/13/12)	Notes/scheduled or actual completion date
Cancer measures and maintenance review.	Project to endorse new cancer measures and conduct maintenance on existing NQF-endorsed measures.	In progress .....	Cycle 2: 4 measures posted for public comment in December 2011; voting closed in February 2012. Call for nominations completed in November 2011; call-for-measures deadline was January 2012.
Perinatal measures and maintenance review.	Project to endorse new perinatal measures and conduct maintenance on existing NQF-endorsed measures.	In progress .....	Steering Committee reviewed 23 measures in December 2011.
Renal measures and maintenance review.	Project to endorse new renal measures and conduct maintenance on existing NQF-endorsed measures.	In progress .....	Steering Committee reviewed 33 measures by December 2011; member and public commenting to conclude after close of reporting year.
Pulmonary/critical-care measures and maintenance review.	Project to endorse new pulmonary/critical-care measures, and conduct maintenance on existing NQF-endorsed measures.	In progress .....	Call for nominations closed in December 2011. Call-for-measures deadline was January 2012.
Palliative and end-of-life care	Project to endorse new palliative and end-of-life care measures and conduct maintenance on existing NQF-endorsed measures.	In progress .....	NQF Board endorsed measures after close of reporting year.
Care-coordination measures and maintenance review.	Set of endorsed care-coordination measures .....	In progress .....	Call for measures closed January 9, 2012.
Population Health Phase 1: Prevention measures and maintenance measures review.	Set of endorsed measures for preventative services.	In progress .....	Member and public commenting period concluded February 2012.
Population health Phase 2: Population health measures.	Commissioned paper addressing population health measurement issues and set of endorsed population health measures.	In progress .....	Draft paper completed January 2012 after close of reporting year.
Behavioral health measures and maintenance review.	Set of endorsed measures for behavioral health	In progress .....	Call for nominations closed December 13, 2011. Call for measures closed February 14, 2012.
All-cause readmissions (expedited Consensus Development Process [CDP] review).	Set of endorsed all-cause readmission measures	In progress .....	Member and public commenting concluded January 2012.
<i>Multiple Chronic Conditions Measurement Framework</i> report analyzing measures being used to gauge quality of care for people with multiple chronic conditions.	Work plan completed; interim report available for public comment.	In progress .....	May 30, 2012.
Patient-reported outcomes (PROs) workshops addressing prerequisites for endorsed PRO measures.	Two workshops discussing commissioned papers addressing methodological prerequisites for NQF consideration of PRO measures for endorsement (The Veterans Administration may fund the papers; proposal is pending their approval).	In progress .....	June 30, 2012.
Oral health .....	Report that catalogs oral health measures, measure concepts, priorities and gaps in measurement.	In progress .....	July 6, 2012.
Rapid-cycle CDP improvement (measure-endorsement process).	Summary of process improvement approach, events, and metrics used to enhance the quality and efficiency of CDP process.	In progress .....	Four rapid-cycle improvement events completed in November and December 2012; additional events planned during first quarter of 2012.

### III. Health Information Technology

Retooled eMeasures, eMeasures Format Review Panel, and eMeasure Updates.	Published 113 measures for an electronic environment eMeasure Format Review Panel reviewed retooled measures to ensure the electronic specifications or requirements of these measures are consistent with the original focus and intent of the measure. Held 10 webinars/conference calls to solicit comments and proposed resolutions..	Completed .....	All updates and related activities completed by December 22, 2011. Completed first cycle of review in Fall 2010, following public comment period.
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Description	Output	Status (as of 1/13/12)	Notes/scheduled or actual completion date
MAT .....	Non-proprietary, web-based tool that allows performance-measure developers to specify, submit, and maintain electronic measures in a more streamlined, efficient, and highly structured way.	Completed ..... Contractor training; release of the MAT Basic Version on 9/29/11; enhanced version on target for release.	Total number of unique organizations using MAT: 32.
QDM maintenance .....	Updated the QDM (Version 3, released in April 2011) to reflect additional types of data needed to support emerging measures (e.g., measures that include social determinants of health, patient/consumer engagement).	Review and updates to QDM are ongoing based on annual cycle.	Each new version of the QDM will be published annually; NQF will post a draft of modifications for the next version; annual QDM updates and versions will be integrated into MAT and, moreover, enable incorporation of required data elements in electronic measures as new types and sources of data are recognized over time.
eMeasures process and technical assistance.	Provided education, training, and ad-hoc support to HHS, HHS contractors, MAT users, QDM users, eMeasure developers, EHR vendors, providers implementing measures, and other relevant quality and health IT stakeholders.	Ongoing .....	Developed and posted <i>MAT User Guide</i> to provide manual for MAT and eMeasure development. Completed 5 technical-assistance trainings to CMS' eMeasure contractors, focusing on topics such as QDM and in-depth MAT training. Completed 7 public webinars (with as many as 740 attendees per webinar), focusing on topics such as eMeasures training for measure developers and IT vendors.
Patient-safety-complications measures and maintenance review (Phase 1).	Set of endorsed measures on complications-related areas.	In progress .....	Steering Committee reviewed 27 measures in December 2011.
Commissioned paper on data sources and readiness of HIT systems to support care coordination.	Final report and commissioned paper .....	In progress .....	Draft paper available for public comment in February 2012.
Critical path .....	Examine new measurement areas (e.g. care plans) to understand the feasibility of measuring such areas in an electronic environment.	Ongoing .....	End of September 2012.
eMeasure Learning Collaborative.	Examining issues related to implementation of eMeasures with a multi-stakeholder group in order to define best practices and recommendations to the Office of the National Coordinator's Federal Advisory Committees.	Ongoing .....	End of September 2012.

**IV. Measure Use and Application**

Patient safety: state-based reporting agencies initiative.	Convened 27 state-based patient-safety reporting agencies to discuss safety reporting efforts and share "best practices".	Completed .....	Majority of work completed during previous contract year; final HHS-funded call completed January 24, 2011.
RAND report analyzing uses of NQF-endorsed measures.	<i>An Evaluation of the Use of Performance Measures in Health Care; work plan and list of research questions completed; report by independent researcher completed.</i>	Completed .....	
Recommendations for measures to be implemented through the federal rule-making process for public reporting and payment.	<i>Measure Applications Partnership Pre-Rule-making Report: Input on Measures Under Consideration by HHS for 2012 Rulemaking.</i>	In progress .....	Completed in February 2012 after close of reporting year.

Description	Output	Status (as of 1/13/12)	Notes/scheduled or actual completion date
MAP report recommending measures for use in quality reporting for Prospective Payment System-exempt cancer hospitals.	Final report including MAP Coordinating Committee recommendations.	In progress .....	June 1, 2012.
MAP report recommending measures for use in quality reporting for hospice care.	Final report including MAP Coordinating Committee recommendations.	In progress .....	June 1, 2012.
NPP support for Partnership for Patients' HHS initiative focused on patient safety.	First round of work included 2 quarterly convenings and 8 webinars. Content of meetings and webinars were captured in individual summaries. Next round of work includes creating affinity groups to implement specific patient-safety strategies and webinars.	In progress. ....	

**Appendix B: NQF Board and Leadership Staff**

**Board of Directors**

William L. Roper, MD, MPH (Chair), Dean, School of Medicine, Vice Chancellor for Medical Affairs and Chief Executive Officer, UNC Health Care System, University of North Carolina at Chapel Hill  
 Andrew Webber (Vice Chair), President and CEO, National Business Coalition on Health  
 Gerald M. Shea (Treasurer), Assistant to the President for External Affairs, AFL-CIO  
 Lawrence M. Becker, Director, HR Strategic Partnerships, Xerox Corporation  
 Judy Ann Bigby, MD, Secretary, Executive Office of Health & Human Services, Commonwealth of Massachusetts  
 Janet M. Corrigan, Ph.D., MBA, President and CEO, National Quality Forum  
 Maureen Corry, Executive Director, Childbirth Connection  
 Leonardo Cuello, Staff Attorney, National Health Law Program  
 Helen Darling, MA, President, National Business Group on Health  
 Robert Galvin, MD, MBA, Chief Executive Officer, Equity Healthcare, The Blackstone Group  
 Ardis Dee Hoven, MD, Chair, American Medical Association Board of Trustees, Medical Director, Bluegrass Care Clinic, Affiliated with the University of Kentucky School of Medicine  
 Karen Ignagni, MBA, President and CEO, America's Health Insurance Plans  
 Chris Jennings, President, Jennings Policy Strategies, Inc.  
 Charles N. Kahn III, MPH, President, Federation of American Hospitals  
 Donald Kemper, Chairman and CEO, Healthwise, Inc.  
 Mark B. McClellan, MD, Ph.D., Senior Fellow and Director, Engelberg Center for Health Care Reform and Leonard D. Schaeffer Chair in Health Policy Studies, The Brookings Institution  
 Sheri S. McCoy, Worldwide Chairman of the Pharmaceuticals Group, Johnson & Johnson  
 Harold D. Miller, President and CEO, Network for Regional Healthcare Improvement  
 Dolores L. Mitchell, Executive Director, Commonwealth of Massachusetts Group Insurance Commission

Mary Naylor, Ph.D., RN, FAAN, Director, New Courtland Center for Transitions & Health and Marian S. Ware Professor in Gerontology, University of Pennsylvania School of Nursing  
 Debra L. Ness, President, National Partnership for Women & Families  
 Samuel R. Nussbaum, MD, Executive Vice President and Chief Medical Officer, WellPoint, Inc.  
 J. Marc Overhage, MD, Ph.D., Chief Medical Informatics Officer, Siemens Medical Solutions, Inc.  
 Bernard M. Rosof, MD, Chair, Board of Directors, Huntington Hospital, Chair, Physician Consortium for Performance Improvement  
 John C. Rother, JD, President and CEO, National Coalition on Health Care  
 Joseph R. Swedish, FACHE, President and CEO, Trinity Health  
 John Tooker, MD, MBA, MACP, Associate Executive Vice President, American College of Physicians  
 Richard J. Umbdenstock, President and CEO, American Hospital Association

**CMS**

Don Berwick, MD, Administrator (until 12/2/11)  
 Marilyn Tavenner, BSN, MPA, Acting Administrator and Chief Operating Officer (12/5/11-present), Centers for Medicare & Medicaid Services  
 Designee: Patrick Conway, MD, Chief Medical Officer

**AHRQ**

Carolyn M. Clancy, MD, Director, Agency for Healthcare Research and Quality  
 Designee: Nancy Wilson, MD, MPH, Senior Advisor to the Director

**HRSA**

Mary Wakefield, Ph.D., RN, Administrator, Health Resources and Services Administration  
 Designee: Terry Adirim, MD, Director, Office of Special Health Affairs

**CDC**

Thomas R. Frieden, MD, MPH, Director, Centers for Disease Control and Prevention  
 Designee: Peter A. Briss, MD, MPH, Captain, U.S. Public Health Service Medical Director

**Ex Officio (Non-Voting):**

Timothy Ferris, MD, (Chair, Consensus Standards Approval Committee), Associate Professor of Medicine, Massachusetts General Hospital  
 Paul C. Tang, MD, MS, (Chair, Health Information Technology Advisory Committee), Vice President and Chief Medical Information Officer, Palo Alto Medical Foundation

**NQF Leadership Staff**

Janet M. Corrigan, President and Chief Executive Officer  
 Karen Adams, Vice President, National Priorities  
 Heidi Bossley, Vice President, Performance Measures  
 Helen Burstin, Senior Vice President, Performance Measures  
 Floyd Eisenberg, Senior Vice President, Health Information Technology  
 Larry Gorban, Vice President, Operations  
 Ann Greiner, Vice President, External Affairs  
 Ann Hammersmith, General Counsel  
 Lisa Hines, Vice President, Member Relations  
 Connie Hwang, Vice President, Measure Applications Partnership  
 Rosemary Kennedy, Vice President, Health Information Technology  
 Laura Miller, Senior Vice President and Chief Operating Officer  
 Nicole Silverman, Vice President, Federal Program Management  
 Lindsey Spindle, Senior Vice President, Communications and External Affairs  
 Diane Stollenwerk, Vice President, Community Alliances  
 Jeffrey Tomitz, Chief Financial Officer, Accounting & Finance  
 Thomas Valuck, Senior Vice President, Strategic Partnerships  
 Kyle Vickers, Chief Information Officer

**Appendix C: Overview of Consensus Development Process**

For each Consensus Development Project (CDP), NQF follows a careful eight-step process that ensures transparency, public input, and discussion among representatives across the healthcare enterprise.  
 1. Call for Nominations allows anyone to suggest a candidate for the committee that will oversee the project. Committees are diverse, often encompassing experts in a

particular field, providers, scientists, and consumers. After selection, NQF posts committee rosters on its Web site to solicit public comments on the composition of the panel and makes adjustments as needed to ensure balanced representation.

2. Call for Measures starts a 30-day period for developers to submit a measure or practice through NQF's online submission forms.

3. Steering Committee Review puts submitted measures to a four-part test to ensure they reflect sound science, will be useful to providers and patients, and will make a difference in improving quality. The expert steering committee conducts this detailed review in open sessions, each of which starts a limited period for public comment.

4. Public Comment solicits input from anyone who wishes to respond to a draft report that outlines the steering committee's assessment of measures for possible endorsement. The steering committee may request a revision to the proposed measures.

5. Member Vote asks NQF members to review the draft report and cast their votes on the endorsement of measures.

6. CSAC Review marks the point at which the NQF Consensus Standards Approval Committee (CSAC) deliberates on the merits of the measure and the issues raised during the review process, and makes a recommendation on endorsement to the Board of Directors. The CSAC includes consumers, purchasers, healthcare professionals, and others. It provides the big picture to ensure that standards are being consistently assessed from project to project.

7. Board Ratification asks for review and ratification by the NQF Board of Directors of measures recommended for endorsement.

8. Appeal opens a period when anyone can appeal the Board's decision.

#### **Appendix D: MAP Measure-Selection Criteria**

The Measure Applications Partnership (MAP) has developed measure-selection criteria to guide its evaluations of program measure sets. The term "measure set" can refer to a collection of measures—for a program, condition, procedure, topic, or population. For the purposes of MAP's pre-rulemaking analysis, we qualify the term measure set as a "program measure set" to indicate the collection of measures used in a given federal public reporting or performance-based payment program.

The measure-selection criteria are intended to facilitate structured discussion and decision-making processes. The iterative approach employed in developing the criteria allowed MAP in its entirety, as well as the public, to provide input on the criteria. Each MAP workgroup deliberated on draft criteria and advised the Coordinating Committee. Comments were received on the draft criteria through the public comment period for the *Coordination Strategy for Clinician Performance Measurement* report. A *Measure-Selection Criteria Interpretive Guide* also was developed to provide additional descriptions and direction on the meaning and use of the measure-selection criteria.

1. MAP measure-selection criteria and the interpretive guide were finalized at the November 1, 2011, Coordinating Committee in-person meeting. The following criteria were then used as a tool during the pre-rulemaking task:

2. Measures within the program measure set are NQF-endorsed or meet the requirements for expedited review.

3. The program measure set adequately addresses each of the NQS priorities.

4. The program measure set adequately addresses high-impact conditions relevant to the program's intended populations (e.g., children, adult non-Medicare, older adults, or dual-eligible beneficiaries).

5. The program measure set promotes alignment with specific program attributes, as well as alignment across programs.

6. The program measure set includes an appropriate mix of measure types (e.g., process, outcome, structure, patient experience, and cost).

