

spect to welfare and pension plan disclosure. See section 1001 of this title.

Section 302, Pub. L. 85-836, §3, Aug. 28, 1958, 72 Stat. 997; Pub. L. 86-624, §21(d), July 12, 1960, 74 Stat. 417; Pub. L. 87-420, §§2-5, Mar. 20, 1962, 76 Stat. 35, provided definitions for this chapter. See section 1002 of this title.

Section 303, Pub. L. 85-836, §4, Aug. 28, 1958, 72 Stat. 998; Pub. L. 87-420, §6, Mar. 20, 1962, 76 Stat. 35, related to plans covered within chapter. See section 1003 of this title.

Section 304, Pub. L. 85-836, §5, Aug. 28, 1958, 72 Stat. 998; Pub. L. 87-420, §7, Mar. 20, 1962, 76 Stat. 36, related to duties of administrator and definition of "administrator". See sections 1002(16)(A) and 1021 of this title.

Section 305, Pub. L. 85-836, §6, Aug. 28, 1958, 72 Stat. 999; Pub. L. 87-420, §8, Mar. 20, 1962, 76 Stat. 36, related to time for publication and contents of plan. See section 1022 of this title.

Section 306, Pub. L. 85-836, §7, Aug. 28, 1958, 72 Stat. 1000; Pub. L. 87-420, §§9-13, Mar. 20, 1962, 76 Stat. 36, 37, related to time for publication, contents, etc., of annual reports. See section 1023 of this title.

Section 307, Pub. L. 85-836, §8, Aug. 28, 1958, 72 Stat. 1002; Pub. L. 87-420, §§14, 18, Mar. 20, 1962, 76 Stat. 37, 43, related to publication of description of plan and annual report. See section 1024 of this title.

Section 308, Pub. L. 85-836, §9, Aug. 28, 1958, 72 Stat. 1002; Pub. L. 87-420, §15, Mar. 20, 1962, 76 Stat. 37, related to enforcement provisions. See section 1131 et seq. of this title.

Section 308a, Pub. L. 85-836, §10, as added Pub. L. 87-420, §16(a), Mar. 20, 1962, 76 Stat. 38, related to reports as public information. See section 1026 of this title.

Section 308b, Pub. L. 85-836, §11, as added Pub. L. 87-420, §16(a), Mar. 20, 1962, 76 Stat. 38, related to retention of records. See section 1027 of this title.

Section 308c, Pub. L. 85-836, §12, as added Pub. L. 87-420, §16(a), Mar. 20, 1962, 76 Stat. 38, related to reliance on administrative interpretations and forms. See section 1028 of this title.

Section 308d, Pub. L. 85-836, §13, as added Pub. L. 87-420, §16(a), Mar. 20, 1962, 76 Stat. 39, related to bonding requirements. See section 1112 of this title.

Section 308e, Pub. L. 85-836, §14, as added Pub. L. 87-420, §16(a), Mar. 20, 1962, 76 Stat. 40, related to establishment, membership, duties, etc., of Advisory Council on Employee Welfare and Pension Benefit Plans. See section 1142 of this title.

Section 308f, Pub. L. 85-836, §15, as added Pub. L. 87-420, §16(a), Mar. 20, 1962, 76 Stat. 41, related to administration of provisions of chapter. See section 1137 of this title.

Section 309, Pub. L. 85-836, §16, formerly §10, Aug. 28, 1958, 72 Stat. 1002, renumbered and amended Pub. L. 87-420, §16(a), (b), Mar. 20, 1962, 76 Stat. 38, 41, related to effect of other laws on provisions of this chapter. See section 1144 of this title.

EFFECTIVE DATE OF REPEAL

Repeal effective Jan. 1, 1975, except that chapter to remain applicable to any conduct and events which occurred before Jan. 1, 1975, see section 1031 of this title.

The Secretary of Labor was empowered, in the case of a plan which has a plan year which begins before Jan. 1, 1975, and ends after Dec. 31, 1974, to postpone by regulation the effective date of the repeal of any provision of this chapter until the beginning of the first plan year of such plan which begins after Jan. 1, 1975, pursuant to section 1031(b)(2) of this title.

CHAPTER 11—LABOR-MANAGEMENT REPORTING AND DISCLOSURE PROCEDURE

SUBCHAPTER I—GENERAL PROVISIONS

Sec. 401. Congressional declaration of findings, purposes, and policy.

- Sec. (a) Standards for labor-management relations.
 (b) Protection of rights of employees and the public.
 (c) Necessity to eliminate or prevent improper practices.

402. Definitions.
 SUBCHAPTER II—BILL OF RIGHTS OF MEMBERS OF LABOR ORGANIZATIONS

411. Bill of rights; constitution and bylaws of labor organizations.
 (a)(1) Equal rights.
 (2) Freedom of speech and assembly.
 (3) Dues, initiation fees, and assessments.
 (4) Protection of the right to sue.
 (5) Safeguards against improper disciplinary action.
 (b) Invalidity of constitution and bylaws.
 412. Civil action for infringement of rights; jurisdiction.
 413. Retention of existing rights of members.
 414. Right to copies of collective bargaining agreements.
 415. Information to members of provisions of chapter.

