

Subsec. (c). Pub. L. 90-578 substituted authorization for magistrate to conduct presentence investigation for prior provisions making probation laws applicable to persons tried by commissioners having power to grant probation, now incorporated in subsec. (d) of this section.

Subsec. (d). Pub. L. 90-578 incorporated existing provisions of former subsec. (c) of this section in provisions designated as subsec. (d), substituted “magistrate” for “commissioner”, authorized revocation or reinstatement of probation by the officer granting the probation, and struck out former provision for receipt of fees provided by law for services as a commissioner.

Subsec. (e). Pub. L. 90-578 substituted requirement that proceedings before magistrates be taken down by a court reporter or recorded by sound recording equipment and provision for availability of a copy of the record of such proceedings for appeal purposes to be paid by the Director at Federal expense when a person is unable to pay or give security therefor for prior provisions making the section inapplicable to the District of Columbia and interpreting it as not repealing or limiting existing jurisdiction, power or authority of commissioners appointed in the several national parks.

Subsec. (f). Pub. L. 90-578 added subsec. (f).

1958—Subsec. (e). Pub. L. 85-508 struck out provisions which related to commissioners appointed for Alaska. See section 81A of Title 28, Judiciary and Judicial Procedure, which establishes a United States District Court for the State of Alaska.

#### CHANGE OF NAME

“United States magistrate judge”, “magistrate judge”, and “magistrate judges” substituted for “United States magistrate”, “magistrate”, and “magistrates”, respectively, in subssecs. (a), (c), (e), and (f), and “magistrate judge under” substituted for “magistrate under” in subsec. (d), pursuant to section 321 of Pub. L. 101-650, set out as a note under section 631 of Title 28, Judiciary and Judicial Procedure.

#### EFFECTIVE DATE OF 1992 AMENDMENT

Amendment by Pub. L. 102-572 effective Jan. 1, 1993, see section 1101 of Pub. L. 102-572, set out as a note under section 905 of Title 2, The Congress.

#### EFFECTIVE DATE OF 1984 AMENDMENT

Amendment by Pub. L. 98-473 effective Nov. 1, 1987, and applicable only to offenses committed after the taking effect of such amendment, see section 235(a)(1) of Pub. L. 98-473, set out as an Effective Date note under section 3551 of this title.

#### EFFECTIVE DATE OF 1968 AMENDMENT

Amendment by Pub. L. 90-578 effective Oct. 17, 1968, except when a later effective date is applicable, which is the earlier of date when implementation of amendment by appointment of magistrates [now United States magistrate judges] and assumption of office takes place or third anniversary of enactment of Pub. L. 90-578 on Oct. 17, 1968, see section 403 of Pub. L. 90-578, set out as a note under section 631 of Title 28, Judiciary and Judicial Procedure.

#### EFFECTIVE DATE OF 1958 AMENDMENT

Amendment by Pub. L. 85-508 effective Jan. 3, 1959, on admission of Alaska into the Union pursuant to Proc. No. 3269, Jan. 3, 1959, 24 F.R. 81, 73 Stat. c16, as required by sections 1 and 8(c) of Pub. L. 85-508, see notes set out under section 81A of Title 28, Judiciary and Judicial Procedure, and preceding former section 21 of Title 48, Territories and Insular Possessions.

### § 3402. Rules of procedure, practice and appeal<sup>1</sup>

In all cases of conviction by a United States magistrate judge an appeal of right shall lie

<sup>1</sup>Section catchline was not amended to conform to change made in text by Pub. L. 100-702.

from the judgment of the magistrate judge to a judge of the district court of the district in which the offense was committed.

(June 25, 1948, ch. 645, 62 Stat. 831; Pub. L. 90-578, title III, §302(b), Oct. 17, 1968, 82 Stat. 1116; Pub. L. 100-702, title IV, §404(b)(2), Nov. 19, 1988, 102 Stat. 4651; Pub. L. 101-650, title III, §321, Dec. 1, 1990, 104 Stat. 5117.)

#### HISTORICAL AND REVISION NOTES

Based on title 18 U.S.C., 1940 ed., §576a (Oct. 9, 1940, ch. 685, §2, 54 Stat. 1059).