7. The program measure set enables measurement across the person-centered episode of care.

8. The program measure set includes considerations for healthcare disparities.

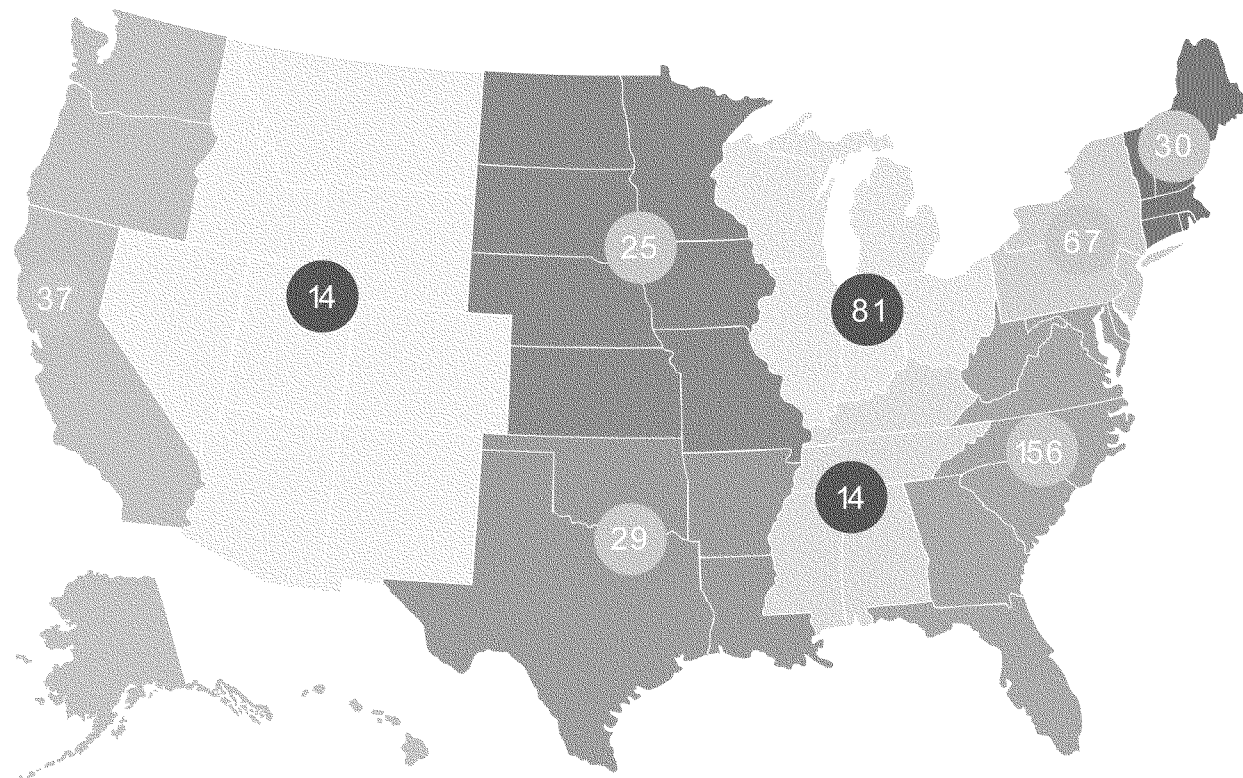
9. The program measure set promotes parsimony.

Public commenters supported the MAP measure-selection criteria and noted that the tool served MAP well in its pre-rulemaking activities.

#### **Appendix E: NQF Membership**

NQF members represent more than 450 organizations from across the country committed to advancing healthcare quality. Members of NQF participate in one of eight Member Councils organized by stakeholder group—consumers; health plans; health professionals; provider organizations; public-community health agencies; purchasers; quality measurement, research, and improvement; and supplier-industry—and are afforded a strong voice in crafting national solutions to quality concerns. Member organizations are from every region of the country as the map below indicates.





#### NQF Member Organizations

3M Health Care  
 AARP  
 Abbott Laboratories  
 ABIM Foundation  
 Academy of Managed Care Pharmacy  
 Academy of Medical-Surgical Nurses  
 Accreditation Association for Ambulatory Health Care Institute for Quality Improvement  
 ACS-MIDAS+  
 Ada County Paramedics  
 Adventist Health System  
 Advocate Physician Partners  
 Aetna  
 Affinity Health System  
 AFL-CIO  
 Agency for Healthcare Research and Quality  
 Albuquerque Coalition for Healthcare Quality  
 Aligning Forces for Quality-South Central Pennsylvania  
 Alliance for Health  
 Alliance of Community Health Plans  
 Ambulatory Surgery Foundation  
 Amedisys  
 American Academy of Allergy, Asthma and Immunology  
 American Academy of Dermatology  
 American Academy of Family Physicians  
 American Academy of Hospice and Palliative Medicine  
 American Academy of Neurology  
 American Academy of Nurse Practitioners  
 American Academy of Nursing  
 American Academy of Ophthalmology  
 American Academy of Orthopaedic Surgeons  
 American Academy of Otolaryngology-Head and Neck Surgery  
 American Academy of Pediatrics  
 American Academy of Physical Medicine and Rehabilitation  
 American Association of Birth Centers  
 American Association of Cardiovascular and Pulmonary Rehabilitation  
 American Association of Clinical Endocrinologists  
 American Association of Colleges of Nursing  
 American Association of Diabetes Educators  
 American Association of Neurological Surgeons  
 American Association of Nurse Anesthetists  
 American Association of Nurse Assessment Coordination  
 American Board of Medical Specialties  
 American Board of Optometry  
 American Case Management Association  
 American Chiropractic Association  
 American College of Cardiology  
 American College of Cardiology/American Heart Association Task Force on Performance Measures  
 American College of Emergency Physicians  
 American College of Gastroenterology  
 American College of Medical Quality  
 American College of Nurse-Midwives  
 American College of Obstetricians and Gynecologists  
 American College of Physician Executives  
 American College of Physicians  
 American College of Radiology  
 American College of Rheumatology  
 American College of Surgeons  
 American Data Network  
 American Dietetic Association  
 American Federation of Teachers Healthcare  
 American Gastroenterological Association Institute  
 American Geriatrics Society  
 American Health Care Association  
 American Health Information Management Association  
 American Health Quality Association  
 American Heart Association  
 American Hospice Foundation  
 American Hospital Association  
 American Medical Association  
 American Medical Association-Physician Consortium for Performance Improvement  
 American Medical Directors Association  
 American Medical Informatics Association  
 American Nurses Association  
 American Occupational Therapy Association  
 American Optometric Association  
 American Organization of Nurse Executives  
 American Osteopathic Association  
 American Pharmacists Association Foundation  
 American Physical Therapy Association  
 American Psychiatric Association for Research and Education  
 American Psychiatric Nurses Association  
 American Sleep Apnea Association  
 American Society for Gastrointestinal Endoscopy  
 American Society for Radiation Oncology  
 American Society of Anesthesiologists  
 American Society of Breast Surgeons  
 American Society of Clinical Oncology  
 American Society of Colon and Rectal Surgeons  
 American Society of Health-System Pharmacists  
 American Society of Hematology  
 American Society of Nuclear Cardiology  
 American Society of Pediatric Nephrology  
 American Society of Plastic Surgeons  
 American Urological Association  
 America's Health Insurance Plans  
 AmeriHealth Mercy Family of Companies  
 AMGEN Inc.  
 AmSurg Corp.  
 Anesthesia Quality Institute  
 Arkansas Medicaid  
 Ascension Health  
 Association for Professionals in Infection Control and Epidemiology

Association for the Advancement of Wound Care  
 Association of American Medical Colleges  
 Association of periOperative Registered Nurses  
 Association of Rehabilitation Nurses  
 Association of Women's Health, Obstetric and Neonatal Nurses  
 AstraZeneca  
 Atlantic Health  
 Aultman Health Foundation  
 Aurora Health Care  
 Avalere Health LLC  
 Baptist Health South Florida  
 Baptist Memorial Health Care Corporation  
 Baxter Healthcare  
 BayCare Health System  
 Baylor Health Care System  
 Betsy Lehman Center for Patient Safety and Medical Error Reduction  
 Better Health Greater Cleveland  
 BJC HealthCare  
 BlueCross BlueShield Association  
 Boehringer Ingelheim  
 Bon Secours St. Francis Health System  
 Booz Allen Hamilton  
 Bristol-Myers Squibb Company  
 Bronson Healthcare Group, Inc.  
 Buyers Health Care Action Group  
 California HealthCare Foundation  
 California Hospital Association  
 California Hospital Patient Safety Organization  
 California Maternal Quality Care Collaborative  
 California Office of Statewide Health Planning and Development  
 CareFirst BlueCross BlueShield  
 CareFusion  
 CaroMont Health  
 Case Management Society of America  
 Caterpillar Inc.  
 Catholic Health Association of the United States  
 Catholic Health Initiatives  
 Catholic Healthcare Partners  
 Cedars-Sinai Medical Center  
 Center for Health Care Quality, Department of Health Policy, George Washington University  
 Center to Advance Palliative Care  
 Centers for Disease Control and Prevention  
 Centers for Medicare & Medicaid Services  
 Childbirth Connection  
 Children's Hospital Boston  
 Children's Hospitals and Clinics of Minnesota  
 CHRISTUS Health  
 CIGNA HealthCare  
 Citizens for Patient Safety  
 City of Hope  
 Cleveland Clinic  
 Colorado Business Group on Health  
 Commission for Case Manager Certification  
 Community Health Accreditation Program  
 Community Health Alliance- Humboldt County Del-Norte  
 Community Health Foundation of Western and Central New York  
 Connecticut Center for Patient Safety  
 Connecticut Hospital Association  
 Consumer Coalition for Quality Health Care  
 Consumers Advancing Patient Safety  
 Consumers' Checkbook  
 Consumers Union  
 Coral Initiative, LLC  
 Core Consulting, Inc.  
 Council of Medical Specialty Societies  
 Crozer-Keystone Health System  
 Dallas-Fort Worth Hospital Council Education and Research Foundation  
 Dana-Farber Cancer Institute  
 Deloitte Consulting LLP, Health Sciences and Government  
 Dental Quality Alliance  
 Detroit Medical Center  
 Dialog Medical  
 Edwards Lifesciences  
 eHealth Initiative  
 Eisai, Inc.  
 Eli Lilly and Company  
 Elsevier Clinical Decision Support  
 Emergency Nurses Association  
 Employers' Coalition on Health  
 Englewood Hospital and Medical Center  
 Epstein Becker & Green, P.C.  
 Exeter Health Resources  
 Federation of American Hospitals  
 FirstWatch Solutions, Inc.  
 Florida Health Care Coalition  
 Florida Hospital  
 Florida State University, Center for Medicine and Public Health  
 Forest Laboratories, Inc.  
 Foundation for Informed Medical Decision Making  
 Fox Chase Cancer Center  
 Franciscan Alliance  
 GE Healthcare  
 Genentech  
 Genesis HealthCare System  
 Gentiva Health Services  
 GlaxoSmithKline  
 Good Samaritan Hospital  
 Greater Detroit Area Health Council  
 Greenway Medical Technologies  
 Group Health Cooperative  
 H. Lee Moffitt Cancer Center and Research Institute Hospital, Inc.  
 Hackensack University Medical Center  
 Harborview Medical Center  
 Health Action Council Ohio  
 Health Level Seven, Inc.  
 Health Management Associates, Inc.  
 Health Resources and Services Administration  
 Health Services Advisory Group  
 Health Services Coalition  
 Health Watch USA  
 HealthCare 21 Business Coalition  
 Healthcare Information and Management Systems Society  
 Healthcare Leadership Council  
 HealthGrades  
 HealthPartners  
 HealthSouth Corporation  
 Healthy Memphis Common Table  
 Heart Rhythm Society  
 Henry Ford Health System  
 Highmark, Inc.  
 Hoag Hospital  
 Horizon Blue Cross Blue Shield of New Jersey  
 Hospice and Palliative Nurses Association  
 Hospira  
 Hospital Corporation of America  
 Hospital for Special Surgery  
 Hudson Health Plan  
 Humana Inc.  
 Huntington Memorial Hospital  
 Illinois Hospital Association  
 Infectious Diseases Society of America  
 Infusion Nurses Society  
 Inland Northwest Health Services  
 Institute for Clinical Systems Improvement  
 Institute for Safe Medication Practices  
 Integrated Healthcare Association  
 Intelligent Healthcare  
 Interim HealthCare, Inc.  
 Intermountain Healthcare  
 Iowa Healthcare Collaborative  
 IPRO  
 Jefferson School of Population Health  
 Johns Hopkins Health System  
 Kaiser Permanente  
 Kansas City Quality Improvement Consortium  
 Kidney Care Partners  
 Lamaze International  
 Lehigh Valley Business Coalition on Health Care  
 LHC Group, Inc.  
 Long-Term Quality Alliance  
 Louisiana Health Care Quality Forum  
 Maine Health Management Coalition  
 Maine Quality Counts  
 Maine Quality Forum  
 Maryland Health Care Commission  
 Maryland Patient Safety Center  
 Massachusetts Health Quality Partners  
 Mayo Clinic  
 McKesson Corporation  
 MedAssets  
 MedeAnalytics, Inc.  
 Medisolv, Inc.  
 MedStar Health  
 Memorial Hermann Healthcare System  
 Memorial Sloan-Kettering Cancer Center  
 Merck & Co., Inc.  
 Mercy Medical Center  
 Meridian Health System  
 MHA Keystone Center for Patient Safety & Quality  
 Middlesex Hospital  
 Midwest Care Alliance  
 Milliman Care Guidelines  
 Minnesota Community Measurement  
 Mothers Against Medical Error  
 Mount Auburn Hospital  
 National Academy for State Health Policy  
 National Academy of Clinical Biochemistry  
 National Alliance of Wound Care  
 National Association for Behavioral Health  
 National Association for Healthcare Quality  
 National Association of Certified Professional Midwives  
 National Association of Children's Hospitals and Related Institutions  
 National Association of Dental Plans  
 National Association of EMS Physicians  
 National Association of Health Data Organizations  
 National Association of Pediatric Nurse Practitioners  
 National Association of Psychiatric Health Systems  
 National Association of Public Hospitals and Health Systems  
 National Association of State Medicaid Directors  
 National Breast Cancer Coalition  
 National Business Coalition on Health  
 National Business Group on Health  
 National Center for Healthcare Leadership  
 National Coalition for Cancer Survivorship  
 National Committee for Quality Assurance  
 National Consensus Project for Quality Palliative Care

