The Securities and Exchange Commission (the Commission) is amending the EDGAR Filer Manual (the Manual) on January 14, 2013, and as of May 21, 2013, is effective September 15, 2012, is amended as follows:

Q68 Charleston, WV (HVQ) to OTTTO, VA [New]
Charleston, WV (HVQ) VORTAC (Lat. 38°20'59" N., long. 081°46'12" W.)
TOMCA, WV WP (Lat. 38°34'42" N., long. 080°36'41" W.)
RONZI, WV WP (Lat. 38°33'16" N., long. 080°07'57" W.)
HHLORZ, WV WP (Lat. 38°38'02" N., long. 079°41'33" W.)
HAMME, WV WP (Lat. 38°42'30" N., long. 079°14'39" W.)
CAPOE, VA WP (Lat. 38°51'13" N., long. 078°22'27" W.)
OTTTO, VA WP (Lat. 38°51'16" N., long. 078°12'20" W.)

Q72 HACKS, WV to RAMAY, VA [New]
HACKS, WV FIX (Lat. 39°07'46" N., long. 081°05'35" W.)
GEQUE, WV WP (Lat. 39°05'19" N., long. 080°17'58" W.)
BENSHE, WV WP (Lat. 39°01'10" N., long. 079°10'29" W.)
RAMAY, VA WP (Lat. 38°57'39" N., long. 078°12'59" W.)

Q80 FAIREV, KY to OTTTO, VA [New]
FAREV, KY WP (Lat. 37°12'28" N., long. 085°07'21" W.)
JEDER, KY WP (Lat. 37°19'31" N., long. 084°45'14" W.)
ENGRA, KY WP (Lat. 37°20'02" N., long. 084°15'02" W.)
DEWAK, KY WP (Lat. 37°46'38" N., long. 083°14'58" W.)
CEGMA, KY WP (Lat. 37°54'00" N., long. 082°50'32" W.)
JONEN, KY WP (Lat. 37°59'09" N., long. 082°32'46" W.)
HULLVE, WV WP (Lat. 38°13'20" N., long. 081°42'43" W.)
WISTA, WV WP (Lat. 38°17'01" N., long. 081°27'47" W.)
LEVII, WV WP (Lat. 38°22'20" N., long. 081°05'52" W.)
RONZI, WV WP (Lat. 38°33'16" N., long. 080°07'57" W.)
HHLORZ, WV WP (Lat. 38°38'02" N., long. 079°41'33" W.)
HAMME, WV WP (Lat. 38°42'30" N., long. 079°14'39" W.)
CAPOE, VA WP (Lat. 38°51'13" N., long. 078°22'27" W.)
OTTTO, VA WP (Lat. 38°51'16" N., long. 078°12'20" W.)

**SEcurities And ExCHANGE ComMISSION**

**17 CFR Part 232**

[Release Nos. 33–9403; 34–69568; 39–2490; IC–30515]

**Adoption of Updated EDGAR Filer Manual**

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Final rule.

**SUMMARY:** The Securities and Exchange Commission (the Commission) is adopting revisions to the Electronic Data Gathering, Analysis, and Retrieval System (EDGAR) Filer Manual and related rules to reflect updates to the EDGAR system. The revisions are being made primarily to implement the new Form 13F online application and to support US GAAP 2013 Taxonomy. The EDGAR system is scheduled to be upgraded to support this functionality on May 20, 2013.

**DATES:** Effective May 21, 2013. The incorporation by reference of the EDGAR Filer Manual is approved by the Director of the Federal Register as of May 21, 2013.

**FOR FURTHER INFORMATION CONTACT:** In the Division of Investment Management, for questions concerning Form 13F contact Judith Gechter at (202) 551–6860; in the Division of Risk, Strategy, and Financial Innovation for questions concerning XBRL Taxonomies contact Matthew Carruth at (202) 551–2033; and in the Office of Information Technology, contact Vanessa Anderson at (202) 551–8800.