SUBCHAPTER III—REPORTING BY LABOR ORGANIZATIONS, OFFICERS AND EMPLOYEES OF LABOR ORGANIZATIONS, AND EMPLOYERS

431. Report of labor organizations.
 (a) Adoption and filing of constitution and bylaws; contents of report.
 (b) Annual financial report; filing; contents.
 (c) Availability of information to members; examination of books, records, and accounts.
 432. Report of officers and employees of labor organizations.
 (a) Filing; contents of report.
 (b) Report of certain bona fide investments.
 (c) Exemption from filing requirement.
 433. Report of employers.
 (a) Filing and contents of report of payments, loans, promises, agreements, or arrangements.
 (b) Persuasive activities relating to the right to organize and bargain collectively; supplying information of activities in connection with labor disputes; filing and contents of report of agreement or arrangement.
 (c) Advisory or representative services exempt from filing requirements.
 (d) Exemption from filing requirements generally.
 (e) Services by and payments to regular officers, supervisors, and employees of employer.
 (f) Rights protected by section 158(c) of this title.
 (g) "Interfere with, restrain, or coerce" defined.
 434. Exemption of attorney-client communications.
 435. Reports and documents as public information.
 (a) Publication; statistical and research purposes.
 (b) Inspection and examination of information and data.
 (c) Copies of reports or documents; availability to State agencies.
 436. Retention of records.
 437. Time for making reports.
 438. Rules and regulations; simplified reports.

- Sec.
439. Violations and penalties.
 (a) Willful violations of provisions of subchapter.
 (b) False statements or representations of fact with knowledge of falsehood.
 (c) False entry in or willful concealment, etc., of books and records.
 (d) Personal responsibility of individuals required to sign reports.
440. Civil action for enforcement by Secretary; jurisdiction.
441. Surety company reports; contents; waiver or modification of requirements respecting contents of reports.
- SUBCHAPTER IV—TRUSTEESHIPS
461. Reports.
 (a) Filing and contents; annual financial report.
 (b) Applicability of other laws.
 (c) Penalty for violations.
 (d) False statements and entries; failure to disclose material facts; withholding, concealing or destroying documents, books, records, reports, or statements; penalty.
 (e) Personal liability.
462. Purposes for establishment of trusteeship.
463. Unlawful acts relating to labor organization under trusteeship.
464. Civil action for enforcement.
 (a) Complaint; investigation; commencement of action by Secretary, member or subordinate body of labor organization; jurisdiction.
 (b) Venue.
 (c) Presumptions of validity or invalidity of trusteeship.
465. Report to Congress.
466. Additional rights and remedies; exclusive jurisdiction of district court; res judicata.
- SUBCHAPTER V—ELECTIONS
481. Terms of office and election procedures.
 (a) Officers of national or international labor organizations; manner of election.
 (b) Officers of local labor organizations; manner of election.
 (c) Requests for distribution of campaign literature; civil action for enforcement; jurisdiction; inspection of membership lists; adequate safeguards to insure fair election.
 (d) Officers of intermediate bodies; manner of election.
 (e) Nomination of candidates; eligibility; notice of election; voting rights; counting and publication of results; preservation of ballots and records.
 (f) Election of officers by convention of delegates; manner of conducting convention; preservation of records.
 (g) Use of dues, assessments or similar levies, and funds of employer for promotion of candidacy of person.
 (h) Removal of officers guilty of serious misconduct.
 (i) Rules and regulations for determining adequacy of removal procedures.
482. Enforcement.
 (a) Filing of complaint; presumption of validity of challenged election.
 (b) Investigation of complaint; commencement of civil action by Secretary; jurisdiction; preservation of assets.
 (c) Declaration of void election; order for new election; certification of election to court; decree; certification of result of vote for removal of officers.

- Sec.
 (d) Review of orders; stay of order directing election.
483. Application of other laws; existing rights and remedies; exclusiveness of remedy for challenging election.

SUBCHAPTER VI—SAFEGUARDS FOR LABOR ORGANIZATIONS

501. Fiduciary responsibility of officers of labor organizations.
 (a) Duties of officers; exculpatory provisions and resolutions void.
 (b) Violation of duties; action by member after refusal or failure by labor organization to commence proceedings; jurisdiction; leave of court; counsel fees and expenses.
 (c) Embezzlement of assets; penalty.
502. Bonding of officers and employees of labor organizations; amount, form, and placement of bonds; penalty for violation.
503. Financial transactions between labor organization and officers and employees.
 (a) Direct and indirect loans.
 (b) Direct or indirect payment of fines.
 (c) Penalty for violations.
504. Prohibition against certain persons holding office.
 (a) Membership in Communist Party; persons convicted of robbery, bribery, etc.
 (b) Penalty for violations.
 (c) Definitions.
 (d) Salary of person barred from labor organization office during appeal of conviction.

SUBCHAPTER VII—MISCELLANEOUS PROVISIONS

521. Investigations by Secretary; applicability of other laws.
522. Extortionate picketing; penalty for violation.
523. Retention of rights under other Federal and State laws.
524. Effect on State laws.
- 524a. Elimination of racketeering activities threat; State legislation governing collective bargaining representative.
525. Service of process.
526. Applicability of administrative procedure provisions.
527. Cooperation with other agencies and departments.
528. Criminal contempt.
529. Prohibition on certain discipline by labor organization.
530. Deprivation of rights by violence; penalty.
531. Separability.

CHAPTER REFERRED TO IN OTHER SECTIONS

This chapter is referred to in sections 186, 1111 of this title; title 39 section 1209; title 42 section 2000e.

SUBCHAPTER I—GENERAL PROVISIONS

§ 401. Congressional declaration of findings, purposes, and policy

(a) Standards for labor-management relations

The Congress finds that, in the public interest, it continues to be the responsibility of the Federal Government to protect employees' rights to organize, choose their own representatives, bargain collectively, and otherwise engage in concerted activities for their mutual aid or protection; that the relations between employers and labor organizations and the millions of workers they represent have a substantial impact on the