#### AMENDMENTS

1988—Pub. L. 100-702 struck out second par. which read as follows: “The Supreme Court shall prescribe rules of procedure and practice for the trial of cases before magistrates and for taking and hearing of appeals to the judges of the district courts of the United States.”

1968—Pub. L. 90-578 provided that the appeal shall be of right, substituted “a United States magistrate”, “magistrate”, and “magistrates” for “United States commissioners”, “commissioner”, and “commissioners”, respectively, and provided that the appeals be to the judge of the district court and not to the district court and that the rules of the Supreme Court relate to appeals to the judges of the district courts rather than to the district courts.

#### CHANGE OF NAME

“United States magistrate judge” and “magistrate judge” substituted for “United States magistrate” and “magistrate”, respectively, in text pursuant to section 321 of Pub. L. 101-650, set out as a note under section 631 of Title 28, Judiciary and Judicial Procedure.

#### EFFECTIVE DATE OF 1988 AMENDMENT

Amendment by Pub. L. 100-702 effective Dec. 1, 1988, see section 407 of Pub. L. 100-702, set out as a note under section 2071 of Title 28, Judiciary and Judicial Procedure.

#### EFFECTIVE DATE OF 1968 AMENDMENT

Amendment by Pub. L. 90-578 effective Oct. 17, 1968, except when a later effective date is applicable, which is the earlier of date when implementation of amendment by appointment of magistrates [now United States magistrate judges] and assumption of office takes place or third anniversary of enactment of Pub. L. 90-578 on Oct. 17, 1968, see section 403 of Pub. L. 90-578, set out as a note under section 631 of title 28, Judiciary and Judicial Procedure.

### CHAPTER 221—ARRAIGNMENT, PLEAS AND TRIAL

Sec.	
3431.	Term of court; power of court unaffected by expiration—Rule.
3432.	Indictment and list of jurors and witnesses for prisoner in capital cases.
3433.	Arraignment—Rule.
3434.	Presence of defendant—Rule.
3435.	Receiver of stolen property triable before or after principal.
3436.	Consolidation of indictments or informations—Rule.
3437.	Severance—Rule.
3438.	Pleas—Rule.
3439.	Demurrers and special pleas in bar or abatement abolished; relief on motion—Rule.
3440.	Defenses and objections determined on motion—Rule.
3441.	Jury; number of jurors; waiver—Rule.
3442.	Jurors, examination, peremptory challenges; alternates—Rule.

Sec.	
3443.	Instructions to jury—Rule.
3444.	Disability of judge—Rule.
3445.	Motion for judgment of acquittal—Rule.
3446.	New trial—Rule.

**§ 3431. Term of court; power of court unaffected by expiration—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Expiration of term without significance in criminal cases, Rule 45(c).

(June 25, 1948, ch. 645, 62 Stat. 831.)

REFERENCES IN TEXT

Rule 45(c) of the Federal Rules of Criminal Procedure, referred to in text, was rescinded Feb. 28, 1966, eff. July 1, 1966.

**§ 3432. Indictment and list of jurors and witnesses for prisoner in capital cases**

A person charged with treason or other capital offense shall at least three entire days before commencement of trial, excluding intermediate weekends and holidays, be furnished with a copy of the indictment and a list of the veniremen, and of the witnesses to be produced on the trial for proving the indictment, stating the place of abode of each venireman and witness, except that such list of the veniremen and witnesses need not be furnished if the court finds by a preponderance of the evidence that providing the list may jeopardize the life or safety of any person.

(June 25, 1948, ch. 645, 62 Stat. 831; Pub. L. 103-322, title VI, §60025, Sept. 13, 1994, 108 Stat. 1982; Pub. L. 111-16, §3(10), May 7, 2009, 123 Stat. 1608.)

HISTORICAL AND REVISION NOTES

Based on title 18, U.S.C., 1940 ed., §562 (R.S. §1033).

Words “or other capital offense” inserted after “treason” and “jurors” substituted for “jury”. The concluding sentence “When any person is indicted for any other capital offense, such copy of the indictment and list of the jurors and witnesses shall be delivered to him at least two entire days before the trial” was omitted. The change made by the revisers, permitting an additional day’s preparation for trial in homicide, kidnapping, rape, and other capital cases seemed not unreasonable.