National Consortium of Breast Centers  
 National Consumers League  
 National Council of State Boards of Nursing  
 National Council on Aging  
 National Forum for Heart Disease and Stroke Prevention  
 National Health Law Program  
 National Hospice and Palliative Care Organization  
 National Institute for Quality Improvement and Education  
 National Nursing Staff Development Organization  
 National Partnership for Women & Families  
 National Patient Safety Foundation  
 National Pressure Ulcer Advisory Panel  
 National Rural Health Association  
 National Sleep Foundation  
 NCH Healthcare System  
 Nemours Foundation  
 Neocure Group  
 New Jersey Health Care Quality Institute  
 New Jersey Hospital Association  
 New York Presbyterian Healthcare System  
 New York University College of Nursing  
 Next Wave  
 Niagara Health Quality Coalition  
 North Carolina Center for Hospital Quality and Patient Safety  
 North Mississippi Medical Center  
 North Shore-Long Island Jewish Health System  
 North Texas Specialty Physicians  
 Northeast Health Care Quality Foundation  
 Northwestern Memorial HealthCare  
 Norton Healthcare, Inc.  
 Novartis  
 Nursing Alliance for Quality Care  
 Oakstone Medical Publishing  
 Oncology Nursing Society  
 Oregon Health Care Quality Corporation  
 Ortho-McNeill-Janssen Pharmaceutical, Inc.  
 OSUCCC-James Cancer Hospital  
 P2 Collaborative of Western New York  
 Pacific Business Group on Health  
 Park Nicollet Health Services  
 Partners HealthCare System, Inc.  
 Partnership for Prevention  
 Patient Centered Primary Care Collaborative  
 Pennsylvania Health Care Association  
 Pfizer  
 Pharmacy Quality Alliance  
 PhRMA  
 Phytel, Inc.  
 Planetree  
 Premier, Inc.  
 Press Ganey Associates  
 Professional Research Consultants, Inc.  
 Providence Health & Services  
 Puget Sound Health Alliance  
 PULSE of New York  
 Quality Outcomes, LLC  
 Quantros, Inc.  
 Renal Physicians Association  
 Resolution Health, Inc.  
 Rhode Island Department of Health  
 Robert Wood Johnson University Hospital-Hamilton  
 Rockford Health System  
 Roswell Park Cancer Institute  
 Saint Barnabas Health Care System  
 Saint Francis Hospital and Medical Center  
 Sanofi Pasteur  
 Sanofi-Aventis  
 Scott & White Healthcare  
 Seattle Cancer Care Alliance  
 Sharp HealthCare  
 Siemens Healthcare, USA  
 Sisters of Charity of Leavenworth Health System  
 SNP Alliance  
 Society for Academic Emergency Medicine  
 Society for Cardiovascular Angiography and Interventions  
 Society for Healthcare Epidemiology of America  
 Society for Maternal-Fetal Medicine  
 Society for the Advancement of Blood Management  
 Society for Vascular Surgery  
 Society of Behavioral Medicine  
 Society of Critical Care Medicine  
 Society of Gynecologic Oncology  
 Society of Hospital Medicine  
 Society of Thoracic Surgeons  
 Southeast Texas Medical Associates, LLP  
 St. Joseph Health System  
 St. Louis Area Business Health Coalition  
 Stamford Health System  
 State Associations of Addiction Services  
 Substance Abuse and Mental Health Services Administration  
 Summa Health System  
 Surgical Care Affiliates  
 Sylvester Comprehensive Cancer Center, University of Miami Hospitals and Clinics  
 Taconic IPA, Inc.  
 Takeda Pharmaceuticals North America, Inc.  
 Tampa General Hospital  
 Telligen  
 Tenet Healthcare Corporation  
 Texas Health Resources  
 Texas Medical Institute of Technology  
 The Advanced Medical Technology Association  
 The Alliance  
 The Alliance for Home Health Quality and Innovation  
 The Commonwealth Fund  
 The Coordinating Center  
 The Empowered Patient Coalition  
 The Federation of State Medical Boards of the U.S., Inc.  
 The Health Alliance of Mid-America, LLC  
 The Health Collaborative  
 The Joint Commission  
 The Leapfrog Group  
 The National Consumer Voice for Quality Long-Term Care  
 The National Forum of ESRD Networks  
 The Partnership for Healthcare Excellence  
 Thomas Jefferson University Hospital  
 Thomson Reuters  
 Trauma Support Network  
 Trinity Health  
 Trust for America's Health  
 UCB, Inc.  
 UMass Memorial Medical Group, Inc.  
 United Surgical Partners International  
 UnitedHealth Group  
 Universal American Corp.  
 University HealthSystem Consortium  
 University of California-Davis Medical Group  
 University of Kansas School of Nursing  
 University of Michigan Hospitals & Health Centers  
 University of North Carolina-Program on Health Outcomes  
 University of Pennsylvania Health System  
 University of Texas Southwestern Medical Center  
 University of Texas-MD Anderson Cancer Center  
 University of Virginia Health System  
 URAC  
 Urgent Care Association of America  
 US Department of Defense-Health Affairs  
 UW Health  
 Vanderbilt University Medical Center  
 Vanguard Health Management  
 Verilogue, Inc  
 Veterans Health Administration  
 VHA, Inc.  
 Virginia Business Coalition on Health  
 Virginia Cardiac Surgery Quality Initiative  
 Virginia Mason Medical Center  
 Virtua Health  
 WellPoint  
 WellSpan Health  
 WellStar Health System  
 West Virginia Medical Institute  
 Wisconsin Collaborative for Healthcare Quality  
 Wisconsin Medical Society  
 Wound, Ostomy and Continence Nurses Society  
 Yale New Haven Health System  
 Zynx Health

**Appendix F: 2011 NQF Volunteer Leaders**  
 Stancel M. Riley, Chair, Ambulatory and Office-Based Surgery Technical Advisory Panel Serious Reportable Events in Healthcare Project  
 Chair, Patient Safety Serious Reportable Events Technical Advisory Panel, Massachusetts Board of Registration in Medicine  
 Mary George, Co-chair, Cardiovascular Endorsement Maintenance Steering Committee, Centers for Disease Control and Prevention  
 Raymond Gibbons, Co-chair, Cardiovascular Endorsement Maintenance Steering Committee, Mayo Clinic  
 Donald Casey, Co-chair, Care Coordination Endorsement Maintenance Steering Committee, Atlantic Health  
 Gerri Lamb, Co-chair, Care Coordination Endorsement Maintenance Steering Committee, Arizona State University  
 Thomas McNerny, Co-chair, Child Health Quality Measures Steering Committee, University of Rochester  
 Marina L. Weiss, Co-chair, Child Health Quality Measures Steering Committee  
 Co-chair, National Voluntary Standards for Patient Outcomes Child Health Steering Committee, March of Dimes  
 David Classen, Co-chair, Common Formats Expert Panel, University of Utah  
 Henry Johnson, Co-chair, Common Formats Expert Panel, ACS-MIDAS+  
 Timothy Ferris, Chair, Consensus Standards Approval Committee, Massachusetts General Hospital/Institute for Health Policy  
 Ann Monroe, Vice-chair, Consensus Standards Approval Committee, Community Health Foundation of Western and Central New York  
 Doris Lotz, Co-chair, Efficiency Resource Use Steering Committee, New Hampshire Department of Health and Human Services  
 Sally Tyler, Co-chair, Patient Safety SRE Steering Committee, AFSCME  
 Gregg S. Meyer, Co-chair, Patient Safety SRE Steering Committee, Massachusetts General Hospital

- Paul C. Tang, Chair, Health Information Technology Advisory Committee, Palo Alto Medical Foundation and Stanford University
- Dennis Andrulis, Co-chair, Healthcare Disparities and Cultural Competency Consensus Standards Committee, Texas Health Institute
- Denice Cora-Bramble, Co-chair, Healthcare Disparities and Cultural Competency Consensus Standards Committee, Children's National Medical Center
- Michael Doering, Co-chair, Improving Patient Safety through State-Based Reporting in Healthcare Workgroup, Pennsylvania Patient Safety Authority
- Diane Rydrych, Co-chair, Improving Patient Safety through State-Based Reporting in Healthcare Workgroup, Minnesota Department of Health
- Iona Thraen, Co-chair, Improving Patient Safety through State-Based Reporting in Healthcare Workgroup, Utah Department of Health
- William Corley, Chair, Leadership Network, Community Health Network
- George J. Isham, Co-chair, Measure Applications Partnership Coordinating Committee, HealthPartners, Inc.
- Elizabeth A. McGlynn, Co-chair, Measure Applications Partnership Coordinating Committee, Kaiser Permanente Center for Effectiveness and Safety Research
- Frank G. Opelka, Chair, Measure Applications Partnership Ad Hoc Safety Workgroup
- Chair, Measure Application Partnership Hospital Workgroup, Louisiana State University Health Sciences Center
- Mark McClellan, Chair, Measure Applications Partnership Clinician Workgroup, The Brookings Institution, Engelberg Center for Health Care Reform
- Alice Lind, Chair, Measure Applications Partnership Dual Eligible Beneficiaries Workgroup, Center for Health Care Strategies
- Carol Raphael, Chair, Measure Applications Partnership Post-Acute Care/Long-Term Care Workgroup, Visiting Nurse Service of New York
- Michael Lieberman, Chair, Measure Authoring Tool Oversight and Testing Workgroup, Oregon Health and Science University
- Caroline S. Blaum, Co-chair, Multiple Chronic Conditions Measurement Framework Steering Committee, University of Michigan Health System—Institute of Gerontology
- Barbara McCann, Co-chair, Multiple Chronic Conditions Measurement Framework Steering Committee, Interim HealthCare
- Helen Darling, Co-chair, National Priorities Partnership, National Business Group on Health
- Margaret O'Kane, Co-chair, National Priorities Partnership, National Committee for Quality Assurance
- Bernard Rosof, Co-chair, National Priorities Partnership, Physician Consortium for Performance Improvement convened by the American Medical Association
- Peter Crooks, Co-chair, National Voluntary Consensus Standards for End Stage Renal Disease
- Co-chair, Renal Endorsement Maintenance Steering Committee, Southern California Permanente Medical Group
- Kristine Schonder, Co-chair, National Voluntary Consensus Standards for End Stage Renal Disease
- Co-chair, Renal Endorsement Maintenance Steering Committee, University of Pittsburgh School of Pharmacy
- Tom Rosenthal, Co-chair, National Voluntary Consensus Standards for Endorsing Performance Measures for Resource Use: Phase II, UCLA School of Medicine
- Bruce Steinwald, Co-chair, National Voluntary Consensus Standards for Endorsing Performance Measures for Resource Use: Phase II
- Co-chair, Efficiency Resource Use Steering Committee, Independent Consultant
- G. Scott Gazelle, Co-chair, National Voluntary Consensus Standards for Imaging Efficiency, Massachusetts General Hospital
- Eric D. Peterson, Co-chair, National Voluntary Consensus Standards for Imaging Efficiency, Duke University Medical Center
- David A. Johnson, Chair, National Voluntary Consensus Standards for Patient Outcomes Biliary and Gastrointestinal Technical Advisory Panel, American College of Gastroenterology
- Dianne Jewell, Chair, National Voluntary Consensus Standards for Patient Outcomes Bone/Joint Technical Advisory Panel, Virginia Commonwealth University
- Lee Newcomer, Chair, National Voluntary Consensus Standards for Patient Outcomes Cancer Technical Advisory Committee, United HealthCare
- Edward Gibbons, Chair, National Voluntary Consensus Standards for Patient Outcomes Cardiovascular Technical Advisory Panel, University of Washington School of Medicine
- David Herman, Chair, National Voluntary Consensus Standards for Patient Outcomes Eye Care Technical Advisory Panel, Mayo Clinic
- E. Patchen Dellinger, Chair, National Voluntary Consensus Standards for Patient Outcomes Infectious Disease Technical Advisory Panel, University of Washington School of Medicine
- Sheldon Greenfield, Chair, National Voluntary Consensus Standards for Patient Outcomes Metabolic Technical Advisory Panel, University of California, Irvine
- Barbara Yawn, Chair, National Voluntary Consensus Standards for Patient Outcomes Pulmonary Technical Advisory Panel, Olmstead Medical Center
- Tricia Leddy, Co-chair, National Voluntary Consensus Standards for Patient Outcomes Mental Health Steering Committee, Rhode Island Department of Health
- Jeffrey Sussman, Co-chair, National Voluntary Consensus Standards for Patient Outcomes Mental Health Steering Committee, University of Cincinnati
- Charles Homer, Co-chair, National Voluntary Standards for Patient Outcomes Child Health Steering Committee, NICHQ
- David Gifford, Co-chair, National Voluntary Standards for Nursing Homes, American Health Care Association and National Center for Assisted Living
- Christine Mueller, Co-chair, National Voluntary Standards for Nursing Homes, University of Minnesota School of Nursing
- June Lunney, Co-chair, Palliative Care and End-of-Life Care Endorsement Maintenance Steering Committee, Hospice and Palliative Nurses Association
- Sean Morrison, Co-chair, Palliative Care and End-of-Life Care Endorsement Maintenance Steering Committee, Mount Sinai School of Medicine
- Sherrie Kaplan, Co-chair, Patient Outcomes: All-Cause Readmissions Expedited Review Steering Committee, UC Irvine School of Medicine
- Eliot Lazar, Co-chair, Patient Outcomes: All-Cause Readmissions Expedited Review Steering Committee, New York Presbyterian Healthcare System
- Lisa J. Thiemann, Co-chair, Patient Safety Measures Steering Committee, Surgical Care Affiliates
- William A. Conway, Co-chair, Patient Safety Measures Steering Committee
- Co-chair, Patient Safety Measures: Complications Endorsement Maintenance Steering Committee, Henry Ford Health System
- Darrell A. Campbell, Jr., Chair, Patient Safety Measures HAI Technical Advisory Panel, University of Michigan Hospitals & Health Centers
- David Nau, Chair, Patient Safety Measures Medical Management Technical Advisory Panel, Pharmacy Quality Alliance
- Steven Clark, Chair, Patient Safety Measures Perinatal Technical Advisory Panel, Hospital Corporation of America
- Pamela Cipriano, Co-chair, Patient Safety Measures: Complications Endorsement Maintenance Steering Committee, University of Virginia Health System
- Tejal Gandhi, Chair, Patient Safety Serious Reportable Events Technical Advisory Panel
- Chair, Physician Office Technical Advisory Panel Serious Reportable Events in Healthcare, Partners Healthcare
- Eric Tangalos, Chair, Patient Safety Serious Reportable Events Technical Advisory Panel
- Chair, Skilled Nursing Facility Technical Advisory Panel Serious Reportable Events in Healthcare Project, Mayo Clinic
- Laura Riley, Co-chair, Perinatal and Reproductive Health Endorsement Maintenance Steering Committee, Massachusetts General Hospital
- Carol Sakala, Co-chair, Perinatal and Reproductive Health Endorsement Maintenance Steering Committee, Childbirth Connection
- Paul Jarris, Co-chair, Population Health: Prevention Endorsement Maintenance Steering Committee, Association of State and Territorial Health Officers
- Kurt Stange, Co-chair, Population Health: Prevention Endorsement Maintenance Steering Committee, Case Western Reserve University
- David Bates, Co-chair, Quality Data Model Sub-committee, Partners Healthcare
- Caterina Lasome, Co-chair, Quality Data Model Sub-committee, Ion Informatics
- Arthur Kellermann, Co-chair, Regionalized Emergency Medical Care Services Steering Committee, The RAND Corporation

Andrew Roszak, Co-chair, Regionalized Emergency Medical Care Services Steering Committee, Department of Health and Human Services

James Weinstein, Chair, Resource Use Project: Phase II Bone/Joint Technical Advisory Panel, The Dartmouth Institute for Health Policy; Dartmouth-Hitchcock Clinic

David Penson, Chair, Resource Use Project: Phase II Cancer Technical Advisory Panel, Vanderbilt University Medical Center

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## NQF Report on Measure Gaps and Inadequacies

### Overview

The Affordable Care Act (ACA) (Pub. L. 111–148, sec. 3011), requires the Secretary of Health and Human Services to establish a National Strategy for Quality Improvement in Health Care, which serves as a strategic plan for improving the delivery of health care services, achieving better patient outcomes, and improving the health of the U.S. population. The strategy will be continually updated as the Affordable Care Act is implemented.

