

- |        |   |   |
|--------|---|---|
| Sec.   |   | Sec.  |
|        | (f) Action by Attorney General.   | (a) Registration statement.   |
|        | (g) Notice to Attorney General of action brought by Commission.   | (b) Standards for registration; Commission findings.  |
| 13a-2. | Jurisdiction of States.   | (c) Suspension of registration.   |
| 13b.   | Manipulations or other violations; cease and desist orders against persons other than contract markets; punishment; misdemeanor or felony; separate offenses. | (d) Fees and charges.   |
| 13c.   | Responsibility as principal; minor violations.  | (e) Registered persons not members of registered associations.  |
| 14.    | Repealed.   | (f) Denial of registration.   |
| 15.    | Enforcement powers of Commission.   | (g) Withdrawal from registration; notice of withdrawal.   |
| 15a.   | Repealed.   | (h) Commission review of disciplinary actions taken by registered futures associations.   |
| 15b.   | Cotton futures contracts.   | (i) Notice; hearing; findings; cancellation, reduction, or remission of penalties; review by court of appeals.                                |
|        | (a) Short title.  | (j) Changes or additions to association rules.  |
|        | (b) Repeal of tax on cotton futures.  | (k) Abrogation of association rules; requests to associations by Commission to alter or supplement rules.                                     |
|        | (c) Definitions.  | (l) Suspension and revocation of registration; expulsion of members; removal of association officers or directors.                            |
|        | (d) Bona fide spot markets and commercial differences.  | (m) Rules requiring membership in associations.   |
|        | (e) Form and validity of cotton futures contracts.  | (n) Reports to Congress.  |
|        | (f) Basis grade contracts.  | (o) Delegation to futures associations of registrative functions; discretionary review by Commission; judicial appeal.                        |
|        | (g) Tendered grade contracts.   | (p) Establishment of rules for futures associations; approval by Commission.  |
|        | (h) Specific grade contracts.   | (q) Major disciplinary rule violations.   |
|        | (i) Liability of principal for acts of agent.   | (r) Program for implementation of rules.  |
|        | (j) Regulations.  | 22. Research and information programs; reports to Congress.   |
|        | (k) Violations.   | 23. Standardized contracts for certain commodities.   |
|        | (l) Applicability to contracts prior to effective date.   | (a) Margin accounts or contracts and leverage accounts or contracts prohibited except as authorized.  |
|        | (m) Authorization.  | (b) Permission to enter into contracts for delivery of silver or gold bullion, bulk silver or gold coins, or platinum; rules and regulations. |
| 16.    | Commission operations.  | (c) Survey of persons interested in engaging in transactions of silver and gold, etc.; assistance of futures association; regulations.        |
|        | (a) Cooperation with other agencies.  | (d) Savings provision.  |
|        | (b) Employment of investigators, experts, Administrative Law Judges, consultants, clerks, and other personnel; contracts.                                     | 24. Regulations respecting commodity broker debtors; definitions.   |
|        | (c) Expenses.   | 25. Private rights of action.   |
|        | (d) Authorization of appropriations.  | (a) Actual damages; actionable transactions; exclusive remedy.  |
|        | (e) Relation to other laws, departments, or agencies.   | (b) Liabilities of organizations and individuals; bad faith requirement; exclusive remedy.  |
|        | (f) Investigative assistance to foreign futures authorities.  | (c) Jurisdiction; statute of limitations; venue; process.   |
|        | (g) Computerized futures trading.   | (d) Dates of application to actions.  |
| 16a.   | Service fees and National Futures Association study.  | 26. Repealed.   |
|        | (a) Development and implementation of plan for user fees; report to and approval by Congressional committees.   |   |
|        | (b) National Futures Association regulatory experience; report; contents.   |   |
|        | (c) Schedule of fees for services, activities and functions; notice and hearing; actual cost standard.  |   |
| 17.    | Separability.   |   |
| 17a.   | Separability of 1936 amendment.   |   |
| 17b.   | Separability of 1968 amendment.   |   |
| 18.    | Complaints against registered persons.  |   |
|        | (a) Petition for actual damages.  |   |
|        | (b) Rules and regulations; control over right of appeal.  |   |
|        | (c) Bond requirement when complainant is nonresident; waiver.   |   |
|        | (d) Enforcement of reparation award.  |   |
|        | (e) Review.   |   |
|        | (f) Automatic bar from trading and suspension for noncompliance; effect of appeal.  |   |
|        | (g) Effective date.   |   |
| 19.    | Antitrust laws; anticompetitive means.  |   |
| 20.    | Market reports.   |   |
|        | (a) Information.  |   |
|        | (b) Avoidance of duplication.   |   |
|        | (c) Furnishing of information; confidentiality.   |   |
|        | (d) Disclosure of business transactions, market positions, trade secrets, or names of customers.  |   |
| 21.    | Registered futures associations.  |   |

CHAPTER REFERRED TO IN OTHER SECTIONS

This chapter is referred to in sections 15b, 16a, 499n of this title; title 2 section 1602; title 11 section 761; title 12 section 4402; title 15 sections 78c, 78o, 80a-9, 80b-3, 431; title 26 section 277.

§ 1. Short title

This chapter may be cited as the "Commodity Exchange Act."

(Sept. 21, 1922, ch. 369, §1, 42 Stat. 998; June 15, 1936, ch. 545, §1, 49 Stat. 1491.)