Words “shall be delivered to him”, at end of section, were omitted as unnecessary.

Rule 10 of the Federal Rules of Criminal Procedure requires that the defendant in every case be given a copy of the indictment or information before he is called upon to plead. Thus there is no conflict between the rule and the revised section.

Minor changes in phraseology were made.

AMENDMENTS

2009—Pub. L. 111-16 inserted “, excluding intermediate weekends and holidays,” after “commencement of trial”.

1994—Pub. L. 103-322 inserted before period at end “, except that such list of the veniremen and witnesses need not be furnished if the court finds by a preponderance of the evidence that providing the list may jeopardize the life or safety of any person”.

EFFECTIVE DATE OF 2009 AMENDMENT

Amendment by Pub. L. 111-16 effective Dec. 1, 2009, see section 7 of Pub. L. 111-16, set out as a note under section 109 of Title 11, Bankruptcy.

**§ 3433. Arraignment—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Reading and furnishing copy of indictment to accused, Rule 10.

(June 25, 1948, ch. 645, 62 Stat. 831.)

**§ 3434. Presence of defendant—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Right of defendant to be present generally; corporation; waiver, Rule 43.

(June 25, 1948, ch. 645, 62 Stat. 831.)

**§ 3435. Receiver of stolen property triable before or after principal**

A person charged with receiving or concealing stolen property may be tried either before or after the trial of the principal offender.

(June 25, 1948, ch. 645, 62 Stat. 831.)

HISTORICAL AND REVISION NOTES

Based on title 18, U.S.C., 1940 ed., §§101, 467 (Mar. 4, 1909, ch. 321, §§48, 288, 35 Stat. 1098, 1145).

Other provisions of sections 101 and 467 of title 18, U.S.C., 1940 ed., were incorporated in sections 641 and 662 of this title.

Necessary changes were made in phraseology.

**§ 3436. Consolidation of indictments or informations—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Two or more indictments or informations triable together, Rule 13.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3437. Severance—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Relief from prejudicial joinder of defendants or offenses, Rule 14.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3438. Pleas—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Plea of guilty, not guilty, or nolo contendere; acceptance by court; refusal to plead; corporation failing to appear, Rule 11.

Withdrawal of plea of guilty, Rule 32.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3439. Demurrers and special pleas in bar or abatement abolished; relief on motion—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Motion to dismiss or for appropriate relief substituted for demurrer or dilatory plea or motion to quash, Rule 12.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3440. Defenses and objections determined on motion—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Defenses or objections which may or must be raised before trial; time; hearing; effect of determination; limitations by law unaffected, Rule 12(b).

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3441. Jury; number of jurors; waiver—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Jury trial, waiver, twelve jurors or less by written stipulation, trial by court on general or special findings, Rule 23.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3442. Jurors, examination, peremptory challenges; alternates—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Examination and peremptory challenges of trial jurors; alternate jurors, Rule 24.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3443. Instructions to jury—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Court's instructions to jury, written requests and copies, objections, Rule 30.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3444. Disability of judge—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Disability of judge after verdict or finding of guilt, Rule 25.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3445. Motion for judgment of acquittal—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Motions for directed verdict abolished. Motions for judgment of acquittal adopted; court may reserve decision; renewal, Rule 29.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**§ 3446. New trial—(Rule)**

SEE FEDERAL RULES OF CRIMINAL PROCEDURE

Granting of new trial, grounds, and motion, Rule 33.

(June 25, 1948, ch. 645, 62 Stat. 832.)

**CHAPTER 223—WITNESSES AND EVIDENCE**

- Sec. 3481. Competency of accused.
- 3482. Evidence and witnesses—Rule.
- 3483. Indigent defendants, process to produce evidence—Rule.
- 3484. Subpoenas—Rule.
- 3485. Expert witnesses—Rule.
- 3486. Administrative subpoenas.
- [3486A. Repealed.]
- 3487. Refusal to pay as evidence of embezzlement.
- 3488. Intoxicating liquor in Indian country as evidence of unlawful introduction.
- 3489. Discovery and inspection—Rule.
- 3490. Official record or entry—Rule.
- 3491. Foreign documents.
- 3492. Commission to consular officers to authenticate foreign documents.
- 3493. Deposition to authenticate foreign documents.
- 3494. Certification of genuineness of foreign document.
- 3495. Fees and expenses of consuls, counsel, interpreters and witnesses.
- 3496. Regulations by President as to commissions, fees of witnesses, counsel and interpreters.
- 3497. Account as evidence of embezzlement.
- 3498. Depositions—Rule.
- 3499. Contempt of court by witness—Rule.
- 3500. Demands for production of statements and reports of witnesses.