Section 3014 of ACA requires a report from the National Quality Forum (NQF) regarding the identification of gaps in endorsed quality measures—to include measures within the National Quality Strategy priority areas—to be provided to the Secretary by February 1, 2012 and annually thereafter. The report was also intended to identify areas where evidence was insufficient to support endorsement of quality measures in priority areas.

### Methods

In order to prepare this report on measure gaps, NQF staff consulted numerous data sources to identify endorsed measure and evidence gaps. Staff reviewed approximately 750 endorsed measures within the NQF portfolio and identified the measures that address one or more of the National Quality Strategy (NQS) priority areas and areas where gaps remain. Staff also reviewed NQF-related efforts that address many of the priority areas, including NQF project consensus development project reports. NQF endorsement committees routinely identify gaps as part of the work of the consensus development process. The NQF report “*Prioritization of High-Impact Medicare Conditions and Measure Gaps*” developed by the Measure Prioritization Advisory Committee and published in May, 2010 was also used as a data source for gaps.

NQF has captured this information in a high-level matrix organized by priority area and the high impact clinical conditions which highlights where endorsed measures exist and gaps remain. Given the volume of clinical conditions and cross-cutting areas addressed within the NQF portfolio, a targeted list of clinical conditions is included.

It is anticipated that this analysis will continue to evolve over the coming years through the NQF National Priorities Partnership, the Measures Applications Partnership, endorsement maintenance projects, and other activities.

### National Quality Strategy Overview

The NQF-convened National Priorities Partnership (NPP) proposed goals and measure concepts in its September 1, 2011 report “*Input to the Secretary of Health and Human Services on Priorities for the National Quality Strategy*” regarding the six national priorities:

1. Making Care Safer
2. Ensuring Person- and Family-Centered Care
3. Promoting Effective Communication and Coordination of Care
4. Promoting the Most Effective Prevention and Treatment of the Leading Causes of Mortality, Starting with Cardiovascular Disease
5. Working with Communities to Promote Wide Use of Best Practices to Enable Healthy Living
6. Making Quality Care More Affordable

The proposed goals and measure concepts are intended to “provide a set

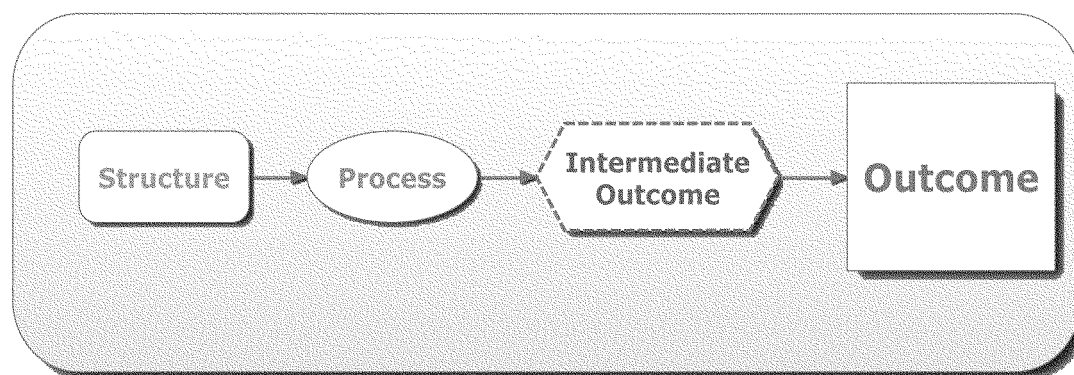
of clear aims with which the NQS can guide the nation to achieve safe, timely, effective, efficient, and equitable care,” and are discussed in more detail below. Some of the measure concepts identify important measurement gaps, while measure development may be limited by evidence gaps.

The Secretary’s National Quality Strategy requires a wide array of quality and efficiency measures for implementation. While some of the strategy’s priority areas may be well-supported by NQF-endorsed measures, others may have fewer, or in some cases, no endorsed measures aligned with them.

For the purposes of this report, we have expanded the applicability of the fourth priority area, related to prevention and treatment, beyond cardiovascular disease to the other conditions listed below. While there are numerous condition-specific clinical process measures, there are major gaps for some conditions (e.g., Alzheimer’s). There are also important gaps in condition-specific measures that address critical national priorities (e.g., cost measures for high-cost conditions).

- Alzheimer’s Disease
- Cancer
- Cardiovascular
- Cataract
- Child Health
- Depression
- Diabetes
- Glaucoma
- Hip/Pelvic Fracture
- Maternal Health
- Osteoporosis
- Pulmonary
- Renal Disease
- Rheumatoid Arthritis/Osteoarthritis
- Serious Mental Illness
- Stroke

Since there is a strong desire to move toward patient-focused outcomes of care, the report also identifies potential outcome gaps for clinical and cross-cutting areas. For example, while there are numerous cancer-related process measures, there are no endorsed cancer outcome measures. Recent work by NQF’s Evidence Task Force identified a hierarchical preference for outcomes linked to evidence-based processes and structures (Figure 1). While there is still a need for process and structural measures, especially for quality improvement, they should be closely linked to outcomes. In the tables that follow, gaps for outcome measures in some high impact clinical areas are identified.

**Figure 1. NQF Measure Hierarchy**

The NQF Evidence Task Force also emphasized the importance of assessing the quality, quantity and consistency of evidence underlying the measure focus. While endorsement of some clinical measures has been limited by empirical evidence, NQF provides an exception in cases for which expert opinion can be systematically assessed with agreement that the benefits to patients greatly outweigh potential harms. In some cross-cutting priority areas, such as pain management and patient engagement, Committee expert opinion has been used to satisfy the evidence requirement.

There has also been a strong interest from numerous stakeholders, including consumers and purchasers, in moving to composite measures. Composite measures are defined as one or more measures that are combined into a single score. Because composite measures provide a more comprehensive view of care and may be more understandable to end users, there has been a shift toward composite

measures in many clinical areas. For example, an endorsed cardiovascular care composite encompasses the key secondary prevention elements critical for prevention of cardiac events (e.g., use of aspirin, non-smoking status, lipid control, and blood pressure control). Given the interest in these measures, gaps for composite measures are also noted in the tables that follow.

#### **Gaps Across Cross-Cutting Areas**

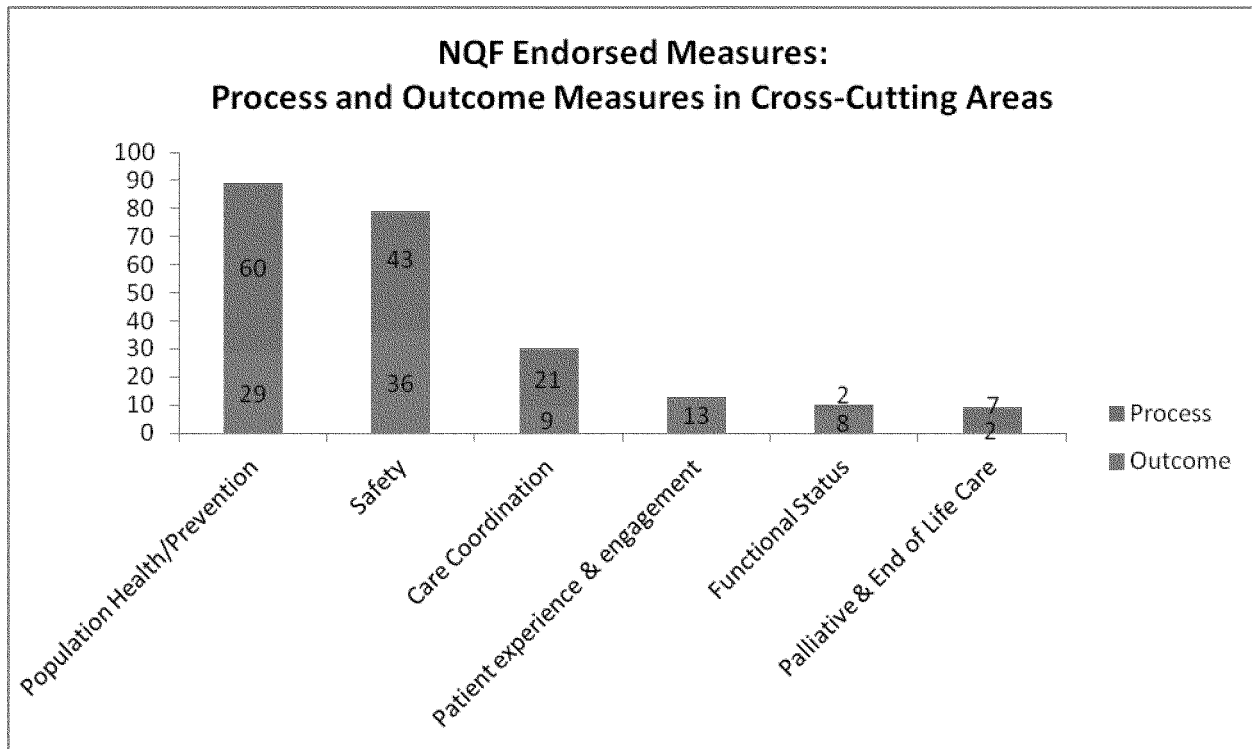
While many measures within the NQF portfolio relate to specific conditions or clinical areas, others address or are applicable to cross-cutting areas such as safety and care coordination. Currently NQF-endorsed measures are categorized by these cross-cutting areas when applicable, overlapping with many of the cross-cutting national priorities outlined within the NQS.

Figure 2 provides a graphic representation of the more than 750 measures across these areas. This figure provides information on NQF-endorsed measures by cross-cutting area, as well

as the type of measure (structure, process, outcome, and composite).

As demonstrated in the figure below, population health/prevention and safety represent the cross-cutting areas with the largest number of measures, while there are clear measure gaps in cross-cutting areas such as care coordination and patient experience and engagement. In addition, for areas with a range of measures, many focus on processes of care. However, there has been an increased focus on outcome measures with outcome measures now representing approximately 30 percent of the NQF portfolio. Measure development is also evolving to new areas such as resource use/cost (an area for which NQF is now endorsing measures) and patient-reported outcomes. Planned NQF endorsement projects in the coming year in these high priority areas, such as patient engagement and population health, should help to fill some of these important gaps.

Figure 2. Cross-Cutting Areas represented within the NQF portfolio



The following sections address measures and gaps related to each of the cross-cutting areas.

*Making Care Safer*

NQF has endorsed a robust set of patient safety measures. However, gaps remain. For example, there is a need for measures that assess broader, more cross-cutting issues of medication safety, rather than measures that apply to separate medications. There is also interest in “templates” for medication management and safety that could be

applied to different medications or conditions. In addition, more research on standard medication monitoring and its effect on outcomes or complications are needed. There is also a recognized need to expand available patient safety measures beyond the hospital setting and harmonize safety measures across sites and settings of care. There have also been recognized patient safety gaps in potentially high leverage areas, such as healthcare associated infections (e.g., MRSA) and measures that assess the culture of safety.

The NPP provided guidance on proposed goals and measure concepts related to the National Quality Strategy. The following table provides the NPP-recommended goals and measure concepts on Priority Area #1, Making Care Safer. Under the identified measure concepts, there are gaps related to inappropriate medication use and polypharmacy. There are also continued efforts to expand all-cause safety measures.

National Priority: Make care safer.		
GOALS	Reduce preventable hospital admissions and readmissions.	Measure Concepts
	Reduce the incidence of adverse healthcare-associated conditions.	
	Reduce harm from inappropriate or unnecessary care.	
		<ul style="list-style-type: none"> <li>•Hospital admissions for ambulatory-sensitive conditions</li> <li>•All-cause hospital readmission index</li> <li>•All-cause healthcare-associated conditions</li> <li>•Individual healthcare-associated conditions</li> <li>•Inappropriate medication use and polypharmacy</li> <li>•Inappropriate maternity care</li> </ul>

*Ensuring Person- and Family-Centered Care*

There have been a growing number of standardized measures that assess patient experience in multiple care settings. However, as noted in the NPP measure concepts related to this priority

area, there is a significant gap in measures that assess patient and family involvement in decisions about healthcare. There is a growing evidence base on decision quality and there is an expectation that these measures will be submitted to NQF in the coming year.

The measurement of care planning and joint development of treatment goals has not been limited by available evidence. It has been difficult to construct meaningful measures that move beyond “checkbox” measures that assess whether a plan exists.

National Priority: Ensure person- and family-centered care.	
GOALS	Improve patient, family, and caregiver experience of care related to quality, safety, and access across settings.
	In partnership with patients, families, and caregivers—and using a shared decision-making process—develop culturally sensitive and understandable care plans.
	Enable patients and their families and caregivers to navigate, coordinate, and manage their care appropriately and effectively.
Measure Concepts	<ul style="list-style-type: none"> <li>•Patient and family experience of quality, safety, and access</li> <li>•Patient and family involvement in decisions about healthcare</li> <li>•Joint development of treatment goals and longitudinal plans of care</li> <li>•Confidence in managing chronic conditions</li> <li>•Easy-to-understand instructions to manage conditions</li> </ul>

*Promoting Effective Communication and Coordination of Care*

In the area of care coordination, measures that focus on communication and transitions across setting (e.g., medication reconciliation and transitions from inpatient facilities to other settings) and healthcare home have been endorsed, leaving many areas outlined in the NQF care coordination framework (i.e., proactive plan of care and follow-up, information systems) without current endorsed measures. NQF is aware of some work to begin to leverage information systems to facilitate care coordination, but in a recent call for measures related to Care Coordination, NQF did not receive any new measures to address this area.

Some limited development is underway, but much work remains.

The table below from the National Priorities Partnership’s September report shows the NPP-recommended goals and measure concepts for Promoting Effective Communication and Coordination of Care, the third priority area in HHS’ National Quality Strategy. Several of the measure concepts have associated endorsed measures, such as transition records and advanced care planning. These endorsed measures tend to be limited to certain populations and settings and there is a need for a measure development and testing that would move these measures to broader populations.

The NPP goals also specifically note the need for measures that assess symptom management and functional status. While there have been measures that assess patient function and well-being in certain settings, such as home health and nursing homes, measures that assess a change (or “delta”) in function have been limited. In addition, while there are many patient-level instruments/measures of health status and function, there are few performance measures that utilize these tools to assess the care provided by healthcare entities. In 2012, NQF will work with experts to address some of methodological challenges that have limited use of patient-reported outcomes across data platforms as performance measures.

National Priority: Promote effective communication and care coordination.	
GOALS	Improve the quality of care transitions and communications across care settings.
	Improve the quality of life for patients with chronic illness and disability by following a current care plan that anticipates and addresses pain and symptom management, psychosocial needs, and functional status.
	Establish shared accountability and integration of communities and healthcare systems to improve quality of care and reduce health disparities.
Measure Concepts	<ul style="list-style-type: none"> <li>•Experience of care transitions</li> <li>•Complete transition records</li> <li>•Chronic disease control</li> <li>•Care consistent with end-of-life wishes</li> <li>•Experience of bereaved family members</li> <li>•Care for vulnerable populations</li> <li>•Community health outcomes</li> <li>•Shared information and accountability for effective care coordination</li> </ul>



*Promoting the Most Effective Prevention and Treatment of the Leading Causes of Mortality, Starting With Cardiovascular Disease*

The following table provides the NPP-recommended goals and measure concepts on Priority Area #4, Promoting the Most Effective Prevention and Treatment of the Leading Causes of

Mortality, Starting with Cardiovascular Disease. While most of the identified cardiovascular prevention concepts relate to currently endorsed measures, there are some measurement gaps related to access to healthy foods and nutrition. Evidence will likely be strong for these cardiovascular prevention measures. The current NQF Population

Health project may bring some of these measures forward for evaluation for endorsement.

