years, a Phase III award provides a third five-year award for centers that demonstrate their viability, sustainability, and which have had a significant impact on industry research as measured through annual reports, site visits, and adherence to I/UCRC requirements. Centers are expected to be fully supported by industry, other Federal agencies, and state and local government partners after fifteen years as an I/UCRC.

Centers will be required to provide data to NSF and its authorized representatives (contractors or grantees). These data will be used for NSF internal reports, historical data, and for securing future funding for continued I/UCRC program maintenance and growth. Updates to the IUCRC database of performance indicators will be required annually. Centers will be responsible for submitting the following information after the award expires for their fiscal year of activity. The indicators are both quantitative and descriptive.

- Quantitative information from the most recently completed fiscal year such as:
  - Number and diversity of students, faculty, and industrial numbers involved in the center
  - Degrees granted to students involved in center activities
  - Amounts and sources of income to the center, and
  - Lists of patents, licenses, and publications created
- Operating budget and total funding:
  - Total funding
  - NSF/ IUCRC funding received
  - Other NSF funding received
  - Additional support broken down by Industry, State, University, Other Federal, Non-Federal and other support
- Capital and in-kind support:
  - Equipment
  - Facilities
  - Personnel
  - Software
  - Other support
- Human resources:
  - Researchers (number of faculty scientists and engineers, number of non-faculty scientists and engineers)
  - Students (number of graduates, number of undergraduates)
- Administration, number of full and part time professional and clerical staff
- Information about broadening participation on the above with plans to increase broadening participation, if necessary
- Center director descriptors:
  - Position and rank of director
  - Status of tenure
  - Name and position of the person to whom the center director reports
  - Estimate of the percent of time the director devotes to center administration, other administration, research, teaching, other
- Center outcomes:
  - Students receiving degrees and type degree earned
  - Students hired by industry by type of degree
- Publications
- Number with center research
- Number with Industrial Advisory Board Members
- Number of presentations
- Intellectual property events:
  - Invention disclosures
  - Patent applications
  - Software copyrights.
  - Patents granted and derived or both
- Licensing agreements.
- Royalties realized.
  I/U CRCs will also include evaluation conducted by independent evaluators who cannot be from the department(s) with the institution(s) receiving funding for the I/UCRC award. The center evaluator will be responsible for:
- Preparing an annual report of center activities with respect to industrial collaboration.
- Conducting a survey of all center participants to probe the participant satisfaction with center activities.
- Compiling a set of quantitative indicators determined by NSF to analyze the management and operation of the center.
- Participating in I/UCRC center and informational meetings.
- Reporting to NSF on the center’s status using a checklist provided by NSF to help determine if the center is adhering to the IUCRC policy and guidelines.
- Bi-annual reporting to NSF.
- Reporting to NSF within a month of each Industrial Advisory Board meeting on the top research highlights, technology transfer, patents, and major discoveries that demonstrate successful investments.
- Performing exit interviews to determine why members chose to withdraw from the center.
- Participating in continuous quality process improvement by providing information to the NSF I/UCRC program.

Use of the Information: The data collected will be used for NSF internal reports, historical data, and for securing future funding for continued I/UCRC program maintenance and growth.

Estimate of Burden: 150 hours per center (192 sites) for sixty eight centers for a total of 10200 hours.

Respondents: Industry, academic institutions; non-profit institutions; government

Estimated Number of Responses per Report: One from each of the 192 sites.

Comments: Comments are invited on (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information shall have practical utility; (b) the accuracy of the Agency’s estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information on respondents, including through the use of automated collection techniques or other forms of information technology; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.


Suzanne H. Plimpton,
Reports Clearance Officer, National Science Foundation.

[FR Doc. 2013–22856 Filed 9–19–13; 8:45 am]
BILLING CODE 7555–01–P
SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting

FEDERAL REGISTER CITATION OF PREVIOUS ANNOUNCEMENT: [To be Published]

STATUS: Closed Meeting.

PLACE: 100 F Street NE., Washington, DC.

DATE AND TIME OF PREVIOUSLY ANNOUNCED MEETING: Tuesday, September 17, 2013 at 4:00 p.m.

CHANGE IN THE MEETING: Cancellation of Meeting.

The Closed Meeting scheduled for Tuesday, September 17, 2013 at 4:00 p.m. was cancelled.

For further information please contact the Office of the Secretary at (202) 551–5400.

Dated: September 17, 2013.

Elizabeth M. Murphy,
Secretary.

BILLING CODE 8011–01–P

Dated this 16th day of September 2013 in Rockville, Maryland.

For The Nuclear Regulatory Commission.

Mark R. Shaffer,
Deputy Director, Office of International Programs.


BILLING CODE 7590–01–P

SEcurities and exchange Commission


Self-Regulatory Organizations; The NASDAQ Stock Market LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Correct a Typographical Error and an Incorrect Cross Reference in Rule 5635(e)(4)

September 16, 2013.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),1 and Rule 19b–4 thereunder,2 notice is hereby given that on September 10, 2013, The NASDAQ Stock Market LLC ("Nasdaq" or "Exchange") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. Nasdaq has designated the proposed rule change as effecting a change described under Rule 19b–4(f)(6)3 under the Act,4 which renders the proposal effective upon filing with the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of the Substance of the Proposed Rule Change

Nasdaq proposes a change to Rule 5635 to correct a typographical error and incorrect citation.

The text of the proposed rule change is below. Proposed new language is in underlined; proposed deletions are in brackets.

5635. Shareholder Approval

This Rule sets forth the circumstances under which shareholder approval is required prior to an issuance of securities in connection with: (i) the acquisition of the stock or assets of another company; (ii) equity-based compensation of officers, directors, employees or consultants; (iii) a change of control; and (iv) private placements. General provisions relating to shareholder approval are set forth in Rule 5635(e), and the financial viability exception to the shareholder approval requirement is set forth in Rule 5635(f).

(a)–(d) No change.

(e) Definitions and Computations Relating to the Shareholder Approval Requirements

(1)–(3) No change.

(4) Where shareholder approval is required, the minimum vote that will constitute shareholder approval shall be a majority of the total votes cast on the proposal.[.] These votes may be cast in person, by proxy at a meeting of Shareholders or by written consent in lieu of a special meeting to the extent permitted by applicable state and federal law and rules (including interpretations thereof), including, without limitation, Regulations 14A and 14C under the Act. Nothing contained in this Rule 5635(e)(4)[(5)] shall affect a

---


3 Changes are marked to the rule text that appears in the electronic manual of Nasdaq found at http://nasdaqomx.cchwallstreet.com.