- Sec. 3501. Admissibility of confessions.
- 3502. Admissibility in evidence of eye witness testimony.
- [3503. Repealed.]
- 3504. Litigation concerning sources of evidence.
- 3505. Foreign records of regularly conducted activity.
- 3506. Service of papers filed in opposition to official request by United States to foreign government for criminal evidence.
- 3507. Special master at foreign deposition.
- 3508. Custody and return of foreign witnesses.
- 3509. Child victims' and child witnesses' rights.
- 3510. Rights of victims to attend and observe trial.
- 3511. Judicial review of requests for information.
- 3512. Foreign requests for assistance in criminal investigations and prosecutions.

AMENDMENTS

- 2009—Pub. L. 111-79, §2(5), Oct. 19, 2009, 123 Stat. 2089, added item 3512.
- 2006—Pub. L. 109-177, title I, §115(1), Mar. 9, 2006, 120 Stat. 211, added item 3511.
- 2002—Pub. L. 107-273, div. B, title IV, §4002(c)(3)(B), Nov. 2, 2002, 116 Stat. 1809, struck out item 3503 "Depositions to preserve testimony".
- 2000—Pub. L. 106-544, §5(b)(2), (3), Dec. 19, 2000, 114 Stat. 2718, struck out "in Federal health care investigations" after "subpoenas" in item 3486 and struck out item 3486A "Administrative subpoenas in cases involving child abuse and child sexual exploitation".
- 1998—Pub. L. 105-314, title VI, §606(b), Oct. 30, 1998, 112 Stat. 2985, added items 3486 and 3486A and struck out former item 3486 "Authorized investigative demand procedures".
- 1997—Pub. L. 105-6, §2(b), Mar. 19, 1997, 111 Stat. 12, added item 3510.
- 1996—Pub. L. 104-294, title VI, §604(a)(4), Oct. 11, 1996, 110 Stat. 3506, substituted "victims" for "Victims" in item 3509.
- Pub. L. 104-191, title II, §248(b), Aug. 21, 1996, 110 Stat. 2019, added item 3486.
- 1994—Pub. L. 103-322, title XXXIII, §330002(j), Sept. 13, 1994, 108 Stat. 2140, added item 3509.
- 1988—Pub. L. 100-690, title VI, §6484(b), Nov. 18, 1988, 102 Stat. 4384, added item 3508.
- 1984—Pub. L. 98-473, title II, §1217(b), Oct. 12, 1984, 98 Stat. 2166, added items 3505, 3506, and 3507.
- 1970—Pub. L. 91-452, title II, §228(b), title VI, §601(b), title VII, §702(b), Oct. 15, 1970, 84 Stat. 930, 935, 936, added items 3503 and 3504, and struck out item 3486 "Compelled testimony tending to incriminate witnesses; immunity".
- 1968—Pub. L. 90-351, title II, §701(b), June 19, 1968, 82 Stat. 211, added items 3501 and 3502.
- 1957—Pub. L. 85-269, Sept. 2, 1957, 71 Stat. 596, added item 3500.
- 1954—Act Aug. 20, 1954, ch. 769, §2, 68 Stat. 746, rephrased item 3486.

PROTECTED FACILITIES FOR HOUSING GOVERNMENT WITNESSES

Pub. L. 91-452, title V, §§501-504, Oct. 15, 1970, 84 Stat. 933, which authorized the Attorney General to provide for the security of Government witnesses and the families of Government witnesses in legal proceedings against any person alleged to have participated in an organized criminal activity, was repealed by Pub. L. 98-473, title II, §1209(b), Oct. 12, 1984, 98 Stat. 2163, effective Oct. 1, 1984.

**§ 3481. Competency of accused**

In trial of all persons charged with the commission of offenses against the United States and in all proceedings in courts martial and courts of inquiry in any State, District, Possession or Territory, the person charged shall, at