Condition-specific measures and the gaps related to effective prevention and treatment of high impact conditions, including cardiovascular care, are discussed in the condition-specific section of this report.

National Priority: Promote the most effective prevention, treatment, and intervention practices for the leading causes of mortality, starting with cardiovascular disease			
GOALS	Promote cardiovascular health through community interventions that result in improvement of social, economic, and environmental factors.	Measure Concepts	• Access to healthy foods
	Promote cardiovascular health through interventions that result in adoption of the most important healthy lifestyle behaviors across the lifespan.		• Access to recreational facilities
	Promote cardiovascular health through receipt of effective clinical preventive services across the lifespan in clinical and community settings.		• Use of tobacco products by adults and adolescents
			• Consumption of calories from fats and sugars
			• Control of high blood pressure
			• Control of high cholesterol

*Working With Communities To Promote Wide Use of Best Practices To Enable Healthy Living*

Measures that can assess the health of populations are a growing area of interest in the measurement enterprise. Population health focuses not only on disease across multiple sectors, but also on prevention and health promotion. Identifying valid and reliable measures of performance across these multiple sectors can be challenging. The NPP-recommended goals and measure

concepts for this priority area are noted below. The NPP recommended a three-tiered approach to population health to address the national priority of working with communities to promote the wide use of best practices to enable healthy living and well-being. While there have been endorsed measures that relate to the receipt of clinical preventive services and immunization measures across the lifespan, most, but not all, of these measures focused on clinical rather than community settings. There are measurement gaps in many of the

population-level concepts below, including social support, unhealthy drinking, obesity, and dental health. In the current Population Health Project, NQF will evaluate submitted population-level measures that include a focus on healthy lifestyle behaviors and community interventions that improve health and well-being. A new oral health project will also help to prioritize dental concepts and identify gaps in both dental measures and evidence.

National Priority: Work with communities to promote wide use of best practices to enable healthy living and well-being			
GOALS	Promote healthy living and well-being through community interventions that result in improvement of social, economic, and environmental factors.	Measure Concepts	• Adequate social support
	Promote healthy living and well-being through interventions that result in adoption of the most important healthy lifestyle behaviors across the lifespan.		• Emergency department visits for injuries
	Promote healthy living and well-being through receipt of effective clinical preventive services across the lifespan in clinical and community settings.		• Healthy behavior index
			• Binge drinking
			• Obesity
			• Mental health
			• Dental caries and untreated dental decay
			• Use of the oral health system
			• Immunizations

*Making Quality Care More Affordable*

A new area for NQF endorsement is related to cost and resource use. Currently, a small number of measures

are under NQF review, examining some specific clinical conditions as well as the total cost of care for patients who interact with the healthcare system in a given year. While private payers have

captured and reported the associated costs and resources used for patients within their systems, these measures had not yet been publicly vetted; the current NQF work can pave the way for

increased transparency as well as the possibility of tracking costs in a consistent manner by multiple payers and other interested parties. Many challenges remain within this area, specifically enabling measurement and reporting of costs/resources at the individual provider level, and in the future, pairing these measures with those of quality to begin to capture efficiency.

The NPP's guidance on proposed goals and measure concepts related to this priority area appears in the table below. There are important measure gaps related to access, per capita expenditures and affordability. In addition, development of measures around potential overuse of specific procedures may be limited by the available evidence in clinical guidelines. However, the overuse

measures that have failed endorsement to date primarily relate to the lack of availability of the detailed clinical information in claims data. Similarly, the ability to construct a measure of preventable emergency department use has been limited by the availability of data to assess the concept of preventability.

National Priority: Make quality care affordable for people, families, employers, and governments			
GOALS	Ensure affordable and accessible high-quality healthcare for people, families, employers, and governments.	Measure Concepts	
	Reduce total national healthcare costs per capita by 5 percent and limit the increase in healthcare costs to no more than 1 percent above the consumer price index without compromising quality or access.		
	Support and enable communities to ensure accessible, high-quality care while reducing unnecessary costs.		
			<ul style="list-style-type: none"> <li>• Consumer affordability index</li> <li>• Consistent insurance coverage</li> <li>• Inability to obtain needed care</li> <li>• National/state/local per capita healthcare expenditures</li> <li>• Average annual percentage growth in healthcare expenditures</li> <li>• Menu of measures of unwarranted variation of overuse, including:                             <ul style="list-style-type: none"> <li>- Unwarranted diagnostic/medical/surgical procedures</li> <li>- Inappropriate/unwanted nonpalliative services at end of life</li> <li>- Cesarean section among low-risk women</li> <li>- Preventable emergency department visits and hospitalizations</li> </ul> </li> </ul>

**Identification of Gap Areas Based on Federal Programs' Measure Usage**

The Measure Applications Partnership (MAP) is a public-private partnership convened by the National Quality Forum (NQF) for the primary purpose of providing input to the Department of Health and Human Services (HHS) on selecting performance measures for public reporting, performance-based payment programs, and other purposes. In its first year, the MAP focused on the availability of measures for federal programs and provided input on

important measurement gaps. The MAP *Pre-Rulemaking Report* provides input on over 350 measures under consideration by HHS for nearly twenty clinician, hospital, and post-acute care/long-term care performance measurement programs, using the six NQS priorities to guide its recommendations. The findings of the MAP related to gaps in the federal programs reinforce the gap analysis presented in this report. For example, MAP found that most federal reporting programs lacked measures in the areas of person and family-centered care, and cost and appropriateness. Looking

specifically at clinical areas, MAP also noted a lack of measures in the area of mental health. All these findings echo the lack of NQF-endorsed measures in these areas as described.

In part due to MAP's required focus on the federal programs, which to date have often been defined by setting of care, the MAP work identified gaps by setting or provider type for the clinician, hospital and Post-Acute Care/Long Term Care (PAC/LTC) federal reporting programs. The high-level measure development and implementation gaps in federal programs are included in the table below:

Clinician Programs

- Patient-reported outcomes, health-related quality of life.
- Shared decision-making, patient activation, care planning.
- Care coordination.
- Multiple chronic conditions.
- Palliative and end-of-life care.
- Cost including total cost, cost transparency, efficiency, and resource use.
- Appropriateness.

Hospital Programs

- Cost—total cost of care, episode, transparency, efficiency.
- Appropriateness—admissions, treatment.
- Care coordination—transitions of care, readmissions, hand-off communication, follow-up.

- Patient-reported outcomes—patient and family experience of care and engagement, patient and family preferences, shared decision-making.
- Disparities in care.
- Special populations—behavioral health, child health, maternal health.
- Quality of life/well-being.
- Pain.
- Malnutrition.
- Palliative Care—comfort, integration of patient values in care planning.

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#### PAC/LTC Programs

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- Functional status is a high-priority gap across all programs because assessing function and change in function over time is a baseline for tailoring care for individuals and population subsets.
  - A second prominent gap is measures that incorporate the patient, family, and caregiver experience and their involvement in shared decision-making.
  - Measures that assess if care goals are established using a shared decision making process and if those goals are attained.
  - Measures understanding how providers use assessment information to tailor goals.
  - Establishing and attaining care goals.
  - Care coordination, including transitions.
  - Cost.
  - Mental health.
  - Nutritional status.
- 

#### Gaps Across National Priority Areas by Condition-Specific Areas

To better highlight gaps areas, NQF further grouped its endorsed measures by the following high impact conditions, and reported gaps by each condition, mapped to the NQS priority areas. The condition-specific areas map to the *Prioritization of High-Impact Medicare Conditions and Measure Gaps* report prepared for HHS in 2011, with additional high impact areas added to address younger populations (e.g., child health, maternal health, and serious mental illness). For example, NQF broadened the high-impact condition

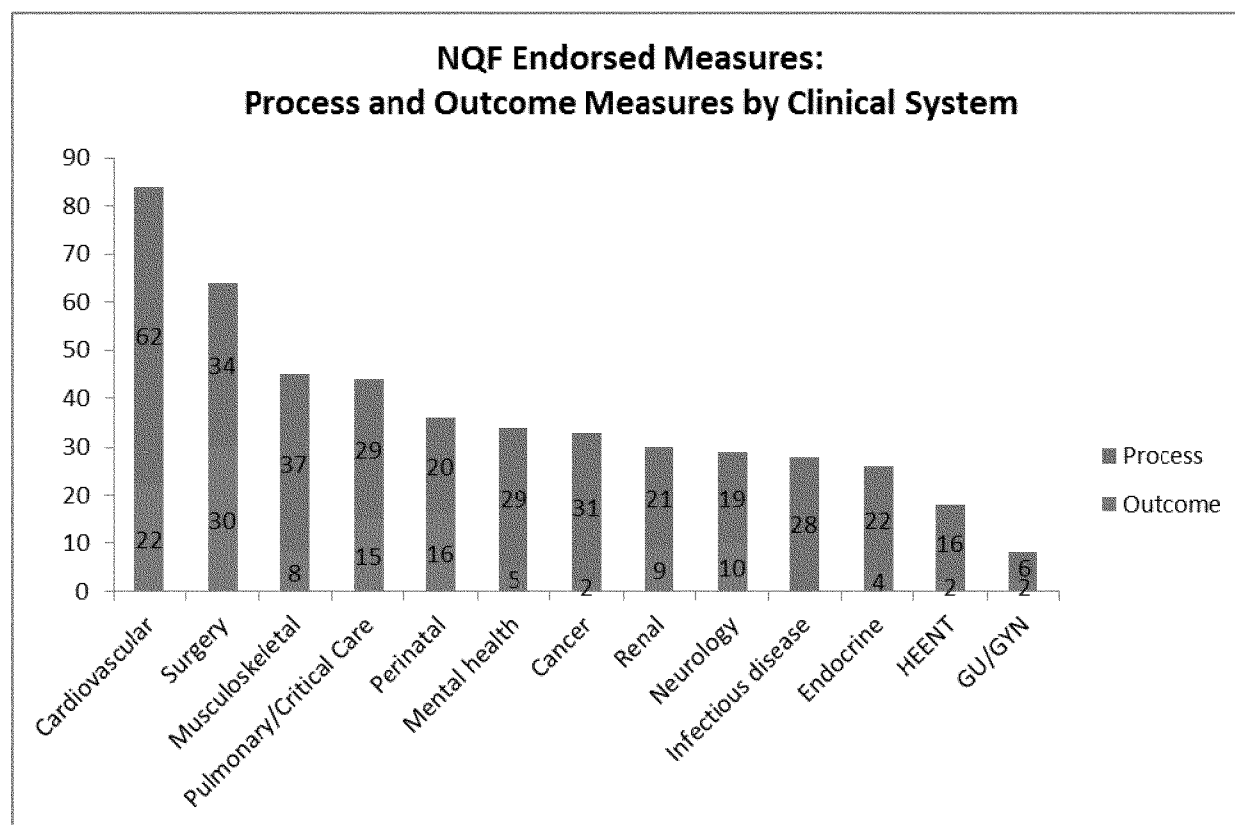
COPD to include other pulmonary conditions (such as asthma.) Finally, related conditions, such as acute myocardial infarction and congestive heart failure, have been grouped together under the broader term of cardiovascular.

- Alzheimer's Disease
- Cancer
- Cardiovascular
- Cataract
- Child Health
- Depression
- Diabetes
- Glaucoma
- Hip/Pelvic Fracture

- Maternal Health
- Osteoporosis
- Pulmonary
- Renal Disease
- Rheumatoid Arthritis/Osteoarthritis
- Serious Mental Illness
- Stroke

In addition to categorizing the measures by NQS priority area, the measure type (i.e., structure, process, outcome, and composite) have been included in these tables. Figure 3 offers a high level analysis of measures by clinical system. As evident in the table, there are many clinical areas that need further outcome measure development.

Figure 3. Condition-Specific Area represented within the NQF portfolio



As a result, high-level information is presented below regarding gaps in endorsed quality measures within the priority areas identified in the NQS. While there are many reasons for the persistent gaps in performance measurement described below, many developers who submit measures to NQF report that the lack of adequate financial support for measure development is a major driver. In addition, measure gaps persist due to insufficient evidence (e.g., management and treatment of Alzheimer's disease) and methodological challenges related to emerging measurement areas (e.g.,

aggregation of patient-reported outcomes into measures appropriate for accountability and quality improvement).

#### Gaps Across National Priority Areas by Condition-Specific Areas

For each condition, the shaded spaces in the tables below represent areas where there are NQF-endorsed measures addressing NQS priority areas, by measure type. The blank spaces represent areas where there are gaps in NQF-endorsed measures.

#### Alzheimer's Disease

While Alzheimer's is recognized as a critical area for measurement, there is a gap in endorsed measures for this condition. There has been limited measure development in this area, which was evidenced through a request for measures by NQF that resulted in no submissions in 2010. Through recent discussions with several developers, NQF has learned that some development has begun. Future NQF measure endorsement projects will include an opportunity for submission of newly developed measures related to Alzheimer's disease.

		National Priorities					
ALZHEIMER'S		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Cancer**

The set of endorsed cancer measures is primarily oriented to cancer screening and effectiveness of treatment for specific cancers. For the priority area of prevention, there are process measures addressing breast, cervical, and colorectal cancer screening. For this topic, there are gaps across all measure

types in the healthy living priority area. In the person and family centered care priority area, there are several process measures and there are measures that specifically address the quality of care received at the end of life through caregiver surveys. For safer care, there are several process measures and a small number of outcome measures. There is a gap in outcomes related to

cancer survival. There are a small number of overuse measures related to affordable care. Gaps related to the quality of life and other critical outcomes of care related to patients diagnosed with cancer remain. No measures were brought forward to address these gap areas in the recent call for measures for the current NQF Cancer Endorsement Project.

		National Priorities					
CANCER		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Cardiovascular Care**

NQF has a very large set of endorsed cardiovascular measures addressing conditions such as acute myocardial infarction, coronary artery disease, and congestive heart failure. There are also endorsed process, outcome, and composite measures related to healthy living and prevention, including measures that align with the CDC goals in its national initiative "Million Hearts" to prevent one million heart

attacks and strokes. While each of the clinical conditions within the larger topic area of cardiovascular care has a robust set of measures of process and outcome measures, gaps remain in the area of person- and family-centered care. As a result of the NQF Patient Outcomes project completed in 2011, several composite measures that examine care transitions for cardiovascular care are now included in the NQF portfolio. In addition, measures

that assess coordination of care, such as the recently endorsed measure that assesses referral to cardiac rehabilitation after a heart attack, are in development. Measures that begin to address affordable care are slowly increasing in numbers. For example, NQF recently endorsed measures of appropriate use of cardiac stress testing as well as measures that capture resources or costs associated with specific cardiovascular conditions, but many gap areas remain.

		National Priorities					
		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	CARDIO-VASCULAR						
	Structure						
	Process						
	Outcome						
Composite							

**Cataract**

While only a handful of measures have been endorsed in the area of cataracts, these measures address the outcomes of cataract surgery. Complications following surgery and improvement in patients' visual

function have been targeted. Currently, the measures focus on those patients who have had surgery. Future measures should address the appropriate selection of treatment of patients with cataracts, ensuring that only those patients whose visual function and quality of life is compromised receive surgery. There is

also a need for measures that address cataract outcomes for patients with multiple co-morbid comorbidities, including diabetes. These may be examples where the evidence base may limit applicability of these measures to more complex patients.