**SUPPLEMENTARY INFORMATION:** We are adopting an updated EDGAR Filer Manual, Volume I, Volume II, and Volume III. The Filer Manual describes the technical formatting requirements for the preparation and submission of electronic filings through the EDGAR system. It also describes the requirements for filing using EDGARLink Online and the Online Forms/XML Web site.


1 We originally adopted the Filer Manual on April 1, 1993, with an effective date of April 26, 1993, Release No. 33–6906 [April 1, 1993] [58 FR 18638]. We implemented the most recent update to the Filer Manual on July 1, 2012, Release 34–69568 [August 8, 2012] [77 FR 44911].

The Filer Manual contains all the technical specifications for filers to submit filings using the EDGAR system. Filers must comply with the applicable provisions of the Filer Manual in order to assure the timely acceptance and processing of filings made in electronic format. Filers may consult the Filer Manual in conjunction with our rules governing mandatory electronic filing when preparing documents for electronic submission.

The EDGAR system will be upgraded to Release 13.1 on May 20, 2013 and will introduce the following changes: EDGAR will be updated to implement the new Form 13F online application. Form 13F will no longer be available on the EDGARlink Online application. Form 13F may only be filed using the new online version of the form available on the EDGAR Filing Web site or constructed by filers according to the new EDGAR Form 13F XML Technical Specification document. The submission form types 13F–HR, 13–HR/A, 13F–NT, and 13–NT/A will be accessible by selecting the ‘File Form 13F’ link on the EDGAR Filing Web site.

Instructions to file the online version of Form 13F are included in two new sections of Chapter 9 (Preparing and Transmitting Online Submissions) of the “EDGAR Filer Manual, Volume II: EDGAR Filing” to guide filers through the filing process. These sections will replace Appendix G, Form 13F Special Electronic Filing Instructions.

EDGAR is updated to support the US GAAP 2013 Taxonomy. In addition EDGAR will no longer provide support for the US GAAP 2011 Taxonomy. Please see a complete listing of supported standard taxonomies on the Commission’s public Web site.

Since the Filer Manual and the corresponding rule changes relate solely to agency procedures or practice, publication for notice and comment is not required.

The effective date for the updated Filer Manual and the rule amendments is May 21, 2013. In accordance with the APA, we find that there is good cause to establish an effective date less than 30 days after publication of these rules. The EDGAR system upgrade to Release 13.1 is scheduled to become available on May 20, 2013. The Commission believes that establishing an effective date less than 30 days after publication of these rules is necessary to coordinate the effectiveness of the updated Filer Manual with the system upgrade.

Statutory Basis

We are adopting the amendments to Regulation S–T under Sections 6, 7, 8, 10, and 19(a) of the Securities Act of 1933, Sections 12, 13, 14, 15, 23, and 35A of the Securities Exchange Act of 1934, Section 319 of the Trust Indenture Act of 1939, and Sections 8, 30, 31, and 38 of the Investment Company Act of 1940.
INTERNATIONAL TRADE COMMISSION

19 CFR Part 210

Rules of Adjudication and Enforcement

AGENCY: International Trade Commission.

ACTION: Final rule.

SUMMARY: The United States International Trade Commission (“Commission”) amends its Rules of Practice and Procedure concerning adjudication and enforcement. The amendments address concerns that have arisen about the scope of discovery in Commission proceedings under section 337 of the Tariff Act of 1930. The intended effect of the amendments is to reduce expensive, inefficient, unjustified, or unnecessary discovery practices in agency proceedings while preserving the opportunity for fair and efficient discovery for all parties.

DATES: Effective Date: June 20, 2013.

Applicability Date: This regulation is applicable to investigations instituted after June 20, 2013.