		National Priorities					
		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	CATARACT						
	Structure						
	Process						
	Outcome						
Composite							

**Child Health**

The number of endorsed measures focused on child health has grown in the last year—in part due to a targeted NQF Child Health project that was completed in 2011. The portfolio has also expanded to accommodate core measures for the CHIPRA program. Similar to Maternal Health discussed below, Child Health has many measures focused on screening, immunizations, well-child visits, and treatment for specific clinical conditions. While there are endorsed outcome measures for children, such as those that examine

infection, mortality, and readmission in the intensive care units, they are primarily hospital focused rather than ambulatory. In terms of affordable care, there is a measure focused on length of stay in pediatric intensive care units and a measure of emergency department visits for children with asthma, both of which address use of resources.

An opportunity exists to increase the number of measures that apply to children by adapting adult-focused measures to apply to younger ages. This gap is very dependent on measure developers' willingness to apply measures to younger populations, but

age-based population limits and this limitation should only occur when the evidence does not support the expansion to those under 18 years of age. In January 2011, NQF released a report from the *Measure Prioritization Advisory Committee* focused on measure development and endorsement agenda that identified child health gaps in the areas of care coordination (transitions, referrals, medical homes); acute and chronic management (health promotion, community resources, timely and appropriate follow-up of screening tests); and population health outcomes.

		National Priorities					
CHILD HEALTH		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Depression and Serious Mental Illness**

There is a growing set of endorsed outcome and process measures that address depression. There are some endorsed measures that address Healthy Living and Prevention (e.g., maternal depression screening, suicide risk assessment). In NQF's Patient Outcomes project, measures looking at whether remission of symptoms was achieved at 6 and 12 months were recently endorsed—a step toward assessing patient outcomes related to depression. Many gaps remain specific to person- and family-centered care. There are also a small number of endorsed process measures related to safer care in the

areas of medication management and evaluation and assessment for major depressive disorder. There are a limited number of measures that assess coordination of care, such as persistent use of needed antidepressants, as well as follow-up care after hospitalization.

There are many measurement gaps for patients with serious mental illness. Currently, only measures specific to schizophrenia and bipolar disease are endorsed, leaving many other mental health conditions unaddressed. There are endorsed process measures that address prevention and safer care (e.g., screening for potential comorbidities for patients with bipolar disorder, use of multiple antipsychotic medications).

However, gaps remain specific to other priorities. There is an endorsed patient experience of care measure for inpatient psychiatric care and a set of measures that assess transition from inpatient to outpatient care. Measure gaps relate to affordability, such as potential measures that assess overuse of multiple antipsychotic medications. There are also important population health gaps for serious mental illness, including measures that would address issue of social support and homelessness. NQF anticipates that additional measures related to serious mental illness will be submitted in the upcoming Behavioral Health project.

		National Priorities					
DEPRESSION AND SERIOUS MENTAL ILLNESS		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Diabetes**

While NQF has endorsed multiple diabetes measures, they are primarily oriented to prevention and healthy living, including two composite

measures that address both processes and intermediate outcomes for patients with diabetes. In healthy living, there are also population-level measures that assess potentially preventable

admissions for diabetic complications. While there are measures that address the treatment of patients with the disease, measures have not yet been developed or endorsed that adequately

address the pediatric population or primary screening and prevention of diabetes for high-risk individuals. Many of these gaps are due to the lack of consistent, strong evidence on

appropriate screening and treatment. In the current NQF Resource Use project, a recently endorsed measure captures the relative resource use for patients with diabetes. This measure should

allow implementers including payers to identify the costs and resources associated with this chronic illness.

		National Priorities					
DIABETES		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Glaucoma**

Two measures have been endorsed in the area of glaucoma that address

appropriate evaluations and the reduction of intraocular pressures. Many gaps remain, including addressing

patients' quality of life, experience with care, care coordination, and education related to treatments.

		National Priorities					
GLAUCOMA		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Hip/Pelvic Fracture**

There is a limited set of endorsed measures that address hip and pelvic fracture. Two outcome measures were recently endorsed that target the rate of complications and readmissions after hip surgery. There is also an endorsed

measure that examines the mortality rate related to these fractures. Beyond these three outcomes measures, the NQF portfolio includes measures that address osteoporosis screening and treatment with several specifically targeting those patients who have had a hip or pelvic fracture. Those measures are captured

within the discussion and analysis of osteoporosis and are not reflected in the table below. Many gaps remain related to the coordination of care and person/family centered care. For affordable care, resource use measures related to hip fracture are under consideration in the current NQF Resource Use Project.



		National Priorities					
HIP/PELVIC FRACTURE		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Maternal Health**

NQF has a growing set of endorsed measures that relate to maternal health. There are several important process measures, such as ensuring adequate screening, prenatal and postpartum visits, and appropriate treatment during

delivery. Several measures related to appropriate processes or intermediate outcomes during labor and delivery (e.g., use of prophylactic antibiotics and health-care acquired infections in the newborn) are linked to the priority area of Safer Care. There are measures that

relate to affordable care, such as the rate of Cesarean sections for first-time mothers and elective deliveries prior to 39 weeks. One significant area for which measures may be in development but have not yet been submitted to NQF is related to reproductive health.

		National Priorities					
MATERNAL CARE		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Osteoporosis**

Few measures have been endorsed in the area of osteoporosis. To date, those measures have focused on appropriate screening and treatment, such as

endorsed measures that target appropriate screening or treatment following a fracture, or general screening of women at risk. Significant gaps remain in areas that assess

patients' quality of life and functional status and care coordination, in addition to the dearth of outcomes measures and the lack of applicability of the current measures to men.

		National Priorities					
OSTEOPOROSIS		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Pulmonary**

For the purpose of this report, pulmonary conditions include asthma, chronic obstructive pulmonary disease (COPD), and pneumonia. There are many process measures that examine care for adults and children with asthma, measures of appropriate use of

medications to prevent and treat exacerbations of COPD, and outcome measures related to mortality and readmission for pneumonia. Several outcome measures for pulmonary conditions were recently endorsed through the NQF Patient Outcomes project, including care transitions for patients with pneumonia and quality of

life for patients with COPD in pulmonary rehabilitation programs. While some measures looking at safer care and person/family centered care have now been endorsed, measures related to other pulmonary conditions or applicable to broader settings are needed.

		National Priorities					
PULMONARY		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Renal Disease**

There is a broad set of measures related to End Stage Renal Disease (ESRD) and a small but emerging set of measures related to chronic renal disease. NQF has endorsed several process and outcome measures on this topic, in the priority area of Healthy Living and Prevention. As part of a

recent End Stage Renal Disease (ESRD) endorsement project, a CAHPS measure was endorsed that assesses patient experience with in-center hemodialysis. There are also multiple outcome measures related to adequacy of dialysis and infection rates. Evidence continues to evolve regarding the appropriate target hemoglobin for patients with ESRD. Due to the black box warning

issued by the FDA and continued changes to what hemoglobin levels are considered safe targets, NQF and its committees have been reluctant to endorse measures for which the evidence is not yet consistent to support a performance measure. Additional gaps remain related to care coordination and affordable care.

		National Priorities					
<b>RENAL DISEASE</b>		<b>HEALTHY LIVING: Better Health in Communities</b>	<b>PREVENTION</b>	<b>PERSON/ FAMILY CENTERED CARE</b>	<b>SAFER CARE</b>	<b>CARE COORDINATION COMMUNICATION</b>	<b>AFFORDABLE CARE</b>
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Rheumatoid Arthritis/Osteoarthritis**

Few measures have been endorsed in the areas of rheumatoid arthritis and osteoarthritis. To date, those measures have focused on appropriate screening

and treatment. For example, NQF has endorsed measures related to medication safety for patients with rheumatoid arthritis as well as measures that focus on ensuring appropriate follow-up and testing to prevent

toxicity. Significant gaps remain in areas that assess patients' quality of life and functional status and care coordination. There is also an absence of outcomes measures such as functional status.

		National Priorities					
<b>RHEUMATOID ARTHRITIS/ OSTEO- ARTHRITIS</b>		<b>HEALTHY LIVING: Better Health in Communities</b>	<b>PREVENTION</b>	<b>PERSON/ FAMILY CENTERED CARE</b>	<b>SAFER CARE</b>	<b>CARE COORDINATION COMMUNICATION</b>	<b>AFFORDABLE CARE</b>
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Stroke**

Within stroke, there are endorsed process and outcome measures related to prevention, safer care and care coordination. Within safer care, there are outcome measures related to potentially avoidable complications and mortality after stroke. NQF has also endorsed primary prevention related

measures, such as anticoagulation for patients with atrial fibrillation and secondary prevention related measures, such as use of statins. There are multiple measures that assess the appropriate care and screening for patients after stroke, including issues related to anticoagulation and ongoing need for speech therapy. There is a single endorsed measure related to

stroke education, but no endorsed measures that assess person and family centered care. There are also gaps in measures in the healthy living and affordable care priority areas. While NQF has not previously endorsed measures related to affordable care, there are stroke-related resource use measures currently in the NQF endorsement process.

		National Priorities					
STROKE		HEALTHY LIVING: Better Health in Communities	PREVENTION	PERSON/ FAMILY CENTERED CARE	SAFER CARE	CARE COORDINATION COMMUNICATION	AFFORDABLE CARE
Measure Type	Structure						
	Process						
	Outcome						
	Composite						

**Conclusion**

While the NQF portfolio of endorsed measures can address many important priority area and high priority clinical conditions, there are many gaps that remain. While many measure gaps could be filled with measure development, there would be a small sub-set where development would be limited by available evidence. Another

important impediment to measure development in many high priority areas relates to the lack high quality data for measurement. The move toward an electronic data platform should help increase capacity to measure some of these important concepts. Collectively, the NPP, MAP and endorsement-related work provide a roadmap to where measures are needed to fill many important gaps. This report can be used

to target measure development resources to areas where there are critical development gaps.

**Appendix of Measures Included Within the Condition-Specific Areas**

**Alzheimer's Disease**

\* There are no measures in the portfolio for this condition.

**BILLING CODE P**



CANCER		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0385	Oncology: Chemotherapy for Stage IIIA through IIIC Colon Cancer Patients		X							X	
0386	Oncology: Cancer Stage Documented		X							X	
0387	Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer		X						X		
0388	Prostate Cancer: Three-Dimensional Radiotherapy		X						X		
0389	Prostate Cancer: Avoidance of Overuse Measure – Bone Scan for Staging Low-Risk Patients		X						X		
0390	Prostate Cancer: Adjuvant Hormonal Therapy for High-Risk Patients		X						X		
0391	Breast Cancer Resection Pathology Reporting- pT category (primary tumor) and pN category (regional lymph nodes) with histologic grade		X							X	
0392	Colorectal Cancer Resection Pathology Reporting- pT category (primary tumor) and pN category (regional lymph nodes) with histologic grade		X							X	
0455	Recording of Clinical Stage for Lung Cancer and Esophageal Cancer Resection		X							X	
0457	Recording of Performance Status (Zubrod, Karnofsky, WHO or ECOG Performance Status) Prior to Lung or Esophageal Cancer Resection		X							X	
0458	Pulmonary Function Tests before major anatomic lung resection (pneumonectomy, lobectomy)		X						X		
0459	Risk-Adjusted Morbidity after Lobectomy for Lung cancer			X					X		
0533	Postoperative Respiratory Failure Rate (PSI 11)			X					X		
0559	Combination chemotherapy is considered or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1c, or Stage II or III hormone receptor negative breast cancer		X							X	
0561	Melanoma Coordination of Care		X							X	
0562	Overutilization of Imaging Studies in Melanoma		X						X		
0572	Follow-up after initial diagnosis and treatment of colorectal cancer: colonoscopy		X							X	
0623	History of Breast Cancer - Cancer Surveillance		X							X	
0625	History of Prostate Cancer - Cancer Surveillance		X							X	
0650	Melanoma Continuity of Care – Recall System		X							X	
0706	Risk Adjusted Colon Surgery Outcome Measure			X					X		
0738	Survival Predictor for Pancreatic Resection Surgery©			X					X		

## Cardiovascular

CARDIOVASCULAR		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
<b>Congestive Heart Failure</b>											
0079	LV ejection fraction assessment (outpatient)		X				X				
0081	ACEI/ARB therapy for LVSD (outpatient)		X				X				
0083	Beta blocker for LVSD (outpatient)		X				X				
0135	Evaluation of LVSD		X				X				
0162	ACEI/ARB for LVSD (inpatient)		X				X				
0229	30-day RSMR for heart failure			X					X		
0277	CHF admission (PQI 8)		X						X		
0330	30-day RSRR for heart failure			X					X		
0358	CHF inpatient mortality (IQI 16)			X					X		
0699	30-day post hospital HF discharge care transition composite				X					X	
<b>Ischemic Heart Disease</b>											
0076	Optimal vascular care				X	X					
0133	PCI mortality (risk-adjusted)			X					X		
0355	Bilateral cardiac catheterization rate		X						X		
0535	30-day RSMR for PCI without STEMI			X					X		
0536	30-day RSMR for PCI with STEMI			X					X		
0588	Drug-eluting stent on clopidogrel		X						X		
0669	Cardiac imaging for preoperative risk assessment for non-cardiac low-risk surgery		X								X
0670	Cardiac stress imaging not meeting appropriate use criteria: preoperative evaluation in low-risk surgery patients		X								X
0671	Cardiac stress imaging not meeting appropriate use criteria: routine testing after PCI		X								X
0672	Cardiac stress imaging not meeting appropriate use criteria: testing in asymptomatic, low-risk patients		X								X
0696	STS composite score [for CABG]				X				X		
0964	Therapy with aspirin, P2Y12 inhibitor and statin [after PCI]				X				X		
<b>Acute Myocardial Infarction</b>											
0132	Aspirin at arrival for AMI		X						X		
0137	ACEI/ARB for LVSD		X								
0142	Aspirin prescribed at discharge for AMI		X				X				
0160	Beta blocker prescribed at discharge for AMI		X				X				
0163	Primary PCI within 90 minutes		X						X		
0164	Fibrinolytic therapy within 30 minutes		X						X		
0230	30-day RSMR for AMI			X							
0286	Aspirin at arrival [for patients being transferred]		X						X		
0288	Fibrinolytic therapy within 30 minutes [transfer patients]		X						X		
0290	Median time to transfer for acute intervention		X						X		

CARDIOVASCULAR		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0505	30-day RSRR for AMI			X							
0639	Statin prescribed at discharge		X				X				
0660	Troponin results for ED AMI patients within 60 minutes		X						X		
0698	30-day post-hospital AMI discharge care transition composite				X					X	
0704	Proportion of AMI patients with potentially avoidable complications			X					X		
0710	AMI mortality rate [inpatient]			X					X		
<b>Atrial Fibrillation</b>											
0600	New atrial fibrillation: thyroid function test		X						X		
1524	Assessment of thromboembolic risk		X						X		
1525	Chronic anticoagulation therapy		X						X		

### Cataract

CATARACT		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0564	Complications within 30 Days Following Cataract Surgery Requiring Additional Surgical Procedures			X					X		
0565	Cataracts: 20/40 or Better Visual Acuity within 90 Days Following Cataract Surgery			X					X		
1536	Cataracts: Improvement in Patient's Visual Function within 90 Days Following Cataract Surgery			X					X		



## Child Health

CHILD HEALTH		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0002	Appropriate testing for children with pharyngitis		X						X		
0005	CAHPS Clinician/Group Surveys - (Adult Primary Care, Pediatric Care, and Specialist Care Surveys)			X				X			
0009	CAHPS Health Plan Survey v 3.0 children with chronic conditions supplement			X				X			
0010	Young Adult Health Care Survey (YAHCS)			X				X			
0011	Promoting Healthy Development Survey (PHDS)			X				X			
0026 **	Measure pair - a. Tobacco use prevention for infants, children and adolescents, b. Tobacco use cessation for infants, children and adolescents		X			X	X				
0038	Childhood Immunization Status		X				X				
0060	Hemoglobin A1c test for pediatric patients		X					X			
0069	Appropriate treatment for children with upper respiratory infection (URI)		X					X			
0106	Diagnosis of attention deficit hyperactivity disorder (ADHD) in primary care for school age children and adolescents		X			X					
0107	Management of attention deficit hyperactivity disorder (ADHD) in primary care for school age children and adolescents		X			X					
0108	ADHD: Follow-Up Care for Children Prescribed Attention-Deficit/Hyperactivity Disorder (ADHD) Medication.		X			X					
0143	Use of relievers for inpatient asthma		X					X			
0144	Use of systemic corticosteroids for inpatient asthma		X					X			
0145	Neonate immunization administration		X								
0273	Perforated appendicitis (PQI 2)			X					X		
0278	Low birth weight (PQI 9)			X							
0303	Late sepsis or meningitis in neonates (risk-adjusted)			X					X		
0304	Late sepsis or meningitis in Very Low Birth Weight (VLBW) neonates (risk-adjusted)			X					X		
0334	PICU Severity-adjusted Length of Stay			X					X		
0335	PICU Unplanned Readmission Rate			X					X		
0337	Decubitus Ulcer (PDI 2)			X					X		
0339	Pediatric Heart Surgery Mortality (PDI 6) (risk adjusted)			X					X		
0340	Pediatric Heart Surgery Volume (PDI 7)	X							X		
0341	PICU Pain Assessment on Admission		X					X			
0342	PICU Periodic Pain Assessment		X					X			
0343	PICU Standardized Mortality Ratio			X					X		

CHILD HEALTH		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0348	Iatrogenic Pneumothorax in Non-Neonates (PDI 5) (risk adjusted)			X					X		
0350	Transfusion Reaction (PDI 13)			X					X		
0406	Adolescent and adult clients with AIDS who are prescribed potent ART		X							X	
0410	STD - Syphilis Screening		X				X				
0474	Birth Trauma Rate: Injury to Neonates (PSI #17)			X					X		
0475	Measurement of Hepatitis B Vaccine Administration to All Newborns Prior to Hospital or Birthing Facility Discharge		X				X				
0477	Under 1500g infant Not Delivered at Appropriate Level of Care			X					X		
0478	Nosocomial Blood Stream Infections in Neonates (NQI #3)			X					X		
0479	Birth dose of hepatitis B vaccine and hepatitis immune globulin for newborns of mothers with chronic hepatitis B		X								
0480	Exclusive Breastfeeding at Hospital Discharge		X			X					
0481	First temperature measured within one hour of admission to the NICU.		X						X		
0482	First NICU Temperature < 36 degrees C			X					X		
0483	Proportion of infants 22 to 29 weeks gestation screened for retinopathy of prematurity.		X				X				
0484	Proportion of infants 22 to 29 weeks gestation treated with surfactant who are treated within 2 hours of birth.		X						X		
0485	Neonate immunization		X				X				
0494	Medical Home System Survey	X						X			
0504	Pediatric Weight Documented in Kilograms		X						X		
0532	Pediatric Patient Safety for Selected Indicators not submitted								X		
0587	Tympanostomy Tube Hearing Test		X				X				
0617	High Risk for Pneumococcal Disease - Pneumococcal Vaccination		X				X				
0713	Ventriculoperitoneal (VP) shunt malfunction rate in children			X					X		
0714	Standardized mortality ratio for neonates undergoing non-cardiac surgery			X					X		
0715	Standardized adverse event ratio for children and adults undergoing cardiac catheterization for congenital heart disease			X					X		
0716	Healthy Term Newborn			X					X		
0717	Number of School Days Children Miss Due to Illness			X				X			
0718	Children Who Have No Problems Obtaining Referrals When Needed			X				X			
0719	Children Who Receive Effective Care Coordination of Healthcare Services When Needed			X						X	
0720	Children Who Live in Communities Perceived as Safe			X		X					

CHILD HEALTH		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0721	Children Who Attend Schools Perceived as Safe			X		X					
0722	Pediatric Symptom Checklist (PSC)			X				X			
0723	Children Who Have Inadequate Insurance Coverage For Optimal Health			X							X
0724	Measure of Medical Home for Children and Adolescents	X				X					
0725	Validated family-centered survey questionnaire for parents' and patients' experiences during inpatient pediatric hospital stay			X				X			
0726	Inpatient Consumer Survey (ICS)			X				X			
0727	Gastroenteritis Admission Rate (pediatric)			X					X		
0728	Asthma Admission Rate (pediatric)			X					X		
0752	National Healthcare Safety Network (NHSN) Central line-associated Bloodstream Infection (CLABSI) Outcome Measure			X					X		
1330	Children With a Usual Source for Care When Sick		X			X					
1332	Children Who Receive Preventive Medical Visits			X			X				
1333	Children Who Receive Family-Centered Care		X					X			
1334	Children Who Received Preventive Dental Care			X			X				
1335	Children Who Have Dental Decay or Cavities			X					X		
1337	Children With Inconsistent Health Insurance Coverage in the Past 12 Months		X								X
1340	Children with Special Health Care Needs (CSHCN) who Receive Services Needed for Transition to Adult Health Care			X						X	
1346	Children Who Are Exposed To Secondhand Smoke Inside Home			X		X					
1348	Children Age 6-17 Years who Engage in Weekly Physical Activity			X		X					
1349	Child Overweight or Obesity Status Based on Parental Report of Body-Mass-Index (BMI)			X		X					
1351	Proportion of infants covered by Newborn Bloodspot Screening (NBS)		X				X				
1354	Hearing screening prior to hospital discharge (EHDI-1a)		X				X				
1357	Outpatient hearing screening of infants who did not complete screening before hospital discharge (EHDI-1c)		X				X				
1360	Audiological Evaluation no later than 3 months of age (EHDI-3)		X				X				
1361	Intervention no later than 6 months of age (EHDI-4a)		X				X				
1364	Child and Adolescent Major Depressive Disorder: Diagnostic Evaluation		X				X				
1365	Child and Adolescent Major Depressive Disorder: Suicide Risk Assessment		X				X				
1382	Percentage of low birthweight births			X					X		
1385	Developmental screening using a parent completed screening tool (Parent report, Children 0-5)		X				X				

CHILD HEALTH		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
1388	Annual Dental Visit			X			X				
1392	Well-Child Visits in the First 15 Months of Life		X				X				
1394	Depression Screening By 13 years of age		X				X				
1395	Chlamydia Screening and Follow Up		X				X			X	
1396	Healthy Physical Activity by 6 years of age		X			X				X	
1397	Sudden Infant Death Syndrome Counseling		X					X			
1399	Developmental Screening by 2 Years of Age		X				X				
1402	Newborn Hearing Screening		X				X				
1406	Risky Behavior Assessment or Counseling by Age 13 Years		X			X					
1407	Immunizations by 13 years of age		X				X				
1412	Pre-School Vision Screening in the Medical Home		X				X				
1419	Primary Caries Prevention Intervention as Part of Well/III Child Care as Offered by Primary Care Medical Providers		X				X				
1448	Developmental Screening in the First Three Years of Life		X				X				
1506	Immunizations by 18 years of age		X				X				
1507	Risky Behavior Assessment or Counseling by Age 18 Years		X				X				
1512	Healthy Physical Activity by 13 years of age		X			X					
1514	Healthy Physical Activity by 18 years of age		X			X					
1515	Depression Screening By 18 years of age		X				X				
1516	The percentage of members 3–6 years of age who received one or more well-child visits with a PCP during the measurement year.		X				X				
1552	Blood Pressure Screening by age 13		X				X				
1553	Blood Pressure Screening by Age 18		X				X				

### Depression and Serious Mental Illness

DEPRESSION, SERIOUS MENTAL ILLNESS		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0008	Experience of Care and Health Outcomes (ECHO) Survey (behavioral health, managed care versions)			X				X			
0103	Major Depressive Disorder: Diagnostic Evaluation		X			X	X				
0104	Major Depressive Disorder: Suicide Risk Assessment		X			X	X				
0105	Antidepressant Medication Management		X			X			X		
0109	Bipolar Disorder and Major Depression: Assessment for Manic or hypomanic behaviors		X			X	X				
0110	Bipolar Disorder and Major Depression: Appraisal for alcohol or chemical substance use		X			X	X				
0111	Bipolar Disorder: Appraisal for risk of suicide		X			X	X				
0112	Bipolar Disorder: Level-of-function evaluation		X			X	X				
0418	Screening for Clinical Depression		X			X	X				
0518	Depression Assessment Conducted		X			X	X				
0544	Use and Adherence to Antipsychotics among members with Schizophrenia		X			X		X			
0552	HBIPS-4: Patients discharged on multiple antipsychotic medications		X						X		
0557	HBIPS-6 Post discharge continuing care plan created		X					X			
0558	HBIPS-7 Post discharge continuing care plan transmitted to next level of care provider upon discharge		X							X	
0576	Follow-Up After Hospitalization for Mental Illness		X							X	
0580	Bipolar anti-manic agent		X			X			X		
0690	Percent of Residents Who Have Depressive Symptoms (Long-Stay)			X		X					
0710	Depression Remission at Twelve Months			X		X		X			
0711	Depression Remission at Six Months			X		X		X			
0712	Depression Utilization of the PHQ-9 Tool		X			X	X				
0722	Pediatric Symptom Checklist (PSC)		X				X				
0726	Inpatient Consumer Survey (ICS) consumer evaluation of inpatient behavioral healthcare services			X		X		X			
1364	Child and Adolescent Major Depressive Disorder: Diagnostic Evaluation		X			X	X				
1365	Child and Adolescent Major Depressive Disorder: Suicide Risk Assessment		X			X	X				
1394	Depression Screening By 13 years of age		X			X	X				
1401	Maternal Depression Screening		X			X	X				
1515	Depression Screening By 18 years of age		X			X	X				



Diabetes		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0604	Adult(s) with diabetes mellitus that had a serum creatinine in last 12 reported months		X								
0618	Diabetes with LDL greater than 100-Use of a Lipid Lowering Agent		X								
0619	Diabetes with hypertension or proteinuria-Use of an ACE Inhibitor or ARB		X								
0630	Diabetes and elevated HbA1C-Use of diabetes medications		X								
0632	Primary prevention of cardiovascular events in diabetics-Use of Aspirin or Antiplatelet therapy		X								
0638	Uncontrolled diabetes admission rate (PQI 14)			X							
0709	Proportion of patients with a chronic condition that have a potentially avoidable complication during a calendar year			X					X		
0729	Optimal diabetes care				X	X					
0731	Comprehensive diabetes care				X						

### Glaucoma

GLAUCOMA		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0563	Primary Open-Angle Glaucoma: Reduction of Intraocular Pressure by 15% or Documentation of a Plan of Care			X			X				
0086	Primary Open Angle Glaucoma: Optic Nerve Evaluation		X				X				

**Hip/Pelvic Fracture**

HIP/PELVIC FRACTURE		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0354	Hip Fracture Mortality Rate (IQI 19) (risk adjusted)			X					X		
0423	Functional status change for patients with hip impairments			X						X	
0697	Risk Adjusted Case Mix Adjusted Elderly Surgery Outcomes Measure			X					X		
1550	Hospital-level risk-standardized complication rate (RSCR) following elective primary total hip arthroplasty (THA) and total knee arthroplasty (TKA)			X					X		
1551	Hospital-level 30-day all-cause risk-standardized readmission rate (RSRR) following elective primary total hip arthroplasty (THA) and total knee arthroplasty (TKA)			X					X		

**Maternal Health**

MATERNAL HEALTH		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0012	Prenatal Screening for Human Immunodeficiency Virus (HIV)		X				X				
0014	Prenatal Anti-D Immune Globulin		X						X		
0015	Prenatal Blood Groups (ABO), D (Rh) Type		X						X		
0016	Prenatal Blood Group Antibody Testing		X						X		
0333	Severity-Standardized ALOS – Deliveries			X					X		
0469	Elective delivery prior to 39 completed weeks gestation		X								X
0470	Incidence of Episiotomy		X					X			
0471	Cesarean Rate for low-risk first birth women (aka NTSV CS rate)			X							X
0472	Prophylactic Antibiotic Received Within One Hour Prior to Surgical Incision or at the Time of Delivery – Cesarean section.		X						X		
0473	Appropriate DVT prophylaxis in women undergoing cesarean delivery		X						X		
0476	Appropriate Use of Antenatal Steroids		X						X		





OSTEOPOROSIS		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0049	Osteoporosis: Pharmacologic Therapy for Men and Women Aged 50 Years and Older		X						X		
0053	Osteoporosis management in women who had a fracture		X							X	
0614	Steroid Use - Osteoporosis Screening		X				X				
0633	Osteopenia and Chronic Steroid Use - Treatment to Prevent Osteoporosis		X				X				
0634	Osteoporosis - Use of Pharmacological Treatment		X						X		

### Pulmonary

PULMONARY		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
<b>Asthma</b>											
0036	Use of appropriate medications for people with asthma		X				X				
0047	Asthma: Pharmacologic Therapy for Persistent Asthma		X				X				
0143	CAC-1: Relievers for Inpatient Asthma		X						X		
0144	CAC-2 Systemic corticosteroids for Inpatient Asthma		X						X		
0283	Adult asthma (PQI 15)			X					X		
0338	Home Management Plan of Care Document Given to Patient/Caregiver		X					X			
0548	Suboptimal Asthma Control (SAC) and Absence of Controller Therapy (ACT)		X						X		
0620	Asthma - Use of Short-Acting Beta Agonist Inhaler for Rescue Therapy		X				X				
0728	Asthma Admission Rate (pediatric)			X					X		
1381	Asthma Emergency Department Visits			X							X
<b>Pneumonia</b>											
0043	Pneumonia vaccination status for older adults		X				X				
0044	Pneumonia Vaccination		X				X				

PULMONARY		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0058	Avoidance of Antibiotic Treatment in Adults with Acute Bronchitis		X						X		
0095	Assessment Mental Status for Community-Acquired Bacterial Pneumonia		X						X		
0096	Empiric Antibiotic for Community-Acquired Bacterial Pneumonia		X						X		
0147	Initial antibiotic selection for community-acquired pneumonia (CAP) in immunocompetent patients		X						X		
0148	Blood cultures performed in the emergency department prior to initial antibiotic received in hospital		X						X		
0231	Pneumonia Mortality Rate (IQI #20)			X					X		
0232	Vital Signs for Community-Acquired Bacterial Pneumonia		X						X		
0233	Assessment of Oxygen Saturation for Community Acquired Bacterial Pneumonia		X						X		
0279	Bacterial pneumonia (PQI 11)			X					X		
0356	PN3a--Blood Cultures Performed Within 24 Hours Prior to or 24 Hours After Hospital Arrival for Patients Who Were Transferred or Admitted to the ICU Within 24 Hours of Hospital Arrival		X						X		
0468	Hospital 30-day, all-cause, risk-standardized mortality rate (RSMR) following pneumonia hospitalization			X					X		
0506	Hospital 30-day, all-cause, risk-standardized readmission rate (RSRR) following pneumonia hospitalization			X					X		
0617	High Risk for Pneumococcal Disease - Pneumococcal Vaccination		X				X				
0683	Percent of Residents Assessed and Appropriately Given the Pneumococcal Vaccine (Long-Stay)		X				X				
0707	30-Day Post-Hospital PNA (Pneumonia) Discharge Care Transition Composite				X					X	
0708	Proportion of Patients Hospitalized with Pneumonia that have a Potentially Avoidable Complication (during the Index Stay or in the 30-day Post-Discharge Period)			X					X		
<b>Chronic Obstructive Pulmonary Disease (COPD)</b>											
0091	COPD: spirometry evaluation		X				X				
0102	COPD: inhaled bronchodilator therapy		X					X			
0179	Improvement in dyspnea			X					X		
0275	Chronic obstructive pulmonary disease (PQI 5)			X					X		
0549	Pharmacotherapy Management of COPD Exacerbation (PCE): Two rates are reported.		X						X		
0577	Use of Spirometry Testing in the Assessment and Diagnosis of COPD		X				X				
0667	Inappropriate Pulmonary CT Imaging for Patients at Low Risk for Pulmonary Embolism		X						X		
0700	Health-related Quality of Life in COPD patients before and after Pulmonary Rehabilitation			X			X				