FOR FURTHER INFORMATION CONTACT:
Cathy Chen, telephone 202–205–2392, or Clark S. Cheney, telephone 202–205–2661, Office of the General Counsel, United States International Trade Commission. Hearing-impaired individuals are advised that information on this matter can be obtained by contacting the Commission’s TDD terminal at 202–205–1810. General information concerning the Commission may also be obtained by accessing its Internet server at http://www.usitc.gov. SUPPLEMENTARY INFORMATION:

Background

Section 335 of the Tariff Act of 1930 (19 U.S.C. 1335) authorizes the Commission to adopt such reasonable procedures, rules, and regulations as it deems necessary to carry out its functions and duties. This rulemaking was undertaken to address concerns that have arisen about the scope of discovery in Commission proceedings under section 337 of the Tariff Act of 1930 (19 U.S.C. 1337) (“section 337”). The Commission is amending its rules governing investigations under section 337 in order to increase the efficiency of its section 337 investigations.

For some time, the Commission has been considering proposals to improve procedures relating to discovery in proceedings under section 337 generally and to improve procedures relating to the discovery of electronically stored information (“e-discovery”) specifically. On July 19, 2011, the George Washington University Law School hosted a forum on e-discovery in section 337 investigations. Presenters at the forum stated that parties to section 337 investigations often search and produce large volumes of information stored in electronic format to satisfy discovery obligations in section 337 proceedings but that only a small fraction of that information is admitted into the investigation record. Presenters questioned whether the potential benefit of discovering materials outweighs the costs associated with current discovery obligations. Presenters also compared e-discovery procedures in various district courts with discovery procedures at the Commission and made various proposals for improving the Commission’s procedures.

The Commission has considered, inter alia, e-discovery proposals from the International Trade Commission Trial Lawyers Association; a draft proposal on e-discovery from the International Trade Commission Committee of the American Bar Association Intellectual Property section; a model e-discovery order prepared by the Federal Circuit Advisory Council; e-discovery provisions in a pilot program underway in the U.S. District Court for the Southern District of New York; e-discovery standards promulgated by the U.S. District Court for the District of Delaware; a model order regarding e-discovery in patent cases issued by the U.S. District Court for the Eastern District of Texas; and rules promulgated by administrative law judges at the Commission; and analogous portions of the Federal Rules of Civil Procedure that concern limitations on discovery and that concern e-discovery.

Some of the materials considered by the Commission describe a risk of inadvertent disclosure of privileged information or attorney work product during the production of electronically stored information. Accordingly, the Commission has also considered provisions in the Federal Rules of Civil Procedure and the Federal Rules of Evidence concerning the discovery of privileged or protected information.

After reviewing the foregoing materials and other information, the Commission published a notice of proposed rulemaking (NOPR) in the Federal Register at 77 FR 60952 (Oct. 5, 2012), proposing to amend the Commission’s Rules of Practice and Procedure to adopt certain rules relating to discovery generally, to e-discovery specifically, and to the discovery of privileged information and attorney work product.

Although the Commission considered the proposed rules to be procedural rules which are excepted from notice-and-comment under 5 U.S.C. 553(b), the Commission invited the public to comment on all of the proposed rules. The NOPR requested public comment on the proposed rules within 60 days of publication of the NOPR. The Commission received a total of eight (8) sets of comments, one each from the American Bar Association, Section of Intellectual Property Law (“ABA”); the American Intellectual Property Law Association (“AIPLA”); Aderant; the law firm of Adduci, Mastriani & Schaumberg LLP (“AMS”); the law firm of Weil, Gotshal & Manges LLP on behalf of Cisco Systems, Inc., Dell Inc., Ford Motor Company, Hewlett-Packard Company, Intel Corporation, Micron Technology, Inc., and Toyota Motor Corporation and its U.S. subsidiary Toyota Motor Sales, U.S.A., Inc. (collectively, “the Submitting Companies”); the Association of Corporate Counsel (“ACC”); Ms. Rosa Concepcion; and the ITC Trial Lawyers Association (“ITC TLA”).

The Commission carefully considered all comments that it received. The Commission’s response is provided below in a section-by-section analysis. The Commission appreciates the time and effort the commentators devoted to providing comments on the NOPR.

Regulatory Analysis of the Amendments to the Commission’s Rules

The Commission has determined that the final rules do not meet the criteria described in section 3(f) of Executive