RENAL DISEASE		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
0256	Hemodialysis Vascular Access- Minimizing use of catheters as Chronic Dialysis Access		X							X	
0257	Hemodialysis Vascular Access- Maximizing Placement of Arterial Venous Fistula (AVF)		X						X		
0258	CAHPS In-Center Hemodialysis Survey			X				X			
0259	Hemodialysis Vascular Access Decision-making by surge onto Maximize Placement of Autogenous Arterial Venous Fistula		X						X		
0260	Assessment of Health-related Quality of Life in Dialysis Patients		X					X			
0261	Measurement of Serum Calcium Concentration		X							X	
0262	Vascular Access—Catheter Vascular Access and Evaluation by Vascular Surgeon for Permanent Access.		X						X		
0318	Peritoneal Dialysis Adequacy Clinical Performance Measure III - Delivered Dose of Peritoneal Dialysis Above Minimum			X						X	
0320	Patient Education Awareness—Physician Level		X							X	
0321	Peritoneal Dialysis Adequacy: Solute			X						X	
0323	Hemodialysis Adequacy: Solute			X						X	
0324	Patient Education Awareness—Facility Level		X							X	
0369	Dialysis Facility Risk-adjusted Standardized Mortality Ratio			X					X		
0370	Monitoring hemoglobin levels below target minimum			X						X	
0550	Chronic Kidney Disease, Diabetes Mellitus, Hypertension and Medication Possession Ratio for ACEI/ARB Therapy		X							X	
0570	CHRONIC KIDNEY DISEASE (CKD): MONITORING PHOSPHORUS		X							X	
0571	CHRONIC KIDNEY DISEASE (CKD): MONITORING PARATHYROID HORMONE (PTH)		X							X	
0574	CHRONIC KIDNEY DISEASE (CKD): MONITORING CALCIUM		X							X	
0617	High Risk for Pneumococcal Disease - Pneumococcal Vaccination		X				X				
0626	Chronic Kidney Disease - Lipid Profile Monitoring		X							X	
0627	Chronic Kidney Disease with LDL Greater than or equal to 130 – Use of Lipid Lowering Agent		X							X	
1418	Frequency of Adequacy Measurement for Pediatric Hemodialysis Patients		X							X	
1421	Method of Adequacy Measurement for Pediatric Hemodialysis Patients		X							X	
1423	Minimum spKt/V for Pediatric Hemodialysis Patients			X						X	
1424	Monthly Hemoglobin Measurement for Pediatric Patients		X							X	
1425	Measurement of nPCR for Pediatric Hemodialysis Patients		X							X	
1433	Use of Iron Therapy for Pediatric Patients		X							X	
1438	Periodic Assessment of Post-Dialysis Weight by Nephrologists		X							X	
1454	Proportion of patients with hypercalcemia			X					X		
1460	Bloodstream Infection in Hemodialysis Outpatients			X					X		



## Stroke

STROKE		Measure Type				National Priorities					
		Structure	Process	Outcome	Composite	Healthy Living, BH	Prevention	Patient Centered	Safer Care	Care Coordination	Affordable Care
467	Acute Stroke Mortality Rate (IQI 17)			X			X				
241	Anticoagulant Therapy Prescribed for Atrial Fibrillation at Discharge		X						X		
661	Head CT or MRI Scan Results for Acute Ischemic Stroke or Hemorrhagic Stroke Patients who Received Head CT or MRI Scan Interpretation Within 45 minutes of ED Arrival.		X						X		
705	Proportion of Patients Hospitalized with Stroke that have a Potentially Avoidable Complication (during the Index Stay or in the 30-day Post-Discharge Period)			X					X		
440	Stroke Education		X					X			
441	Assessed for Rehabilitation		X							X	
438	Antithrombotic therapy by end of Hospital Day Two								X		
439	Discharged on statin medication		X						X		
435	Discharged on Antithrombotic Therapy		X						X		
243	Screening for Dysphagia		X						X		
446	Functional Communication Measure: Reading		X							X	
448	Functional Communication Measure: Memory		X							X	
445	Functional Communication Measure: Spoken Language Comprehension		X							X	
444	Functional Communication Measure: Spoken Language Expression		X							X	
442	Functional Communication Measure: Writing		X							X	
447	Functional Communication Measure: Motor Speech		X							X	
448	Functional Communication Measure: Swallowing		X							X	
644	Patients with a transient ischemic event ER visit that had a follow up office visit.		X							X	
242	t-PA considered		X						X		
434	VTE Prophylaxis		X						X		

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## IV. Secretarial Comments on the Annual Report to Congress

The Secretary is pleased with the scope and vision of NQF's March 2012 annual report to Congress (the "annual report"). An internal multidisciplinary cross-component HHS team is working collaboratively with NQF to provide for a clear multi-year vision to ensure the most efficient and effective utilization of the HHS contract. The contract with NQF provides an important opportunity to further enhance HHS' efforts to foster a collaborative, multi-stakeholder

approach to increase the availability of national voluntary consensus standards for quality and efficiency measures.

Over the past year NQF continued work on tasks outlined in the Statement of Work, including: Providing additional input on the development of a national strategy for performance measurement and prioritization of measures for development and endorsement; conducting measure endorsement projects focused on measure gap areas such as outcomes measures and patient safety measures; maintaining current NQF-endorsed measures; promoting Electronic Health

Records through activities that include developing a measure authoring software tool; and retooling of a subset of existing NQF-endorsed measures into electronic measure format. NQF provided input on the implementation of the national priorities of the National Strategy for Quality Improvement in Healthcare (NQS). The NQF convened the National Priorities Partnership (NPP) and delivered a report that focused further on enhancing patient safety, one of the six NQS priorities. The NPP worked with HHS on the Partnership for Patients initiative. The

NQF continued its endorsement of quality measures for use in accountability and performance improvement with a focus on crosscutting measures and measures addressing costly and prevalent health conditions. NQF convened the Measure Applications Partnership (MAP) to foster alignment of measures in order to reduce reporting burden and accelerate improvement in reporting. The MAP provided pre-rulemaking guidance to HHS, including input on the selection of quality and efficiency measures.

The Secretary has reviewed the annual report and has the following comments. First, the Secretary notes an inadvertent statement in the annual report. The statement appears in the third sentence of the first paragraph on page 16 of the Report to Congress under the section entitled “3. Endorsing Measures and Developing Related Tools”. It refers to NQF-endorsed measures and states they have “special legal standing”. The suggestion that NQF-endorsed measures enjoy “special legal standing” is ambiguous and could be misinterpreted. Numerous statutory provisions in the Social Security Act (the “Act”) require the Secretary to specify measures for quality programs that have been endorsed by the consensus-based entity with a contract under section 1890(a) of the Act. NQF currently holds this contract and the Secretary often selects NQF-endorsed measures for quality programs. Nonetheless, the suggestion that these measures “have special legal standing” does not describe the significance of NQF endorsement for measures the Secretary selects. In addition, this statement oversimplifies the complex intellectual property concerns that frequently attend federal agency use, adoption, and dissemination of NQF-endorsed measures.

Second, the Secretary wishes to clarify a statement that has the potential to be misleading. This statement appears in the final sentence of the first full paragraph on page 7 of the Report to Congress and states: “As it turns out, NQF has already endorsed measures for medication reconciliation, readmission, and care transitions that apply to additional settings and populations so these measures can move right into other federal programs.” This sentence is vague and the reference to measures moving ‘right into other federal programs’ does not accurately describe the process by which measures are selected for use in quality programs.

Third, the Secretary also wishes to clarify a statement in the sentence in the middle of the second column in “Sidebar 5: Harmonizing Surgical-Site

Infection Measures” on page 20 of the Report to Congress. The sentence states: “Notably, CMS has selected this harmonized measure for inclusion in the 2012 final rule of the Inpatient Prospective Payment System (IPPS).” This sentence suggests that the referenced measure—Surgical Site Infection—was included in Fiscal Year 2012 Inpatient Prospective Payment System (IPPS)/Long term Care Hospital Prospective Payment System final rule as part of the payment for the IPPS program, when in fact this measure was finalized in that rule for use in the Hospital Inpatient Quality Reporting (“Hospital IQR”) program.

Fourth, the section entitled “Eight Years of Hospital Reporting Show Results” on page 31 of the Report to Congress discusses simultaneous reporting on measures by hospitals to the Centers for Medicare & Medicaid Services (“CMS”), presumably for the Hospital IQR program, and to the Joint Commission for hospital accreditation. Although there may be some overlap in the measures on which hospitals report to CMS and the Joint Commission, this section suggests that CMS and the Joint Commission run the Hospital IQR program together, which is not the case.

Fifth, the Secretary notes some ambiguity with respect to the description of funding that NQF receives from the MIPPA and the Affordable Care Act. Specifically the language in the Report to Congress implies that the two laws directly appropriated funds to the NQF, which is not accurate. The NQF receives MIPPA and Affordable Care Act funding through a contract from HHS. In addition, regarding the first bullet point before the text box entitled ‘Working with NQF Helped Spur Rapid Evolution of Ophthalmology Measures,’ the Secretary clarifies that section 3014 of the Affordable Care Act amended section 1890(b) of the Social Security Act by adding paragraphs (7) and (8), which require NQF to convene multi-stakeholder groups to provide input on the selection of quality and efficiency measures and national priorities for improvement in population health and the delivery of healthcare services for consideration under the national strategy, and to transmit the multi-stakeholder group input to the Secretary.

Sixth, the Secretary also wishes to note that section 3014 of the Affordable Care Act added additional items that must be included in the report that the consensus-based entity submits to Congress and the Secretary that are not included in the last bullet in the narrative prior to the next section, ‘2

Bridging Consensus About Improvement Priorities and Approaches,’ of the Report to Congress. Section 3014 of the Affordable Care Act amended section 1890(b)(5)(A) of the Social Security Act to require that the report submitted to Congress and the Secretary identify gaps in endorsed quality and efficiency measures, including gaps in priority areas identified in the national strategy, instances where quality and efficiency measures are unavailable or inadequate to address such gaps, areas in which evidence is insufficient to support endorsement of quality and efficiency measures, including priority areas, as well as the input provided by multi-stakeholder groups on the selection of quality and efficiency measures and the national priorities.

Finally, the Secretary wishes to clarify the first sentence in the second paragraph on page 1 of the Overview section of the NQF Report on Measure Gaps and Inadequacies. Section 3014 of the Affordable Care Act amended section 1890(b)(5)(A) of the Act to add additional topics to the items that must be described in the Report to Congress, but these amendments did not change the date by which the entity with a contract is required to submit the Report to Congress and the Secretary. That date is March 1 of each year (beginning in 2009), not February 1, 2012 and annually thereafter, as the addendum states.

The Secretary is pleased with the progress and timeliness of the work outlined in the Annual Report.

## V. Future Steps

HHS provided a four-year contract to NQF. During this performance year of the contract, NQF completed deliverables for each task required by section 183 in MIPPA and by section 3014 in Affordable Care Act. In the final year of the contract, HHS will continue to task NQF with projects than can be completed wholly or partially by the expiration of the current contract. In addition, HHS will develop a contract mechanism to support the Affordable Care Act-required work needed through FY2014.

### *Maintenance of Consensus-Based Endorsed Measures*

During January 14, 2012 to January 13, 2013, NQF will maintain endorsed measures relevant to HHS-wide programs and will continue to maintain consensus-based endorsed measures as developed under the priority process. Maintenance of NQF-endorsed measures encompasses five areas: (1) Review of time-limited measure results, (2) annual updates, (3) endorsement maintenance



projects, (4) ad hoc reviews, and (5) education to measure developers on endorsement maintenance activities. In 2012, 42 time-limited endorsed measures are expected to undergo NQF review while 276 measures will require annual updates. Measures in these topical areas are undergoing endorsement maintenance: Cardiovascular, surgery, palliative/end-of-life-care, renal, perinatal, cancer, and pulmonary/critical care measures. In addition, NQF will begin endorsement maintenance projects for the following four topics: Gastrointestinal/genitourinary; infectious diseases; neurology; head, ears, eyes, nose and throat (HEENT). Finally, NQF is prepared to undertake ad hoc endorsement reviews as needed and will be hosting web-based educational events on its endorsement maintenance activities.

#### *Promotion of Electronic Health Records*

In 2012, NQF will continue to support the promotion of electronic health records as part of HHS-wide efforts. NQF's contributions will include enhancements of the Quality Data Model, which specify the necessary data for electronic and personal health records. NQF will continue hosting and enhancing the Measure Authoring Tool, and will provide technical assistance and support to tool users. NQF will also maintain an online Knowledge Base of

information gleaned during the eMeasure retooling process of 2011, the subsequent comment and updating process, and the ongoing consulting activities that began in 2011. The Knowledge Base will be available on the NQF Web site for public use and updated at a minimum on a monthly basis to highlight new critical issues that are identified. The content of the Knowledge Base will support educational requirements for measure developers, measure implementers, EHR vendors, clinician, health care organizations, health information exchanges, and others as new stakeholders are identified. In addition, NQF will help HHS transition the Measure Authoring Tool to HHS for continued hosting and enhancements.

#### *Focused Measure Development, Harmonization, and Endorsement Efforts To Fill Critical Gaps in Performance Measurement*

In 2012, NQF will finish endorsement efforts focused on efficiency/resource use measures and regionalized emergency care services. In addition, NQF will perform an assessment of need among key stakeholders for a measure registry, a system capturing the lifecycle of a measure with capability to track versions of measures as they proceed through their lifecycle. Such a registry could assist measure developers and users to better identify measures in

development, especially those identified as filling critical gaps, and how measures are similar and different version to version. General issues/concerns regarding establishing, using, and maintaining a registry (e.g., intellectual property, data quality, incentives for use) will be explored specific to health care performance and cost measures.

#### *Convening Multi-Stakeholder Groups*

NQF will continue work to provide further input into the National Quality Strategy and annual selection of quality measures for use in public and private reporting programs and value-based purchasing programs.

#### **V. Collection of Information Requirements**

This document does not impose information collection and recordkeeping requirements. Consequently, it need not be reviewed by the Office of Management and Budget under the authority of the paperwork Reduction Act of 1995 (44 U.S.C. 35)

Dated: August 27, 2012.

**Kathleen Sebelius,**

*Secretary, Department of Health and Human Services.*

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